ACCOUNT OPENING FORM



- 4 To acquire by subscription, purchase or otherwise, any Securities, to sell, transfer, redeem, exchange, endorse or deliver any Securities now standing in the Client's name or to be hereafter acquired and to sign and execute all transfer deeds, forms, demat instructions, applications or such other instruments, documents and papers as may be necessary for the purpose of acquiring or transferring the securities in the Client's name, selling the Securities held by the Client in its name.
- 5. To make applications for or to renounce and sign application and/or renunciation forms in respect of the Securities offered on rights, additional, preferential or other basis and to receive and hold such Securities.
- 6. To make application(s) to companies or corporate bodies for splitting, consolidation, exemption, conversion of the Securities.
- 7. To give or join in giving notice for calling an extraordinary general meeting of any company on requisition in accordance with Section 100 and/or any other applicable provisions of the Companies Act, 2013 and to attend any general meeting of any company on requisition in accordance with Section 100 and/or any other applicable provisions of the Companies Act, 2013 or any other laws.
- 8. To attend, vote, represent or otherwise act as the attorney or proxy at meetings of the members, shareholders, creditors, debenture holders of any company or body corporate in which shares, debentures, or deposit are acquired or held on behalf of the Client pursuant to the Investment Management Agreement
- To open and to operate a safe custody or a Depository account or to keep in safe custody the Securities acquired on behalf of the Client. 9.
- 10. To hold the Securities in physical form on behalf of the Client, where relevant.
- 11. To purchase or sell Securities in aggregate to achieve economies of scale and to then inter-se allocate such Securities amongst clients on a pro-rata basis and at the weighted average price of the day's transactions where applicable.
- To collect and receive all interest and dividends due on all or any Securities and to represent for payment and collect the amount payable upon 12. Securities which may mature or be called, redeemed or retired or otherwise become payable; and to take all necessary actions including signing of all necessary applications and other documents.
- To deposit the monies with the Bank (as defined in the Investment Management Agreement) and to deposit all interest, dividends, profits and all amounts received upon Securities by way of redemption, sale or otherwise in a pool account opened by the Portfolio Manager with the Bank and to make such debits in the said account as may be necessary and in particular for subscription, purchase or acquisition of Securities, for making payments to broker/issuers for the same and also for the service charges for all types of services to be provided to the Client by the Portfolio Manager and the Service Providers (as defined in the Investment Management Agreement).
- To demand, sue for, recover, receive and give good effectual receipt(s) and discharge(s) for all and Securities, certificates in respect thereof, 14. dividends, interest, bonuses or any other sum(s) and/or income accruing from the Securities, debentures, units, deposits and other investments and to sign and endorse pay orders, dividends or interest warrants or certificates, which are now or at any time may be due or payable and belong to the
- 15. To make, verify, sign, execute and present on behalf of myself/ourselves the plaints, vakalatnamas, appeals, affidavits or statements, petitions or probate petitions or any other paper as may be expedient in the opinion of the Portfolio Manager to be made, signed, executed, presented or filed.
- 16. To commence, prosecute, enforce, defend, answer or oppose any suit or other legal proceedings to be filed, instituted or commenced in connection with any or all matters in which I/we may be or may hereafter be interested.
- 17. To rectify any defect in the institution of the suit or other action in Court or defending any action in Court, if necessary by making such amendments with the permission of the Court and to do such lawful acts, deeds and things required to cure any suit or appeal or revision now pending and arising out of any suit or proceeding which have since been since disposed of.
- 18. In all matters relating to the Securities, to commence or defend, carry on, prosecute or compromise any action, suit, petition, arbitration or other legal proceeding for recovering payment, transfer or delivery thereof, and for that purpose to sign, verify, declare or affirm all plaints, petitions, written statements, affidavits and applications and to engage solicitors and advocates and to settle and pay their fee' by debiting the client's account.
- 19. To appoint any other agent or sub-agent and to delegate all or any of the powers given herein to such a person, generally and to do and perform and execute all such other acts, deeds, instruments, matters and things for and on behalf of me/us as may be necessary, proper, convenient or expedient to be done and in and about the premises as fully and effectually to all intents and purposes as I myself/ourselves could do if personally present and I/we ratify and confirm and agree and undertake to ratify and confirm whatsoever the Portfolio Manager shall lawfully do or cause to be done by virtue of these presents and to appoint and employ agents, including Chartered Accountants, advocates, or other legal practitioners, overseers, receivers or other persons at such remuneration by way of fees, salary, commission or otherwise as the Portfolio Manager may think proper and to dismiss and discharge them from time to time and to employ or appoint any other in their stead.
- 20. To appoint any investment advisor, custodians, agents, representative, banks, Chartered Accountants, service provider or other person ("Service Providers") as the Portfolio Manager may deem fit from time to time to perform any of the functions which the Portfolio Manager is empowered / obligated to perform and to delegate to such persons the authorities / power to perform any of the functions to be performed by the Portfolio Manager and provide such instructions as the Portfolio Manager may deem fit from time to time to enable such performance.
- To appoint and issue such instructions to any auditors for the purpose of auditing my/our accounts.