

# Abstract

These notes are based on Ron Donagi's lectures for the course "Complex Algebraic Geometry" at UPenn along with Daniel Huybrechts's *Complex Geometry*. Any mistake in what follows is my own.

## Contents

<b>1</b>	<b>A cursory overview of algebraic geometry</b>	<b>2</b>
1.1	Lectures 1-4 . . . . .	2
<b>2</b>	<b>Complex analysis</b>	<b>2</b>
2.1	Lecture 5 . . . . .	2
<b>3</b>	<b>Line bundles</b>	<b>4</b>
3.1	Lecture 6 . . . . .	4
3.2	Lecture 7 . . . . .	6
3.3	Lecture 8 . . . . .	7
3.4	Lecture 9 . . . . .	10
3.5	Lecture 10 . . . . .	12
<b>4</b>	<b>Kähler manifolds</b>	<b>14</b>
4.1	Lecture 11 . . . . .	14
4.2	Lecture 12 . . . . .	18
<b>5</b>	<b>Lie algebras</b>	<b>20</b>
5.1	Lecture 13 . . . . .	21

# 1 A cursory overview of algebraic geometry

## 1.1 Lectures 1-4

These lectures consisted of informal surveys of certain fundamental concepts of algebraic geometry. They were meant as previews of various topics that we will cover rigorously.

## 2 Complex analysis

### 2.1 Lecture 5

First, let's review some basic concepts about functions of a single complex variable.

**Definition 2.1.1.** Let  $z_0 \in \mathbb{C}$ . A function  $f = u + iv : U \subset \mathbb{C} \rightarrow \mathbb{C}$  is *holomorphic* or *analytic* if at least one of the following equivalent conditions holds.

- Both  $u$  and  $v$  are  $C^1$ , and  $f$  satisfies the Cauchy-Riemann equations, i.e.,

$$\begin{aligned}u_x &= v_y \\ u_y &= -v_x.\end{aligned}$$

- $\frac{\partial f}{\partial \bar{z}} = 0$ , where  $\frac{\partial}{\partial \bar{z}} := \frac{1}{2} \left( \frac{\partial}{\partial x} + i \frac{\partial}{\partial y} \right)$ .

- The Cauchy integral formula holds, i.e.,

$$f(w) = \frac{1}{2\pi i} \int_{\gamma} \frac{f(\eta)}{\eta - w} d\eta$$

for any closed circular path  $\gamma$  centered at  $w$  in  $U$ .

- $f$  has a power series representation on  $U$ .

**Definition 2.1.2.** A bijective function  $f : U \subset \mathbb{C} \rightarrow V \subset \mathbb{C}$  is *biholomorphic* if it is holomorphic and its inverse is holomorphic. In this case, we say that  $U$  is *biholomorphic to*  $V$ , written as  $U \approx V$ .

**Fact 2.1.3.**

- (a) (*The maximum modulus principle*) If  $U \subset \mathbb{C}$  is a domain,  $f : U \rightarrow \mathbb{C}$  is holomorphic, and  $|f|$  has a local maximum, then  $f$  is constant.
- (b) (*Liouville's theorem*) Any bounded entire function is constant.
- (c) (*The Riemann extension theorem*) If  $\epsilon > 0$  and  $f : B_{\epsilon}(z) \setminus \{z\} \subset \mathbb{C} \rightarrow \mathbb{C}$  is bounded and holomorphic, then  $f$  can be extended to a holomorphic function on  $B_{\epsilon}(z)$ .
- (d) (*The Riemann mapping theorem*) If  $U \subsetneq \mathbb{C}$  is simply connected and open, then  $U \approx B_1(0)$ .
- (e) (*The residue theorem*) If  $f : B_{\epsilon}(0) \setminus \{0\}$  is holomorphic, then  $f$  can be expanded in a Laurent series  $\sum_{n=-\infty}^{\infty} a_n z^n$  such that  $a_{-1} = \frac{1}{2\pi i} \oint f(z) dz$ .

Next, let's look at some basic concepts about functions of several complex variables.

**Definition 2.1.4.** A function  $f = u + iv : U \subset \mathbb{C}^n \rightarrow \mathbb{C}$  is *holomorphic* if at least one of the following equivalent conditions holds.

- $f$  is holomorphic in each variable individually.

- Both  $u$  and  $v$  are  $C^1$ , and  $f$  satisfies the Cauchy-Riemann equations,

$$\begin{aligned} u_{x_i} &= v_{y_i} \\ u_{y_i} &= -v_{x_i} \end{aligned}$$

for each  $i = 1, \dots, n$ .

- $\sum_{i=1}^n \frac{\partial f}{\partial \bar{z}_i} = 0$ .
- $f$  has a power series representation on  $U$ ,

$$\sum_{k_1=0}^{\infty} \cdots \sum_{k_n=0}^{\infty} a_{k_1, \dots, k_n} z_1^{k_1} \cdots z_n^{k_n}.$$

**Note 2.1.5.** Statements (a), (b), and (c) from Fact 2.1.3 generalize to functions of several variables, as does the Cauchy integral formula:

$$f(z) = \frac{1}{(2\pi i)^n} \int_{|\eta_i - z_i| = \epsilon_i} \frac{f(\eta_1, \dots, \eta_n)}{(\eta_1 - z_1) \cdots (\eta_n - z_n)} d\eta_1 \cdots d\eta_n$$

where  $\eta_i > 0$  for each  $i = 1, \dots, n$ .

**Theorem 2.1.6 (Hartog).** *If  $n > 1$ , then any holomorphic function  $f : B_\epsilon(0) \setminus \{0\} \subset \mathbb{C}^n \rightarrow \mathbb{C}$  extends to a holomorphic function on  $B_\epsilon(0)$ .*

**Definition 2.1.7.** Let  $X$  be a (topological) space. A sheaf  $F$  on  $X$  is a presheaf on  $X$  such that for any open  $U \subset X$  and any open cover  $\{U_i\}_{i \in J}$  of  $U$ , there is an exact sequence

$$0 \longrightarrow F(U) \longrightarrow F(U_i) \longrightarrow F(U_{ij})$$

where  $U_{ij} := U_i \cap U_j$ .

**Definition 2.1.8.** A *ringed space* is a pair  $(X, \mathcal{J})$  where  $X$  is a space and  $\mathcal{J}$  is a sheaf of rings on  $X$ .

*Remark 2.1.9.* Given any standard object  $(X, \mathcal{J})$ , we can define a *geometric object* as a ringed space locally isomorphic to  $(X, \mathcal{J})$ .

**Definition 2.1.10 (Vector bundle).** Let  $X$  and  $V$  be complex manifolds. Let  $\pi : V \rightarrow X$  be holomorphic. We say that  $\pi$  is a (*holomorphic*) *vector bundle of rank  $n$*  if for any  $x \in X$ , there exist an open set  $U \ni x$  in  $X$  and an isomorphism  $\pi^{-1}(U) \xrightarrow{\cong} U \times \mathbb{C}^n$  such that the *transition maps*  $U_{ij} \times \mathbb{C}^n \rightarrow U_{ij} \times \mathbb{C}^n$  are holomorphic and fiber linear.

Any vector bundle  $\pi : V \rightarrow X$  induces a sheaf on  $X$  given by

$$F(U) = \Gamma(U, \pi^{-1}(U)).$$

**Example 2.1.11.**

1. The sheaf induced by the trivial bundle  $\mathbf{1} := X \times \mathbb{C}$  is denoted by  $\mathcal{O}_X$ .
2. The tangent bundle  $TX$  of a smooth manifold  $X$  induces the sheaf of vector fields on  $X$ .
3. The cotangent bundle  $T^*X$  induces the sheaf  $\Omega^1(X)$  of one-forms on  $X$ .
4. The alternating bundle  $\bigwedge^p X$  of rank  $p$  induces the sheaf  $\Omega^p(X)$  of  $p$ -forms on  $X$ .

### 3 Line bundles

#### 3.1 Lecture 6

**Definition 3.1.1.** A *line bundle* is a vector bundle of rank 1.

**Definition 3.1.2.** Let  $X$  be a complex manifold. A *sheaf  $F$  of  $\mathcal{O}_X$ -modules* is a sheaf on  $X$  such that for any open set  $U$  in  $X$ ,

- $F(U)$  is a module over  $\mathcal{O}_X(U)$  and
- if  $U \subset V \subset X$ , then  $(f \cdot a) \upharpoonright_U = f \upharpoonright_U \cdot a \upharpoonright_U$ .

**Example 3.1.3 (Sheaf of sections).** Let  $X$  be a complex manifold and  $J$  be a vector bundle over  $X$ . For any open  $U \subset X$ , let

$$\mathcal{L}_J(U) = \Gamma(U, L).$$

This inherits a vector space structure from the family of fibers of  $V$ . Also, any relation of the form  $U_1 \subset U_2 \subset U$  induces a linear map  $\Gamma(U_2, L) \rightarrow \Gamma(U_1, L)$  given by  $\sigma \mapsto \sigma \upharpoonright_{U_1}$ . Thus,  $\mathcal{L}_J(-)$  is a sheaf of vector spaces. Moreover, it is easily seen to be a sheaf of  $\mathcal{O}_X$ -modules.

Since any vector bundle is locally trivial, we see that  $\mathcal{L}_J$  is *locally free*, i.e., for any  $x \in X$ , there exist an (open) neighborhood  $U$  of  $x$  in  $X$  and an isomorphism  $\varphi : \mathcal{L}_J(U) \rightarrow \bigoplus_{i=1}^{\text{rank}(J)} \mathcal{O}_X(U)$  such that for any open set  $V \subset U$ , the square

$$\begin{array}{ccc} \mathcal{L}_J(U) & \xrightarrow{\cong} & \bigoplus_{i=1}^{\text{rank}(J)} \mathcal{O}_X(U) \\ \downarrow & & \downarrow \\ \mathcal{L}_J(V) & \xrightarrow{\cong} & \bigoplus_{i=1}^{\text{rank}(J)} \mathcal{O}_X(V) \end{array}$$

commutes. In other words,  $\mathcal{L}_J$  is locally isomorphic to  $(\mathcal{O}_X)^{\oplus \text{rank}(J)}$ .

**Definition 3.1.4.** A sheaf  $F$  on a complex manifold  $X$  is *invertible* if there exist an open cover  $\{U_i\}$  of  $X$  and a family of holomorphic isomorphisms  $\varphi_i : \mathcal{O}_{U_i} \rightarrow \mathcal{L}_J \upharpoonright_{U_i}$ .

**Example 3.1.5.** If  $J$  is a line bundle, then  $\mathcal{L}_J$  is invertible.

Consider the composition

$$\mathcal{O}_{U_i \cap U_j} \xrightarrow{\varphi_i} \mathcal{L}_J \upharpoonright_{U_i \cap U_j} \xrightarrow{\varphi_j^{-1}} \mathcal{O}_{U_i \cap U_j}, \quad 1 \mapsto g_{ij}.$$

From this, we can construct a line bundle  $L$  over  $X$  by defining the total space as

$$\coprod_i (U_i \times \mathbb{C}) / \sim$$

where  $(x, \lambda)_i \sim (y, \mu)$  if  $x = y$  and  $\mu = g_{ij}\lambda$ .

**Definition 3.1.6 (Divisor).** A *divisor on a complex manifold  $X$*  is a locally finite  $\mathbb{Z}$ -combination of irreducible holomorphic hypersurfaces of  $X$ . Equivalently, it is a subset of  $X$  locally defined by the vanishing of a holomorphic function.

**Example 3.1.7.** If  $X = \mathcal{A}^1$ , then any divisor  $D$  on  $X$  is of the form

$$D = \sum m_i p_i, \quad p_i \in \mathcal{A}^1, \quad m_i \in \mathbb{Z}.$$

*Terminology.* Each  $m_i$  is known as the *multiplicity of  $p_i$* .

Any divisor  $D$  defines a line bundle  $\mathcal{O}_X(D)$  on  $X$  and a holomorphic map  $X \dashrightarrow \mathbb{P}(V^\vee)$  where  $V \equiv \Gamma(X, \mathcal{O}_X(D))$ . It is also true that any line bundle defines a divisor. It follows that

$$(\text{line bundles}) \xrightarrow{\sim} (\text{invertible sheaves}) \xleftarrow{\sim} (\text{divisors module linear equiv.}) . \quad (\dagger)$$

Consider the case where  $D = \text{pt.}$ . Let  $f \in \Gamma(U, \mathcal{O}_U)$  and let  $U_i = X \setminus D$ , which is a tubular neighborhood of  $D$ . Note that  $U_i = f^{-1}(\mathbb{C} \setminus \text{hyperplane})$ . Define  $\mathcal{O}_X(D)$  as the line bundle with transition functions of the form  $f|_{U_i \cap U_j}$ .

Alternatively, let

$$(\mathcal{O}_X(D))(U) = \{g : U \rightarrow \mathbb{C} \mid g \text{ is meromorphic, } \overbrace{fg}^{\text{product}} \text{ is holomorphic}\}.$$

For example, let  $X = \mathbb{P}^1$  and  $D$  be a point  $p$ . Let  $(x_0, x_1)$  denote local coordinates on  $X$  near  $p$ . Let  $g$  be meromorphic in these coordinates and let  $f(x_0, x_1) = \frac{x_1}{x_0}$ . Then  $fg$  is holomorphic, i.e.,  $g$  has a pole of order at most one at  $p$ .

*Question.*

1. What is  $\Gamma(\mathbb{P}^1, \mathcal{O}_X)$ ?
2. What is  $\Gamma(\mathbb{P}^1, \mathcal{O}_X(D))$ ?

In fact, it can be shown that

$$\Gamma(\mathbb{P}^1, \mathcal{O}_X(m, p)) = \begin{cases} \mathbb{C}\langle 1, x, \dots, x^m \rangle & m \geq 0 \\ 0 & \text{otherwise} \end{cases}$$

In general,  $D$  is defined locally, and thus so is  $\mathcal{O}_U(D)$ . Specifically,  $\Gamma(U, \mathcal{O}_U(D))$  consists of all holomorphic functions  $f : U \setminus \text{supp}(D) \rightarrow \mathbb{C}$  such that if  $D = \sum m_i Y_i$  and  $Y_i \cap U = \{f_i = 0\}$ , then  $g \prod_i f_i^{m_i}$  is holomorphic in  $U$ .

**Example 3.1.8 (Veronese embedding).** Let  $X = \mathbb{P}^1$  and  $p$  be as before.

1. Let  $D = \mathcal{O}(2p)$ . Consider the space  $V := \Gamma(\mathbb{P}^1, \mathcal{O}(2p)) = \mathbb{C}\langle 1, x, x^2 \rangle$ . Define the map  $\varphi_{\mathcal{O}(2p)} : \mathbb{P}^1 \rightarrow \mathbb{P}^2$  by

$$\varphi_{\mathcal{O}(2p)}(x) = \underbrace{(1, x, x^2)}_{(x, y, z)}.$$

This is an embedding. Its image is precisely the smooth curve given by  $y^2 = xz$ .

2. Let  $D = \mathcal{O}(3p)$ . Then the image of the map  $\varphi_{\mathcal{O}(3p)} : \mathbb{P}^1 \hookrightarrow \mathbb{P}^3$  given by  $x \mapsto (1, x, x^2, x^3)$  is a so-called twisted cubic.

The line bundle  $L$  on  $X$  determines the map  $X \dashrightarrow \mathbb{P}(\Gamma(X, L)^\vee)$  directly, as follows.

$$x \mapsto \ker \left( \Gamma(X, L) \xrightarrow{\text{eval}_x} L_p \right)$$

**Definition 3.1.9.** The *base locus* of  $L$  is  $\mathcal{BL}(L) \equiv \{x \in X \mid s(x) = 0 \text{ for each } s \in \Gamma(X, L)\}$ .

Note that we get a map  $X \setminus \mathcal{BL}(L) \rightarrow \mathbb{P}(\Gamma(X, L)^\vee)$ .

Now, let's consider a slight generalization of our preceding discussion. Let  $V \subset \Gamma(X, L)$ . This induces a map

$$\begin{array}{ccc} X & \dashrightarrow & \mathbb{P}(V^\vee) \\ \uparrow & \nearrow & \\ X \setminus \mathcal{BL}(V) & & \end{array} .$$

Let  $X = \mathbb{P}^1$  and  $p = \{x = 0\}$ . Then  $V \subset \Gamma(\mathbb{P}^1, \mathcal{O}(2)) = \mathbb{C}\langle 1, x, x^2 \rangle$ , and

$$\begin{array}{ccc} \mathbb{P}^1 & \xrightarrow{\varphi_{\mathcal{O}(2)}} & \mathbb{P}^2 \\ & \searrow \varphi_V & \downarrow \rho \\ & & \mathbb{P}^1 \end{array}$$

commutes where  $\rho$  denotes the linear projection. Note that  $\varphi_V$  is a morphism so long as the center of  $\rho$  is not in the image of  $\varphi_{\mathcal{O}(2)}$ . In this case, we have that

$$\begin{aligned} \varphi_{\mathcal{O}(2)}(x) &= \frac{a + by + cx^2}{d + ex + fx^2} \\ \rho(x) &= \frac{a + bx}{c + dx}. \end{aligned}$$

### 3.2 Lecture 7

Let  $L_1$  and  $L_2$  be line bundles over  $X$  with transition functions  $\{g_1^{kl} : U_{kl} \rightarrow \mathbb{C}^*\}$  and  $\{g_2^{ij} : U_{ij} \rightarrow \mathbb{C}^*\}$ , respectively. We can take a refinement  $\{U_i \cap U_k\}$  where both  $L_1$  and  $L_2$  are trivial. Define  $L^1 \otimes L^2$  as the line bundle with transition functions  $\{g_1^{kl} g_2^{ij} : U_{ij} \cap U_{kl} \rightarrow \mathbb{C}^*\}$ . Further, define  $(L^1)^{-1}$  as the line bundle with transition functions  $\{(g_1^{kl})^{-1} : U_{kl} \rightarrow \mathbb{C}^*\}$ . Note that, locally,  $L^1 \otimes (L^1)^{-1} \cong \mathcal{O}_X$ .

**Definition 3.2.1.** We say that a divisor  $D = \sum_i m_i Y_i$  is effective if  $m_i \geq 0$  for each  $i$ .

Let  $V = \Gamma(X, \mathcal{O}_X(D))$  and let  $D$  be effective. Note that  $\mathbb{C}\langle D \rangle \subset V$ . We have that  $\text{supp}(D) = \varphi^{-1}(\text{hyperplane})$  where  $(\mathbb{C}\langle 0 \rangle)^\perp$  is precisely the hyperplane in  $\mathbb{P}(V^\vee)$ .

**Example 3.2.2.** Let  $X = \mathbb{P}^1$ .

1. Let  $x = \frac{x_1}{x_0}$  and  $D = p := \{x = 0\}$ . Then  $V = \mathbb{C}\langle 1, x \rangle$ , and the map  $\varphi_V : \mathbb{P}^1 \rightarrow \mathbb{P}(V^\vee)$  is given by  $c \mapsto y := \frac{x}{1}$ .
2. Let  $D = m(\infty)$  with  $m > 0$ . Then  $V = \mathbb{C}\langle x_1, \dots, x_m^m \rangle$ , and the map  $\varphi_{m\infty} : \mathbb{P}^1 \rightarrow \mathbb{P}^m$  is given by

$$\begin{aligned} (x_0, x_1) &\mapsto (x_0^m, x_0^{m-1}x_1, \dots, x_0x_1^{m-1}, x_1^m) \\ x &\mapsto (1, x, \dots, x^m). \end{aligned}$$

3. Let  $D = p_1 + \dots + p_m$  where  $p_i = [1 : t_i]$ . Let  $x = \frac{x_1}{x_0}$ , so that  $\infty$  is given by  $x_0 = 0$ . Then  $V = \mathbb{C}\langle 1, \underbrace{\frac{1}{x-t_1}, \dots, \frac{1}{x-t_m}}_{a_0, \frac{a_1}{x-t_1}, \dots, \frac{a_m}{x-t_m}} \rangle$ . This can be viewed as the space of all regular meromorphic functions

on open subsets of  $\mathbb{P}^1$  having poles of order at most  $m$ . The image of  $\varphi : \mathbb{P}^1 \rightarrow \mathbb{P}^m$  is precisely the hyperplane  $\{a_0 = 0\}$ .

**Example 3.2.3.** Let  $X$  be an elliptic curve, i.e., a space of the form  $\mathbb{C}/\Lambda$ . Let  $p$  be the image of 0 and let  $D = mp$ .

1. Let  $m = 1$ . Then  $V = \Gamma(X, \mathcal{O}_X(D))$ , which consists of all maps  $f : X \rightarrow \mathbb{P}^1$  such that  $f^{-1}(\infty) = \{0\}$ . These are precisely the constant maps, so that  $V \cong \mathbb{C}\langle s \rangle$  where  $s$  is a holomorphic section of  $\mathcal{O}_X(D)$  vanishing at  $p$  and is meromorphic on  $\mathcal{O}_X$ .

$$\begin{array}{ccc} X & \dashrightarrow & \mathbb{P}^0 \\ \uparrow & \nearrow & \\ X \setminus p & & \end{array}$$

It follows that  $\mathcal{BL}(\mathcal{O}_X(D)) = p$ .

2. Let  $m = 2$ . Then  $V = \mathbb{C}\langle 1, p \rangle$ , and  $\varphi_{2p} : X \rightarrow \mathbb{P}^1$  is precisely the  $D$ -th Weierstrass function. Moreover, we have an exact sequence

$$0 \longrightarrow \mathcal{O}_X(p) \longrightarrow \mathcal{O}_X(2p) \longrightarrow \mathcal{O}_p \longrightarrow \cdots$$

3. Let  $m = 3$ . Then  $V = \langle 1, p, p' \rangle$ , and the image of  $\varphi_{3p} : X \rightarrow \mathbb{P}^2$  is given by  $y^2 = x^3 + ax + b$ .

**Example 3.2.4.** Let  $X = \mathbb{P}^2$ . Let  $D = m \underbrace{(\text{line at } \infty)}_{\{z=0\}}$ .

1. Let  $m = 0$ . Then  $V = \mathbb{C}\langle 1 \rangle$ , and  $\mathcal{BL} = \emptyset$ .
2. Let  $m = 1$ . Then  $C = \mathbb{C}\langle \frac{x}{z}, \frac{y}{z}, \frac{z}{z} \rangle \cong \mathbb{C}\langle 1, X, Y \rangle$ , and  $\mathcal{BL} = \emptyset$ . The map  $\varphi_D : \mathbb{P}^2 \rightarrow \mathbb{P}^2$  is precisely the identity.
3. Let  $m = 2$ . Then  $V = \langle \frac{x^2}{z^2}, \frac{x^4}{z^2}, \frac{y^2}{z^2}, \frac{x}{z}, \frac{y}{z}, \frac{z}{z} \rangle$ , and the map  $\varphi_D : \mathbb{P}^2 \rightarrow \mathbb{P}^5$  is an embedding given by  $(x, y, z) \mapsto \langle x^2, xy, y^2, xz, yz, z^2 \rangle$ .

In general, if  $H \subset \mathbb{P}^n$  is a hyperplane, then  $\varphi_{\mathcal{O}(dH)} : \mathbb{P}^n \rightarrow \mathbb{P}^{\binom{d+n}{n}-1}$  is given by

$$(x_0, \dots, x_n) \mapsto (d\text{-th order homogenous polynomials}),$$

known as the  $d$ -th order Veronese embedding on  $\mathbb{P}^n$ .

**Example 3.2.5.** Let  $X = \mathbb{P}^2$  with coordinates  $(x, y, z)$ . Let  $H$  denote the hyperplane given by  $z = 0$  and let  $D = 2H$ . Then  $V = \{s \in \Gamma(\mathcal{O}(2H)) \mid s(0, 0, 1) = 0\}$ , and

$$\begin{array}{ccc} V & \xhookrightarrow{\quad} & \Gamma(\mathcal{O}(2H)) \\ \cong \uparrow & & \uparrow \cong \\ \mathbb{C}\langle x^2, xy, y^2, xz, yz \rangle & \hookrightarrow & \mathbb{C}\langle x^2, xy, y^2, xz, yz, z^2 \rangle \end{array}$$

commutes. Further,  $\mathcal{BL}(V) = \{0\} = [0, 0, 1]$ , and  $\varphi_V$  is a map  $\mathbb{P}^2 \setminus \{0\} \rightarrow \mathbb{P}^4$  but does not extend to  $\mathbb{P}^2$ . Indeed, we have that

$$\begin{aligned} \lim_{\substack{(0,y,1) \\ y \rightarrow 0}} \varphi_V &= \lim_{y \rightarrow 0} (0, 0, y^2, 0, y) = (0, 0, 0, 0, 1) \\ \lim_{\substack{(x,0,1) \\ x \rightarrow 0}} \varphi_V &= \lim_{x \rightarrow 0} (x^2, 0, 0, x, 0) = (0, 0, 0, 1, 0). \end{aligned}$$

Note that for any  $p \in X$ , there exist  $\tilde{X}$  and  $\pi : \tilde{X} \rightarrow X$  such that  $\pi$  restricted to  $\pi^{-1}(X \setminus p)$  is an isomorphism and  $\pi^{-1}(p)$  is a divisor on  $\tilde{X}$  that is isomorphic to  $\mathbb{P}^1$ .

**Proposition 3.2.6.** *Let  $Y \subset X$  be a submanifold of codimension  $k \geq 2$ . Let  $\varphi : X \setminus Y \rightarrow Z$ . Then there exist  $\tilde{X}$  and  $\pi : \tilde{X} \rightarrow X$  such that  $\pi$  restricted to  $\pi^{-1}(X \setminus Y)$  is an isomorphism and restricted to  $\underbrace{\pi^{-1}(Y)}_{\text{divisor on } X}$*

*is a bundle with each fiber isomorphic to  $\mathbb{P}^{k-1}$ .*

*Notation.* In this case, the space  $\tilde{X}$  is denoted by  $\text{Bly}(X)$ .

### 3.3 Lecture 8

Recall our correspondence (†). We can add to it the class of all maps

$$X \setminus \mathcal{BL}(L) \rightarrow \mathbb{P}(\Gamma(X, L)^\vee).$$

Let's turn now to some higher-dimensional examples.

**Example 3.3.1.** Let  $X = \mathbb{P}^2$ ,  $L = \mathcal{O}(2)$ , and  $V = \{s \in \Gamma(X, \mathcal{O}(2)) \mid \text{linearity condition}\}$ . Then  $\varphi_V : \mathbb{P}^2 \hookrightarrow \mathbb{P}^5$ . Consider any homogenous polynomial  $\sum a_{ijk}x^i y^j z^k$ . Then our linearity condition may take any of the following forms.

- $\sum a_{ijk}x^i y^j z^k = 0$  where  $a_{ijk}$  ranges over

$$\{a_{000}, a_{120}, a_{020}, a_{101}, a_{011}, a_{002}\}.$$

- $a_{002} = 0$
- $a_{002} + a_{001} = 0$ .

In the case of either of these last two, we get a map

$$\mathbb{P}^2 \xrightarrow{\varphi_V} \mathbb{P}^5 \xrightarrow{\psi} \mathbb{P}^4$$

for any  $p \in \mathbb{P}^5$ . There are two scenarios to consider.

- Suppose that  $p \notin \text{im } \varphi_V$ . Then  $\psi \circ \varphi_V$  is a morphism.
- Suppose that  $p = \varphi_V(001)$ . Then  $\psi$  blows up at  $p$ . Consider the map  $\varphi_V : \mathbb{P}^2 \setminus p \hookrightarrow \mathbb{P}^4$  given by  $(x, y, z) \mapsto \underbrace{(x^2, xy, y^2, xz, y^2)}_{(x, y, z, u, v)}$ . The image of this map is precisely  $\text{im } \varphi_V \amalg \underbrace{\mathbb{P}^1}_{\{x=y=z=0\}} \subset \mathbb{P}^4$ .

*Terminology.* In this setting,  $\mathbb{P}^1$  is called an *exceptional divisor*.

Note that the equations

$$\begin{aligned} xz &= y^2 \\ zu &= yv \\ xv &= yu \end{aligned}$$

together generate the relevant ideal.

*Remark 3.3.2.* If we took  $L$  to be  $\mathcal{O}(n)$  with  $n \neq 2$ , then our generators would still be quadratic.

Now, fix  $a$  and  $b$  and let  $x = \epsilon a$ ,  $y = \epsilon b$ , and  $z = 1$  where  $\epsilon \rightarrow 0$ . Then

$$\begin{aligned} \varphi_V(x, y, z) &= (\epsilon^2 a^2, \epsilon^2 ab, \epsilon^2 b^2, \epsilon a, \epsilon b) \\ &\sim (\epsilon a^2, \epsilon ab, \epsilon b^2, a, b) \\ &\rightarrow (0, 0, 0, a, b). \end{aligned}$$

*Question.* Is  $\text{im } \varphi_V$  a manifold at  $00010 = \varphi_V(1, b, a)$ ?

We have that

$$\begin{aligned} zu - yv &\rightarrow \frac{z}{u} = \frac{y}{u} \frac{v}{u} \\ xv = yu &\rightarrow \frac{x}{u} \frac{v}{u} = \frac{y}{u}. \end{aligned}$$

More generally, let  $X$  be a complex  $n$ -manifold and let  $p \in X$ . Then  $\text{Bl}_p X = (X \setminus p) \amalg \underbrace{\mathbb{P}^{n-1}}_{\mathbb{P}(T_p X)}$ . There are at least two ways of extending the map

$$X \setminus p \xrightarrow{\varphi_V} \mathbb{P}^n \xrightarrow{\psi} \mathbb{P}^{n-1}$$

so that its image is a manifold at every point.



- (a) Provided that  $\psi \circ \varphi_V$  is an embedding, then we can take  $\text{Bl}_p(X)$  to be the closure of  $X \setminus p$  in  $\mathbb{P}^{n-1}$ .
- (b) Let  $U$  is any polydisk containing the origin. We can replace  $(X \setminus p) \cup U$  with  $(X \setminus p) \cup \tilde{U}$  where  $\tilde{U}$  denotes the blow-up of  $U$  at 0.

More generally still, let  $Y^m \subset X^n$  be a closed submanifold. Then  $\tilde{X} := \text{Bl}_Y(X) = (X \setminus Y) \amalg \underbrace{\mathbb{P}(N_Y X)}_{\text{normal bundle}}.$

$$\begin{array}{ccc} \mathbb{P}^{n-m-1} & \longrightarrow & \mathbb{P}(N_Y X) \\ & & \downarrow \\ & & Y \end{array}$$

We wish to find a line bundle  $L$  over  $Y$  and a subspace  $V \subset \Gamma(X, L)$  such that  $\mathcal{BL}_V = Y$ . In this case, the closure of the image of  $\varphi_V : X \setminus Y \rightarrow \mathbb{P}(V^\vee)$  determines  $(X \setminus Y) \cup \tilde{U}$  on  $U$  where  $U$  denotes any tubular neighborhood of  $Y$  in  $X$ .

Alternatively, if we are given an embedding

$$\begin{array}{ccc} Y & \hookrightarrow & X \\ \downarrow & & \downarrow \\ \mathbb{P}^{n-c} & \hookrightarrow & \mathbb{P}^n \end{array}$$

where  $c$  denotes the codimension of  $Y$ , then we can take  $\text{Bl}_Y(X)$  to be the closure of  $\text{Bl}_{\mathbb{P}^{n-c}}(\mathbb{P}^n \cap (X \setminus Y))$ .

**Example 3.3.3.** Consider  $\mathbb{P}^3$  with coordinates  $(x, y, z, w)$ . We wish to resolve the cone  $\{x^2 = y^2\} \subset \mathbb{P}^3$ . Let  $p = \{x = z = 0\}$ . We have a commutative diagram

$$\begin{array}{ccccccc} & & Y & \hookrightarrow & \text{cone} & & \\ & & \downarrow & & \downarrow & & \\ \{x = z = 0\} & \longrightarrow & \mathbb{P}^0 & \longrightarrow & \mathbb{P}^3 & \longrightarrow & \mathbb{P}^{\binom{5}{2}-1} \\ & \nearrow & & & \uparrow & & \downarrow \\ & X & & \text{Bl}_{\mathbb{P}^0}(\mathbb{P}^3) & \longrightarrow & \mathbb{P}^8 & \\ & & & \downarrow & & & \\ & & & X \setminus p & & & \end{array}.$$

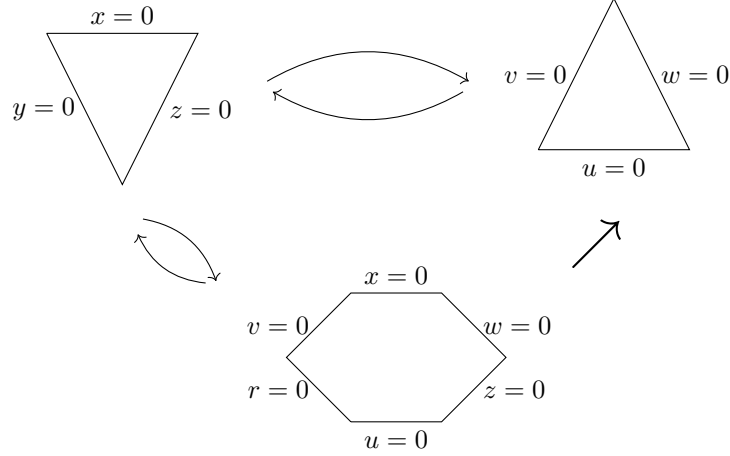
Then the exceptional divisor in  $\text{Bl}_p(\mathbb{P}^2)$  is isomorphic to  $\mathbb{P}^2 \cong \mathbb{P}(T_p \mathbb{P}^2)$ , and the exceptional divisor in  $\text{Bl}_p(X)$  is isomorphic to the cone.

**Example 3.3.4.** Consider the quadratic map  $\varphi : \mathbb{P}^2 \rightarrow \mathbb{P}^2$  given by  $(x, y, z) \mapsto \left(\frac{1}{x}, \frac{1}{y}, \frac{1}{z}\right) = \underbrace{(yz, xz, xy)}_{(u, v, w)}$ . Let

$$V = \{s \in \Gamma(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(2)) \mid s(001) = 0, s(010) = 0, s(100) = 0\},$$

which is isomorphic to  $\Gamma(\underbrace{\mathcal{I}_{3 \text{ points}}}_{\text{ideal sheaf on 3 points}} \otimes \mathcal{O}(2))$ . The fact that  $\varphi^{-1} = \varphi$  yields the following properties.

- The line  $z = 0$  collapses to the point  $u = v = 0$ .
- The line  $y = 0$  collapses to the point  $u = v = 0$ .
- The point  $y = z = 0$  blows up to the line  $u = 0$ .



This hexagon is called the *del Pazzo surface of degree three*, denoted by  $dP_3$ . Each of its lines is isomorphic to  $\mathbb{P}^1$ .

**Note 3.3.5.** Suppose that  $C$  is a smooth curve and that  $\dim X < 2$ . Then  $\varphi : C \setminus \text{pt} \rightarrow X$  automatically extends. But if  $C$  were singular or  $\dim X \geq 2$ , then this would be false.

### 3.4 Lecture 9

**Definition 3.4.1 (Picard group).** Let  $X$  be a complex manifold. The *Picard group*  $\text{Pic}(X)$  of  $X$  is the group of all isomorphism classes of line bundles over  $X$  under  $\otimes$ .

Let  $n \in \mathbb{N}$  and consider the family of line bundles  $\{\mathcal{O}(k) \mid k \in \mathbb{Z}\}$  over  $\mathbb{P}^n$ .

**Proposition 3.4.2.**  $\text{Pic}(\mathbb{P}^n) \cong \mathbb{Z}$  with generator  $\mathcal{O}(1)$ .

Let  $\mathbb{P}^n = \mathbb{P}(V)$ . We have an exact sequence

$$0 \longrightarrow L \longrightarrow \mathcal{O}_{\mathbb{P}^n} \otimes_{\mathbb{C}} V \longrightarrow \cdots$$

We have that

1.  $\Gamma(\mathbb{P}^n, \mathcal{O}(1)) = \mathbb{C}\langle z_0, \dots, z_n \rangle = V^\vee$ ,
2.  $\Gamma(\mathbb{P}^n, \mathcal{O}(-1)) = 0$ , and
3.  $\Gamma(\mathbb{P}^n, \mathcal{O}(k)) = \begin{cases} \text{Sym}^k(V^\vee) & k \geq 0 \\ 0 & k < 0 \end{cases}$ .

Let  $U_i = \{z \in \mathbb{P}^n \mid z_i \neq 0\}$  for each  $i \in \{0, 1, \dots, n\}$ , so that  $\mathbb{P}^n = \bigcup_{i=0}^n U_i$ . Let  $Z_{ij} = \frac{z_j}{z_i}$ , thereby endowing each  $U_i$  with local coordinates. Let  $s$  be a section of  $\mathcal{O}$ , so that

$$s = (s_i \in \Gamma(U_i, \mathcal{O}))_{i=0}^n.$$

Note that  $Z_i$  defines a section on  $U_j$  with  $s_j = \frac{z_i}{z_j} = Z_{ji}$  for each  $j = 0, \dots, n$ .

$$\begin{array}{ccc} s_j & = & Z_{jk} \cdot s_k \\ \parallel & & \parallel \\ \frac{z_i}{z_j} & = & Z_{jk} \cdot \frac{z_i}{z_k} \end{array}$$

We can establish the following properties.

1. If  $\mathcal{O} = \mathcal{O}(1)$ , then  $s_i = Z_{ij}s_j$ .
2. If  $\mathcal{O} = \mathcal{O}(-1)$ , then  $s_i = Z_{ji}s_j$ .
3. If  $\mathcal{O} = \mathcal{O}(k)$ , then  $s_i = (Z_{ij})^k s_j$ .

In summary,

	$\mathcal{O}$ (trivial)	$\mathcal{O}(-1)$	$\mathcal{O}(1)$	$\mathcal{O}(k)$
LB	$\mathbb{P}^n \times \mathbb{C}$	tautological	dual	
Sheaf	1	$Z_{ji}$	$Z_{ij}$	$(Z_{ij})^k$
Divisor	0	$-H$ h.p.	$+H$	$kH$
Map	pt	undefined	id	$\begin{cases} \text{Veronese} & k > 0 \\ \text{undefined} & k < 0 \\ \text{pt} & k = 0 \end{cases}$

Let  $X$  be a complex  $n$ -manifold. Then  $T_X$  consists of all local sections on an open set  $U$  with coordinates, say,  $z_1, \dots, z_n$ . The set  $\{\frac{\partial}{\partial z_i}\}$  is a basis for this, with each section of the form  $\sum_{i=1}^n f_i \frac{\partial}{\partial z_i}$  where each  $f_i$  belongs to  $\Gamma(U, \mathcal{O})$ . For any other basis  $\{\frac{\partial}{\partial w_i}\}$ , we have that

$$\frac{\partial}{\partial w_i} = \sum \frac{\partial z_j}{\partial w_i} \frac{\partial}{\partial z_j}.$$

Note that  $T_V \cong \mathcal{O}_V \otimes_{\mathbb{C}} V$ . In general,  $\Omega_V^i \cong \mathcal{O}_V \otimes \bigwedge^i V^\vee$ .

*Question.* What is  $T_{\mathbb{P}(V)}$ ?

**Note 3.4.3 (Bundle associated to an  $n$ -manifold).**

1.  $T_X^\vee = \Omega \equiv \Omega^1$ , whose transition functions are precisely the inverses of the transposes of those for  $T_X$ .
2. Let  $\Omega^i = \bigwedge^i \Omega^1$ . If  $i = n$ , then we call this space the *canonical sheaf*  $K_X$  or the *dualized sheaf*  $\omega_X$ .
3. Recall the map  $\bigwedge^i : \text{GL}(n) \rightarrow \text{GL}\left(\binom{n}{i}\right)$ . If  $i = n$ , then this is precisely the determinant map.

Consider the exact sequence

$$0 \longrightarrow \mathcal{O} \longrightarrow \mathcal{O}(1)^{\oplus n+1} \longrightarrow T_{\mathbb{P}^n} \longrightarrow 0$$

$$1 \longmapsto (z_i) \quad .$$

$$(a_i) \longmapsto \sum a_i \frac{\partial}{\partial z_i}$$

*Terminology.* The vector field given by  $\sum z_i \frac{\partial}{\partial z_i}$  is known as the *Euler vector field*.

Moreover, we have a commutative diagram

$$\begin{array}{ccccccc}
0 & \longrightarrow & \underbrace{\mathcal{O}_{\mathbb{P}(V)}}_{\mathbb{C}} & \longrightarrow & \underbrace{\mathcal{O}_{\mathbb{P}(V)}(1) \otimes V}_{V^\vee} & \longrightarrow & T_{\mathbb{P}(V)} \longrightarrow , \\
& & & & \downarrow & & \downarrow \\
& & & & \mathcal{O}_V(1) \otimes V & \xrightarrow{\cong} & T_V \longrightarrow 0
\end{array}
\quad .$$

*Terminology.* The top row of this diagram is known as the *Euler sequence*.

Therefore, the *weight* of  $V$  equals  $-1$ , whereas the weight of  $V^\vee$  equals  $+1$ .

Informally, any holomorphic function  $f$  on  $V$  is the same as a direct sum of homogenous functions of degree  $k$ , i.e., has the form

$$\bigoplus_{k=0}^{\infty} \Gamma(\mathbb{P}(V), \mathcal{O}(k)),$$

called the *Taylor expansion* of  $f$ .

**Note 3.4.4.** In general, we have an exact sequence

$$0 \longrightarrow \mathcal{O}(n) \longrightarrow \mathcal{O}^{(n+1)} \longrightarrow T_{\mathbb{P}}(-1) \longrightarrow 0,$$

which becomes the Euler sequence

$$0 \longrightarrow \mathcal{O} \longrightarrow \mathcal{O}(1) \oplus \mathcal{O}(1) \longrightarrow T_{\mathbb{P}^1} \longrightarrow 0$$

in the case where  $n = 1$ . It follows that

$$K_{\mathbb{P}^n} = \mathcal{O}_{\mathbb{P}^n}(-n-1).$$

**Lemma 3.4.5.** If  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  is an exact sequence of vector spaces, then

$$\det(B) = \det(A) \otimes \det(C).$$

**Corollary 3.4.6.**  $\mathcal{O}(2) \cong \det(\mathcal{O}(1) \oplus \mathcal{O}(1)) = \det(\mathcal{O}) \otimes \det(T) = \det(T)$ .

*Remark 3.4.7.* Similarly, we can show that  $\det(T_{\mathbb{P}^n}) = \mathcal{O}_{\mathbb{P}^n}(n+1)$ .

Suppose that  $X \subset Y$  is a submanifold of codimension 1. Then we have a short exact sequence

$$0 \longrightarrow T_X \longrightarrow (T_Y)|_X \longrightarrow N_{X/Y} \longrightarrow 0.$$

**Lemma 3.4.8.**  $N_{X/Y} \cong \mathcal{O}_Y(X)|_X$ .

In other words, if  $L \in \text{Pic}(Y)$ ,  $s \in \Gamma(Y, L)$ , and  $X = \{s = 0\}$ , then  $N_{X/Y} \cong L|_X$ .

**Theorem 3.4.9 (Adjunction formula).**  $K_X \cong (K_Y \otimes_{\mathcal{O}_Y} \mathcal{O}_Y(X))|_X$ .

*Proof.* Note that  $(K_Y^{-1})|_X = K_X^{-1} \otimes N_{X/Y}$ . Thus,

$$\begin{aligned} K_X &\cong K_Y|_X \otimes N_{X/Y} \\ &\cong K_Y|_X \otimes \mathcal{O}_Y(X)|_X \\ &\cong (K_Y \otimes \mathcal{O}_Y(X))|_X. \end{aligned}$$

□

### 3.5 Lecture 10

*Proof of Lemma 3.4.8.* Let  $s \in \Gamma(Y, L)$ . We can write  $s = f s_0$ , so that  $ds = s_0 df + f ds_0$ . Consider the short exact sequence

$$0 \longrightarrow T_X \longrightarrow T_Y|_X \xrightarrow{ds} L \longrightarrow 0.$$

Thus,  $ds$  transforms just as  $s_0$  does.

□

**Example 3.5.1.**

1. Let  $Y = \mathbb{P}^3$ . Suppose that  $\tilde{X}$  is a smooth curve of degree  $d$ . Then  $K_Y = \mathcal{O}(-3)$ , and  $K_X = \mathcal{O}(d-3)|_X$ . Further, if  $g$  denotes the genus of a surface, then Bézout's theorem implies that

$$\begin{aligned} 2g - 2 &= \deg(K_X) = d(d-3) \\ &\Downarrow \\ g &= 1 + \frac{d(d-3)}{2} = \frac{(d-1)(d-2)}{2}. \end{aligned}$$

In particular,

$d$	$g$
1	0
2	0
3	1
4	3
5	6

2. Let  $Y = \mathbb{P}^n$  and let  $X \subset Y$  be of dimension  $d$ . Note that  $K_X = \mathcal{O}_X$  precisely when  $d = n + 1$ . In particular,

$n$	$X$
2	cubic / elliptic curve
3	quartic ( <i>a</i> $K_3$ surface)
4	quintic

Let  $p_1, \dots, p_n \in \mathbb{P}^N$ , let  $m_1, \dots, m_n \in \mathbb{Z}_{\geq 1}$ , and let  $d \in \mathbb{Z}$ . We wish to describe

$$\Gamma(\mathcal{I}_{\Sigma_{m_i p_i}}(d)) := (\mathcal{I}_{\Sigma_{m_i p_i}} \otimes \mathcal{O}(d)).$$

For simplicity, let  $N = 2$ .

**Definition 3.5.2.** If  $n = 1$ , then *imposition* is  $\text{Imp}_m \equiv \text{codim}(\Gamma(\mathcal{I}_{mp}(d), \Gamma(\mathcal{O}(d))))$ .

**Proposition 3.5.3.**  $\text{Imp}_m = \binom{m+1}{2}$ .

**Definition 3.5.4.** Consider the space  $\Gamma$ .

1. The *actual dimension* of  $\Gamma$  is the dimension of  $\Gamma$  as a vector space.
2. The *virtual dimension*  $\text{vd}(\Gamma)$  of  $\Gamma$  is the quantity  $\binom{d+2}{2} - 1 - \sum_i \binom{m_i+1}{2}$ .
3. The *expected dimension* of  $\Gamma$  is the quantity  $\max(\text{vd}(\Gamma), 0)$ .

**Conjecture 3.5.5.** *The actual dimension always equals the expected dimension.*

*Answer.* This is **false**. For example, let  $N = 2$ ,  $d = 1$ ,  $m_i = 1$ , and  $n = 3$ . Then  $\Gamma = 0$ , so that  $\mathbb{P}(\Gamma) = \emptyset$ . Hence the expected dimension is zero, but the actual dimension is positive whenever the  $p_i$  are co-linear.  $\square$

This leads us to the following modification of Conjecture 3.5.5.

**Conjecture 3.5.6.** *If the  $p_i$  are in general position, then the actual dimension equals the expected dimension.*

*Answer.* This is **false**. To see this, let  $d = 2$  and  $N = n = m_i = 2$ . Consider a conic  $C$  through five points. Here, our conjecture holds. But if instead  $N = 2$ ,  $d = 4$ ,  $n = 5$ , and  $m_i = 2$ , then the virtual dimension is precisely  $\binom{4+2}{2} - 5 \cdot 3 = 0$ . Since the square of  $C$  exists, it follows that our conjecture fails.  $\square$

We can improve Conjecture 3.5.6 as follows.

**Conjecture 3.5.7.** *If the actual dimension is different from the expected dimension, then  $\Gamma \left( \mathcal{J}_{\sum m_i p_i} (d) \right)$  has a base curve.*

*Answer.* This is **unknown**. See the article “[Linear Systems of Plane Curves](#)” by Rick Miranda. □

Consider the map  $|\mathcal{O}(d)| : \mathbb{P}^2 \hookrightarrow \mathbb{P}^{\binom{d+2}{2}-1}$ . We also have a map

$$\begin{array}{ccc} & \mathbb{P}^2 & \xrightarrow{|\mathcal{J}_{\sum p_i(d)}|} \mathbb{P}^{\dim-1} \\ & \uparrow & \nearrow \\ \mathrm{Bl}_{p_1, \dots, p_n}(\mathbb{P}^2) & \xlongequal{\quad} \widetilde{\mathbb{P}^2} & \end{array}$$

**Proposition 3.5.8.** *Consider the blow-up  $\pi : \underbrace{\widetilde{\mathbb{P}^2}}_X \rightarrow \mathbb{P}^2$ . We have that*

$$\mathrm{Pic}(X) \cong \mathbb{Z} \langle \pi^*(\mathcal{O}(1)), E_1, \dots, E_n \rangle$$

where  $E_i$  denotes the divisor collapsing to  $p_i$ .

*Remark 3.5.9.*

Good:  $\pi^*\mathcal{O}(d) - \sum m_i E_i \longleftrightarrow \mathcal{J}_{\sum m_i p_i}(d)$ .

Better:  $\Gamma(X, ") = \Gamma(\mathbb{P}^2, ")$ .

Best:  $\pi_*(") = "$ .

**Conjecture 3.5.10.** *Any line bundle  $L := (\pi^*\mathcal{O}(d) - \sum m_i E_i)$  has the expected dimension of the space of sections unless  $\mathcal{BL}(L)$  contains a  $(-1)$ -curve, i.e., a smooth curve  $C$  of genus zero such that  $C^2 = -1$ .*

**Example 3.5.11** ( $(-1)$ -curve). Let  $d = 1$ ,  $n = 2$ , and  $m_1 = m_2 = 1$ . If  $C \in \mathcal{O}(1)(-p - q)$ , then  $C^2 = 1^2 - 1 - 1 = -1$ . In general,

$$\mathcal{O}(d) \left( \left( -\sum m_i E_i \right) \left( \mathcal{O}(d) - \sum m_i p_i \right) \right) = dd' - \sum m_i m'_i.$$

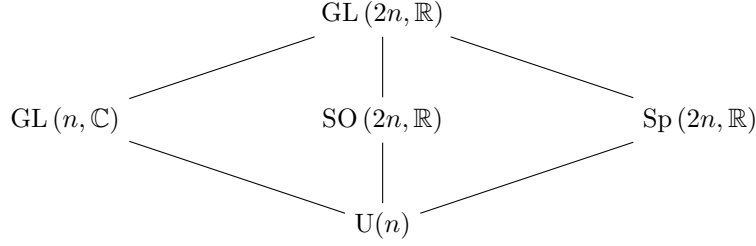
In  $\mathbb{P}^2$ , this means the number of intersections other than the  $p_i$ .

Space	$C^2$
$\mathcal{O}(1)$	1
$\mathcal{O}(1)(-p)$	0
$\mathcal{O}(1)(-p - q)$	-1
$\vdots$	
$\mathcal{O}(2)$	4
$\mathcal{O}(2)(-p_1)$	3
$\mathcal{O}(2)(-p_1 - p_2)$	2
$\vdots$	
$\mathcal{O}(2)(-p_1 - \dots - p_4)$	0
$\mathcal{O}(2)\left(-\sum_{i=1}^5 p_i\right)$	-1

## 4 Kähler manifolds

### 4.1 Lecture 11

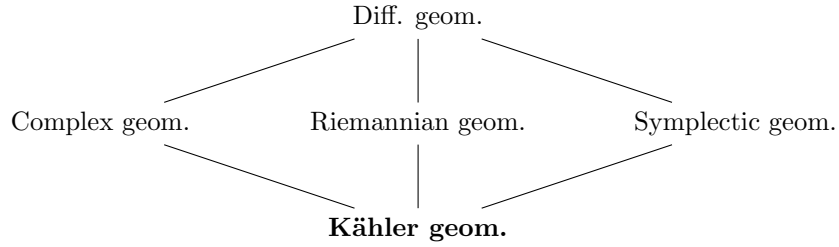
Consider the following Hasse diagram of subgroups:



where  $\text{Sp}(2n, \mathbb{R})$  denotes the group of real  $2n \times 2n$  *symplectic matrices*, i.e., matrices  $M$  satisfying

$$M^t \begin{bmatrix} 0 & I_n \\ -I_n & 0 \end{bmatrix} M = \begin{bmatrix} 0 & I_n \\ -I_n & 0 \end{bmatrix}.$$

Similarly, we can view various areas of geometry as refinements of certain others. Specifically,



Before investigating Kähler geometry, we establish some basic geometric concepts.

**Definition 4.1.1.** Let  $X$  be a real manifold. An *almost complex structure on  $X$*  is a bundle map  $I : TX \rightarrow TX$  such that  $I^2 = -1$ .

Note that the eigenvalues of  $I$  are precisely  $i$  and  $-i$ .

*Notation.*

1. Let  $T^{1,0}$  denote the eigenspace of  $i$ .
2. Let  $T^{0,1}$  denote the eigenspace of  $-i$ .

Any complex manifold  $X$  has a natural almost complex structure. Indeed, given local coordinates  $x_i, y_i$  on  $X$ , define  $I$  by  $\frac{\partial}{\partial x_i} \mapsto \frac{\partial}{\partial y_i}$  and  $\frac{\partial}{\partial y_i} \mapsto -\frac{\partial}{\partial x_i}$ . It follows that any manifold with an almost complex structure has even dimension.

Now, consider the complexification of our tangent bundle,  $T^{\mathbb{C}}X \equiv TX \otimes_{\mathbb{R}} \mathbb{C}$ .

**Proposition 4.1.2.**

1.  $T^{\mathbb{C}}X \cong T^{1,0} \oplus T^{0,1}$ .
2.  $T^{*\mathbb{C}}X \cong T^{*1,0} \oplus T^{*0,1}$ .

Define, formally, the complex coordinates  $z_j = x_j + iy_j$ . Note that  $T^{\mathbb{C}}X$  has as basis  $\{\frac{\partial}{\partial z_j}, \frac{\partial}{\partial \bar{z}_j}\}$  and that  $T^{*\mathbb{C}}X$  has as basis  $\{dz_j, d\bar{z}_j\}$  where  $dz_j \equiv dx_j + idy_j$ .

*Notation.*

1.  $\bigwedge^k X := \bigwedge^k T^*X$ .
2.  $\bigwedge^{p,q} X := \bigwedge^p T^{*1,0}X \otimes_{\mathbb{C}} \bigwedge^q T^{*0,1}X$ .

**Note 4.1.3.** Let  $X$  be an  $n$ -dimensional complex manifold.

1.  $(\bigwedge^k T^*X) \otimes \mathbb{C} = \bigwedge_{\mathbb{C}}^k (T^*X \otimes \mathbb{C}).$
2.  $(\bigwedge^k X) \otimes \mathbb{C} = \bigoplus_{p+q=k} \bigwedge^{p,q} X.$

Therefore,  $(\bigwedge^k X) \otimes \mathbb{C}$  can be decomposed according to the counting equation  $\binom{2n}{k} = \sum \binom{n}{p} \binom{n}{q}.$

Let  $U$  and  $V$  be open in  $\mathbb{C}^n$ . Let  $f : U \rightarrow V$  be holomorphic. Then the map  $df : TU \rightarrow TV$  extends to a map  $df^{\mathbb{C}} : T^{\mathbb{C}}U \rightarrow T^{\mathbb{C}}V$  that preserves both  $T^{1,0}$  and  $T^{0,1}$ .

Let  $\mathcal{A}^{p,q} = \Gamma(\bigwedge^{p,q})$ , i.e.,  $\mathcal{A}^{p,q}(U) = \Gamma(U, \bigwedge^{p,q})$ . Consider the exterior derivative  $d : \mathcal{A}^k \rightarrow \mathcal{A}^{k+1}$ . With  $\pi$  denoting the projection map, define the operators

$$\begin{aligned}\partial &= \pi^{p+1,q} \circ d \\ \bar{\partial} &= \pi^{p,q+1} \circ d\end{aligned}$$

on  $\mathcal{A}^{p,q}$ . Locally, we have that

$$df = \frac{\partial f}{\partial x_i} dx_i + \frac{\partial f}{\partial y_i} dy_i = \frac{\partial f}{\partial z_i} dz_i + \frac{\partial f}{\partial \bar{z}_i} d\bar{z}_i$$

for any  $f \in \mathcal{A}^{0,0}$ . By the Cauchy-Riemann equations, it follows that  $f$  is holomorphic if and only if  $\bar{\partial}f = 0$ .

*Remark 4.1.4.* Any  $(p, q)$ -form locally looks like  $f_{IJ} dz_I \wedge \bar{z}_J$ .

**Proposition 4.1.5.**

1.  $d = \partial + \bar{\partial}.$
2.  $\partial^2 = 0 = \bar{\partial}^2.$
3.  $\partial\bar{\partial} = -\bar{\partial}\partial.$
4.  $\partial(\alpha \wedge \beta) = \partial\alpha \wedge \beta + (-1)^{p+q} \alpha \wedge \partial\beta$  for any  $\alpha \in \mathcal{A}^{p,q}$  and  $\beta \in \mathcal{A}^{r,s}.$

**Lemma 4.1.6 (Single-variable Poincaré).** Consider the disk  $B_\epsilon \subset \overline{B_\epsilon} \subset U \subset \mathbb{C}$  where  $U$  is open. Let  $\alpha = fd\bar{z} \in \mathcal{A}^{0,1}(U)$  and

$$g(z) = \frac{1}{2\pi i} \int_{\overline{B_\epsilon}} \frac{f(w)}{w - z} dw \wedge d\bar{w}.$$

Then  $\bar{\partial}g = \alpha.$

**Lemma 4.1.7 (Multi-variable Poincaré).** Consider the polydisk  $B_\epsilon \subset \overline{B_\epsilon} \subset U \subset \mathbb{C}^n$  where  $U$  is open. Let  $\alpha \in \mathcal{A}^{p,q}$  with  $q > 0$  and  $\bar{\partial}\alpha = 0$ . Then there is some  $\beta \in \mathcal{A}^{p,q-1}(B_\epsilon)$  such that  $\bar{\partial}\beta = \alpha.$

*Remark 4.1.8.* If  $U$  is contractible, then any differential form on  $U$  is closed if and only if it is exact.

Let  $U \subset \mathbb{C}^n$  be open and let  $I$  denote the natural almost complex structure on  $U$ . Let  $g$  be a Riemannian metric on  $U$ .

**Definition 4.1.9 (Hermitian metric).**

1. We say that  $g$  is *compatible with  $I$*  or (almost) *Hermitian* if  $g(u, v) = g(Iu, Iv).$
2. If  $g$  is Hermitian, then the real  $(1, 1)$ -form  $\omega \in \mathcal{A}^{1,1}(U) \cap \mathcal{A}^2(U)$  defined by

$$\omega(u, v) = g(Iu, v)$$

is called the *fundamental form of  $g$* .

*Notation.*  $h := g - i\omega.$



**Definition 4.1.10.** A Hermitian matrix  $M$  is *positive-definite* if  $z^* M z > 0$  for every nonzero complex column vector  $z$ .

Note that  $h$  is a positive-definite form in the sense that, locally, its component functions define a positive-definite matrix at any given point.

**Example 4.1.11.** Let  $g = \underbrace{dx^2}_{dx \otimes dx} + dy^2 = \sum_{i=1}^n dx_i^2 + dy_i^2 \in T^* \otimes T^* \subset (T^* \otimes T^*) \otimes_{\mathbb{R}} \mathbb{C}$ . Since

$$dz \wedge d\bar{z} = (dx + idy) \wedge (dx - idy) = -2idx \wedge dy,$$

it follows that

$$\omega = dx \otimes dy - dy \otimes dx = \frac{i}{2} dz \wedge d\bar{z}.$$

Moreover, we see that

$$\begin{aligned} h &= z - i\omega \\ &= dx^2 - idxdy + idydx + dy^2 \\ &= dx(dx - idy) + idy(dx + idy) \\ &= (dx + idy)(dx - idy) \\ &= dz \otimes d\bar{z}. \end{aligned}$$

For each  $z \in \mathbb{C}^n$ , define the matrix  $(h_{ij})(z)$  by

$$h_{ij}(z_1, \dots, z_n) = h\left(\frac{\partial}{\partial x_i}, \frac{\partial}{\partial x_j}\right).$$

**Proposition 4.1.12.** Let  $I$  be an almost complex structure on  $U \subset X$  and let  $g$  be compatible with  $I$ . Then  $d\omega = 0$  if and only if for each  $x \in X$ , there exist a neighborhood  $U'$  of  $x$  and a holomorphic map  $f : U' \rightarrow U$  such that  $f^*g$  oscialates the standard metric to the second order, i.e.,  $(h_{ij}) = \text{id} + O(|z|^2)$ .

*Notation.* In this case, we write  $h \approx \text{id}$ .

**Definition 4.1.13 (Kähler manifold).** Consider the four-tuple  $(X, I, g, \omega)$ . We say that  $X$  is a *Kähler manifold* if  $d\omega = 0$ . In this case, we call  $g$  a *Kähler metric on  $X$*  and  $\omega$  a *Kähler form*.

**Definition 4.1.14.** Let  $(X, I, g, \omega)$  be a Kähler structure with  $\dim X = n$ .

1. The *Lefschetz operator*  $L : \bigwedge^k X \rightarrow \bigwedge^{k+2} X$  is defined by  $\alpha \mapsto \alpha \wedge \omega$ .
2. The *Hodge \*-operator*  $* : \bigwedge^k X \rightarrow \bigwedge^{2n-k} X$  is defined by the property

$$\alpha \wedge *\beta = \hat{g}(\alpha, \beta) \omega^n$$

where  $\hat{g}$  is induced by  $g$  and  $\omega^n$  denotes the (positively oriented) volume form on  $X$ .

3. The *dual Lefschetz operator*  $\Lambda : \bigwedge^k X \rightarrow \bigwedge^{k-2} X$  is defined as the composite  $*^{-1} \circ L \circ *$ .

**Note 4.1.15.**

1. In coordinates in which  $h \approx \text{id}$ , we have that  $*dx^I = dx^{\partial}$  where  $\partial := I^{\mathbb{C}}$  ??.
2.  $\Lambda$  is  $\mathcal{O}$ -linear.

## 4.2 Lecture 12

**Proposition 4.2.1.** *Let  $X$  be a complex manifold. Let  $\omega$  be a closed real positive-definite form of type  $(1,1)$ , i.e., locally,  $\omega = \frac{i}{2} \sum h_{ij} dz_i \wedge d\bar{z}_j$  such that the matrix  $(h_{ij}(p))$  is positive-definite for each  $p$ . Then there exists a Kähler metric  $g$  on  $X$  such that  $\omega$  equals the fundamental form of  $g$ .*

Since every Kähler form is positive-definite, it follows that the set  $\mathbb{K}_X$  of all Kähler forms on  $X$  is precisely the set of all closed real positive-definite forms of type  $(1,1)$ .

**Definition 4.2.2.** Let  $V$  be a vector space over  $\mathbb{R}$ . A subset  $C \subset V$  is a *convex cone* if  $av_1 + bv_2 \in C$  for any  $v_1, v_2 \in C$  and any  $a, b \in \mathbb{R}_{>0}$ .

**Corollary 4.2.3.** *Suppose that  $X$  is compact. Then  $\mathbb{K}_X$  is an open convex cone in the infinite-dimensional real vector space  $S := \{\omega \in \mathcal{A}^{(1,1)}(X) \cap \mathcal{A}^2(X) \mid d\omega = 0\}$ .*

*Idea.* The fact that  $\mathbb{K}_X$  is a convex cone follows from the fact that the set of all positive-definite matrices is a convex cone. It remains to show that  $\mathbb{K}_X$  is open. Since  $X$  is compact, it has a finite open cover  $\{U_i\}$ . The set  $P_{U_i} \subset S$  of all forms that are positive-definite on  $U_i$  is open. Thus,  $\bigcap_i P_{U_i} = \mathbb{K}_X$  is also open.  $\square$

*Remark 4.2.4.* It turns out that  $S \cong H^2(X, \mathbb{R})$ .

### Example 4.2.5.

1. The form  $\omega \equiv \frac{i}{2} dz \wedge d\bar{z}$  is Kähler on  $\mathbb{C}$  and is exact.
2. The same form descends to a Kähler form on the torus  $\mathbb{C}/\Lambda$ , which is not exact.
3. Consider the inclusion  $i : X \rightarrow Y$  of a closed submanifold. If  $\omega$  is Kähler on  $Y$ , then  $i^*\omega$  is Kähler on  $X$ .

**Note 4.2.6.** Let  $f : X \rightarrow Y$  be holomorphic and let  $\omega$  be a Kähler form on  $Y$ . It is *not* necessarily true that  $f^*\omega$  is Kähler on  $X$ . For example, if  $f(x) = \text{pt}$  for all  $x \in X$ , then  $f^*\omega$  is the zero form and thus not positive. In general,  $f$  must be injective. For example, if  $f : C \rightarrow \mathbb{C}$  is a double cover where  $C$  is a Riemann surface, then  $C$  inherits a Kähler form only outside the *ramification of  $f$* , i.e., the set

$$\{c \in C \mid \text{there is no neighborhood } U \text{ of } c \text{ such that } f|_U \text{ is injective}\}.$$

This is precisely the set of points at which  $df$  is nonzero.

### Example 4.2.7.

1. Consider the open cover  $\{U_i\}_{1 \leq i \leq n}$  of  $\mathbb{P}^n$  where  $U_i \equiv \{z \in \mathbb{P}^n \mid z_i \neq 0\}$ . Define  $\varphi_i : U_i \xrightarrow{\cong} \mathbb{C}^n$  by

$$(z_0, \dots, z_n) \mapsto \underbrace{\left( \frac{z_0}{z_i}, \dots, \frac{z_{i-1}}{z_i}, \frac{z_{i+1}}{z_i}, \dots, \frac{z_n}{z_i} \right)}_{(w_1, \dots, w_n)}.$$

Then  $\{(U_i, \varphi_i)\}$  is a holomorphic atlas on  $\mathbb{P}^n$ . For each  $i$ , let

$$\omega_i = \frac{i}{2\pi} \partial \bar{\partial} \log \left( \sum_{l=0}^n \left| \frac{z_l}{z_i} \right|^2 \right).$$

By way of  $\varphi_i$ , this becomes

$$\frac{i}{2\pi} \partial \bar{\partial} \log \left( 1 + \sum_{k=1}^n |w_k|^2 \right).$$

**Exercise 4.2.8.** *Show that  $\omega_i|_{U_i \cap U_j} = \omega_j|_{U_i \cap U_j}$ .*

Therefore, the  $\omega_i$  patch together to form a metric  $\omega$  on  $\mathbb{P}^n$ , known as the *Fubini-Study metric*.

**Exercise 4.2.9.** Show that  $\omega$  is closed, real, positive, and of type  $(1, 1)$ .

It follows that  $\omega$  is a Kähler metric.

- Any branched cover of  $\mathbb{P}^n$  admits a Kähler metric (which must be different from the pullback of a Kähler metric on  $\mathbb{P}^n$ ). For example, consider an elliptic curve  $E \rightarrow \mathbb{P}^1$ , which fits into a commutative square

$$\begin{array}{ccc} E & \longrightarrow & \mathbb{P}^1 \\ \parallel & & \uparrow \text{---} \\ E & \hookrightarrow & \mathbb{P}^2 \end{array} .$$

**Definition 4.2.10.** A complex manifold is *projective* if it is isomorphic to a closed submanifold of projective space.

**Proposition 4.2.11.** Any projective complex manifold is Kähler.

*Proof.* This follows from Example 4.2.5(3) together with Example 4.2.7(1).  $\square$

**Definition 4.2.12.** Let  $X$  be a complex manifold. Let  $D$  be a first-order operator on  $\mathcal{A}^*(X)$ .

- The *adjoint* of  $D$  is

$$D^* \equiv -* \circ D \circ *$$

- The *Laplacian* associated to  $D$  is

$$\Delta_D \equiv DD^* + D^*D.$$

**Definition 4.2.13.** The *Laplace operator* is  $\Delta \equiv dd^* + d^*d$ .

**Example 4.2.14.**

- Let  $D = \partial$ . Then  $\partial^*(f_{IJ}dz^I \wedge dz^J) = \sum_{i \in I} f_{IJ}dz^{I-i} \wedge d\bar{z}^J$ .
- Let  $D = d$ . Let  $(x_1, \dots, x_n)$  be local coordinates on  $X$ . Then

$$\begin{aligned} d(fdx^I) &= \sum_{i \notin I} \frac{\partial f}{\partial x_i} dx^i \wedge dx^I \\ d^*(fdx^I) &= \sum_{i \in I} \frac{\partial f}{\partial x_i} dx^{I-i}. \end{aligned}$$

Therefore,

$$\begin{aligned} d \circ d^*(fdx^I) &= \frac{\partial^2 f}{\partial x_i \partial x_j} dx^{I-i \cup j} \\ &= \sum_{\substack{i \in I \\ j \notin I}} \dots + \sum_{i=j \in I} \dots \\ d^* \circ d(fdx^I) &= 0 + \sum_{i=j \notin I} \dots, \end{aligned}$$

$$\text{so that } \Delta_D = \sum \frac{\partial^2 f}{\partial x_i^2}.$$

**Theorem 4.2.15 (Kähler identities).** Let  $(X, I, g, \omega)$  be a Kähler manifold.

- $[\bar{\partial}, L] = 0 = [\partial, L]$ .
- $[\partial^*, \Lambda] = 0 = [\bar{\partial}^*, \Lambda]$ .
- $[\bar{\partial}^*, L] = i\partial$  and  $[\partial^*, L] = -i\bar{\partial}$ .
- $\Delta_\partial = \Delta_{\bar{\partial}} = \frac{1}{2}\Delta$ , and  $\Delta$  commutes with  $*$ ,  $\partial$ ,  $\bar{\partial}$ ,  $\partial^*$ ,  $\bar{\partial}^*$ ,  $L$ , and  $\Lambda$ .

## 5 Lie algebras

Let  $G$  be any Lie group. For any  $g \in G$ ,  $\ell_g : G \rightarrow G$  is an isomorphism of  $\mathbb{C}$ -manifolds. Thus, if  $V$  is a vector field on  $G$ , then so is  $(\ell_g)_* V$ .

**Definition 5.0.1.** We say that  $V$  is *left-invariant* if  $(\ell_g)_* V = V$  for every  $g \in G$ .

**Definition 5.0.2 (Lie algebra).** The *Lie algebra*  $\mathfrak{G}_G$  of  $G$  is the space of left-invariant vector fields on  $G$  under the Lie bracket.

Consider the commutative diagram

$$\begin{array}{ccc} \mathfrak{G}_G & \hookrightarrow & (\mathcal{X}(G), [-, -]) \\ & \searrow \alpha & \downarrow \text{eval}_1 \\ & & T_1(G) \end{array} \quad .$$

**Proposition 5.0.3.**  $\alpha$  is an isomorphism of vector spaces.

**Example 5.0.4.** Let  $G = \text{GL}(n, \mathbb{C})$ , which is a complex Lie group. We have that  $\text{GL}(n, \mathbb{C})$  is an open submanifold of the vector space  $M_n(\mathbb{C})$ . Hence  $\mathfrak{G}_G$  is isomorphic to  $M_n(\mathbb{C})$  under the *commutator bracket*, which is given by  $[A, B] = AB - BA$ .

**Definition 5.0.5 (Matrix exponential).** Define the map  $e^{(\cdot)} : M_n(\mathbb{C}) \rightarrow \text{GL}_n(\mathbb{C})$  by

$$e^A = \sum_{n=0}^{\infty} \frac{A^n}{n!}.$$

This is well-defined. Indeed, letting  $\|\cdot\|$  denote the operator norm, we see that  $\frac{\|A^n\|}{n!} \leq \frac{\|A\|^n}{n!}$  on any bounded subset  $S \subset \mathbb{C}^n$ . But  $\sum_{n=0}^{\infty} \frac{\|A\|^n}{n!} = e^{\|A\|}$  on  $S$ , and thus  $e^A$  converges uniformly on  $S$ . Moreover, one can show that its limit must be invertible.

**Exercise 5.0.6.** Let  $G = \text{SL}_2(\mathbb{C})$ , which is complex Lie group. Show that

$$\mathfrak{G}_G = \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in M_2(\mathbb{C}) \mid a + d = 0 \right\}.$$

*Proof.* Any element  $X$  of  $\mathfrak{G}_G$  generates a local flow  $\theta : D \subset \mathbb{R} \times G \rightarrow G$ . Since  $X$  is left-invariant, it is complete. In particular, the maximal integral curve  $\theta^1$  is defined on  $\mathbb{R}$ . Left-invariance also implies that for any  $s \in \mathbb{R}$ ,  $L_{\theta^1(s)} \circ \theta^1$  is an integral curve starting at  $\theta^1(s)$ . But the curve given by  $t \mapsto \theta^1(s+t)$  is also an integral curve starting at  $\theta^1(s)$ . Hence  $\theta^1(s+t) = \theta^1(s)\theta^1(t)$ . By the uniqueness of maximal integral curves, this proves that  $\theta^1(s)$  is a smooth group homomorphism  $\mathbb{R} \rightarrow G$ , known as a *one-parameter subgroup* of  $G$ .

Moreover, any one-parameter subgroup  $\gamma$  of  $G$  has the form  $\gamma(t) = e^{tA}$  where  $A = \gamma'(0) \in T_1(G) \subset T_1(\text{GL}_2(\mathbb{C})) \cong M_2(\mathbb{C})$ . It follows that

$$\begin{aligned} X \in T_1(G) &\iff \forall t \in \mathbb{R}, e^{tX} \in G \\ &\iff \forall t \in \mathbb{R}, \det(e^{tX}) = 1 \\ &\iff \forall t \in \mathbb{R}, e^{t \text{tr}(X)} = 1 \\ &\iff \forall t \in \mathbb{R}, t \text{tr}(X) = 0 \\ &\iff \text{tr}(X) = 0. \end{aligned}$$

□

Intuitively, Theorem 4.2.15 means that the space  $\mathcal{A}^{p,q}(X)$  has a symmetry encoded in the  $\text{SL}_2(\mathbb{C})$ -action.

## 5.1 Lecture 13

**Definition 5.1.1.** Let  $V$  be a vector space endowed with a non-degenerate symmetric bilinear form  $\langle \cdot, \cdot \rangle$ . The *orthogonal group*  $O(V, \langle \cdot, \cdot \rangle)$  is the group of all linear maps  $f : V \rightarrow V$  such that  $\langle fx, fy \rangle = \langle x, y \rangle$  for any  $x, y \in V$ .

**Example 5.1.2.** Consider the Lie group  $G := O(\mathbb{R}^n)$ . Define the smooth map  $\varphi : GL_n(\mathbb{R}) \rightarrow GL_n(\mathbb{R})$  by  $A \mapsto AA^t$ , which has constant rank. Then  $G = \varphi^{-1}(I_n)$ , so that  $T_{I_n}G = \ker d\varphi_{I_n}$ . Since  $d\varphi_{I_n}(A) = A^t + A$  for any  $A \in M_n(\mathbb{R})$ , it follows that  $\mathfrak{G}_G$  consists of all  $n \times n$  skew-symmetric matrices.

$\vdots$