Abstract

Even more basic category theory. The main sources for this talk are the following.

- nLab.
- John Rognes's Lecture Notes on Algebraic K-Theory, Ch. 4.
- Peter Johnstone's lecture notes for "Category Theory" (Mathematical Tripos Part III, Michaelmas 2015), Ch. 4.

Definition 1. An object X of $\mathscr C$ is *initial* if for each $Y \in \text{ob}\,\mathscr C$, there is a unique morphism $f: X \to Y$. Moreover, we say that X is *terminal* if for each $Z \in \text{ob}\,\mathscr C$, there is a unique morphism $g: Z \to X$. Either condition is called a *universal property* of X.

Any property P of \mathscr{C} has a dual property P^{op} of \mathscr{C}^{op} obtained by interchanging the source and target of any arrow as well as the order of any composition in the sentence expressing P. Then P is true of \mathscr{C}^{op} is true of \mathscr{C}^{op} .

Example 2. Being initial and being terminal are dual properties.

Lemma 1. Any two initial objects of \mathscr{C} are canonically isomorphic. The same holds for any two terminal objects of \mathscr{C} .

Proof. Compose the two unique morphisms to get an isomorphism between the two initial objects. Apply duality to get the second claim. \Box

Think of a universal property as follows. Let $F: \mathscr{D} \to \mathscr{C}$ be a functor and $X \in \text{ob}\,\mathscr{C}$. A universal arrow from X to F is an ordered pair (Y, f) with $Y \in \text{ob}\,\mathscr{D}$ and $f: X \to F(Y)$ a morphism of \mathscr{C} with the property that for any $X' \in \text{ob}\,\mathscr{D}$ and morphism $f': X \to F(X')$ of \mathscr{C} , there exists a unique morphism $\hat{f}: Y \to X'$ of \mathscr{D} such that $F(\hat{f}) \circ f = f'$.

$$X \xrightarrow{f} F(Y)$$

$$\downarrow^{F(f)}$$

$$F(X')$$

Dually, a universal arrow from F to X is an ordered pair (Y, f) with $Y \in \text{ob} \mathscr{D}$ and $f : F(Y) \to X$ of \mathscr{C} with the property that for any $X' \in \text{ob} \mathscr{D}$ and morphism $f' : F(X') \to X$, there exists a unique morphism $\hat{f} : X' \to Y$ such that $f' = f \circ F(\hat{f})$.

$$F(X') \xrightarrow{F(\hat{f})} F(Y)$$

$$\downarrow f$$

$$\downarrow f$$

$$X$$

To see why this notion of universality agrees with the original one, we first generalize the notion of an arrow category.

Definition 3.

- 1. Let $F: \mathscr{C} \to \mathscr{D}$ be a functor and $Y \in \text{ob } \mathscr{D}$. The *slice* or *left fiber category*, denoted by (F/Y) or $(F \downarrow Y)$, has as objects pairs (X, f) where $f: F(X) \to Y$ and as morphisms from $f: F(X) \to Y$ to $f': F(X') \to Y$ morphisms $g: X \to X'$ such that $f = f' \circ F(g)$.
- 2. The coslice or right fiber category, denoted by (Y/F) or $(Y \downarrow F)$, has as objects pairs (X, f) where $f: Y \to F(X)$ and as morphisms from $f: Y \to F(X)$ to $f': Y \to F(X')$ morphisms $g: X \to X'$ such that $f' = F(g) \circ f$.

Remark 1. If $F^{op}: C^{op} \to D^{op}$ is opposite to the functor $F: \mathscr{C} \to \mathscr{D}$ and $Y \in \text{ob } \mathscr{D}$, then $(Y/F)^{op} = F^{op}/Y$. Thus, the left and right fiber categories are dual in the sense that P(Y, F) is true for any right fiber category Y/F iff $P^{op}(Y, F)$ is true for any left fiber category F/Y.

Proposition 2. Let $F: \mathcal{D} \to \mathscr{C}$ be a functor and $x \in \text{ob } C$. Then $u: x \to Fr$ is a universal arrow from x to F iff it is initial object of the coslice $(x \downarrow F)$. Dually, $u': Fr' \to x$ is a universal arrow from F to x iff it is a terminal object of the same category.

Proof. Suppose that u is universal and $f: x \to Fy$ is another object of $(x \downarrow F)$. Then there is some unique $\hat{f}: r \to y$ such that $F\left(\hat{f}\right) \circ u = f$. Thus $F\left(\hat{f}\right)$ is a unique morphism of the coslice.

Conversely, suppose that u is initial. Then for any object $f: x \to Fy$ of $(x \downarrow F)$, there is some unique arrow $Sg: Fr \to Fy$ such that $Sg \circ u = f$. Hence setting $\hat{f} = g$ make u a universal arrow.

Corollary 3. Any two universal arrows from x to F can be canonically identified by Lemma 1.

Definition 4. A zero object of \mathscr{C} is an object that is both initial and terminal. A pointed category is a category with a chosen zero object.

Example 5. The unique initial object of **Set** is \emptyset , and the terminal objects are precisely the singleton sets. Hence there is no zero object. Moreover, there are no initial or terminal objects in iso(**Set**).

Given $X \in \text{ob}\,\mathscr{C}$, the undercategory X/\mathscr{C} has as objects morphisms in \mathscr{C} of the form $i: X \to Y$ where X is fixed. Given $i: X \to Y$ and $i': X \to Y'$ in ob X/\mathscr{C} , define the set of morphisms from i to i' as the morphisms $f: Y \to Y'$ where

$$X \xrightarrow{i} Y \\ \downarrow f \\ Y'$$

commutes. (We call i the structure morphism.) Composition and identity carry over exactly from \mathscr{C} .

Likewise, given $x \in \text{ob}\,\mathscr{C}$, the overcategory \mathscr{C}/X has as objects morphisms in \mathscr{C} of the form $i: Y \to X$ where X is fixed. Given $i: Y \to X$ and $i': Y' \to X$ in $\text{ob}\,\mathscr{C}/X$, define the set of morphisms from i to i' as the morphisms $f: Y \to Y'$ where

$$Y \xrightarrow{f} Y' \downarrow_{i'} X$$

commutes. Composition and identity carry over exactly from \mathscr{C} .

Remark 2. If $X \in \text{ob}\,\mathscr{C}$, then $(X/\mathscr{C})^{\text{op}} = \mathscr{C}^{op}/X$. Thus, the under- and overcategories are dual in the sense that $P(X,\mathscr{C})$ is true for any undercategory X/\mathscr{C} iff $P^{\text{op}}(X,\mathscr{C})$ is true for any overcategory \mathscr{C}/X .

Lemma 4. For any $X \in \mathcal{C}$, the identity morphism on X is an initial object X/\mathcal{C} . Dually, it is a terminal object in \mathcal{C}/X .

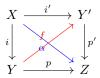
Proof. Any $i: X \to Y$ is itself the unique morphism from Id_X to i.

Lemma 5. Let X be an initial object of \mathscr{C} . The identity morphism on X is a zero object \mathscr{C}/X . Dually, if $Y \in \text{ob} \mathscr{C}$ is terminal, then Id_Y is a zero object in Y/\mathscr{C} .

Proof. We already know that Id_X is terminal. If $p:Y\to X$ is an object in \mathscr{C}/X , then there is a unique morphism $f:X\to Y$. Then $f\circ p$ must equal Id_X .

Example 6. Let (X, x) be a pointed set with $X = \{x\}$. Let \mathbf{Set}_* denotes the category of pointed sets with base point preserving functions. Then since $\mathbf{Set}_* \cong X/\mathbf{Set}$, it follows that X is a zero object in \mathbf{Set}_* .

Given a morphism $\alpha: X \to Z$ in \mathscr{C} , define the under-and-overcategory $(X/\mathscr{C}/Z)_{\alpha}$ as having triples (Y, i, p) as obejcts where $i: X \to Y$ and $p: Y \to Z$ are morphisms in \mathscr{C} such that $p \circ i = \alpha$. Define the set of morphisms from (Y, i, p) to (Y', u', p') as the morphisms $f: Y \to Y'$ such that

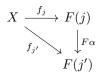


commutes. If $\alpha = \mathrm{Id}_X$, then we call $(X/\mathscr{C}/X)_{\mathrm{Id}_X}$ the category of retractive objects over X as each triple (Y, i, p) is a retraction of Y onto X.

Example 7. If $F: \mathscr{C} \to \mathscr{C}$ is the identity functor, then the undercategory Y/\mathscr{C} equals the right fiber category Y/F while the overcategory \mathscr{C}/Y equals the left fiber category F/Y.

Definition 8. Let \mathscr{J} be a category. A diagram of shape \mathscr{J} in \mathscr{C} is a functor $F:\mathscr{J}\to\mathscr{C}$.

Definition 9. Given a functor $F: \mathscr{J} \to \mathscr{C}$ and $X \in \text{ob}\,\mathscr{C}$, a cone over F consists of an apex $X \in \text{ob}\,\mathscr{C}$ and legs $f_j: X \to F(j)$ for each $J \in \text{ob}\,\mathscr{J}$ such that for any $\alpha: j \to j'$,



commutes.

This is simply a natural transformation $\Delta_{\mathscr{J}}X\Rightarrow F$ where $\Delta_{\mathscr{J}}X$ denotes the constant functor on \mathscr{J} at X. If \mathscr{J} is small, then $\Delta_{\mathscr{J}}$ is just a functor from \mathscr{C} to $\mathbf{Fun}(\mathscr{J},\mathscr{C})$.

Definition 10. The category of cones over F is the right fiber category X/F. The category of cones under F is the left fiber category F/X.

Definition 11. Let \mathscr{C} and \mathscr{D} be categories and $g: Y \to Z$ a morphism in \mathscr{D} . Let $\Delta_{\mathscr{C}}g: \Delta_{\mathscr{C}}Y \Rightarrow \Delta_{\mathscr{C}}Z$ be the natural transformation with components $X \mapsto g$.

- 1. A colimit for the functor $F:\mathscr{C}\to\mathscr{D}$ consists of an object Y of \mathscr{D} and a natural transformation $i:F\Rightarrow \Delta_{\mathscr{C}}Y$ such that for any $Z\in \text{ob}\,\mathscr{D}$ and natural transformation $j:F\Rightarrow \Delta_{\mathscr{C}}Z$, there is a unique morphism $g:Y\to Z$ such that $j=\Delta_{\mathscr{C}}g\circ i$. We write $Y=\operatorname{colim}_{\mathscr{C}}F$.
- 2. We say that \mathscr{D} admits all \mathscr{C} -shaped colimits if each functor $G:\mathscr{C}\to\mathscr{D}$ has a colimit and that \mathscr{D} is cocomplete if each functor $G:\mathscr{C}\to\mathscr{D}$ with \mathscr{C} small has a colimit.

If $\mathscr C$ is small, then a colimit of $F:\mathscr C\to\mathscr D$ is just an initial object in the right fiber category $F/\Delta_{\mathscr C}$, which has as objects pairs $(Z,j:F\to\Delta Z)$ and as morphisms from (Y,i) to (Z,j) the morphisms $g:Y\to Z$ in $\mathscr D$ such that $\Delta g\circ i=j$.

Remark 3. There is a natural bijection $\mathcal{D}(Y,Z) \cong \mathbf{Fun}(\mathscr{C},\mathscr{D})(F,\Delta Z)$ iff $Y = \mathrm{colim}_{\mathscr{C}} F$.

Proposition 6. Any two colimits are canonically isomorphic.

Proof. When \mathscr{C} is small, this is immediate from Lemma 1. But note that the proof of Lemma 1 does not require that \mathscr{C} be locally small (a property which Rognes stipulates of any category).

Lemma 7. Assume that \mathscr{D} admits all \mathscr{C} -shapes colimits and that \mathscr{C} is small. Then a (possibly global) choice function $\operatorname{colim}_{\mathscr{C}} : \operatorname{Fun}(\mathscr{C}, \mathscr{D}) \to \mathscr{D}$ given by choosing a colimit for each functor determines a functor that is left adjoint to the constant diagram functor $\Delta_{\mathscr{C}} : \mathscr{D} \to \operatorname{Fun}(\mathscr{C}, \mathscr{D})$.

Proof. For any functor $F: \mathscr{C} \to \mathscr{D}$, there is a bijection $\mathscr{D}(\operatorname{colim}_{\mathscr{C}} F, Z) \cong \operatorname{Fun}(\mathscr{C}, \mathscr{D})(F, \Delta_{\mathscr{C}} Z)$.

Definition 12. A limit of the functor $F: \mathscr{C} \to \mathscr{D}$ is precisely the colimit of $F^{op}: \mathscr{C}^{op} \to \mathscr{D}^{op}$.

Explicitly, a limit for $F: \mathscr{C} \to \mathscr{D}$ is an object Z of \mathscr{D} and a natural transformation $p: \Delta_{\mathscr{C}}Z \Rightarrow F$ such that for any $Y \in \text{ob}\,\mathscr{D}$ and natural transformation $q: \Delta_{\mathscr{C}}Y \Rightarrow F$, there is a unique morphism $g: Y \to Z$ such that $q = p \circ \Delta_{\mathscr{C}}q$.

Remark 4. The colimit of a functor F is the limit of F^{op} . Hence limit and colimit are dual properties, and the above results for colimits can be dualized.

Example 13. If \mathscr{C} is the empty category, then the empty functor $F : \mathscr{C} \to \mathscr{D}$ has $F/\Delta_{\mathscr{C}} \cong \mathscr{D}$, so that the colimit is an initial object of \mathscr{D} .

Definition 14. Let \mathscr{J} be a discrete small category. Consider a diagram $\{A_i\}_{i\in I}$ of shape \mathscr{J} .

- 1. A limit for this diagram is the *product* $\prod_i A_i$ equipped with projections $\pi_i : \prod_i A_i \to A_i$ such that for every $f_i : U \to A_i$ there is some unique $f : U \to \prod_i A_i$ with $\pi_i \circ f = f_i$.
- 2. Dually, a colimit for the diagram is the *coproduct* $\sum_i A_i$ equipped with inclusions $u_i: A_i \to \sum_i A_i$ such that for any $f_i: A_i \to Y$, there is some unique $f: \sum_i A_i \to Y$ with $f_i = f \circ u_i$.

Example 15. Familiar examples of limits include cartesian products and direct products, whereas familiar examples of colimits include disjoint unions and free products.

Let \mathscr{J} be the category $\bullet \Rightarrow \bullet$. Then a diagram of shape \mathscr{J} looks like $A \stackrel{f}{\underset{g}{\Rightarrow}} B$. A cone over this with apex C and legs $f_1: C \to A$ and $f_2: C \to B$ satisfies $f f_1 = f_2 = g f_1$.

Definition 16. If such an object C together with f_1 is the limit of the diagram, then we say it is the equalizer of f and g. Dually, a colimit is called the coequalizer.

Example 17. The equalizer in **Set** of $f, g: X \to Y$ is the subset $X' := \{x \in X : f(x) = g(x)\}$ together with the inclusion $X' \hookrightarrow X$. The coequalizer of (f,g) is Y / \sim together with the quotient map on B where \sim is the smallest equivalence relation under which $f(x) \sim g(x)$ for every x.

Remark 5. The same idea applies to Grp. The relation \sim just becomes a certain minimal normal subgroup.

Let \mathscr{J} be the category $\bullet \to \bullet \leftarrow \bullet$. Then a diagram of this shape looks like $B \xrightarrow{f} D \xleftarrow{g} A$, while a cone over this diagram looks like

$$\begin{array}{ccc}
C & \xrightarrow{j} & A \\
\downarrow & & \downarrow g \\
B & \xrightarrow{f} & D
\end{array}$$

Definition 18. If such an object C together with i and j is the limit of this diagram, then we call it the pullback of f and g, denoted by $B \times_D A$.

Definition 19. We can perform an analogous construction for \mathscr{J}^{op} . Then the colimit of the resulting diagram is called the *pushout*, denoted by $B \cup_D A$.

Example 20. The pullback in **Set** of $f: X \to Z$ and $g: Y \to Z$ is the subset $\{(x, y) \in X \times Y : f(x) = g(y)\}$, called the *fiber product* of X and Y over Z.

Theorem 8 (Freyd).

- (i) If C has equalizers and all small (resp. finite) products, then it has all small (resp. finite) limits.
- (ii) If $\mathscr C$ has pullbacks and a terminal object, then it has all finite limits.

Proof.

- 1. See Theorem 4.9 (Johnstone).
- 2. Thanks to part (i), it suffices to show that $\mathscr C$ has equalizers and all finite products. By assumption there is some terminal object 1. Then any product $A_1 \times A_2$ can be realized as the pullback of $A_1 \to 1 \leftarrow A_2$. By induction $\mathscr C$ has all finite products. Moreover, for morphisms $f, g: A \to B$, note that any cone over the diagram

$$A \xrightarrow[(1_A,g)]{} A \times B \xleftarrow[(1_A,f)]{} A$$

admits morphisms $h: A \to C$ and $k: C \to A$ such that h = k and fk = gh. Thus the pullback for this diagram is an equalizer for (f, g), completing the proof.

Corollary 9. Both Set and Grp are complete and cocomplete (or bicomplete).

It turns out that adjoints interact nicely with (co)limits under mild conditions.

Proposition 10. Let $F: \mathscr{C} \to \mathscr{D}$ and $G: \mathscr{D} \to \mathscr{C}$ be an adjoint pair and \mathscr{E} small. If $X: \mathscr{E} \to \mathscr{C}$ is a functor with $\operatorname{colim}_{\mathscr{E}} X$, then

$$\operatorname{colim}_{\operatorname{\mathscr{E}}}(F\circ X)=F(\operatorname{colim}_{\operatorname{\mathscr{E}}}X).$$

Dually, if $Y : \mathscr{E} \to \mathscr{D}$ is a functor with $\lim_{\mathscr{E}} Y$, then

$$\lim_{\mathscr{E}}(G\circ Y)=G(\lim_{\mathscr{E}}Y).$$

Proof. We have the following chain of natural bijections for each $Y \in \mathcal{D}$:

$$\begin{split} \mathscr{D}(F(\operatorname{colim}_{\mathscr{E}}),Y) &\cong \mathscr{C}(\operatorname{colim}_{\mathscr{E}}X,G(Y)) \\ &\cong \lim_{\mathscr{E}}\mathscr{C}(X(-),G(Y)) \\ &\cong \lim_{\mathscr{E}}\mathscr{D}(F(X(-)),Y) \\ &\cong \mathbf{Fun}(\mathscr{E},\mathscr{D})(F\circ X,\Delta Y). \end{split}$$

The second bijection follows from the fact that both sets can be identified with the components of the natural transformations from X to $\Delta G(Y)$.

Definition 21. Let $F: \mathscr{C} \to \mathscr{D}$ be a functor. The *fiber category* $F^{-1}(Y)$ is the full subcategory of \mathscr{C} generated by the objects X with F(X) = Y.

Definition 22. Suppose \mathscr{C} has terminal object 1. A cofiber of a morphism $f: X \to Y$ is a pushout of the diagram $1 \leftarrow X \to Y$. We write Y/X. Further, given a morphism $p: 1 \to Y$, the fiber of f at p is the pullback of $1 \to Y \leftarrow X$, written as $f^{-1}(p)$.

Let $F: \mathscr{C} \to \mathscr{D}$ be a functor. For each $Y \in \text{ob } \mathscr{D}$, there is a full and faithful functor $F^{-1}(Y) \rightarrowtail F/Y$ given by $X \mapsto (X, \operatorname{Id}_Y)$.

Definition 23. We say that \mathscr{C} is a *precofibered category* over \mathscr{D} if this functor admits a left adjoint given by $(Z, g: F(Z) \to Y) \mapsto g_*(Z)$.

Moreover, there is a full and faithful functor $F^{-1}(Y) \rightarrow Y/F$ defined similarly. We say that \mathscr{C} is a prefibered category over \mathscr{D} if this functor admits a right adjoint given by $(Z, g: Y \rightarrow F(Z)) \mapsto g_*(Z)$.

Definition 24. Let $F: \mathscr{C} \to \mathscr{D}$ be a functor.

1. Let $f:c'\to c$ be a morphism in $\mathscr C$. We say f is cartesian if for any morphism $f':c''\to c$ in $\mathscr C$ and $g:F(c'')\to F(c')$ in $\mathscr D$ such that $Ff\circ g=Ff'$, there exists a unique $\phi:c''\to c$ such that $f'=f\circ \phi$ and $F\phi=g$. In other words, any filling of the following diagram can be lifted to a filling in $\mathscr D$.

$$c'' \xrightarrow{-\exists !} c'$$

$$\downarrow_f$$

$$\downarrow_f$$

2. We say that F is a fibration if for any $c \in \mathscr{C}$ and morphism $f: d \to Fc$, there is a cartesian $\phi: c' \to c$ such that $F\phi = f$. Such ϕ is called a cartesian lifting of f to c.

Example 25. Let **Mod** denote the category of left R-modules where R is a ring. Then the forgetful functor $U : \mathbf{Mod} \to \mathbf{Ring}$ is a fibration.