Perry Hart K-theory seminar Talk #15 November 12, 2018

Abstract

We begin higher Waldhausen K-theory. The main sources for this talk are the following.

- nLab.
- Charles Weibel's The K-book: an introduction to algebraic K-theory, Ch. IV.8.
- John Rognes's Lecture Notes on Algebraic K-Theory, Ch. 8.

For the original development, see Friedhelm Waldhausen's Algebraic K-theory of spaces (1985).

Let \mathscr{C} be a Waldhausen category. Our goal is to construct the K-theory $K(\mathscr{C})$ of \mathscr{C} as a based loop space ΩY endowed with a loop completion map $\iota: |w\mathscr{C}| \to K(\mathscr{C})$ where $w\mathscr{C}$ denotes the subcategory of weak equivalences. This will produce a function ob $\mathscr{C} \to |w\mathscr{C}| \to \Omega Y$. Further, we'll require of $K(\mathscr{C})$ certain limit and coherence properties, thereby making $K(\mathscr{C})$ the underlying infinite loop space of a spectrum $K(\mathscr{C})$, called the algebraic K-theory spectrum of \mathscr{C} .

Definition 1. Let \mathscr{C} be a category equipped with a subcategory $co \mathscr{C}$ of morphisms called *cofibrations*. The pair $(\mathscr{C}, co \mathscr{C})$ is a *category with cofibrations* if the following conditions hold.

- 1. (W0) Every isomorphism in \mathscr{C} is a cofibration.
- 2. (W1) There is a base point * in $\mathscr C$ such that the unique morphism $* \rightarrowtail A$ is a cofibration for any $A \in \text{ob}\,\mathscr C$.
- 3. (W2) We have a cobase change

$$\begin{array}{ccc}
A & \longrightarrow & B \\
\downarrow & & & \vdots \\
C & \longrightarrow & B \cup_A C
\end{array}$$

We see that $B \coprod C$ always exists as the pushout $B \cup_* C$ and that the cokernel of any $i : A \rightarrow B$ exists as $B \cup_A *$ along $A \rightarrow *$. We call $A \rightarrow B \rightarrow B/A$ a cofiber sequence.

Definition 2. A Waldhausen category \mathscr{C} is a category with cofibrations together with a subcategory $w\mathscr{C}$ of morphisms called weak equivalences such that every isomorphism in \mathscr{C} is a w.e. and the following "Gluing axiom" holds.

1. (W3) For any diagram

$$\begin{array}{cccc} C \longleftarrow & A \longmapsto & B \\ \sim & & \sim & & \sim \\ C' \longleftarrow & A' \longmapsto & B' \end{array},$$

the induced map $B \cup_A C \to B' \cup_{A'} C'$ is a w.e.

Definition 3. A Waldhausen category (\mathscr{C}, w) is *saturated* if whenever fg makes sense and is a w.e., then f is a w.e. iff g is.

Now, let us introduce the main concept to be generalized.

Definition 4. Let \mathscr{C} be a category with cofibrations. Let the *extension category* $S_2\mathscr{C}$ have as objects the cofiber sequences in $(\mathscr{C}, \mathsf{co}\,\mathscr{C})$ and as morphisms the triples (f', f, f'') such that

commutes. This is pointed at $* \rightarrow * \rightarrow *$.

Definition 5. Suppose an arbitrary triple (f', f, f'') as above has the property that whenever f' and f'' are w.e., then so is f. In this case, we say \mathscr{C} is extensional or closed under extensions.

Say that the morphism (f', f, f'') is a cofibration if f', f'', and $Y' \cup_{X'} X \to Y$ are cofibrations in \mathscr{C} . Say that the same triple is a weak equivalence if f', f, and f'' are w.e. in \mathscr{C} . This makes $S_2\mathscr{C}$ into a Waldhausen category.

Definition 6. Let $q \ge 0$. Let the arrow category Ar[q] on [q] have as objects ordered pairs (i, j) with $i \le j \le q$ and as morphisms commutative diagrams of the form

$$\begin{array}{ccc} i & \stackrel{\leq}{\longrightarrow} & j \\ \leq \downarrow & & \downarrow \leq \cdot \\ i' & \stackrel{\leq}{\longrightarrow} & j' \end{array}$$

We view [q] as a full subcategory of $\operatorname{Ar}[q]$ via the embedding $[q] \xrightarrow{k \mapsto (0,k)} \operatorname{Ar}[q]$.

Note 7.

- 1. Any triple $i \leq j \leq k$ determines the morphisms $(i,j) \to (i,k)$ and $(i,k) \to (j,k)$. Conversely, any morphism in the arrow category is a composite of such triples.
- 2. $Ar[q] \cong Fun([1], [q])$ by identifying each pair (i, j) with the functor satisfying $0 \mapsto i$ and $1 \mapsto j$.

Example 8. The category Ar[2] is generated by the commutative diagram

$$(0,0) \longrightarrow (0,1) \longrightarrow (0,2)$$

$$\downarrow \qquad \qquad \downarrow$$

$$(1,1) \longrightarrow (1,2) \cdot$$

$$\downarrow \qquad \qquad \downarrow$$

$$(2,2)$$

Definition 9. Let \mathscr{C} be a category with cofibrations and $q \geq 0$. Define $S_q\mathscr{C}$ as the full subcategory of $\operatorname{Fun}(\operatorname{Ar}[q],\mathscr{C})$ generated by $X:\operatorname{Ar}[q]\to\mathscr{C}$ such that

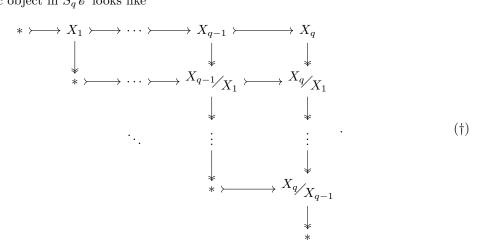
- 1. $X_{j,j} = *$ for each $j \in [q]$.
- 2. $X_{i,j} \rightarrow X_{i,k} \twoheadrightarrow X_{j,k}$ is a cofiber sequence for any i < j < k in [q]. Equivalently, if $i \le j \le k$ in [q], then the square

$$\begin{array}{ccc} X_{i,j} & \longmapsto & X_{i,k} \\ \downarrow & & \downarrow \\ X_{j,j} = * & \longmapsto & X_{j,k} \end{array}$$

is a pushout.

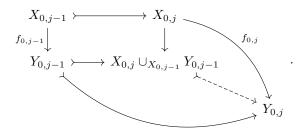
This is pointed at the constant diagram at *.

Note 10. A generic object in $S_q\mathscr{C}$ looks like



where X_q corresponds to $X_{0,q}$ and X_{j}/X_i to $X_{i,j}$ for any $1 \le i \le j \le q$.

Definition 11. Let $(\mathscr{C}, \operatorname{co}\mathscr{C})$ be a category with cofibrations. Let $\operatorname{co} S_q\mathscr{C} \subset S_q\mathscr{C}$ consist of the morphisms $f: X \rightarrowtail Y$ of $\operatorname{Ar}[q]$ -shaped diagrams such that for each $1 \leq j \leq q$ we have



Proposition 12. If $f: X \to Y$ is a cofibration of $S_q\mathscr{C}$, then

$$\begin{array}{ccc} X_{i,j} & \longrightarrow & X_{i,k} \\ f_{i,j} & & & & \downarrow f_{i,k} \\ Y_{i,j} & \longmapsto & Y_{i,k} \end{array}$$

for any $i \le j \le k$ in [q].

 $^{^{1}}$ Lemma 8.3.12 (Rognes).

Lemma 13. $(S_q\mathscr{C}, \operatorname{co} S_1\mathscr{C})$ is a category with cofibrations.

Proof. First notice that the composite of two cofibrations $g \circ f : X \to Y \to Z$ is a cofibration because we have

$$\begin{array}{c} X_{0,j-1} \rightarrowtail X_{0,j} \\ \downarrow \\ Y_{0,j-1} \rightarrowtail X_{0,j} \cup_{X_{0},j-1} Y_{0,j-1} \rightarrowtail Y_{0,j} \\ \downarrow \\ Z_{0,j-1} \rightarrowtail X_{0,j} \cup_{X_{0,j-1}} Z_{0,j-1} \rightarrowtail Y_{0,j} \cup_{Y_{0,j-1}} Z_{0,j-1} \rightarrowtail Z_{0,j} \end{array}.$$

It's clear that any isomorphism or initial morphism in $S_q\mathscr{C}$ is a cofibration.

To see that (W2) is satisfied, let $f: X \to Y$ and $g: X \to Z$ be morphisms in $S_q\mathscr{C}$. It's easy to verify that each component $f_{i,j}: X_{i,j} \to Y_{i,j}$ is a cofibration. Thus, each pushout $W_{i,j} := Y_{i,j} \cup_{X_{i,j}} Z_{i,j}$ exists. These form a functor $W: \operatorname{Ar}[q] \to \mathscr{C}$. If i < j < k, then we have $W_{i,j} \mapsto W_{i,k} \twoheadrightarrow W_{j,k}$ because the left morphism factors as the composite of two cofibrations

$$Z_{i,j} \rightarrowtail Z_{i,k}$$

$$f_{i,j} \cup \operatorname{Id} \qquad \qquad \downarrow f_{i,j} \cup \operatorname{Id}$$

$$Y_{i,j} \cup_{X_{i,j}} Z_{i,j} \rightarrowtail Y_{i,j} \cup_{X_{i,j}} Z_{i,k} \rightarrowtail Y_{i,k} \cup_{X_{i,k}} Z_{i,k} .$$

$$\operatorname{Id} \cup g_{i,k} \qquad \qquad \uparrow \operatorname{Id} \cup g_{i,k}$$

$$Y_{i,j} \cup_{X_{i,j}} X_{i,k} \rightarrowtail Y_{i,k}$$

The fact that colimits commute with each other ensures that $W_{j,k} \cong W_{i,k}/W_{i,j}$ Hence W is the pushout of f and g. To verify that this is a cofibration, we must check that the pushout map $W_{0,j-1} \cup_{Z_{0,j-1}} Z_{0,j} \to W_{0,j}$ is a cofibration. But this follows from the pushout square

$$Y_{0,j-1} \cup_{X_{0,j-1}} X_{0,j} \longrightarrow Y_{0,j}$$

$$\downarrow \qquad \qquad \downarrow$$

$$Y_{0,j-1} \cup_{X_{0,j-1}} Z_{0,j} \longrightarrow Y_{0,j} \cup_{X_{0,j}} Z_{0,j}$$

Definition 14. Let $(\mathscr{C}, w\mathscr{C})$ be a Waldhausen category. Let $wS_q\mathscr{C} \subset S_q\mathscr{C}$ consist of the morphisms $f: X \xrightarrow{\sim} Y$ of Ar[q]-shaped diagrams such that the component $f_{0,j}: X_{0,j} \to Y_{0,j}$ is a w.e. in \mathscr{C} for each $1 \leq j \leq q$.

Proposition 15. Let f be a w.e. in $S_q\mathscr{C}$. Each component $f_{i,j}: X_{i,j} \to Y_{i,j}$ is a w.e. in \mathscr{C} .

Proof. Apply the Gluing axiom to the diagram

Then $X_{i,j} \cong X_{0,j} \cup_{X_{0,i}} * \xrightarrow{\sim} Y_{0,j} \cup_{Y_{0,i}} * \cong Y_{i,j}$, as desired.

Lemma 16. $(S_q\mathscr{C}, wS_q\mathscr{C})$ is a Waldhausen category.

Definition 17. Let \mathscr{C} be a category with cofibrations. If $\alpha:[p]\to[q]$, then define $\alpha^*:S_q\mathscr{C}\to S_p\mathscr{C}$ by

$$\alpha^*(X:\operatorname{Ar}[q]\to\mathscr{C})=X\circ\operatorname{Ar}(\alpha):\operatorname{Ar}[p]\to\operatorname{Ar}[q]\to\mathscr{C}.$$

It's easy to check that this satisfies the two conditions of a diagram in $S_p\mathscr{C}$. Moreover, the face maps d_i are obtained by deleting the row $X_{i,-}$ and the column containing X_i in (\dagger) and then reindexing as necessary. The degeneracy maps s_i are given by duplicating X_i and then reindexing such that $X_{i+1,i} = 0$.

Not sure that the s_i work.

Proposition 18. Let $(\mathscr{C}, w\mathscr{C})$ be a Waldhausen category. Each functor $\alpha^* : S_q\mathscr{C} \to S_p\mathscr{C}$ is exact, so that $(S_{\bullet}\mathscr{C}, wS_{\bullet}\mathscr{C})$ is a simplicial Waldhausen category.

The nerve $N_{\bullet}wS_{\bullet}\mathscr{C}$ is a bisimplicial set with (p,q)-bisimplices the diagrams of the form

such that $X_{i,j}^k \cong \overset{X_j^k}{\nearrow}_{X_i^k}$ for every $i \leq j \leq q$ and $k \in [p]$.

Lemma 19. There is a natural map $N_{\bullet}w\mathscr{C} \wedge \Delta^{1}_{\bullet} \to N_{\bullet}wS_{\bullet}\mathscr{C}$, which automatically induces a based map $\sigma: \Sigma |w\mathscr{C}| \to |wS_{\bullet}\mathscr{C}|$ of classifying spaces.

Proof. We can treat $N_{\bullet}wS_{\bullet}\mathscr{C}$ as the simplicial set $[q] \mapsto N_{\bullet}wS_{q}\mathscr{C}$. This defines a right skeletal structure on $N_{\bullet}wS_{\bullet}\mathscr{C}$.

If q = 0, then $wS_0\mathscr{C} = S_0\mathscr{C} = *$, so that $N_{\bullet}wS_0\mathscr{C} = *$ as well. If q = 1, then $wS_1\mathscr{C} \cong w\mathscr{C}$. Thus, the right 1-skeleton is equal to $N_{\bullet}w\mathscr{C} \wedge \Delta^1_{\bullet}$, which in turn must be equal to the image I of the canonical map

$$\coprod_{q \le 1} N_{\bullet} w S_q \mathscr{C} \times \Delta_{\bullet}^q \to N_{\bullet} w S_{\bullet} \mathscr{C}.$$

Now, the degeneracy map s_0 collapses $\{*\} \times \Delta^1_{\bullet}$, and the face maps d_0 and d_1 collapse $N_{\bullet}w\mathscr{C} \times \partial \Delta^1_{\bullet}$. Therefore, I must equal

$$N_{\bullet}w\mathscr{C} \wedge \Delta^{1}_{\bullet} = \frac{N_{\bullet}w\mathscr{C} \times \Delta^{1}_{\bullet}}{\{*\} \times \Delta^{1}_{\bullet} \cup N_{\bullet}w\mathscr{C} \times \partial \Delta^{1}_{\bullet}}.$$

We have defined a natural inclusion map $\lambda: N_{\bullet}w\mathscr{C} \wedge \Delta^{1}_{\bullet} \to N_{\bullet}wS_{\bullet}\mathscr{C}$.

Since Δ^1_{\bullet} is isomorphic to the unit interval and the map λ agrees on the endpoints, we can pass to S^1 during the suspension. Hence λ induces the desired map σ .

Note 20. The axiom (W3) implies that $w\mathscr{C}$ is closed under coproducts, making $|wS_{\bullet}\mathscr{C}|$ into an H-space via the map

$$\prod : |wS_{\bullet}\mathscr{C}| \times |wS_{\bullet}\mathscr{C}| \cong |wS_{\bullet}\mathscr{C} \times wS_{\bullet}\mathscr{C}| \to |wS_{\bullet}\mathscr{C}|.$$

Definition 21. Let $(\mathscr{C}, w\mathscr{C})$ be a Waldhausen category. Define the algebraic K-theory space

$$K(\mathscr{C}, w) = \Omega |N_{\bullet} w S_{\bullet} \mathscr{C}|.$$

Then we have a right adjoint $\iota: |w\mathscr{C}| \to K(\mathscr{C}, w)$ to the based map σ .

Moreover, let $F:(\mathscr{C},w\mathscr{C})\to (\mathscr{D},w\mathscr{D})$ be an exact functor. Let

$$K(F) = \Omega[wS_{\bullet}F] : K(\mathscr{C}, w) \to K(\mathscr{D}, w).$$

This is the algebraic K-theory functor $K: \mathbf{Wald} \to \mathbf{Top}_*$.

Recall that any exact category \mathscr{A} is a Waldhausen category with cofibrations the admissible exact sequences and w.e. the isomorphisms. Waldhausen showed that $|iS_{\bullet}\mathscr{A}|$ (where i denotes the isocategory) and $BQ\mathscr{A}$ are homotopy equivalent. Therefore, our current definition of higher algebraic K-theory agrees with Quillen's.

Example 22. Let R be a ring. Define the algebraic K-theory space of R as

$$K(R) = K(\mathbf{P}(R), i)$$

where the w.e. i are precisely the injective R-linear maps with projective cokernel and the cofibrations are precisely the R-linear maps.

Example 23. Assume that \mathscr{C} is a small Waldhausen category where $w\mathscr{C}$ consists of the isomorphisms in \mathscr{C} . If $s_n\mathscr{C}$ denotes the set of objects of $S_n\mathscr{C}$, then we get a simplicial set $s_{\bullet}\mathscr{C}$. Waldhausen showed that the inclusion $|s_{\bullet}\mathscr{C}| \hookrightarrow |iS_{\bullet}\mathscr{C}|$ is a homotopy equivalence. This makes $\Omega|s_{\bullet}\mathscr{C}|$ into a so-called simplicial model for $K(\mathscr{C}, w)$.

Remark 24. Since $wS_0\mathscr{C} = *$ and every simplex of degree n > 0 is attached to *, it follows that the classifying space $|wS_{\bullet}\mathscr{C}|$ is connected. Therefore, we preserve any homotopical information when passing to the loop space.

Definition 25. The *i-th algebraic* K-group is $K_i(\mathscr{C}, w) \equiv \pi_i K(\mathscr{C}, w)$ for each $i \geq 0$.

Proposition 26. $\pi_1|wS_{\bullet}\mathscr{C}|\cong K_0(\mathscr{C},w)$.

Lemma 27. The group $K_0(\mathscr{C}, w)$ is generated by all elements [X] such that

²This is a tentative explanation due to Thomas Brazelton.

- [X'] + [X''] = [X] for every cofiber sequence $X' \mapsto X \twoheadrightarrow X''$ and
- [X] = [Y] for every w.e. $X \xrightarrow{\sim} Y$.

Proof. In light of Proposition 26, it suffices to compute $\pi_1|N_{\bullet}wS_{\bullet}\mathscr{C}|$ based at the (0,0)-bisimplex *. For this, just notice the CW structure of $|N_{\bullet}wS_{\bullet}\mathscr{C}|$, with 1-cells the (0,1)-bisimplices and 2-cells the (0,2)-bisimplices $X' \rightarrowtail X \twoheadrightarrow X''$ and the (1,1)-bisimplices $X \xrightarrow{\sim} Y$, which are attached to the 1-cells X and Y. Any cell of dimension n > 2 is irrelevant to computing π_1 .

As a result, we obtain functors

$$K_i: \mathbf{Wald} \to \mathbf{Top}_* \to \mathbf{Ab}$$

known as the algebraic K-group functors. Indeed, thanks to Proposition 26, we know that

$$K_i(\mathscr{C}, w) = \pi_{i+1} |wS_{\bullet}\mathscr{C}|,$$

which is abelian for $i \geq 1$. Moreover, note that if $X' \rightarrowtail X' \vee X'' \twoheadrightarrow X''$ and $X'' \rightarrowtail X' \vee X'' \twoheadrightarrow X'$ are cofiber sequences, then Lemma 27 implies that

$$[X'] + [X''] = [X' \lor X''] = [X'' + X'].$$

Hence $K_0(\mathscr{C}, w)$ is also abelian.

Example 28. Let X be a CW complex and $\mathcal{R}(X)$ denote the category of CW complexes Y obtained from X by attaching at least one cell such that X is a retract of Y. Equip this with cofibrations in the form of cellular inclusions fixing X and w.e. in the form of homotopy equivalences. This makes $\mathcal{R}(X)$ into a Waldhausen category.

If $\mathcal{R}_f(X)$ denotes the subcategory of those Y obtained by attaching finitely many cells, then we denote $K(\mathcal{R}_f(X))$ by A(X).

Proposition 29. $A_0(X) \cong \mathbb{Z}$.

Definition 30. If \mathscr{B} is a Waldhausen subcategory of \mathscr{C} , then it is *cofinal in* \mathscr{C} if for any $X \in \text{ob}\,\mathscr{C}$, there is some $X' \in \text{ob}\,\mathscr{C}$ such that $X \mid X' \in \text{ob}\,\mathscr{B}$.

Theorem 31. Let (\mathcal{B}, w) be cofinal in (\mathcal{C}, w) and closed under extensions. Assume that $K_0(\mathcal{B}) = K_0(\mathcal{C})$. Then $wS_{\bullet}\mathcal{B} \to wS_{\bullet}\mathcal{C}$ is a homotopy equivalence.

It follows that $K_i(\mathscr{B}) \cong K_i(\mathscr{C})$ for every $i \geq 0$.