Homework for Lecture #01

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1. **Solution # 01**:

The signal constellation for M-ary PSK has $s_{i1} = A \cos\left[\frac{2\pi(i-1)}{M}\right]$ and $s_{i2} = A \sin\left[\frac{2\pi(i-1)}{M}\right]$ for i = 1, ..., M. The symbol energy is $E_s = A^2$. This constellation and the decision region D_1 are shown in Figure 1.

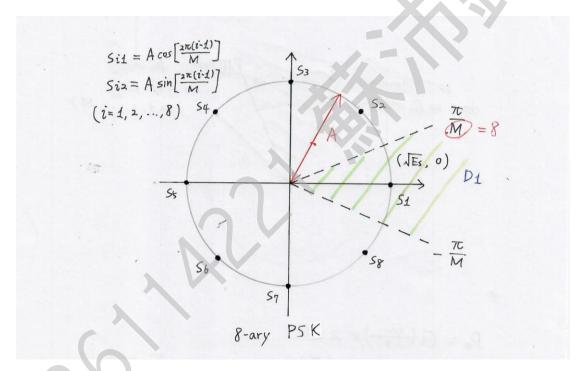


Figure 1. The signal constellation for 8-ary PSK

Note that since we assume the symbols are equiprobable, the decision regions are based on the minimum-distance detection rule. By symmetry of the constellation, the probability of symbol error for this constellation is equal to the error probability when $s_1 = (\sqrt{E_s}, 0)$ is transmitted. The received vector x is given by

$$\mathbf{x} = (x_1, x_2) = \left(\sqrt{E_s} + n_1, n_2\right) \tag{1}$$

It is seen that x_1 and x_2 are independent Gaussian random variables with variance

 $\sigma^2 = \frac{1}{2}N_0$ and means $\sqrt{E_s}$ and 0, respectively; hence

$$p(x_1, x_2) = \left(\left(\frac{1}{\sqrt{2\pi}\sigma} \right) e^{-\frac{(x_1 - \sqrt{E_S})^2}{2\sigma^2}} \right) \times \left(\left(\frac{1}{\sqrt{2\pi}\sigma} \right) e^{-\frac{x_2^2}{2\sigma^2}} \right)$$

$$= \frac{1}{\pi N_0} e^{-\frac{(x_1 - \sqrt{E_S})^2 + x_2^2}{N_0}}$$
(2)

Since the received vector $x = re^{j\theta}$ can be represented in polar, we introduce polar coordinates transformations of (x_1, x_2) as

$$R = \sqrt{x_1^2 + x_2^2}$$

$$\Theta = \tan^{-1} \frac{x_2}{x_1}$$
(3)

from which the joint pdf of R and Θ can be derived as

$$p_{R,\Theta}(r,\theta) = \frac{r}{\pi N_0} e^{-\frac{r^2 - 2\sqrt{E_s}r\cos\theta + E_s}{N_0}}$$
(4)

By integrating over r, we derive the marginal pdf of Θ as

$$p_{\Theta}(\theta) = \int_{0}^{\infty} p_{R,\Theta}(r,\theta) dr$$

$$= \frac{1}{2\pi} e^{-\gamma_{S} \sin^{2} \theta} \int_{0}^{\infty} r e^{-\frac{(r - \sqrt{2\gamma_{S}} \cos \theta)^{2}}{2}} dr$$
(5)

in which we have defined the <u>symbol SNR</u> or <u>SNR</u> per <u>symbol</u> as

$$\gamma_{\rm s} = \frac{A^2}{N_0} = \frac{E_{\rm s}}{N_0} \tag{6}$$

The decision region D_1 can be described as $D_1 = \{\theta : -\pi/M < \theta < \pi/M\}$; therefore, the symbol error probability is given by

$$P_e = 1 - \int_{-\pi/M}^{\pi/M} p_{\Theta}(\theta) d\theta \tag{7}$$

An approximation to the probability of symbol error for this constellation can be obtained by first approximating $p_{\Theta}(\theta)$. For $E_s/N_0 \gg 1$ and $|\theta| \leq \frac{1}{2}\pi$, $p_{\Theta}(\theta)$ is well approximated as

$$p_{\Theta}(\theta) \approx \sqrt{\frac{\gamma_s}{\pi}} \cos \theta \ e^{-\gamma_s \sin^2 \theta}$$
 (8)

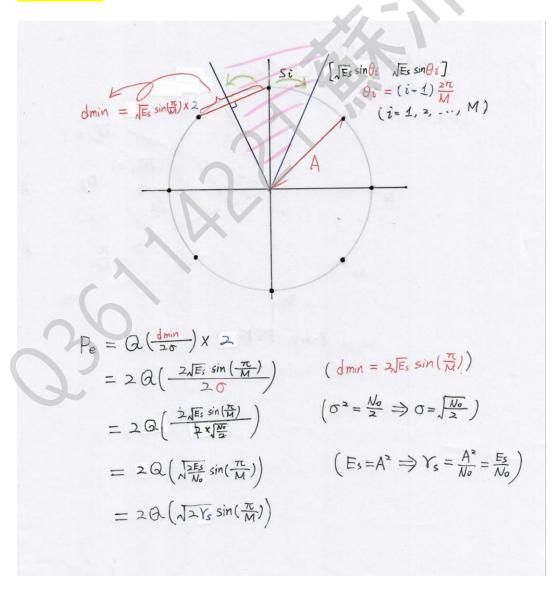
By substituting for $p_{\Theta}(\theta)$ in Equation (7) and performing the change in variable from θ to $u = \sqrt{\gamma_s} \sin \theta$, we find that

$$P_{e} \approx 1 - \int_{-\pi/M}^{\pi/M} \sqrt{\frac{\gamma_{s}}{\pi}} \cos \theta \, e^{-\gamma_{s} \sin^{2} \theta} \, d\theta$$

$$\approx \frac{2}{\sqrt{\pi}} \int_{\sqrt{2\gamma_{s}} \sin(\frac{\pi}{M})}^{\infty} e^{-u^{2}} \, du$$

$$= 2Q \left(\sqrt{2\gamma_{s}} \sin(\frac{\pi}{M}) \right) \tag{9}$$

Solution # 02:



2. Solution:

$$y^{2} \geq (y-x)^{2} + x^{2}$$

$$\Rightarrow \frac{y^{2}}{2} \geq \frac{(y-x)^{2} + x^{2}}{2}$$

$$\Rightarrow -\frac{y^{2}}{2} \leq -\frac{(y-x)^{2} + x^{2}}{2}$$

$$\Rightarrow e^{-\frac{y^{2}}{2}} \leq e^{-\frac{(y-x)^{2} + x^{2}}{2}}$$

$$\Rightarrow \int_{x}^{\infty} e^{-\frac{y^{2}}{2}} dy \leq \int_{x}^{\infty} e^{-\frac{(y-x)^{2} + x^{2}}{2}} dy$$

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$$\Rightarrow \int_{x}^{\infty} e^{-\frac{y^{2}}{2}} dy \leq \int_{x}^{\infty} e^{-\frac{y^{2}}{2}} dy$$

$$\Rightarrow \int_{x}^{\infty} e^{-\frac{y^{2}}$$

$$\frac{1}{\sqrt{2\pi}} e^{-\frac{x^{2}}{2}} \int_{x}^{\infty} e^{-\frac{(y-x)^{2}}{2}} dy$$

$$= \frac{1}{\sqrt{2\pi}} e^{-\frac{x^{2}}{2}} \int_{0}^{\infty} e^{-t} \left(\frac{1}{\sqrt{2\pi}} t^{-\frac{1}{2}}\right) dt$$

$$= \frac{1}{2\sqrt{\pi}} e^{-\frac{x^{2}}{2}} \int_{0}^{\infty} t^{-\frac{1}{2}} e^{-t} dt$$

$$= \frac{1}{2\sqrt{\pi}} e^{-\frac{x^{2}}{2}} \int_{0}^{\infty} t^{-\frac{1}{2}} e^{-t} dt$$

$$= \Gamma\left(\frac{1}{2}\right) = \sqrt{\pi}$$

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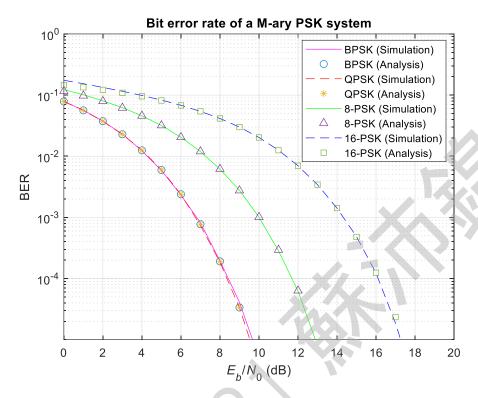
$$= \frac{1}{2\sqrt{\pi}} \cdot e^{-\frac{x^{2}}{2}} \cdot \sqrt{\pi} = \frac{1}{2} e^{-\frac{x^{2}}{2}}$$

$$\therefore Q(x) \triangleq \sqrt{\frac{1}{2\pi}} \int_{x}^{\infty} e^{-\frac{x^{2}}{2}} dy \leq \frac{1}{2} e^{-\frac{x^{2}}{2}} \leq e^{-\frac{x^{2}}{2}}$$

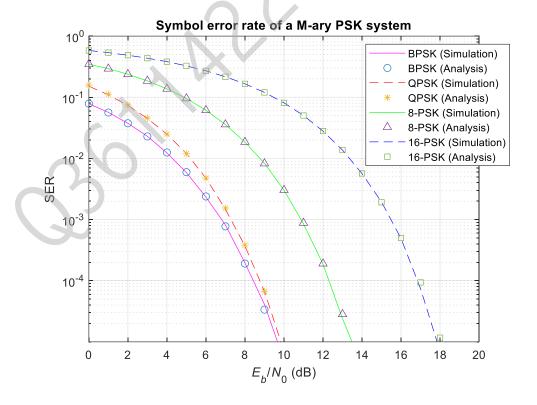
$$\Rightarrow Q(x) \leq e^{-\frac{x^{2}}{2}} \int_{x}^{\infty} e^{-\frac{t^{2}}{2}} dt$$
where $Q(x) \triangleq \frac{1}{\sqrt{2\pi}} \int_{x}^{\infty} e^{-\frac{t^{2}}{2}} dt$

3. Matlab Assignment (M-ary PSK)

(a) Bit error rate (BER) vs E_b/N_0 (dB)



(b) Symbol error rate (SER) vs E_b/N_0 (dB)



My Matlab codes:

```
% Problem # 03 (Matlab Assignment)
% Perform simulation and plot the bit error rate (BER) vs Eb/N0 (dB)
% and symbol error rate (SER) vs Eb/N0 (dB) of a M-ary PSK communication
% system (M = 2, 4, 8, 16) over AWGN channel.
% Written by P.-J. Su 2022/9/27
% Run: To compile and run this computer program using MATLAB.
% Clear Command Window
clc
% Remove all variables from the current workspace,
% releasing them from system memory.
clear
% Close all figures whose handles are visible.
close all
% Start stopwatch timer.
tic
SNR in dB = 0:1:20;
                        % SNR (Eb/N0) in dB
 % BPSK
[BER_sim_1, BER_theo_1, SER_sim_1, SER_theo_1] = PSK(2, SNR_in_dB);
[BER_sim_2, BER_theo_2, SER_sim_2, SER_theo_2] = PSK(4, SNR_in_dB);
 % 8-PSK
[BER_sim_3, BER_theo_3, SER_sim_3, SER_theo_3] = PSK(8, SNR_in_dB);
 % 16-PSK
[BER_sim_4, BER_theo_4, SER_sim_4, SER_theo_4] = PSK(16, SNR_in_dB);
% Plot the bit error rate (BER) curves
semilogy(SNR_in_dB, BER_sim_1, '-m');
semilogy(SNR_in_dB, BER_theo_1, 'o', 'Color', [0 0.447 0.741]);
hold on
semilogy(SNR_in_dB, BER_sim_2, '--r');
hold on
semilogy(SNR_in_dB, BER_theo_2,'*','Color',[0.9290 0.6940 0.1250]);
```

```
hold on
semilogy(SNR_in_dB, BER_sim_3, '-g');
hold on
semilogy(SNR_in_dB, BER_theo_3,'^','Color',[0.4940 0.1840 0.5560]);
semilogy(SNR_in_dB, BER_sim_4, '--b');
hold on
semilogy(SNR_in_dB, BER_theo_4, 'square', 'Color', [0.4660 0.6740 0.1880]);
axis([0 20 1e-5 1]);
title('Bit error rate of a M-ary PSK system');
legend('BPSK (Simulation)', 'BPSK (Analysis)', ...
      'QPSK (Simulation)', 'QPSK (Analysis)', ...
      '8-PSK (Simulation)', '8-PSK (Analysis)', ...
      '16-PSK (Simulation)','16-PSK (Analysis)');
xlabel('\itE_{b}\rm/\itN\rm_{0} (dB)');
ylabel('BER');
grid on
\% Plot the symbol error rate (SER) curves
semilogy(SNR_in_dB, SER_sim_1,
hold on
semilogy(SNR in dB, SER theo 1, 'o',
                                    'Color',[0 0.447 0.741]);
hold on
semilogy(SNR_in_dB, SER_sim_2,
hold on
semilogy(SNR_in_dB, SER_theo_2,'*','Color',[0.9290 0.6940 0.1250]);
hold on
semilogy(SNR_in_dB, SER_sim_3, '-g');
hold on
semilogy(SNR_in_dB, SER_theo_3,'^','Color',[0.4940 0.1840 0.5560]);
hold on
semilogy(SNR_in_dB, SER_sim_4, '--b');
hold on
semilogy(SNR_in_dB, SER_theo_4, 'square', 'Color', [0.4660 0.6740 0.1880]);
axis([0 20 1e-5 1]);
title('Symbol error rate of a M-ary PSK system');
legend('BPSK (Simulation)', 'BPSK (Analysis)', ...
```

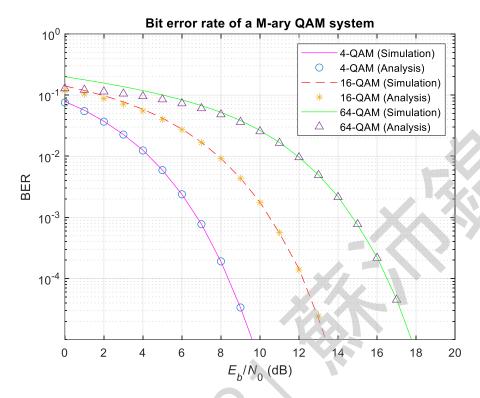
```
'QPSK (Simulation)', 'QPSK (Analysis)', ...
      '8-PSK (Simulation)', '8-PSK (Analysis)', ...
      '16-PSK (Simulation)', '16-PSK (Analysis)');
xlabel('\itE_{b}\rm/\itN\rm_{0} (dB)');
ylabel('SER');
grid on
% Read elapsed time from stopwatch.
toc
function [BER_sim, BER_theo, SER_sim, SER_theo] = PSK(M, SNR_in_dB)
   N = 10^6; % Number of data symbol
   k = log2(M); % M = 2^k
   Es = 1;
            % Energy per symbol
   SNR = 10.^(SNR_in_dB/10);
                              % SNR (Eb/N0)
   dmin = 2*sqrt(Es)*sin(pi/M);
   num_of_symbol_error = zeros(size(SNR_in_dB));
                                                    % Number of symbol error
   num_of_bit_error = zeros(size(SNR_in_dB));
                                                 % Number of bit error
   SER_theo = zeros(size(SNR_in_dB));
   BER_theo = zeros(size(SNR_in_dB));
   % Generation of transmitted bits based on the data source (Gray code)
   QPSK Gray code = [0 \ 0; \ 0 \ 1; \ 1 \ 1; \ 1 \ 0];
   PSK_8_Gray_code = [0 0 0; 0 0 1; 0 1 1; 0 1 0; ...
                    1 1 0; 1 1 1; 1 0 1; 1 0 0];
   PSK_16_Gray_code = [0 0 0 0; 0 0 0 1; 0 0 1 1; 0 0 1 0; ...
                     0 1 1 0; 0 1 1 1; 0 1 0 1; 0 1 0 0; ...
                     1 1 0 0; 1 1 0 1; 1 1 1 1; 1 1 1 0; ...
                     1010; 1011; 1001; 1000];
   % Mapping to the signal constellation
   PSK_mapping = zeros(M,2);
   for i = 1:M
       PSK_mapping(i,:) = [sqrt(Es)*cos((2*i-1)*pi/M) ...
                         sqrt(Es)*sin((2*i-1)*pi/M)];
   end
   for snr_Idx = 1:length(SNR_in_dB)
```

```
data_source = zeros(N,1);  % Data source
rx_signal = zeros(N,2);
                          % Received symbols
tx_bit = zeros(N*k,1);
                          % Transmitted bits
rx_bit = zeros(N*k,1);
                          % Received bits
for i = 1:N
   % Generation of the data source
   data_source(i) = floor(M*rand) + 1;
   % The transmitted signal at the M-ary PSK mapper
   tx_signal(i,:) = PSK_mapping(data_source(i),:);
   % AWGN channel
   sigma = sqrt(Es/(2*k*SNR(snr_Idx)));
   noise = randn(1,2)*sigma;
   % The received signal at the detector
   rx_signal(i,:) = tx_signal(i,:) + noise;
   % Metric computation
   metrics = zeros(M,1);
   % Detection and symbol error calculation
   for j = 1:M
       metrics(j) = (rx_signal(i,1)-PSK_mapping(j,1))^2 + ...
                 (rx_signal(i,2)-PSK_mapping(j,2))^2;
   end
   [~, decision] = min(metrics);
   if (decision ~= data_source(i))
       num_of_symbol_error(snr_Idx) = num_of_symbol_error(snr_Idx)+1;
   end
    % Generation of transmitted bits and received bits
   for 1 = 1:k
       switch M
          case 2
             tx_bit(i) = data_source(i) - 1;
              rx_bit(i) = decision - 1;
```

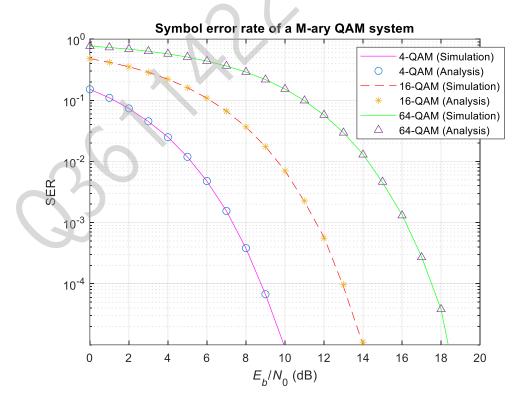
```
case 4
                  tx_bit((i-1)*k + 1) = QPSK_Gray_code(data_source(i),1);
                  rx_bit((i-1)*k + 1) = QPSK_Gray_code(decision,1);
              case 8
                  tx_bit((i-1)*k + 1) = PSK_8_Gray_code(data_source(i),1);
                  rx_bit((i-1)*k + 1) = PSK_8_Gray_code(decision,1);
              case 16
                  tx_bit((i-1)*k + 1) = PSK_16_Gray_code(data_source(i),1);
                  rx_bit((i-1)*k + 1) = PSK_16_Gray_code(decision,1);
           end
       end
   end
   % Bit error calculation
                                      % compare tx and rx bits
   err_pat = xor(tx_bit, rx_bit);
   num_of_bit_error(snr_Idx) = num_of_bit_error(snr_Idx) + sum(err_pat);
   % Error probability calculation (Analysis)
   switch M
       case 2
           SER_theo(snr_Idx) = qfunc(sqrt(2*SNR(snr_Idx)));
                                                              % Theoretical SER
           BER_theo(snr_Idx) = SER_theo(snr_Idx)/k;
                                                      % Theoretical BER
       case {4, 8, 16}
           SER_theo(snr_Idx) = 2*qfunc(dmin/(2*sigma));
                                                          % Theoretical SER
           BER_theo(snr_Idx) = SER_theo(snr_Idx)/k;  % Theoretical BER
   end
end
% Error probability calculation (Simulation)
SER_sim = num_of_symbol_error/(N);  % Simulated SER
BER_sim = num_of_bit_error/(N*k);
                                    % Simulated BER
```

4. Matlab Assignment (*M*-ary QAM)

(a) Bit error rate (BER) vs E_b/N_0 (dB)



(b) Symbol error rate (SER) vs E_b/N_0 (dB)



My Matlab codes:

```
% Problem # 04 (Matlab Assignment)
% Perform simulation and plot the bit error rate (BER) vs Eb/N0 (dB)
% and symbol error rate (SER) vs Eb/N0 (dB) of a M-ary QAM communication
% system (M = 4, 16, 64) over AWGN channel.
% Written by P.-J. Su 2022/9/27
% Run: To compile and run this computer program using MATLAB.
% Clear Command Window
clc
% Remove all variables from the current workspace,
% releasing them from system memory.
clear
% Close all figures whose handles are visible.
close all
% Start stopwatch timer.
tic
SNR in dB = 0:1:20;
                       % SNR (Eb/N0) in dB
 % 4-QAM
[BER_sim_1, BER_theo_1, SER_sim_1, SER_theo_1] = QAM(4, SNR_in_dB);
 % 16-QAM
[BER_sim_2, BER_theo_2, SER_sim_2, SER_theo_2] = QAM(16, SNR_in_dB);
 % 64-QAM
[BER_sim_3, BER_theo_3, SER_sim_3, SER_theo_3] = QAM(64, SNR_in_dB);
% Plot the bit error rate (BER) curves
clf
semilogy(SNR_in_dB, BER_sim_1, '-m');
hold on
semilogy(SNR_in_dB, BER_theo_1,'o','Color',[0 0.447 0.741]);
semilogy(SNR_in_dB, BER_sim_2, '--r');
hold on
semilogy(SNR_in_dB, BER_theo_2,'*','Color',[0.9290 0.6940 0.1250]);
hold on
semilogy(SNR_in_dB, BER_sim_3, '-g');
```

```
hold on
semilogy(SNR_in_dB, BER_theo_3,'^','Color',[0.4940 0.1840 0.5560]);
axis([0 20 1e-5 1]);
title('Bit error rate of a M-ary QAM system');
legend('4-QAM (Simulation)','4-QAM (Analysis)', ...
      '16-QAM (Simulation)','16-QAM (Analysis)', ...
      '64-QAM (Simulation)','64-QAM (Analysis)');
xlabel('\itE_{b}\rm/\itN\rm_{0} (dB)');
ylabel('BER');
grid on
% Plot the symbol error rate (SER) curves
figure
semilogy(SNR_in_dB, SER_sim_1, '-m');
semilogy(SNR_in_dB, SER_theo_1,'o','Color',[0 0.447 0.741]);
hold on
semilogy(SNR_in_dB, SER_sim_2, '--r');
hold on
semilogy(SNR_in_dB, SER_theo_2,'*','Color',[0.9290 0.6940 0.1250]);
semilogy(SNR_in_dB, SER_sim_3, '
hold on
semilogy(SNR_in_dB, SER_theo_3,'^','Color',[0.4940 0.1840 0.5560]);
axis([0 20 1e-5 1]);
title('Symbol error rate of a M-ary QAM system');
legend('4-QAM (Simulation)','4-QAM (Analysis)', ...
      '16-QAM (Simulation)', '16-QAM (Analysis)', ...
       '64-QAM (Simulation)','64-QAM (Analysis)');
xlabel('\itE_{b}\rm/\itN\rm_{0} (dB)');
ylabel('SER');
grid on
% Read elapsed time from stopwatch.
toc
function [BER_sim, BER_theo, SER_sim, SER_theo] = QAM(M, SNR_in_dB)
   N = 10<sup>6</sup>; % Number of data symbol
```

```
k = log2(M); % M = 2^k
SNR = 10.^(SNR_in_dB/10);
                    % SNR (Eb/N0)
dmin = 1;
         % Minimum distance between symbol
Es = 2*(M-1)*(dmin^2)/3;
                    % Energy per symbol
num_of_symbol_error = zeros(size(SNR_in_dB));  % Number of symbol error
num_of_bit_error = zeros(size(SNR_in_dB));  % Number of bit error
SER_theo = zeros(size(SNR_in_dB));
BER_theo = zeros(size(SNR_in_dB));
% Generation of transmitted bits based on the data source (Gray code)
QAM_4_Gray_code = [0 1; 1 1; 0 0; 1 0];
QAM_16_Gray_code = [0 0 1 0; 0 1 1 0; 1 1 1 0; 1 0 1 0; ...
            0 0 1 1; 0 1 1 1; 1 1 1 1; 1 0 1 1; ...
            0 0 0 1; 0 1 0 1; 1 1 0 1; 1 0 0 1; ...
            0 0 0 0; 0 1 0 0; 1 1 0 0; 1 0 0 0];
1 1 0 1 0 0; 1 1 1 1 0 0; 1 0 1 1 0 0; 1 0 0 1 0 0; ...
             1 1 0 1 1 1; 1 1 1 1 1 1; 1 0 1 1 1 1; 1 0 0 1 1 1; ...
             1 1 0 1 1 0; 1 1 1 1 1 0; 1 0 1 1 1 0; 1 0 0 1 1 0; ...
             0 0 0 0 1 0; 0 0 1 0 1 0; 0 1 1 0 1 0; 0 1 0 0 1 0; ...
            1 1 0 0 1 0; 1 1 1 0 1 0; 1 0 1 0 1 0; 1 0 0 0 1 0; ...
             000011;001011;011011;010011;...
            1 1 0 0 1 1; 1 1 1 0 1 1; 1 0 1 0 1 1; 1 0 0 0 1 1; ...
             0 0 0 0 0 1; 0 0 1 0 0 1; 0 1 1 0 0 1; 0 1 0 0 0 1; ...
             % Mapping to the signal constellation
QAM_mapping = zeros(M,2);
QAM_mapping_Idx = 1;
for i = 1:sqrt(M)
  for j = 1:sqrt(M)
     QAM_mapping(QAM_mapping_Idx,1) = -(sqrt(M)-1) + 2*(j-1);
```

```
QAM_mapping(QAM_mapping_Idx,2) = (sqrt(M)-1) - 2*(i-1);
       QAM_mapping_Idx = QAM_mapping_Idx + 1;
   end
end
for snr_Idx = 1:length(SNR_in_dB)
   data_source = zeros(N,1);  % Data source
   tx_signal = zeros(N,2);
                              % Transmitted symbols
   rx_signal = zeros(N,2);
                              % Received symbols
   tx_bit = zeros(N*k,1);
                              % Transmitted bits
   rx_bit = zeros(N*k,1);
                              % Received bits
   for i = 1:N
       % Generation of the data source
       data_source(i) = floor(M*rand) + 1;
       % The transmitted signal at the M-ary PSK mapper
       tx_signal(i,:) = QAM_mapping(data_source(i),:);
       % AWGN channel
       sigma = sqrt(Es/(2*k*SNR(snr_Idx)));
       noise = randn(1,2)*sigma;
       % The received signal at the detector
       rx_signal(i,:) = tx_signal(i,:) + noise;
       % Metric computation
       metrics = zeros(M,1);
       % Detection and symbol error calculation
       for j = 1:M
          metrics(j) = (rx_signal(i,1)-QAM_mapping(j,1))^2 + ...
                      (rx_signal(i,2)-QAM_mapping(j,2))^2;
       end
       [~, decision] = min(metrics);
       if (decision ~= data_source(i))
          num_of_symbol_error(snr_Idx) = num_of_symbol_error(snr_Idx)+1;
       end
```

```
% Generation of transmitted bits and received bits
          for 1 = 1:k
             switch M
                case 4
                    tx_bit((i-1)*k + 1) = QAM_4_Gray_code(data_source(i),1);
                    rx_bit((i-1)*k + 1) = QAM_4_Gray_code(decision,1);
                case 16
                    tx_bit((i-1)*k + 1) = QAM_16_Gray_code(data_source(i),1);
                    rx_bit((i-1)*k + 1) = QAM_16_Gray_code(decision,1);
                case 64
                    tx_bit((i-1)*k + 1) = QAM_64_Gray_code(data_source(i),1);
                    rx_bit((i-1)*k + 1) = QAM_64_Gray_code(decision,1);
             end
          end
      end
      % Bit error calculation (Simulation)
      err_pat = xor(tx_bit, rx_bit);
                                       % compare tx and rx bits
      num_of_bit_error(snr_Idx) = num_of_bit_error(snr_Idx) + sum(err_pat);
      % Error probability calculation (Analysis)
      Q = qfunc(dmin/sigma);
      const = 1/sqrt(M) - 1;
      SER\_theo(snr\_Idx) = -4*const*Q*(1 + const*Q);
                                                    % Theoretical SER
      end
   % Error probability calculation (Simulation)
  SER_sim = num_of_symbol_error/(N);  % Simulated SER
   BER_sim = num_of_bit_error/(N*k);
                                     % Simulated BER
end
```

References

- [1] J. G. Proakis and M. Salehi, *Digital Communications*, 5th ed. Singapore: McGraw-Hill, Inc., 2008.
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