

# 1. Introduction

A digital signature is an authentication mechanism that enables the creator of a message to attach a code that acts as a signature. The signature is formed by taking the hash of the message and encrypting the message with the creator's private key. The signature guarantees the source and integrity of the message.

The most important development from the work on public-key cryptography is the digital signature. The digital signature provides a set of security capabilities that would be difficult to implement in any other way. We begin this chapter with an overview of digital signatures. Then we look at authentication protocols, many of which depend on the use of the digital signature.

## 2. Digital Signatures Requirements

Message authentication protects two parties who exchange messages from any third party. However, it does not protect the two parties against each other. Several forms of dispute between the two are possible. In situations where there is not complete trust between sender and receiver, something more than authentication is needed. The most attractive solution to this problem is the digital signature. The digital signature is analogous to the handwritten signature. It must have the following properties:

- It must verify the author and the date and time of the signature.
- It must to authenticate the contents at the time of the signature.
- It must be verifiable by third parties, to resolve disputes.

Thus, the digital signature function includes the authentication function.

On the basis of these properties, we can formulate the following requirements for a digital signature:

- The signature must be a bit pattern that depends on the message being signed.
- The signature must use some information unique to the sender, to prevent both forgery and denial.
- It must be relatively easy to produce the digital signature.
- It must be relatively easy to recognize and verify the digital signature.

- It must be computationally infeasible to forge a digital signature, either by constructing a new message for an existing digital signature or by constructing a fraudulent digital signature for a given message.
- It must be practical to retain a copy of the digital signature in storage.

A secure hash function, embedded in a scheme such as that of Module4 (Figure 1c or d), satisfies these requirements.

A variety of approaches has been proposed for the digital signature function. These approaches fall into two categories: direct and arbitrated.

The direct digital signature involves only the communicating parties (source, destination). It is assumed that the destination knows the public key of the source. A digital signature may be formed by encrypting the entire message with the sender's private key or by encrypting a hash code of the message with the sender's private key.

Confidentiality can be provided by further encrypting the entire message plus signature with either the receiver's public key (public-key encryption) or a shared secret key (symmetric encryption)(refer previous lectures). Note that it is important to perform the signature function first and then an outer confidentiality function. In case of dispute, some third party must view the message and its signature. If the signature is calculated on an encrypted message, then the third party also needs access to the decryption key to read the original message. However, if the signature is the inner operation, then the recipient can store the plaintext message and its signature for later use in dispute resolution.

All direct schemes described so far share a common weakness. The validity of the scheme depends on the security of the sender's private key. If a sender later wishes to deny sending a particular message, the sender can claim that the private key was lost or stolen and that someone else forged his or her signature. Administrative controls relating to the security of private keys can be employed to thwart or at least weaken this ploy, but the threat is still there, at least to some degree. One example is to require every signed message to include a timestamp (date and time) and to require prompt reporting of compromised keys to a central authority.

Another threat is that some private key might actually be stolen from X at time T. The opponent can then send a message signed with X's signature and stamped with a time before or equal to T.

## 2.1 Arbitrated Digital Signature

The problems associated with direct digital signatures can be addressed by using an arbiter. As with direct signature

schemes, there is a variety of arbitrated signature schemes. In general terms, they all operate as follows. Every signed message from a sender X to a receiver Y goes first to an arbiter A, who subjects the message and its signature to a number of tests to check its origin and content.

The message is then dated and sent to Y with an indication that it has been verified to the satisfaction of the arbiter. The presence of A solves the problem faced by direct signature schemes: that X might disown the message. The arbiter plays a sensitive and crucial role in this sort of scheme, and all parties must have a great deal of trust that the arbitration mechanism is working properly or equal to T.

## 2.2 Authentication Protocols

The basic tools described in preceding topics are used in a variety of applications, including the digital signature. Other uses are numerous and growing. In this section, we focus on two general areas (mutual authentication and one-way authentication) and examine some of the implications of authentication techniques in both.

### 2.2.1 Mutual Authentication

An important application area is that of mutual authentication protocols. Such protocols enable communicating parties to satisfy themselves mutually about each other's identity and to exchange session keys. Implications of authentication are considered here in the wider sense.

Central to the problem of authenticated key exchange are two issues: confidentiality and timeliness. To prevent masquerade and to prevent compromise of session keys, essential identification and session key information must be communicated in encrypted form. This requires the prior existence of secret or public keys that can be used for this purpose. The second issue, timeliness, is important because of the threat of message replays. Such replays, at worst, could allow an opponent to compromise a session key or successfully impersonate another party. At minimum, a successful replay can disrupt operations by presenting parties with messages that appear genuine but are not.

Following are examples of replay attacks:

- Simple replay: The opponent simply copies a message and replays it later.
- Repetition that can be logged: An opponent can replay a timestamped message within the valid time window.

- Repetition that cannot be detected: This situation could arise because the original message could have been suppressed and thus did not arrive at its destination; only the replay message arrives.
- Backward replay without modification: This is a replay back to the message sender. This attack is possible if symmetric encryption is used and the sender cannot easily recognize the difference between messages sent and messages received on the basis of content.

One approach to coping with replay attacks is to attach a sequence number to each message used in an authentication exchange. A new message is accepted only if its sequence number is in the proper order. The difficulty with this approach is that it requires each party to keep track of the last sequence number for each claimant it has dealt with. Because of this overhead, sequence numbers are generally not used for authentication and key exchange. Instead, one of the following two general approaches is used:

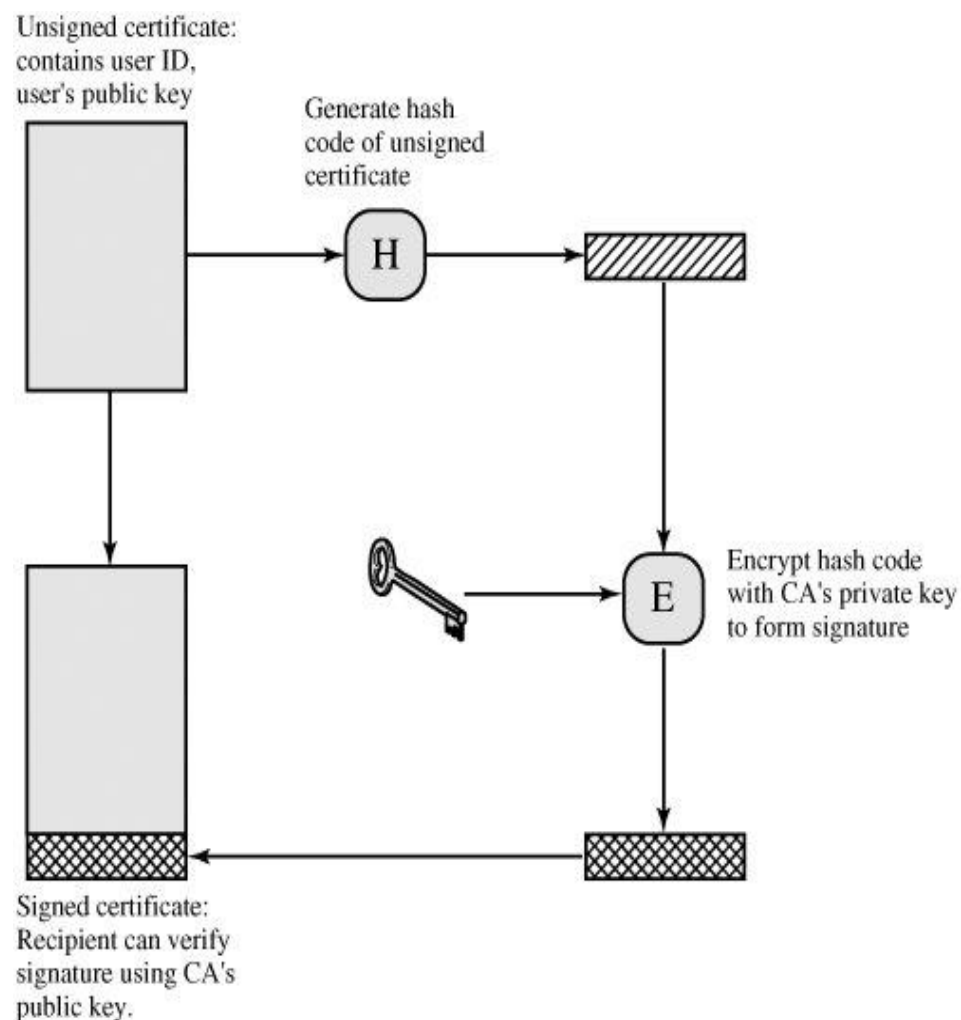
- Timestamps: Party A accepts a message as fresh only if the message contains a timestamp that, in A's judgment, is close enough to A's knowledge of current time. This approach requires that clocks among the various participants be synchronized.
- Challenge/response: Party A, expecting a fresh message from B, first sends B a nonce (challenge) and requires that the subsequent message (response) received from B contain the correct nonce value.

### 2.2.2 The X.509 Authentication Service

ITU-T recommendation X.509 is part of the X.500 series of recommendations that define a directory service. The directory is, in effect, a server or distributed set of servers that maintains a database of information about users. The information includes a mapping from user name to network address, as well as other attributes and information about the users.

X.509 defines a framework for the provision of authentication services by the X.500 directory to its users. The directory may serve as a repository of public-key certificates. Each certificate contains the public key of a user and is signed with the private key of a trusted certification authority. In addition, X.509 defines alternative authentication protocols based on the use of public-key certificates. X.509 is an important standard because the certificate structure and authentication protocols defined in X.509 are used in a variety of contexts. For example, the X.509 certificate format is used in S/MIME), IP Security, and SSL/TLS and SET.

X.509 is based on the use of public-key cryptography and digital signatures. The standard does not dictate the use of a specific algorithm but recommends RSA. The digital signature scheme is assumed to require the use of a hash function. Again, the standard does not dictate a specific hash algorithm. The 1988 recommendation included the description of a recommended hash algorithm; this algorithm has since been shown to be insecure and was dropped from the 1993 recommendation. Figure 1 illustrates the generation of a public-key certificate.



**Figure 1: Public-Key Certificate Use**

