Perry Hart K-theory seminar Talk #15 November 12, 2018

## Abstract

We begin higher Waldhausen K-theory. The main sources for this talk are the following.

- nLab.
- Charles Weibel's The K-book: an introduction to algebraic K-theory, Ch. IV.8.
- John Rognes's Lecture Notes on Algebraic K-Theory, Ch. 8.

For the original development, see Friedhelm Waldhausen's Algebraic K-theory of spaces (1985).

Let  $\mathscr{C}$  be a Waldhausen category. Our goal is to construct the K-theory  $K(\mathscr{C})$  of  $\mathscr{C}$  as a based loop space  $\Omega Y$  endowed with a loop completion map  $\iota: |w\mathscr{C}| \to K(\mathscr{C})$  where  $w\mathscr{C}$  denotes the subcategory of weak equivalences. This will produce a function ob  $\mathscr{C} \to |w\mathscr{C}| \to \Omega Y$ . Further, we'll require of  $K(\mathscr{C})$  certain limit and coherence properties, thereby making  $K(\mathscr{C})$  the underlying infinite loop space of a spectrum  $K(\mathscr{C})$ , called the *algebraic K-theory spectrum* of  $\mathscr{C}$ .

**Definition 1.** Let  $\mathscr{C}$  be a category equipped with a subcategory  $co \mathscr{C}$  of morphisms called *cofibrations*. The pair  $(\mathscr{C}, co \mathscr{C})$  is a *category with cofibrations* if the following conditions hold.

- 1. (W0) Every isomorphism in  $\mathscr{C}$  is a cofibration.
- 2. (W1) There is a base point \* in  $\mathscr C$  such that the unique morphism  $* \rightarrowtail A$  is a cofibration for any  $A \in \text{ob}\,\mathscr C$ .
- 3. (W2) We have a cobase change

$$\begin{array}{ccc}
A & \longrightarrow & B \\
\downarrow & & & \vdots \\
C & \longrightarrow & B \cup_A C
\end{array}$$

We see that  $B \coprod C$  always exists as the pushout  $B \cup_* C$  and that the cokernel of any  $i : A \rightarrow B$  exists as  $B \cup_A *$  along  $A \rightarrow *$ . We call  $A \rightarrow B \rightarrow B/A$  a cofiber sequence.

**Definition 2.** A Waldhausen category  $\mathscr{C}$  is a category with cofibrations together with a subcategory  $w\mathscr{C}$  of morphisms called weak equivalences (w.e.) such that every isomorphism in  $\mathscr{C}$  is a w.e. and the following "Gluing axiom" holds.

1. (W3) For any diagram

$$\begin{array}{cccc} C \longleftarrow & A \longmapsto & B \\ \sim & & \sim & & \sim \\ C' \longleftarrow & A' \longmapsto & B' \end{array},$$

the induced map  $B \cup_A C \to B' \cup_{A'} C'$  is a w.e.

**Definition 3.** A Waldhausen category  $(\mathscr{C}, w)$  is *saturated* if whenever fg makes sense and is a w.e., then f is a w.e. iff g is.

Now, let us introduce the main concept to be generalized.

**Definition 4.** Let  $\mathscr{C}$  be a category with cofibrations. Let the *extension category*  $S_2\mathscr{C}$  have as objects the cofiber sequences in  $(\mathscr{C}, \mathsf{co}\,\mathscr{C})$  and as morphisms the triples (f', f, f'') such that

commutes. This is pointed at  $* \rightarrow * \rightarrow *$ .

**Definition 5.** Suppose an arbitrary triple (f', f, f'') as above has the property that whenever f' and f'' are w.e., then so is f. In this case, we say  $\mathscr{C}$  is extensional or closed under extensions.

Say that the morphism (f', f, f'') is a cofibration if f', f'', and  $Y' \cup_{X'} X \to Y$  are cofibrations in  $\mathscr{C}$ . Say that the same triple is a weak equivalence if f', f, and f'' are w.e. in  $\mathscr{C}$ . This makes  $S_2\mathscr{C}$  into a Waldhausen category.

**Definition 6.** Let  $q \ge 0$ . Let the arrow category Ar[q] on [q] have as objects ordered pairs (i, j) with  $i \le j \le q$  and as morphisms commutative diagrams of the form

$$\begin{array}{ccc} i & \stackrel{\leq}{\longrightarrow} & j \\ \leq \downarrow & & \downarrow \leq \cdot \\ i' & \stackrel{\leq}{\longrightarrow} & j' \end{array}$$

We view [q] as a full subcategory of  $\operatorname{Ar}[q]$  via the embedding  $[q] \xrightarrow{k \mapsto (0,k)} \operatorname{Ar}[q]$ .

## Note 7.

- 1. Any triple  $i \leq j \leq k$  determines the morphisms  $(i,j) \to (i,k)$  and  $(i,k) \to (j,k)$ . Conversely, any morphism in the arrow category is a composite of such triples.
- 2.  $Ar[q] \cong Fun([1], [q])$  with each pair (i, j) identified with the functor satisfying  $0 \mapsto i$  and  $1 \mapsto j$ .

**Example 8.** The category Ar[2] is generated by the commutative diagram

$$(0,0) \longrightarrow (0,1) \longrightarrow (0,2)$$

$$\downarrow \qquad \qquad \downarrow$$

$$(1,1) \longrightarrow (1,2) \cdot$$

$$\downarrow \qquad \qquad \downarrow$$

$$(2,2)$$

**Definition 9.** Let  $\mathscr{C}$  be a category with cofibrations and  $q \geq 0$ . Define  $S_q\mathscr{C}$  as the full subcategory of  $\operatorname{Fun}(\operatorname{Ar}[q],\mathscr{C})$  generated by  $X:\operatorname{Ar}[q]\to\mathscr{C}$  such that

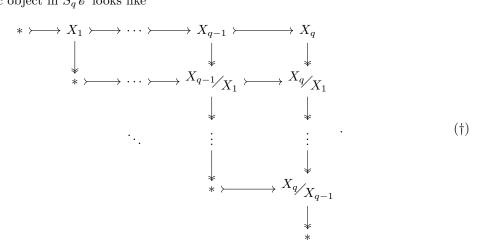
- 1.  $X_{j,j} = *$  for each  $j \in [q]$ .
- 2.  $X_{i,j} \rightarrow X_{i,k} \twoheadrightarrow X_{j,k}$  is a cofiber sequence for any i < j < k in [q]. Equivalently, if  $i \le j \le k$  in [q], then the square

$$\begin{array}{ccc} X_{i,j} & \longmapsto & X_{i,k} \\ \downarrow & & \downarrow \\ X_{j,j} = * & \longmapsto & X_{j,k} \end{array}$$

is a pushout.

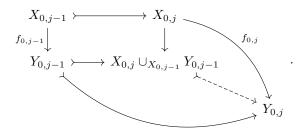
This is pointed at the constant diagram at \*.

**Note 10.** A generic object in  $S_q\mathscr{C}$  looks like



where  $X_q$  corresponds to  $X_{0,q}$  and  $X_{j}/X_i$  to  $X_{i,j}$  for any  $1 \le i \le j \le q$ .

**Definition 11.** Let  $(\mathscr{C}, \operatorname{co}\mathscr{C})$  be a category with cofibrations. Let  $\operatorname{co} S_q\mathscr{C} \subset S_q\mathscr{C}$  consist of the morphisms  $f: X \rightarrowtail Y$  of  $\operatorname{Ar}[q]$ -shaped diagrams such that for each  $1 \leq j \leq q$  we have



**Proposition 12.** If  $f: X \to Y$  is a cofibration of  $S_q\mathscr{C}$ , then

$$\begin{array}{ccc} X_{i,j} & \longrightarrow & X_{i,k} \\ f_{i,j} & & & & \downarrow f_{i,k} \\ Y_{i,j} & \longmapsto & Y_{i,k} \end{array}$$

for any  $i \le j \le k$  in [q].

 $<sup>^{1}</sup>$ Lemma 8.3.12 (Rognes).

**Lemma 13.**  $(S_q\mathscr{C}, \operatorname{co} S_1\mathscr{C})$  is a category with cofibrations.

*Proof.* First notice that the composite of two cofibrations  $g \circ f : X \to Y \to Z$  is a cofibration because we have

$$\begin{array}{c} X_{0,j-1} \rightarrowtail X_{0,j} \\ \downarrow \\ Y_{0,j-1} \rightarrowtail X_{0,j} \cup_{X_{0},j-1} Y_{0,j-1} \rightarrowtail Y_{0,j} \\ \downarrow \\ Z_{0,j-1} \rightarrowtail X_{0,j} \cup_{X_{0,j-1}} Z_{0,j-1} \rightarrowtail Y_{0,j} \cup_{Y_{0,j-1}} Z_{0,j-1} \rightarrowtail Z_{0,j} \end{array}.$$

It's clear that any isomorphism or initial morphism in  $S_q\mathscr{C}$  is a cofibration.

To see that (W2) is satisfied, let  $f: X \to Y$  and  $g: X \to Z$  be morphisms in  $S_q\mathscr{C}$ . It's easy to verify that each component  $f_{i,j}: X_{i,j} \to Y_{i,j}$  is a cofibration. Thus, each pushout  $W_{i,j} := Y_{i,j} \cup_{X_{i,j}} Z_{i,j}$  exists. These form a functor  $W: \operatorname{Ar}[q] \to \mathscr{C}$ . If i < j < k, then we have  $W_{i,j} \mapsto W_{i,k} \twoheadrightarrow W_{j,k}$  because the left morphism factors as the composite of two cofibrations

$$Z_{i,j} \rightarrowtail Z_{i,k}$$

$$f_{i,j} \cup \operatorname{Id} \qquad \qquad \downarrow f_{i,j} \cup \operatorname{Id}$$

$$Y_{i,j} \cup_{X_{i,j}} Z_{i,j} \rightarrowtail Y_{i,j} \cup_{X_{i,j}} Z_{i,k} \rightarrowtail Y_{i,k} \cup_{X_{i,k}} Z_{i,k} .$$

$$\operatorname{Id} \cup g_{i,k} \qquad \qquad \uparrow \operatorname{Id} \cup g_{i,k}$$

$$Y_{i,j} \cup_{X_{i,j}} X_{i,k} \rightarrowtail Y_{i,k}$$

The fact that colimits commute with each other ensures that  $W_{j,k} \cong W_{i,k}/W_{i,j}$  Hence W is the pushout of f and g. To verify that this is a cofibration, we must check that the pushout map  $W_{0,j-1} \cup_{Z_{0,j-1}} Z_{0,j} \to W_{0,j}$  is a cofibration. But this follows from the pushout square

$$Y_{0,j-1} \cup_{X_{0,j-1}} X_{0,j} \longrightarrow Y_{0,j}$$

$$\downarrow \qquad \qquad \downarrow$$

$$Y_{0,j-1} \cup_{X_{0,j-1}} Z_{0,j} \longrightarrow Y_{0,j} \cup_{X_{0,j}} Z_{0,j}$$

**Definition 14.** Let  $(\mathscr{C}, w\mathscr{C})$  be a Waldhausen category. Let  $wS_q\mathscr{C} \subset S_q\mathscr{C}$  consist of the morphisms  $f: X \xrightarrow{\sim} Y$  of Ar[q]-shaped diagrams such that the component  $f_{0,j}: X_{0,j} \to Y_{0,j}$  is a w.e. in  $\mathscr{C}$  for each  $1 \leq j \leq q$ .

**Proposition 15.** Let f be a w.e. in  $S_q\mathscr{C}$ . Each component  $f_{i,j}: X_{i,j} \to Y_{i,j}$  is a w.e. in  $\mathscr{C}$ .

*Proof.* Apply the Gluing axiom to the diagram

Then  $X_{i,j} \cong X_{0,j} \cup_{X_{0,i}} * \xrightarrow{\sim} Y_{0,j} \cup_{Y_{0,i}} * \cong Y_{i,j}$ , as desired.

**Lemma 16.**  $(S_q\mathscr{C}, wS_q\mathscr{C})$  is a Waldhausen category.

**Definition 17.** Let  $\mathscr{C}$  be a category with cofibrations. If  $\alpha:[p]\to[q]$ , then define  $\alpha^*:S_q\mathscr{C}\to S_p\mathscr{C}$  by

$$\alpha^*(X:\operatorname{Ar}[q]\to\mathscr{C})=X\circ\operatorname{Ar}(\alpha):\operatorname{Ar}[p]\to\operatorname{Ar}[q]\to\mathscr{C}.$$

It's easy to check that this satisfies the two conditions of a diagram in  $S_p\mathscr{C}$ . Moreover, the face maps  $d_i$  are obtained by deleting the row  $X_{i,-}$  and the column containing  $X_i$  in ( $\dagger$ ) and then reindexing as necessary. The degeneracy maps  $s_i$  are given by duplicating  $X_i$  and then reindexing such that  $X_{i+1,i} = 0$ .

Not sure that the  $s_i$  work.

**Proposition 18.** Let  $(\mathscr{C}, w\mathscr{C})$  be a Waldhausen category. Each functor  $\alpha^* : S_q\mathscr{C} \to S_p\mathscr{C}$  is exact, so that  $(S_{\bullet}\mathscr{C}, wS_{\bullet}\mathscr{C})$  is a simplicial Waldhausen category.

The nerve  $N_{\bullet}wS_{\bullet}\mathscr{C}$  is a bisimplicial set with (p,q)-bisimplices the diagrams of the form

such that  $X_{i,j}^k \cong X_{j/X_i^k}^k$  for every  $i \leq j \leq q$  and  $k \in [p]$ .

**Lemma 19.** There is a natural map  $N_{\bullet}w\mathscr{C} \wedge \Delta^{1}_{\bullet} \to N_{\bullet}wS_{\bullet}\mathscr{C}$ , which automatically induces a based map  $\sigma: \Sigma |w\mathscr{C}| \to |wS_{\bullet}\mathscr{C}|$  of classifying spaces.

*Proof.* We can treat  $N_{\bullet}wS_{\bullet}\mathscr{C}$  as the simplicial set  $[q] \mapsto N_{\bullet}wS_{q}\mathscr{C}$ . This defines a right skeletal structure on  $N_{\bullet}wS_{\bullet}\mathscr{C}$ .

If q = 0, then  $wS_0\mathscr{C} = S_0\mathscr{C} = *$ , so that  $N_{\bullet}wS_0\mathscr{C} = *$  as well. If q = 1, then  $wS_1\mathscr{C} \cong w\mathscr{C}$ . Thus, the right 1-skeleton is equal to  $N_{\bullet}w\mathscr{C} \wedge \Delta^1_{\bullet}$ , which in turn must be equal to the image I of the canonical map

$$\coprod_{q \le 1} N_{\bullet} w S_q \mathscr{C} \times \Delta_{\bullet}^q \to N_{\bullet} w S_{\bullet} \mathscr{C}.$$

Now, the degeneracy map  $s_0$  collapses  $\{*\} \times \Delta^1_{\bullet}$ , and the face maps  $d_0$  and  $d_1$  collapse  $N_{\bullet}w\mathscr{C} \times \partial \Delta^1_{\bullet}$ . Therefore, I must equal

$$N_{\bullet}w\mathscr{C} \wedge \Delta^{1}_{\bullet} = \frac{N_{\bullet}w\mathscr{C} \times \Delta^{1}_{\bullet}}{\{*\} \times \Delta^{1}_{\bullet} \cup N_{\bullet}w\mathscr{C} \times \partial \Delta^{1}_{\bullet}}.$$

We have defined a natural inclusion map  $\lambda: N_{\bullet}w\mathscr{C} \wedge \Delta^{1}_{\bullet} \to N_{\bullet}wS_{\bullet}\mathscr{C}$ .

Since  $\Delta^1_{\bullet}$  is isomorphic to the unit interval and the map  $\lambda$  agrees on the endpoints, we can pass to  $S^1$  during the suspension. Hence  $\lambda$  induces the desired map  $\sigma^2$ .

Note 20. The axiom (W3) implies that  $w\mathscr{C}$  is closed under coproducts, making  $|wS_{\bullet}\mathscr{C}|$  into an H-space via the map

$$\prod : |wS_{\bullet}\mathscr{C}| \times |wS_{\bullet}\mathscr{C}| \cong |wS_{\bullet}\mathscr{C} \times wS_{\bullet}\mathscr{C}| \to |wS_{\bullet}\mathscr{C}|.$$

**Definition 21.** Let  $(\mathscr{C}, w\mathscr{C})$  be a Waldhausen category. Define the algebraic K-theory space

$$K(\mathscr{C}, w) = \Omega |N_{\bullet} w S_{\bullet} \mathscr{C}|.$$

Then we have a right adjoint  $\iota: |w\mathscr{C}| \to K(\mathscr{C}, w)$  to the based map  $\sigma$ .

Moreover, let  $F:(\mathscr{C},w\mathscr{C})\to (\mathscr{D},w\mathscr{D})$  be an exact functor. Let

$$K(F) = \Omega |wS_{\bullet}F| : K(\mathscr{C}, w) \to K(\mathscr{D}, w).$$

This is the algebraic K-theory functor  $K : \mathbf{Wald} \to \mathbf{Top}_*$ .

Recall that any exact category  $\mathscr{A}$  is a Waldhausen category with cofibrations the admissible exact sequences and w.e. the isomorphisms. Waldhausen showed that  $|iS_{\bullet}\mathscr{A}|$  (where i denotes the isocategory) and  $BQ\mathscr{A}$  are homotopy equivalent. Therefore, our current definition of higher algebraic K-theory agrees with Quillen's.

**Example 22.** Let R be a ring. Define the algebraic K-theory space of R as

$$K(R) = K(\mathbf{P}(R), i)$$

where the w.e. i are precisely the injective R-linear maps with projective cokernel and the cofibrations are precisely the R-linear maps.

**Example 23.** Assume that  $\mathscr{C}$  is a small Waldhausen category where  $w\mathscr{C}$  consists of the isomorphisms in  $\mathscr{C}$ . If  $s_n\mathscr{C}$  denotes the set of objects of  $S_n\mathscr{C}$ , then we get a simplicial set  $s_{\bullet}\mathscr{C}$ . Waldhausen showed that the inclusion  $|s_{\bullet}\mathscr{C}| \hookrightarrow |iS_{\bullet}\mathscr{C}|$  is a homotopy equivalence. This makes  $\Omega|s_{\bullet}\mathscr{C}|$  into a so-called simplicial model for  $K(\mathscr{C}, w)$ .

Remark 24. Since  $wS_0\mathscr{C} = *$  and every simplex of degree n > 0 is attached to \*, it follows that the classifying space  $|wS_{\bullet}\mathscr{C}|$  is connected. Therefore, we preserve any homotopical information when passing to the loop space.

**Definition 25.** The *i-th algebraic* K-group is  $K_i(\mathscr{C}, w) \equiv \pi_i K(\mathscr{C}, w)$  for each  $i \geq 0$ .

**Proposition 26.**  $\pi_1 |wS_{\bullet}\mathscr{C}| \cong K_0(\mathscr{C}, w)$ .

**Lemma 27.** The group  $K_0(\mathscr{C}, w)$  is generated by all elements [X] such that

<sup>&</sup>lt;sup>2</sup>This is a tentative explanation due to Thomas Brazelton.

- [X'] + [X''] = [X] for every cofiber sequence  $X' \mapsto X \twoheadrightarrow X''$  and
- [X] = [Y] for every w.e.  $X \xrightarrow{\sim} Y$ .

*Proof.* In light of Proposition 26, it suffices to compute  $\pi_1 | N_{\bullet} w S_{\bullet} \mathscr{C}|$  based at the (0,0)-bisimplex \*. For this, just notice the CW structure of  $|N_{\bullet} w S_{\bullet} \mathscr{C}|$ , with 1-cells the (0,1)-bisimplices and 2-cells the (0,2)-bisimplices  $X' \rightarrowtail X \twoheadrightarrow X''$  and the (1,1)-bisimplices  $X \xrightarrow{\sim} Y$ , which are attached to the 1-cells X and Y. Any cell of dimension n > 2 is irrelevant to computing  $\pi_1$ .

As a result, we obtain functors

$$K_i: \mathbf{Wald} \to \mathbf{Top}_* \to \mathbf{Ab}$$

known as the algebraic K-group functors. Indeed, thanks to Proposition 26, we know that

$$K_i(\mathscr{C}, w) = \pi_{i+1} |wS_{\bullet}\mathscr{C}|,$$

which is abelian for  $i \geq 1$ . Moreover, note that if  $X' \rightarrowtail X' \vee X'' \twoheadrightarrow X''$  and  $X'' \rightarrowtail X' \vee X'' \twoheadrightarrow X'$  are cofiber sequences, then Lemma 27 implies that

$$[X'] + [X''] = [X' \lor X''] = [X'' + X'].$$

Hence  $K_0(\mathscr{C}, w)$  is also abelian.

**Example 28.** Let X be a CW complex and  $\mathcal{R}(X)$  denote the category of CW complexes Y obtained by attaching at least one cell to X so that X is a retract of Y. Equip this with cofibrations in the form of cellular inclusions fixing X and w.e. in the form of homotopy equivalences. This makes  $\mathcal{R}(X)$  into a Waldhausen category.

If  $\mathcal{R}_f(X)$  denotes the subcategory of those Y obtained by attaching finitely many cells, then we denote  $K(\mathcal{R}_f(X))$  by A(X).

**Proposition 29.**  $A_0(X) \cong \mathbb{Z}$ .

**Definition 30.** If  $\mathscr{B}$  is a Waldhausen subcategory of  $\mathscr{C}$ , then it is *cofinal in*  $\mathscr{C}$  if for any  $X \in \text{ob}\,\mathscr{C}$ , there is some  $X' \in \text{ob}\,\mathscr{C}$  such that  $X \coprod X' \in \text{ob}\,\mathscr{B}$ .

**Theorem 31.** Let  $(\mathcal{B}, w)$  be cofinal in  $(\mathcal{C}, w)$  and closed under extensions. Assume that  $K_0(\mathcal{B}) = K_0(\mathcal{C})$ . Then  $wS_{\bullet}\mathcal{B} \to wS_{\bullet}\mathcal{C}$  is a homotopy equivalence.

It follows that  $K_i(\mathscr{B}) \cong K_i(\mathscr{C})$  for every  $i \geq 0$ .