

# **Common Data Model (CDM) Specification, Version 5.0**

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### **Important Links and References**

The PCORnet CDM documentation can be accessed online at: <a href="https://pcornet.org/data-driven-common-model/">https://pcornet.org/data-driven-common-model/</a>

**Note to programmers**: The separate "CDM parseable file" is more helpful for direct use in implementation, and contains the complete table specifications. All documentation is available here: <a href="https://pcornet.org/data-driven-common-model/">https://pcornet.org/data-driven-common-model/</a>

View useful tools for the CDM, such as the CDM-ERRATA and CDM-GUIDANCE issue trackers, on the PCORnet GitHub CDM Forum: <a href="https://github.com/CDMFORUM">https://github.com/CDMFORUM</a>
A lay guide and glossary of terms for this document and can be accessed online at: <a href="http://www.pcornet.org/pcornet-common-data-model/">https://github.com/CDMFORUM</a>

For more information about PCORnet, please visit <a href="http://www.pcornet.org/">http://www.pcornet.org/</a>

The CDM specifications for version 3.1 and above incorporate the Implementation Guidance that has been developed for PCORnet. The Implementation Guidance is intended to help reduce the variability in how network partners populate their CDM datamarts. It provides recommendations and preferred approaches when there are multiple interpretations of the CDM specification or if there is unexpected complexity in a partner's source data. The Implementation Guidance is intended to be a living document, and as such, will be updated more frequently than the CDM specification itself.

To accommodate the addition of this material, the CDM page size has been increased from US Letter to US Legal (8.50"x14"). For best results when printing, use Legal-size paper.

## 2. Overview of the PCORnet Common Data Model (CDM)

#### 2.1. License and Use

This work is licensed under the Creative Commons Attribution 4.0 International License, and is provided on an "as is" basis without warranties or conditions of any kind. To view a copy of this license, visit <a href="http://creativecommons.org/licenses/by/4.0/">http://creativecommons.org/licenses/by/4.0/</a>.

The PCORnet Distributed Research Network (DRN) operations center and infrastructure, including the Common Data Model (CDM), is led by the PCORnet Coordinating Center and overseen by the governance established by PCORnet's stakeholders.

The PCORnet CDM was originally based on the Mini-Sentinel Common Data Model v4.0 (MSCDM v4.0; <a href="www.mini-sentinel.org">www.mini-sentinel.org</a>) and has been informed by other distributed initiatives such as the HMO Research Network, the Vaccine Safety Datalink, various AHRQ Distributed Research Network projects, and the ONC Standards & Interoperability Framework Query Health Initiative. The PCORnet CDM is positioned within healthcare standard terminologies (including ICD, SNOMED, CPT, HCPCS, and LOINC®) to enable interoperability with and responsiveness to evolving data standards.

This material contains content from LOINC® (http://loinc.org). The LOINC Table, LOINC Table Core, LOINC Panels and Forms File, LOINC Answer File, LOINC Part File, LOINC Group File, LOINC Document Ontology File, LOINC Hierarchies, LOINC Linguistic Variants File, LOINC/RSNA Radiology Playbook, and LOINC/IEEE Medical Device Code Mapping Table are copyright © 1995-2017, Regenstrief Institute, Inc. and the Logical Observation Identifiers Names and Codes (LOINC) Committee and is available at no cost under the license at http://loinc.org/license. (Updated in v4.0.)

#### 2.2. Introduction

### What is the CDM?

The PCORnet Common Data Model (CDM) is a **specification** that defines a **standard organization** and **representation** of data for the PCORnet Distributed Research Network.

The PCORnet CDM is a key component of the PCORnet Distributed Research Network (DRN) infrastructure. PCORnet developed the PCORnet DRN to be a "...functional distributed research network that facilitates multi-site patient—centered research across the Clinical Research Networks (CRNs) and other interested contributors. The distributed network will enable the conduct of observational research and clinical trials while allowing each participating organization to maintain physical and operational control over its data." [Data Standards, Security, and Network Infrastructure Task Force (DSSNI charter), 2014]

For more details of CDM development, additional references include:

• CDM abstracts presented at scientific conferences: <a href="https://github.com/CDMFORUM/CDM-GUIDANCE/wiki/CDM-related-Abstracts">https://github.com/CDMFORUM/CDM-GUIDANCE/wiki/CDM-related-Abstracts</a>

## 2.3. History of Releases and Modifications

Note on version conventions: Major releases are denoted in whole number increments (e.g., v1.0, v2.0, v3.0). Minor releases are denoted with decimal increments (e.g., v1.1, v1.2) and will be used for bug fixes and minor adjustments.

Reference	Reference Table: History of Releases								
Version	Date of Release	Description of Release							
v1.0	2014-05-30	The DSSNI Task Force thanks the many individuals who provided thoughtful feedback, comments, and suggestions for this first release of the PCORnet CDM. Special thanks to members of the task force who volunteered to serve on the CDM working group.							
v2.0	2015-02-27	The v2.0 release includes:  • Four new tables (DISPENSING, CONDITION, PRO_CM, LAB_RESULT_CM)  • Four new fields in existing tables (VITAL.TOBACCO, VITAL.TOBACCO_TYPE, PROCEDURE.PX_TYPE, PROCEDURE.PX_SOURCE)  • Additional guidance and descriptions							
v3.0	2015-06-01	<ul> <li>The v3.0 release includes:</li> <li>Five new tables (PRESCRIBING, PCORNET_TRIAL, DEATH, DEATH_CAUSE, and HARVEST)</li> <li>Ten new fields in existing tables (DISPENSING.DISPENSINGID, DISPENSING.PRESCRIBINGID, VITAL.VITALID, VITAL.SMOKING, CONDITION.CONDITIONID, CONDITION.ONSET_DATE, PRO_CM.PRO_CM_ID, DIAGNOSIS.DIAGNOSISD, PROCEDURES.PROCEDURESID, LAB_RESULT_CM.LAB_RESULT_CM_ID)</li> <li>Modification to relational integrity specifications</li> <li>Modification to date formatting practices</li> <li>New specifications specific to SAS data types</li> <li>Additional guidance, clarifications, and descriptions</li> </ul>							
v3.0 v3.1	2015-07-29 2016-11-15	Document updated with licensing information and new PCORnet.org URL. No technical specifications have been modified.  Please note: New and modified fields are indicated in green to assist with visually scanning the document (in addition to the descriptive comments). The v3.1 release includes:  • Four new fields (DEMOGRAPHIC.SEXUAL_ORIENTATION, DEMOGRAPHIC.GENDER_IDENTITY, DIAGNOSIS.DX_ORIGIN, PRESCRIBING.RX_QUANTITY_UNIT)  • Encounter types value set expanded to include observation stays and institutional professional consults  • Collapsed value set of procedure terminologies so that CPT and HCPCS are grouped into single category  • Clarified expected number of digits for RDBMS number formatting							
		<ul> <li>Clarified expected number of digits for RDBMS number formatting</li> <li>Date of death no longer a required field for DEATH table</li> <li>Enrollment table basis now includes drug coverage</li> </ul>							

Referenc	eference Table: History of Releases					
Version	Date of Release	Description of Release				
V4.0	2018-01-02	The v4.0 release includes:  Four new tables (PROVIDER, OBS_CLIN, OBS_GEN, MED_ADMIN)  Thirty-two new fidels in existing tables (PAT_PREF_LANGUAGE_SPOKEN, PAYER_TYPE_PRIMARY, PAYER_TYPE_SECONDARY, FACILITY_TYPE, DX_POA, PPX, DISPENSE_DOSE_DISP_DISPENSE_DOSE_DISP_UNIT, DISPENSE_ROUTE, RESULT_SNOMED, PRO_TYPE, PRO_TIEM_LOINC, PRO_TIEM_NAME, PRO_RESPONSE_TEXT, PRO_TIEM_VERSION, PRO_MEASURE_SCALED_TSCORE, PRO_MEASURE_SCALED_TSCORE, PRO_MEASURE_SCALED_TSCORE, PRO_MEASURE_SCALED_TSCORE, PRO_MEASURE_THETA, PRO_TIEM_FULLNAME, PRO				

Reference	eference Table: History of Releases							
Version	Date of Release	Description of Release						
V4.1	The v4.1 release includes:  Field length updates for PAYER_TYPE_PRIMARY and PAYER_TYPE_SECONDARY.  Foreign key updates for DISPENSING.PATID, PCORNET_TRIAL.PATID and DEATH.PATID.  Typo correction for MEDADMIN_MEDADMIN_PROVIDERID.  Renamed MEDADMIN_END_DATE_MGMT to MEDADMIN_STOP_DATE_MGMT.  Update to description of PRO_MEASURE_FULLNAME.  Various updates to the Implementation Guidance.  Updates to entries of the FACILITY_TYPE, ROUTE and PAYER_TYPE value sets included in the Value Set Appendix.  Removal of the DISPENSE_FORM value set from the Value Set Appendix.							
V5.0	2019-07-16	Please note: New or modified material is indicated in green to assist with visually scanning the document (in addition to the descriptive comments).  The v5.0 release includes:  Three new tables: HASH_TOKEN, LDS_ADDRESS_HISTORY, IMMUNIZATION.  Modifications to field length and definition of ENCOUNTER.FACILITY_LOCATION.  Modifications to value sets for six fields (CONDITION_TYPE, CONDITION_SOURCE, OBSGEN_TYPE, VITAL_SOURCE, DEATH_SOURCE, DEATH_CAUSE_SOURCE)  Seven new fields in existing tables (DX_DATE, DISPENSE_SOURCE, LAB_RESULT_SOURCE, LAB_LOINC_SOURCE, PRO_SOURCE, OBSCLIN_SOURCE, OBSGEN_SOURCE)  Three new PRIVATE tables: PRIVATE_DEMOGRAPHIC, PRIVATE_ADDRESS_HISTORY, PRIVATE_ADDRESS_GEOCODE  Various updates to the Implementation Guidance						

### PCORnet Common Data Model v5.0

New to v5.0

#### DEMOGRAPHIC

**PATID** ETC...

PAT PREF LANGUAGE SPOKEN

#### ENCOUNTER

**ENCOUNTERID** 

PATID ADMIT\_DATE

ENC TYPE ETC...

PAYER TYPE PRIMARY PAYER\_TYPE\_SECONDARY

FACILITY TYPE

#### DIAGNOSIS

DIAGNOSISID

**PATID** DX

DX\_TYPE

DX SOURCE DX DATE

ETC... DX\_POA

#### PROCEDURES

PROCEDURESID

PATID

PX

PX\_TYPE ETC...

PPX

#### CONDITION

CONDITIONID PATID

CONDITION

CONDITION\_TYPE CONDITION\_SOURCE

ETC...

#### LAB RESULT CM

LAB RESULT CM ID PATID

RESULT DATE

LAB\_RESULT\_SOURCE LAB LOINC SOURCE

ETC...

RESULT\_SNOMED

#### PRESCRIBING

PRESCRIBINGID

PATID

ETC...

RX\_DOSE\_ORDERED RX\_DOSE\_ORDERED\_UNIT

RX\_ROUTE

RX\_SOURCE

RX\_DISPENSE\_AS\_WRITTEN

RX PRN FLAG

#### DISPENSING

DISPENSINGID

**PATID** 

DISPENSE\_DATE

NDC

DISPENSE SOURCE

ETC...

DISPENSE\_DOSE\_DISP\_UNIT DISPENSE ROUTE

#### MED ADMIN

MEDADMINID

PATID

MEDADMIN\_START\_DATE

ENCOUNTERID

MEDADMIN START TIME MEDADMIN STOP DATE

MEDADMIN\_STOP\_TIME

PRESCRIBINGID

ETC...

MEDADMIN\_SOURCE

#### VITAL

VITALID **PATID** 

MEASURE DATE

VITAL\_SOURCE ETC...

#### ENROLLMENT

PATID

ENR\_START\_DATE ENR BASIS

ETC...

#### DEATH

PATID

DEATH SOURCE

ETC...

#### DEATH CAUSE

**PATID** 

DEATH\_CAUSE

DEATH\_CAUSE\_CODE DEATH\_CAUSE\_TYPE

DEATH CAUSE SOURCE

ETC...

#### PROVIDER

PROVIDERID

PROVIDER SEX PROVIDER\_SPECIALTY\_PRIMARY

PROVIDER\_NPI

PROVIDER\_NPI\_FLAG

#### HARVEST

NETWORKID DATAMARTID

ETC...

#### PCORNET TRIAL

PATID TRIALID

PARTICIPANTID

ETC...

#### PRO CM

PRO\_CM\_ID

PATID **ENCOUNTERID** 

PRO DATE

PRO TIME

PRO TYPE

PRO\_ITEM\_NAME

PRO ITEM LOINC

PRO RESPONSE TEXT PRO\_RESPONSE\_NUM

PRO\_METHOD

PRO\_MODE

PRO CAT

PRO SOURCE

### ETC...

IMMUNIZATION

HASH TOKEN

IMMUNIZATIONID

PATID

VX CODE VX\_CODE\_TYPE

VX STATUS

ETC...

**PATID** 

ETC...

TOKEN 01

TOKEN\_23

#### PATID

ADDRESS TYPE

ADDRESS PREFERRED

OBS CLIN

OBSCLINID PATID

**ENCOUNTERID** OBSCLIN PROVIDERID

OBSCLIN DATE

OBSCLIN\_TIME OBSCLIN TYPE

OBSCLIN\_CODE

ETC

OBSCLIN\_SOURCE

RAW OBSCLIN UNIT

#### OBS\_GEN

OBSGENID

PATID

**ENCOUNTERID** OBSGEN PROVIDERID

OBSGEN\_DATE

OBSGEN\_TIME ETC.

> OBSGEN\_SOURCE RAW\_OBSGEN\_UNIT

#### LDS\_ADDRESS\_HISTORY

ADDRESSID

ADDRESS USE

ETC...

Bold font indicates fields that cannot be null due to primary key definitions or record-level constraints.

### 2.2. Implementation Expectations

Partners should populate all core CDM tables if data are available in their source system(s). All core CDM tables must be present in an instantiation of the CDM, even if the table is empty. This is important because some components of the querying platform need to locate a given table, even if zero records are present in that table. The fields that are required to be populated for all records in a given table are listed in the "constraints" section of each table description. Any table and/or field in the CDM may be required for a partner's participation in a given study or other PCORnet activity. In assessing foundational data quality, the PCORnet Data Curation query packages may query any CDM table or field.

## 3. Design of the CDM

### 3.1. Special Topics for CDM Modeling

#### **Prioritization of Analytic Functionality**

PCORnet CDM Guiding Principle #5 states,

"Documentation will be clear and transparent so that its contents are understandable to all contributors. The CDM will be intuitive and easy for analysts and investigators to use. **Investigators and analysts with prior experience using research data will not need additional skills or knowledge to use the CDM.**" [emphasis added]

This guiding principle is expressed in the CDM design through prioritization of **analytic** functionality, and a parsimonious approach based upon analytic utility. At times, this results in decisions that are not based in relational database modeling principles such as normalization. The model is designed to facilitate routine and rapid execution of distributed complex analytics. To meet this design requirement, some fields are duplicated across multiple tables to support faster analytic operations for distributed querying. The PCORnet CDM is based on the FDA Mini-Sentinel CDM. This allows PCORnet to more easily leverage the large array of analytic tools and expertise developed for the MSCDM v4.0, including data characterization approaches and the various tools for complex distributed analytics.

#### **Relational Integrity**

Database programmers will notice that fields used as primary/foreign keys, especially PATID and ENCOUNTERID, are specified as text instead of numbers. This approach, informed by prior experience in developing large-scale multi-site distributed networks, makes it easier to implement than requiring new key generation that could impact database management within source systems.

Please note that all tables must be present in an instantiation of the CDM, even if data are not populated in every table.

### **Date Formatting**

Because the PCORnet CDM is intended to support multiple Relational Database Management Systems (RDBMS), date format consistency is an issue, given that most RDBMS's have platform-specific native date representation.

To address this issue, each RDBMS will be expected to implement its own native date data type for dates, which will be supported by the Entity Framework technology stack<sup>1</sup>. The CDM will always separate date fields and time fields for consistency, and employ a naming convention of suffix "\_DATE" or "\_TIME".

All times should be recorded within the local time zone. A uniform time stamp or GMT offset is not expected.

A SAS date is different from an RDBMS date. A SAS date is a value that represents the number of days between January 1, 1960 and the specified date. SAS can perform calculations on dates ranging from A.D. 1582 to A.D. 19,900. Dates before January 1, 1960, are negative numbers; dates after are positive numbers. (Guidance added in v3.1.)

#### **Number Formatting**

SAS Number fields have a *byte length* of 8 [SAS Numeric(8)]. This corresponds to an 8-byte floating-point number of approximately 16 significant digits. When deciding on the precision/scale for their RDBMS Number fields, partners should ensure that they do not store numbers in a way that would overflow the SAS numeric data type, which would result a loss of data when generating a SAS dataset from the RDBMS. RDBMS Number can be implemented as any appropriate RDBMS number concept, such as DECIMAL or DOUBLE data types. Although some RDBMS's have a specific data type called "NUMBER" (such as Postgres), the CDM does not imply that this specific data type should be implemented.

When deciding on the parameters to choose for their RDBMS number fields, network partners should choose a combination that does not result in additional, artificial decimal precision. For example:

- The value **1.1** should **never** be modified to become **1.10000000**
- The integer value of 1 should **never** be modified to become 1.0 or 1.10000000

Instead of specifying a precision (total number of digits) and scale (digits to the right of a decimal point) for RDBMS Number data types, the CDM spec has been revised to just read "RDBMS Number." Partners should specify the parameters that are most appropriate for their RDBMS that that does not cause a loss of data when generating SAS datasets from the RDBMS or nor result in additional, artificial decimal precision.

#### **Missing or Unknown Data Values**

The PCORnet CDM will use the HL7 conventions of "Null Flavors" (<a href="http://hl7.org/implement/standards/fhir/v3/NullFlavor/">http://hl7.org/implement/standards/fhir/v3/NullFlavor/</a>) as a basis for representing missing or unknown values. Specifically, for fields where an enumeration is present (i.e., a categorical set of values), we will populate null values as follows:

A data field is not present in the source system. (populate with null)

A data field for an enumeration is present in the source system, but the source value is null or blank. (populate with NI=No Information)

A data field for an enumeration is present in the source system, but the source value **explicitly denotes an unknown value**. (populate with UN=Unknown)

A data field for an enumeration is present in the source system, but the source value **cannot be mapped to the CDM**. (populate with OT=Other)

This guidance is only applicable for categorical text fields, not for numbers or dates.

#### **Source Data Consistency**

<sup>&</sup>lt;sup>1</sup> https://msdn.microsoft.com/en-us/data/ef.aspx https://pcornet.org/data-driven-common-model/

The CDM does not include data consistency rules or edits, such as upper and lower limits of numeric values. The value recorded in the originating source system should be the value populated in the CDM, even if the value is outside a normally acceptable limit. Inclusion of all originating data, without modification, supports data characterization and better data provenance.

Decisions about inclusion (or censoring) of outlier values will be made as part of each <u>analysis or query</u>, allowing for these decisions to be driven by appropriateness for each individual analysis.

PCORnet CDM Guiding Principle #7 states,

"The CDM will reflect variables and values found in the local data. If some data are coded in a way that is unique to a site, mapping the data to a standardized format will be necessary. Values in the source data before mapping will also be included in the CDM. Derived variables should be avoided." [emphasis added]

#### "Raw" Fields

The data model uses a convention for "raw data fields." These are optional fields for storing the originating source value of a field, prior to mapping into PCORnet CDM value set. It may also be used for source-specific ontologies.

The "RAW" fields are intended to support data provenance and facilitate quality control checking by local implementation, if desired. These fields will have a naming convention of prefix "RAW\_". We will not include these fields in the Entity-Relationship (ER) diagram.

### **Case Sensitivity**

All RDBMS implementations are case-sensitive. Schema implementations for Oracle, Microsoft SQL Server, and PostgreSQL should be in uppercase (table name, column names, etc.). Value set codes should reflect the case formatting in the CDM specification and/or Value Set Appendix.

### **Avoidance of Padding**

Numbers should not be "padded" with extra zeroes. Text fields should not be "padded" with spaces before or after the actual textual values.

#### **Additional Fields**

PCORnet sites are welcome to include additional fields in their local CDM implementation that will assist with transformation or clarity.

As stated in PCORnet CDM Guiding Principle #8,

"CRNs may include additional domains and data elements in localized versions of the PCORnet CDM."

#### **Incomplete Date Guidance**

In situations where the exact day or month is unknown or not available, it is still necessary to have a valid date for native RDBMS and SAS date data types. In this situation, please use this specific imputation strategy:

- If the day is missing, use the **first day of the month** to create a valid date value with the existing month and year.
- In the uncommon situation where a month is missing, use January 1 to create a value date value with the existing year.

The HARVEST table indicators of DATE\_ fields are used to indicate the presence of incomplete dates within the data, and the specific details of imputation would be described in the ETL Annotated Data Dictionary (ADD). The convention of the RAW\_ fields can also be deployed to indicate the presence and original value of incomplete dates, if desired.

### **Expanded value sets**

Version 4.0 of the PCORnet CDM introduced the concept of expanded value sets for fields with dozens or hundreds of allowable options (e.g., LAB\_RESULT\_CM.RESULT\_UNIT, PRESCRIBING.RX\_DOSE\_FORM). To reduce the size of the CDM specification document, these value sets are provided in a supplementary Value Set Appendix (ValueSet\_ReferenceFile), which is co-located with the CDM specification on the PCORnet web site (<a href="http://pcornet.org/pcornet-common-data-model/">http://pcornet.org/pcornet-common-data-model/</a>). It is expected that these value sets will only be updated as part of a CDM version update, but there may be extenuating circumstances where an out-of-sequence update is required. For each value set, we list the raw/expected source value and the corresponding analytics-friendly string to be used when populating the CDM.

#### **Supplemental tables**

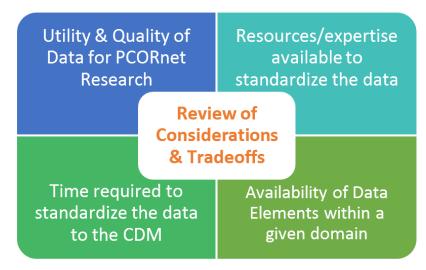
Version 4.0 of the PCORnet CDM also formalized the concept of "supplemental" CDM tables. These are tables outside of the core CDM that used to support study-specific activities that involve the participation of many network partners. These table definitions may be managed in separate document(s) outside of the core CDM specification and may be refined out of cycle with the rest of the CDM. Over time, some of these tables may be promoted to the core CDM, at which point they will be governed by the versioning processes of the core. Network partners are not expected to populate these tables unless they are participating in a study that utilizes them.

### 3.2. Development Notes

PCORnet CDM Guiding Principle #2 states,

"It is expected that <u>not all</u> CRNs will have data needed to populate all parts of the PCORnet CDM. It is the responsibility of the CRNs to communicate availability of each data domain and element." [emphasis added]

The PCORnet CDM will be implemented in phases. This will allow incorporation of new data domains and fields throughout the life of the project, building based on PCORnet needs, lessons learned from use, and data availability. The assessment of considerations and tradeoffs is an integral part of decision-making based on pragmatism and analytic value.



Because the PCORnet DRN has independent objectives and priorities, the PCORnet CDM will not reuse an existing data model, but will develop a stand-alone PCORnet CDM based on existing data models, as appropriate.

PCORnet CDM Guiding Principle #6 states,

"Other common data elements and common data model initiatives exist. PCORnet will draw from the experience of others within and outside of PCORI, leveraging existing successful approached and data model definitions wherever possible."

The model was initially informed by results from the PCORnet DSSNI Preliminary Partner Survey (also known as the "Tech Survey") completed in December 2013 and January 2014. Recommendations from the PCORnet CDM Working Group have been a basis for strategy and decisions. The PCORnet CDM priority data domains and implementation approach are based on PCORI needs, planned future capabilities, and the data sources and expertise of the PCORnet partners.

As stated in PCORnet CDM Guiding Principle #4,

"The PCORnet CDM will be developed in a **modular, incremental, and extensible fashion.** New types of data will be needed, or newly available, during the life of PCORnet. Data domains and data elements will be added, revised, and deprecated throughout an iterative CDM lifecycle." [emphasis added]

### 3.3. Comments on Protected Health Information (PHI)

The CDM will contain some of the 18 elements that define PHI under HIPAA, including encounter dates and date of birth. However, these dates will remain under the control of the institutions that already maintain PHI. To maximize analytic flexibility and allow for all types of analyses, complete and exact dates should be included in the CDM. Distributed analytic programs will use the date fields for analysis, but will generate results that contain the minimum necessary information to address the question. The results returned to the requester will typically be aggregated and not include any PHI. Queries that generate results sets with PHI (e.g., a person-level analysis under an IRB, with all necessary data agreements in place) will be clearly flagged as such and will only be distributed with the appropriate approvals clearly documented. As with all distributed queries, sites should review all results before release.

PCORnet Distributed Research Network Guiding Principle #2 states, "CRNs will control how their data are used as allowed by internal governance policies. Data resources developed for PCORnet will stay within the CRNs and under their control." [emphasis added]

The necessary "cross-walks" between the arbitrary identifiers included in the CDM and their originating data are not specified in the scope of the CDM, but are expected to be maintained by each data partner.

- PATID is a pseudoidentifier with a consistent crosswalk to the true identifier retained by the source site. For analytical data sets requiring patient-level data, only the pseudoidentifier is used to link across all information belonging to a patient.
- The PATID pseudoidentifier should not be a true identifier. It is not appropriate to use Medical Record Identifiers (MRNs) for this purpose because MRN is a direct patient identifier.
- Locally maintained "mapping tables" are tables necessary to implement so that each data partner has the ability to map arbitrary identifiers back to the originating data and patient.

These mapping tables are not part of the PCORnet DRN.

Mapping tables for implementation of the CDM should include (but are not limited to):

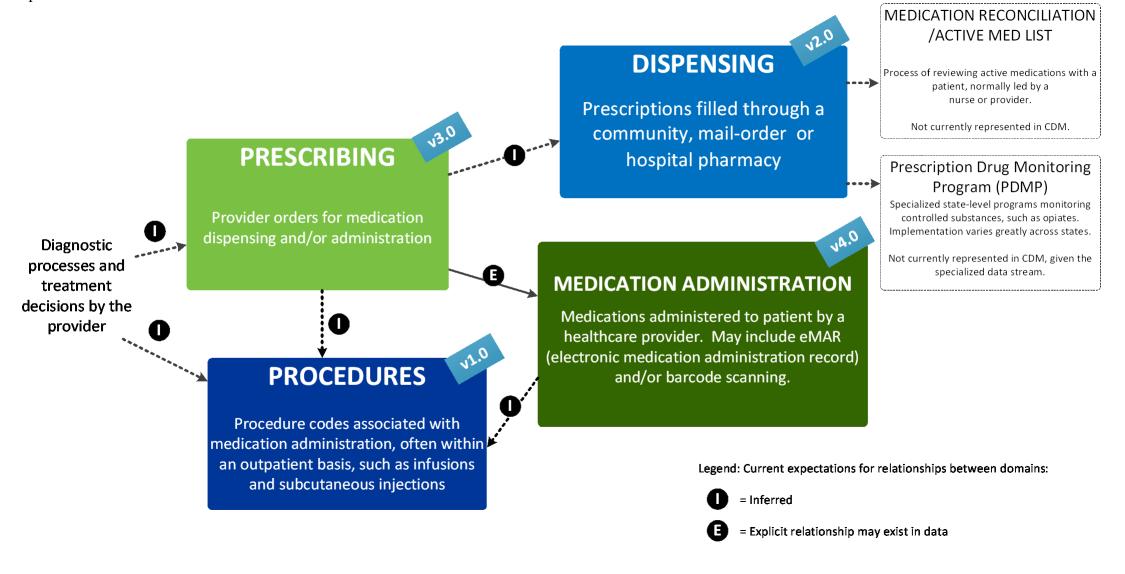
- PATID crosswalk
- PROVIDER crosswalk

#### **PRIVATE** tables

Version 4.0 of the PCORnet CDM introduced the concept of "PRIVATE" tables, which are intended to provide standardized representations for the commonly-used PHI elements that are necessary for certain analytic activities (e.g., patient linkage, geocoding). These tables will not be directly queried by the DRN OCand can remain physically and logically separate from the rest of the CDM. These tables will initially be developed as Supplemental tables through the process described in Section 3.1.

### 3.4. The Continuum of Medication-related Data Domains

This diagram represents our expectations for the **current state** of medication-related data stores in clinical systems, and is meant to assist in the assessment of data availability for PCORnet CDM implementation.



## 4. Implementation Guidance

Implementation Guidance is intended to help reduce the variability in how partners populate their CDM datamarts. It provides recommendations and describes preferred approaches when there are multiple interpretations of the CDM specifications or if there is unexpected complexity in a partner's source data. The Implementation Guidance is divided into three sections, plus a set of reference tables:

- 1. General guidance: The guidance applies to more than 1 CDM table/domain. These items are included in this section of the CDM Specification.
- 2. *Table-level guidance*: The guidance applies to the table/domain in general or applies to more than one field in the table. Table-level guidance is provided in each table's description before the table specification.
- 3. Field-level guidance: The guidance applies to implementation decisions that are specific to a given field in a table. Field-level guidance is provided as an extra column in the CDM table specification.
- 4. Reference tables: When applicable, reference tables have been created to provide additional guidance to network partners.

Guidance updated as part of a CDM revision is highlighted in green. Guidance updated between CDM versions is highlighted in yellow.

<b>GENERAL Implementatio</b>	ENERAL Implementation Guidance (spans more than 1 table)					
Topic	Guidance					
1 – Population of RAW fields	If a given record/observation can be directly mapped into the PCORnet CDM, there is no need to populate the RAW values. RAW values be used when partners need to employ a mapping in order to populate a given table (e.g., converting local diagnosis codes to ICD-ICD-10, mapping internal procedure codes to one of the specified PCORnet procedure terminologies or converting several dozen race values specified in the PCORnet CDM). Populating the RAW fields is optional, but if a partner chooses to do so, they should use following strategy:  • If there is a 1:1 relationship between the source value and the CDM, the RAW value can be populated on the same record.					
	In cases where there is a 1:many relationship for multiple records that are part of encounter or have the same timestamp (e.g., 3 local diagnosis codes recorded in the same encounter that map to a single ICD9 code), all of the local values should be concatenated into a single RAW observation, with the values separated by a pipe delimiter (" "). This prevents the creation of duplicate records in the PCORnet CDM.					
2 – Date Obfuscation and/or Truncation	The preferred approach for PCORnet is that partners retain the actual dates reported within their source systems. If it is necessary to use date obfuscation (also known as date shifting), partners should ensure that the obfuscation is internally consistent across all dates for a given patient (i.e., all dates for that patient are shifted by the same amount). This would include dates in the PCORNET_TRIAL table, if populated. Failure to adhere to this guidance will make it all but impossible to use that partner's data in any analytic query. Do not shift dates into the future. Only apply a backwards shift. If partners are also truncating dates (i.e., defining a "valid" time window for their dataset and removing patients/data that correspond to encounters whose dates have been shifted out of that window) partners should document their strategy in their ETL ADD. Truncation is discouraged, and partners will need a way to flag those patients who have incomplete data as a result of the truncation process in order to retain the ability to use the datamart analytically. (Guidance deprecated as of July 2019 to reflect terms of the PCRF Clinical Research Network Statement of Work.)					
	Do not include shifted or truncated dates within the CDM, with the exception of birth date for patients >89, if required by local regulations. Aggregate or de-identified queries that return age for patients >89 will appropriately bucket the results before they are returned.					

<b>GENERAL Implementatio</b>	GENERAL Implementation Guidance (spans more than 1 table)						
Topic	Guidance						
3 – Patients with age > 89	PCORnet queries issued by the DRN OC will bucket all patients age 90+ into one age group to limit the risk of re-identification. Some partners' local regulatory restrictions may require additional protection of date-related data on patients age > 89. For example, some partners might be asked to <b>remove</b> the birth date while others might require that it be <b>shifted</b> to mask the patient's true date of birth. If the birth date must be <b>shifted</b> , these regulatory restrictions would subsequently prevent the same shift from being applied to the rest of the patient's dates of service, resulting in inconsistencies in the data (see General Guidance #2). In this case, partners should consider shifting the value in the DEMOGRAPHIC.BIRTH_DATE field for these patients to a dummy date of January 1, 1900. If this approach is taken, partners should structure their ETL to shift birth dates from patients currently over 89, as well as those that will "age out" and turn 89 before the next expected datamart refresh.						
4 – Mapping source data to standard terminologies when multiple options exist	Some partners with Epic EHRs are able to access diagnoses/problem list entries coded via vocabulary mapping software/middleware (e.g., IMO). Such software/middleware provides mappings to multiple terminologies, so partners have several options from which to choose when populating their datamart.						
	Partners should utilize the vocabulary or terminology that most closely reflects the standard typically used to encode data in that domain at the time the observation was recorded. For instance, diagnosis codes would use ICD-9 before October 2015, and ICD-10 afterwards; procedure codes would be encoded in CPT/HCPCS or in ICD-9 before October 2015 and in CPT/HCPCS or ICD-10 afterwards; problem list values would be encoded in SNOMED. This will allow partners to avoid the potential duplication of records (e.g., if combining facility billing diagnoses coded in ICD with professional diagnoses coded in SNOMED or mapping the same EHR record to ICD-9 and ICD-10) and more readily allow the application of existing validated algorithms. In these situations, the original codes can be retained within the RAW fields to allow for additional studies that seek to execute exploratory analyses using alternative mappings. This guidance may be revised in the future to incorporate the published findings of subsequent validation studies that compare the downstream analytical results that arise from various mapping strategies.						
5 – Approach when there are known errors or missingness in TYPE fields (e.g., PROCEDURES.PX_TYPE, LAB_RESULT_CM.LAB_RESULT_PX_TYPE, ENCOUNTER.DRG_TYPE, or DIAGNOSIS.DX_TYPE)	The PCORnet CDM Guiding Principles ask that data from source systems be populated in the CDM "as is." However, some fields in the CDM that are used to identify attributes about the data, such as the TYPE fields, are critical to the operation of the PCORnet analytic queries. If the TYPE field is incorrect, the query will end up using a mismatched code set and return invalid/empty results. If the errors in the source for the TYPE fields are systemic (e.g., an interface reports all procedure billing codes as ICD-10, when they are really a mix of ICD-10 and CPT), and the partner is able to isolate and rectify the issue, then the preference is that these data be cleaned before populating the CDM. The same guidance applies if TYPE fields are not populated or are not transmitted from the source system. The heuristic or algorithm used by the partner should be documented in their ETL ADD. If there are known systemic issues in other fields or domains, these data should not be cleaned, but partners should make every attempt to resolve or rectify the issue within their source system(s). Any known systemic issues should also be documented in the ETL ADD.						
6 – Corrected/updated source values	If a measure/laboratory result has been corrected in the source system, and both the original and corrected values are present in the source system, partners should only include the corrected (e.g., most recent) value in their CDM datamart. If a measure/laboratory result is included in their CDM datamart that has later been found to be canceled, partners should remove that value from their CDM datamart in subsequent refreshes.						

GENERAL Implementation Guidance (spans more than 1 table)						
Topic	Guidance					
7 – Precision/scale for RDBMS NUMBER fields	This guidance has been deprecated as of CDM v3.1.					
8 – Study-specific datamart restrictions	Some networks that use a centralized model may have datamarts that contain data from sites that are not participating in a given study. In these cases, datamart teams are responsible for ensuring that only the data from participating sites are queried. Possible options include creating site flags or views/subsets of the approved SAS datamart tables and then running the study queries against that subset of the data. Partners have latitude to choose the approach that is most appropriate for their network. (Guidance deprecated as of July 2019 to reflect terms of the PCRF Clinical Research Network Statement of Work.)  The DRN OC will work with networks that operate using a centralized model to ensure that site-specific results are available for all queries in the same fashion as distributed data marts.					
9 – PATIDs & other IDs	To the extent possible, partners should maintain PATIDs and all other ID fields (ENCOUNTERID, DIAGNOSISID, PROVIDERID, etc.) across refreshes. In addition, the lengths of these fields should be harmonized across all tables to facilitate cross-table querying.					
10 – Units of Measure	Starting with PCORnet CDM v4.0, the value set for the units of measure fields (e.g., RESULT_UNIT in LAB_RESULT_CM, OBSGEN and CLINOBS, and *_STRENGTH_*_UNIT in PRESCRIBING, DISPENSING and MED_ADMIN) has been expanded to include values from the Unified Code for Units of Measure (UCUM). The value set in the Value Set Appendix reflects the list of values curated by LOINC that are most often used in clinical reporting ( <a href="https://loinc.org/usage/units/">https://loinc.org/usage/units/</a> ). This list likely includes more values than a partner would have in their source system, but we are providing a more comprehensive list to err on the side of completeness.  When mapping from source data to the UCUM values, partners should choose the code that is the closest match to their source data. It is expected that most units will have a 1:1 match with the UCUM list, though in some cases, there will be different spelling variations or representations that need to be harmonized (e.g., mapping PERCENT to %). For laboratory test results and clinical observations, partners should ensure that there is no discordance between the selected unit of measure and the corresponding test code (e.g., choosing % as a unit					
	of measure when the LOINC code indicates the result is reported as mg/dL).  It is possible that a partner may have unit values that are not part of the LOINC-curated list. This is because the universe of UCUM codes is essentially unbounded ( <a href="http://unitsofmeasure.org/ucum.html#datyp2apdxatblxmp">http://unitsofmeasure.org/ucum.html#datyp2apdxatblxmp</a> ). We have standardized on the LOINC-curated list to allow for testing of model conformance. Units that fall outside of this value set should be mapped to "OT," with the source value stored in the appropriate RAW field, even if they are technically "valid" UCUM codes.					
	Please note that there are several instances where a single unit code maps to multiple entries (e.g., nmol/mg{prot} maps to both "nanomole of ½ cystine per milligram of protein" and "nanomole per milligram of protein"). This is a known issue and reflective of how the curated list of codes were created by LOINC. The values were distinct at one point but collapsed due to field length restrictions. In addition, there are instances where units differ solely by letter case (e.g., "A" – ampere, "a" – year; "Ms" – megasecond, "ms" – millisecond). We will retain case sensitivity when making comparisons on this field.					

<b>GENERAL Implementation</b>	n Guidance (spans more than 1 table)					
Topic	Guidance					
11 – Use of "OT" when mapping to expanded value sets	As partners map their source data to the expanded value sets, the value of "OT" (Other) may be used in cases where a source value is present, but does not match any of the responses in the value set. Additionally, "OT" may be used in those cases when a data partner has not completely mapped all of their source data to the CDM value set for a given variable (e.g., _UNIT, _ROUTE, FACILITY_TYPE, etc.). In this case, partners can include the code from the Value Set Appendix for those entries that have been mapped and "OT" for the remainder until they have been assigned a code from the value set. Unmapped values should be specified in the corresponding RAW field.					
12 – Required vs. Optional fields	ne fields that are required to be populated for all records in a given table are listed in the "constraints" section of each table scription. Any table and/or field in the CDM may be required for a partner's participation in a given study or other PCORnet activity. In sessing foundational data quality, the PCORnet Data Curation query packages may query any CDM table or field (Language replicated om Section 2.5 – Implementation Expectations).					
13 – Storing PROs or other observations with date-type responses	When loading PROs with a date-type response, store the character/string version of the date in PRO_RESPONSE_TEXT field, and the SAS Date format (Numeric) of the response in PRO_RESPONSE NUM. Analytically, the PRO_RESPONSE_NUM value will be used in queries. The same logic applies for observations with date-type responses that might be stored within OBS_CLIN or OBS_GEN.					
14 – Future Dates	If the source system assigns all unspecified end dates with a far-off future date instead of null (e.g., year 4700), these values can be set to null when loading the CDM. Only do this for true "dummy" dates that should truly be blank/null, and only for dates that are systematically assigned at the source.					
15 – Correspondence between LOINC classes and CDM tables	The LOINC Class Type variable has 4 values (as of May 2018): 1 = Lab Class, 2 = Clinical Class, 3 = Claims Attachment, 4 = Survey. This information can be found on search loinc.org or in the LOINC Core files. In general, observations with a class type of "1" will be stored in LAB_RESULT_CM. Observations with a class type of "2" will be loaded into OBS_CLIN, and observations with a class type of "4" will generally be stored in PRO_CM, but not definitively.					
16 – Immunizations	Vaccines captured as part of an immunization workflow should be stored in the IMMUNIZATION table. If immunizations are present in other data streams (e.g., medication administrations, procedures, etc.), however, they should not be removed from those tables.					
17 – Lab LOINC mappings	If partners discover that laboratory results have been assigned an incorrect LOINC code within their source system(s), they should make every effort to have it corrected by the personnel who maintain those mappings. In the meantime, it is acceptable to assign the proper LOINC code before loading those results into the CDM.					

## **5. Core CDM Table Specifications**

### 5.1. Table: DEMOGRAPHIC

### **DEMOGRAPHIC Domain Description:**

Demographics record the direct attributes of individual patients.

### **Relational Integrity:**

The DEMOGRAPHIC table contains one record per patient.

**Primary Key: PATID** 

**Constraints:** 

PATID (unique; required, not null)

## **DEMOGRAPHIC Table Implementation Guidance**

#### Guidance

• The most recently available information should be populated for BIRTH\_DATE, SEX, and other characteristics. If these attributes have been updated in the patient record, use the most recent value.

DEMOGRAPHIC Table Specification							
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-Level Implementation Guidance	
PATID	RDBMS Text(x)	SAS Char(x)		Arbitrary person-level identifier used to link across tables.  PATID is a pseudoidentifier with a consistent crosswalk to the true identifier retained by the source data partner. For analytical data sets requiring patient-level data, only the pseudoidentifier is used to link across all information belonging to a patient.  The PATID must be unique within each PCORnet data mart. Creating a unique identifier within a network would be beneficial and acceptable. The PATID is not the basis for linkages across data partners.	MSCDM v4.0		
BIRTH_DATE	RDBMS Date	SAS Date (Numeric)		Date of birth.	MSCDM v4.0		
BIRTH_TIME	RDBMS Text(5): Format as HH:MI using 24- hour clock and zero- padding for hour and minute	SAS Time (Numeric)		Time of birth.	PCORnet  Source of time format: ISO 8601		

Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-Level Implementation Guidance
SEX	RDBMS Text(2)	SAS Char(2)	A=Ambiguous F=Female M=Male NI=No information UN=Unknown OT=Other	Sex assigned at birth.	MSCDM v4.0 with modified field size and value set  Source: Administrative Sex (HL7) <a href="http://phinvads.cdc.g">http://phinvads.cdc.g</a> ov/vads/ViewValueS et.action?id=06D34B BC-617F-DD11- B38D- 00188B398520	The "Ambiguous" category may be used for individuals who are physically undifferentiated from birth. The "Other" category may be used for individuals who are undergoing gender re-assignment.
SEXUAL_ORIENTATI ON	RDBMS Text(2)	SAS Char(2)	AS=Asexual BI=Bisexual GA=Gay LE=Lesbian QU=Queer QS=Questioning ST=Straight SE=Something else MU=Multiple sexual orientations DC=Decline to answer NI=No information UN=Unknown OT=Other	Sexual orientation.	Source: Health IT Certification Criteria, 2015 Base Edition, modified with expert advisory within PCORnet  https://www.federalre gister.gov/documents /2015/10/16/2015- 25597/2015-edition- health-information- technology-health-it- certification-criteria- 2015-edition-base	

Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-Level Implementation Guidance
GENDER_IDENTITY	RDBMS Text(2)	SAS Char(2)	M=Man F=Woman TM=Transgender male/Trans man/Female-to- male TF=Transgender female/Trans woman/Male-to- female GQ=Genderqueer/ Non-binary SE=Something else MU=Multiple gender categories DC=Decline to answer NI=No information UN=Unknown OT=Other	Current gender identity.	Source: Health IT Certification Criteria, 2015 Base Edition, modified with expert advisory within PCORnet  https://www.federalre gister.gov/documents /2015/10/16/2015- 25597/2015-edition- health-information- technology-health-it- certification-criteria- 2015-edition-base	Use Genderqueer ("GQ") for patients who report a non-binary gender identify.

DEMOGRATIFIC Ta	bic Specifi	<u>cation</u>		<u> </u>	T.	_
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-Level Implementation Guidance
HISPANIC	RDBMS Text(2)	SAS Char(2)	Y=Yes N=No R=Refuse to answer NI=No information UN=Unknown OT=Other	A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race.	MSCDM v4.0 with modified field size and value set  Compatible with "OMB Hispanic Ethnicity" (Hispanic or Latino, Not Hispanic or Latino)	Populating RACE and HISPANIC if race and ethnicity are not captured separately within the source system (e.g., "Hispanic or Latino" is included as a selection under Race) - for patients with a known race (e.g., Race is something other than "Hispanic or Latino", partners should set HISPANIC to "OT" and RACE to the appropriate race code. For patients who are listed as having a race of "Hispanic," partners should set HISPANIC to "Y" and RACE to "OT". In this situation, the combined race/ethnicity field is treated as known field capturing values for both race and ethnicity, which is why the preference is to use "OT" instead of "NI".

<b>DEMOGRAPHIC T</b>	<b>Sable Specification</b>
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DEMOGRAPHIC			Predefined Value Sets	D (1)	D El	T: 11 x 1 x 1
Field Name	RDBMS Data Type	SAS Data Type	and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-Level Implementation Guidance
RACE	RDBMS Text(2)	SAS Char(2)	01=American Indian or Alaska Native 02=Asian 03=Black or African American 04=Native Hawaiian or Other Pacific Islander 05=White 06=Multiple race 07=Refuse to answer NI=No information UN=Unknown OT=Other	Please use only one race value per patient.  Details of categorical definitions: American Indian or Alaska Native: A person having origins in any of the original peoples of North and South America (including Central America), and who maintains tribal affiliation or community attachment.  Asian: A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.  Black or African American: A person having origins in any of the black racial groups of Africa.  Native Hawaiian or Other Pacific Islander: A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.  White: A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.	MSCDM v4.0 with modified field size and value set  Original value set is based upon U.S. Office of Management and Budget (OMB) standard, and is compatible with the 2010 U.S. Census	
BIOBANK_FLAG	RDBMS Text(1)	SAS Char(1)	Y=Yes N=No	Flag to indicate that one or more biobanked specimens are stored and available for research use. Examples of biospecimens could include blood, urine, or tissue (eg, skin cells, organ tissues). If biospecimens are available, locally maintained "mapping tables" would be necessary to map between the DEMOGRAPHIC record and the originating biobanking system(s).  If no known biobanked specimens are available, this field should be marked "No".	PCORnet	This field is a derived attribute and is not expected to be an explicit data field within a source system

DEMOGRAPHIC 18						
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-Level Implementation Guidance
PAT_PREF_LANGUAG E_SPOKEN	RDBMS Text(3)	SAS Char(3)	See Value Set Appendix for a list of acceptable values.	Preferred spoken language of communication as expressed by the patient.	ISO639-2	<ul> <li>This information may be documented in the EHR or an external registry.</li> <li>Do not impute or derive if not expressly defined in the source system.</li> <li>Analytically, will assume that "NI" corresponds to a preferred language of English.</li> <li>Use the value of "ZHO" (Chinese) for both Mandarin and Cantonese, and place the specific value in the RAW field. Within the ISO639-2 value set, there is no distinction between the two.         https://www.loc.gov/standards/iso639-2/faq.html#24     </li> <li>Use "OT" for American Sign Language</li> </ul>
RAW_SEX	RDBMS Text(x)	SAS Char(x)		Field for originating value of field, prior to mapping into the PCORnet CDM value set.	PCORnet	
RAW_ SEXUAL_ORIENTATI ON	RDBMS Text(x)	SAS Char(x)		Field for originating value of field, prior to mapping into the PCORnet CDM value set.	PCORnet	
RAW_ GENDER_IDENTITY	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	
RAW_HISPANIC	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	
RAW_RACE	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	
RAW_PAT_PREF_LAN GUAGE_SPOKEN	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	

#### 5.2. Table: ENROLLMENT

## **ENROLLMENT Domain Description:**

Enrollment is a concept that defines a period of time during which a person is expected to have complete data capture. This concept is often insurance-based, but other methods of defining enrollment are possible.

#### **Relational Integrity:**

The ENROLLMENT table contains one record per unique combination of PATID, ENR\_START\_DATE, and ENR\_BASIS.

Please note: Each form of coverage (the ENR\_BASIS) would have a separate record; for example, if a patient has both medical coverage and drug coverage, these would be 2 separate records, potentially with different enrollment dates for each record.

Composite Primary Key: PATID, ENR START DATE, ENR BASIS

### Foreign Key:

ENROLLMENT.PATID is a foreign key to DEMOGRAPHIC.PATID (many-to-one relationship)

#### **Constraints:**

PATID + ENR\_START\_DATE + ENR\_BASIS (unique)

PATID (required, not null)

ENR\_START\_DATE (required, not null)

ENR\_BASIS (required, not null)

### **ENROLLMENT Table Implementation Guidance**

#### Guidance

- For partners that do not have insurance-based enrollment information for some of their patients, other approaches can be used to identify periods during which complete medical capture is expected.
- Members with medical insurance coverage, with or without drug coverage, or should be included. If a patient has both medical and drug coverage, create the appropriate enrollment records for each.
- A break in insurance coverage of at least one day or a change in the chart abstraction flag should generate a new record.
- The ENROLLMENT table provides an important analytic basis for identifying periods during which medical care should be observed, for calculating person-time, and for inferring the meaning of unobserved care (i.e., if care is not observed, it likely did not happen). The most recently available information should be populated for BIRTH\_DATE, SEX, and other characteristics. If these attributes have been updated in the patient record, please use the most recent value.

Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-Level Implementation Guidance
PATID	RDBMS Text(x)	SAS Char(x)		Arbitrary person-level identifier used to link across tables.	MSCDM v4.0	
ENR_START_DATE	RDBMS Date	SAS Date (Numeric)		Date of the beginning of the enrollment period. If the exact date is unknown, use the first day of the month.	MSCDM v4.0 with modified field name	<ul> <li>For implementation of the CDM, a long span of longitudinal data is desirable. However, an enrollment record is intended to represent the dates for which there is complete capture of all medically-attended events, so the data partner's knowledge of the validity and usability of the data should inform their choice of enrollment start date, especially for historical data more than a decade old.</li> <li>If ENR_BASIS is encounterbased ("E"), the enrollment start date should not be earlier than the earliest encounter date in the datamart for that patient. If ENR_BASIS is based on insurance coverage ("I"), then the enrollment start date may occur before the earliest encounter date in the datamart for that patient.</li> </ul>
ENR_END_DATE	RDBMS Date	SAS Date (Numeric)		Date of the end of the enrollment period. If the exact date is unknown, use the last day of the month.	MSCDM v4.0 with modified field name	

ENROLLMENT Table Specification										
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-Level Implementation Guidance				
CHART	RDBMS Text(1)	SAS Char(1)	Y=Yes N=No	Chart abstraction flag is intended to answer the question, "Are you able to request (or review) charts for this person?" This flag does not address chart availability.  Note: This field is most relevant for health insurers that can request charts from affiliated providers. This field allows exclusion of patients from studies that require chart review to validate exposures and/or outcomes. It identifies patients for whom charts are <b>never</b> available and for whom the chart can never be requested.	MSCDM v4.0	<ul> <li>Mark as "Yes" if there are no contractual or other restrictions between you and the individual (or sponsor) that would prohibit you from requesting any chart for this patient.</li> <li>This field is a derived attribute and is not expected to be an explicit data field within a source system</li> </ul>				

<b>ENROLLMENT Ta</b>	ble Specifica	tion				
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-Level Implementation Guidance
ENR_BASIS	RDBMS Text(1)	SAS Char(1)	I=Medical insurance coverage D=Outpatient prescription drug coverage G=Geography A=Algorithmic E=Encounter- based	ENR_BASIS is a property of the time period defined. A patient can have multiple entries in the table.  Details of categorical definitions:  Medical insurance coverage: The start and stop dates are based upon enrollment where the health plan has any responsibility for covering medical care for the member during this enrollment period (i.e., if you expect to observe medical care provided to this member during the enrollment period).  Outpatient prescription drug coverage: The start and stop dates are based on enrollment where the health plan has any responsibility for covering outpatient prescription drugs for the member during this enrollment period (i.e., if you expect to observe outpatient pharmacy dispensings for this member during this enrollment period). (New value set item added in v3.1.)  Geography: An assertion of complete data capture between the start and end dates based upon geographic characteristics, such as regional isolation.  Algorithmic: An assertion of complete data capture between the start and end dates, based on a locally developed or applied algorithm, often using multiple criteria.  Encounter-based: The start and stop dates are populated from the earliest-observed encounter and latest-observed encounter.  Field definition and value sets modified in v3.1 to include drug coverage.	PCORnet  Based upon the HMORN VDW and Sentinel CDM v6.0	<ul> <li>When an algorithmic method is used to determine the ENR_BASIS, the exact details should be described in the ETL ADD.</li> <li>This field is a derived attribute and is not expected to be an explicit data field within a source system</li> </ul>

### 5.3. Table: ENCOUNTER

## **ENCOUNTER Domain Description:**

Encounters are interactions between patients and providers within the context of healthcare delivery.

### **Relational Integrity:**

The ENCOUNTER table contains one record per unique encounter.

**Primary Key: ENCOUNTERID** 

### Foreign Key:

ENCOUNTER.PATID is a foreign key to DEMOGRAPHIC.PATID (many-to-one relationship) ENCOUNTER.PROVIDERID is a foreign key to PROVIDER.PROVIDERID (many-to-one relationship)

#### **Constraints:**

ENCOUNTERID (unique; required, not null)
PATID (required, not null)
ADMIT\_DATE (required, not null)
ENC\_TYPE (required, not null)

### **ENCOUNTER Table Implementation Guidance**

#### Guidance

- Each ENCOUNTERID will generally reflect a unique combination of PATID, ADMIT DATE, PROVIDERID and ENC TYPE.
- Every diagnosis and procedure recorded during the encounter should have a separate record in the DIAGNOSIS or PROCEDURES Tables.
- Multiple visits to the **same** provider on the same day may be considered one encounter, especially if defined by a reimbursement basis; if so, the ENCOUNTER record should be associated with all diagnoses and procedures that were recorded during those visits.
- Visits to **different** providers for different encounter types on the same day, however, such as a physician appointment that leads to a hospitalization, would generally correspond to multiple encounters within the ENCOUNTER table.
- Rollback or voided transactions and other adjustments should be processed before populating this table.
- Although "Expired" is represented in both DISCHARGE\_DISPOSITION and DISCHARGE\_STATUS, this overlap represents the reality that both fields are captured in hospital data systems but with variation in how each field is populated.
- Do not include scheduled encounters.
- Partners should ensure that "administrative" encounters (e.g., e-mail, phone, documentation-only), are coded to the appropriate encounter type, which is typically "OA" for outpatient visits.

ENCOUNTER Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
ENCOUNTERID	RDBMS Text(x)	SAS Char(x)		Arbitrary encounter-level identifier. Used to link across tables, including the ENCOUNTER, DIAGNOSIS, and PROCEDURES tables.	MSCDM v4.0				
PATID	RDBMS Text(x)	SAS Char(x)	·	Arbitrary person-level identifier used to link across tables.	MSCDM v4.0	All PATIDs in this table must be present in the DEMOGRAPHIC table.			
ADMIT_DATE	RDBMS Date	SAS Date (Numeric)		Encounter or admission date.	MSCDM v4.0 with modified field name				

<b>ENCOUNTER Table Spec</b>	cification					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
ADMIT_TIME	RDBMS Text(5): Format as HH:MI using 24-hour clock and zero- padding for hour and minute	SAS Time (Numeric)		Encounter or admission time.	PCORnet  Source of time format: ISO 8601	
DISCHARGE_DATE	RDBMS Date	SAS Date (Numeric)		Discharge date.	MSCDM v4.0 with modified field name	Should be populated for all Inpatient Hospital Stay (IP), Non-Acute Institutional Stay (IS) encounter types and ED-to-Inpatient (EI) encounter types.  May be populated for Emergency Department (ED) encounter types.  Should be missing for ambulatory visit (AV or OA) encounter types, though it may be present for Observation Stays.
DISCHARGE_TIME	RDBMS Text(5): Format as HH:MI using 24-hour clock and zero- padding for hour and minute	SAS Time (Numeric)		Discharge time.	PCORnet  Source of time format: ISO 8601	

ENCOUNTER Table Specification										
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance				
PROVIDERID	RDBMS Text(x)	SAS Char(x)	•	Code for the provider who is most responsible for this encounter. As with the PATID, the provider code is a pseudoidentifier with a consistent crosswalk to the real identifier.	MSCDM v4.0	<ul> <li>PROVIDERID generally refers to the person most responsible for providing care during the encounter, though it may also correspond to a device (e.g., MRI) for certain procedure-only encounters.</li> <li>If populated, PROVIDERID must be present in the PROVIDER table.</li> </ul>				
FACILITY_LOCATION	RDBMS Text(5)	SAS Char(5)		Geographic location (5-digit zip code).	MSCDM v4.0 with modified field type	Updated in CDM v5.0 to support 5-digit zip codes.				

ENC_TYPE	RDBMS	SAS Char(2)	AV=Ambulatory	Encounter type.	MSCDM v4.0 with	•	Observation stays— If partners are
ENC_TYPE	RDBMS Text(2)	SAS Char(2)	AV=Ambulatory Visit ED=Emergency Department EI=Emergency Department Admit to Inpatient Hospital Stay (permissible substitution) IP=Inpatient Hospital Stay IS=Non-Acute Institutional Stay OS=Observation Stay IC=Institutional Professional Consult (permissible substitution) OA=Other Ambulatory Visit NI=No information UN=Unknown OT=Other	Details of categorical definitions: Ambulatory Visit: Includes visits at outpatient clinics, physician offices, same day/ambulatory surgery centers, urgent care facilities, and other same-day ambulatory hospital encounters, but excludes emergency department encounters.  Emergency Department (ED): Includes ED encounters that become inpatient stays (in which case inpatient stays would be a separate encounter). Excludes urgent care facility visits. ED claims should be pulled before hospitalization claims to ensure that ED with subsequent admission won't be rolled up in the hospital event. Does not include observation stays, where known.  Emergency Department Admit to Inpatient Hospital Stay: Permissible substitution for preferred state of separate ED and IP records. Only for use with data sources where the individual records for ED and IP cannot be distinguished.  Inpatient Hospital Stay: Includes all inpatient stays, including: same-day hospital discharges, hospital transfers, and acute hospital care where the discharge is after the admission date. Does not include observation stays, where known.  Observation Stay: "Hospital outpatient services given to help the doctor decide if the patient needs to be admitted as an inpatient or can be discharged. Observations services may be given in the emergency department or another area of the hospital." Definition from Medicare, CMS Product No. 11435, https://www.medicare.gov/Pubs/pdf/11435.pdf.  Institutional Professional Consult: Permissible substitution when services provided by a medical professional cannot be combined with the given encounter record, such as a specialist consult in an inpatient setting; this situation can be common with claims data sources. This includes physician consults for patient during inpatient encounters that are not directly related to the cause of the admission (e.g. a ophthalmologist consult for a patient with diabetic ketoacidosis) (guidance updated in v4.0).  Non-Acute Institutional Stay: Includes hospice, skilled nursing facility (SNF),	MSCDM v4.0 with modified value set	•	able to identify observation stays within their data, these encounters should be labeled "OS." Typical observation stays last 24-48 hours. If partners find that they have observation stays that last significantly longer (e.g., weeks), this should also be documented in the ETL ADD.  Same-day surgery, OT/PT, and provider office visits for treatment/testing should be labeled as "AV."  For the situation where an Emergency Department (ED) encounter leads to a hospital admission  • The optimal, preferred state is to have one record for the ED (ENC_TYPE=ED), and a separate record for the hospital admission (ENC_TYPE=IP)  • However, this separation does not always exist in source data records. If the source system combines the ED and IP basis into one concept, a permissible substitution is to use ENC_TYPE=EI  • Never merge separate ED and IP records together.  Services rendered in an inpatient setting that cannot be combined with the facility encounter —  Inpatient (IP) and ED to Inpatient (EI) encounter types should be limited to encounters which include the facility component of the admission since these data are required to fully populate the expected fields (e.g. Discharge Date, Admitting Source, Discharge
				nursing visits, other non-hospital visits, as well as telemedicine, telephone and email consultations. May also include "lab only" visits (when a lab is ordered outside of a patient visit), "pharmacy only" (e.g., when a patient has a refill ordered without a face-to-			Admitting Source, Discharge Disposition, Discharge Status). If a partner has data for professional
				face visit), "imaging only", etc.			services that occur in an inpatient care setting that cannot be combined with the associated facility encounter, the partner should map

ENCOUNTER Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
						these services to "OT" and document this in their ETL ADD.  Generally, a reimbursement basis will determine the source system classification, instead of physical location. For example, a patient may occupy a hospital bed during an observation that is not classified as an inpatient hospital stay.  Please note that stand-alone urgent care facilities are usually not established as Emergency Departments.			
FACILITYID	RDBMS Text(x)	SAS Char(x)	•	Arbitrary local facility code that identifies the hospital or clinic. Used for chart abstraction and validation.  FACILITYID can be a true identifier, or a pseudoidentifier with a consistent crosswalk to the true identifier retained by the source data partner.	MSCDM v4.0 with modified field name	If populating FACILITY_TYPE, ensure that multiple     FACILITY_TYPE values are not used to describe the same     FACILITYID.      If a facility exists that operates both inpatient and outpatient units and is described in the source system by the same facility id, a potential solution is to append the source id with a suffix to create "sub facilities" that can be used to distinguish locations with different levels of service.			
DISCHARGE_DISPOSITION	RDBMS Text(2)	SAS Char(2)	A=Discharged alive E=Expired NI=No information UN=Unknown OT=Other	Vital status at discharge.	MSCDM v4.0 with modified field size and value set	Should be populated for Inpatient Hospital Stay (IP), Non-Acute Institutional Stay (IS) and ED-to-Inpatient (EI) encounter types.  May be populated for Emergency Department (ED) encounter types. Should be missing for ambulatory visit (AV or OA) encounter types, though it may be present for Observation Stays.			

ENCOUNTER Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
DISCHARGE_STATUS	RDBMS Text(2)	SAS Char(2)	AF=Adult Foster Home AL=Assisted Living Facility AM=Against Medical Advice AW=Absent without leave EX=Expired HH=Home Health HO=Home / Self Care HS=Hospice IP=Other Acute Inpatient Hospital NH=Nursing Home (Includes ICF) RH=Rehabilitatio n Facility RS=Residential Facility SH=Still In Hospital SN=Skilled Nursing Facility NI=No information UN=Unknown OT=Other	Discharge status.	MSCDM v4.0 with modified value set	Should be populated for Inpatient Hospital Stay (IP), Non-Acute Institutional Stay (IS) and ED-to-Inpatient (EI) encounter types. May be populated for Emergency Department (ED) encounter types. Should be missing for ambulatory visit (AV or OA) encounter types, though it may be present for Observation Stays.		

ENCOUNTER Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
DRG	RDBMS Text(3)	SAS Char(3)		3-digit Diagnosis Related Group (DRG).  The DRG is used for reimbursement for inpatient encounters. It is a Medicare requirement that combines diagnoses into clinical concepts for billing. Frequently used in observational data analyses.	MSCDM v4.0	<ul> <li>Should be populated for Inpatient Hospital Stay (IP), Non-Acute Institutional Stay (IS) and ED-to-Inpatient (EI) encounter types. May be populated for Emergency Department (ED) encounter types. Should be missing for ambulatory visit (AV or OA) encounter types, though it may be present for Observation Stays.</li> <li>Use leading zeroes for codes less than 100.</li> <li>For records with multiple DRGs assigned, choose the most appropriate one based on the source data.</li> </ul>		

ENCOUNTER Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
DRG_TYPE	RDBMS Text(2)	SAS Char(2)	01=CMS-DRG (old system) 02=MS-DRG (current system) NI=No information UN=Unknown OT=Other	DRG code version.	MSCDM v4.0 with modified field size and value set	<ul> <li>MS-DRG (current system) began on 10/1/2007.</li> <li>Should be populated for Inpatient Hospital Stay (IP), Non-Acute Institutional Stay (IS) and ED-to-Inpatient (EI) encounter types. May be populated for Emergency Department (ED) encounter types. Should be missing for ambulatory visit (AV or OA) encounter types, though it may be present for Observation Stays.</li> <li>This field is a derived attribute and is not expected to be an explicit data field within a source system</li> </ul>		

ENCOUNTER Table Spec Field Name	RDBMS Data	SAS Data	Predefined Value Sets	Definition / Comments	Data Element	Field-level Implementation
r teta Name			and Descriptive Text for	Definition / Comments		Guidance
	Туре	Туре	Categorical Fields		Provenance	
ADMITTING_SOURCE	RDBMS Text(2)	SAS Char(2)	AF=Adult Foster Home AL=Assisted Living Facility AV=Ambulatory Visit ED=Emergency Department HH=Home Health HO=Home / Self Care HS=Hospice IP=Other Acute Inpatient Hospital NH=Nursing Home (Includes ICF) RH=Rehabilitatio n Facility RS=Residential Facility SN=Skilled Nursing Facility IH=Intra-hospital NI=No information UN=Unknown OT=Other	Admitting source.	MSCDM v4.0 with modified value set	<ul> <li>Should be populated for Inpatient Hospital Stay (IP), Non-Acute Institutional Stay (IS) and ED-to-Inpatient (EI) encounter types. May be populated for Emergency Department (ED) encounter types. Should be missing for ambulatory visit (AV or OA) encounter types, though it may be present for Observation Stays.</li> <li>When a patient is discharged from one distinct entity in a hospital and admitted to another, resulting in a separate claim, use "IH"</li> </ul>

ENCOUNTER Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
PAYER_TYPE_ PRIMARY	RDBMS Text(5)	SAS Char(5)	See Value Set Appendix for a list of acceptable values.	Categorization of payer type for primary payer associated with the encounter	PHDSC Source of Payment Typology	<ul> <li>Do not derive if not already assigned through a validated process (e.g., by hospital billing department)</li> <li>Map to the most granular value the source data will support.</li> <li>Additional information can be found in the Payer Typology User Guide, located here:         <ul> <li>http://www.phdsc.org/standards/pay er-typology.asp</li> </ul> </li> <li>Even if payer type is not specified, populating RAW_PAYER_TYPE_PRIM ARY and RAW_PAYER_NAME_PRI MARY will allow a value to be determined through PCORnet-wide or study-specific process</li> </ul>		

ENCOUNTER Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
PAYER_TYPE_ SECONDARY	RDBMS Text(5)	SAS Char(5)	See Value Set Appendix for a list of acceptable values.	Categorization of payer type for secondary payer associated with the encounter	PHDSC Source of Payment Typology	<ul> <li>Do not derive if not already assigned through a validated process (e.g., by hospital billing department)</li> <li>Map to the most granular value the source data will support.</li> <li>Additional information can be found in the Payer Typology User Guide, located here:         <ul> <li>http://www.phdsc.org/standards/payer-typology.asp</li> </ul> </li> <li>Even if payer type is not specified, populating RAW_PAYER_TYPE_SECO NDARY and RAW_PAYER_NAME_SEC ONDARY will allow a value to be determined through PCORnet-wide or study-specific process</li> </ul>			

<b>ENCOUNTER Table Speci</b>	ENCOUNTER Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
FACILITY_TYPE	RDBMS Text(x)	SAS Char(x)	See Value Set Appendix for a list of acceptable values.	Description of the facility where the encounter occurred.	PCORnet	<ul> <li>Do not assign more than one FACILITY_TYPE to a single FACILITYID</li> <li>If a facility exists that operates both inpatient and outpatient units and is described in the source system by the same facility id, a potential solution is to append the source id with a suffix to create "sub facilities" that can be used to distinguish locations with different levels of service.</li> <li>For office visits at an academic medical center, select the most appropriate hospital outpatient clinic value.</li> <li>Pediatric specialty clinics should map the relevant specialty clinic, if available. The PEDIATRIC facility types would best be applied to a General Pediatrics clinic.</li> <li>A draft mapping between the CMS Place of Service value set and FACILITY_TYPE can be found here: https://github.com/CDMFORUM/C DM-GUIDANCE/issues/67</li> </ul>			
RAW_SITEID	RDBMS Text(x)	SAS Char(x)		Field for locally-defined identifier intended for local use; for example, where a network may have multiple sites contributing to a central data repository.  This attribute may be sensitive in certain contexts; the intent is for internal network use only, and not to enable site quality comparisons.	PCORnet				

ENCOUNTER Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
RAW_ENC_TYPE	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet			
RAW_DISCHARGE_DISPOSIT ION	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet			
RAW_DISCHARGE_STATUS	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet			
RAW_DRG_TYPE	RDBMS Text(x)	SAS Char(x)	•	Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet			
RAW_ADMITTING_SOURCE	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet			
RAW_FACILITY_TYPE	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet			
RAW_PAYER_TYPE_PRIMAR Y	RDBMS Text(x)	SAS Char(x)	•	Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet			
RAW_PAYER_NAME_PRIMA RY	RDBMS Text(x)	SAS Char(x)		Primary payer name as denoted in the source system. Used to derive PAYER_TYPE_PRIMARY if validated process does not exist.	PCORnet	<ul> <li>Name of secondary payer associated with the encounter.</li> <li>Partners should only populate if local governance allows it.</li> </ul>		
RAW_PAYER_ID_PRIMARY	RDBMS Text(x)	SAS Char(x)		Primary PAYER identifier as denoted in the source system. Used to derive PAYER_TYPE_PRIMARY if validated process does not exist.	PCORnet	<ul> <li>Identifier associated with the primary payer.</li> <li>Partners should only populate if local governance allows it.</li> </ul>		
RAW_PAYER_TYPE_SECOND ARY	RDBMS Text(x)	SAS Char(x)	•	Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet			
RAW_PAYER_NAME_SECON DARY	RDBMS Text(x)	SAS Char(x)		Secondary payer name as denoted in the source system. Used to derive PAYER_TYPE_SECONDARY if validated process does not exist.	PCORnet	<ul> <li>Name of secondary payer associated with the encounter.</li> <li>Partners should only populate if local governance allows it.</li> </ul>		
RAW_PAYER_ID_SECONDAR Y	RDBMS Text(x)	SAS Char(x)		Secondary PAYER identifier as denoted in the source system. Used to derive PAYER_TYPE_SECONDARY if validated process does not exist.	PCORnet	<ul> <li>Identifier associated with the secondary payer.</li> <li>Partners should only populate if local governance allows it.</li> </ul>		

https://pcornet.org/data-driven-common-model/

# 5.4. Table: DIAGNOSIS

# **DIAGNOSIS Domain Description:**

Diagnosis codes indicate the results of diagnostic processes and medical coding within healthcare delivery.

Data in this table are expected to be from healthcare-mediated processes and reimbursement drivers.

### **Relational Integrity:**

The DIAGNOSIS table contains one record per DIAGNOISID.

**Primary Key:** DIAGNOSISID

### **Foreign Keys:**

DIAGNOSIS.PATID is a foreign key to DEMOGRAPHIC.PATID (many-to-one relationship)
DIAGNOSIS.ENCOUNTERID is a foreign key to ENCOUNTER.ENCOUNTERID (many-to-one relationship)
DIAGNOSIS.PROVIDERID is a foreign key to PROVIDER.PROVIDERID (many-to-one relationship)

#### **Constraints:**

DIAGNOSISID (unique; required, not null)
PATID (required, not null)
DX (required, not null)
DX\_TYPE (required, not null)
DX\_SOURCE (required, not null)

# **DIAGNOSIS Table Implementation Guidance**

- This table should capture all uniquely recorded diagnoses for all encounters, with the exception of problem list entries. If partners have access to multiple versions of each diagnosis within a given encounter (e.g., admitting, interim, final), the preference is to prioritize final or discharge diagnoses. A value should be specified in DX\_SOURCE to indicate the classification of the diagnosis.
- Diagnoses from problem lists will be captured in the CONDITION table.
- If a patient has multiple diagnoses associated with one encounter, then there would be one record in this table for each diagnosis.
- ENCOUNTERID should be populated for DIAGNOSIS and PROCEDURES. The definitions of the DIAGNOSIS and PROCEDURES tables are dependent upon a healthcare context; therefore, the encounter basis is necessary and the ENCOUNTERID, PROVIDERID, ENCOUNTER\_TYPE, and ADMIT\_DATE from the associated ENCOUNTER record should be included. While not desirable, a low percentage of orphan records is permissible to accommodate instances in which the associated ENCOUNTER details are missing from the source data.
- Data in this table are expected to be from healthcare-mediated processes and reimbursement drivers, including technical/facility billing, professional billing and other data streams. **Do not omit** billing data unless it is unavailable from the source system or the partner is certain that the diagnoses loaded from the non-billing system (e.g., the EHR) represents completely the diagnosis data available from the billing system. Data from these different streams have different analytical utility so there is a benefit to including both if available.
- Diagnoses are often only related to the **treatment** of the patient during the specific encounter. Chronic conditions that are not be pertinent to the treatment of a specific encounter, for example, would not be expected to be present.
- If a local vocabulary is used, but *cannot* be mapped to a standard vocabulary such as ICD-9-CM, DX\_TYPE should be populated as "Other" and the local value stored in DX. If the local value can be mapped to a standard vocabulary, follow the guidance around the population of Raw fields (General Guidance #1).
- Partners should continue to populate ADMIT\_DATE, even if they are populating DX\_DATE. Analyses may leverage either date, or both. DX\_DATE can be particularly useful for identifying diagnoses or conditions that might have developed over the course of a long inpatient encounter.

<b>DIAGNOSIS</b> Tab	le Specification					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
DIAGNOSISID	RDBMS Text(x)	SAS Char(x)		Arbitrary identifier for each unique record.	PCORnet	
PATID	RDBMS Text(x)	SAS Char(x)		Arbitrary person-level identifier. Used to link across tables.	MSCDM v4.0	All PATIDs in this table must be present in the DEMOGRAPHIC table.
ENCOUNTERID	RDBMS Text(x)	SAS Char(x)		Arbitrary encounter-level identifier. Used to link across tables.	MSCDM v4.0	All ENCOUNTERIDs in this table must be present in the ENCOUNTER table.
ENC_TYPE	RDBMS Text(2)	SAS Char(2)	AV=Ambulatory Visit ED=Emergency Department EI=Emergency Department Admit to Inpatient Hospital Stay (permissible substitution) IP=Inpatient Hospital Stay IS=Non-Acute Institutional Stay OS=Observation Stay IC=Institutional Professional Consult (permissible substitution) OA=Other Ambulatory Visit NI=No information UN=Unknown OT=Other	Please note: This is a field replicated from the ENCOUNTER table. See the ENCOUNTER table for definitions.	MSCDM v4.0 with modified value set	Should be non-null for all records replicated from ENCOUNTER table (guidance added in v4.0).
ADMIT_DATE	RDBMS Date	SAS Date (Numeric)		Please note: This is a field replicated from the ENCOUNTER table. See the ENCOUNTER table for definitions.	MSCDM v4.0 with modified field name	

<b>DIAGNOSIS Table</b>	DIAGNOSIS Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
PROVIDERID	RDBMS Text(x)	SAS Char(x)		Identifier associated with the provider most responsible for the diagnosis.	MSCDM v4.0	<ul> <li>The PROVIDERID from the ENCOUNTER can be used if provider assigning the diagnosis is unknown.</li> <li>Use the ID of the attending provider if the diagnosis is assigned by a resident/intern.</li> <li>All PROVIDERIDS must be present in the PROVIDER table.</li> </ul>			
DX	RDBMS Text(18)	SAS Char(18)		Diagnosis code.  Some codes will contain leading zeroes, and different levels of decimal precision may also be present. This field is a character field, not numeric, to accommodate these coding conventions.	MSCDM v4.0	<ul> <li>Please populate the exact textual value of this diagnosis code, but remove sourcespecific suffixes and prefixes. Other codes should be listed as recorded in the source data.</li> <li>Do not include leading zeros beyond those that are part of the code itself (i.e., represent ICD-9 diagnosis 001.9 as "001.9", not "000001.9" or some other variation).</li> <li>Decimal points may or may not be present for ICD-9/ICD-10 codes. If the decimal point is missing from source data, do not add. If it is present in source data, do not remove.</li> </ul>			

<b>DIAGNOSIS Table</b>	DIAGNOSIS Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance				
DX_TYPE	RDBMS Text(2)	SAS Char(2)	09=ICD-9-CM 10=ICD-10-CM 11=ICD-11-CM SM=SNOMED CT NI=No information UN=Unknown OT=Other	Diagnosis code type.  We provide values for ICD and SNOMED code types. Other code types will be added as new terminologies are more widely used.  Please note: The "Other" category is meant to identify internal use ontologies and codes.	MSCDM v4.0 with modified field name	This field is a derived attribute and is not expected to be an explicit data field within a source system				
DX_DATE	RDBMS Date	SAS Date (Numeric)		Date diagnosis was recorded, if known.	PCORnet	<ul> <li>This field is meant to represent when a diagnosis was first recorded in the source system during a given encounter, not necessarily when a patient was first diagnosed with a given condition.</li> <li>If the source system records a date for each diagnosis for every day of the encounter, only populate this field if it actually represents the date the diagnosis was first recorded. Otherwise, leave blank.</li> </ul>				
DX_SOURCE	RDBMS Text(2)	SAS Char(2)	AD=Admitting DI=Discharge FI=Final IN=Interim NI=No information UN=Unknown OT=Other	Classification of diagnosis source. We include these categories to allow some flexibility in implementation. The context is to capture available diagnoses recorded during a specific encounter.	PCORnet	<ul> <li>It is not necessary to populate interim diagnoses unless readily available.</li> <li>Ambulatory encounters would generally be expected to have a source of "Final."</li> </ul>				

<b>DIAGNOSIS Ta</b>	DIAGNOSIS Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance				
DX_ORIGIN	RDBMS Text(2)	SAS Char(2)	OD=Order/EHR BI=Billing CL=Claim DR=Derived NI=No information UN=Unknown OT=Other	Source of the diagnosis information.  Billing pertains to internal healthcare processes and data sources. Claim pertains to data from the bill fulfillment, generally data sources held by insurers and other health plans.	PCORnet	<ul> <li>Use "OD" for diagnoses entered into the EHR that are associated with an Order.</li> <li>Use "OD" for any diagnosis associated with an encounter that is entered into the EHR by a provider.</li> <li>Use "BI" for all diagnoses that are generated through the physician and hospital billing process.</li> <li>Use "DR" for all diagnoses that are derived or imputed through analytical procedures (e.g., natural language processing). This does not apply to diagnoses that have been mapped from vocabulary mapping software/middleware (e.g., IMO) See General Guidance #4. In those instances, use "OD" or "BI", depending on the provenance of the diagnosis.</li> </ul>				

<b>DIAGNOSIS</b> Tab	le Specification					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
PDX	RDBMS Text(2)	SAS Char(2)	P=Principal S=Secondary X=Unable to Classify NI=No information UN=Unknown OT=Other	Principal discharge diagnosis flag.	MSCDM v4.0 with modified field size and value set	<ul> <li>Value expected for IP, IS, EI, and OS encounters. May also be present for other encounter types.</li> <li>One principal diagnosis per encounter is expected, although in some instances more than one diagnosis may be flagged as principal.</li> <li>Professional vs. Facility – If a partner has access to both professional and facility diagnosis data for a given encounter, the facility diagnoses should be used to populate this field. Partners should document their approach in their ETL ADD.</li> </ul>
DX_POA	RDBMS Text(2)	SAS Char(2)	Y = Diagnosis present N = Diagnosis not present U = Insufficient documentation W = Clinically undetermined 1 = Unreported / not used NI=No information UN=Unknown OT=Other	Flag to denote whether diagnosis was present on inpatient admission.  Y = Diagnosis present at time of inpatient admission N = Diagnosis not present at time of inpatient admission U = Documentation insufficient to determine if the condition was present at the time of inpatient admission W = Clinically undetermined. Provider unable to clinically determine whether the condition was present at the time of inpatient admission 1 = Unreported / not used. Exempt from present-on-admission reporting.	CMS	<ul> <li>Include for EI, IP visits only</li> <li>If data are populated for some inpatient diagnoses, but not all, use "UN" for diagnoses with blank/null value</li> <li>Only assign a value of "1" to a diagnosis if it is reported that way in the source system.</li> </ul>

<b>DIAGNOSIS</b> Table	Specification					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
RAW_DX	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	
RAW_DX_TYPE	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	
RAW_DX_SOURCE	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	
RAW_PDX	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	
RAW_DX_POA	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	

# 5.5. Table: PROCEDURES

# **PROCEDURES Domain Description:**

Procedure codes indicate the discrete medical interventions and diagnostic testing, such as surgical procedures and lab orders, delivered within a healthcare context.

### **Relational Integrity:**

The PROCEDURES table contains one record per PROCEDURESID.

**Primary Key: PROCEDURESID** 

## **Foreign Keys:**

PROCEDURES.PATID is a foreign key to DEMOGRAPHIC.PATID (many-to-one relationship)
PROCEDURES.ENCOUNTERID is a foreign key to ENCOUNTER.ENCOUNTERID (many-to-one relationship)
PROCEDURES.PROVIDERID is a foreign key to PROVIDER.PROVIDERID (many-to-one relationship)

#### **Constraints:**

PROCEDURESID (unique; required, not null)
PATID (required, not null)
PX (required, not null)
PX\_TYPE (required, not null)

Note: This table uses the plural form of "procedures" because "procedure" (singular) is often a reserved word in RDBMS's.

# **PROCEDURES Table Implementation Guidance**

- This table should capture all uniquely recorded procedures for all encounters, including office or evaluation and management visits, diagnostic testing, laboratory test orders, medication administrations, or other services rendered by a clinician.
- If a patient has multiple procedures ordered during one encounter, then there would be one record in this table for each procedure.
- ENCOUNTERID should be populated for DIAGNOSIS and PROCEDURES. The definitions of the DIAGNOSIS and PROCEDURES tables are dependent upon a healthcare context; therefore, the encounter basis is necessary and the ENCOUNTERID, PROVIDERID, ENCOUNTER\_TYPE, and ADMIT\_DATE from the associated ENCOUNTER record should be included. While not desirable, a low percentage of orphan records is permissible to accommodate instances in which the associated ENCOUNTER details are missing from the source data.
- Data in this table are expected to be from healthcare-mediated processes and reimbursement drivers, including technical/facility billing, professional billing and other data streams. **Do not omit** billing data unless it is unavailable from the source system or the partner is certain that the procedures loaded from the non-billing system (e.g., the EHR) represents completely the procedure data available from the billing system
- If a local vocabulary is used, but cannot be mapped to a standard vocabulary such as ICD-9-CM, PX\_TYPE should be populated as "Other" and the local value stored in PX. If the local value can be mapped to a standard vocabulary, follow the guidance around the population of Raw fields (General Guidance #1).
- Evidence of medications administered in outpatient settings should be present in the PROCEDURES table if that information is included with other billed/ordered PROCEDURES.
- Evidence of inpatient administrations should be present in the PROCEDURES table if that information is included with other billed/ordered PROCEDURES.
- **DO NOT** include records from medication administration sources (e.g., electronic medication administration records) in this table.
- If possible to determine from the source data, only include procedures that have actually occurred.
- Inclusion of laboratory orders If possible, partners should include laboratory orders within the PROCEDURES table to support potential studies of appropriate laboratory monitoring. This includes those orders without a corresponding result in the LAB RESULT CM table. Do not include canceled orders.

<b>PROCEDURES Tabl</b>	PROCEDURES Table Specification										
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance					
PROCEDURESID	RDBMS Text(x)	SAS Char(x)		Arbitrary identifier for each unique record.	PCORnet						
PATID	RDBMS Text(x)	SAS Char(x)		Arbitrary person-level identifier. Used to link across tables.	MSCDM v4.0	All PATIDs in this table must be present in the DEMOGRAPHIC table.					
ENCOUNTERID	RDBMS Text(x)	SAS Char(x)		Arbitrary encounter-level identifier. Used to link across tables.	MSCDM v4.0	All ENCOUNTERIDs in this table must be present in the ENCOUNTER table.					

PROCEDURES Tabl	le Specification					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
ENC_TYPE	RDBMS Text(2)	SAS Char(2)	AV=Ambulatory Visit ED=Emergency Department EI=Emergency Department Admit to Inpatient Hospital Stay (permissible substitution) IP=Inpatient Hospital Stay IS=Non-Acute Institutional Stay OS=Observation Stay IC=Institutional Professional Consult (permissible substitution) OA=Other Ambulatory Visit NI=No information UN=Unknown OT=Other	Please note: This is a field replicated from the ENCOUNTER table. See ENCOUNTER table for definitions.	MSCDM v4.0 with modified field name and value set	Should be non-null for all records replicated from ENCOUNTER table (guidance added in v4.0).
ADMIT_DATE	RDBMS Date	SAS Date (Numeric)		Please note: This is a field replicated from the ENCOUNTER table. See ENCOUNTER table for definitions.	MSCDM v4.0 with modified field name	
PROVIDERID	RDBMS Text(x)	SAS Char(x)		Identifier of the PROVIDER most associated with the procedure order.	MSCDM v4.0	All PROVIDERIDs must be present in the PROVIDER table.
PX_DATE	RDBMS Date	SAS Date (Numeric)	•	Date the procedure was performed.	PCORnet	PX_DATE should reflect the date the procedure was performed, if known. Leave blank if you cannot determine if or when the procedure was performed.
PX	RDBMS Text(11)	SAS Char(11)		Procedure code.	MSCDM v4.0	Decimal points may or may not be present for ICD-9/ICD-10 procedure codes. If the decimal point is missing, do not add. If it is present, do not remove.

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<b>PROCEDURES T</b>	Table Specification					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
PX_TYPE	RDBMS Text(2)	SAS Char(2)	09=ICD-9-CM 10=ICD-10-PCS 11=ICD-11-PCS CH = CPT or HCPCS LC=LOINC ND=NDC RE=Revenue NI=No information UN=Unknown OT=Other	Procedure code type.  We include a number of code types for flexibility, but the basic requirement that the code refer to a medical procedure remains.  Revenue codes are a standard concept in Medicare billing and can be useful for defining care settings. If those codes are available they can be included.  Medications administered by clinicians can be captured in billing data and Electronic Health Records (EHRs) as HCPCS procedure codes. Administration (infusion) of chemotherapy is an example.  We are now seeing NDCs captured as part of procedures because payers are demanding it for payment authorization. Inclusion of this code type enables those data partners that capture the NDC along with the procedure to include the data.  Please note: The "Other" category is meant to identify internal use ontologies and codes.	MSCDM v4.0 with modified field name and value set	Expected/known length of codes for some terminologies in PX_TYPE:

<b>PROCEDURES Ta</b>	ble Specification					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
PX_SOURCE	RDBMS Text(2)	SAS Char(2)	OD=Order/EHR BI=Billing CL=Claim DR=Derived NI=No information UN=Unknown OT=Other	Source of the procedure information.  Order and billing pertain to internal healthcare processes and data sources. Claim pertains to data from the bill fulfillment, generally data sources held by insurers and other health plans.	PCORnet	<ul> <li>If evaluation and management (E/M) or level of service (LOS) codes are available, they should be included</li> <li>This field is a derived attribute and is not expected to be an explicit data field within a source system</li> <li>Use "OD" for procedures entered into the EHR that are associated with an Order.</li> <li>Use "OD" for any procedures associated with an encounter that is entered into the EHR by a provider.</li> <li>Use "BI" for all procedures that are generated through the physician and hospital billing process.</li> <li>Use "DR" for all procedure records that are derived or imputed through analytical procedures (e.g., natural language processing). This does not apply to procedures mapped from a superset terminology (General Guidance #4).</li> </ul>
PPX	RDBMS Text(2)	SAS Char(2)	P=Principal S=Secondary NI=No information UN=Unknown OT=Other	Principal procedure flag.	PCORnet	<ul> <li>Value may be present for IP, IS, EI, and OS encounters.</li> <li>One principal procedure per encounter is expected, although in some instances more than one procedure may be flagged as principal.</li> </ul>

PROCEDURES Tabl	e Specification					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
RAW_PX	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	
RAW_PX_TYPE	RDBMS Text(x)	SAS Char(x)	•	Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	
RAW_PPX	RDBMS Text(x)	SAS Char(x)	•	Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	

# **VITAL Domain Description:**

Vital signs (such as height, weight, and blood pressure) directly measure an individual's current state of attributes.

### **Relational Integrity:**

The VITAL table contains one record per VITALID.

**Primary Key: VITALID** 

## Foreign Keys:

VITAL.PATID is a foreign key to DEMOGRAPHIC.PATID (many-to-one relationship)
VITAL.ENCOUNTERID is a foreign key to ENCOUNTER.ENCOUNTERID (zero/many-to-one relationship)

#### **Constraints:**

VITALID (unique; required, not null)
PATID (required, not null)
MEASURE\_DATE (required, not null)
VITAL\_SOURCE (required, not null)

# **VITAL Table Implementation Guidance**

- This table includes measurements recorded in both healthcare and non-healthcare settings.
- The VITAL table contains one record per result/entry. Multiple measurements may exist in source data (for example, 3 blood pressure readings on the same day); in this case, <u>each</u> measurement would be a <u>separate</u> record. If multiple vitals are collected at the same time (e.g., height, weight and blood pressure recorded at the start of an encounter), it is permissible to store these values in a single record. This table should be populated with all available measures, with the possible exception(s) noted below.
- If a partner has access to vital signs that are sourced from a device feed, they should make an assessment about data volume before including these measures, particularly if multiple readings per day are present for a large percentage of their population. Measures should not be averaged or aggregated.
  - o For healthcare device data sources: If multiple readings are available and the volume of data is judged by the data partner to be too burdensome for inclusion, using the set of values that were recorded directly in the medical record preferred over any algorithmic selection process.
- For personal device data sources: If multiple readings are available and the volume of data is judged by the data partner to be too high for inclusion, the project/study leadership should define a method for selecting individual measurements and this logic should be documented in the ETL ADD.

Figure 1. Example of populated VITAL table.

1 iguit 1. Example of popul	lucca	VIIII tuoi	<b>C.</b>											
VITALID	PATID	ENCOUNTERID	MEASURE_DATE	MEASURE,	TIME VITAL	L_SOURCE	HT	WT	DIASTOLIC	SYSTOLIC	ORIGINAL_BMI	BP_POSITION	TOBACCO	TOBACCO_TYPE
f5a9a07a-f910-11e4-a322-1697f925ec7b	123	98765	1/5/2014	13:51	HC		67							
f5a9a2be-f910-11e4-a322-1697f925ec7b	123	98765	1/5/2014	13:52	HC			150						
f5a9a3fe-f910-11e4-a322-1697f925ec7b	123	98765	1/5/2014	13:55	HC			(	120	80		01		
f5a9a52a-f910-11e4-a322-1697f925ec7b	123	98765	1/5/2014		HC								01	NI
f5a9a822-f910-11e4-a322-1697f925ec7b	123	98765	1/5/2014	14:02	HC			(	122	86		01		
f5a9a94e-f910-11e4-a322-1697f925ec7b			3/22/2014		PR			145.6						
f5a9aa7a-f910-11e4-a322-1697f925ec7b	123	65432	11/30/2014		HC		67							
f5a9ab9c-f910-11e4-a322-1697f925ec7b	123	65432	11/30/2014		HC			149.3						
		same d dif howev to co reco	surements on the late are recorded in ferent records; rer, it is permissible insolidate into one ord if none of the res were repeated.	was reco the mo preferal we reco sour include	example, no orded for seve easures. Although to capture cognize that see data may de time precis	veral of ough e time, some not		readi	re than one ing was colle	cted during	this	ex	•	ely fake data ted de novo, sting data.

VITAL Table Specif	ication					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
VITALID	RDBMS Text(x)	SAS Char(x)		Arbitrary identifier for each unique VITAL record.	PCORnet	
PATID	RDBMS Text(x)	SAS Char(x)		Arbitrary person-level identifier. Used to link across tables.	MSCDM v4.0	All PATIDs must be present in the DEMOGRAPHIC table.
ENCOUNTERID	RDBMS Text(x)	SAS Char(x)		Arbitrary encounter-level identifier.  Not all vital sign measures will be associated with a healthcare encounter.	PCORnet	<ul> <li>ENCOUNTERID should generally be present if the vitals were measured as part of the healthcare delivery captured by this datamart.</li> <li>All ENCOUNTERIDs in this table must be present in the ENCOUNTER table.</li> </ul>
MEASURE_DATE	RDBMS Date	SAS Date (Numeric)		Date of vitals measure.	MSCDM v4.0	

VITAL Table Specification										
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance				
MEASURE_TIME	RDBMS Text(5): Format as HH:MI using 24-hour clock and zero- padding for hour and minute	SAS Time (Numeric)	•	Time of vitals measure.	MSCDM v4.0 with modified data type  Source of time format: ISO 8601					

VITAL Table Specificat	tion					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
VITAL_SOURCE	RDBMS Text(2)	SAS Char(2)	PR=Patient-reported PD=Patient device direct feed HC=Healthcare delivery setting HD=Healthcare device direct feed DR=Derived NI=No information UN=Unknown OT=Other	Please note: The "Patient-reported" category can include reporting by patient's family or guardian.	PCORnet	<ul> <li>This field is a derived attribute and is not expected to be an explicit data field within a source system.</li> <li>If the source of a given vital sign is not explicitly present in the source system, partners should infer a value for VITAL_SOURCE based on the data and workflow used to collect them. If there is uncertainty as to whether the values come directly from a device, partners should use the more general value/context (patient-reported or healthcare delivery setting). If it is not possible to infer whether the value is patient-reported or was collected in a healthcare delivery setting, partners should choose NI ("no information").</li> <li>Use "DR" for all vital signs that are derived or imputed through analytical procedures (e.g., natural language processing).</li> </ul>

VITAL Table Specif	fication					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
HT	RDBMS Number(x)	SAS Numeric(length 8)	•	Height (in inches) measured by standing. Only populated if measure was taken on this date. If missing, this value should be null. Decimal precision is permissible.	MSCDM v4.0	
WT	RDBMS Number(x)	SAS Numeric(length 8)		Weight (in pounds). Only populated if measure was taken on this date. If missing, this value should be null. Decimal precision is permissible.	MSCDM v4.0	
DIASTOLIC	RDBMS Number(x)	SAS Numeric(length 8)		Diastolic blood pressure (in mmHg). Only populated if measure was taken on this date. If missing, this value should be null.	MSCDM v4.0	
SYSTOLIC	RDBMS Number(x)	SAS Numeric(length 8)		Systolic blood pressure (in mmHg). Only populated if measure was taken on this date. If missing, this value should be null.	MSCDM v4.0	
ORIGINAL_BMI	RDBMS Number(x)	SAS Numeric(length 8)		BMI if calculated in the source system. Decimal precision is permissible.  Important: Please do not calculate BMI during CDM implementation.  This field should only reflect originating source system calculations, if height and weight are not stored in the source.	PCORnet	
BP_POSITION	RDBMS Text(2)	SAS Char(2)	01=Sitting 02=Standing 03=Supine NI=No information UN=Unknown OT=Other	Position for orthostatic blood pressure. This value should be null if blood pressure was not measured.	MSCDM v4.0 with modified field name, field size, and value set	

TOBACCO	RDBMS Text(2)	SAS Char(2)	01=Current every day smoker 02=Current some day smoker 03=Former smoker 04=Never smoker 05=Smoker, current status unknown 06=Unknown if ever smoked 07=Heavy tobacco smoker 08=Light tobacco smoker NI=No information UN=Unknown OT=Other	Indicator for any form of tobacco that is smoked.  Per Meaningful Use guidance, "smoking status includes any form of tobacco that is smoked, but not all tobacco use."  "Light smoker' is interpreted to mean less than 10 cigarettes per day, or an equivalent (but less concretely defined) quantity of cigar or pipe smoke. 'Heavy smoker' is interpreted to mean greater than 10 cigarettes per day or an equivalent (but less concretely defined) quantity of cigar or pipe smoke."  "we understand that a "current every day smoker" or "current some day smoker" is an individual who has smoked at least 100 cigarettes during his/her lifetime and still regularly smokes every day or periodically, yet consistently; a "former smoker" would be an individual who has smoked at least 100 cigarettes during his/her lifetime but does not currently smoke; and a "never smoker" would be an individual who has not smoked 100 or more cigarettes during his/her lifetime."  http://www.healthit.gov/sites/default/files/standards-certification/2014-edition-draft-test-procedures/170-314-a-11-smoking-status-2014-test-procedure-draft-v1.0.pdf [retrieved May 11, 2015]	PCORnet  Meaningful Use Core Measures 9 of 13, Stage 1 (2014 definition)  http://www.cms.gov/Regul ations-and- Guidance/Legislation/EHR IncentivePrograms/downlo ads/9_Record_Smoking_St atus.pdf [retrieved January 11, 2015]	
TOBACCO	RDBMS Text(2)	SAS Char(2)	01=Current user 02=Never 03=Quit/former user	Indicator for any form of tobacco.	with modified	

VITAL Table Specific	VITAL Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
			04=Passive or environmental exposure 06=Not asked NI=No information UN=Unknown OT=Other		field name, field size, and value set				
TOBACCO_TYPE	RDBMS Text(2)	SAS Char(2)	01=Smoked tobacco only 02=Non-smoked tobacco only 03=Use of both smoked and non- smoked tobacco products 04=None 05=Use of smoked tobacco but no information about non-smoked tobacco use NI=No information UN=Unknown OT=Other	Type(s) of tobacco used.	MSCDM v4.0 with modified field size and value set				
RAW_DIASTOLIC	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet				
RAW_SYSTOLIC	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet				
RAW_BP_POSITION	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet				

VITAL Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
RAW_SMOKING	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet			
RAW_TOBACCO	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet			
RAW_TOBACCO_TYPE	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet			

# 5.7. Table: DISPENSING

# **DISPENSING Domain Description:**

Prescriptions filled through a community, mail-order or hospital pharmacy. Outpatient dispensing may not be directly captured within healthcare systems.

(Domain description updated in v4.0)

## **Relational Integrity:**

The DISPENSING table contains one record per DISPENSINGID.

**Primary Key:** DISPENSINGID

## **Foreign Keys:**

DISPENSING.PATID is a foreign key to DEMOGRAPHIC.PATID (many-to-one relationship)
DISPENSING.PRESCRIBINGID is a foreign key to PRESCRIBING.PRESCRIBINGID (zero-to-many relationship)

#### **Constraints:**

DISPENSINGID (unique; required, not null)
PATID (required, not null)
DISPENSE\_DATE (required, not null)
NDC (required, not null)

# **DISPENSING Table Implementation Guidance**

- Each record represents an outpatient pharmacy dispensing.
- This domain is commonly available in claims data, but may not be available in many EHR data sources.
- Dispensing records are different from medication orders or prescribing records, data from medication administration activities, as well as the medication reconciliation of the active medication list.
- Administered medications should NOT be stored in this table. They should be stored in the MED\_ADMIN table. Evidence of medications administered in outpatient settings, such as infusions given in medical practices, or those administered in an inpatient setting may be present in the PROCEDURES table if that level of detail is available in the source procedure data.
- Rollback transactions and other adjustments that are indicative of a dispensing being canceled or not picked up by the member should be processed (removed) before populating this table. This may be handled differently by Data Partners and may be affected by billing cycles.
- In the uncommon situation where one NDC is dispensed more than once for a given patient on a given day, it is acceptable to combine the values from the multiple dispensings for days supply and number of units.

<b>DISPENSING Tab</b>	DISPENSING Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
DISPENSINGID	RDBMS Text(x)	SAS Char(x)		Arbitrary identifier for each unique record.	PCORnet				
PATID	RDBMS Text(x)	SAS Char(x)		Arbitrary person-level identifier. Used to link across tables.	MSCDM v4.0	All PATIDs must be present in the DEMOGRAPHIC table.			
PRESCRIBINGID	RDBMS Text(x)	SAS Char(x)		This is an <u>optional</u> relationship to the PRESCRIBING table, and may not be generally available. One prescribing order may generate multiple dispensing records.	PCORnet				
DISPENSE_DATE	RDBMS Date	SAS Date (Numeric)		Dispensing date (as close as possible to date the person received the dispensing).	MSCDM v4.0 with modified field name				
NDC	RDBMS Text(11)	SAS Char(11)		National Drug Code in the 11-digit, no-dash, HIPAA format.  Please expunge any place holders (such as dashes or extra digits).	MSCDM v4.0 with additional guidance	NDC must be in HIPAA format. Guidance on normalization for other forms of NDC can be found here: <a href="http://www.nlm.nih.gov/research/umls/rxnorm/docs/2012/rxnormdoco_full_2012-1.html">http://www.nlm.nih.gov/research/umls/rxnorm/docs/2012/rxnormdoco_full_2012-1.html</a> (see section 6)			

DISPENSING Table Specification								
Field Name	RDBMS Data	SAS Data	Predefined Value Sets and Descriptive Text	Definition / Comments	Data Element	Field-level Implementation		
	Type	Type	for Categorical Fields		Provenance	Guidance		
DISPENSE_SOURCE	RDBMS Text(2)	SAS Char(2)	OD=Order/EHR BI=Billing CL=Claim PM=Pharmacy Benefit Manager DR=Derived NI=No information UN=Unknown OT=Other	Source of the dispensing information.	PCORnet	<ul> <li>This field is a derived attribute and is not expected to be an explicit data field within a source system</li> <li>Use "OD" for dispensing records that are sourced from the EHR or internal pharmacy systems.</li> <li>Use "BI" for all dispensing records that are generated through the physician and hospital billing process.</li> <li>Use "CL" for dispensing records that are sourced from pharmacy or medical claims.</li> <li>Use "DR" for all dispensing records that are derived or imputed through analytical procedures (e.g., natural language processing).</li> <li>Use "PM" for dispensing records sourced from a pharmacy benefit manager (e.g., Surescripts, Express Scripts).</li> </ul>		
DISPENSE_SUP	RDBMS Number(x)	SAS Numeric(len gth 8)		Days supply. Number of days that the medication supports based on the number of doses as reported by the pharmacist. This amount is typically found on the dispensing record. Integer values are expected.  Important: Please do not calculate during CDM implementation. This field should only reflect originating source system calculations.	MSCDM v4.0 with modified field name			

DISPENSING Table Specification							
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance	
DISPENSE_AMT	RDBMS Number(x)	SAS Numeric(len gth 8)		Number of units (pills, tablets, vials) dispensed. Net amount per NDC per dispensing. This amount is typically found on the dispensing record. Positive values are expected.  Important: Please do not calculate during CDM implementation. This field should only reflect originating source system calculations.	MSCDM v4.0 with modified field name		
DISPENSE_DOSE_DI SP	RDBMS Number(x)	SAS Numeric(len gth 8)		Dose of a given mediation, as dispensed	PCORnet	Do not impute or derive. Populate only if captured in the source system as a discrete value.	

DISPENSING Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
DISPENSE_DOSE_DI SP_UNIT	RDBMS Text(x)	SAS Char(x)	See Value Set Appendix for a list of acceptable values.	Units of measure associated with the dose of the medication as dispensed	UCUM	<ul> <li>Do not impute or derive. Populate only if captured in the source system as a discrete value.</li> <li>Choose the standardized unit of measure that is most reflective of the source data.</li> <li>The Value Set Appendix contains a list of the units most commonly associated with medication records. Partners can use this table to aid in their mapping efforts, but they should refer back to the full value set if they have a medication record with a unit of measure that is not present in this curated list. (Guidance deprecated as of CDM v5.0)</li> <li>This is a mixed case value set and entries should be handled accordingly.</li> </ul>		
DISPENSE_ROUTE	RDBMS Text(x)	SAS Char(x)	See Value Set Appendix for a list of acceptable values.	Route of delivery		Do not impute or derive. Populate only if captured in the source system as a discrete value.		
RAW_NDC	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.				

DISPENSING Table Specification									
Field Name	RDBMS Data	SAS Data	Predefined Value Sets	Definition / Comments	Data Element	Field-level Implementation			
	Туре	Туре	and Descriptive Text for Categorical Fields		Provenance	Guidance			
RAW_DISPENSE_DO	RDBMS	SAS Char(x)		Field for originating value, prior to mapping into the					
SE_DISP	Text(x)			PCORnet CDM value set.					
RAW_DISPENSE_DO	RDBMS	SAS Char(x)		Field for originating value, prior to mapping into the					
SE_DISP_UNIT	Text(x)			PCORnet CDM value set.					
RAW_DISPENSE_RO	RDBMS	SAS Char(x)		Field for originating value, prior to mapping into the					
UTE	Text(x)			PCORnet CDM value set.					

# 5.8. Table: LAB\_RESULT\_CM

# **LAB\_RESULT\_CM Domain Description:**

This table is used to store quantitative and qualitative measurements from blood and other body specimens.

(Domain description updated in v4.0)

### **Relational Integrity:**

The LAB\_RESULT\_CM table contains one record per LAB\_RESULT\_CM\_ID

Primary Key: LAB\_RESULT\_CM\_ID

#### Foreign Keys:

LAB\_RESULT\_CM.PATID is a foreign key to DEMOGRAPHIC.PATID (many-to-one relationship)

LAB\_RESULT\_CM.ENCOUNTERID is a foreign key to ENCOUNTER.ENCOUNTERID (zero/many-to-one relationship)

#### **Constraints:**

LAB\_RESULT\_CM\_ID (unique; required, not null)
PATID (required, not null)
RESULT\_DATE (required, not null)

## LAB\_RESULT\_CM Table Implementation Guidance

#### Guidance

- Only records with actual lab results should be included in this table. If the result suggests that the test was run (e.g., result is "borderline" or "inconclusive") include it. But if the test is not resulted for any reason (specimen not sufficient, patient did not show), then do not include it.
- If lab results are stored using local or custom codes, partners should ensure that the assigned LOINC code has been validated by a subject matter expert or similar process
- If a LOINC code is available for a given result, the LAB\_LOINC field should be populated. If a LOINC code is available for the *order*, that value can be used to populate the LAB\_PX field. Note that one order can correspond to many different results. Each result should have its own record in the LAB\_RESULT\_CM table. If the same LOINC code is used to populate both the order and the result, partners should ensure that the LAB\_LOINC field is populated.
- Inclusion of additional lab results Partners should include *all* available laboratory results within their LAB\_RESULT\_CM table. If the result has a *validated* LOINC code, the LAB\_LOINC field should be populated. Otherwise, the LAB\_LOINC field should be blank. The RAW\_LAB\_NAME field can be used to keep track of the various lab results until the appropriate LOINC code is assigned. Lab results beyond the 11 originally included in the PCORnet CDM are being requested in order to establish a denominator of potentially available lab results. Over time, the number of unmapped results is expected to decrease. Results for labs performed as a service for outside institutions do not need to be included. Results from external vendors (e.g., LabCorp, Quest) should be included when available.
- Clinical LOINC Concepts Only include Laboratory LOINC concepts in this table. Do not include clinical LOINC concepts (e.g., EKG results). These records may be stored in the OBS\_CLIN table.
- Standing orders Partners should populate the date fields to the best of their ability. For results that are tied to standing laboratory orders, even if LAB\_ORDER\_DATE reflects the date of the original standing order, SPECIMEN\_DATE and/or RESULT\_DATE\_would be expected to correspond to the time when the sample was collected/resulted. Analyses will take both dates into consideration.
- Units of measure A given LOINC code may have many acceptable units of measure. If the RESULT\_UNIT field is not populated, it may not be possible to use a result analytically.
- Verifying LOINC mappings At most health systems, laboratory results are typically not associated with a LOINC code at the time they are generated but are assigned a code after the fact. In order to verify that the LOINC code has been appropriately assigned, the PCORnet Coordinating Center will verify that the metadata associated with the result, such as SPECIMEN\_SOURCE and RESULT UNIT, are valid options. Partners should ensure that these fields are populated. Do not derive these values based on metadata associated with the selected LOINC code.

LAB_RESULT_CM Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
LAB_RESULT_CM_ID	RDBMS Text(x)	SAS Char(x)		Arbitrary identifier for each unique LAB_RESULT_CM record. Does not need to be persistent across refreshes, and may be created by methods such as sequence or GUID.	PCORnet			
PATID	RDBMS Text(x)	SAS Char(x)		Arbitrary person-level identifier. Used to link across tables.	MSCDM v4.0	All PATIDs are expected to be in the DEMOGRAPHIC table.		

LAB_RESULT_CM Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
ENCOUNTERID	RDBMS Text(x)	SAS Char(x)		Arbitrary encounter-level identifier.  Not all lab results will be associated with a healthcare encounter.	PCORnet (modeled upon VITAL table)	<ul> <li>Populate with the ENCOUNTERID where the lab specimen was collected (i.e., encounter when the lab test was administered).</li> <li>All ENCOUNTERIDs in this table must also be present in the ENCOUNTER table.</li> </ul>		
LAB_NAME	RDBMS Text(10)	SAS Char(10)	A1C=Hemoglobin A1c CK=Creatine kinase total CK_MB=Creatine kinase MB CK_MBI=Creatine kinase MB/creatine kinase total CREATININE=Creatinin e HGB=Hemoglobin LDL=Low-density lipoprotein INR=International normalized ratio TROP_I=Troponin I cardiae TROP_T_QL=Troponin T cardiae (qualitative) TROP_T_QN=Troponin T cardiac (quantitative) NI=No information UN=Unknown OT=Other	Laboratory result common measure, a categorical identification for the type of test, which is harmonized across all contributing data partners.  Please note that it is possible for more than one LOINC® code, CPT code, and/or local code to be associated with one LAB_NAME.  Value set modified in v3.1 to add "null value" options.	MSCDM v4.0 with modified field name and subset of categorical values	This field is deprecated effective v4.0. Partners should prioritize mapping their labs to LOINC. If the LOINC code for a given result is unknown, partners should populate the name of the lab in RAW_LAB_NAME.		

Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
SPECIMEN_SOURCE	RDBMS Text(x)	SAS Char(x)	See Value Set Appendix for a list of acceptable values.	Specimen source. All records will have a specimen source; some tests have several possible values for SPECIMEN_SOURCE.	LOINC	Do not derive a specimen source based on the LOINC code. Please map the specimen source present in the source system to the appropriate code in the Value Set Appendix.
LAB_LOINC	RDBMS Text(10)	SAS Char(10)		Logical Observation Identifiers, Names, and Codes (LOINC®) from the Regenstrief Institute. Results with local versions of LOINC codes (e.g., LOINC candidate codes) should be included in the RAW_ table field, but the LOINC variable should be set to missing. Current LOINC codes are from 3-7 characters long but Regenstrief suggests a length of 10 for future growth. The last digit of the LOINC code is a check digit and is always preceded by a hyphen. All parts of the LOINC code, including the hyphen, must be included. Do not pad the LOINC code with leading zeros.	MSCDM v4.0	<ul> <li>Use this field to store the LOINC code of the laboratory result.</li> <li>Expected format of LOINC codes: Length of 3-7, hyphen in the penultimate position, no alphabetical characters.</li> <li>Do not populate the LOINC field with dummy codes. If the LOINC code for a result is unknown, leave blank.</li> </ul>

LAB_RESULT_CM Ta	ble Specification					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
LAB_RESULT_SOURCE	RDBMS Text(2)	SAS Char(2)	OD=Order/EHR BI=Billing CL=Claim DR=Derived NI=No information UN=Unknown OT=Other	Source of the information for the lab result.	PCORnet	<ul> <li>This field is a derived attribute and is not expected to be an explicit data field within a source system</li> <li>Use "OD" for lab results that are sourced from the EHR or laboratory information management system (LIMS).</li> <li>Use "BI" for all lab results that are generated through the physician and hospital billing process (it is unlikely that this value will be used).</li> <li>Use "CL" for laboratory results that are sourced from pharmacy or medical claims.</li> <li>Use "DR" for all lab results that are derived or imputed through analytical procedures (e.g., natural language processing).</li> </ul>

LAB_RESULT_CM Tal	ble Specification					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
LAB_LOINC_SOURCE	RDBMS Text(2)	SAS Char(2)	IN=Instrument LM=LIMS (Standalone or EHR) HL=HL7 feed or other interface DW=Data warehouse PC=PCORnet ETL DM=Other CDM NI=No information UN=Unknown OT=Other	Source/provenance of the LOINC code for this result.  Details of categorical definitions: Instrument: Assigned by the instrument used to process the sample and generate the result.  Laboratory Information Management System (LIMS): Code is assigned by the system used to manage laboratory results, either stand-alone or as part of the EHR.  HL7 Feed: Code is assigned from an external HL7 feed or other interface (e.g., by a Health Information Exchange).  Data warehouse: LOINC codes are generated during the extract-transform-load (ETL) procedures used to populate a standalone data warehouse or reporting database.  PCORnet ETL: LOINC codes are assigned as part of the ETL process used to populate the PCORnet CDM.  Other CDM: Codes are assigned through the ETL used to populate a CDM that is upstream from the PCORnet ETL.	PCORnet	<ul> <li>This field is a derived attribute and is not expected to be an explicit data field within a source system.</li> <li>If a LOINC code is present in laboratory results transmitted from a LIMS to the EHR via an HL7 feed, use "LM" or "IN" as appropriate, unless the LOINC code is assigned via the HL7 interface itself. Then use "HL"</li> </ul>
PRIORITY	RDBMS Text(2)	SAS Char(2)	E=Expedite R=Routine S=Stat NI=No information UN=Unknown OT=Other	Immediacy of test. The intent of this variable is to determine whether the test was obtained as part of routine care or as an emergent/urgent diagnostic test (designated as Stat or Expedite).	MSCDM v4.0 with modified value set and modified field name	

LAB_RESULT_CM	Table Specification					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
RESULT_LOC	RDBMS Text(2)	SAS Char(2)	L=Lab P=Point of Care NI=No information UN=Unknown OT=Other	Location of the test result. Point of Care locations may include anticoagulation clinic, newborn nursery, finger stick in provider office, or home. The default value is 'L' unless the result is Point of Care. There should not be any missing values.	MSCDM v4.0 with modified value set	
LAB_PX	RDBMS Text(11)	SAS Char(11)		Variable for local and standard procedure codes, used to identify the originating order for the lab test.	MSCDM v4.0 with modified field name	Can be used to store the procedure code of the laboratory <i>order</i> . If the same LOINC procedure code is used to identify both the order and the result, make sure LAB_LOINC is populated.
LAB_PX_TYPE	RDBMS Text(2)	SAS Char(2)	09=ICD-9-CM 10=ICD-10-PCS 11=ICD-11-PCS CH = CPT or HCPCS LC=LOINC ND=NDC RE=Revenue NI=No information UN=Unknown OT=Other	Procedure code type, if applicable.	MSCDM v4.0 with modified field name and value set	<ul> <li>CPT and HCPCS codes should be assigned a value of "CH."</li> <li>This field may be a derived attribute. In these situations, it is not expected to be an explicit data field within a source system</li> </ul>
LAB_ORDER_DATE	RDBMS Date	SAS Date (Numeric)		Date test was ordered.	MSCDM v4.0 with modified field name	
SPECIMEN_DATE	RDBMS Date	SAS Date (Numeric)		Date specimen was collected.	MSCDM v4.0 with modified field name	

LAB_RESULT_CM Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
SPECIMEN_TIME	RDBMS Text(5): Format as HH:MI using 24-hour clock and zero- padding for hour and minute	SAS Time (Numeric)		Time specimen was collected.	MSCDM v4.0 with modified field name			
RESULT_DATE	RDBMS Date	SAS Date (Numeric)		Result date.	MSCDM v4.0 with modified field name	If RESULT_DATE is unavailable, partners should use the date that is the closest match in their source data. Partners are permitted to populate RESULT_DATE with the value from SPECIMEN_DATE if necessary and note this in their ETL ADD.		
RESULT_TIME	RDBMS Text(5): Format as HH:MI using 24-hour clock and zero- padding for hour and minute	SAS Time (Numeric)		Result time.	MSCDM v4.0 with modified field name			
RESULT_QUAL	RDBMS Text(x)	SAS Char(x)	See Value Set Appendix for a list of acceptable values.	Standardized result for qualitative results. This variable should be NI for quantitative results.	LOINC	If qualitative result cannot be harmonized to a value in RESULT_QUAL value set, please ensure that RAW_RESULT is populated with result value.		
RESULT_SNOMED	RDBMS Text(x)	SAS Char(x)		If the qualitative result has been mapped to SNOMED CT, the corresponding SNOMED code can be placed here.	PCORnet	Do not impute or derive. Populate only if captured in the source system as a discrete value.		

LAB_RESULT_CM Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
RESULT_NUM	RDBMS Number(x)	SAS Numeric(length 8)		Standardized/converted result for quantitative results.	MSCDM v4.0 with modified field name	Used to store quantitative results, including the numeric component of numeric results that contain operators (e.g., "<200", ">= 0.5"). See guidance for RESULT_MODIFIER for further details.		

LAB_RESULT_CM Tal	ble Specification					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
RESULT_MODIFIER	RDBMS Text(2)	SAS Char(2)	EQ=Equal GE=Greater than or equal to GT=Greater than LE=Less than or equal to LT=Less than TX=Text NI=No information UN=Unknown OT=Other	Modifier for result values.	MSCDM v4.0 with modified field name and value set	<ul> <li>For quantitative results, a non-null RESULT_MODIFER must be present if they data are to be used analytically.</li> <li>Any symbols in the RAW_RESULT value should be reflected in the RESULT_MODIFIER variable.</li> <li>For example, if the original source data value is "&lt;=200" then RAW_RESULT is "&lt;=200" and RESULT_MODIFIER is LE. RESULT_NUM would also be set to "200". If the original source data value is text, then RESULT_MODIFIER=T X If the original source data value, then RESULT_MODIFIER=E Q</li> </ul>

RESULT_UNIT	RDBMS Text(x)	SAS Char(x)	See Value Set Appendix	Converted/standardized units for the	UCUM	Chose the standardized
_		, ,	for a list of acceptable	quantitative result.		unit of measure that is
			values.			most reflective of the
						source data. Do not
						derive based on the
						LOINC code.
						• A given LOINC code
						may have many
						acceptable units of
						measure (e.g., tests
						reporting results as mass
						concentration can utilize
						mg/dL, g/dL, or similar).
						It is important that this
						field be populated in
						order to use the data
						analytically.
						The Value Set Appendix
						contains a list of the units
						associated with the most
						<del>common laboratory</del>
						results (based on the Top
						2000 LOINC codes).
						Partners can use this table
						to aid in their mapping
						efforts, but they should
						<del>refer back to the full</del>
						value set if they have a
						<del>laboratory result with a</del>
						unit of measure that is not
						present in this curated list.
						(Guidance deprecated as
						of CDM v5.0)

LAB_RESULT_CM Ta	ble Specification					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
						This is a mixed case value set and entries should be handled accordingly.
NORM_RANGE_LOW	RDBMS Text(10)	SAS Char(10)		Lower bound of the normal range assigned by the laboratory. Value should only contain the value of the lower bound. The symbols >, <, >=, <= should be removed. For example, if the normal range for a test is >100 and <300, then "100" should be entered.	MSCDM v4.0	
NORM_MODIFIER_LOW	RDBMS Text(2)	SAS Char(2)	EQ=Equal GE=Greater than or equal to GT=Greater than NO=No lower limit NI=No information UN=Unknown OT=Other	Modifier for NORM_RANGE_LOW values.  For numeric results one of the following needs to be true:  1) Both MODIFIER_LOW and MODIFIER_HIGH contain EQ (e.g. normal values fall in the range 3-10)  2) MODIFIER_LOW contains GT or GE and MODIFIER_HIGH contains NO (e.g. normal values are >3 with no upper boundary)  3) MODIFIER_HIGH contains LT or LE and MODIFIER_LOW contains NO (e.g. normal values are <=10 with no lower boundary)	MSCDM v4.0 with modified value set and field name	

LAB_RESULT_CM Tal	ble Specification					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
NORM_RANGE_HIGH	RDBMS Text(10)	SAS Char(10)		Upper bound of the normal range assigned by the laboratory. Value should only contain the value of the upper bound. The symbols >, <, >=, <= should be removed. For example, if the normal range for a test is >100 and <300, then "300" should be entered.	MSCDM v4.0 with modified field length	
NORM_MODIFIER_HIGH	RDBMS Text(2)	SAS Char(2)	EQ=Equal LE=Less than or equal to LT=Less than NO=No higher limit NI=No information UN=Unknown OT=Other	Modifier for NORM_RANGE_HIGH values.  For numeric results one of the following needs to be true:  1) Both MODIFIER_LOW and MODIFIER_HIGH contain EQ (e.g. normal values fall in the range 3-10)  2) MODIFIER_LOW contains GT or GE and MODIFIER_HIGH contains NO (e.g. normal values are >3 with no upper boundary)  3) MODIFIER_HIGH contains LT or LE and MODIFIER_LOW contains NO (e.g. normal values are <=10 with no lower boundary)	MSCDM v4.0 with modified value set and field name	

Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
ABN_IND	RDBMS Text(2)	SAS Char(2)	AB=Abnormal AH=Abnormally high AL=Abnormally low CH=Critically high CL=Critically low CR=Critical IN=Inconclusive NL=Normal NI=No information UN=Unknown OT=Other	Abnormal result indicator. This value comes from the source data; do not apply logic to create it. If field is blank in source data, map to the appropriate flavor of null (guidance added in v4.0).	MSCDM v4.0 with modified value set	
RAW_LAB_NAME	RDBMS Text(x)	SAS Char(x)		Local name related to an individual lab test.	PCORnet	
RAW_LAB_CODE	RDBMS Text(x)	SAS Char(x)		Local code related to an individual lab test.	PCORnet	
RAW_PANEL	RDBMS Text(x)	SAS Char(x)		Local code related to a battery or panel of lab tests.	PCORnet	
RAW_RESULT	RDBMS Text(x)	SAS Char(x)		The original test result value as seen in your source data. Values may include a decimal point, a sign or text (e.g., POSITIVE, NEGATIVE, DETECTED).	PCORnet	
RAW_UNIT	RDBMS Text(x)	SAS Char(x)		Original units for the result in your source data.	PCORnet	
RAW_ORDER_DEPT	RDBMS Text(x)	SAS Char(x)		Local code for ordering provider department.	PCORnet	
RAW_FACILITY_CODE	RDBMS Text(x)	SAS Char(x)		Local facility code that identifies the hospital or clinic. Taken from facility claims.	PCORnet	

# Implementation Guidance Reference Table 1: Laboratory Results & LOINC Codes

This table has been deprecated as of version 2.0 of the PCORnet Implementation Guidance.

# **Implementation Guidance Reference Table 2: Laboratory Results and CPT Codes**

This table has been deprecated as of version 2.0 of the PCORnet Implementation Guidance

# **Implementation Guidance Reference Table 3: Laboratory Standard Abbreviations**

This table has been deprecated as of version 4.0 of the PCORnet CDM.

## 5.9. Table: CONDITION

# **CONDITION Domain Description:**

A condition represents a patient's diagnosed and self-reported health conditions and diseases. The patient's medical history and current state may both be represented.

#### **Relational Integrity:**

The CONDITION table contains one record per CONDITIONID.

**Primary Key: CONDITIONID** 

#### **Foreign Keys:**

CONDITION.PATID is a foreign key to DEMOGRAPHIC.PATID (many-to-one relationship)
CONDITION.ENCOUNTERID is a foreign key to ENCOUNTER.ENCOUNTERID (zero/many-to-one relationship)

#### **Constraints:**

CONDITIONID (unique; required, not null)
PATID (required, not null)
CONDITION (required, not null)
CONDITION\_TYPE (required, not null)
CONDITION SOURCE (required, not null)

# **CONDITION Table Implementation Guidance**

#### Guidance

- This table includes both healthcare and non-healthcare settings.
- Rollback or voided transactions and other adjustments should be processed (removed) before populating this table.

<b>CONDITION Table Sp</b>	ecification					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
CONDITIONID	RDBMS Text(x)	SAS Char(x)		Arbitrary identifier for each unique record.	PCORnet	
PATID	RDBMS Text(x)	SAS Char(x)	•	Arbitrary person-level identifier. Used to link across tables.	MSCDM v4.0	All PATIDs in this table must be present in the DEMOGRAPHIC table.
ENCOUNTERID	RDBMS Text(x)	SAS Char(x)		Arbitrary encounter-level identifier used to link across tables. This should only be populated if the item was collected as part of a healthcare encounter.  If more than one encounter association is present, this field should be populated with the ID of the encounter when the condition was first entered into the system. However, please note that many conditions may be recorded outside of an encounter context.	PCORnet (modeled upon VITAL table)	<ul> <li>If more than one encounter association is present, this field should be populated with the ID of the encounter when the condition was first entered into the system. However, please note that many conditions may be recorded outside of an encounter context.</li> <li>All ENCOUNTERIDs in this table must also be present in the ENCOUNTER table.</li> </ul>
REPORT_DATE	RDBMS Date	SAS Date (Numeric)		Date condition was noted, which may be the date when it was recorded by a provider or nurse, or the date on which the patient reported it. Please note that this date may not correspond to onset date.	PCORnet (informed by ESP model)	Date condition was noted, which may be the date when it was recorded by a provider or nurse, or the date on which the patient reported it. Please note that this date may not correspond to onset date.
RESOLVE_DATE	RDBMS Date	SAS Date (Numeric)		Date condition was resolved, if resolution of a transient condition has been achieved. A resolution date is not generally expected for chronic conditions, even if the condition is managed.	PCORnet	

<b>CONDITION Table Specific</b>	cation					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
ONSET_DATE	RDBMS Date	SAS Date (Numeric)		The onset date concept here refers to "the date and time when problem (illness, disorder, or symptom) started" (ONC:MU Clinical Data Set, caDSR 4973971).	PCORnet	A value should only be provided where it exists in the source data. It is not calculated.
				This is a different concept than report date, which is the date on which the medical status was collected. An onset date should generally be considered independently of the observer or provider. However, the judgment of when a condition "started" depends on the disease, the frequency of visits, and many other factors. It is not clear that any facility or physician employs this field in a manner which can be trusted without validation during analysis.		
CONDITION_STATUS	RDBMS Text(2)	SAS Char(2)	AC=Active RS=Resolved IN=Inactive NI=No information UN=Unknown OT=Other	Condition status corresponding with REPORT_DATE.	PCORnet (informed by ESP model)	The value of IN=Inactive may be used in situations where a condition is not resolved, but is not currently active (for example, psoriasis).

<b>CONDITION Table Specifi</b>	cation					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
CONDITION	RDBMS Text(18)	SAS Char(18)		Condition code.  Some codes will contain leading zeroes, and different levels of decimal precision may also be present. This field is a character field, not numeric, to accommodate these coding conventions.  Please populate the exact value of this diagnosis code, but remove any source-specific suffixes and prefixes.	PCORnet (modeled upon DIAGNOSIS table)	
CONDITION_TYPE	RDBMS Text(2)	SAS Char(2)	09=ICD-9-CM 10=ICD-10- CM/PCS 11=ICD-11- CM/PCS SM=SNOMED CT HP=Human Phenotype Ontology AG=Algorithmic NI=No information UN=Unknown OT=Other	Condition code type.  Please note: The "Other" category is meant to identify internal use ontologies and codes.	PCORnet (modeled upon DIAGNOSIS table)	<ul> <li>This field is a derived attribute and is not expected to be an explicit data field within a source system.</li> <li>Do not include ICD procedure codes.</li> </ul>

<b>CONDITION Table Specifi</b>	cation					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
CONDITION_SOURCE	RDBMS Text(2)	SAS Char(2)	PR=Patient- reported medical history HC=Healthcare problem list RG=Registry cohort PC=PCORnet- defined condition algorithm DR=Derived NI=No information UN=Unknown OT=Other	Please note: The "Patient-reported" category can include reporting by a proxy, such as patient's family or guardian.	PCORnet (modeled upon VITAL table)	<ul> <li>"Registry cohort" generally refers to cohorts of patients flagged with a certain set of characteristics for management within a health system.</li> <li>"Patient-reported" can include self-reported medical history and/or current medical conditions, not captured via healthcare problem lists or registry cohorts.</li> <li>Use "DR" for all conditions that are derived or imputed through analytical procedures (e.g., natural language processing).</li> <li>This field is a derived attribute and is not expected to be an explicit data field within a source system</li> </ul>
RAW_CONDITION_STATUS	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	
RAW_CONDITION	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	
RAW_CONDITION_TYPE	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	
RAW_CONDITION_SOURCE	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	

# 5.10. Table: PRO CM

# **PRO\_CM Domain Description:**

This table is used to store responses to patient-reported outcome measures (PROs) or questionnaires. This table can be used to store item-level responses as well as the overall score for each measure.

(Domain description updated in v4.0)

## **Relational Integrity:**

The PRO\_CM table contains one record per PRO\_CM\_ID.

**Primary Key:** PRO\_CM\_ID

#### **Foreign Keys:**

PRO\_CM.PATID is a foreign key to DEMOGRAPHIC.PATID (many-to-one relationship)
PRO\_CM.ENCOUNTERID is a foreign key to ENCOUNTER.ENCOUNTERID (zero/many-to-one relationship)

#### **Constraints:**

PRO\_CM\_ID (unique; required, not null)
PATID (required, not null)
PRO\_DATE (required, not null)

## **PRO\_CM Table Implementation Guidance**

#### Guidance

- This version of the PRO\_CM table is not optimized for representational efficiency. Certain values will be duplicated across records, and many fields will be blank for certain records. Over time, the structure of this table is expected to evolve as PCORnet better defines the analytical use of PROs across the network. Until then, this table has been defined to support the broadest range of possible use cases at the expense of representational efficiency.
- The PRO\_CM table can be used to store both individual item-level responses, as well as the overall score for the measure/instrument. Each item response will be stored in an individual record.

  Measure/instrument scores can be stored along with the item-level responses that are associated with that measure (where available). See the figure below for an example of how to populate this table.
- If partners are populating PRO item responses or measure scores and are unsure of the PRO\_ITEM\_NAME, PRO\_ITEM\_LOINC, PRO\_MEASURE\_NAME and/or PRO\_MEASURE\_LOINC, they should populate PRO\_ITEM\_FULLNAME and PRO\_MEASURE\_FULLNAME instead. These fields can be considered analogous to RAW fields.
- For the PRO\_CM fields with variable field lengths, partners should choose an appropriate field length based on the characteristics of the data are loading into the table. As we use these tables analytically as part of PCORnet studies, we will determine whether it is more efficient to define specific field lengths.
- If a patient completes a survey, but skips a question, create a record in the PRO\_CM table as you would for other items in the survey (i.e., include the appropriate date/time fields and other relevant metadata). Then leave PRO\_RESPONSE\_TEXT and PRO\_RESPONSE\_NUM blank, as these fields are not required. Do not create empty records if the patient did not actually see the question.
- The PRO\_CM table can be used to store the results from questionnaires where the provider or caregiver are providing their interpretation or assessment of the patient's status. Despite the name, it is not restricted solely to patient-reported outcomes. The table is designed to represent survey-type responses. General observations about patients, however, like pain scores recorded in an inpatient or surgical setting, should be stored in the OBS\_CLIN table. See General Guidance #15 for additional details or contact the DRN OC with questions.
- For responses that can be represented as either a number or a text (e.g., a numeric value of 2 corresponds to "rarely" in a value set), the expectation is to store whatever is recorded in the source system. Both should be populated if present, but partners are not expected to derive the other if not. If values are represented based on a sequence number, store the actual value, not the sequence number.

A C																			
ite: Scores no	ot correc	tly calculated																	
					PRO_ITEM_	PRO_RESPONSE	PRO_RESPONSE_		PRO_MEASURE	PRO_MEASURE	PRO_MEASURE	PRO_MEASURE_	PRO_MEASURE_ STANDARD_ERR	PRO_MEASURE_	PRO_MEASU	RE PRO_MEASURE	E		
O_CM_ID	PATID	ENCOUNTERIE	PRO_TYPE	PRO_ITEM_NAME	LOINC	_TEXT	NUM	PRO_MEASURE_NAME	_SEQ	_SCORE	_THETA	SCALED_TSCORE	OR	COUNT_SCORED	_LOINC	_VERSION	PRO_ITEM_FULLNAME	PRO_ITEM_TEXT	PRO_MEASURE_FULLNAME
		sure with indiv		ponses (as text and nur			easure score (repeat												
01	001	100000			61996-5	Very much	5	PROMIS Sleep Disturbance 8a		20	0.11		3.7	8	62197-9	1.0		In the past 7 days, my sleep was restless	
02	001	100000			61985-8	Not at all	5	PROMIS Sleep Disturbance 8a		20	0.11		0.7	8	62197-9	1.0		In the past 7 days, I was satisified with my sleep	
03	001	100000			61986-6	Very much	1	PROMIS Sleep Disturbance 8a		20	0.11		3.7	8	62197-9	1.0		In the past 7 days, my sleep was refreshing	
04	001	100000			61999-9	Not at all	1	PROMIS Sleep Disturbance 8a		20	0.11			8	62197-9	1.0		In the past 7 days, I had difficulty falling asleep	
05	001	100000			61992-4	Never	1	PROMIS Sleep Disturbance 8a		20	0.11		3.7	8	62197-9	1.0		In the past 7 days, I had trouble staying asleep	
06	001	100000			61993-2	Never	1	PROMIS Sleep Disturbance 8a		20	0.11		3.7	8	62197-9	1.0		In the past 7days, I had trouble sleeping	
07 08	001	100000			61988-2	Always	1	PROMIS Sleep Disturbance 8a		20	0.11		3.7	8	62197-9	1.0		In the past 7 days, I got enough sleep	
	001	100000			61987-4	Very poor	5	PROMIS Sleep Disturbance 8a	123456	20	0.11	51.1	3.7	8	62197-9	1.0		In the past 7 days, my sleep quality was	
imple - PROI 19	MIS mea 001	sure with a sco	re, but no indi PM	ividual item responses				DDOMIS Siege Distribungs Se		17	0.51	FF 1	2.0	6		1.0			
				!*				PROMIS Sleep Disturbance 8a		1/	0.51	55.1	2.9	ь		1.0			
mple - "ope .0			LC	e, but no item response	25			BHOB		2					44261-6				Patient Health Questionnaire & item
		" PRO (disability		has individual response	ne (tout and a	numeric) that are m	ot combined into a	PHQ9		4					44201-0				Patient Health Questionnaire 9 item
ımple - "ope	erational	FRO (disability	y survey) that	nas muividuai response	s (text and r	iumeric) that are n	ot combined into a	Summary Score									Are you Hispanic Latino/a or of Spanish		Pace ethnicity say primary language disabili
12	002	200000	ıc		69854-8	Ethnicity	1		11111						69919-9		Are you Hispanic, Latino/a, or of Spanish origin?	Are you Hispanic, Latino/a, or of Spanish origin?	Race, ethnicity, sex, primary language, disabil
**	302	200000			05054-0	Lamility	-		11111		-				02312-3		Origin:	Are you inspanie, Launo, a, or or spanish origins	Race, ethnicity, sex, primary language, disabili
13	002	200000	ıc		69855-5	White	,		11111						69919-9		Race	What is your race?	Health and Human Services (HHS) panel
13	002	200000	LC		03033-3	willte	1		11111						05515-5		nace	Wilat is your race:	
14	002	200000	ıc		46098-0	Male	,		11111						69919-9		Sex		Race, ethnicity, sex, primary language, disabil Health and Human Services (HHS) panel
14	002	200000	LC		40056-0	iviale	1		11111						05515-5		Sex	How would you rate your ability to speak and	nealth and numan services (nns) paner
																		understand English OR How well do you speak	Race, ethnicity, sex, primary language, disabil
15	002	200000	LC		68503-2	Very well	1		11111						69919-9		How well do you speak english	English?	Health and Human Services (HHS) panel
13	002	200000			08303-2	very well	-		11111						03313-3		Are you deaf, or do you have serious difficulty	0	Race, ethnicity, sex, primary language, disabili
16	002	200000	LC		69856-3	No	2		11111						69919-9		hearing	hearing?	Health and Human Services (HHS) panel
	552	200000			03030 3	110	-								03323 3			Are you blind, or do you have serious difficulty	Race, ethnicity, sex, primary language, disabili
17	002	200000	LC		69857-1	No	2		11111						69919-9		seeing, even when wearing glasses	seeing, even when wearing glasses?	Health and Human Services (HHS) panel
	552	200000			03037 1	110	-								03313 3		Because of a physical, mental, or emotional	seeing, even when wearing glasses.	realer and riaman services (rins) panel
																	condition, do you have serious difficulty	Because of a physical, mental, or emotional	
																	concentrating, remembering, or making	condition, do you have serious difficulty	Race, ethnicity, sex, primary language, disabil
18	002	200000	LC		69858-9	No	2		11111						69919-9		decisions	concentrating, remembering, or making decisions?	Health and Human Services (HHS) panel
							-										Do you have serious difficulty walking or	Do you have serioius difficulty walking or climbing	
19	002	200000	LC		69859-7	No	2		11111						69919-9		climbing stairs	stairs?	Health and Human Services (HHS) panel
						1	-												Race, ethnicity, sex, primary language, disabili
20	002	200000	LC		69860-5	No	2		11111						69919-9		Do you have difficulty dressing or bathing	Do you have difficulty dressing or bathing?	Health and Human Services (HHS) panel
																	Because of a physical, mental, or emotional	Because of a physical, mental, or emotional	
																		condition, do you have difficulty doing errands	
																	alone such as visiting a physician's office or	alone such as visiting a physician's office or	Race, ethnicity, sex, primary language, disabil
21	002	200000	LC		69861-3	No	2		11111						69919-9		shopping?	shopping	Health and Human Services (HHS) panel
imple - PRC	O-CTCAE	PRO with indivi	dual item resp	onses (text only) that a	are not comb	oined into a summa	ary score												
																	PRO-CTCAE Version 1.0 23a. Past Seven Days	In the last 7 days, how OFTEN did you feel a	
																	Frequency of Feeling Pounding or Racing	POUNDING OR RACING HEARTBEAT	
22	003	300000	PC	PROCTCAE_23A_SCL		Almost Constant	tly		2222							1.0	Heartbeat 5 Point Scale	(PALPITATIONS)?	
																	PRO-CTCAE Version 1.0 23b. Past Seven Days	In the last 7 days, what was the SEVERITY of your	
																	Worst Severity Pounding or Racing Heartbeat	POUNDING OR RACING HEARTBEAT (PALPITATIONS	
23	003	300000	PC	PROCTCAE_23B_SCL		Mild			2222							1.0	Point Scale	at its WORST?	
																		In the last 7 days, what was the SEVERITY of your	
																		INSOMNIA (INCLUDING DIFFICULTY FALLING	
																	PRO-CTCAE Version 1.0 52a. Past Seven Days	ASLEEP, STAYING ASLEEP, OR WAKING UP EARLY) at	
24	003	300000	PC	PROCTCAE_52A_SCL		Moderate			2222							1.0	Worst Severity Insomnia 5 Point Scale	its WORST?	
																		In the last 7 days, how much did INSOMNIA	
																	PRO-CTCAE Version 1.0 52b. Past Seven Days	(INCLUDING DIFFICULTY FALLING ASLEEP, STAYING	
																	How Much Insomnia Interfered with Usual or	ASLEEP, OR WAKING UP EARLY) INTERFERE with	
	003	300000	PC	PROCTCAE 52B SCL		Very much			2222							1.0	Daily Activities 5 Point Scale	your usual or daily activities?	

Figure: Example of a populated PRO\_CM table (note: not all required fields are shown).

PRO_CM Table Specification										
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance				
PRO_CM_ID	RDBMS Text(x)	SAS Char(x)	•	Arbitrary identifier for each unique record.	PCORnet					

PRO_CM Table Spec	cification					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
PATID	RDBMS Text(x)	SAS Char(x)		Arbitrary person-level identifier for the patient for whom the PRO response was captured. Used to link across tables.	MSCDM v4.0	All PATIDs in this table must be present in the DEMOGRAPHIC table.
ENCOUNTERID	RDBMS Text(x)	SAS Char(x)		Arbitrary encounter-level identifier used to link across tables. This should only be populated if the item was collected as part of a healthcare encounter.	PCORnet (modeled upon VITAL table)	All ENCOUNTERIDs in this table must be present in the ENCOUNTER table.
PRO_DATE	RDBMS Date	SAS Date (Numeric)		The date of the response submission.	PCORnet	
PRO_TIME	RDBMS Text(5): Format as HH:MI using 24-hour clock and zero- padding for hour and minute	SAS Time (Numeric)		The time of the response submission.	PCORnet  Source of time format: ISO 8601	

PRO_CM Table Specific	cation					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
PRO_ITEM	RDBMS Text(20)	SAS Char(20)	PN_0001=GLOBAL01 PN_0002=GLOBAL02 PN_0003=GLOBAL06 PN_0004=PFA53 PN_0005=EDDEP29 PN_0006=HI7 PN_0007=SLEEP20 PN_0008=SRPPER11_C APS PN_0009=PAININ9 PN_0010=3793R1 PN_0011=28676R1 PN_0012=EOS_P_011 PN_0013=PEDSGLOBA L2 PN_0014=PEDSGLOBA L5 PN_0015=PEDSGLOBA L6 PN_0016=GLOBAL03 PN_0017=GLOBAL04 PN_0018=EDANX53 PN_0019=SAMHSA PN_0020=CAHPS 4.0 PN_0021=PA070 NI=No information UN=Unknown OT=Other	PCORnet identifier for the specific Common Measure item. Please see the Common Measures Reference Table for more details.	PCORnet	Non PCORnet Common Measure PROs may also be stored in this table. These measures should be labeled with a value of "OT".  This field has been deprecated as of CDM v4.0.

PRO_CM Table Specific	cation					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
PRO_TYPE	RDBMS Text(2)	SAS Char(2)	PM=PROMIS NQ=Neuro-QoL AM=ASQC-Me NT=NIH Toolbox PC=PRO_CTCAE LC=LOINC HC=HCAHPS NI=No information UN=Unknown OT=Other	Terminology / vocabulary used to describe the PRO item.  More information on PROMIS, Neuro-QoL and ASQC-Me and the NIH Toolbox can be found on the HealthMeasures website. (www.healthmeasures.net)  The Patient-Reported Outcome version of the Common Terminology Criteria for Adverse Events (PRO-CTCAE <sup>TM</sup> ) is maintained by the National Cancer Institute. (https://healthcaredelivery.cancer.gov/pro-ctcae/)  Information on the Hospital Consumer Assessment of Healthcare Providers and Systems (HPCAHPS) is located here: http://www.hcahpsonline.org	PCORnet	<ul> <li>For items/measures that belong to one of the listed terminologies and can also be found in LOINC (e.g., PROMIS), list the native terminology for PRO_TYPE.</li> <li>A value of "LOINC" should be used for those items/measures that do not belong to one of the other specified terminologies but can be found in LOINC (e.g., PHQ-9, WHO-5).</li> <li>Information on PRO-CTCAE can also be found in the NCI Common Data Element browser (https://cdebrowser.nci.nih.gov)</li> </ul>
PRO_ITEM_NAME	RDBMS Text(x)	SAS Char(x)		Short name or code of the PRO item in the vocabulary/terminology specified in PRO_TYPE.	PCORnet	If a short name or code for the PRO item does not exist within the specified terminology, do not create one. Populate PRO_ITEM_FULLNAME instead.

PRO_CM Table Specif	ication					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
PRO_ITEM_LOINC	RDBMS Text(10)	SAS Char(10)		LOINC® code for the PRO item, if available.  Logical Observation Identifiers, Names, and Codes (LOINC) from the Regenstrief Institute. Current LOINC codes are from 3-7 characters long but Regenstrief suggests a length of 10 for future growth. The last digit of the LOINC code is a check digit and is always preceded by a hyphen. All parts of the LOINC code, including the hyphen, must be included. Do not pad the LOINC code with leading zeros.	PCORnet (modeled on LAB_RESULT_CM table)	
PRO_RESPONSE_TEXT	RDBMS Text(x)	SAS Char(x)		Text version of the response recorded for the item, if available/applicable.	PCORnet	
PRO_RESPONSE_NUM	RDBMS Number(x)	SAS Numeric(length 8)		The numeric response recorded for the item, if available/applicable.	PCORnet	
PRO_METHOD	RDBMS Text(2)	SAS Char(2)	PA=Paper EC=Electronic PH=Telephonic with interactive voice response (IVR) technology NI=No information UN=Unknown OT=Other	Method of administration. Electronic includes responses captured via a personal or tablet computer, at web kiosks, or via a smartphone.	PCORnet	

PRO_CM Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
PRO_MODE	RDBMS Text(2)	SAS Char(2)	SF=Self without	The person who responded on behalf of	PCORnet			
			assistance	the patient for whom the response was				
			SA= Self with	captured. A proxy report is a				
			assistance	measurement based on a report by				
			PR=Proxy without	someone other than the patient reporting				
			assistance	as if he or she is the patient, such as a				
			PA=Proxy with	parent responding for a child, or a				
			assistance	caregiver responding for an individual				
			NI=No information	unable to report for themselves.				
			UN=Unknown	Assistance excludes providing				
			OT=Other	interpretation of the patient's response.				
PRO_CAT	RDBMS Text(2)	SAS Char(2)	Y=Yes	Indicates whether Computer Adaptive	PCORnet			
			N=No	Testing (CAT) was used to administer				
			NI=No information	the survey or instrument that the item				
			UN=Unknown	was part of. May apply to electronic (EC)				
			OT=Other	and telephonic (PH or IV) modes.				

PRO_CM Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
PRO_SOURCE	RDBMS Text(2)	SAS Char(2)	OD=Order/EHR BI=Billing CL=Claim SY=Survey system/mobile app DR=Derived NI=No information UN=Unknown OT=Other	Source of the information for the PRO result.	PCORnet	<ul> <li>This field is a derived attribute and is not expected to be an explicit data field within a source system</li> <li>Use "OD" for PRO records that are sourced from the EHR, its patient portal, or any other system used to capture PROs as part of the care process.</li> <li>Use "BI" for all PRO records that are generated through the physician and hospital billing process (it is unlikely that this value will be used).</li> <li>Use "SY" for PRO records that are generated from external survey systems or mobile apps as part of a non-care process.</li> <li>Use "CL" for PRO records that are sourced from pharmacy or medical claims.</li> <li>Use "DR" for all PRO records that are derived or imputed through analytical procedures (e.g., natural language processing).</li> </ul>			
PRO_ITEM_VERSION	RDBMS Text(x)	SAS Char(x)		Version of the item/question.	PCORnet				

PRO_CM Table Specification							
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance	
PRO_MEASURE_NAME	RBDMS Text(x)	SAS Char(x)		Short name or code of the PRO measure/form that item belongs to, if item is being administered as part of a measure	PCORnet	<ul> <li>Will be blank if item is not being administered as part of a measure/form</li> <li>If measure does not have a short name or code within the specified PRO terminology, do not create one. Populate PRO_MEASURE_FULLN AME instead.</li> <li>If item is part of a PRO measure, the value for this field will be replicated for all items in the measure.</li> </ul>	
PRO_MEASURE_SEQ	RDBMS Text(x)	SAS Char(x)		Arbitrary ID/sequence number used to link PRO item responses that are associated with the same measure/form.	PCORnet	<ul> <li>All PRO item responses associated with the same form/measure should have the same value for PRO_MEASURE_SEQ.</li> <li>Will be blank if item is not part of a PRO measure/form.</li> </ul>	
PRO_MEASURE_SCORE	RDBMS Number(x)	SAS Numeric(length 8)		Overall raw score for the PRO measure.	PCORnet	<ul> <li>Will be blank if item is not part of a PRO measure/form.</li> <li>If item is part of a PRO measure, the value for this field will be replicated for all items in the measure.</li> </ul>	

PRO_CM Table Specification							
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance	
PRO_MEASURE_THETA	RDBMS Number(x)	SAS Numeric(length 8)		The value of theta reported from the CAT PROMIS results. Only applies to items that are administered as part of a measure.	PCORnet	<ul> <li>Expected when scoring any measure/instrument that uses computer-adaptive testing (CAT) that has been calibrated with itemresponse theory (IRT), but may not be present for PRO measures/instruments that are not using CAT.</li> <li>Will be blank if item is not part of a PRO measure/form.</li> <li>If item is part of a PRO measure, the value for this field will be replicated for all items in the measure.</li> </ul>	
PRO_MEASURE_SCALED _TSCORE	RDBMS Number(x)	SAS Numeric(length 8)	•	Standardized score based on the total raw score for the instrument. Only applies to items that are administered as part of a measure.	PCORnet	<ul> <li>Expected when scoring any measure/instrument that uses CAT that has been calibrated with IRT, but may not be present for PRO measures/instruments that are not using CAT.</li> <li>Will be blank if item is not part of a PRO measure/form.</li> <li>If item is part of a PRO measure, the value for this field will be replicated for all items in the measure.</li> </ul>	

PRO_CM Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
PRO_MEASURE_STAND ARD_ERROR	RDBMS Number(x)	SAS Numeric(length 8)		Possible range of the actual final score based on the scaled T-score. Only applies to items that are administered as part of a measure.	PCORnet	<ul> <li>Expected when scoring any measure/instrument that uses CAT that has been calibrated with IRT, but may not be present for PRO measures/instruments that are not using CAT.</li> <li>Will be blank if item is not part of a PRO measure/form.</li> <li>If item is part of a PRO measure, the value for this field will be replicated for all items in the measure.</li> </ul>		
PRO_MEASURE_COUNT _SCORED	RDBMS Number(x)	SAS Numeric (length 8)	•	Number of PRO item responses that were involved in the scoring of the measure.	PCORnet	<ul> <li>Expected when scoring any measure/instrument that uses CAT that has been calibrated with IRT, but may not be present for PRO measures/instruments that are not using CAT.</li> <li>Will be blank if item is not part of a PRO measure/form.</li> <li>If item is part of a PRO measure, the value for this field will be replicated for all items in the measure.</li> </ul>		

PRO_CM Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
PRO_MEASURE_LOINC	RDBMS Text(10)	SAS Char(10)		LOINC® code for the PRO item, if available.  Logical Observation Identifiers, Names, and Codes (LOINC) from the Regenstrief Institute. Current LOINC codes are from 3-7 characters long but Regenstrief suggests a length of 10 for future growth. The last digit of the LOINC code is a check digit and is always preceded by a hyphen. All parts of the LOINC code, including the hyphen, must be included. Do not pad the LOINC code with leading zeros.	PCORnet	<ul> <li>Will be blank if item is not part of a PRO measure/form.</li> <li>If item is part of a PRO measure, the value for this field will be replicated for all items in the measure.</li> </ul>		
PRO_MEASURE_VERSIO N	RDBMS Text(x)	SAS Char(x)		Version of the measure.	PCORnet	<ul> <li>Will be blank if item is not part of a PRO measure/form.</li> <li>If item is part of a PRO measure, the value for this field will be replicated for all items in the measure.</li> </ul>		
PRO_ITEM_FULLNAME	RDBMS Text(x)	SAS Char(x)		Full name of the PRO item.	PCORnet			
PRO_ITEM_TEXT	RDBMS Text(x)	SAS Char(x)		Text of the PRO item question.	PCORnet	W/111 11 1 10'		
PRO_MEASURE_FULLN AME	RDBMS Text(x)	SAS Char(x)	•	Full name of the PRO measure.	PCORnet	<ul> <li>Will be blank if item is not part of a PRO measure/form.</li> <li>If item is part of a PRO measure, the value for this field will be replicated for all items in the measure.</li> </ul>		

PRO_CM Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
RAW_PRO_CODE	RDBMS Text(x)	SAS Char(x)	-	Optional field for originating code, such as LOINC candidate codes that have not yet been adopted	PCORnet	This field is deprecated as of CDM v4.0		
RAW_PRO_RESPONSE	RDBMS Text(x)	SAS Char(x)	-	Optional field for originating value of field, prior to mapping into the PCORnet CDM value set.	PCORnet	This field is deprecated as of CDM v4.0		

# **CDM Reference Table: PRO Common Measures**

This table has been deprecated as of version 4.0 of the PCORnet CDM.

## 5.11. Table: PRESCRIBING

## **PRESCRIBING Domain Description:**

Provider orders for medication dispensing and/or administration. These orders may take place in any setting, including the inpatient or outpatient basis.

#### **Relational Integrity:**

The PRESCRIBING table contains one record per PRESCRIBINGID.

**Primary Key: PRESCRIBINGID** 

#### **Foreign Keys:**

PRESCRIBING.PATID is a foreign key to DEMOGRAPHIC.PATID (many-to-one relationship)
PRESCRIBING.ENCOUNTERID is a foreign key to ENCOUNTER.ENCOUNTERID (zero/many-to-one relationship)
PRESCRIBING.RX\_PROVIDERID is a foreign key to PROVIDER.PROVIDERID (many-to-one relationship)

#### **Constraints:**

PRESCRIBINGID (unique; required, not null)

PATID (required, not null)

# **PRESCRIBING Table Implementation Guidance**

- If a medication cannot be mapped to RxNorm, it should still be present and RAW RX MED NAME should be populated.
- This table can be used to store all medication orders, regardless of encounter type (e.g., inpatient, outpatient, ED) and can include orders for medications that are to be dispensed as well as for those that are to be administered.
- If including orders derived through natural language processing (NLP), make sure that RX\_SOURCE has been populated for *all* records.
- See Reference Table 4 for the ordering strategy for RxNorm Term Types.
- Do not populate CDM fields with information derived from the RXCUI (e.g., RX\_DOSE\_ORDERED, RX\_DOSE\_FORM). Populate fields only if data are captured in the source system as a discrete value.
- Populate records with the RXCUI as it existed at the time the order was entered, even if the RXCUI is no longer active. Do not attempt to update inactive RXCUIs with a more recent value.
- If a medication mixture contains multiple RXCUIs (e.g., inpatient mixture), each individual medication from the order set should be included as a separate record with a unique PRESCRIBINGID. If partners wish to preserve the fact that the records belong to the same order, they do so by creating and populating a new *optional* ORDERID field. Medications with a 1:1 correspondence between the order and RXCUI could have the PRESCRIBINGID stored in the ORDERID. Orders with a 1:many RXCUI relationship would have different PRESCRIBINGIDs but the same ORDERID. Future versions of the CDM may formalize this guidance.

PRESCRIBING Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
PRESCRIBINGID	RDBMS Text(x)	SAS Char(x)		Arbitrary identifier for each unique PRESCRIBING record.	PCORnet			
PATID	RDBMS Text(x)	SAS Char(x)		Arbitrary person-level identifier used to link across tables.	MSCDM v4.0	All PATIDs must be present in the DEMOGRAPHIC table.		
ENCOUNTERID	RDBMS Text(x)	SAS Char(x)		Arbitrary encounter-level identifier. This should be present if the prescribing activity is directly associated with an encounter.	MSCDM v4.0	All ENCOUNTERIDs in this table must be present in the ENCOUNTER table.		
RX_PROVIDERID	RDBMS Text(x)	SAS Char(x)		Provider code for the provider who prescribed the medication. The provider code is a pseudoidentifier with a consistent crosswalk to the real identifier.	PCORnet	All PROVIDERIDs in this table must be present in the PROVIDER table.		
RX_ORDER_DATE	RDBMS Date	SAS Date (Numeric)		Order date of the prescription by the provider.	MSCDM v4.0	If RX_ORDER_DATE is not known, a permissible substitution is the RX_START_DATE (if known)		

PRESCRIBING Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
						or the date the order was signed by the provider.			
RX_ORDER_TIME	RDBMS Text(5): Format as HH:MI using 24-hour clock and zero- padding for hour and minute	SAS Time (Numeric)	•	Order time of the prescription by the provider.	PCORnet				
RX_START_DATE	RDBMS Date	SAS Date (Numeric)		Start date of order. This attribute <b>may not</b> be consistent with the date on which the patient actually begin taking the medication.	Based on ESP				
RX_END_DATE	RDBMS Date	SAS Date (Numeric)	•	End date of order (if available).	Based on ESP				
RX_DOSE_ORDERED	RDBMS Number(x)	SAS Numeric(lengt h 8)		Dose of a given mediation, as ordered by the provider	PCORnet	Do not impute or derive. Populate only if captured in the source system as a discrete value.			
RX_DOSE_ORDERED_ UNIT	RDBMS Text(x)	SAS Char(x)	See Value Set Appendix for a list of acceptable values.	Units of measure associated with the dose of the medication as ordered by the provider	UCUM	<ul> <li>Do not impute or derive.         Populate only if captured in the source system as a discrete value.     </li> <li>Choose the standardized unit of measure that is most reflective of the source data.</li> <li>The Value Set Appendix contains a list of the units most commonly associated with medication records. Partners can use this table to aid in their mapping efforts, but they should</li> </ul>			

PRESCRIBING Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
						refer back to the full value set if they have a medication record with a unit of measure that is not present in this curated list. (Guidance deprecated as of CDM v5.0)  This is a mixed case value set and entries should be handled accordingly.			
RX_QUANTITY	RDBMS Number(x)	SAS Numeric(lengt h 8)		Quantity ordered.	Based on OMOP and ESP	Do not impute or derive. Populate only if captured in the source system as a discrete value.			
RX_DOSE_FORM	RDBMS Text(x)	SAS Char(x)	See Value Set Appendix for a list of acceptable values.	The unit associated with the quantity prescribed. This is equivalent to RxNorm Dose Form.	PCORnet, based on RxNorm attributes	Do not impute or derive. Populate only if captured in the source system as a discrete value.			
RX_REFILLS	RDBMS Number(x)	SAS Numeric(lengt h 8)		Number of refills ordered (not including the original prescription). If no refills are ordered, the value should be zero.	Based on OMOP and ESP	<ul> <li>If value is non-numeric, leave field blank and populate RAW_RX_REFILLS with originating source value.</li> <li>Do not impute or derive. Populate only if captured in the source system as a discrete value.</li> </ul>			
RX_DAYS_SUPPLY	RDBMS Number(x)	SAS Numeric(lengt h 8)		Number of days supply ordered, as specified by the prescription.	Based on OMOP	Do not impute or derive. Populate only if captured in the source system as a discrete value.			
RX_FREQUENCY	RDBMS Text(2)	SAS Char(2)	01=Every day 02=Two times a day (BID) 03=Three times a day (TID)	Specified frequency of medication.	PCORnet	Do not impute or derive. Populate only if captured in the source system as a discrete value.			

PRESCRIBING T	PRESCRIBING Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance				
			04=Four times a day (QID) 05=Every morning 06=Every afternoon 07=Before meals 08=After meals 10=Every evening 11=Once NI=No information UN=Unknown OT=Other							
RX_PRN_FLAG	RDBMS Text(1)	SAS Char(1)	Y=Yes N=No	Flag to indicate that all or part of medication frequency instructions includes "as needed."	PCORnet	<ul> <li>Select Y if medication order includes instructions to take medication "as needed" or with any other frequency "as needed" (e.g., Two times a day, as needed).</li> <li>This field is a derived attribute and is not expected to be an explicit data field within a source system</li> </ul>				
RX_ROUTE	RDBMS Text(x)	SAS Char(x)	See Value Set Appendix for a list of acceptable values.	Route of medication delivery.	RxNorm (SNOMED)	• The value set for Route is derived from SNOMED and may include values that are more granular than what is present in the source system. If a direct mapping is available, use the appropriate SNOMED code. If there is any possible ambiguity, use "OT" and then store the source value in RAW_RX_ROUTE. For example, an Injection could map				

PRESCRIBING Tab	PRESCRIBING Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance				
						<ul> <li>to Subcutaneous or Intramuscular or Intraocular, depending on the drug, so that would best be mapped to "OT."</li> <li>Do not impute or derive. Populate only if captured in the source system as a discrete value.</li> </ul>				
RX_BASIS	RDBMS Text(2)	SAS Char(2)	01=Order to Dispense 02=Order to administer NI=No information UN=Unknown OT=Other	Basis of the medication order. The PRESCRIBING table can contain orders for many different activities, and this field is intended to connect the provider's prescribing order with how the order was fulfilled (such as outpatient dispensing or administration by a healthcare professional). (Value set items updated and field definition expanded in v3.1.)	PCORnet	This field is a derived attribute and is not expected to be an explicit data field within a source system				
RXNORM_CUI	RDBMS Text (8)	SAS Char(8)		Where an RxNorm mapping exists for the source medication, this field contains the RxNorm concept identifier (CUI) at the highest possible specificity.	PCORnet and NLM UMLS	<ul> <li>Ordering Strategy— The ordering strategy for RxNorm         Term Types has been updated to indicate a preference of brand name CUIs over generics, when available. It has also been expanded to include addition RxNorm Term Types. Please see Reference Table 4 for more information.</li> <li>Do not assign a RxNorm Term Type that is not supported by the source data (i.e., if the medication order in source system is missing strength</li> </ul>				

PRESCRIBING Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
						<ul> <li>information, do not use any of the RxNorm Term Types that incorporate the strength component).</li> <li>This field may be a derived attribute and is not necessarily expected to be an explicit data field within a source system</li> <li>Expected format of RXNORM_CUI codes: Length of 2-7, no alphabetical characters.</li> <li>Do not assign more than one RxCUI per order. Ensure that a single prescribing record is not assigned multiple RxCUIs.</li> </ul>			
RX_SOURCE	RDBMS Text(2)	SAS Char(2)	OD=Order/EHR DR=Derived NI=No information UN=Unknown OT=Other	Source of the prescribing information.	PCORnet	<ul> <li>This field is a derived attribute and is not expected to be an explicit data field within a source system</li> <li>Use "OD" for medication orders entered into the EHR or for electronic prescriptions.</li> <li>Use "DR" for all medication orders that are derived or imputed through analytical procedures (e.g., natural language processing). This does not apply to medication orders mapped from a superset terminology or drug database (e.g., MediSpan, FDB). For</li> </ul>			

PRESCRIBING Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
						those records, use "OD" (General Guidance #4).			
RX_DISPENSE_AS_W RITTEN	RDBMS Text(2)	SAS Char(2)	Y=Yes N=No NI=No information UN=Unknown OT=Other	Flag to indicate whether the provider indicated that the medication order was to be dispensed as written.	PCORnet	<ul> <li>This information is typically captured within EHRs or e-prescribing as part of the ordering process.</li> <li>Do not impute or derive.         Populate only if captured in the source system as a discrete value.     </li> </ul>			
RAW_RX_MED_NAM E	RDBMS Text(x)	SAS Char(x)		Field for originating, full textual medication name from the source.	PCORnet				
RAW_RX_FREQUENC Y	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet				
RAW_RXNORM_CUI	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet				
RAW_RX_QUANTITY	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet				
RAW_RX_NDC	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet				
RAW_RX_DOSE_ORD ERED	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet				
RAW_RX_DOSE_ORD ERED_UNIT	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet				

PRESCRIBING Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
RAW_RX_ROUTE	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet			
RAW_RX_REFILLS	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.				

# **Implementation Guidance Reference Table 4: Ordering of RxNorm Term Types**

(Content from the UMLS [https://www.nlm.nih.gov/research/umls/rxnorm/docs/2015/appendix5.html] - Accessed October 2016)

	RxNorm Term Type		Info	ormation incorp	orated		
		5		G. J	Dose	Brand	
	Code	Description	Ingredient(s)	Strength	Form	Name	Notes
Most							
Preferred	SBD	Semantic Branded Drug	X	X	X	X	
	SCD	Semantic Clinical Drug	X	X	X		
	BPCK	Brand Name Pack	X	X	X	X	
	GPCK	Generic Pack	X	X	X		
	SBDF	Semantic Branded Drug Form	X		X	X	
	SCDF	Semantic Clinical Drug Form	X		X		
$\downarrow$	SBDG	Semantic Branded Dose Form Group			X	X	
	SCDG	Semantic Clinical Dose Form Group	X		X		
	SBDC	Semantic Branded Drug Component	X	X		X	
	BN	Brand Name				X	
	MIN	Multiple Ingredients	X				

	SCDC	Semantic Clinical Drug Component	X	X		May not be enough to distinguish medication for analysis purposes. If medication contains multiple ingredients, include a record in the PRESCRIBING table for each one.
	PIN	Precise Ingredient	X			
Least Preferred	IN	Ingredient	X			May not be enough to distinguish medication for analysis purposes. If medication contains multiple ingredients, include a record in the PRESCRIBING table for each one.
Do not use	DF	Dose Form			X	Non-specific
Do not use	DFG	Dose Form Group			X	Non-specific
Do not use	PSN	Prescribable Name				Synonym of another TTY; Use original TTY
Do not use	SY	Synonym				Synonym of another TTY; Use original TTY
Do not use	TMSY	Tall Man Lettering Synonym				Synonym of another TTY; Use original TTY

# Implementation Guidance Reference Table 4a: RxNorm Term Types with examples

(obtained from RxNav [https://mor.nlm.nih.gov/RxNav/] - Accessed October 2016)

	]	RxNorm Term Type				
	Code	Description	Example (Augmentin XR 12 HR 1000 MG Extended Oral Release Tablet)	RxCUI(s)	Example (Z-PAK)	RxCUI(s)
Most	0000	Description	Augmentin XR 12 HR 1000 MG Extended	111001(8)	Emmple (2 1111)	101001(8)
Preferred	SBD	Semantic Branded Drug	Release Oral Tablet	861689	Zithromax 250 MG Oral Tablet	212446
			12 HR Amoxicillin 1000 MG / Clavulanate 62.5		Axithromycin 250 MG Oral	
	SCD	Semantic Clinical Drug	MG Extended Release Oral Tablet	617995	Tablet	308460
	BPCK	Brand Name Pack	N/A	N/A	Z-PAK	750149
					{6 (Azithromycin 250 MG Oral	
	GPCK	Generic Pack	N/A	N/A	Tablet) } Pack	749783

		Semantic Branded Drug	Amoxicillin / Clavulanate Extended Release		Azithromycin Oral Tablet	
	SBDF	Form	Oral Tablet [Augmentin]	618038	[Zithromax]	367697
		Semantic Clinical Drug	Amoxicillin / Clavulanate Extended Release			
	SCDF	Form	Oral Tablet	617988	Azithromycin Oral Tablet	370976
		Semantic Branded Dose	Augmentin Oral Product;		Zithromax Oral Product;	
	SBDG	Form Group	Augmentin Pill	1174397; 1174308	Zithromax Pill	1187674; 1187675
		Semantic Clinical Dose	Amoxicillin / Clavulanate Oral Product;		Azithromycin Oral Product;	
$\downarrow$	SCDG	Form Group	Amoxicillin / Clavulanate Pill	1152874; 1152875	Azithromycin Pill	1155011; 1155012
		Semantic Branded Drug	Amoxicillin 1000 MG / Clavulanate 62.5 MG		Azithromycin 250 MG	
	SBDC	Component	[Augmentin]	618037	[Zithromax]	564001
	BN	Brand Name	Augmentin	151392	Zithromax	169474
	MIN	Multiple Ingredients	Amoxicillin / Clavulanate	19711	N/A	N/A
		Semantic Clinical Drug				
	SCDC	Component	Amoxicillin 1000 MG; Clavulanate 62.5 MG	331055; 617303	Azithromycin 250 MG	315449
	PIN	Precise Ingredient	N/A	N/A	N/A	N/A
Least						
Preferred	IN	Ingredient	Amoxicillin; Clavulanate	723; 48203	Azithromycin	18631
Do not use	DF	Dose Form	Extended Release Oral Tablet	316945	Oral Capsule; Oral Tablet	316965; 317541
Do not use	DFG	Dose Form Group	Oral Product; Pill	1151131; 1151133	Oral Product; Pill	1151131; 1151133
Do not use	PSN	Prescribable Name				
Do not use	SY	Synonym				
		Tall Man Lettering				
Do not use	TMSY	Synonym				

# 5.12. Table: PCORNET\_TRIAL

# **PCORNET\_TRIAL Domain Description:**

Patients who are enrolled in PCORnet clinical trials and PCORnet studies.

## **Relational Integrity:**

The PCORNET\_TRIAL table contains one record per unique combination of PATID, TRIALID, and PARTICIPANTID.

Composite Primary Key: PATID, TRIALID, PARTICIPANTID

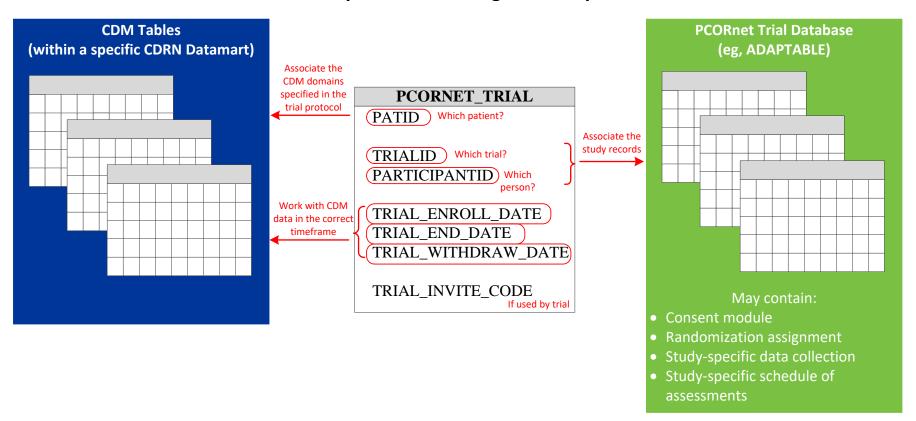
## Foreign Key:

PCORNET\_TRIAL.PATID is a foreign key to DEMOGRAPHIC.PATID (many-to-one relationship)

#### **Constraints:**

PATID + TRIALID + PARTICIPANTID (unique)
PATID (required, not null)
TRIALID (required, not null)
PARTICIPANTID (required, not null)

# The PCORNET\_TRIAL table serves as a connector and filter for CDM data within the parameters of a given trial protocol:



https://pcornet.org/data-driven-common-model/

# **PCORNET\_TRIAL** Table Implementation Guidance

- Partners may use the PCORNET TRIAL table to maintain mappings between PCORnet CDM PATIDs and external trial or study IDs.
- Partners wishing to use this table will need to register their TRIALID with the DRN OC. Please contact the DRN OC if you plan to utilize this table.
- TRIALIDs that start with "PT\_" and "PS\_" are reserved for PCORnet Trials and PCORnet Studies. Partners should refrain from using TRIALIDs that start with these characters. The TRIALID "ADPT" is also reserved.
- One patient participating in multiple trials or studies will have multiple records
- Each PCORnet trial or study will define its parameters for enrollment
- Patients who decline to participate in a trial or study or do not meet eligibility criteria should <u>not</u> be included in this table
- Patients who enroll in a trial or study but later withdraw should be included in this table so that their withdrawal status and date are recognized and used to appropriately manage reporting of CDM data back to the coordinating center
- In most cases, trials will be expected to have a separate trial database that is separate from the CDM
- Randomization assignment is not included in this table due to the potential for unblinding.
- PATID is not generally appropriate for use as a PARTICIPANTID because it is not disambiguated across networks.

PCORNET_TRIAL Table Specification								
Field Name	RDBM Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
PATID	RDBMS Text(x)	SAS Char(x)		Arbitrary person-level identifier used to link across tables.	MSCDM v4.0	All PATIDs must be present in the DEMOGRAPHIC table.		
TRIALID	RDBMS Text(20)	SAS Char(20)		Each TRIALID is assigned by the PCORnet trial or study's coordinating center.	PCORnet			

PCORNET_TRIAL Table Sp	ecification					
Field Name	RDBM Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
PARTICIPANTID	RDBMS Text(x)	SAS Char(x)		Arbitrary person-level identifier used to uniquely identify a participant in a PCORnet trial or study.  PARTICIPANTID is never repeated or reused for a specific clinical trial or study, and is generally assigned by trial or study-specific processes. It may be the same as a randomization ID.	PCORnet	
TRIAL_SITEID	RDBMS Text(x)	SAS Char(x)		Each TRIAL_SITEID is assigned by the PCORnet trial or study coordinating center.	PCORnet	<ul> <li>This field is a derived attribute and is not expected to be an explicit data field within a source system</li> <li>This field will typically represent the patient's recruiting site for clinical trials. A given patient may have data in more than one PCORnet DataMart.</li> </ul>
TRIAL_ENROLL_DATE	RDBMS Date	SAS Date (Numeric)		Date on which the participant enrolled in the trial or study (generally coincides with trial or study consent process).	PCORnet	
TRIAL_END_DATE	RDBMS Date	SAS Date (Numeric)		Date on which the participant completes participation in the trial or study.		
TRIAL_WITHDRAW_DATE	RDBMS Date	SAS Date (Numeric)		If applicable, date on which the participant withdraws consent from the trial or study.	PCORnet	

https://pcornet.org/data-driven-common-model/

PCORNET_TRIAL Table Specification									
Field Name	RDBM Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
TRIAL_INVITE_CODE	RDBMS Text(20)	SAS Char(20)		Textual strings used to uniquely identify invitations sent to potential participants, and allows acceptances to be associated back to the originating source.  Where used, there should generally be a unique combination of PATID, TRIAL_NAME, and INVITE_CODE within each DataMart.  For example, this might include "co-enrollment ID strings" for email invites or "verification codes" for letter invites.	PCORnet				

# **DEATH Domain Description:**

Reported mortality information for patients.

## **Relational Integrity:**

The DEATH table contains one record per unique combination of PATID and DEATH\_SOURCE.

Composite Primary Key: PATID, DEATH\_SOURCE

## Foreign Key:

DEATH.PATID is a foreign key to DEMOGRAPHIC.PATID (many-to-one relationship)

#### **Constraints (modified in v3.1)**

PATID + DEATH SOURCE (unique)

PATID (required, not null)

DEATH\_SOURCE (required, not null)

# **DEATH Table Implementation Guidance**

- One patient may potentially have multiple records in this table because their death may be reported by different sources.
- Deaths represented in the ENCOUNTER.DISCHARGE\_DISPOSITION and ENCOUNTER.DISCHARGE\_STATUS would generally be expected to be present in this table (see field-level guidance for DEATH.DEATH\_SOURCE).

DEATH Table Specification									
Field Name	RDBMS Data	SAS Data Type	Predefined Value Sets and	Definition / Comments	Data Element	Field-level Implementation Guidance			
	Type		Descriptive Text for Categorical Fields		Provenance				
PATID	RDBMS	SAS Char(x)		Arbitrary person-level		All PATIDs in this table must be present in			
	Text(x)			identifier used to link across		the DEMOGRAPHIC table.			
				tables.					

<b>DEATH Table Specific</b>	cation					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
DEATH_DATE	RDBMS Date	SAS Date (Numeric)		Date of death.	MSCDM v4.0 with modified field name and data type	If the death date is completely unknown (e.g., fully imputed), partners should leave it blank.
DEATH_DATE_IMPUTE	RDBMS Text(2)	SAS Char(2)	B=Both month and day imputed D=Day imputed M=Month imputed N=Not imputed NI=No information UN=Unknown OT=Other	When date of death is imputed, this field indicates which parts of the date were imputed.	MSCDM v4.0 with modified field name and valueset	This field is a derived attribute and is not expected to be an explicit data field within a source system
DEATH_SOURCE	RDBMS Text(2)	SAS Char(2)	L=Other, locally defined N=National Death Index D=Social Security S=State Death files T=Tumor data DR=Derived NI=No information UN=Unknown OT=Other		MSCDM v4.0 with modified field name and additional guidance	<ul> <li>"Other, locally defined" may be used to indicate presence of deaths reported from EHR systems, such as in-patient hospital deaths or dead on arrival.</li> <li>This field is a derived attribute and is not expected to be an explicit data field within a source system</li> <li>Use "DR" for all death records that are derived or imputed through analytical procedures (e.g., natural language processing).</li> </ul>
DEATH_MATCH_CONF IDENCE	RDBMS Text(2)	SAS Char(2)	E=Excellent F=Fair P=Poor NI=No information UN=Unknown OT=Other	For situations where a probabilistic patient matching strategy is used, this field indicates the confidence that the patient drawn from external source data represents the actual patient.	MSCDM v4.0 with modified field name and additional guidance	<ul> <li>Should not be present where         DEATH_SOURCE is L (locally-defined). May not be applicable for         DEATH_SOURCE=T (tumor registry data).</li> <li>This field is a derived attribute and is         not expected to be an explicit data field within a source system</li> </ul>

# **DEATH\_CAUSE Domain Description:**

The individual causes associated with a reported death.

# **Relational Integrity:**

The DEATH\_CAUSE table contains one record per unique combination of PATID, DEATH\_CAUSE, DEATH\_CAUSE\_CODE, DEATH\_CAUSE\_TYPE, and DEATH\_CAUSE\_SOURCE.

Composite Primary Key: PATID, DEATH\_CAUSE, DEATH\_CAUSE\_CODE, DEATH\_CAUSE\_TYPE, DEATH\_CAUSE\_SOURCE

## Foreign Key:

DEATH\_CAUSE.PATID is a foreign key to DEMOGRAPHIC.PATID (many-to-one relationship)

#### **Constraints:**

PATID + DEATH CAUSE + DEATH CAUSE CODE + DEATH CAUSE TYPE + DEATH CAUSE SOURCE (unique)

PATID (required, not null)

DEATH\_CAUSE (required, not null)

DEATH\_CAUSE\_CODE (required, not null)

DEATH\_CAUSE\_TYPE (required, not null)

DEATH\_CAUSE\_SOURCE (required, not null)

# **DEATH\_CAUSE Table Implementation Guidance**

## Guidance

• When legacy data have conflicting reports, please make a local determination as to which to use. There is typically a 1-2 year lag in death registry data.

DEATH_CAUSE Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
PATID	RDBMS Text(x)	SAS Char(x)		Arbitrary person-level identifier used to link across tables.	MSCDM v4.0	All PATIDs in this table must be present in the DEMOGRAPHIC table.		
DEATH_CAUSE	RDBMS Text(8)	SAS Char(8)		Cause of death code. Please include the decimal point in ICD codes (if any).	MSCDM v4.0 with modified field name			
DEATH_CAUSE_CODE	RDBMS Text(2)	SAS Char(2)	09=ICD-9 10=ICD-10 NI=No information UN=Unknown OT=Other	Cause of death code type.	MSCDM v4.0 with modified field name			
DEATH_CAUSE_TYPE	RDBMS Text(2)	SAS Char(2)	C=Contributory I=Immediate/Primary O=Other U=Underlying NI=No information UN=Unknown OT=Other	Cause of death type. There should be only one underlying cause of death.	MSCDM v4.0 with modified field name	This field is a derived attribute and is not expected to be an explicit data field within a source system		

<b>DEATH_CAUSE Table Spe</b>	ecification					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
DEATH_CAUSE_SOURCE	RDBMS Text(2)	SAS Char(2)	L=Other, locally defined N=National Death Index D=Social Security S=State Death files T=Tumor data DR=Derived NI=No information UN=Unknown OT=Other	Source of cause of death information.	MSCDM v4.0 with modified field name	<ul> <li>"Other, locally defined" may be used to indicate presence of deaths reported from EHR systems, such as in-patient hospital deaths or dead on arrival.</li> <li>This field is a derived attribute and is not expected to be an explicit data field within a source system</li> <li>Use "DR" for all death cause records that are derived or imputed through analytical procedures (e.g., natural language processing).</li> </ul>
DEATH_CAUSE_CONFIDEN CE	RDBMS Text(2)	SAS Char(2)	E=Excellent F=Fair P=Poor NI=No information UN=Unknown OT=Other	Confidence in the accuracy of the cause of death based on source, match, number of reporting sources, discrepancies, etc.	MSCDM v4.0 with modified field name	This field is a derived attribute and is not expected to be an explicit data field within a source system

# **MED\_ADMIN Domain Description:**

Records of medications administered to patients by healthcare providers. These administrations may take place in any setting, including inpatient, outpatient or home health encounters.

## **Relational Integrity:**

The MED\_ADMIN table contains one record per MEDADMINID.

**Primary Key: MEDADMINID** 

# **Foreign Keys:**

MEDADMIN.PATID is a foreign key to DEMOGRAPHIC.PATID (many-to-one relationship)
MEDADMIN.ENCOUNTERID is a foreign key to ENCOUNTER.ENCOUNTERID (many-to-one relationship)
MEDADMIN.MEDADMIN\_PROVIDERID is a foreign key to PROVIDER.PROVIDERID (many-to-one relationship)

#### **Constraints:**

MEDADMINID (unique; required, not null)
PATID (required, not null)
MEDADMIN\_START\_DATE (required, not null)

# **MED\_ADMIN Table Implementation Guidance**

- If a medication cannot be mapped to RxNorm or NDC, it should still be present and RAW MEDADMIN NAME should be populated.
- Only include administrations that were actually delivered to the patient, if that level of specificity is available in the source system.
- Patient-reported medication administrations are not within the scope of this table.
- See Reference Table 4 for the ordering strategy for RxNorm Term Types.
- Do not populate CDM fields with information derived from the RXCUI (e.g., MEDADMIN DOSE ADMIN). Populate fields only if data are captured in the source system as a discrete value.
- Populate records with the RXCUI as it existed at the time the order was entered, even if the RXCUI is no longer active. Do not attempt to update inactive RXCUIs with a more recent value.
- If a medication mixture contains multiple RXCUIs (e.g., inpatient mixture), each individual medication from the order set should be included as an individual record with a unique MEDADMINID. Each individual medication is expected to have a unique dose.
- ENCOUNTERID is expected to be present for records in the MED\_ADMIN table.
- For administrations where the amount ordered is listed as a rate (e.g., infusions), if the DOSE/DOSE\_UNIT values are specified as a rate, and those fields are stored discretely in your EHR, populate the relevant CDM fields. Assuming that START\_DATE/START\_TIME and STOP\_DATE/STOP\_TIME are also populated, it will be possible to compute the rate analytically. Otherwise, leave blank.

MED_ADMIN Table	Specification					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
MEDADMINID	RDBMS Text(x)	SAS Char(x)	•	Arbitrary identifier for each unique MED_ADMIN record.	PCORnet	
PATID	RDBMS Text(x)	SAS Char(x)		Arbitrary person-level identifier used to link across tables.	MSCDM v4.0	All PATIDs must be present in the DEMOGRAPHIC table.
ENCOUNTERID	RDBMS Text(x)	SAS Char(x)		Arbitrary encounter-level identifier. The ENCOUNTERID should be present.	MSCDM v4.0	All ENCOUNTERIDs must be present in the ENCOUNTER table.
PRESCRIBINGID	RDBMS Text(x)	SAS Char(x)		This is an optional relationship to the PRESCRIBING table, and may not be generally available. One prescribing order may generate multiple administration records.	PCORnet	
MEDADMIN_PROVID ERID	RDBMS Text(x)	SAS Char(x)		Provider code for the provider who prescribed the medication. The provider code is a pseudoidentifier with a consistent crosswalk to the real identifier.	PCORnet	All PROVIDERIDs must be present in the PROVIDER table.

MED_ADMIN Table	MED_ADMIN Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
MEDADMIN_ START_DATE	RDBMS Date	SAS Date (Numeric)		Date medication administration started/occurred	PCORnet	Populate for single point-in-time administrations, as well as continuous time administrations, such as infusions.			
MEDADMIN_ START_TIME	RDBMS Text(5): Format as HH:MI using 24-hour clock and zero- padding for hour and minute	SAS Time (Numeric)	•	Time medication administration started/occurred	PCORnet	Populate for single point-in-time administrations, as well as continuous time administrations, such as infusions.			
MEDADMIN_STOP_D ATE	RDBMS Date	SAS Date (Numeric)		Date medication administration ended	PCORnet	Populate for continuous time administrations, such as infusions.  Leave blank if the administration is a single point-in-time event.			
MEDADMIN_ STOP_TIME	RDBMS Text(5): Format as HH:MI using 24-hour clock and zero- padding for hour and minute	SAS Time (Numeric)		Time medication administration ended	PCORnet	Populate for continuous time administrations, such as infusions.  Leave blank if the administration is a single point-in-time event.			
MEDADMIN_TYPE	RDBMS Text(2)	SAS Char(2)	ND=NDC RX=RXNORM NI=No information UN=Unknown OT=Other	Medication code type.	PCORnet	<ul> <li>This field is a derived attribute and is not expected to be an explicit data field within a source system</li> <li>If mapping from medication database (e.g., MediSpan, FDB), and it is possible to map to RxNorm and NDC, RxNorm is</li> </ul>			

MED_ADMIN Table	MED_ADMIN Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
						the preferred term type. If medication administration records are stored natively as NDC, do not convert to RxNorm.			
MEDADMIN_CODE	RDBMS Text(x)	SAS Char(x)		Medication code	PCORnet				
MEDADMIN_DOSE_A DMIN	RDBMS Number(x)	SAS Numeric(lengt h 8)		Dose of a given mediation, as administered by the provider	PCORnet	Do not impute or derive. Populate only if captured in the source system as a discrete value.			
MEDADMIN_DOSE_A DMIN_UNIT	RDBMS Text(x)	SAS Char(x)	See Value Set Appendix for a list of acceptable values.	Units of measure associated with the dose of the medication as administered by the provider	UCUM	<ul> <li>Do not impute or derive.         Populate only if captured in the source system as a discrete value.     </li> <li>Choose the standardized unit of measure that is most reflective of the source data.</li> <li>The Value Set Appendix contains a list of the units most commonly associated with medication records. Partners can use this table to aid in their mapping efforts, but they should refer back to the full value set if they have a medication record with a unit of measure that is not present in this curated list. (Guidance deprecated as of CDM v5.0)</li> </ul>			

MED_ADMIN Table	MED_ADMIN Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
						This is a mixed case value set and entries should be handled accordingly.			
MEDADMIN_ROUTE	RDBMS Text(x)	SAS Char(x)	See Value Set Appendix for a list of acceptable values.	Route of medication delivery.	RxNorm (SNOMED)	<ul> <li>The value set for Route is derived from SNOMED and may include values that are more granular than what is present in the source system. If a direct mapping is available, use the appropriate SNOMED code. If there is any possible ambiguity, use "OT" and then store the source value in RAW_MEDAMIN_ROUTE. For example, an Injection could map to Subcutaneous or Intramuscular or Intraocular, depending on the drug, so that would best be mapped to "OT."</li> <li>Do not impute or derive. Populate only if defined in the source system as a discrete value.</li> </ul>			
MEDADMIN_SOURCE	RDBMS Text(2)	SAS Char(2)	OD=Order/EHR DR=Derived NI=No information UN=Unknown OT=Other	Source of the medication administration record.	PCORnet	<ul> <li>This field is a derived attribute and is not expected to be an explicit data field within a source system</li> <li>Use "OD" for medication orders entered into the EHR.</li> <li>Use "DR" for all medication orders that are derived or</li> </ul>			

MED_ADMIN Table	Specification					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
						imputed through analytical procedures (e.g., natural language processing). This does not apply to administrations mapped from a superset terminology or drug database (e.g., MediSpan, FDB). For those records, use "OD" (General Guidance #4).
RAW_MEDADMIN_M ED_NAME	RDBMS Text(x)	SAS Char(x)		Field for originating, full textual medication name from the source.	PCORnet	
RAW_MEDADMIN_C ODE	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	
RAW_MEDADMIN_D OSE_ADMIN	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	
RAW_MEDADMIN_D OSE_ADMIN_UNIT	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	
RAW_MEDADMIN_R OUTE	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	

## 5.16. Table: PROVIDER

# **PROVIDER Domain Description:**

Data about the providers who are involved in the care processes documented in the CDM.

#### **Relational Integrity:**

The PROVIDER table contains one record per PROVIDERID.

**Primary Key: PROVIDERID** 

## **Foreign Keys:**

PROVIDER.PROVIDERID is a foreign key to ENCOUNTER.PROVIDERID (one-to-many relationship)

PROVIDER.PROVIDERID is a foreign key to DIAGNOSIS.PROVIDERID (one-to-many relationship)

PROVIDER.PROVIDERID is a foreign key to PROCEDURES.PROVIDERID (one-to-many relationship)

PROVIDER.PROVIDERID is a foreign key to PRESCRIBING.RX\_PROVIDERID (one-to-many relationship)

PROVIDER.PROVIDERID is a foreign key to MEDADMIN.MEDADMIN\_PROVIDERID (one-to-many relationship)

## **Constraints:**

PROVIDERID (unique; required, not null)

# **PROVIDER Table Implementation Guidance**

- Include one record per provider.
- When populating provider specialty, if multiple values are available, use the specialty believed to be primary.

PROVIDER Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
PROVIDERID	RDBMS Text(x)	SAS Char(x)		Arbitrary identifier for each unique PROVIDER record. Does not need to be persistent across refreshes, and may be created by methods such as sequence or GUID.	PCORnet				
PROVIDER_SEX	RDBMS Text(2)	SAS Char(2)	A=Ambiguous F=Female M=Male NI=No information UN=Unknown OT=Other	Sex assigned at birth.	MSCDM v4.0 with modified field size and value set  Source: Administrative Sex (HL7)  http://phinvads.cdc.gov/vads/ViewValueSet.action?id=06D34BBC-617F-DD11-B38D-00188B398520	The "Ambiguous" category may be used for individuals who are physically undifferentiated from birth. The "Other" category may be used for individuals who are undergoing gender re-assignment.			
PROVIDER_SPECIALT Y_PRIMARY	RDBMS Text(x)	SAS Char(x)	See Value Set Appendix for a list of acceptable values.	Primary specialty of the provider	Healthcare Provider Taxonomy Code Set				
PROVIDER_NPI	RDBMS Number(x)	SAS Numeric(lengt h 8)		National Provider Identifier (NPI) of the provider.	PCORnet	<ul> <li>Partners should only consider populating this field if their local governance allows it.</li> <li>The expectation is that this field will primarily be used to support study-specific activities, though partners may also populate it to support their internal work.</li> </ul>			
PROVIDER_NPI_FLAG	RDBMS Text(1)	SAS Char(1)	Y=Yes N=No	Flag to indicate whether partner has access to the National Provider Identifier (NPI) of the provider.	PCORnet	This field is a derived attribute and is not expected to be an explicit data field within a source system			

PROVIDER Table Specification								
Field Name	RDBMS Data	SAS Data Type	Predefined Value Sets and	Definition / Comments	Data Element	Field-level Implementation		
	Type		Descriptive Text for Categorical Fields		Provenance	Guidance		
RAW_PROVIDER_SPE	RDBMS	SAS Char(x)	•	Field for originating value of field, prior	PCORnet			
CIALTY_PRIMARY	Text(x)			to mapping into the PCORnet CDM				
				value set.				

# **OBS\_CLIN Domain Description:**

Standardized qualitative and quantitative clinical observations about a patient.

#### **Relational Integrity:**

The OBS\_CLIN table contains one record OBSCLINID

**Primary Key:** OBSCLINID

#### **Foreign Keys:**

OBSCLIN.PATID is a foreign key to DEMOGRAPHIC.PATID (many-to-one relationship)
OBSCLIN.ENCOUNTERID is a foreign key to ENCOUNTER.ENCOUNTERID (many-to-one relationship)
OBSCLIN.PROVIDERID is a foreign key to PROVIDER.PROVIDERID (many-to-one relationship)

#### **Constraints:**

OBSCLINID (unique; required, not null)
PATID (required, not null)
OBSCLIN\_DATE (required, not null)

# **OBS CLIN Table Implementation Guidance**

- The OBS\_CLIN table is intended to store standardized clinical observations that have been recorded about a patient.
- Examples of the types of observations that can be stored in this table include pulmonary function test results (e.g., FEV1, FVC, FEV1/FVC), echocardiogram results (e.g., left ventricle ejection fraction), vital signs not included in the VITAL table (e.g., temperature), etc.
- Decisions on what to include in this table and how to prioritize the population of those records are expected to be driven primarily by potential funding opportunities.
- This table provides a generalized structure for storing observations and is not optimized for analytical efficiency. As elements from this table are used in studies and/or distributed queries, additional representations of those data elements (i.e., new table structures) may be required to better support those activities.
- If partners are populating pain scores (not pain-related PRO surveys) captured in an inpatient or surgical setting, these values would be expected to be present in this table, not PRO\_CM.
- If an observation has a value set that includes an option of "not documented or assessed" (or similar), these values should be included in the CDM if they are present in the source system. Do not derive if an observation is missing.

OBS_CLIN Table Spec	ification					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
OBSCLINID	RDBMS Text(x)	SAS Char(x)		Arbitrary identifier for each unique OBS_CLIN record.	PCORnet	
PATID	RDBMS Text(x)	SAS Char(x)		Arbitrary person-level identifier. Used to link across tables.	MSCDM v4.0	All PATIDs must be present in the DEMOGRAPHIC table.
ENCOUNTERID	RDBMS Text(x)	SAS Char(x)	•	Arbitrary encounter-level identifier used to link across tables.	MSCDM v4.0	<ul> <li>Populate with the         ENCOUNTERID where         the observation was         obtained.</li> <li>All ENCOUNTERIDs         must be present in the         ENCOUNTER table.</li> </ul>
OBSCLIN_PROVIDERID	RDBMS Text(x)	SAS Char(x)		Provider code for the provider who ordered the observation. The provider code is a pseudoidentifier with a consistent crosswalk to the real identifier.	PCORnet	All PROVIDERIDs must be present in the PROVIDER table.
OBSCLIN_DATE	RDBMS Date	SAS Date (Numeric)		Date of observation/measurement	MSCDM v4.0 with modified field name	
OBSCLIN_TIME	RDBMS Text(5): Format as HH:MI using 24-hour clock and zero- padding for hour and minute	SAS Time (Numeric)		Time of observation/measurement.	MSCDM v4.0 with modified field name	
OBSCLIN_TYPE	RDBMS Text(2)	SAS Char(2)	LC=LOINC SM=SNOMED CT (observable entity) NI=No information UN=Unknown OT=Other	Terminology / vocabulary used to describe the clinical observation.	PCORnet	

OBS_CLIN Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
OBSCLIN_CODE	RDBMS Text(x)	SAS Char(x)		Code of the clinical observation in the vocabulary/terminology specified in OBSCLIN_TYPE.	PCORnet	<ul> <li>Results with local versions of LOINC codes (e.g., LOINC candidate codes) should be included in the RAW_table field.</li> <li>The last digit of the LOINC code is a check digit and is always preceded by a hyphen. All parts of the LOINC code, including the hyphen, must be included.</li> <li>Do not pad codes with leading zeros.</li> </ul>		
OBSCLIN_RESULT_QUA	RDBMS Text(x)	SAS Char(x)	See Value Set Appendix for a list of acceptable values.	Standardized result for qualitative results. This variable should be NI for quantitative results.	LOINC	If qualitative result cannot be harmonized to a value in OBSCLIN_RESULT_QUAL value set, please ensure that RAW_OBSCLIN_RESULT is populated with result value.		
OBSCLIN_RESULT_TEXT	RDBMS Text(x)	SAS Char(x)		Narrative/textual clinical observations	PCORnet			
OBSCLIN_RESULT_SNO MED	RDBMS Text(x)	SAS Char(x)		If the qualitative result has been mapped to SNOMED CT, the corresponding SNOMED code can be placed here.	PCORnet	Partners are not expected to derive or impute if not present in the source system.		
OBSCLIN_RESULT_NUM	RDBMS Number(x)	SAS Numeric(length 8)		Standardized/converted result for quantitative results.	MSCDM v4.0 with modified field name	Used to store quantitative results, including the numeric component of numeric results that contain operators (e.g., "<200", ">= 0.5"). See guidance for RESULT_MODIFIER for further details.		

OBS_CLIN Table Specif	fication					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
OBSCLIN_RESULT_MODI FIER	RDBMS Text(2)	SAS Char(2)	EQ=Equal GE=Greater than or equal to GT=Greater than LE=Less than or equal to LT=Less than TX=Text NI=No information UN=Unknown OT=Other	Modifier for result values.	MSCDM v4.0 with modified field name and value set	Any symbols in the RAW_RESULT value should be reflected in the RESULT_MODIFIER variable.  For example, if the original source data value is "<=200" then RAW_RESULT=200 and RESULT_MODIFIER=LE. RESULT_NUM would also be set to "200". If the original source data value is text, then RESULT_MODIFIER=TXIf the original source data value is a numeric value, then RESULT_MODIFIER=EQ
OBSCLIN_RESULT_UNIT	RDBMS Text(x)	SAS Char(x)	See Value Set Appendix for a list of acceptable values.	Converted/standardized units for the result.	UCUM	<ul> <li>Chose the standardized unit of measure that is most reflective of the source data (if applicable).</li> <li>This is a mixed case value set and entries should be handled accordingly.</li> </ul>

OBS_CLIN Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
OBSCLIN_SOURCE	RDBMS Text(2)	SAS Char(2)	OD=Order/EHR BI=Billing CL=Claim RG=Registry / ancillary system DR=Derived NI=No information UN=Unknown OT=Other	Source of the information for the lab result.	PCORnet	<ul> <li>This field is a derived attribute and is not expected to be an explicit data field within a source system</li> <li>Use "OD" for clinical observations that are sourced from the EHR.</li> <li>Use "BI" for all clinical observations that are generated through the physician and hospital billing process (it is unlikely that this value will be used).</li> <li>Use "CL" for all clinical observations that are sourced from pharmacy or medical claims.</li> <li>Use "RG" for clinical observations that are sourced from a separate registry or ancillary clinical system.</li> <li>Use "DR" for all clinical observations that are derived or imputed through analytical procedures (e.g., natural language processing).</li> </ul>			
RAW_OBSCLIN_NAME	RDBMS Text(x)	SAS Char(x)		Local name related to an individual clinical observation/measurement.	PCORnet				
RAW_OBSCLIN_CODE	RDBMS Text(x)	SAS Char(x)		Local code related to an individual clinical observation/measurement.	PCORnet				
RAW_OBSCLIN_TYPE	RDBMS Text(x)	SAS Char(x)		Terminology related to the code in RAW_OBSGEN_CODE.	PCORnet				

OBS_CLIN Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
RAW_OBSCLIN_RESULT	RDBMS Text(x)	SAS Char(x)	•	The original test result value as seen in your source data. Values may include a decimal point, a sign or text (e.g., POSITIVE, NEGATIVE, DETECTED). The symbols >, <, >=, <= should be removed from the value and stored in the Modifier variable instead.	PCORnet			
RAW_OBSCLIN_MODIFIE R	RDBMS Text(x)	SAS Char(x)		The original modifier text as represented in your source data.	PCORnet			
RAW_OBSCLIN_UNIT	RDBMS Text(x)	SAS Char(x)		Original units for the result in your source data.	PCORnet			

# 5.18. Table: OBS GEN

# **OBS\_GEN Domain Description:**

Table to store everything else.

## **Relational Integrity:**

The OBS\_GEN table contains one record OBSGENID

**Primary Key:** OBSGENID

## **Foreign Keys:**

OBSGEN.PATID is a foreign key to DEMOGRAPHIC.PATID (many-to-one relationship)
OBSGEN.ENCOUNTERID is a foreign key to ENCOUNTER.ENCOUNTERID (zero/many-to-one relationship)
OBSGEN.PROVIDERID is a foreign key to PROVIDER.PROVIDERID (many-to-one relationship)

#### **Constraints:**

OBSGENID (unique; required, not null)
PATID (required, not null)
OBSGEN DATE (required, not null)

# **OBS\_GEN Table Implementation Guidance**

- Partners may use this table to store network- or study-specific data elements.
- Records in this table are not expected to be used in queries distributed by the DRN OC.
- This table provides a generalized structure for storing observations and is not optimized for analytical efficiency. As elements from this table are used in studies and/or distributed queries, additional representations of those data elements (i.e., new table structures) may be required to better support those activities.

OBS_GEN Table Speci	RDBMS Data Type	SAS Data Type	Predefined Value Sets and	Definition / Comments	Data Element	Field-level Implementation
1 teta 1 teme	TODING Butter Type	SIIS Duid Type	Descriptive Text for Categorical Fields	Definition / Comments	Provenance Provenance	Guidance
OBSGENID	RDBMS Text(x)	SAS Char(x)		Arbitrary identifier for each unique OBS_GEN record.	PCORnet	
PATID	RDBMS Text(x)	SAS Char(x)		Arbitrary person-level identifier. Used to link across tables.	MSCDM v4.0	All PATIDs must be present in the DEMOGRAPHIC table.
ENCOUNTERID	RDBMS Text(x)	SAS Char(x)		Arbitrary encounter-level identifier used to link across tables. This field should be populated if the observation was recorded as part of a healthcare encounter.	PCORnet	<ul> <li>Populate with the ENCOUNTERID where the observation was recorded.</li> <li>All ENCOUNTERIDs in this table must also be present in the ENCOUNTER table.</li> </ul>
OBSGEN_PROVIDERID	RDBMS Text(x)	SAS Char(x)		Provider code for the provider who recorded the observation. The provider code is a pseudoidentifier with a consistent crosswalk to the real identifier.	PCORnet	All PROVIDERIDs must be present in the PROVIDER table.
OBSGEN_DATE	RDBMS Date	SAS Date (Numeric)		Date of observation/measurement	MSCDM v4.0 with modified field name	
OBSGEN_TIME	RDBMS Text(5): Format as HH:MI using 24-hour clock and zero- padding for hour and minute	SAS Time (Numeric)		Time of observation/measurement.	MSCDM v4.0 with modified field name	

OBS_GEN Table Specif	OBS_GEN Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance				
OBSGEN_TYPE	RDBMS Text(30)	SAS Char(30)	09DX=ICD-9-CM 09PX=ICD-10-PCS 10DX=ICD-10-CM/PCS 10PX=ICD-10-PCS 11DX=ICD-11-CM/PCS 11PX=ICD-11-PCS ON=ICD-O (Oncology) SM=SNOMED HP=Human Phenotype Ontology HG=Human Genome Organization LC=LOINC RX=RXNORM ND=NDC CH=CPT or HCPCS GM=Global Medical Device Nomenclature CVX=Vaccine administered UD_*=User-defined PC_*=PCORnet reserved NI=No information UN=Unknown OT=Other	Terminology/vocabulary used to describe the observation.  Networks/partners can define their own terminologies with strings starting with "UD_".  Strings that start with "PC_" are reserved for network-wide activities and will be assigned by the Coordinating Center.	PCORnet	<ul> <li>For user-defined values, use the listed convention.</li> <li>If a study involves this table and spans multiple networks, participants should ensure that they chose a UD string that is not in use in those locations.</li> <li>The ICD CM and PCS terminologies are listed as separate options, since codes may be present without decimals. This can lead to collisions between values if they are co-mingled.</li> </ul>				
OBSGEN_CODE	RDBMS Text(x)	SAS Char(x)		Standardized code denoting the observations based on the terminology/vocabulary specified in OBSGEN_TYPE	PCORnet					
OBSGEN_RESULT_QUAL	RDBMS Text(x)	SAS Char(x)	See Value Set Appendix for a list of acceptable values.	Standardized result for qualitative results. This variable should be NI for quantitative results.	LOINC	Use RAW_OBSGEN_RESULT to store qualitative results that cannot be harmonized to the defined value set.				

OBS_GEN Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
OBSGEN_RESULT_TEXT	RDBMS Text(x)	SAS Char(x)		Narrative/textual observations.	PCORnet				
OBSGEN_RESULT_NUM	RDBMS Number(x)	SAS Numeric(length 8)	•	Standardized/converted result for quantitative results.	MSCDM v4.0 with modified field name	Used to store quantitative results, including the numeric component of numeric results that contain operators (e.g., "<200", ">= 0.5"). See guidance for RESULT_MODIFIER for further details.			
OBSGEN_RESULT_MODI FIER	RDBMS Text(2)	SAS Char(2)	EQ=Equal GE=Greater than or equal to GT=Greater than LE=Less than or equal to LT=Less than TX=Text NI=No information UN=Unknown OT=Other	Modifier for result values.	MSCDM v4.0 with modified field name and value set	Any symbols in the RAW_RESULT value should be reflected in the RESULT_MODIFIER variable.  For example, if the original source data value is "<=200" then RAW_RESULT=200 and RESULT_MODIFIER=LE. RESULT_NUM would also be set to "200". If the original source data value is text, then RESULT_MODIFIER=TX If the original source data value is a numeric value, then RESULT_MODIFIER=EQ			
OBSGEN_RESULT_UNIT	RDBMS Text(x)	SAS Char(x)	See Value Set Appendix for a list of acceptable values.	Converted/standardized units for the result.	UCUM	<ul> <li>Chose the standardized unit of measure that is most reflective of the source data (if applicable).</li> <li>This is a mixed case value set and entries should be handled accordingly.</li> </ul>			

OBS_GEN Table Specifi	OBS_GEN Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance				
OBSGEN_TABLE_MODIFIED	RDBMS Text(3)	SAS Char(3)	ENR=ENROLLMENT ENC=ENCOUNTER DX=DIAGNOSIS PX=PROCEDURES VT=VITAL DSP=DISPENSING LAB=LAB_RESULT_C M CON=CONDITION PRO=PRO_CM RX=PRESCRIBING PT=PCORNET_TRIAL DTH=DEATH DC=DEATH_CAUSE MA=MED_ADMIN OC=OBS_CLIN OB=OBS_GEN OT=Other	Table name when observation describes attributes of an existing record in the CDM.	PCORnet	<ul> <li>If observation record modifies something other than the patient (i.e., attribute about an encounter), a link to that table can be included here.</li> <li>If a value is listed in OBSGEN_TABLE_MODIF IED, then a corresponding ID should be listed in OBSGEN_ID_MODIFIED.</li> </ul>				

OBS_GEN Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
OBSGEN_ID_MODIFIED	RDBMS Text(x)	SAS Char(x)		Identifier when observation describes attributes of an existing record in the CDM.	PCORnet	If observation record modifies something other than the patient (i.e., attribute about an encounter), a link to that record can be included here.  If a value is listed in OBSGEN_TABLE_MODIF IED, then a corresponding ID should be listed in OBSGEN_ID_MODIFIED.  If modifying a record in OBS_GEN, the value of OBSGEN_ID_MODIFIED must be different than the value of OBSGENID for that record.			

OBS_GEN Table Specification										
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance				
OBSGEN_SOURCE	RDBMS Text(2)	SAS Char(2)	OD=Order/EHR BI=Billing CL=Claim RG=Registry / ancillary system SR=Survey system / mobile app DR=Derived NI=No information UN=Unknown OT=Other	Source of the information for the lab result.	PCORnet	<ul> <li>This field is a derived attribute and is not expected to be an explicit data field within a source system</li> <li>Use "OD" for observations that are sourced from the EHR.</li> <li>Use "BI" for observations that are generated through the physician and hospital billing process (it is unlikely that this value will be used).</li> <li>Use "CL" for observations that are sourced from pharmacy or medical claims.</li> <li>Use "RG" for observations that are sourced from a separate registry or ancillary clinical system.</li> <li>Use "SR" for observations that are sourced from an external survey system or mobile app.</li> <li>Use "DR" for observations that are derived or imputed through analytical procedures (e.g., natural language processing).</li> </ul>				

OBS_GEN Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
RAW_OBSGEN_NAME	RDBMS Text(x)	SAS Char(x)	•	Local name related to an individual clinical observation/measurement.	PCORnet				
RAW_OBSGEN_CODE	RDBMS Text(x)	SAS Char(x)		Local code related to an individual clinical observation/measurement.	PCORnet				
RAW_OBSGEN_TYPE	RDBMS Text(x)	SAS Char(x)		Terminology related to the code in RAW_OBSGEN_CODE.	PCORnet				
RAW_OBSGEN_RESULT	RDBMS Text(x)	SAS Char(x)		The original test result value as seen in your source data.	PCORnet				
RAW_OBSGEN_UNIT	RDBMS Text(x)	SAS Char(x)	•	Original units for the result in your source data.	PCORnet				

# 5.19. Table: HASH TOKEN

# **HASH\_TOKEN Domain Description:**

Encrypted hash tokens that are used to match patient records across DataMarts using privacy-preserving record linkage methods.

### **Relational Integrity:**

The HASH\_TOKEN table contains one record per patient.

**Primary Key: PATID** 

Foreign Key:

HASH\_TOKEN.PATID is a foreign key to DEMOGRAPHIC.PATID (one-to-one relationship)

**Constraints:** 

PATID (unique; required, not null)

## **HASH\_TOKEN** Table Implementation Guidance

#### Guidance

- Every patient in the DEMOGRAPHIC table is expected to have one record in the HASH\_TOKEN table.
- Tokens are generated from personally-identifiable information (PII) that is stored in each partner's PRIVATE\_DEMOGRAPHIC table. The PII is used as input to the Datavant De-id module. Tokens should not be placed into the CDM until they have been transformed into transit tokens using the Datavant Send module.
- Tokens should be generated as part of every refresh.

<b>HASH_TOKEN Table S</b>	Specification					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
PATID	RDBMS Text(x)	SAS Char(x)		Arbitrary person-level identifier. Used to link across tables.	MSCDM v4.0	All PATIDs should be present in the DEMOGRAPHIC table.
TOKEN_01	RDBMS Text(x)	SAS Char(x)		Encrypted hash generated from PII using token strategy 01.	PCORnet	
TOKEN_02	RDBMS Text(x)	SAS Char(x)		Encrypted hash generated from PII using token strategy 02.	PCORnet	
TOKEN_05	RDBMS Text(x)	SAS Char(x)		Encrypted hash generated from PII using token strategy 05.	PCORnet	
TOKEN_12	RDBMS Text(x)	SAS Char(x)		Encrypted hash generated from PII using token strategy 12.	PCORnet	
TOKEN_17	RDBMS Text(x)	SAS Char(x)		Encrypted hash generated from PII using token strategy 17.	PCORnet	
TOKEN_21	RDBMS Text(x)	SAS Char(x)		Encrypted hash generated from PII using token strategy 21.	PCORnet	
TOKEN_22	RDBMS Text(x)	SAS Char(x)		Encrypted hash generated from PII using token strategy 22.	PCORnet	
TOKEN_23	RDBMS Text(x)	SAS Char(x)		Encrypted hash generated from PII using token strategy 23.	PCORnet	

## 5.20. Table: LDS ADDRESS HISTORY

# LDS\_ADDRESS\_HISTORY Domain Description:

Longitudinal record of a patient's address that adheres to the requirements of a Limited Data Set.

### **Relational Integrity:**

The LDS\_ADDRESS\_HISTORY table can contain many records per patient.

**Primary Key: ADDRESSID** 

### Foreign Key:

ADDRESS\_HISTORY.PATID is a foreign key to DEMOGRAPHIC.PATID (many-to-one relationship)

#### **Constraints:**

ADDRESSID (unique; required, not null)

PATID (required, not null)

ADDRESS USE (required, not null)

ADDRESS\_TYPE (required, not null)

ADDRESS\_PREFERRED (required, not null)

## LDS\_ADDRESS\_HISTORY Table Implementation Guidance

#### Guidance

- Expect multiple records per individual
- This table is currently limited to addresses in the United States.
- Partners can limit records in this table to validated addresses if known.
- If partners have difficulty constructing a longitudinal address history for patients within their DataMart, they should prioritize populating the current address for each patient.

LDS_ADDRESS_HISTORY Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
ADDRESSID	RDBMS Text(x)	SAS Char(x)		Arbitrary identifier for each unique address record.	PCORnet			
PATID	RDBMS Text(x)	SAS Char(x)		Arbitrary person-level identifier. Used to link across tables.	MSCDM v4.0	All PATIDs should be present in the DEMOGRAPHIC table.		

LDS_ADDRESS_HISTORY Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
ADDRESS_USE	RDBMS Text(2)	SAS Char(2)	HO=Home WO=Work TP=Temp OL=Old/Incorrect NI=No information UN=Unknown OT=Other	Purpose of the address.  Details of categorical definitions: Home: A communication address at home. Work: An office address. First choice for business-related contacts during business hours. Temp: A temporary address. Old/Incorrect: This address is no longer in use (or was never correct but retained for records).	FHIR - ADDRESS	<ul> <li>This field may be a derived attribute that is not an explicit data field within a source system.</li> <li>Use the period start/end fields to indicate if an address is no longer valid. Do not change values of HO/WO/TP to OL if a new address is available.</li> <li>The old/incorrect value is included in case partners are doing a bulk load and it is present in their source system. It is acceptable to exclude these records, however.</li> <li>If addresses within the source system are reasonably expected to represent the patient's home address, it is acceptable to mark these as "HO."</li> </ul>			

LDS_ADDRESS_HISTORY Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
ADDRESS_TYPE	RDBMS Text(2)	SAS Char(2)	PO=Postal PH=Physical BO=Both NI=No information UN=Unknown OT=Other	Type of address.  Details of categorical definitions: Postal: mailing address – PO Boxes and care-of addresses. Physical: A physical address that can be visited. Both: An address that is both physical and postal.	FHIR - ADDRESS	<ul> <li>This field may be a derived attribute that is not an explicit data field within a source system.</li> <li>Addresses that are clearly not postal-only addresses can be marked as "Both"</li> </ul>			

ADDRESS_PREFERRED	RDBMS	SAS Char(2)	Y=Yes	Indicates whether this address is the preferred	PCORnet	• This field may be a
	Text(2)	(-)	N=No	one for a given patient, address use and		derived attribute that is
				address type within a given address period.		not an explicit data
						field within a source
						system.
						• It is possible to have
						an address marked as
						preferred for every
						address type/use
						within each defined
						address period.
						<ul><li>If a new address</li></ul>
						period is defined, it is not necessary to set the
						flag(s) back to "N" for
						any of the existing
						address periods.
						<ul><li>If only one address is</li></ul>
						present for a given
						period, that address
						should be marked as
						preferred.
						<ul> <li>If multiple addresses</li> </ul>
						are present for a
						period, one should be
						denoted as preferred.
						The rest should be
						labeled as "N".
						<ul> <li>If multiple addresses</li> </ul>
						are present for a period
						without clear
						institutional guidance
						as to which is
						preferred, partners can
						use a heuristic to make
						use a neuristic to make

LDS_ADDRESS_HISTORY Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance a determination (i.e., address associated with most recent encounter, address used for billing, etc.)		
ADDRESS_CITY	RDBMS Text(x)	SAS Char(x)		The name of the city, town, village or other community.	FHIR - ADDRESS			
ADDRESS_STATE	RDBMS Text(2)	SAS Char(2)	See Value Set Appendix for a list of acceptable values.	State, as represented by 2-digit postal abbreviation.	PCORnet			
ADDRESS_ZIP5	RDBMS Text(5)	SAS Char(5)		5-digit postal code for the address.	PCORnet			
ADDRESS_ZIP9	RDBMS Text(9)	SAS Char(9)		9-digit postal code for the address.	PCORnet	Do not include hyphens.		
ADDRESS_PERIOD_START	RDBMS Date	SAS Date (Numeric)		Initial date when the address is known to be in use.	FHIR - ADDRESS	• If the date the address is known to be in use is unknown, it is acceptable to use the date the record was created in the source system or the date the record was first created in the CDM.		
ADDRESS_PERIOD_END	RDBMS Date	SAS Date (Numeric)		Date when address was no longer in use.	FHIR - ADDRESS	Only the current     address period should     have a null value. All     previous periods are     expected to have a     defined end date.		

## 5.21. Table: IMMUNIZATION

## **IMMUNIZATION Domain Description:**

Records of immunizations that have been delivered within the health system as well as reports of those administered elsewhere.

### **Relational Integrity:**

The IMMUNIZATION table contains one record per IMMUNIZATIONID.

**Primary Key: IMMUNIZATIONID** 

#### **Foreign Keys:**

IMMUNIZATION.PATID is a foreign key to DEMOGRAPHIC.PATID (many-to-one relationship)

IMMUNIZATION.ENCOUNTERID is a foreign key to ENCOUNTER.ENCOUNTERID (zero/many-to-one relationship)

IMMUNIZATION.VX\_PROVIDERID is a foreign key to PROVIDER.PROVIDERID (zero/many-to-one relationship)

IMMUNIZATION.PROCEDURESID is a foreign key to PROCEDURES.PROCEDURESID (zero/many-to-one relationship)

#### **Constraints:**

IMMUNIZATIONID (unique; required, not null)

PATID (required, not null)

VX CODE (required, not null)

VX\_CODE\_TYPE (required, not null)

VX\_STATUS (required, not null)

# **IMMUNIZATION Table Implementation Guidance**

#### Guidance

• Do not include study vaccines.

Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
IMMUNIZATIONID	RDBMS Text(x)	SAS Char(x)		Arbitrary identifier for each unique IMMUNIZATION record.	PCORnet	
PATID	RDBMS Text(x)	SAS Char(x)		Arbitrary person-level identifier used to link across tables.	MSCDM v4.0	All PATIDs must be present in the DEMOGRAPHIC table.
ENCOUNTERID	RDBMS Text(x)	SAS Char(x)		Arbitrary encounter-level identifier. This should be present if the immunization activity is directly associated with an encounter.	MSCDM v4.0	All ENCOUNTERIDs in this table must be present in the ENCOUNTER table.
PROCEDURESID	RDBMS Text(x)	SAS Char(x)		This is an optional relationship to the PROCEDURES table and is not expected to be available for all immunizations. One procedure may generate multiple immunization records.	PCORnet	All PROCEDURESIDs in this table must be present in the PROCEDURES table.
VX_PROVIDERID	RDBMS Text(x)	SAS Char(x)		Provider code for the provider who delivered the immunization. The provider code is a pseudoidentifier with a consistent crosswalk to the real identifier.	PCORnet	All PROVIDERIDs in this table must be present in the PROVIDER table.
VX_RECORD_DATE	RDBMS Date	SAS Date (Numeric)		Date immunization was recorded (i.e., date record was created).	FHIR – Immunization	
VX_ADMIN_DATE	RDBMS Date	SAS Date (Numeric)		Date immunization was administered, if known.	FHIR - Immunization	<ul> <li>Leave blank if unknown.</li> <li>Partial dates are allowed.         Follow the CDM date imputation guidance and ensure the HARVEST table is properly updated.     </li> </ul>
VX_CODE_TYPE	RDBMS Text(2)	SAS Char(2)	CX=CVX ND=NDC CH = CPT or HCPCS RX=RXNORM NI=No information	Immunization code type.	PCORnet	This field is a derived attribute and is not expected to be an explicit data field within a source system

<b>IMMUNIZATION T</b>	IMMUNIZATION Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance				
			UN=Unknown OT=Other			If immunizations are represented within the source system by multiple terminologies, choose the one with the highest level of granularity.				
VX_CODE	RDBMS Text(x)	SAS Char(x)		Immunization code	PCORnet					
VX_STATUS	RDBMS Text(2)	SAS Char(2)	CP=Completed ER=Entered in error ND=Not Done IC=Incomplete NI=No information UN=Unknown OT=Other	Status of the immunization.	FHIR – Immunization; PCORnet	It is not necessary to load immunizations that were entered in error if partners can easily distinguish these data in the source system(s).				
VX_STATUS_REASON	RDBMS Text(2)	SAS Char(2)	IM=Immunity MP=Medical precaution OS=Out of stock PO=Patient objection NI=No information UN=Unknown OT=Other	Reason immunization is incomplete or not done.	FHIR – Immunization	This field is not expected to be populated for immunizations with a status of "CP" or "ER"				
VX_SOURCE	RDBMS Text(2)	SAS Char(2)	OD=Internal administration EF=External feed IS=Immunization Information Systems PR=Patient-reported DR=Derived NI=No information UN=Unknown OT=Other	Source of the prescribing information.	PCORnet	<ul> <li>This field is a derived attribute, and is not expected to be an explicit data field within a source system</li> <li>Use "DR" for all immunizations that are derived or imputed through analytical procedures (e.g., natural language processing).</li> </ul>				

IMMUNIZATION Field Name	RDBMS Data	SAS Data Type	Predefined Value Sets and	Definition / Comments	Data Element	Field-level Implementation
1 tota 1 tame	Туре	Siis Bata Type	Descriptive Text for Categorical Fields	Bejuurou / Comments	Provenance Provenance	Guidance
						Use "IS" for immunizations
						sourced from the CDC
						Immunization Information Systems
						Systems
VX_DOSE	RDBMS	SAS		Dose of a given immunization	PCORnet	Do not impute or derive. Populate
	Number(x)	Numeric(lengt				only if captured in the source
VX_DOSE_UNIT	RDBMS	h 8) SAS Char(x)	See Value Set	Units of measure associated with the	UCUM	<ul><li>system as a discrete value.</li><li>Do not impute or derive.</li></ul>
VA_DOSE_CIVII	Text(x)	SAIS Char(x)	Appendix for a list	dose of the immunization as delivered	OCOM	Populate only if captured in the
			of acceptable values.	by the provider		source system as a discrete
						value.
						Choose the standardized unit of
						measure that is most reflective of the source data.
						This is a mixed case value set
						and entries should be handled
						accordingly.
VX_ROUTE	RDBMS	SAS Char(x)	See Value Set	Route of immunization delivery.	SNOMED	• The value set for Route is derived
	Text(x)		Appendix for a list			from SNOMED and may include
			of acceptable values.			values that are more granular than
						what is present in the source system. If a direct mapping is
						available, use the appropriate
						SNOMED code. If there is any
						possible ambiguity, use "OT" and
						then store the source value in
						RAW_VX_ROUTE. For example, an Injection could map
						to Subcutaneous or Intramuscular
						or Intraocular, depending on the
						drug, so that would best be
						mapped to "OT."

<b>IMMUNIZATION T</b>	able Specificat	ion				
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
						Do not impute or derive. Populate only if captured in the source system as a discrete value.
VX_BODY_SITE	RDBMS Text (x)	SAS Char(x)	See Value Set Appendix for a list of acceptable values.	Body site where the immunization was delivered.	FHIR – Immunization (SNOMED)	Most immunizations are expected in the right arm ("RA") or left arm ("LA")
VX_MANUFACTURER	RDBMS Text(x)	SAS Char(x)	See Value Set Appendix for a list of acceptable values.	Manufacturer of the immunization, coded using MVX terminology.	PCORnet	
VX_LOT_NUM	RDBMS Text(x)	SAS Char(x)		Lot number of the immunization.	FHIR - Immunization	<ul> <li>This information is typically captured within EHRs or e-prescribing as part of the ordering process.</li> <li>Do not impute or derive.         Populate only if captured in the source system as a discrete value.     </li> </ul>
VX_EXP_DATE	RDBMS Date	SAS Date (Numeric)		Expiration date of the immunization.	FHIR – Immunization	
RAW_VX_NAME	RDBMS Text(x)	SAS Char(x)		Field for originating, full textual immunization name from the source.	PCORnet	
RAW_VX_CODE	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	
RAW_VX_CODE_TYP E	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	
RAW_VX_DOSE	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	

<b>IMMUNIZATION T</b>	able Specificat	ion				
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
RAW_VX_DOSE_UNI T	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	
RAW_VX_ROUTE	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	
RAW_VX_BODY_SITE	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	
RAW_VX_STATUS	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	
RAW_VX_STATUS_R EASON	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	
RAW_VX_MANUFAC TURER	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet	

# **HARVEST Domain Description:**

Attributes associated with the specific PCORnet datamart implementation, including data refreshes.

#### **Relational Integrity:**

The HARVEST table contains one record per unique combination of NETWORKID and DATAMARTID.

Composite Primary Key: NETWORKID, DATAMARTID

#### **Constraints:**

NETWORKID + DATAMARTID (unique) NETWORKID (required, not null) DATAMARTID (required, not null)

### **Imputation and Obfuscation definitions:**

- "No imputation or obfuscation": For any and every date value that is present, no methods of imputation and/or obfuscation have been applied. This does not imply that every record has a date value.
- "Imputation for incomplete dates": Some or all date values were imputed from incomplete dates, but no obfuscation was performed.
- "Date obfuscation": Some or all date values were obfuscated, but no imputation of incomplete dates was performed. Obfuscation can also be called "shifting" or "masking."
- "Both imputation and obfuscation": Some or all date values were imputed, and some or all date values were obfuscated (does not necessarily need to be on the same record).

Imputation refers to the practice of adding day or month precision for incomplete dates (ie, where a specific day or specific month is not present).

Obfuscation, also known as date shifting, is a technique not recommended within PCORnet. However, where this practice exists, this table allows the situation to be recognized for analytic consideration.

## **HARVEST Table Implementation Guidance**

### Guidance

- If partners need to impute date values, whether for a portion of the date (e.g., month) or the entire string, a value of "02" should be chosen for the relevant DATE\_MGMT field(s).
- If partners must impute the entire date for a field, this should only be done for those dates that are required. Optional fields should be left blank in these situations.
- All date obfuscation and imputation strategies must be thoroughly documented in the Extract, Transform and Load (ETL) Annotated Data Dictionary (ADD).
- Partners should refrain from obfuscating dates within the CDM (see General Guidance #2). Future versions of the CDM may remove options "03" and "04" from the value set of the DATE\_MGMT fields.

HARVEST Table Specific	cation					
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
NETWORKID	RDBMS Text(10)	SAS Char(10)		This identifier is assigned by the PCORnet Distributed Research Network Operations Center (DRN OC)	PCORnet	
NETWORK_NAME	RDBMS Text(20)	SAS Char(20)		Descriptive name of the network.  This identifier is assigned by the PCORnet Distributed Research Network Operations Center (DRN OC)	PCORnet	
DATAMARTID	RDBMS Text(10)	SAS Char(10)		This identifier is assigned by the PCORnet Distributed Research Network Operations Center (DRN OC)	PCORnet	
DATAMART_NAME	RDBMS Text(20)	SAS Char(20)		Descriptive name of the datamart.  This identifier is assigned by the PCORnet Distributed Research Network Operations Center (DRN OC)	PCORnet	

<b>HARVEST Table Specification</b>	HARVEST Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
DATAMART_PLATFORM	RDBMS Text(2)	SAS Char(2)	01=SQL Server 02=Oracle 03=PostgreSQL 04=MySQL 05=SAS NI=No information UN=Unknown OT=Other						
CDM_VERSION	RDBMS Number(x)	SAS Numeric(length 8)		Version currently implemented within this datamart (for example, 1.0, 2.0, 3.0).	PCORnet				
DATAMART_CLAIMS	RDBMS Text(2)	SAS Char(2)	01=Not present 02=Present NI=No information UN=Unknown OT=Other	Datamart includes claims data source(s).	PCORnet				
DATAMART_EHR	RDBMS Text(2)	SAS Char(2)	01=Not present 02=Present NI=No information UN=Unknown OT=Other	Datamart includes EHR data source(s).	PCORnet				

<b>HARVEST Table Specification</b>						
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
BIRTH_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the BIRTH_DATE field in the DEMOGRAPHIC table.	PCORnet	
ENR_START_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the ENR_START_DATE field in the ENROLLMENT table.	PCORnet	

<b>HARVEST Table Specification</b>						
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
ENR_END_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the ENR_END_DATE field in the ENROLLMENT table.	PCORnet	
ADMIT_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the ADMIT_DATE field in the ENCOUNTER table.	PCORnet	

<b>HARVEST Table Specification</b>						
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
DISCHARGE_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the DISCHARGE_DATE field in the ENCOUNTER table.	PCORnet	
DX_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the DX_DATE field in the ENCOUNTER table.	PCORnet	

<b>HARVEST Table Specification</b>						
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
PX_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the PX_DATE field in the PROCEDURES table.	PCORnet	
RX_ORDER_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the RX_ORDER_DATE field in the PRESCRIBING table.	PCORnet	

<b>HARVEST Table Specification</b>						
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
RX_START_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the RX_START_DATE field in the PRESCRIBING table.	PCORnet	
RX_END_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the RX_END_DATE field in the PRESCRIBING table.	PCORnet	

HARVEST Table Specification						
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
DISPENSE_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the DISPENSE_DATE field in the DISPENSING table.	PCORnet	
LAB_ORDER_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the LAB_ORDER_DATE field in the LAB_RESULT_CM table.	PCORnet	

HARVEST Table Specificati						
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
SPECIMEN_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the SPECIMEN_DATE field in the LAB_RESULT_CM table.	PCORnet	
RESULT_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the RESULT_DATE field in the LAB_RESULT_CM table.	PCORnet	

Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
MEASURE_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the MEASURE_DATE field in the VITAL table.	PCORnet	
ONSET_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the ONSET_DATE field in the CONDITION table.	PCORnet	

<b>HARVEST Table Specification</b>						
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
REPORT_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the REPORT_DATE field in the CONDITION table.	PCORnet	
RESOLVE_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the RESOLVE_DATE field in the CONDITION table.	PCORnet	

Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
PRO_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the PRO_DATE field in the PRO_CM table.	PCORnet	
DEATH_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the DEATH_DATE field in the DEATH table.	PCORnet	

<b>HARVEST Table Specification</b>						
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
MEDADMIN_START_DATE_MGM T	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the MEDADMIN_START_DATE field in the MED_ADMIN table.	PCORnet	
MEDADMIN_STOP_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the MEDADMIN_STOP_DATE field in the MED_ADMIN table.	PCORnet	

Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
OBSCLIN_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the OBSCLIN_DATE field in the OBS_CLIN table.	PCORnet	
OBSGEN_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the OBSGEN_DATE field in the OBS_GEN table.	PCORnet	

<b>HARVEST Table Specification</b>						
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
ADDRESS_PERIOD_START_MGM T	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the ADDRESS_PERIOD_START field in the LDS_ADDRESS_HISTORY table.	PCORnet	
ADDRESS_PERIOD_END_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the ADDRESS_PERIOD_END field in the LDS_ADDRESS_HISTORY table.	PCORnet	

<b>HARVEST Table Specification</b>						
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
VX_RECORD_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the VX_RECORD_DATE field in the IMMUNIZATION table.	PCORnet	
VX_ADMIN_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the VX_ADMIN_DATE field in the IMMUNIZATION table.	PCORnet	

<b>HARVEST Table Specification</b>						
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
VX_EXP_DATE_MGMT	RDBMS Text(2)	SAS Char(2)	01=No imputation or obfuscation 02=Imputation for incomplete dates 03=Date obfuscation 04=Both imputation and obfuscation NI=No information UN=Unknown OT=Other	Data management strategy employed for the VX_EXP_DATE field in the IMMUNIZATION table.	PCORnet	
REFRESH_DEMOGRAPHIC_DATE	RDBMS Date	SAS Date (Numeric)		Most recent date on which the present data were loaded into the DEMOGRAPHIC table. This date should be null if the table does not have records.	PCORnet	
REFRESH_ENROLLMENT_DATE	RDBMS Date	SAS Date (Numeric)		Most recent date on which the present data were loaded into the ENROLLMENT table. This date should be null if the table does not have records.	PCORnet	
REFRESH_ENCOUNTER_DATE	RDBMS Date	SAS Date (Numeric)		Most recent date on which the present data were loaded into the ENCOUNTER table. This date should be null if the table does not have records.	PCORnet	
REFRESH_DIAGNOSIS_DATE	RDBMS Date	SAS Date (Numeric)		Most recent date on which the present data were loaded into the DIAGNOSIS table. This date should be null if the table does not have records.	PCORnet	
REFRESH_PROCEDURES_DATE	RDBMS Date	SAS Date (Numeric)		Most recent date on which the present data were loaded into the PROCEDURES table. This date should be null if the table does not have records.	PCORnet	

HARVEST Table Specification						
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
REFRESH_VITAL_DATE	RDBMS Date	SAS Date (Numeric)		Most recent date on which the present data were loaded into the VITAL table. This date should be null if the table does not have records.	PCORnet	
REFRESH_DISPENSING_DATE	RDBMS Date	SAS Date (Numeric)	•	Most recent date on which the present data were loaded into the DISPENSING table. This date should be null if the table does not have records.	PCORnet	
REFRESH_LAB_RESULT_CM_DAT E	RDBMS Date	SAS Date (Numeric)		Most recent date on which the present data were loaded into the LAB_RESULT_CM table. This date should be null if the table does not have records.	PCORnet	
REFRESH_CONDITION_DATE	RDBMS Date	SAS Date (Numeric)		Most recent date on which the present data were loaded into the CONDITION table. This date should be null if the table does not have records.	PCORnet	
REFRESH_PRO_CM_DATE	RDBMS Date	SAS Date (Numeric)		Most recent date on which the present data were loaded into the PRO_CM table. This date should be null if the table does not have records.	PCORnet	
REFRESH_PRESCRIBING_DATE	RDBMS Date	SAS Date (Numeric)		Most recent date on which the present data were loaded into the PRESCRIBING table. This date should be null if the table does not have records.	PCORnet	
REFRESH_PCORNET_TRIAL_DAT E	RDBMS Date	SAS Date (Numeric)		Most recent date on which the present data were loaded into the PCORNET_TRIAL table. This date should be null if the table does not have records.	PCORnet	
REFRESH_DEATH_DATE	RDBMS Date	SAS Date (Numeric)		Most recent date on which the present data were loaded into the DEATH table. This date should be null if the table does not have records.	PCORnet	

HARVEST Table Specification						
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
REFRESH_DEATH_CAUSE_DATE	RDBMS Date	SAS Date (Numeric)	•	Most recent date on which the present data were loaded into the DEATH_CAUSE table. This date should be null if the table does not have records.	PCORnet	
REFRESH_MED_ADMIN_DATE	RDBMS Date	SAS Date (Numeric)	•	Most recent date on which the present data were loaded into the MED_ADMIN table. This date should be null if the table does not have records.	PCORnet	
REFRESH_OBS_CLIN_DATE	RDBMS Date	SAS Date (Numeric)	•	Most recent date on which the present data were loaded into the OBS_CLIN table. This date should be null if the table does not have records.	PCORnet	
REFRESH_PROVIDER_DATE	RDBMS Date	SAS Date (Numeric)		Most recent date on which the present data were loaded into the PROVIDER table. This date should be null if the table does not have records.	PCORnet	
REFRESH_OBS_GEN_DATE	RDBMS Date	SAS Date (Numeric)		Most recent date on which the present data were loaded into the OBS_GEN table. This date should be null if the table does not have records.	PCORnet	
REFRESH_HASH_TOKEN_DATE	RDBMS Date	SAS Date (Numeric)		Most recent date on which the present data were loaded into the HASH_TOKEN table. This date should be null if the table does not have records.	PCORnet	
REFRESH_LDS_ADDRESS_HISTO RY_DATE	RDBMS Date	SAS Date (Numeric)	•	Most recent date on which the present data were loaded into the LDS_ADDRESS_HISTORY table. This date should be null if the table does not have records.	PCORnet	
REFRESH_IMMUNIZATION_DATE	RDBMS Date	SAS Date (Numeric)		Most recent date on which the present data were loaded into the IMMUNIZATION table. This date should be null if the table does not have records.	PCORnet	

# 6. Supplemental Table Specifications

# 6.1. Supplemental Table: PRIVATE\_DEMOGRAPHIC

### **PRIVATE\_DEMOGRAPHIC Domain Description:**

Protected table that is intended to provide a standardized representation of the personally-identifiable information (PII) that is needed to support local activities related to record linkage.

#### **Relational Integrity:**

The PRIVATE\_DEMOGRAPHIC table contains one record per PATID.

**Primary Key: PATID** 

### Foreign Key:

PRIVATE\_DEMOGRAPHIC.PATID is a foreign key to DEMOGRAPHIC.PATID (one-to-one relationship)

#### **Constraints:**

PATID (unique, required, not null)

PRIV\_PAT\_FIRSTNAME (required, not null)

PRIV PAT LASTNAME (required, not null)

### PRIVATE\_DEMOGRAPHIC Table Implementation Guidance

#### Guidance

- For DataMarts that incorporate data from multiple organizations/institutions, PRIVATE\_DEMOGRAPHIC can be used to assist de-duplication efforts. See field-level guidance for more information.
- Include most recent values for each field.
- This table can be kept logically and physically separate from the rest of the CDM.
- Tokens will be generated from the personally-identifiable information (PII) that is stored in this table and PRIVATE ADDRESS HISTORY.
- This table replicates the fields of the DEMOGRAPHIC table. They are included in case partners wish to create a master table that can be used to populate DEMOGRAPHIC within the CDM. It is not necessary to populate these fields if DEMOGRAPHIC is loaded through a separate ETL process.

Field Name	RDBMS Data	SAS Data Type	Predefined Value Sets	Definition / Comments	Data Element	Field-level
	Type		and Descriptive Text for Categorical Fields		Provenance	Implementation
			Calegorical Fletas			Guidance
PATID	RDBMS	SAS Char(x)		Arbitrary person-level identifier. Used to link	MSCDM v4.0	All PATIDs should be
	Text(x)			across tables. Corresponds to PATID in the		present in the
				DEMOGRAPHIC table.		DEMOGRAPHC table.
ORG_PATID	RDBMS			Corresponds to PATID in the originating	PCORnet	Can leave null if not
	Text(x)			CDM if receiving data from multiple		combining records for
				managing organizations.		multiple organizations.
MANAGING_ORG	RDBMS			Organization where record originated	PCORnet	
	Text(x)					
MRN	RDBMS			Local Medical Record Number for the	PCORnet	
	Text(x)			patient		
PAT_FIRSTNAME	RDBMS			Given name of the patient.	PCORnet	
	Text(x)					
PAT_MIDDLENAME	RDBMS			Middle name of the patient.	PCORnet	
DATE I ACTUAL OF	Text(x)			0 0 1	DCOD /	
PAT_LASTNAME	RDBMS			Surname or family name.	PCORnet	
DAT MAIDENNIAME	Text(x)			C	DCOD4	
PAT_MAIDENNAME	RDBMS		•	Surname or family name prior to marriage.	PCORnet	
DAT CON	Text(x)  RDBMS			Conial Conventor Neverbon (CCN) verbala an	EIIID manaaina	
PAT_SSN			•	Social Security Number (SSN; whole or	FHIR-managing	Hyphens can be
	Text(x)			part). If only part of the SSN is available,	Organization	included.
				replace digits with the letter "X" (e.g. XXX-XX-8453).		
BIRTH_DATE	RDBMS Date	SAS Date		Date of birth. Corresponds to	MSCDM v4.0	
		(Numeric)		BIRTH_DATE in the DEMOGRAPHIC		
				table.		

PRIVATE_DEMOGRAPHIC T	able Specificat	ion				
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
BIRTH_TIME	RDBMS Text(5): Format as HH:MI using 24-hour clock and zero- padding for hour and minute	SAS Time (Numeric)		Time of birth. Corresponds to BIRTH_TIME in the DEMOGRAPHIC table.	PCORnet  Source of time format: ISO 8601	
PRIMARY_EMAIL	RDBMS Text(x)			Primary e-mail address for the patient.	PCORnet	
PRIMARY_PHONE	RDBMS Text(10)			Primary phone number for the patient (if known). 10-digit US phone number.	PCORnet.	<ul> <li>Remove punctuation (parentheses, hyphens).</li> <li>Cell phone preferred over home phone.</li> </ul>
SEX	RDBMS Text(2)	SAS Char(2)	A=Ambiguous F=Female M=Male NI=No information UN=Unknown OT=Other	Sex assigned at birth. Corresponds to SEX in the DEMOGRAPHIC table.	MSCDM v4.0 with modified field size and value set  Source: Administrative Sex (HL7)  http://phinvads.c dc.gov/vads/Vie wValueSet.actio n?id=06D34BB C-617F-DD11- B38D- 00188B398520	The "Ambiguous" category may be used for individuals who are physically undifferentiated from birth. The "Other" category may be used for individuals who are undergoing gender reassignment.

PRIVATE_DEMOGRAPHIC Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
SEXUAL_ORIENTATION	RDBMS Text(2)	SAS Char(2)	AS=Asexual BI=Bisexual GA=Gay LE=Lesbian QU=Queer QS=Questioning ST=Straight SE=Something else MU=Multiple sexual orientations DC=Decline to answer NI=No information UN=Unknown OT=Other	Sexual orientation. Corresponds to SEXUAL_ORIENTATION in the DEMOGRAPHIC table.	Source: Health IT Certification Criteria, 2015 Base Edition, modified with expert advisory within PCORnet  https://www.fede ralregister.gov/d ocuments/2015/1 0/16/2015- 25597/2015- edition-health- information- technology- health-it- certification- criteria-2015- edition-base				

PRIVATE_DEMOGRAPHIC Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
GENDER_IDENTITY	RDBMS Text(2)	SAS Char(2)	M=Man F=Woman TM=Transgender male/Trans man/Female-to- male TF=Transgender female/Trans woman/Male-to- female GQ=Genderqueer/ Non-binary SE=Something else MU=Multiple gender categories DC=Decline to answer NI=No information UN=Unknown OT=Other	Current gender identity. Corresponds to GENDER_IDENTITY in the DEMOGRAPHIC table.	Source: Health IT Certification Criteria, 2015 Base Edition, modified with expert advisory within PCORnet  https://www.fede ralregister.gov/d ocuments/2015/1 0/16/2015- 25597/2015- edition-health- information- technology- health-it- certification- criteria-2015- edition-base	Use Genderqueer ("GQ") for patients who report a non-binary gender identify.			

PRIVATE_DEMOGRA  Field Name	RDBMS Data	SAS Data Type	Predefined Value Sets	Definition / Comments	Data Element	Field-level
T teta I tame	Туре	Silo Bula Type	and Descriptive Text for Categorical Fields	Definition / Comments	Provenance Provenance	Implementation Guidance
HISPANIC	RDBMS Text(2)	SAS Char(2)	Y=Yes N=No R=Refuse to answer NI=No information UN=Unknown OT=Other	A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race. Corresponds to HISPANIC in the DEMOGRAPHIC table.	MSCDM v4.0 with modified field size and value set  Compatible with "OMB Hispanic Ethnicity" (Hispanic or Latino, Not Hispanic or Latino)	Populating RACE and HISPANIC if race and ethnicity are not captured separately within the source system (e.g., "Hispanic or Latino" is included as a selection under Race) for patients with a known race (e.g., Race is something other than "Hispanic or Latino", partners should set HISPANIC to "OT" and RACE to the appropriate race code. For patients who are listed as having a race of "Hispanic," partners should set HISPANIC to "Y" and RACE to "OT". In this situation, the combined race/ethnicity field is treated as known field capturing values for both race and ethnicity, which is why the preference is to use "OT" instead of "NI".

PRIVATE_DEMOGRAPHIC T	able Specificat	tion				
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
RACE	RDBMS Text(2)	SAS Char(2)	01=American Indian or Alaska Native 02=Asian 03=Black or African American 04=Native Hawaiian or Other Pacific Islander 05=White 06=Multiple race 07=Refuse to answer NI=No information UN=Unknown OT=Other	Please use only one race value per patient. Corresponds to RACE in the DEMOGRAPHIC table.  Details of categorical definitions: American Indian or Alaska Native: A person having origins in any of the original peoples of North and South America (including Central America), and who maintains tribal affiliation or community attachment.  Asian: A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.  Black or African American: A person having origins in any of the black racial groups of Africa.  Native Hawaiian or Other Pacific Islander: A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.  White: A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.	MSCDM v4.0 with modified field size and value set  Original value set is based upon U.S. Office of Management and Budget (OMB) standard, and is compatible with the 2010 U.S. Census	
BIOBANK_FLAG	RDBMS Text(1)	SAS Char(1)	Y=Yes N=No	Flag to indicate that one or more biobanked specimens are stored and available for research use. Examples of biospecimens could include blood, urine, or tissue (eg, skin cells, organ tissues). If biospecimens are available, locally maintained "mapping tables" would be necessary to map between the DEMOGRAPHIC record and the originating biobanking system(s).  If no known biobanked specimens are available, this field should be marked "No".	PCORnet	This field is a derived attribute and is not expected to be an explicit data field within a source system

PRIVATE_DEMOGRAPHIC Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
PAT_PREF_LANGUAGE_SPOKEN	RDBMS Text(3)	SAS Char(3)	See Value Set Appendix for a list of acceptable values.	Preferred spoken language of communication as expressed by the patient. Corresponds to PAT_PREF_LANGUAGE_SPOKEN in the DEMOGRAPHIC table.	ISO639-2	<ul> <li>This information may be documented in the EHR or an external registry.</li> <li>Do not impute or derive if not expressly defined in the source system.</li> <li>Analytically, will assume that "NI" corresponds to a preferred language of English.</li> <li>Use the value of "ZHO" (Chinese) for both Mandarin and Cantonese, and place the specific value in the RAW field. Within the ISO639-2 value set, there is no distinction between the two. https://www.loc.gov/standards/iso639-2/faq.html#24</li> <li>Use "OT" for American Sign Language</li> </ul>			
RAW_PAT_NAME	RDBMS Text(x)			Full name of the patient, as represented in the source.	PCORnet	If components of patient name are not stored as separate fields, can be used to store full name before parsing into first/middle/last.			

PRIVATE_DEMOGRAPHIC Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
RAW_SEX	RDBMS Text(x)	SAS Char(x)		Field for originating value of field, prior to mapping into the PCORnet CDM value set.	PCORnet			
RAW_SEXUAL_ORIENTATION	RDBMS Text(x)	SAS Char(x)		Field for originating value of field, prior to mapping into the PCORnet CDM value set.	PCORnet			
RAW_GENDER_IDENTITY	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet			
RAW_HISPANIC	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet			
RAW_RACE	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet			
RAW_PAT_PREF_LANGUAGE_SP OKEN	RDBMS Text(x)	SAS Char(x)		Field for originating value, prior to mapping into the PCORnet CDM value set.	PCORnet			

### **6.2.** Supplemental Table: PRIVATE\_ADDRESS\_HISTORY

# **PRIVATE\_ADDRESS\_HISTORY Domain Description:**

Protected table that can be used to store elements of a patient's address that are considered personal health information (PHI). These data can be used for geocoding or other linkage projects.

#### **Relational Integrity:**

The PRIVATE\_ADDRESS\_HISTORY table can contain many records per patient.

**Primary Key: ADDRESSID** 

### Foreign Key:

PRIVATE\_ADDRESS\_HISTORY.PATID is a foreign key to DEMOGRAPHIC.PATID (many-to-one relationship)

#### **Constraints:**

ADDRESSID (unique; required, not null)

PATID (required, not null)

ADDRESS\_USE (required, not null)

ADDRESS\_TYPE (required, not null)

ADDRESS\_PREFERRED (required, not null)

## PRIVATE\_ADDRESS\_HISTORY Table Implementation Guidance

#### Guidance

- Expect multiple records per individual.
- This table is currently limited to addresses in the United States.
- Partners can limit records in this table to validated addresses if known.
- If partners have difficulty constructing a longitudinal address history for patients within their DataMart, they should prioritize populating the current address for each patient.
- Geocoded information about the address should be stored in PRIVATE ADDRESS GEOCODE.
- This table can be kept logically and physically separate from the rest of the CDM. The LDS\_ADDRESS\_HISTORY table represents a subset of this table. It removes the fields that are considered personal health information under HIPAA (ADDRESS\_STREET, ADDRESS\_DETAIL, RAW\_ADDRESS\_TEXT).
- Tokens will be generated in part from the patient's current home address.
- This table replicates the fields of the LDS\_ADDRESS\_HISTORY table. They are included in case partners wish to create a master table that can be used to populate LDS\_ADDRESS\_HISTORY within the CDM. It is not necessary to populate these fields if LDS\_ADDRESS\_HISTORY is loaded through a separate ETL process.

PRIVATE_ADDRESS_HISTORY Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
ADDRESSID	RDBMS Text(x)	SAS Char(x)		Arbitrary identifier for each unique address record.	PCORnet			
PATID	RDBMS Text(x)	SAS Char(x)		Arbitrary person-level identifier. Used to link across tables.	MSCDM v4.0	All PATIDs should be present in the DEMOGRAPHIC table.		

PRIVATE_ADDRESS_HISTORY Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
ADDRESS_USE	RDBMS Text(2)	SAS Char(2)	HO=Home WO=Work TP=Temp OL=Old/Incorrect NI=No information UN=Unknown OT=Other	Purpose of the address.  Details of categorical definitions: Home: A communication address at home. Work: An office address. First choice for business-related contacts during business hours. Temp: A temporary address. Old/Incorrect: This address is no longer in use (or was never correct but retained for records).	FHIR - ADDRESS	<ul> <li>This field may be a derived attribute that is not an explicit data field within a source system.</li> <li>Use the period start/end fields to indicate if an address is no longer valid. Do not change values of HO/WO/TP to OL if a new address is available.</li> <li>The old/incorrect value is included in case partners are doing a bulk load and it is present in their source system. It is acceptable to exclude these records, however.</li> <li>If addresses within the source system are reasonably expected to represent the patient's home address, it is acceptable to mark these as "HO."</li> </ul>			

PRIVATE_ADDRESS_HISTORY Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance			
ADDRESS_TYPE	RDBMS Text(2)	SAS Char(2)	PO=Postal PH=Physical BO=Both NI=No information UN=Unknown OT=Other	Type of address.  Details of categorical definitions: Postal: mailing address – PO Boxes and care- of addresses. Physical: A physical address that can be visited. Both: An address that is both physical and postal.	FHIR - ADDRESS	<ul> <li>This field may be a derived attribute that is not an explicit data field within a source system.</li> <li>Addresses that are clearly not postal-only addresses can be marked as "Both"</li> </ul>			

ADDRESS_PREFERRED	RDBMS	SAS Char(2)	Y=Yes	Indicates whether this address is the preferred	PCORnet	• This field is a derived
	Text(2)		N=No	one for a given patient, address use and	Totalet	attribute and is not
	10.10(2)			address type within a given address period.		expected to be an
				wassess type waters in govern manager persons		explicit data field
						within a source
						system.
						<ul> <li>It is possible to have</li> </ul>
						an address marked as
						preferred for every
						address type/use
						within each defined
						address period.
						Partners do not need to
						set the flag back to
						"N" every time the
						address is updated.
						<ul> <li>If only one address is</li> </ul>
						present for a given
						period, that address
						can be marked as
						preferred.
						<ul> <li>If multiple addresses</li> </ul>
						are present for a
						period, one should be
						denoted as preferred.
						<ul> <li>If multiple addresses</li> </ul>
						are present for a period
						without clear
						institutional guidance
						as to which is
						preferred, partners can
						use a heuristic to make
						a determination (i.e.,
						address associated
						with most recent

PRIVATE_ADDRESS_HIS	PRIVATE_ADDRESS_HISTORY Table Specification									
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance encounter, address used for billing, etc.)				
ADDRESS_STREET	RDBMS Text(x)			Primary address line (e.g., street name and number)	PCORnet	If possible, standardize according to US Post Office conventions.				
ADDRESS_DETAIL	RDBMS Text(x)			Remaining address details (e.g., suite, post office box, other details)		<ul> <li>Information in this field may be used for deduplication/linkage of people who live in the same building.</li> <li>Contents are unlikely to add additional information when geocoding.</li> </ul>				
ADDRESS_COUNTY	RDBMS Text(x)			The name of the county associated with the address.	PCORnet					
ADDRESS_CITY	RDBMS Text(x)	SAS Char(x)		The name of the city, town, village or other community.	FHIR - ADDRESS					
ADDRESS_STATE	RDBMS Text(2)	SAS Char(2)	See Value Set Appendix for a list of acceptable values.	State, as represented by 2-digit postal abbreviation.	PCORnet					
ADDRESS_ZIP5	RDBMS Text(5)	SAS Char(5)		5-digit postal code for the address.	FHIR - ADDRESS					
ADDRESS_ZIP9	RDBMS Text(9)	SAS Char(9)		9-digit postal code for the address.		Do not include hyphens.				

PRIVATE_ADDRESS_HISTORY Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
ADDRESS_PERIOD_START	RDBMS Date	SAS Date (Numeric)		Initial date when the address known to be in use.	FHIR - ADDRESS	If the date the address is known to be in use is unknown, it is acceptable to use the date the record was created in the source system or the date the record was first created in the CDM.		
ADDRESS_PERIOD_END	RDBMS Date	SAS Date (Numeric)		Date when address was no longer in use.	FHIR - ADDRESS	Only the current address period should have a null value. All previous periods are expected to have a defined end date.		
RAW_ADDRESS_TEXT	RDBMS Text(x)			Text representation of the address	FHIR - ADDRESS	The full address can be represented in this field and may contain information beyond the street and the PO Box.		

### **6.3.** Supplemental Table: PRIVATE\_ADDRESS\_GEOCODE

## **PRIVATE\_ADDRESS\_GEOCODE** Domain Description:

Protected table that can be used to store the results of geocoding algorithms.

### **Relational Integrity:**

The PRIVATE\_ADDRESS\_GEOCODE table can contain many records per ADDDRESSID.

**Primary Key: GEOCODEID** 

### Foreign Key:

PRIVATE\_ADDRESS\_GEOCODE.ADDRESSID is a foreign key to PRIVATE\_ADDRESS.ADDRESSID (many-to-one relationship)

#### **Constraints:**

GEOCODEID (unique; required, not null)

ADDRESSID (required, not null)

# **PRIVATE\_ADDRESS\_GEOCODE** Table Implementation Guidance

#### Guidance

- This table can contain more than one record per address.
- This table is designed to accommodate documentation of algorithmic linkage.
- This table can be kept logically and physically separate from the rest of the CDM.
- There are no implementation expectations related to this table at this time. Future PCORnet projects may reference it in some fashion, but in the meantime, partners may utilize it if they require geocoding for any of their local analyses.

Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance
GEOCODEID	RDBMS Text(x)			Arbitrary identifier for each unique geocode record.	PCORnet	
ADDRESSID	RDBMS Text(x)			Arbitrary identifier for each unique address record.	PCORnet	All ADDRESSIDs should be in the PRIVATE_ADDRESS_HISTORY table.
GEOCODE_STATE	RDBMS Text(2)		See Value Set Appendix for a list of acceptable values.	State associated with the address.	PCORnet	
GEOCODE_COUNTY				County associated with the address.	PCORnet	
GEOCODE_LONGITUDE	RDBMS			Longitude assigned to the address by the	FHIR –	
	Text(x)			geocoding algorithm. WGS84 format.	Location.positi on.longitude	
GEOCODE_LATITUDE	RDBMS Text(x)			Latitude assigned to the address by the geocoding algorithm. WGS84 format.	FHIR – Location.positi on.latitude	
GEOCODE_BLOCK	RDBMS Text(x)			Census Block associated with the address.	PCORnet	
GEOCODE_TRACT	RDBMS Text(x)			Census Tract associated with the address.	PCORnet	
GEOCODE_GROUP	RDBMS Text(x)			Census Block Group associated with the address.	PCORnet	
GEOCODE_ZCTA	RDBMS Text(x)			Zip code tabulation area associated with the address.	PCORnet	
GEOCODE_CUSTOM	RDBMS Text(x)			Field that can be used to store a custom/local geocode for a given address.	PCORnet	
GEOCODE_CUSTOM_TEXT	RDBMS Text(x)			Text description of the terminology/approach used to assign the custom geocode.	PCORnet	
SHAPEFILE	RDBMS Text(x)			Name of shapefile used in the geocoding process.	PCORnet	Include year along with file name.

PRIVATE_ADDRESS_GEOCODE Table Specification								
Field Name	RDBMS Data Type	SAS Data Type	Predefined Value Sets and Descriptive Text for Categorical Fields	Definition / Comments	Data Element Provenance	Field-level Implementation Guidance		
GEO_ACCURACY	RDBMS Text(2)		Z9=ZIP9 Z5=ZIP5 CN=County CY=City ST=State TR=Tract BL=Block SR=Street NI=No information UN=Unknown OT=Other	Level of accuracy of the geocoded address based on the method used.  ZIP9: 9-digit zip code ZIP5: 5-digit zip code County: County level City: City, village or community State: State Tract: Census tract Block: Census block Street: Street	PCORnet	<ul> <li>The geocoding software should provide this information after addresses are geocoded.</li> <li>Important when merging data collected/aggregated at different levels.</li> </ul>		
GEO_PROV_REF	RDBMS Text(x)			Reference to the methodology/software and parameters used to assign the geocode.	PCORnet			
ASSIGNMENT_DATE	RDBMS Date			Date that the geocoding was completed.	PCORnet			