

# Carbon dioxide photolysis from 150 to 210 nm: Singlet and triplet channel dynamics, UV-spectrum, and isotope effects

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We present a first principles study of the carbon dioxide (CO<sub>2</sub>) photodissociation process in the 150- to 210-nm wavelength range, with emphasis on photolysis below the carbon monoxide + O(<sup>1</sup>D) singlet channel threshold at ~167 nm. The calculations reproduce experimental absorption cross-sections at a resolution of ~0.5 nm without scaling the intensity. The observed structure in the 150- to 210-nm range is caused by excitation of bending motion supported by the deep wells at bent geometries in the 2<sup>1</sup>A' and 1<sup>1</sup>A'' potential energy surfaces. Predissociation below the singlet channel threshold occurs via spin-orbit coupling to nearby repulsive triplet states. Carbon monoxide vibrational and rotational state distributions in the singlet channel as well as the triplet channel for excitation at 157 nm satisfactorily reproduce experimental data. The cross-sections of individual CO<sub>2</sub> isotopologues (<sup>12</sup>C<sup>16</sup>O<sub>2</sub>, <sup>12</sup>C<sup>17</sup>O<sup>16</sup>O, <sup>12</sup>C<sup>18</sup>O<sup>16</sup>O, <sup>13</sup>C<sup>16</sup>O<sub>2</sub>, and <sup>13</sup>C<sup>18</sup>O<sup>16</sup>O) are calculated, demonstrating that strong isotopic fractionation will occur as a function of wavelength. The calculations provide accurate, detailed insight into CO<sub>2</sub> photoabsorption and dissociation dynamics, and greatly extend knowledge of the temperature dependence of the cross-section to cover the range from 0 to 400 K that is useful for calculations of propagation of stellar light in planetary atmospheres. The model is also relevant for the interpretation of laboratory experiments on mass-independent isotopic fractionation. Finally, the model shows that the mass-independent fractionation observed in a series of Hg lamp experiments is not a result of hyperfine interactions making predissociation of <sup>17</sup>O containing CO<sub>2</sub> more efficient.

mass-independent fractionation | photodissociation dynamics | fine interaction | magnetic isotope effect | Mars

Carbon dioxide (CO<sub>2</sub>) is the main component of the atmospheres of Mars, Venus, and the Hadean Earth (1). Its photoabsorption screens solar UV light, determining altitude-dependent photolysis rates, and its concentrations and infrared absorptions make it a powerful greenhouse gas. CO<sub>2</sub> photodissociation is the basis of these atmospheres' photochemistry and is the primary source of carbon monoxide (CO) and O<sub>2</sub>. Although the initially high concentration of CO<sub>2</sub> during Earth's Hadean era decreased as carbonate rocks accumulated, CO<sub>2</sub> continued to be a prominent atmospheric gas, enhancing surface temperature and attenuating UV light, with a partial pressure >10 mbar in the Archean (2) and >1 mbar in the Proterozoic (3). Its influence on the radiative properties and chemical composition of Earth's atmosphere has continued to the present day; one example is that CO<sub>2</sub> photolysis is the main source of mesospheric CO (4). Variations in the abundances of naturally occurring stable isotopes, including reaction mechanisms exhibiting mass-independent fractionation, are central to efforts to interpret environmental records ranging from sedimentary rocks to oceanic carbonates to glacial ice (5). Thus, CO<sub>2</sub> photolysis is both directly and indirectly linked to isotopic variations found in the environment.

The UV absorption band of CO<sub>2</sub> (120 nm < λ < 210 nm) consists of two broad, strongly overlapping bands peaking around 145 nm and 133 nm, respectively (6). Both exhibit pronounced

vibrational structures; those overlaid on the first band are complex, whereas those on the second are more regular. The triplet, CO(<sup>1</sup>Σ<sup>+</sup>) + O(<sup>3</sup>P), and singlet, CO(<sup>1</sup>Σ<sup>+</sup>) + O(<sup>1</sup>D), dissociation channels open at 227.5 nm and 167.2 nm, respectively. Because of the very small actinic flux below λ < 167 nm, atmospheric photolysis of CO<sub>2</sub> occurs almost exclusively via the triplet channel except at very high altitudes.

The electronic structure of CO<sub>2</sub> has been the subject of several theoretical studies (7–9). The first quantum dynamics analysis of the UV photoabsorption of CO<sub>2</sub> was provided only recently by Grebenshchikov (10). This work determined 3D potential energy surfaces (PESs) of six singlet states and calculated the absorption cross-section starting near the singlet channel threshold. In contrast, in the present study, we focus on the wavelengths longer than 167 nm, which are important for most atmospheric applications.

CO<sub>2</sub> is a linear molecule with 16 valence electrons, properties common to two other key terrestrial trace gases: nitrous oxide (N<sub>2</sub>O) and carbonyl sulfide (OCS)(6). We have recently completed first principle computations of the UV absorption spectra of these two molecules using accurate PESs, the transition dipole moment (TDM) functions coupling them with the ground state, and the quantum mechanical wave packet methodology (11–16). Our calculations do an excellent job of reproducing available experimental data, including the OCS isotopologue absorption spectra (17, 18) and the temperature- and isotopologue-dependent N<sub>2</sub>O cross-sections (14, 19).

The wave packet methodology extracts a wealth of information from the potential energy and transition dipole surfaces, including vibrational frequencies; temperature- and isotopologue-dependent absorption spectra; and detailed descriptions of the photodissociation dynamics, including product quantum state, and velocity and angular distributions (20). In practice, the method is limited mainly by the quality of the surfaces. Using the method we have built and tested on N<sub>2</sub>O and OCS, we are able to present a similar analysis of the CO<sub>2</sub> UV absorption and dissociation from the onset of the UV spectrum (>210 nm) to ca. 150 nm. Our calculations reproduce a variety of existing experimental data; therefore, we can report reliable absorption cross-sections for a set of isotopologues and for a wide range of temperatures by changing the isotopic masses and/or the populations of vibrational states.

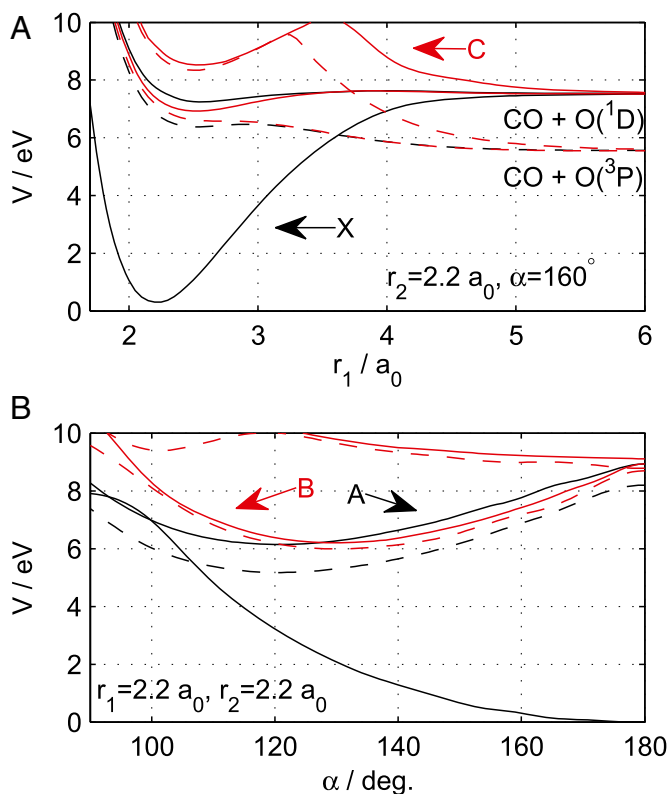
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coordinate grids around the FC region (*SI Text*). The transition to the A state is dominant because  $\mu_A$  is the largest (Fig. S4). It lies in the plane of the molecule and has two components,  $\mu_A^y$  and  $\mu_A^z$ . The B state TDM,  $\mu_B$ , has a single component perpendicular to the molecular plane. The  $\mu_A^z$  increases rapidly as the molecule bends, whereas  $\mu_A^y$  and  $\mu_B$  increase along the asymmetrical stretch coordinate and have similar values. The C state TDM is very small compared with  $\mu_A$  and  $\mu_B$ ; therefore, excitation of the C state has been neglected in the calculations.

There is an additional complication. The X and A states belong to different irreducible representations in the  $C_{2v}$  configuration; therefore, their PESs are allowed to cross along the  $r_1=r_2$  symmetry line [conical intersection (CI)]. This happens around  $\alpha \approx 100^\circ$ , as seen in Fig. 1B and Fig. S1. When  $r_1 \neq r_2$ , the two states belong to the same irreducible representation ( $C_s$ ) and the potentials form an avoided crossing. In the vicinity of the CI, states A and X are coupled through a NACME and the manifolds of vibrational states belonging to either A or X are mixed. The low-energy part of the measured absorption spectrum reflects this mixing in the form of irregular subnanometer structures.

Below the singlet channel threshold ( $\approx 7.75$  eV), dissociation from X, A, or B is only possible via coupling to the repulsive triplet state a, b, or c. Spin-orbit (SO) coupling splits each (zero-order) triplet state into three components with  $m_s = 0, \pm 1$ . The SO coupling elements between the singlet and triplet states have been calculated as described for  $N_2O$  (16) (*SI Text*), and the elements involving A and B are shown in Figs. S5 and S6. Most important is the coupling between A on one hand and  $b_0$  and  $c_0$  on the other; the

corresponding SO elements exceed 0.01 eV in the vicinity of the intersection seams, which are indicated in Fig. 2B.

### Absorption Cross-Section

Temperature-dependent absorption cross-sections were calculated for states A and B separately, using wave packet propagation (*Materials and Methods*). The total cross-section is the sum of  $\sigma_A$  and  $\sigma_B$ . The cross-section for state C was neglected in the analysis because of the very small TDM. Fig. 3 shows the calculated absorption cross-section, shifted by  $100 \text{ cm}^{-1}$  to lower energies, at different temperatures in comparison to experimental data. Similar shifts were applied in our studies of  $N_2O$  (13) and  $OCS$  (12), and are necessary due to small inaccuracies in the calculated electronic excitation energies. We emphasize that the intensity of the calculated cross-section was not scaled, as was done for other molecules [e.g.,  $OCS$  (12) and  $N_2O$  (13)]. Fig. 3A shows an overview from 140 to 170 nm. The underestimation below 150 nm is due to the neglect of higher electronic states [i.e., the more intense 133-nm band (10)]. Above 150 nm, the agreement with the measured cross-sections is very good even at the longest wavelengths, where the cross-section has fallen by about six orders of magnitude. The temperature dependence is also reproduced. Karaiskou et al.'s data (26), shown in Fig. 3D, have orders of magnitude higher resolution, so they can only be used to show trends.

At very long wavelengths, excitation of the B state is dominant, whereas at shorter wavelengths  $\sigma_A$  predominates. The ratio  $\sigma_A/\sigma_B$  is about 9 at 150 nm; the crossover occurs at around 200 nm. Excitation of vibrationally excited states of X plays a significant role in the studied region. At 170 K, for example, the  $\sigma_{(010)}/\sigma_{(000)}$  ratio is  $\sim 0.1$  at 166 nm and  $\sim 0.2$  at 180 nm; at 300 K, these ratios increase to  $\sim 0.5$  and  $\sim 1$ , respectively. This behavior is driven by the slope of the transition dipole surfaces (Fig. S4).

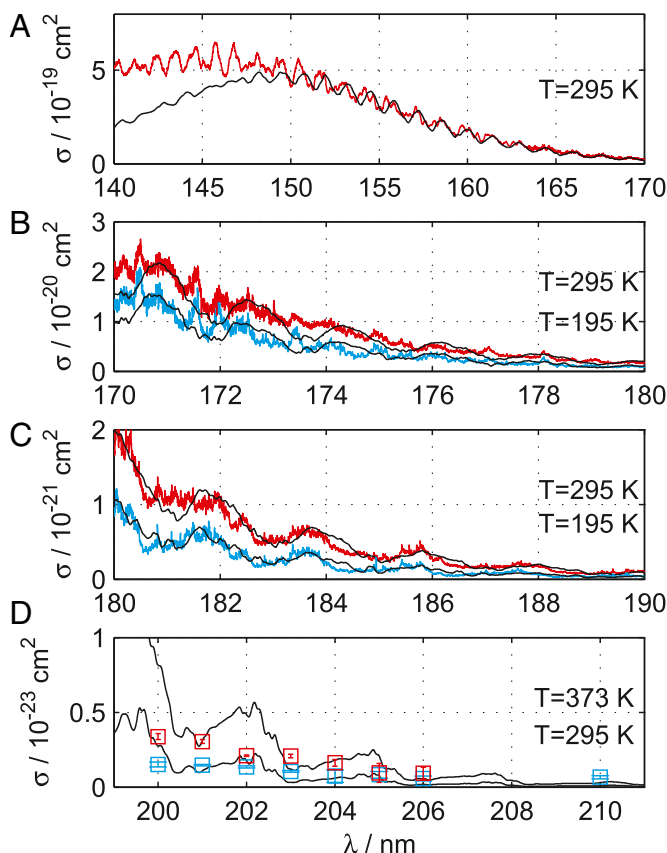
The theoretical and experimental cross-sections show undulations whose positions and amplitudes are largely reproduced by the calculation. The spacing is about  $650 \text{ cm}^{-1}$  at 200 nm and  $520 \text{ cm}^{-1}$  at 150 nm. The undulations correspond to a clear recurrence of the (0,0,0) autocorrelation function with a period of  $\approx 60$  fs. As for  $OCS$  (12), the structure mainly arises from bending motion in the deep wells of  $V_A$  and  $V_B$  at bent geometries, above and below the singlet channel threshold.

Superimposed on the undulations, the calculated spectrum, and, to a lesser extent, the measured spectrum exhibit additional structure (Fig. 3B–D) that arises from specific highly excited stretching/bending states of A and B. Moreover, the experimental spectra show details finer than the calculated spectra (Fig. 3C). These structures probably represent vibrational states in the dense quasicontinuum of the X state, which is coupled to the A state as described above. Because the X state is not included in the model, the calculated spectrum does not show these fine irregular spectral features.

Full reproduction of the experimental spectrum in the long-wavelength region would require prohibitively high accuracy for all PESs and coupling surfaces. In this work, we focus on reproducing the low-resolution undulations, the general increase with photon energy, and the magnitude of the absorption cross-section. This allows us to calculate cross-sections and fractionation spectra for all isotopologues and for temperatures from 0 to 400 K, with sufficient accuracy to derive reliable loss rates and isotopic fractionation for broadband photolysis in planetary atmospheres (*SI Text*, *Datasets S1–S6*). However, because of the resolution limit, the results cannot be directly applied to the question of self-shielding.

### Product State Distributions for Excitation at 157 nm

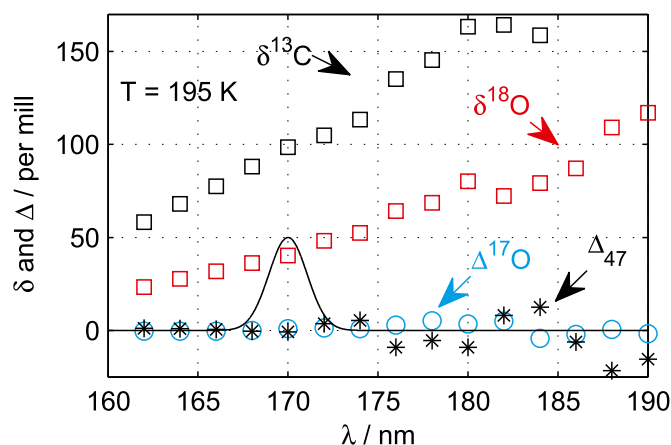
A separate model was constructed explicitly considering the SO coupling of the A state to the  $b_0$  and  $c_0$  triplet states (*Materials and Methods*). This model was used to calculate the  $O(^3P)/O(^1D)$  branching ratio, as well as the rotational ( $j$ ) and vibrational ( $v$ ) distributions of product CO in the singlet and triplet channels for



**Fig. 3.** Comparison of calculated (black lines) and measured (red and blue lines and symbols) absorption cross-sections in different wavelength regions and for several temperatures. The experimental data in A–C are from Yoshino and colleagues (24, 25) ([www.cfa.harvard.edu/amp/ampdata/co296/co2.html](http://www.cfa.harvard.edu/amp/ampdata/co296/co2.html)), and the single-wavelength results in D are from Karaiskou et al. (26). T, temperature.







**Fig. 5.** Simulated  $\delta^{13}\text{C}$ ,  $\delta^{18}\text{O}$ ,  $\Delta^{17}\text{O}$ , and  $\Delta_{47}$  of the remaining  $\text{CO}_2$  for photolysis using a Gaussian lamp spectrum with a FWHM of 2.5 nm and a moving center at  $\lambda$ . The full black line shows an example of the lamp spectrum at  $\lambda = 170$  nm. The photolytic yield was set to 50%.

spin ( $^{16}\text{O}$  and  $^{18}\text{O}$  are spin 0, whereas  $^{17}\text{O}$  is spin 5/2). The hypothesis is that that  $\text{CO}_2$  containing one or more  $^{17}\text{O}$  nuclei predissociates more efficiently (i.e., faster) than other  $\text{CO}_2$  isotopologues, which therefore have a higher probability of being quenched radiatively or through collisions. Our results contradict this interpretation because it would require SO-induced predissociation onto the triplet surfaces to be inefficient; otherwise, SO coupling (fine interaction) would completely overshadow any predissociation induced effects sensitive to the nuclear spin (hyperfine interaction). However, in the case of  $\text{CO}_2$ , the fine interactions are strong (e.g., Figs. S5 and S6) and give rise to fast predissociation on a time scale of several picoseconds. Our assertion, that hyperfine interactions are not causing the observed fractionation pattern, is further supported by the observed photolysis quantum yield of unity at 184.9 nm (35) for  $\text{CO}_2$ , which leaves no room for a preferential predissociation of  $^{17}\text{O}$ -substituted  $\text{CO}_2$ . The experimental results (32–34) can be explained if the width of the Hg emission is narrower than indicated, with structure on a subnanometer scale. The experimental observations may arise from resonance between the narrowly structured emission lines of the lamp and the isotopologue-dependent fine structure of the  $\text{CO}_2$  cross-section. This would also explain the very large variation in the isotopic fractionation with temperature (34), because relatively small differences in temperature lead to significant differences in the population of the rotational states, which can have a large effect on the fine structure of the cross-sections. High-resolution measurements of the isotopologue-specific cross-sections of  $\text{CO}_2$  similar to studies performed for  $\text{SO}_2$  (36, 37) are needed to fully unravel isotopic fractionation fully on a subnanometer scale.

## Materials and Methods

Potential energy energy surfaces for the  $1-3^1A'$ ,  $1-3^1A''$ ,  $1-3^3A'$ , and  $1-3^3A''$  electronic states were constructed using the MRCI+Q method (21, 22) and Dunning's aug-cc-pVQZ (38) orbital basis set. Further details are given in SI Text.

Absorption cross-sections for different isotopologues of  $\text{CO}_2$  were calculated using time-dependent quantum mechanical methodology (20, 39). Wave packets were propagated on the uncoupled A and B excited state PESs, and the cross-sections were obtained by Fourier-transforming the autocorrelation functions. The calculations were performed in terms of the Jacobi coordinates  $R$  (distance from one O atom to the center-of-mass of the product CO),  $r$  (bond length of the product CO molecule), and  $\gamma$  (angle between  $R$  and  $r$ ). The initial wave packets were defined as the product of a vibrational state of the X state and the modulus of the A or B state TDM.

The wave packets were propagated in time using a Chebychev polynomial expansion of the time evolution operator (40). The action of the Hamiltonian on the wave packet was evaluated using the pseudospectral scheme of Le Quéré and Leforestier (41). The Fourier method (42) was used to evaluate the action of the radial kinetic energy operators, whereas the action of the angular kinetic energy operator was evaluated by transforming between a grid representation and a basis set representation consisting of associated Legendre polynomials,  $\{Y_l^m\}$ , with  $m=0, 1, 2$ , or 3 depending on the initial vibrational state. The lowest nine vibrational states were considered for the calculation of thermal cross-sections. The dynamics calculations were carried out using the Wavepacket program package (43).

All quantum dynamics calculations were performed with a total angular momentum  $J=0$ . This is an approximation and does not satisfy the selection rules for dipole excitation. A rigorous treatment with  $J \neq 0$  is a formidable computational task, which, if the spectrum does not show rotational resolution, merely leads to some, usually less interesting, broadening. All our calculations for  $\text{N}_2\text{O}$  and  $\text{OCS}$  used the  $J=0$  approximation, and very good agreement with the measured spectra was achieved. In the present study, rotational effects were approximately accounted for by level-shifting the cross-sections using a linear rigid rotor-guided approach and the selection rule  $\Delta J = \pm 1$ . This effectively led to a temperature-dependent broadening of the narrow lines. The bands are also broadened by coupling of all singlet states to the repulsive triplet states; however, this effect is overshadowed by the rotational broadening. Nonadiabatic coupling between the A and X states causes mixing of the vibrational states of A with the quasicontinuum of X, which leads to additional strong broadening. This effect was empirically accounted for by averaging the A state cross-sections with a Gaussian with a FWHM of  $250\text{ cm}^{-1}$ . Calculated absorption cross-sections for five isotopologues and temperatures from 120 to 395 K are available in SI Text (Datasets S1–S6).

When calculating the product state distributions and the singlet/triplet branching ratio, the SO coupling of the A state to the  $b_0$  and  $c_0$  triplet states was explicitly taken into account (i.e., three coupled wave packets were propagated simultaneously). These calculations were performed on a larger grid extending further along  $R$  than used in the calculations for the spectra. The methodology used was similar to that described by Schinke et al. (16) for  $\text{N}_2\text{O}$ .

The wavelength-dependent fractionation constants were defined as  $\epsilon_i = \sigma_i/\sigma - 1$  ( $i=17, 18$ , and 13), where  $\sigma$ ,  $\sigma_{17}$ ,  $\sigma_{18}$ , and  $\sigma_{13}$  are the cross-sections for  $^{12}\text{C}^{16}\text{O}_2$ ,  $^{12}\text{C}^{17}\text{O}^{16}\text{O}$ ,  $^{12}\text{C}^{18}\text{O}^{16}\text{O}$ , and  $^{13}\text{C}^{16}\text{O}_2$ , respectively. The simulation in Fig. 4C was carried out as follows: The rate of photolysis for all relevant isotopologues was calculated for different values of  $\lambda$  (the center of the lamp spectrum) as

$$j_i = \int \sigma_i(\lambda') \exp[-\alpha(\lambda' - \lambda)^2] d\lambda'. \quad [1]$$

The FWHM of the lamp spectrum was set to 2.5 nm. The photolysis yield was 50% [i.e.,  $[^{12}\text{C}^{16}\text{O}_2]_t/[^{12}\text{C}^{16}\text{O}_2]_0 = \exp(-j_{16}t) = 0.5$ ]. The initial isotopic composition of  $\text{CO}_2$  was assumed to be stochastic, and the oxygen and carbon isotope ratios were set to those of Vienna Standard Mean Ocean Water and Vienna Pee Dee Belemnite (i.e.,  $[^{17}\text{O}]/[^{16}\text{O}] = 0.03799\%$ ,  $[^{18}\text{O}]/[^{16}\text{O}] = 0.2005\%$ , and  $[^{13}\text{C}]/[^{12}\text{C}] = 1.079\%$ ). The  $\delta$  and  $\Delta$  values were calculated as

$$\delta^{18}\text{O} = \frac{R^{18}}{R_{\text{VSMOW}}^{18}} - 1, \quad [2]$$

etc.,

$$\Delta^{17}\text{O} = \delta^{17}\text{O} - 0.516 \times \delta^{18}\text{O}, \quad [3]$$

and

$$\Delta_{47} = \left( \frac{R^{47}}{R_{\text{stoc}}^{47}} - 1 \right) - \left( \frac{R^{46}}{R_{\text{stoc}}^{46}} - 1 \right) - \left( \frac{R^{45}}{R_{\text{stoc}}^{45}} - 1 \right), \quad [4]$$

where  $R^{47}$  is the mass 47-to-mass 44 ratio of  $\text{CO}_2$  and  $R_{\text{stoc}}^{47}$  is the same ratio if the O and C isotopes are distributed stochastically (44). Only the dominant isotopologues,  $^{12}\text{C}^{16}\text{O}_2$ ,  $^{13}\text{C}^{16}\text{O}_2$ ,  $^{12}\text{C}^{18}\text{O}^{16}\text{O}$ , and  $^{13}\text{C}^{18}\text{O}^{16}\text{O}$ , were considered when calculating  $\Delta_{47}$ .

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