

Pierre-Antoine Graham

HOMEWORK 2

Yin-Chen He and Timothy Hsieh
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1 2D CFT and the 1D Transverse-Field Ising Chain

- (a) We want to study the 2D CFT that underlies the quantum critical behavior at the 1D transverse field Ising model (TFIM) phase transition. Putting this 2D CFT on the plane, we have a natural parametrisation of the fields with the complex variable $z = x + iy$ and its conjugate \bar{z} . Each primary field is characterized by the way it transforms under a dilation $z \rightarrow \lambda z$ with factor $\lambda \in \mathbb{R}^+$ and rotation $z \rightarrow e^{i\theta} z$ at angle $\theta \in [0, 2\pi)$. For a primary field φ_α , these transformations respectively have the effects $\varphi_\alpha(z) \rightarrow \lambda^{\Delta_\alpha} \varphi_\alpha(\lambda z)$ and $\varphi_\alpha(z) \rightarrow e^{-i\theta S_\alpha} \varphi_\alpha(e^{i\theta} z)$ where Δ_α is the scaling dimension and S_α is the spin of the primary. Using the properties of the Virasoro algebra, we can construct conformal towers from each primary by regrouping them with their descendants.

It is possible to use quantization to extract the CFT data $\{\Delta_\alpha, S_\alpha, c\}$ where c is the central charge. We start by applying a Weyl transformation to the complex plane to bring it to a cylinder: each concentric circle with $z = 0$ at its center is mapped to a circle of length L at different heights on the cylinder. We then quantize the CFT by associating an Hilbert space to each circle. The Hamiltonian H^{CFT} connecting these Hilbert spaces at different heights (times) is connecting circles of different radius in the original space and is related to the Dilation operator through an affine transformation. In this quantization, there is a correspondence between each CFT operator and states on a circle Hilbert space. The states $|\varphi_\alpha\rangle$ corresponding to operators with well defined spins and scaling dimensions (more general than primaries and descendants, the stress energy tensor is included but is quasi-primary in 2D) are accessible through the spectrum of the dilation operator and indirectly through the spectrum $\{E_\alpha = \frac{2\pi}{L}(\Delta_\alpha - \frac{c}{12})\}$ of H^{CFT} .

We can construct a sequence of finite size Hamiltonians (finite dimensionnal Hilbert space) that approach H^{CFT} for the 2D Ising CFT as size increases. The most natural family of Hamiltonians achieving this is the TFIM Hamiltonian given by

$$H = - \sum_{i=1}^L Z_i Z_{i+1} - \sum_{i=1}^L X_i$$

where Z_i, X_i are Pauli operators acting at site i of a system with $L \in \mathbb{N}$ sites associated to a two-dimensionnal Hilbert space. We use the identification $L \sim 0$ to have the model defined on a circle and eventually have its Hilbert space match the CFT Hilbert space in the $L \rightarrow \infty$ limit. We note that the values of transverse field and ferromagnetic coupling are set equal to 1 so that the Hamiltonian is associated with the quantum critical point of the TFIM. From H , we can build operators that produce (in the $L \rightarrow \infty$ limit) states corresponding to descendants when acted on states corresponding to primaries. These operators are Fourier modes of H and are expressed at

$$H_n = -\frac{N}{2\pi} \sum_{j=1}^L \exp\left(i(j+1/2)n\frac{2\pi}{N}\right) Z_j Z_{j+1} - \frac{N}{2\pi} \sum_{j=1}^L \exp\left(ijn\frac{2\pi}{N}\right) X_j$$

where $N = L$ is the number of modes. This operator is affected by finite size effects and the connection between finite size approximations of descendants and primaries states is made stronger with the operator

$$O_n = \frac{H_n + H_{-n}}{2} \xrightarrow{L \rightarrow \infty} \frac{L_n^{\text{CFT}} + \bar{L}_{-n}^{\text{CFT}} + L_{-n}^{\text{CFT}} + \bar{L}_n^{\text{CFT}}}{2} \quad \text{for } n > 0$$

where $\{L_n^{\text{CFT}}, \bar{L}_n^{\text{CFT}}\}$ of Virasoro algebra operators. For a state $|\varphi\rangle$ corresponding to a primary, the Virasoro operators $L_n^{\text{CFT}}, \bar{L}_n^{\text{CFT}}$ for $n > 0$ act as $\bar{L}_n^{\text{CFT}} |\varphi\rangle = L_n^{\text{CFT}} |\varphi\rangle = 0$. This is the defining property of primary states. Going in the opposite direction, every descendant state can be reached from primary states by acting $L_{-n}^{\text{CFT}}, \bar{L}_{-n}^{\text{CFT}}$. We note that $L_{-1}^{\text{CFT}}, L_{-2}^{\text{CFT}}$ (resp. $\bar{L}_{-1}^{\text{CFT}}, \bar{L}_{-2}^{\text{CFT}}$) generate the subalgebra L_{-n}^{CFT} (resp. $\bar{L}_{-n}^{\text{CFT}}$) implying that $n = 1, 2$ operators are sufficient to generate all the conformal towers. The scaling dimension $\Delta_{\varphi'}$ of the descendant state $|\varphi'\rangle$ of the primary state $|\varphi\rangle$ with scaling dimension Δ_φ is such that $\Delta_{\varphi'} - \Delta_\varphi \in \mathbb{N}$.

In what follows we describe a criterion to identify *approximate* primaries and associate them to descendants in the spectrum of H . We start by defining the projector

$$\Gamma_\varphi \equiv \sum_{\varphi_\alpha: E_\alpha < E_\varphi} |\varphi_\alpha\rangle \langle \varphi_\alpha|$$

which projects on the subspace of spanned by energy eigenstates below E_φ (the energy of the primary corresponding to the projector). Then we use " $\bar{L}_n^{\text{CFT}} |\varphi\rangle = L_n^{\text{CFT}} |\varphi\rangle = 0 \iff |\varphi\rangle$ is primary" to write

$$\begin{aligned} |\varphi\rangle \text{ is primary} &\implies \Gamma_\varphi O_n |\varphi\rangle = \sum_{\varphi_\alpha: E_\alpha < E_\varphi} |\varphi_\alpha\rangle \langle \varphi_\alpha| \frac{L_n^{\text{CFT}} + \bar{L}_{-n}^{\text{CFT}} + L_{-n}^{\text{CFT}} + \bar{L}_n^{\text{CFT}}}{2} |\varphi\rangle \\ &= \sum_{\varphi_\alpha: E_\alpha < E_\varphi} |\varphi_\alpha\rangle \langle \varphi_\alpha| \frac{\bar{L}_{-n}^{\text{CFT}} + L_{-n}^{\text{CFT}}}{2} |\varphi\rangle, \quad |\varphi\rangle \text{ is primary} \\ &= \sum_{\varphi_\alpha: E_\alpha < E_\varphi} |\varphi_\alpha\rangle \langle \varphi_\alpha| \frac{|\varphi'\rangle + |\varphi''\rangle}{2}, \quad |\varphi'\rangle = L_{-n}^{\text{CFT}} |\varphi\rangle, |\varphi''\rangle = \bar{L}_{-n}^{\text{CFT}} |\varphi\rangle \text{ (descendants with } E_{\varphi'} = E_{\varphi''} > E_\varphi) \\ &= 0 \end{aligned}$$

where the energies of the descendant states $|\varphi'\rangle$ and $|\varphi''\rangle$ are both equal to $E_{\varphi'} = E_{\varphi''} = \frac{2\pi}{L}(\Delta_\alpha + n - \frac{c}{12}) > E_\varphi$ (but their spins are different making them distinguishable [add reference to paper](#)).

Δ	$\log \varepsilon$	Δ	$\log \varepsilon$
0.0000	$-\infty$	2.1362	-0.69
0.1265	-32.83	2.9328	0.95
1.0097	-31.09	2.9328	1.30
1.1314	-1.00	2.9328	0.95
1.1314	-1.08	2.9328	1.01
2.0000	0.28	2.9881	1.47
2.0000	0.15	2.9881	1.47
2.0000	-1.00	2.9903	0.34
2.0000	-0.19	3.1024	-0.15
2.0976	0.70	3.1024	0.43
2.0976	0.84	3.1024	0.10

Table 1: Approximate H^{CFT} spectrum and associated primary test ε for the 22 lowest scaling dimension states at size $L = 16$

The reverse direction of this implication is established with

$$0 = \Gamma_\varphi O_n \implies 0 = \sum_{\varphi_\alpha: E_\alpha < E_\varphi} |\varphi_\alpha\rangle \langle \varphi_\alpha| \frac{L_n^{\text{CFT}} + \bar{L}_n^{\text{CFT}}}{2} |\varphi\rangle \implies |\varphi\rangle \text{ is primary}$$

where we removed the L_{-n}^{CFT} and $\bar{L}_{-n}^{\text{CFT}}$ terms because they increase the energy beyond the projector scope (this is true if $|\varphi\rangle$ is a primary or a descendant). The last implication is supported by the fact acting $L_n^{\text{CFT}}, \bar{L}_n^{\text{CFT}}$ on a descendant will lower its energy (possibly to a primary state) to the scope of the projector leading to a non-zero contribution. Only primaries escape the scope of the projector because they are mapped to zero directly by $L_n^{\text{CFT}}, \bar{L}_n^{\text{CFT}}$.

In the finite size spectrum of H , the approximate primaries can be found by numerically computing the norm $\varepsilon_\varphi^{(n)} \equiv |\Gamma_\varphi O_n |\varphi\rangle|$. This norm is zero in the limit $L \rightarrow \infty$ iff we have a primary state. For finite L , we relax the zero norm condition to a small norm condition. More precisely, we introduce a threshold ε_{max} and declare an eigenstate of H "primary candidate" iff $\varepsilon \equiv \varepsilon_\varphi^{(1)} + \varepsilon_\varphi^{(2)} \leq \varepsilon_{\text{max}}$.

- (b) Using the criterion described in (a) we can extract primary candidates for the TFIM CFT. We use a sparse exact diagonalization python code to find the 22 lowest energy states in the spectrum of H at size $L = 16$ and look for the three lowest primary states. The scaling dimension obtained after shifting and rescaling the spectrum of H (see (c)) are associated to the values of ε displayed in Table 1. We note that only the first three states meet the condition $\varepsilon \leq 10^{-12}$ and we associated the with the primary operators I (identity) σ and ϵ .
- (c) The TFIM Hamiltonian is related in the $L \rightarrow \infty$ limit to the CFT Hamiltonian by the affine transformation $H^{\text{CFT}} = aH + b$ for real constants a, b . At finite size, we can determine the values of a, b leading to an optimal agreement between the eigenvalues of the low energy states and expected scaling dimensions. We first note that the ground state $|0\rangle$ (with $H|0\rangle = E_0|0\rangle$) has scaling dimension $\Delta_I = 0$ since it corresponds to the identity I . We choose $b = -aE_0$ to shift the eigenvalue of $|0\rangle$ to 0. At this point we have $H^{\text{CFT}} \approx a(H - E_0)$. To fix a we use the fact $L_{-2}^{\text{CFT}}|0\rangle$ (resp. $\bar{L}_{-2}^{\text{CFT}}|0\rangle$) is a state $|I, 2\rangle$ (resp. $|\bar{I}, 2\rangle$) with scaling dimension $\Delta_2^I = 0 + 2$ (shifted by 2 from Δ_I). To produce an approximation of the effect of L_{-2}^{CFT} at finite size, we use O_2 and write

$$O_2|0\rangle \approx \frac{L_2^{\text{CFT}} + \bar{L}_{-2}^{\text{CFT}} + L_{-2}^{\text{CFT}} + \bar{L}_2^{\text{CFT}}}{2}|0\rangle = \frac{\bar{L}_{-2}^{\text{CFT}} + L_{-2}^{\text{CFT}}}{2}|0\rangle = \frac{|I, 2\rangle + |\bar{I}, 2\rangle}{2}.$$

Since $O_2|0\rangle$ is a superposition of two eigenstates with the same scaling dimension (and same energy), it is also an eigenstate of H . Acting H on it yields the constraint

$$(H - E_0)O_2|0\rangle \approx \frac{1}{a} \times H^{\text{CFT}}O_2|0\rangle = \frac{1}{a} \times \frac{2\Delta_2^I}{2}(O_2|0\rangle) \implies \frac{1}{a} = \frac{|(H - E_0)O_2|0\rangle|}{\Delta_2^I|O_2|0\rangle|}$$

where we chose $a > 0$ to ensure positive scaling dimensions (the spectrum is bounded from below implying the shifted spectrum is positive). This way to calculate a can be implemented numerically. We find the values $a \approx 1.2877$ and $b = 26.2741$.

- (d) The scaling dimensions extracted from the shifted and rescaled Hamiltonian (c) are presented in Table 1. For the previously identified primaries (see (b)), the scaling dimension match the analytical expectations. Explicitly, we have $\Delta_\sigma = 0.1265$ (close to the exact value $\Delta_\sigma = 1/8$) and $\Delta_\epsilon = 1.0097$ (close to the exact value $\Delta_\epsilon = 1$).

	α	β	γ	δ	η	ν
Exact	0.000	0.125	1.750	15.000	0.250	1.000
$L = 16$	-0.020	0.128	1.892	14.810	0.253	1.010
$L = 18$	-0.020	0.127	1.888	14.848	0.252	1.008

Table 2: Calculation of the approximative critical exponents of the 1+1D TFIM phase transition using the approximative scaling dimensions Δ_σ and Δ_ϵ for two finite system size $L = 16$ and $L = 18$. The exact values for these exponents are known and compared with the approximative results to show an improvement of the agreement with increasing system size. The expression in terms of primary scaling dimensions and exact values for these exponents can be found at [2].

n	$\Delta_\sigma + n$	Δ_n^σ	$\Delta_\epsilon + n$	Δ_n^ϵ
1	1.131	1.127	2.000	2.010
2	2.098	2.127	2.933	3.010

Table 3: Comparison of the scaling dimension obtained by acting the operators O_1 (resp. O_2) on the primary states $|\sigma\rangle$ and $|\epsilon\rangle$ with the scaling dimensions Δ_σ and Δ_ϵ increased by 1 (resp. 2).

- (e) Table 2 presents a comparison of the exact value of Ising critical exponents [2] of the 1+1D TFIM phase transition. We see that the calculated exponents approach the exact ones as the system size is increased.
- (f) Using the operators O_n for $n = 1, 2$ we can obtain states corresponding to descendants in the conformal towers of the primaries (I, σ, ϵ) found in (b). For I we already studied a descendant $O_2|0\rangle$ with scaling dimension Δ_2^I in (c). We note that $O_1|0\rangle$ has large finite size effects and it is not studied here. To numerically extract approximation of the scaling dimensions $\Delta_n^{I, \sigma, \epsilon}$ of the states $O_n|I, \sigma, \epsilon\rangle$, we calculate

$$\frac{|(aH + b)O_n|I, \sigma, \epsilon\rangle|}{|O_n|I, \sigma, \epsilon\rangle|} \approx \frac{|H^{\text{CFT}}O_n|I, \sigma, \epsilon\rangle|}{|O_n|I, \sigma, \epsilon\rangle|} = \Delta_n^{I, \sigma, \epsilon}.$$

The numerical results of this method are presented in Table 3. Comparing the obtained scaling dimensions with manual integer shifts expected for descendants, we observe deviations of the order of 0.1 due to finite size effects.

- (g) We finally approximate the central charge c of the 1+1D Ising CFT with the finite size operators. As stated in [1], $c \approx 2\langle I|H_2^\dagger H_2|I\rangle$ (here H_2 differs from the expression given above. It is associated to the rescaled and shifted Hamiltonian. Since only the $n = 0$ Fourier mode features dependence on the constant shift b , the $n = 2$ mode corresponding to the adjusted spectrum is obtained by applying the a rescaling). By combining the approximate central charge obtained numerically for different system size, we can extrapolate to $L \rightarrow \infty$ and compare with the value $c = 1/2$ expected for the 1+1D Ising CFT.

2 Acknowledgement

References

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