



PES UNIVERSITY, Bengaluru

100 ft Ring Road, BSK III Stage, Bengaluru 560085, INDIA

**PROFORMA FOR SUBMITTING R&D PROJECT PROPOSAL
FOR SEEKING FINANCIAL SUPPORT**

PROJECT DETAILS

1. Title of Project: *“Title”*
2. Organisation:
 - a) Name : PES University
 - b) Address : 100 ft. Ring Road, BSK III Stage, Bangalore - 560085
3. Principal Investigator
 - Name :
 - Designation :
 - Department :
 - Competency in the project area: :
4. Co- Investigator-1 (if any)
 - Name :
 - Designation :
 - Department :
 - Competency in the project area:
5. Co- Investigator-2 (if any)
 - Name :
 - Designation :
 - Department :
 - Competency in the project area:
6. Nature of Project (Check one)
 - a) Research, Development & Engineering (R,D & E) leading to production capability
 - b) Application oriented Research, Design and Development (R, D & D)
 - c) Basic R&D
7. Other Commitments of the Principal Investigator and Co-Principal Investigators (including lectures, research projects responsibilities etc.) Indicate the percentage of time the Principal Investigator and Co-Principal Investigator would devote to the project.
Principal Investigator

Co-Investigators:

Approximately _____hours per week per person.
8. Objective of the Project:

9. Brief outline of the project with specific technology fall-outs:
10. Expected outcome in physical terms (as applicable)
11. Any specific industry / university collaboration link up established / proposed (Details as applicable):
12. Brief summary of other project proposals (submitted/on-going by any of the Investigators) awaiting consideration from other funding agencies like DIT, DBT, DST, DRDO, DSIR, MHRD, IMRC etc. -
13. Infrastructure and other facilities available at the institute for undertaking this project. -
 - a) List of major equipment along with model numbers, specifications etc.
 - b) Existing manpower and other personnel with names available for the project on full-time basis.
14. Expensive Equipment /facilities available elsewhere which could be made use of for the project. -
15. Details of collaborating agencies (As this would vary from project to project, necessary details may be given as appropriate)
16. Duration of Project: 1 year / 2 years
17. Year-wise break-up of physical achievements with specific intermediate milestones (in terms of aims and objectives)
Year 1

[illegible]

Year 2

Activity	Months											
	1	2	3	4	5	6	7	8	9	10	11	12

18. Total Budget outlay (Provide all the necessary details)

BUDGET ESTIMATES: (Please provide all the necessary details)

	Item	BUDGET		(in Rupees)
		1st Year	2nd Year	Total
A.	Recurring (Mention Specifics)			
B.	Equipment (Attach quotations or price)			
			Total (A+B)	

BUDGET FOR CONSUMABLE MATERIALS (Please provide all the necessary details)

		BUDGET		(in Rupees)
Item		1st Year	2nd Year	Total
Total				

BUDGET FOR TRAVEL (Do not include travel for conferences)

		BUDGET		(in Rupees)
		1st Year	2nd Year	Total
	Travel (Only inland travel)			

Signature of Principal Investigator
 Designation
 Date:

Signature of
 Chairperson / Head of the Department
 Date:

Signature of Co-Investigator-1
Designation
Date:

Signature of Co-Investigator-2
Designation
Date:

Additional Information Required

1. Please indicate recent major achievements of in-house R&D carried out earlier and grants received either from PESU or external funding agencies
2. Any other information in support of the proposal.

Detailed Bio-data of the Investigator(s)/Co-Investigator(s)

BIO-DATA OF THE PRINCIPAL INVESTIGATOR

1. Name:
2. Gender: Male / Female
3. Date of Birth:
4. Designation & Affiliation:
5. Postal Address:
6. Phone Numbers:
7. E-mail ID:
8. Qualifications (*starting from University Level*)

S.No.	Degree	Institution	Year
1			
2			
3			
4			

9. Employment Experience

S.No.	Position and Organisation	Nature of Job	Period
1			
2			
3			
4			

10. List of Publications (*for the last 5 years only*)

10.1 Journal Publications

- 1.
- 2.
- 3.
- 4.
- 5.

10.2 Conference Presentations

- 1.
- 2.
- 3.

11. Patents filed/granted with details -

12. List of funded projects completed (Details) -

BIO-DATA OF THE CO - INVESTIGATOR (1)

1. Name:
2. Gender: Male / Female
3. Date of Birth:
4. Designation & Affiliation:
5. Postal Address:
6. Phone Numbers:
7. E-mail ID:
8. Qualifications (*starting from University Level*)

S.N o.	Degree	Institution	Year
1			
2			
3			

9. Employment Experience

S.No.	Position and Organisation	Nature of Job	Period
1.			
2.			
3.			
4.			

10. List of Publications (*for the last 3 years only*)

10.1 Journal Publications:

- 1.
- 2.

10.2 Conference Publications:

- 1.
- 2.

11. Patents filed (*if any*):

12. List of funded projects completed (Details):

BIO-DATA OF THE CO - INVESTIGATOR (2)

1. Name:
2. Gender: Male / Female
3. Date of Birth:
4. Designation & Affiliation:
5. Postal Address:
6. Phone Numbers:
7. E-mail ID:
8. Qualifications (*starting from University Level*)

S.No.	Degree	Institution	Year
1			
2			
3			

9. Employment Experience

S.No.	Position and Organisation	Nature of Job	Period
1.			
2.			
3.			
4.			

10. List of Publications (*for the last 3 years only*)

10.1 Journal Publications:

- 1.
- 2.

10.2 Conference Publications:

- 1.
- 2.

11. Patents filed:

12. List of funded projects completed (Details):

**R& D Projects Funding
Terms and Conditions Governing Grant-in-aid**

- i) The grant is for the specific project as approved by PES University and shall be subject to the following conditions:
- (a) The grant amount shall be spent for the project within the specified time,
 - (b) Any portion of the grant which is not ultimately required for expenditure for the approved purposes shall be duly surrendered to PES University.
- ii) The grantee PI / Co-PIs shall maintain a record in the form of a register for permanent, semi-permanent assets acquired solely or mainly out of PES University grant;
- iii) The assets referred to in (ii) above will be the property of PES University and should not be disposed off or encumbered or utilized for the purposes other than those for which the grant has been sanctioned. An undertaking shall be given by the grantee PI / Co-PIs that they agree to be governed by these conditions;
- iv) The grantee PI / Co-PIs shall render progress-cum-achievement reports at interval of not exceeding six months on the progress made on all aspects of the project including expenditure incurred on various approved items during the period.
- v) The utilization of grant for the intended purposes will be looked into by the Auditor of the University according to the directives and the specific mention about it will be made in the audit report;
- vi) The know-how generated by the project or patent, shall be governed by the policy of PES University.
- vii) Application by grantee PI / Co-PIs for any other financial assistance or receipt of grant from any other Agency/Ministry/Department for this project should have the prior approval of the Dean of Research and/or Vice Chancellor of PES University.
- viii) The Grantee PI / Co-PIs are not allowed to entrust the implementation of this project for which grant-in-aid is received to another institution or organization and to divert the grant-in-aid received as assistance to any other institute or organization.
- ix) PES University shall / may appoint a Project Review Group (PRG) comprising of representatives from PESU and other external experts. PRG will periodically monitor the project in all respects including technical and financial.
- x) The Grantee PI / Co-PIs will first make all efforts to protect intellectual property generated out of the project. The grantee PI/Co-PIs will examine **IPR** protection issues in consultation with Dean of Research office, PESU to file patents, register the copyrights etc. before making it public by publishing in the technical journals and books, presenting findings in Conferences etc.
- xi) The Intellectual property and the rights associated with it shall be assigned to PES University, Bangalore. In cases where the funding has been done jointly with other organisations, the IP rights would be appropriately shared. The applicant will be PES University.
- xii) Research misconduct is a failure to adhere to high standards of professional conduct and integrity and to the principles contained in this policy. All researchers must comply with the relevant laws, guidelines of regulatory agencies and University Research Policy. Failure to comply with this policy will be considered to be interpreted as research misconduct, and appropriate action will be initiated.
- xiii) In case of any dispute on any matter, related to the project during the course of its implementation, the decision of Honorable Vice Chancellor, PES University shall be final and binding on the PI/Co-PIs.

We Principal Investigator / Co-Principal Investigator(s) have read and understood the terms and conditions governing grant-in-aid for the project proposed “Title” and agree to abide by the same.

1) Name: _____ Signature: _____

2) Name: _____ Signature: _____

3) Name: _____ Signature: _____