Perry Hart

K-theory reading seminar

UPenn

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Abstract

We continue looking at higher Waldhausen *K*-theory by presenting several of its key theorems. At the end, we see an encoding of Waldhausen *K*-theory as the infinite loop space of a sort of spectrum. The main sources for this talk are the following.

- *n*Lab.

- Charles Weibel's *The K-book: an introduction to algebraic K-theory*, Ch. V.2.

- John Rognes's *Lecture Notes on Algebraic K-Theory*, Ch. 8.

1 Extension and additivity

Let $\mathscr{B}$ and $\mathscr{C}$ be Waldhausen categories. We say that $F' \rightarrowtail F \twoheadrightarrow F''$ is a *short exact sequence* or *cofiber sequence of exact functors* $\mathscr{B} \to \mathscr{C}$ if
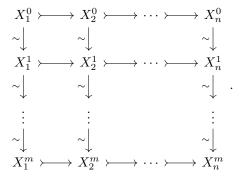
(i) every $F'(B) \rightarrowtail F(B) \twoheadrightarrow F''(B)$ is a cofiber sequence and

(ii) $F(A) \cup_{F'(A)} F'(B) \rightarrowtail F(B)$ is a cofibration in $\mathscr{C}$ for every $A \rightarrowtail B$ in $\mathscr{B}$.

Let $\eta: A \rightarrowtail B \twoheadrightarrow C$ be an object in $S_2\mathscr{C}$. Define the source s, target t, and quotient q functors $S_2\mathscr{C} \to \mathscr{C}$ by $s(\eta) = A$, $t(\eta) = B$, and $q(\eta) = C$, respectively. Then $s \rightarrowtail t \twoheadrightarrow q$ is a cofiber sequence of functors.

Since defining a cofiber sequence of exact functors $\mathscr{B} \to \mathscr{C}$ is equivalent to defining an exact functor $\mathscr{B} \to S_2\mathscr{C}$, we may restrict our attention to $s \rightarrowtail t \twoheadrightarrow q$ when proving assertions about a given cofiber sequence of exact functors $\mathscr{B} \to \mathscr{C}$. (We say that $S_2\mathscr{C}$ is *universal* in this sense.)

Theorem 1.1 (Extension). *The exact functor* $(s, q): S_2\mathscr{C} \to \mathscr{C} \times \mathscr{C}$ *induces a homotopy equivalence* $K(S_2\mathscr{C}) \simeq K(\mathscr{C}) \times K(\mathscr{C})$. *The functor* $\coprod : (A, B) \to (A \rightarrowtail A \coprod B \twoheadrightarrow B)$ *is a homotopy inverse.*

Proof sketch. Let $\mathscr{C}_m^w$ denote the category of m-length sequences of weak equivalences. For each n, define $s_n \mathscr{C}_m^w$ as the commutative diagram

$$
\begin{array}{ccccccc}
X_1^0 & \rightarrowtail & X_2^0 & \rightarrowtail & \cdots & \rightarrowtail & X_n^0 \\
\sim\downarrow & & \sim\downarrow & & & & \sim\downarrow \\
X_1^1 & \rightarrowtail & X_2^1 & \rightarrowtail & \cdots & \rightarrowtail & X_n^1 \\
\sim\downarrow & & \sim\downarrow & & & & \sim\downarrow \\
\vdots & & \vdots & & & & \vdots \\
\sim\downarrow & & \sim\downarrow & & & & \sim\downarrow \\
X_1^m & \rightarrowtail & X_2^m & \rightarrowtail & \cdots & \rightarrowtail & X_n^m
\end{array}
$$

.

This is naturally isomorphic to an (m,n)-bisimplex in $N_\bullet wS_\bullet\mathscr{C}$, which is thus isomorphic to the bisimplicial set $s_\bullet\mathscr{C}^w_{(-)}$. One can show that the source s and quotient q functors $S_2\mathscr{C} \to \mathscr{C}$ induce a homotopy equivalence $s \times q : s_\bullet S_2(\mathscr{C}^w_m) \to s_\bullet\mathscr{C}^w_m \times s_\bullet\mathscr{C}^w_m$ for each m. Thus, we get a homotopy equivalence

$$s_\bullet S_2(\mathscr{C}^w_{(-)}) \simeq s_\bullet\mathscr{C}^w_{(-)} \times s_\bullet\mathscr{C}^w_{(-)}$$

between bisimplicial sets. But we already have that $s_\bullet\mathscr{C}^w_{(-)} \cong N_\bullet wS_\bullet\mathscr{C}$, thereby completing our proof. $\qquad\square$

Recall that $|wS_\bullet\mathscr{C}|$ is an H-space via the map

$$\coprod : |wS_\bullet\mathscr{C}| \times |wS_\bullet\mathscr{C}| \cong |wS_\bullet\mathscr{C} \times wS_\bullet\mathscr{C}| \to |wS_\bullet\mathscr{C}|. \qquad (\star)$$

This produces an H-space structure $(K(\mathscr{C}), +)$.

Theorem 1.2 (Additivity). *Let $F' \rightarrowtail F \twoheadrightarrow F''$ be a short exact sequence of exact functors $\mathscr{B} \to \mathscr{C}$. Then $F_* \simeq F'_* + F''_*$ as maps $K(\mathscr{B}) \to K(\mathscr{C})$. Hence*

$$F_* = F'_* + F''_*$$

as maps $K_i(\mathscr{B}) \to K_i(\mathscr{C})$.

Proof. As $S_2\mathscr{C}$ is universal, it suffices to prove that $t_* \simeq s_* + q_*$. Notice that the two composites

$$\mathscr{C} \times \mathscr{C} \xrightarrow{\coprod} S_2\mathscr{C} \underset{s\coprod q}{\overset{t}{\rightrightarrows}} \mathscr{C}$$

are the same. Theorem 1.1 implies that $K(\coprod) : K(\mathscr{C}) \times K(\mathscr{C}) \to K(S_2\mathscr{C})$ is a homotopy equivalence. Since the H-space structure on $K(\mathscr{C})$ is induced by $\coprod$, we get $t_* \simeq s_* + q_*$. $\qquad\square$

Definition 1.3. We say that a sequence

$$* \to A_n \to \cdots \to A_0 \to *$$

is *admissibly exact* if each morphism in the sequences can be written as a cofiber sequence

$$A_{i+1} \twoheadrightarrow B_i \rightarrowtail A_i.$$

Corollary 1.4. *Suppose that*

$$* \to F^0 \to F^1 \to \cdots \to F^n \to *$$

is an admissibly exact sequence of exact functors $\mathscr{B} \to \mathscr{C}$. Then

$$\sum_i (-1)^i F^i_* = 0$$
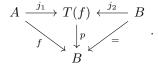
as maps $K_i(\mathscr{B}) \to K_i(\mathscr{C})$.

Corollary 1.5. *Let $F' \rightarrowtail F \twoheadrightarrow F''$ be a short exact sequence of exact functors $\mathscr{B} \to \mathscr{C}$. Then*

$$F''_* \simeq F_* - F_* \simeq 0.$$

This implies that the homotopy fiber of $F''_* : K(\mathscr{B}) \to K(\mathscr{C})$ is homotopy equivalent to $K(\mathscr{B}) \vee \Omega K(\mathscr{C})$.

Let $\mathscr{C}$ be a Waldhausen category. Recall the arrow category $\mathrm{Ar}(\mathscr{C})$ of $\mathscr{C}$ consisting of morphisms in $\mathscr{C}$ as objects and commutative squares as morphisms. Let s and t denote the source and target functors $\mathrm{Ar}(\mathscr{C}) \to \mathscr{C}$, respectively.

Definition 1.6. A functor $T : \mathrm{Ar}(\mathscr{C}) \to \mathscr{C}$ is a *(mapping) cylinder functor* on $\mathscr{C}$ if it comes equipped with natrual transformations $j_1 : s \Rightarrow T$, $j_2 : t \Rightarrow T$, and $p : T \Rightarrow t$ such that for any $f : A \to B$, we have a commutative diagram

$$A \xrightarrow{j_1} T(f) \xleftarrow{j_2} B$$
$$\begin{array}{ccc} & \searrow^{f} & \downarrow^{p} & \swarrow_{=} \\ & & B & \end{array} .$$

Moreover, T must satisfy the following axioms.

(1) T sends every initial morphism $* \to A$ to A for any $A \in \mathrm{ob}\,\mathscr{C}$.

(2) $j_1 \coprod j_2 : A \coprod B \rightarrowtail T(f)$ is a cofibration for any $f : A \to B$.

(3) Given a morphism $(a, b) : f \to f'$ in $\mathrm{Ar}(\mathscr{C})$, if both a and b are weak equivalences in $\mathscr{C}$, then so is $T(f) \to T(f')$.

(4) Given a morphism $(a, b) : f \to f'$ in $\mathrm{Ar}(\mathscr{C})$, if both a and b are cofibrations in $\mathscr{C}$, then so is $T(f) \to T(f')$. Also, the map $A' \coprod_A T(f) \coprod_B B' \to T(f')$ induced by axiom (2) is a cofibration in $\mathscr{C}$.

(5) (Cylinder axiom) The map $p : T(f) \to B$ is a weak equivalence in $\mathscr{C}$.

Terminology. Let T be a cylinder functor on $\mathscr{C}$.

1. We call $T(A \to *)$ the *cone* of A, denoted by $\mathrm{cone}(A)$.

2. We call $\mathrm{cone}(A)/_A$ the *suspension* of A, denoted by ΣA.

Corollary 1.7. *The induced suspension map $\Sigma : K(\mathscr{C}) \to K(\mathscr{C})$ is a homotopy inverse for the H-space structure $(\star)$.*

Proof. Note that axiom (3) gives us a cofiber sequence $A \rightarrowtail \mathrm{cone}(A) \twoheadrightarrow \Sigma A$. Therefore, $1 \rightarrowtail \mathrm{cone} \twoheadrightarrow \Sigma$ is an exact sequence of functors. By the cylinder axiom, we know that cone is null-homotopic. It follows by Theorem 1.2 that $\Sigma_* + 1 = \mathrm{cone}_* = *$. $\qquad\qquad\square$

3

2 Localization

Let $\mathscr{C}$ be a category with cofibrations. Equip it with two Waldhausen subcategories $v(\mathscr{C})$ and $w(\mathscr{C})$ of weak equivalences such that $v(\mathscr{C}) \subset w(\mathscr{C})$. Assume that $(\mathscr{C}, w)$ admits a cylinder functor. Suppose that $w(\mathscr{C})$ is saturated and closed under extensions.

Let $\mathscr{C}^w$ denote the Waldhausen subcategory of $(\mathscr{C}, v)$ consisting of all A such that $* \to A$ belongs to $w(\mathscr{C})$.

Theorem 2.1 (Waldhausen localization). *The sequence*

$$K(\mathscr{C}^w) \to K(\mathscr{C}, v) \to K(\mathscr{C}, w)$$

is a homotopy fibration sequence.

Proof sketch. Recall that a small bicategory is a bisimplicial set such that each row/column is the nerve of a category. Note that $v_{(-)}w_{(-)}\mathscr{C}$ is a bicategory whose bimorphisms are commutative squares of the form

$$\begin{array}{ccc} (-) & \xrightarrow{w'} & (-) \\ {\scriptstyle v}\downarrow & & \downarrow{\scriptstyle v'} \\ (-) & \xrightarrow{w} & (-) \end{array} \qquad (\star)$$

Treating $w\mathscr{C}$ as a bicategory with a single vertical morphism reveals that

$$w\mathscr{C} \simeq v_{(-)}w_{(-)}\mathscr{C}.$$

This yields $wS_n\mathscr{C} \simeq v_{(-)}w_{(-)}S_n\mathscr{C}$ for each n.

Now, let $v_{(-)}\operatorname{co}w_{(-)}\mathscr{C}$ denote the subcategory of all squares like $(\star)$ where the horizontal maps are also cofibrations. One can show that the inclusion $v_{(-)}\operatorname{co}w_{(-)}\mathscr{C} \subset v_{(-)}w_{(-)}\mathscr{C}$ is a homotopy equivalence. Since each $S_n\mathscr{C}$ inherits a cylinder functor from $\mathscr{C}$, we obtain a simplicial bi-subcategory $v_{(-)}\operatorname{co}w_{(-)}S_\bullet\mathscr{C}$ such that the inclusion into $v_{(-)}w_{(-)}S_\bullet\mathscr{C}$ is a homotopy equivalence. This yields a commutative diagram

$$\begin{array}{ccccc} vS_\bullet C^w & \longrightarrow & vS_\bullet C & \longrightarrow & v_{(-)}\operatorname{co}w_{(-)}S_\bullet C \\ & & \downarrow & & \downarrow{\scriptstyle \simeq} \\ & & wS_\bullet C & \xrightarrow{\;\simeq\;} & v_{(-)}w_{(-)}S_\bullet C \end{array}$$

It therefore suffices to show that the top row is a fibration. One can do this by using the relative K-theory space construction. See IV.8.5.3 and V.2.1 (Weibel). $\qquad\square$

Now, let $\mathscr{A}$ be an exact category embedded in an abelian category $\mathscr{B}$ and let $\mathbf{Ch}^{\mathrm{b}}(\mathscr{A})$ denote the category of bounded chain complexes in $\mathscr{A}$. One can verify that $\mathbf{Ch}^{\mathrm{b}}(\mathscr{A})$ is Waldhausen where the cofibrations $A_\bullet \rightarrowtail B_\bullet$ are precisely the degree-wise admissible monomorphisms (i.e., those admitting a short exact sequence $A_n \to B_n \to {B_n}/{A_n}$ in $\mathscr{A}$ for each n) and the weak equivalences are precisely the chain maps which are quasi-isomorphisms of complexes in $\mathbf{Ch}(\mathscr{B})$.

Our next result is a consequence of Theorem 2.1 and can be found in V.2.2 (Weibel).

Theorem 2.2 (Gillet-Waldhausen). *Let $\mathscr{A}$ be an exact category closed under kernels of surjections. Then the exact inclusion $\mathscr{A} \to \mathbf{Ch}^{\mathrm{b}}(\mathscr{A})$ induces a homotopy equivalence $K(\mathscr{A}) \simeq K\,\mathbf{Ch}^{\mathrm{b}}(\mathscr{A})$. Hence*

$$K_i(\mathscr{A}) = K_i\,\mathbf{Ch}^{\mathrm{b}}(\mathscr{A})$$

for every i.

Definition 2.3. Let $F : \mathscr{A} \to \mathscr{B}$ be an exact functor between *Waldhausen* categories. We say that F satisfies the *approximate lifting property* if for any map $b : F(A) \to B$ in $\mathscr{B}$, there exist a map $a : A \to A'$ in $\mathscr{A}$ and a weak equivalence $b' : F(A') \simeq B$ in $\mathscr{B}$ such that

$$
\begin{array}{ccc}
F(A') & \dashrightarrow^{\sim} & B \\
{\scriptstyle F(a)}\uparrow & \nearrow^{\,b} & \\
F(A) & &
\end{array} \quad .
$$

commutes.

This means that F has the approximate lifting property just in case we can always lift it to a weak equivalence.

Proposition 2.4. *Let $F : \mathscr{A} \to \mathscr{B}$ be an exact functor between Waldhausen categories with the following properties.*

1. *F satisfies the approximate lifting property.*

2. *$\mathscr{A}$ admits a cylinder functor.*

3. *A morphism f in $\mathscr{A}$ is a weak equivalence iff $F(f)$ is a weak equivalence in $\mathscr{B}$.*

Then $wF : w\mathscr{A} \to w\mathscr{B}$ is a homotopy equivalence.

Corollary 2.5 (Waldhausen approximation). *With the same hypotheses as in Proposition 2.4, we have*

$$K(\mathscr{A}) \simeq K(\mathscr{B}).$$

Proof sketch. One can show that each functor $S_n\mathscr{A} \to S_n\mathscr{B}$ is exact and also has the approximate lifting property. Proposition 2.4 thus gives degree-wise homotopy equivalence between the bisimplicial map $wS_{\bullet}\mathscr{A} \to wS_{\bullet}\mathscr{B}$, which is enough. $\qquad\square$

Definition 2.6. Let $\mathscr{A}$ be an abelian category $\mathbf{Ch}(\mathscr{A})$ denote the category of chain complexes over $\mathscr{A}$. We say that a complex $C_{\bullet}$ is *homologically bounded* if only finitely many $H_i(C_j)$ are nonzero.

Notation. Let $\mathbf{Ch}^{\mathrm{hb}}_{\pm}$ denote the subcategory of bounded below (respectively, bounded above) complexes.

Example 2.7. Let $\mathscr{A}$ be an abelian category. One can show that the inclusions $\mathbf{Ch}^{\mathrm{b}}(\mathscr{A}) \subset \mathbf{Ch}^{\mathrm{hb}}_{-}(\mathscr{A})$ and $\mathbf{Ch}^{\mathrm{hb}}_{+}(\mathscr{A}) \subset \mathbf{Ch}^{\mathrm{hb}}(\mathscr{A})$ have the approximate lifting property. Also, the inclusions $\mathbf{Ch}^{\mathrm{b}}(\mathscr{A}) \subset \mathbf{Ch}^{\mathrm{hb}}_{+}(\mathscr{A})$ and $\mathbf{Ch}^{\mathrm{hb}}_{+}(\mathscr{A}) \subset \mathbf{Ch}^{\mathrm{hb}}(\mathscr{A})$ satisfy the dual of the approximate lifting property. Thus, we can apply Corollary 2.5 along with Theorem 2.2 to find that

$$K(\mathscr{A}) \simeq K\,\mathbf{Ch}^{\mathrm{b}}(\mathscr{A}) \simeq K\,\mathbf{Ch}^{\mathrm{hb}}_{-} \simeq K\,\mathbf{Ch}^{\mathrm{hb}}_{+}(\mathscr{A}) \simeq K\,\mathbf{Ch}^{\mathrm{hb}}(\mathscr{A}).$$

3 K-theory spectrum

Definition 3.1. A *symmetric spectrum* $\mathbf{X}$ in topological spaces in a sequence of based Σ_n-spaces (X_n) endowed with structure maps $\sigma : X_n \wedge S^1 \to X_{n+1}$ such that $\sigma^k : X_n \wedge S^k \to X_{n+k}$ is $(\Sigma_n \times \Sigma_k)$-equivariant for any $n, k \geq 0$, where $S^k \equiv \underbrace{S^1 \wedge \cdots \wedge S^1}_{k \text{ times}}$.

A map $\mathbf{f} : \mathbf{X} \to \mathbf{Y}$ of symmetric spectra is a sequence $(f_n : X_n \to Y_n)$ of based Σ_n-equivariant maps such that for each $n \geq 0$, the square

$$
\begin{array}{ccc}
X_n \wedge S^1 & \xrightarrow{f_n \wedge \mathrm{Id}} & Y_n \wedge S^1 \\
\sigma \downarrow & & \downarrow \sigma \\
X_{n+1} & \xrightarrow{f_{n+1}} & Y_{n+1}
\end{array}
$$

commutes. Let Sp^Σ denote the category of symmetric spectra in topological spaces.

Definition 3.2. Let $(\mathscr{C}, w\mathscr{C})$ be a Waldhausen category. The *external n-fold $S_\bullet$-construction* on $\mathscr{C}$ is the n-multisimplicial Waldhausen category

$$
(S_\bullet \cdots S_\bullet \mathscr{C}, wS_\bullet \cdots S_\bullet \mathscr{C}) .
$$

In multidegree $(q_1, \ldots, q_n)$, it has as objects the diagrams $X : \mathrm{Ar}[q_1] \times \cdots \times \mathrm{Ar}[q_n] \to \mathscr{C}$ such that

(i) $X((i_1, j_1), \ldots, (i_n, j_n)) = *$ when $i_k = j_k$ for some $1 \leq k \leq n$ and

(ii) $X(\ldots, (i_t, j_t), \ldots) \rightarrowtail X(\ldots, (i_t, k_t), \ldots) \twoheadrightarrow X(\ldots, (j_t, k_t), \ldots)$ is a cofiber sequence in the $(n{-}1)$-fold iterated $S_\bullet$-construction for any $i_t \leq j_t \leq k_t$ in $[q_t]$.

Definition 3.3. Let $(\mathscr{C}, w\mathscr{C})$ be a Waldhausen category. The *internal n-fold $S_\bullet$-construction* on $\mathscr{C}$ is the simplicial Waldhausen category

$$
\left(S_\bullet^{(n)} \mathscr{C}, wS_\bullet^{(n)} \mathscr{C} \right) .
$$

It has as q-simplices the functor categories $(S_q \cdots S_q \mathscr{C}, wS_q \cdots S_q \mathscr{C})$ whose objects are precisely the $(\mathrm{Ar}[q])^n$-shaped diagrams $X : (\mathrm{Ar}[q])^n \to \mathscr{C}$ such that

(i) $X((i_1, j_1), \ldots, (i_n, j_n)) = *$ when $i_k = j_k$ for some $1 \leq k \leq n$.

(ii) $X(\ldots, (i_t, j_t), \ldots) \rightarrowtail X(\ldots, (i_t, k_t), \ldots) \twoheadrightarrow X(\ldots, (j_t, k_t), \ldots)$ is a cofiber sequence in the $(n{-}1)$-fold iterated $S_\bullet$-construction for any $i_t \leq j_t \leq k_t$ in $[q]$.

Note that Σ_n acts on $S_\bullet^{(n)} \mathscr{C}$ by the relation $(\pi \cdot X)(\ldots, (i_t, j_t), \ldots) = X(\ldots, (i_{\pi^{-1}(t)}, j_{\pi^{-1}(t)}), \ldots)$.

The *(symmetric) algebraic K-theory spectrum* $\mathbf{K}(\mathscr{C}, w)$ of a small Waldhausen category $(\mathscr{C}, w\mathscr{C})$ has n-th space

$$
K(\mathscr{C}, w)_n \equiv \left| wS_\bullet^{(n)} \mathscr{C} \right|
$$

based at $*$. There is a Σ_n-action on $K(\mathscr{C}, w)_n$ induced by permuting the order of the internal $S_\bullet$-constructions. Moreover, we have that

$$\left| wS_\bullet^{(n)} \mathscr{C} \right| \wedge S^1 \cong \left| wS_\bullet^{(n)} S_\bullet \mathscr{C} \right|^{(1)} \subset \left| wS_\bullet^{(n)} S_\bullet \mathscr{C} \right| \cong \left| wS_\bullet^{(n+1)} \mathscr{C} \right|$$

where $^{(1)}$ denotes the 1-skeleton with respect to the last simplicial direction. This determines the structure map σ.

Note 3.4. σ^k is $(\Sigma_n \times \Sigma_k)$-invariant.

Theorem 3.5. *For any $i \geq 0$, we have that $K_i(\mathscr{C}, w) = \pi_{i+1} K(\mathscr{C}, w)_1 \cong \pi_i \mathbf{K}(\mathscr{C}, w)$.*[1]

This enables us to encode our algebraic K-theory in an infinite loop space.

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[1] Lemma 8.7.4 (Rognes).