## Abstract

We present solutions to all exercises for Scott Weinstein's "Model Theory" course at UPenn. These are relatively self-contained and are meant to complement Weinstein's written memoirs of our class meetings.

- **1.** Let I be a countably infinite set. Let  $\mathbb{D} := \langle I, E \rangle$  be a structure where E is an equivalence relation for which there is exactly one equivalence class of size k for each  $k \in \mathbb{Z}_{>1}$ .
  - (1) Show that the set  $\Lambda$  of (first-order) sentences expressing that E is an equivalence relation with exactly one equivalence class of size k for each  $k \in \mathbb{Z}_{>1}$  axiomatizes  $\mathbb{D}$ , i.e.,  $\mathsf{Th}(\mathbb{D}) = \mathsf{Cn}(\Lambda)$  where

$$\mathsf{Cn}(\Lambda) \coloneqq \{ \varphi \in \mathsf{FO}_{\mathbb{D}} \mid \Lambda \models \varphi \} \,.$$

(2) Show that for every (first-order) formula  $\theta(y, \overline{w})$  and every  $\overline{a} \in I$ , the set

$$\theta \left[ \mathbb{D}, \bar{a} \right] := \left\{ x \in \text{dom}(\mathbb{D}) \mid \mathbb{D} \models \theta \left[ x, \bar{a} \right] \right\}$$

is either finite or cofinite.

(1) It suffices to prove that  $\Lambda$  is complete. For, in this case, any two models of  $\Lambda$  must be elementarily equivalent.

**Claim 1.** Let  $\mathbb{E}$  be any model of  $\Lambda$  of size  $\kappa \geq \omega$ . There exists an elementary extension  $\mathbb{E}_{\kappa} \succeq \mathbb{E}$  of size  $\kappa$  such that  $\mathbb{E}_{\kappa}$  has exactly  $\kappa$  equivalence classes each of size  $\kappa$ .

*Proof.* Let  $\lambda$  denote the cardinality of the set of all equivalence classes in dom( $\mathbb{E}$ ). Note that  $\lambda \leq \kappa$ . For every  $\alpha, \beta \in \kappa$ , adjoin to the language of  $\mathbb{E}$  a new constant symbol  $c(\alpha, \beta)$ . Consider the theory

$$\Delta := \Lambda \cup \{ Ec(x,y)c(x,z) \mid x,y,z \in \kappa \} \cup \{ \neg Ec(x,0)c(y,0) \mid x,y \in \kappa, \ x \neq y \}.$$

Any finite subset F of  $\Delta$  is satisfiable by a suitable expansion  $\mathbb{E}_F$  of  $\mathbb{E}$ . Then there exists an ultrafilter on the family of finite subsets of  $\Delta$  such that the ultraproduct

$$\prod_{F\subset\Delta}\mathbb{E}_F/\mathcal{U}$$

satisfies  $\Delta$ . Moreover, its reduct  $\mathbb{A}$  to the language of  $\mathbb{E}$  is an elementary extension of  $\mathbb{E}$ . By the downward Löwenheim-Skolem theorem, there exists a structure  $\mathbb{E}_0$  of size  $\kappa$  such that  $\mathbb{A} \succeq \mathbb{E}_0 \succeq \mathbb{E}$ .

Now, repeat our preceding construction  $\omega$  times to get an increasing chain

$$\mathbb{E} \preceq \mathbb{E}_0 \preceq \mathbb{E}_1 \preceq \mathbb{E}_2 \preceq \cdots$$

of structures such that each  $\operatorname{dom}(\mathbb{E}_i)$  has cardinality  $\kappa$ . Note that  $\mathbb{E}_{\kappa}$  is an elementary extension of  $\mathbb{E}$ . Further, the domain of the direct limit  $\mathbb{E}_{\kappa} := \bigcup_{i \in \omega} \mathbb{E}_i$  also has cardinality  $\kappa$ , so that  $\mathbb{E}_{\kappa}$  has exactly  $\kappa$  equivalence classes. Finally, for any  $x \in \mathbb{E}_{\kappa}$ , x belongs to some  $\mathbb{E}_n$ . Hence the equivalence class [x] has size  $\kappa$  in  $\mathbb{E}_{n+1}$  and thus in  $\mathbb{E}_{\kappa}$ . It follows that every equivalence class in  $\mathbb{E}_{\kappa}$  has size  $\kappa$ .

Suppose, toward a contradiction, that there is a sentence  $\varphi$  in the language of  $\mathbb D$  such that neither  $\varphi$  nor  $\neg \varphi$  belongs to  $\mathsf{Cn}(\Lambda)$ . Then there are models  $\mathbb A^1$  and  $\mathbb A^2$  of  $\Lambda$  a such that  $\mathbb A^1 \models \neg \varphi$  and  $\mathbb A^2 \models \varphi$ . By the Löwenheim-Skolem theorem, we may assume that both of these have size  $\kappa \geq \omega$ . By Claim 1, we thus have two structures  $\mathbb A^1_{\kappa}$  and  $\mathbb A^2_{\kappa}$  such that  $\mathbb A^1_{\kappa} \models \neg \varphi$  and  $\mathbb A^2_{\kappa} \models \varphi$ . But it's easy to see that  $\mathbb A^1_{\kappa}$  and  $\mathbb A^2_{\kappa}$  must be isomorphic, which yields a contradiction.

(2) Suppose, toward a contradiction, that there exist a formula  $\theta(y, w_1, \ldots, w_n)$  and an element  $\bar{a} \in I$  such that  $\theta[\mathbb{D}, \bar{a}]$  is both infinite and coinfinite. Adjoin to the language of  $\mathbb{D}$  new constant symbols  $\bar{e} := (e_1, \ldots, e_n)$ , c, and d. For each  $k \in \mathbb{Z}_{\geq 1}$ , let  $\lambda_k(x)$  denote the formula expressing that the equivalence class of x has cardinality > k. Now, consider the theory

$$\begin{split} \Gamma \coloneqq \Lambda \cup \{\lambda_k(c) \mid k \geq 1\} \cup \{\lambda_k(d) \mid k \geq 1\} \\ \cup \{\neg Ee_i c \mid 1 \leq i \leq n\} \\ \cup \{\neg Ee_i d \mid 1 \leq i \leq n\} \\ \cup \{\theta(c, \bar{e}), \neg \theta(d, \bar{e})\} \end{split}$$

in our new language.

Let F be any finite subset of  $\Gamma$ . Since both  $\theta [\mathbb{D}, \bar{a}]$  and  $\neg \theta [\mathbb{D}, \bar{a}]$  are infinite by assumption, we can find an expansion of  $\mathbb{D}$  that satisfies F by interpreting  $\bar{e}$  as  $\bar{a}$  and both c and d as members of large enough equivalence classes. By the compactness theorem, it follows that there is some model  $\mathbb{C}$  of  $\Gamma$ , which must be infinite. Let  $\mathbb{C}'$  denote the reduct of  $\mathbb{C}$  to the language of  $\mathbb{D}$ . Thanks to the Löwenheim-Skolem theorem, we may assume that  $\mathrm{dom}(\mathbb{C}')$  is countable. Thus, the equivalence classes  $\left[c^{\mathbb{C}}\right]$  and  $\left[d^{\mathbb{C}}\right]$  are countable. Note that  $e_i^{\mathbb{C}} \notin \left[c^{\mathbb{C}}\right] \cup \left[d^{\mathbb{C}}\right]$  for each  $1 \leq i \leq n$ . Therefore, there is an automorphism of  $\mathbb{C}'$  sending  $c^{\mathbb{C}}$  to  $d^{\mathbb{C}}$  and fixing each  $e_i^{\mathbb{C}}$ . But this contradicts the fact that  $\mathbb{C}' \models \theta \left[c^{\mathbb{C}}, \bar{e}^{\mathbb{C}}\right] \wedge \neg \theta \left[d^{\mathbb{C}}, \bar{e}^{\mathbb{C}}\right]$ .

**Definition 1 (Categoricity).** For any cardinal  $\kappa$ , we say that a theory T is  $\kappa$ -categorical if any two models of T of size  $\kappa$  are isomorphic.

2. Show that a  $\mathcal{L}$ -structure  $\mathbb{A}$  is finite if and only if for any  $\mathcal{L}$ -structure  $\mathbb{B}$ ,

$$\mathbb{A} \equiv \mathbb{B} \iff \mathbb{A} \cong \mathbb{B}.$$

Remark. This shows that any complete theory with a finite model is  $\kappa$ -categorical for any cardinal  $\kappa$ .

 $(\Longrightarrow)$ 

It is always true that any two isomorphic structures are elementarily equivalent. Thus, it remains to show that  $\mathbb{A} \equiv \mathbb{B} \implies \mathbb{A} \cong \mathbb{B}$ .

First, assume that  $\mathcal{L}$  is finite. Consider the atomic diagram of  $\mathbb{A}$ , i.e., the set

$$D(A) := \{ \varphi \mid \underline{A} \models \varphi, \ \varphi \text{ is either atomic or the negation of an atomic formula} \}$$

where  $\underline{\mathbb{A}}$  denotes the expansion of  $\mathbb{A}$  obtained by adjoining a constant symbol  $c_a$  for each  $a \in \text{dom}(\mathbb{A})$ . Since both  $\mathcal{L}$  and  $\text{dom}(\mathbb{A})$  are finite, we can encode  $D(\mathbb{A})$  with a single sentence  $\psi$ . Therefore, the sentence

$$\psi_{\mathbb{A}} \coloneqq \forall x \left( \bigvee_{a \in \text{dom}(\mathbb{A})} x = c_a \right) \land \psi$$

has the property that  $\underline{\mathbb{B}} \models \psi_{\mathbb{A}} \Longrightarrow \mathbb{B} \cong \mathbb{A}$  for any other  $\mathcal{L}$ -structure  $\mathbb{B}$ . Now, if  $\mathbb{A} \equiv \mathbb{B}$ , then clearly both  $\underline{\mathbb{A}}$  and  $\underline{\mathbb{B}}$  satisfy  $\psi_{\mathbb{A}}$ , so that  $\mathbb{B} \cong \mathbb{A}$ .

Next, let  $\mathcal{L}$  be arbitrary and let  $\mathbb{A} \equiv \mathbb{B}$ . Suppose, toward a contradiction, that  $\mathbb{A} \ncong \mathbb{B}$ . Then for any bijection  $f : \text{dom}(\mathbb{A}) \to \text{dom}(\mathbb{B})$ , there is some finite sublanguage  $\mathcal{L}_f$  of  $\mathcal{L}$  such that f is *not* an isomorphism  $\mathbb{A}^{\mathcal{L}_f} \to \mathbb{B}^{\mathcal{L}_f}$  of reducts to  $\mathcal{L}_f$ . Consider the language

$$\mathcal{L}' \coloneqq \bigcup_{\substack{f: \operatorname{dom}(\mathbb{A}) \to \operatorname{dom}(\mathbb{B}) \\ \operatorname{bijection}}} \mathcal{L}_f,$$

which is finite as the finite union of finite sets. Thanks to our preceding discussion, we obtain an isomorphism  $g: \mathbb{A}^{\mathcal{L}'} \stackrel{\cong}{\longrightarrow} \mathbb{B}^{\mathcal{L}'}$ . But  $\mathcal{L}_g \subset \mathcal{L}'$  by our construction of  $\mathcal{L}'$ , and thus g induces an isomorphism  $\mathbb{A}^{\mathcal{L}_g} \stackrel{\cong}{\longrightarrow} \mathbb{B}^{\mathcal{L}_g}$ , contrary to our choice of  $\mathcal{L}_g$ .

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Suppose that  $\mathbb{A}$  is infinite. We must find a structure  $\mathbb{B}$  such that  $\mathbb{A} \equiv \mathbb{B}$  but  $\mathbb{A} \ncong \mathbb{B}$ . But this follows at once from the Löwenheim-Skolem theorem, which implies that  $\mathsf{Th}(\mathbb{A})$  has a model of any infinite size.

**Definition 2 (Ehrenfeucht-Fraïssé game).** Suppose that  $\mathcal{L}$  is a finite language without function symbols. Let  $\mathbb{D}$  and  $\mathbb{E}$  be two  $\mathcal{L}$ -structures. Let  $n \in \omega$ . The *Ehrenfeucht-Fraïssé game*  $\mathrm{EF}_n(\mathbb{D},\mathbb{E})$  of length n on  $\mathbb{D}$  and  $\mathbb{E}$  is a game of perfect information played as follows.

- (a) There are exactly two players, the *spoiler* and the *duplicator*.
- (b) There are exactly n rounds.
- (c) The spoiler begins round  $k \leq n$  by picking an element of either dom( $\mathbb{D}$ ) or dom( $\mathbb{E}$ ). Next, the duplicator picks an element of the other domain.
- (d) This yields two sequences  $(d_1, \ldots, d_n)$  and  $(e_1, \ldots, e_n)$  such that  $d_i \in \text{dom}(\mathbb{D})$  and  $e_i \in \text{dom}(\mathbb{E})$  for each  $i = 1, \ldots, n$ . If the mapping  $d_i \mapsto e_i$  defines an isomorphism of finite substructures, then we say that the duplicator has won  $\text{EF}_n(\mathbb{D}, \mathbb{E})$ . Otherwise, we say that the spoiler has won.

**Theorem 3 (Fraïssé).** The duplicator has a winning strategy in  $\mathrm{EF}_n(\mathbb{D},\mathbb{E})$  for each  $n \in \omega$  if and only if  $\mathbb{D} \equiv \mathbb{E}$ .

**3.** Let  $\mathbb{N}^* = \langle \omega, < \rangle$ . Show that for any infinite cardinal  $\kappa$ ,  $\mathsf{Th}(\mathbb{N}^*)$  is not  $\kappa$ -categorical.

Expand the language of  $\mathbb{N}^*$  by adjoining countably many constants  $\{c_i\}_{i\in\mathbb{Z}}$ . Consider the theory

$$T := \mathsf{Th}(\mathbb{N}^*) \cup \{c_i > c_{i+1} \mid i \in \mathbb{Z}\}. \tag{(*)}$$

in our new language. Any finite subset of T is satisfied by an expansion of  $\mathbb{N}^*$  suitably interpreting the  $c_i$  since  $\mathbb{N}^*$  has descending chains of all finite lengths. By the compactness theorem, it follows that there is some model  $\mathbb{A}$  of T, which must be infinite. If  $|\mathbb{A}| > \aleph_0$ , then apply the Löwenheim-Skolem theorem to get a model  $\mathbb{B}$  of T such that  $|\mathbb{B}| = \aleph_0$ . Let

$$\mathbb{A}' = \begin{cases} \mathbb{B} & |\mathbb{A}| > \aleph_0 \\ \mathbb{A} & |\mathbb{A}| = \aleph_0 \end{cases}.$$

Note that  $\mathbb{A}' \models T$ . Since the property of being a linearly ordered set is expressible by a first-order sentence, we see that  $\mathbb{A}'$  is linearly ordered by <. Further, we see that  $\mathbb{A}'$  has an infinite descending chain, which means that  $\mathbb{A}'$  is not well-ordered by <. But  $(\omega, <)$  is a well-ordered set, and thus the reduct of  $\mathbb{A}'$  to the language of  $\mathbb{N}^*$  is not isomorphic to  $\mathbb{N}^*$ . It does, however, satisfy  $\mathsf{Th}(\mathbb{N}^*)$ . This shows that  $\mathsf{Th}(\mathbb{N}^*)$  is not  $\aleph_0$ -categorical.

Unfortunately, it's unclear that this method can be adapted to show that  $\mathsf{Th}(\mathbb{N}^*)$  is not  $\kappa$ -categorical when  $\kappa$  is uncountable. In this case, we instead shall employ two binary operations on the class of all linear orderings. Let  $L_1$  and  $L_2$  be linearly ordered sets.

- $L_1^{\text{op}}$  refers to  $L_1$  equipped with the inverse order.
- $L_1 \cdot L_2$  refers to  $L_1 \times L_2$  equipped with the lexicographic order.
- $L_1 + L_2$  refers to  $L_1$  with its ordering followed by  $L_2$  with its ordering.

Now, consider the following linearly ordered structures:

$$\mathbb{N}^* + (\mathbb{Z} \cdot \kappa)$$
$$\mathbb{N}^* + (\mathbb{Z} \cdot (\mathbb{Q} + \kappa)),$$

both of which have cardinality  $\kappa$ . These orderings possess minimal elements and are discrete in the sense that both structures satisfy the sentences

$$\forall x \exists y (x < y \land \neg \exists z (x < z \land z < y))$$
  
$$\forall x (\exists w (w < x) \rightarrow \exists y (y < x \land \neg \exists z (y < z \land z < x))).$$
 (1)

(Informally, we can view y here as the successor/predecessor of x.) Note that, on the one hand,  $\mathbb{N}^* + (\mathbb{Z} \cdot \kappa)$  cannot possess an descending chain of length  $\omega^2$ , for otherwise  $\kappa$ , which is well-ordered, would possess an infinite descending chain. On the other hand,  $\mathbb{N}^* + (\mathbb{Z} \cdot (\mathbb{Q} + \kappa))$  does possess such a chain since  $\omega^*$  (the order type of  $\mathbb{Z}_{<0}$ ) can be embedded in  $\mathbb{Q}$ . Therefore,

$$\mathbb{N}^* + (\mathbb{Z} \cdot \kappa) \ncong \mathbb{N}^* + (\mathbb{Z} \cdot (\mathbb{Q} + \kappa)).$$

**Claim 2.** Suppose that  $(\mathbb{E}, <)$  is a discrete linear ordering with a minimal element but no maximal element. Then  $\mathbb{E} \equiv \mathbb{N}^*$ .

*Proof sketch.* Consider the Ehrenfeucht-Fraïssé game  $\mathrm{EF}_n(\mathbb{E},\mathbb{N}^*)$ . The duplicator has a winning strategy in  $\mathrm{EF}_n(\mathbb{E},\mathbb{N}^*)$  by adhering to the following rules.

- (i) If, in round m, the spoiler chooses an element of one of the structures that is connected to a previously chosen element or the minimal element by a path of successors of length  $k < \infty$ , then choose the corresponding element of the other structure in round m.
- (ii) Otherwise, make sure that any chosen element of  $\operatorname{dom}(\mathbb{N}^*)$  is always separated by at least n+1 elements from any previously chosen element of  $\operatorname{dom}(\mathbb{N}^*)$  while preserving the required order of your choices. In this case, choose first a natural number separated by more than  $3^n$  elements from the greatest previously chosen element of  $\operatorname{dom}(\mathbb{N}^*)$ .

Thanks to Theorem 3, it follows that both  $\mathbb{N}^* + (\mathbb{Z} \cdot \kappa)$  and  $\mathbb{N}^* + (\mathbb{Z} \cdot (\mathbb{Q} + \kappa))$  are elementarily equivalent to  $\mathbb{N}^*$  and thus models of  $\mathsf{Th}(\mathbb{N}^*)$ . Hence  $\mathsf{Th}(\mathbb{N}^*)$  is not  $\kappa$ -categorical.

**4.** Show that any set definable over  $\mathbb{N}^*$  is either finite or cofinite.

*Remark.* This shows that  $\mathbb{N}^*$  is *o-minimal* in the sense that every definable set over  $\mathbb{N}^*$  is a finite union of points and intervals in  $\omega$ .

Note that any set definable over  $\mathbb{N}^*$  is 0-definable because any natural number n is uniquely determined by the first-order property

$$\begin{cases} "n \text{ is less than any other element"} & n=0\\ "\text{there are exactly } n-1 \text{ elements between } 0 \text{ (the minimal element) and } n" & n>1 \end{cases}$$

Suppose, toward a contradiction, that there exist a formula  $\theta(y)$  such that  $\theta[\mathbb{N}^*]$  is both infinite and coinfinite. Consider, again, the theory  $(\star)$ . Let

$$T' = T \cup \{\theta(c_0), \neg \theta(c_1)\}.$$

Since both  $\theta[\mathbb{N}^*]$  and  $\neg \theta[\mathbb{N}^*]$  are infinite by assumption, we can find an expansion of  $\mathbb{N}^*$  that satisfies any finite subset of T', By the compactness theorem together with the Löwenheim-Skolem theorem, we thus can find a countable model  $\mathbb{D}$  of T' and take its reduct  $\mathbb{C}$  to the language of  $\mathbb{N}^*$ . Note that  $(\text{dom}(\mathbb{C}), <)$  is a

countable linear ordering with an infinite descending and ascending chain  $\chi$  on which both  $c_0^{\mathbb{D}}$  and  $c_1^{\mathbb{D}}$  lie. Moreover, this ordering is discrete in the sense of (1). Therefore, we may assume that  $\chi$  has the form

$$\cdots < x_{m-1} < x_m < x_{m+1} < \cdots$$

where  $x_{m+1}$  denotes the immediate successor of  $x_m$ . There is an automorphism of  $\mathbb{C}$  mapping  $c_0^{\mathbb{D}}$  to  $c_1^{\mathbb{D}}$  by suitably shifting  $\chi$  finitely many places to the left and fixing all elements outside  $\chi$ . But this contradicts the fact that  $\mathbb{C} \models \theta \ [c_0^{\mathbb{D}}] \land \neg \theta \ [c_1^{\mathbb{D}}]$ .

5. Consider the theory DLO of the dense linear ordering without endpoints. For any uncountable cardinal  $\kappa$ , show that there are  $2^{\kappa}$  many models of DLO up to isomorphism.

Remark. This shows that DLO is not  $\kappa$ -categorical even though it is  $\aleph_0$ -categorical.

Consider the linear orderings

$$L_1 := \mathbb{Q} \cdot (\omega_1^{\text{op}} + \omega_1)$$
  
$$L_2 := \mathbb{Q} \cdot (1 + \omega_1^{\text{op}} + \omega_1).$$

Now, by replacing each  $\alpha \in \kappa$  with a choice of  $L_1$  or  $L_2$ , we obtain  $2^{\kappa}$  many dense linear orderings  $\{P_{\beta}\}_{{\beta}<2^{\kappa}}$  without endpoints such that  $|P_{\beta}| = \kappa$  for ever  $\beta$ . It remains to show that these are pairwise non-isomorphic.

To this end, suppose that there is an isomorphism  $f: P_{\beta} \xrightarrow{\cong} P_{\beta'}$ . By construction, both  $P_{\beta}$  and  $P_{\beta'}$  consist of  $\kappa$ -sequences

$$L_{i_0} < L_{i_1} < \dots < L_{i_{\alpha}} < \dots$$
  
 $L_{i'_0} < L_{i'_1} < \dots < L_{i'_{\alpha}} < \dots$ 

respectively, where  $i_{\alpha}, i'_{\alpha} \in \{1, 2\}$  Since any isomorphism of well-ordered sets is unique, we see that the function  $f \upharpoonright_{L_{i_{\alpha}}}$  is an isomorphism  $L_{i_{\alpha}} \xrightarrow{\cong} L_{i'_{\alpha}}$  for any  $\alpha \in \kappa$ .

Claim 3.  $L_1 \not\cong L_2$ .

*Proof.* On the one hand,  $L_1$  has a suborder isomorphic to  $\omega_1^{\text{op}}$  with no lower bound in  $L_1$ . On the other hand, any such suborder of  $L_2$  has a lower bound in  $L_2$ . Hence there is no isomorphism from  $L_1$  to  $L_2$ .  $\square$ 

It follows that  $L_{i_{\alpha}} = L_{i'_{\alpha}}$  for every  $\alpha \in \kappa$ , which completes our proof.

**Definition 4.** Let T be a theory and let  $\Gamma(\bar{x})$  be a set of formulas in free variables  $x_1, \ldots, x_n$ . We say that  $\Gamma$  is an n-type over T if for any finite subset  $\Delta \subset \Gamma$ , the expanded theory

$$T \cup \left\{ (\exists \bar{x}) \bigwedge \Delta \right\}$$

is satisfiable.

Notation. Let  $\mathbb{M}$  be an  $\mathcal{L}$ -structure and let  $A \subset \text{dom}(\mathbb{M})$ . Let  $\mathcal{L}_A = \mathcal{L} \cup \{c_a \mid a \in A\}$  and let  $\mathbb{M}_A$  denote the  $\mathcal{L}_A$ -structure induced by  $\mathbb{M}$ . Then  $\mathbb{S}_n^{\mathbb{M}}(A)$  refers to the set of all complete n-types over  $\mathsf{Th}_A(\mathbb{M}) := \mathsf{Th}(\mathbb{M}_A)$ .

**Definition 5 (Stability).** Let T be a complete theory in  $\mathcal{L}$  and let  $\kappa$  be an infinite cardinal. We say that T is  $\kappa$ -stable if whenever  $\mathbb{M} \models T$ ,  $A \subset \text{dom}(\mathbb{M})$ , and  $|A| = \kappa$ , we have that  $|\mathbb{S}_n^{\mathbb{M}}(A)| = \kappa$ .

**6.** Let  $\mathbb{A}$  be a structure and  $\theta(x,y)$  be a formula in the language of  $\mathbb{A}$ . Suppose that  $B \subset \text{dom}(\mathbb{A})$  is an infinite set on which  $\theta[\mathbb{A}]$  is a linear order  $\prec$ . Show that  $\mathsf{Th}(\mathbb{A})$  is not  $\omega$ -stable (i.e.,  $\aleph_0$ -stable).

Thanks to the axiom of dependent choice, we can find a countably infinite chain of at least one of the following two forms.

$$a_0 \prec b_0 \prec a_1 \prec b_1 \prec a_2 \prec b_2 \prec \cdots$$
  
  $\cdots \prec b_2 \prec a_2 \prec b_1 \prec a_1 \prec b_0 \prec a_0$ 

with  $a_i, b_i \in B$  for each i = 0, 1, 2, ... Without loss of generality, assume that we can find the former kind of chain and that  $\theta$  has the form  $x \prec y$ . In this case,

$$\mathbb{A} \models \theta[a_i, b_j] \iff i \le j. \tag{*}$$

**Claim 4.** There exist sequences  $(a_x)_{x\in 2^{\aleph_0}}$  and  $(b_x)_{x\in 2^{\aleph_0}}$  along with a model  $\mathbb{A}'$  of  $\mathsf{Th}(\mathbb{A})$  such that

$$\mathbb{A}' \models \theta[a_x, b_y] \iff x \le y.$$

*Proof.* Adjoin to the language of  $\mathbb{A}$  two new constant symbols  $c_x$  and  $d_y$  for every  $x, y \in 2^{\aleph_0}$ . Consider the theory

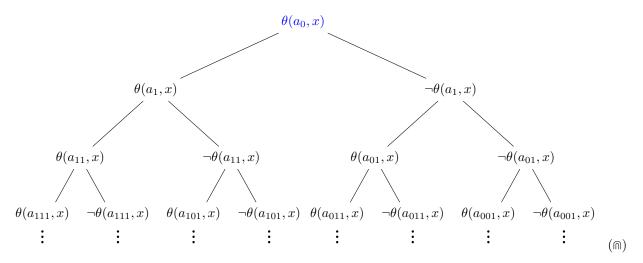
$$\Gamma := \mathsf{Th}(\mathbb{A}) \cup \left\{ \theta(c_x, d_y) \mid x, y \in 2^{\aleph_0}, \ x \leq y \right\} \cup \left\{ \neg \theta(c_x, d_y) \mid x, y \in 2^{\aleph_0}, \ x > y \right\}.$$

in our expanded language. In light of (\*), any finite subset of  $\Gamma$  is satisfiable by a suitable expansion of  $\mathbb{A}$ . Thus, by the compactness theorem,  $\Gamma$  has a model  $\mathbb{B}$ . Finally, let  $\mathbb{A}'$  denote the reduct of  $\mathbb{B}$  to the language of  $\mathbb{A}$ .

Instead of indexing the sequences  $(a_x)$  and  $(b_x)$  by  $(2^{\aleph_0}, \in)$ , let us index them by the set of all  $2^{\aleph_0}$ -indexed binary strings  $\sigma$  under the string order <. We have that

$$\mathbb{A}' \models \theta[a_{\sigma}, b_{\sigma'}] \iff \sigma \leq \sigma'.$$

Consider the countably infinite subset  $X := \{a_{\sigma} \mid \sigma \in 2^{\aleph_0}\}$  of dom( $\mathbb{A}'$ ). By recursion, we can build a binary tree of the form



with height  $\omega$ . We call nodes of the form  $\theta(a_{\sigma}, x)$  positive and those of the form  $\neg \theta(a_{\sigma}, x)$  negative. Let U denote any branch of  $(\Cap)$ . Let  $U_p$  denote the set of all strings  $\sigma \in 2^{\aleph_0}$  such that  $a_{\sigma}$  occurs in a positive node of U. Since  $U_p$  is countable, it has an upper bound in  $(2^{\aleph_0}, <)$ . Since  $(2^{\aleph_0}, <)$  is a complete order and  $2^{\aleph_0}$  is a limit ordinal, it follows that  $U_p$  has a supremum  $\tau$  in  $2^{\aleph_0}$ . By construction of  $(\Cap)$ , if  $\theta(a_{\sigma}, x)$  is a positive node of U and  $\neg \theta(a_{\sigma'}, x)$  is a negative one, then  $\sigma' > \sigma$ . Hence  $\tau \leq \sigma'$  for any  $\sigma'$  occurring in a negative node of U. As a result, we see that  $\mathbb{A}' \models \varphi[a_{\sigma}, b_{\tau}]$  for any node  $\varphi$  of U.

Therefore, every branch of  $(\bigcap)$  determines a unique 1-type over  $\mathsf{Th}_Y(\mathbb{A}')$  where

$$Y := \{x \in X \mid x \text{ occurs in a node of } (\bigcap) \}.$$

This shows that  $\left|\mathbb{S}_1^{\mathbb{A}'}(Y)\right|=2^{\aleph_0}>\aleph_0.$  But (1) has exactly

$$\left| \bigcup_{n \in \omega} 2^n \right| = \aleph_0$$

many nodes, so that  $|Y| = \aleph_0$ . Hence  $\mathsf{Th}(\mathbb{A})$  is not  $\omega$ -stable.

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