

# Operating Systems (Honor Track)

## Scheduling 4: Deadlock & Scheduling in Modern Computer Systems

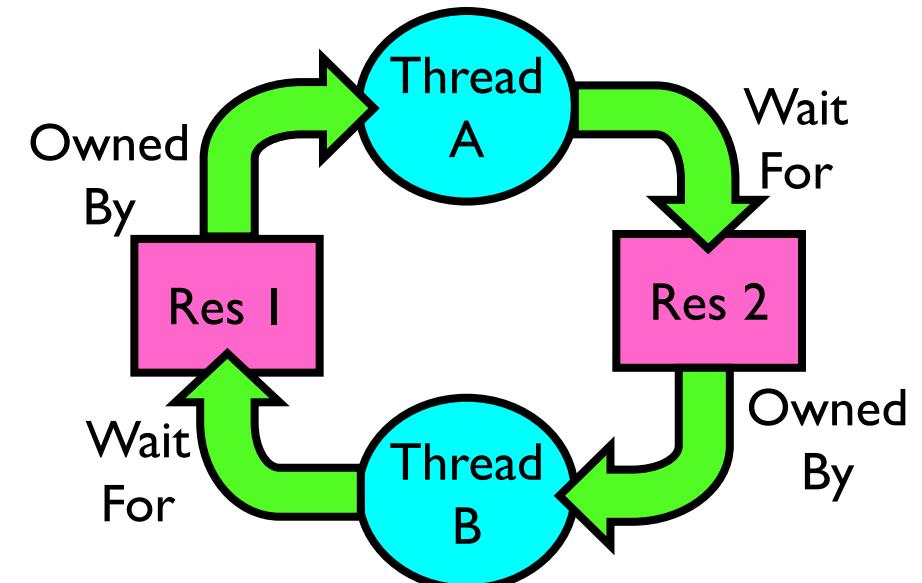
Xin Jin

Spring 2022

Acknowledgments: Ion Stoica, Berkeley CS 162

# Recap: Deadlock: A Deadly type of Starvation

- Starvation: thread waits indefinitely
  - Example, low-priority thread waiting for resources constantly in use by high-priority threads
- Deadlock: circular waiting for resources
  - Thread A owns Res 1 and is waiting for Res 2
  - Thread B owns Res 2 and is waiting for Res 1
- Deadlock  $\Rightarrow$  Starvation but not vice versa
  - Starvation can end (but doesn't have to)
  - Deadlock can't end without external intervention



# Recap: Four requirements for occurrence of Deadlock

- Mutual exclusion
  - Only one thread at a time can use a resource.
- Hold and wait
  - Thread holding at least one resource is waiting to acquire additional resources held by other threads
- No preemption
  - Resources are released only voluntarily by the thread holding the resource, after thread is finished with it
- Circular wait
  - There exists a set  $\{T_1, \dots, T_n\}$  of waiting threads
    - »  $T_1$  is waiting for a resource that is held by  $T_2$
    - »  $T_2$  is waiting for a resource that is held by  $T_3$
    - » ...
    - »  $T_n$  is waiting for a resource that is held by  $T_1$

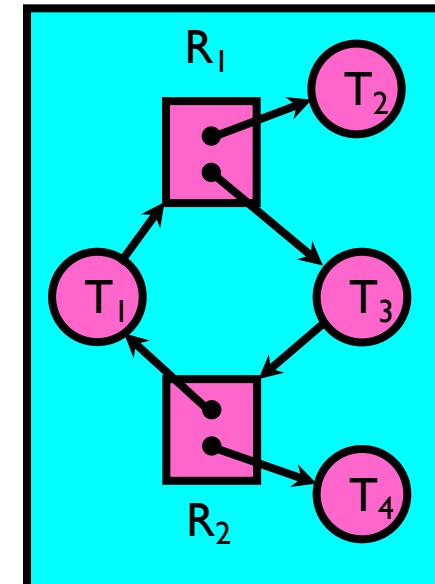
# Recap: Deadlock Detection Algorithm

- Let  $[X]$  represent an m-ary vector of non-negative integers (quantities of resources of each type):

$[\text{FreeResources}]$ : Current free resources each type  
 $[\text{Request}_X]$ : Current requests from thread X  
 $[\text{Alloc}_X]$ : Current resources held by thread X

- See if tasks can eventually terminate on their own

```
[Avail] = [FreeResources]
Add all nodes to UNFINISHED
do {
    done = true
    For each node in UNFINISHED {
        if ([Requestnode] <= [Avail]) {
            remove node from UNFINISHED
            [Avail] = [Avail] + [Allocnode]
            done = false
        }
    }
} until(done)
```



- Nodes left in UNFINISHED  $\Rightarrow$  deadlocked

# How should a system deal with deadlock?

- Four different approaches:
  1. Deadlock prevention: write your code in a way that it isn't prone to deadlock
  2. Deadlock recovery: let deadlock happen, and then figure out how to recover from it
  3. Deadlock avoidance: dynamically delay resource requests so deadlock doesn't happen
  4. Deadlock denial: ignore the possibility of deadlock
- Modern operating systems:
  - Make sure the *system* isn't involved in any deadlock
  - Ignore deadlock in applications
    - » “Ostrich Algorithm”

# Techniques for Preventing Deadlock

- Infinite resources
  - Include enough resources so that no one ever runs out of resources.  
Doesn't have to be infinite, just large
  - Give illusion of infinite resources (e.g. virtual memory)
  - Examples:
    - » Bay bridge with 12,000 lanes. Never wait!
    - » Infinite disk space (not realistic yet?)
- No Sharing of resources (totally independent threads)
  - Not very realistic
- Don't allow waiting
  - How the phone company avoids deadlock
    - » Call Mom in Toledo, works way through phone network, but if blocked get busy signal.
  - Technique used in Ethernet/some multiprocessor nets
    - » Everyone speaks at once. On collision, back off and retry
  - Inefficient, since have to keep retrying
    - » Consider: driving to San Francisco; when hit traffic jam, suddenly you're transported back home and told to retry!

# (Virtually) Infinite Resources

## Thread A

**AllocateOrWait(1 MB)**

**AllocateOrWait(1 MB)**

**Free(1 MB)**

**Free(1 MB)**

## Thread B

**AllocateOrWait(1 MB)**

**AllocateOrWait(1 MB)**

**Free(1 MB)**

**Free(1 MB)**

- With virtual memory we have “infinite” space so everything will just succeed, thus above example won’t deadlock
  - Of course, it isn’t actually infinite, but certainly larger than 2MB!

# Techniques for Preventing Deadlock

- Make all threads request everything they'll need at the beginning.
  - Problem: Predicting future is hard, tend to over-estimate resources
  - Example:
    - » If need 2 chopsticks, request both at same time
    - » Don't leave home until we know no one is using any intersection between here and where you want to go; only one car on the Bay Bridge at a time
- Force all threads to request resources in a particular order preventing any cyclic use of resources
  - Thus, preventing deadlock
  - Example (x.Acquire(), y.Acquire(), z.Acquire(),...)
    - » Make tasks request disk, then memory, then...
    - » Keep from deadlock on freeways around SF by requiring everyone to go clockwise

# Request Resources Atomically (1)

Rather than:

Thread A:

```
x.Acquire();  
y.Acquire();  
...  
y.Release();  
x.Release();
```

Thread B:

```
y.Acquire();  
x.Acquire();  
...  
x.Release();  
y.Release();
```

Consider instead:

Thread A:

```
Acquire_both(x, y);  
...  
y.Release();  
x.Release();
```

Thread B:

```
Acquire_both(y, x);  
...  
x.Release();  
y.Release();
```

## Request Resources Atomically (2)

Or consider this:

Thread A

```
z.Acquire();  
x.Acquire();  
y.Acquire();  
z.Release();  
  
...  
y.Release();  
x.Release();
```

Thread B

```
z.Acquire();  
y.Acquire();  
x.Acquire();  
z.Release();  
  
...  
x.Release();  
y.Release();
```

# Acquire Resources in Consistent Order

Rather than:

Thread A:

```
x.Acquire();  
y.Acquire();  
...  
y.Release();  
x.Release();
```

Thread B:

```
y.Acquire();  
x.Acquire();  
...  
x.Release();  
y.Release();
```

Consider instead:

Thread A:

```
x.Acquire();  
y.Acquire();  
...  
y.Release();  
x.Release();
```

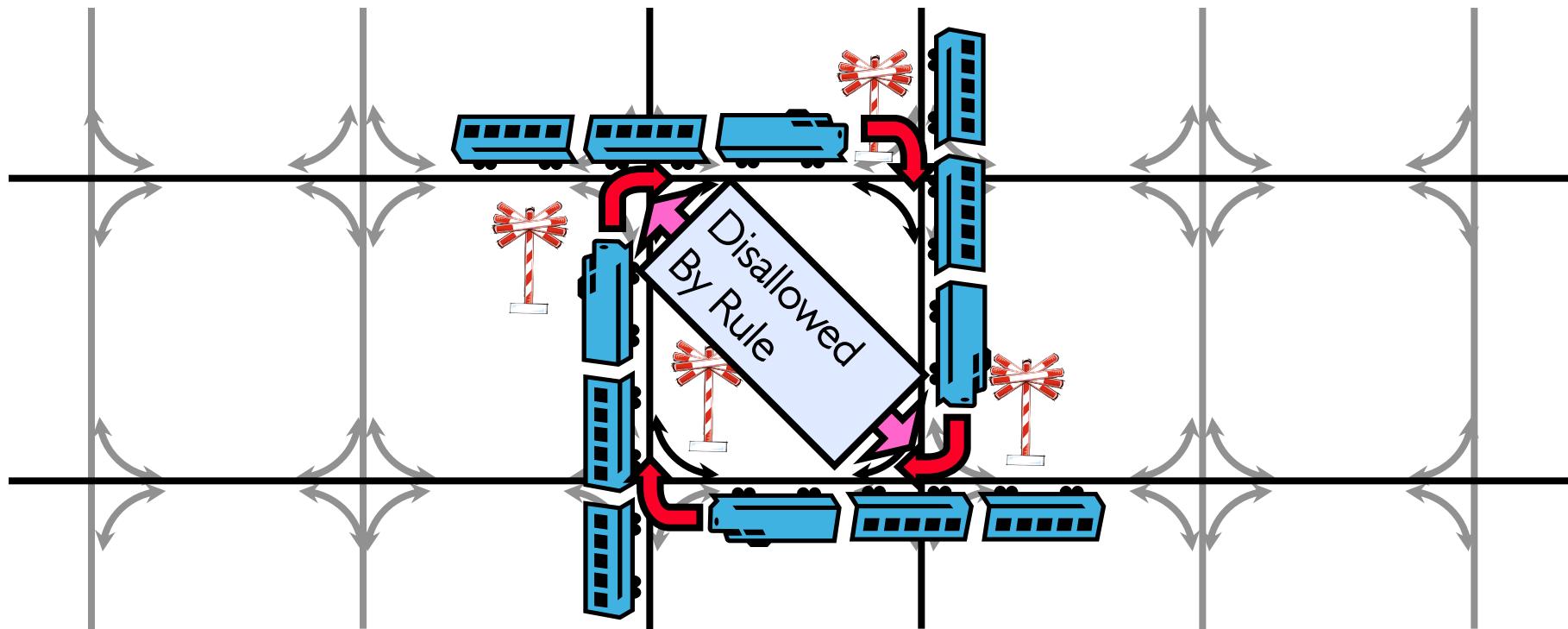
Thread B:

```
x.Acquire();  
y.Acquire();  
...  
x.Release();  
y.Release();
```

Does it matter in which  
order the locks are  
released?

## Review: Train Example (Wormhole-Routed Network)

- Circular dependency (Deadlock!)
  - Each train wants to turn right
  - Blocked by other trains
  - Similar problem to multiprocessor networks
- Fix? Imagine grid extends in all four directions
  - Force ordering of channels (tracks)
    - » Protocol: Always go east-west first, then north-south
  - Called “dimension ordering” (X then Y)



# Techniques for Recovering from Deadlock

- Terminate thread, force it to give up resources
  - In Bridge example, Godzilla picks up a car, hurls it into the river. Deadlock solved!
  - Hold dining lawyer in contempt and take away in handcuffs
  - But, not always possible – killing a thread holding a mutex leaves world inconsistent
- Preempt resources without killing off thread
  - Take away resources from thread temporarily
  - Doesn't always fit with semantics of computation
- Roll back actions of deadlocked threads
  - Hit the rewind button on TiVo, pretend last few minutes never happened
  - For bridge example, make one car roll backwards (may require others behind him)
  - Common technique in databases (transactions)
  - Of course, if you restart in exactly the same way, may reenter deadlock once again
- Many operating systems use other options

## Another view of virtual memory: Pre-empting Resources

Thread A:

**AllocateOrWait(1 MB)**

**AllocateOrWait(1 MB)**

**Free(1 MB)**

**Free(1 MB)**

Thread B:

**AllocateOrWait(1 MB)**

**AllocateOrWait(1 MB)**

**Free(1 MB)**

**Free(1 MB)**

- Before: With virtual memory we have “infinite” space so everything will just succeed, thus above example won’t deadlock
  - Of course, it isn’t actually infinite, but certainly larger than 2MB!
- Alternative view: we are “pre-empting” memory when paging out to disk, and giving it back when paging back in
  - This works because thread can’t use memory when paged out

# Techniques for Deadlock Avoidance

- Idea: When a thread requests a resource, OS checks if it would result in deadlock
  - If not, it grants the resource right away
  - If so, it waits for other threads to release resources

**THIS DOES NOT WORK!!!**

- Example:

	<u>Thread A:</u>	<u>Thread B:</u>
Blocks...	<b>x.Acquire();</b>	<b>y.Acquire();</b>
	<b>y.Acquire();</b>	<b>x.Acquire();</b>
	...	...
	<b>y.Release();</b>	<b>x.Release();</b>
	<b>x.Release();</b>	<b>y.Release();</b>

Wait?

But it's already too late...

# Deadlock Avoidance: Three States

- Safe state
  - System can delay resource acquisition to prevent deadlock
- Unsafe state
  - No deadlock yet...
  - But threads can request resources in a pattern that ***unavoidably*** leads to deadlock

Deadlock avoidance: prevent system from reaching an *unsafe* state
- Deadlocked state
  - There exists a deadlock in the system
  - **Also considered “unsafe”**

# Deadlock Avoidance

- Idea: When a thread requests a resource, OS checks if it would result in ~~deadlock~~ an unsafe state
  - If not, it grants the resource right away
  - If so, it waits for other threads to release resources
- Example:

Thread A:

```
x.Acquire();  
y.Acquire();  
...  
y.Release();  
x.Release();
```

Thread B:

```
y.Acquire();  
x.Acquire();  
...  
x.Release();  
y.Release();
```

Wait until  
Thread A  
releases  
mutex X

# Banker's Algorithm for Avoiding Deadlock

- Toward right idea:
  - State maximum (max) resource needs in advance
  - Allow particular thread to proceed if:  
 $(\text{available resources} - \# \text{requested}) \geq \text{max}$   
remaining that might be needed by any thread
- Banker's algorithm:
  - Allocate resources dynamically
    - » Evaluate each request and grant if some ordering of threads is still deadlock free afterward
    - » Technique: pretend each request is granted, then run deadlock detection algorithm, substituting:  
 $([\text{Max}_{\text{node}}] - [\text{Alloc}_{\text{node}}] \leq [\text{Avail}])$  for  $([\text{Request}_{\text{node}}] \leq [\text{Avail}])$   
Grant request if result is deadlock free



# Banker's Algorithm for Avoiding Deadlock

```
[Avail] = [FreeResources]
    Add all nodes to UNFINISHED
    do {
        done = true
        For each node in UNFINISHED {
            if ([Requestnode] <= [Avail]) {
                remove node from UNFINISHED
                [Avail] = [Avail] + [Allocnode]
                done = false
            }
        }
    } until(done)
```



- » Evaluate each request and grant if some ordering of threads is still deadlock free afterward
- » Technique: pretend each request is granted, then run deadlock detection algorithm, substituting:  
$$([Max_{node}] - [Alloc_{node}] \leq [Avail])$$
 for  $([Request_{node}] \leq [Avail])$   
Grant request if result is deadlock free

# Banker's Algorithm for Avoiding Deadlock

```
[Avail] = [FreeResources]
    Add all nodes to UNFINISHED
    do {
        done = true
        For each node in UNFINISHED {
            if ([Maxnode]-[Allocnode] <= [Avail]) {
                remove node from UNFINISHED
                [Avail] = [Avail] + [Allocnode]
                done = false
            }
        }
    } until(done)
```



- » Evaluate each request and grant if some ordering of threads is still deadlock free afterward
- » Technique: pretend each request is granted, then run deadlock detection algorithm, substituting:  
$$([Max_{node}]-[Alloc_{node}] \leq [Avail])$$
 for  $([Request_{node}] \leq [Avail])$   
Grant request if result is deadlock free

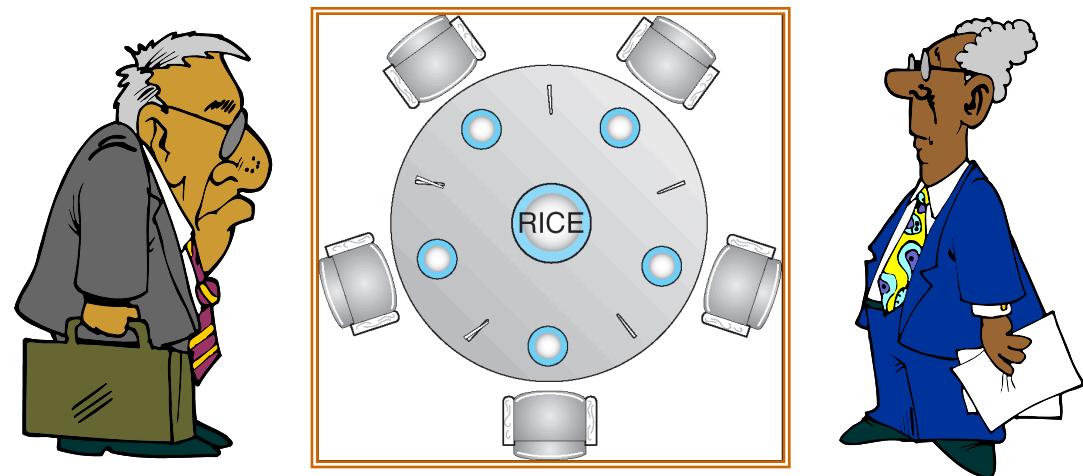
# Banker's Algorithm for Avoiding Deadlock

- Toward right idea:
  - State maximum (max) resource needs in advance
  - Allow particular thread to proceed if:  
 $(\text{available resources} - \# \text{requested}) \geq \text{max}$   
remaining that might be needed by any thread
- Banker's algorithm:
  - Allocate resources dynamically
    - » Evaluate each request and grant if some ordering of threads is still deadlock free afterward
    - » Technique: pretend each request is granted, then run deadlock detection algorithm, substituting:  
 $([\text{Max}_{\text{node}}] - [\text{Alloc}_{\text{node}}] \leq [\text{Avail}])$  for  $([\text{Request}_{\text{node}}] \leq [\text{Avail}])$   
Grant request if result is deadlock free
  - Keeps system in a “SAFE” state: there exists a sequence  $\{T_1, T_2, \dots, T_n\}$  with  $T_1$  requesting all remaining resources, finishing, then  $T_2$  requesting all remaining resources, etc..



# Banker's Algorithm Example

- Banker's algorithm with dining lawyers
  - “Safe” (won’t cause deadlock) if when try to grab chopstick either:
    - » Not last chopstick
    - » Is last chopstick but someone will have two afterwards
  - What if k-handed lawyers? Don’t allow if:
    - » It’s the last one, no one would have k
    - » It’s 2<sup>nd</sup> to last, and no one would have k-1
    - » It’s 3<sup>rd</sup> to last, and no one would have k-2
    - » ...



# Summary

- Four conditions for deadlocks
  - Mutual exclusion
  - Hold and wait
  - No preemption
  - Circular wait
- Techniques for addressing Deadlock
  - Deadlock prevention:
    - » write your code in a way that it isn't prone to deadlock
  - Deadlock recovery:
    - » let deadlock happen, and then figure out how to recover from it
  - Deadlock avoidance:
    - » dynamically delay resource requests so deadlock doesn't happen
    - » Banker's Algorithm provides an algorithmic way to do this
  - Deadlock denial:
    - » ignore the possibility of deadlock

# Scheduling in Modern Computer Systems

- FCFS
  - SOSP'17 ZygOS
- RR
  - NSDI'19 Shinjuku
- MLFQ
  - NSDI'19 Tiresias
- Fairness
  - NSDI'11 DRF
  - NSDI'16 FairRide

# ZygOS: Achieving Low Tail Latency for Microsecond-scale Networked Tasks

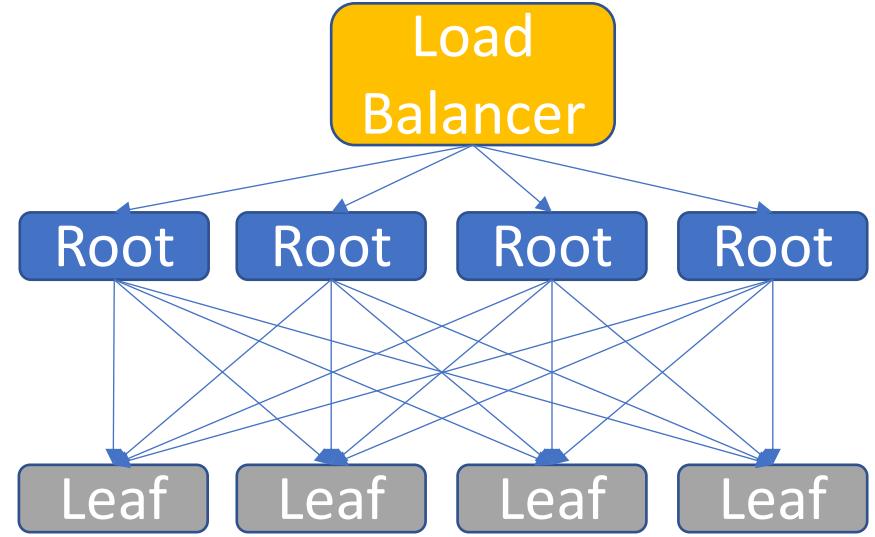
George Prekas, **Marios Kogias**, Edouard Bugnion



ÉCOLE POLYTECHNIQUE  
FÉDÉRALE DE LAUSANNE

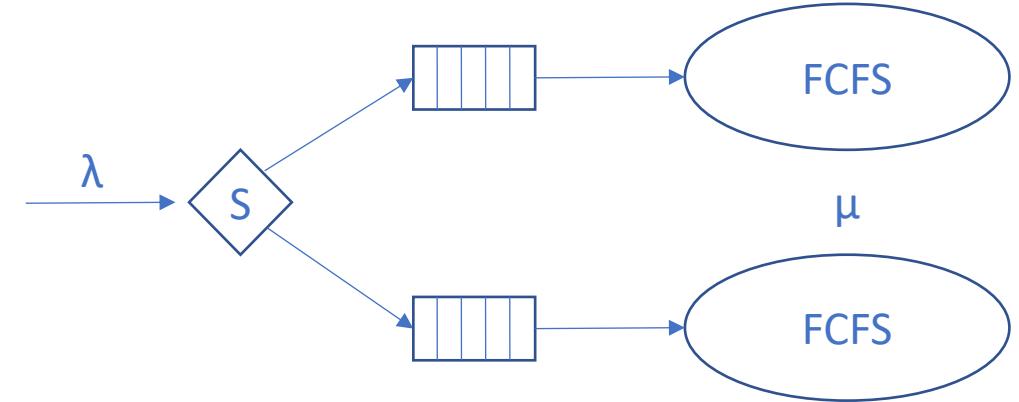
# Problem: Serve $\mu$ s-scale RPCs

- Applications: KV-stores, In-memory DB
- Datacenter environment:
  - Complex fan-out – fan-in patterns
- Tail-at-scale problem
- Tail Latency Service-Level Objectives
- Goal: Improve throughput at an aggressive tail latency SLO
- How? Focus within the leaf nodes
  - Reduce system overheads
  - Achieve better scheduling



# Elementary Queuing Theory

- Processor
  - FCFS
  - Processor Sharing
- Multi/Single Queue
- Inter-arrival Distribution ( $\lambda$ )
  - Poisson
- Service Time Distribution ( $\mu$ )
  - Fixed
  - Exponential
  - Bimodal



- No OS overheads
- Independent of service time
- Upper performance bound

# Baseline

System	Linux		Dataplanes
<b>Networking</b>	Kernel (epoll)	Kernel (epoll)	Userspace
<b>Connection Delegation</b>	Partitioned	Floating	Partitioned
<b>Complexity</b>	Medium	High	Low
<b>Work Conservation</b>	✗	✓	✗
<b>Queuing</b>	Multi-Queue	Single Queue	Multi-Queue

Can we build a system with low overheads that achieves work conservation?

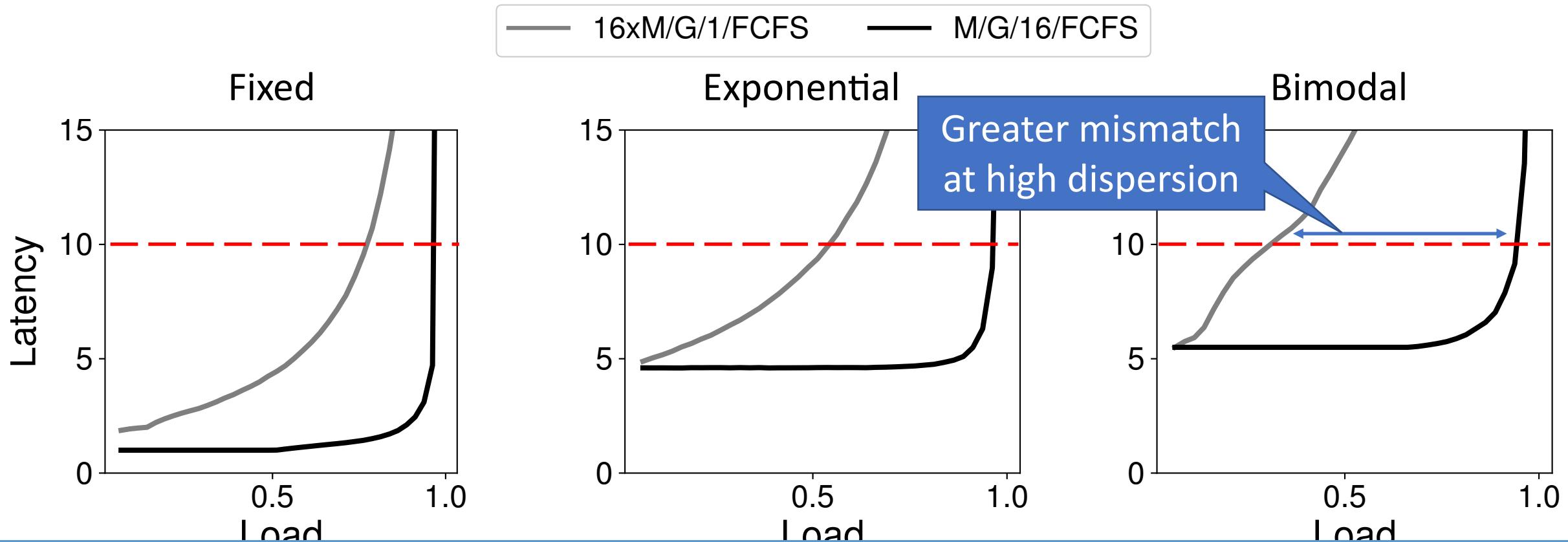
# Upcoming

- Key Observations:
  - Single queue systems perform **theoretically** better
  - Dataplanes, despite being multi-queue systems, perform **practically** better
- Key Contributions
  - ZygOS combines the best of the two worlds:
    - Reduced system overheads similar to dataplanes
    - Convergence to a single-queue model

# Analysis

- Metric to optimize: Load @ Tail-Latency SLO
- Run timescale-independent simulations
- Run synthetic benchmarks on real system
- Questions:
  - Which model achieves better throughput?
  - Which system converges to its model at low service times?

# Latency vs Load – Queuing model

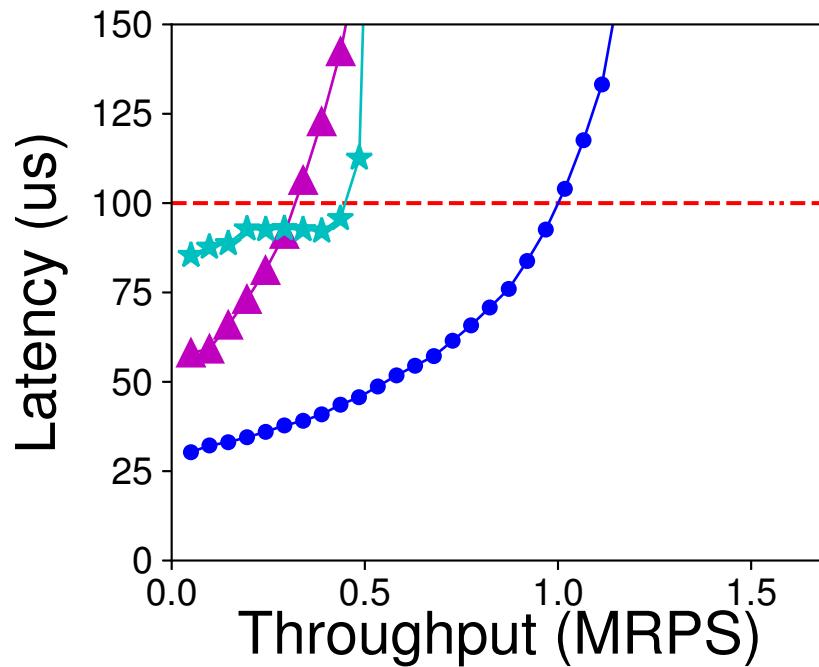


Single queue models provide better throughput at SLO because of  
**transient load imbalance**

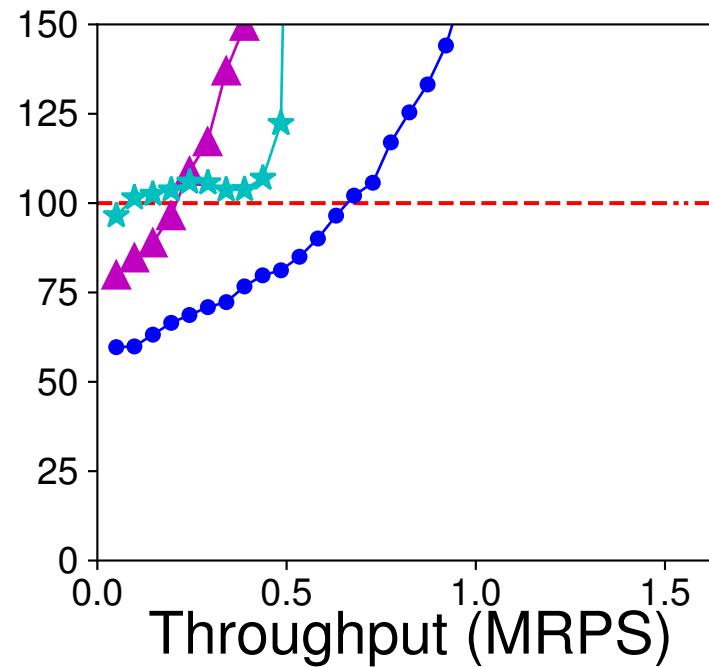
# Latency vs Load – Service Time 10μs

— SLO    ▲ Linux (partitioned connections)    — IX    ★ Linux (floating connections)

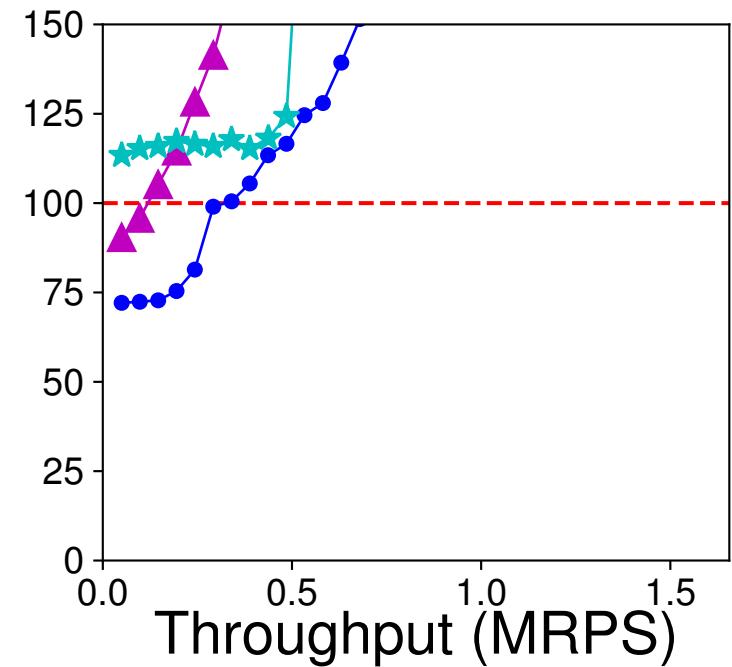
Fixed



Exponential



Bimodal



99<sup>th</sup> percentile latency

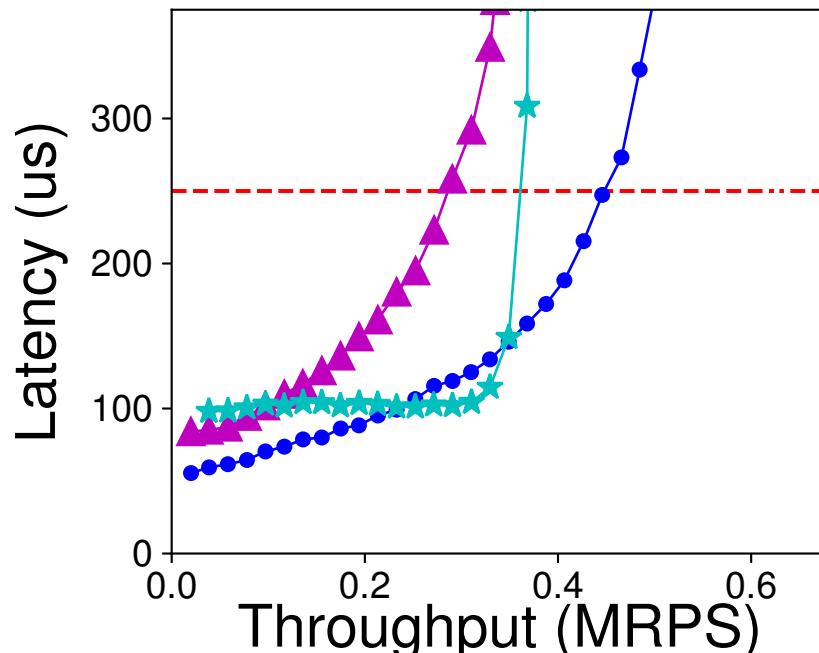
SLO: 10 × AVG[service\_time]

IX, Belay et al. OSDI 2014

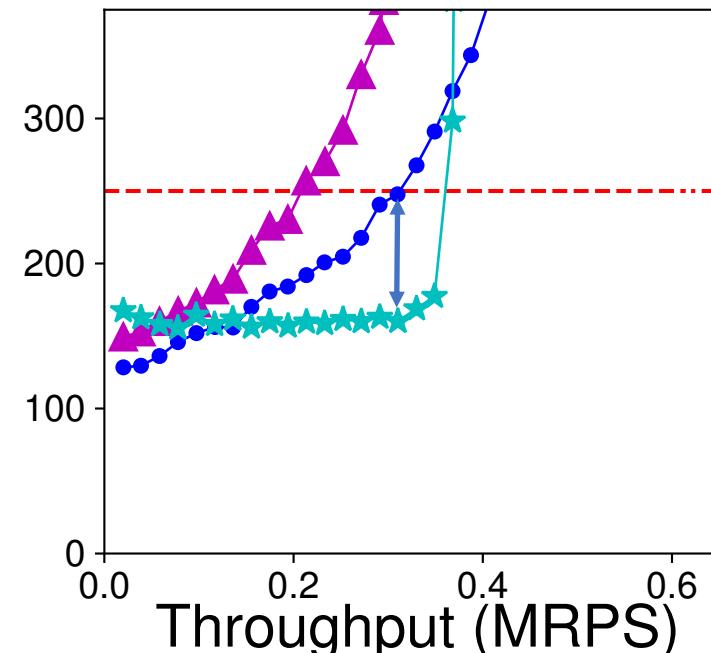
# Latency vs Load – Service Time 25μs



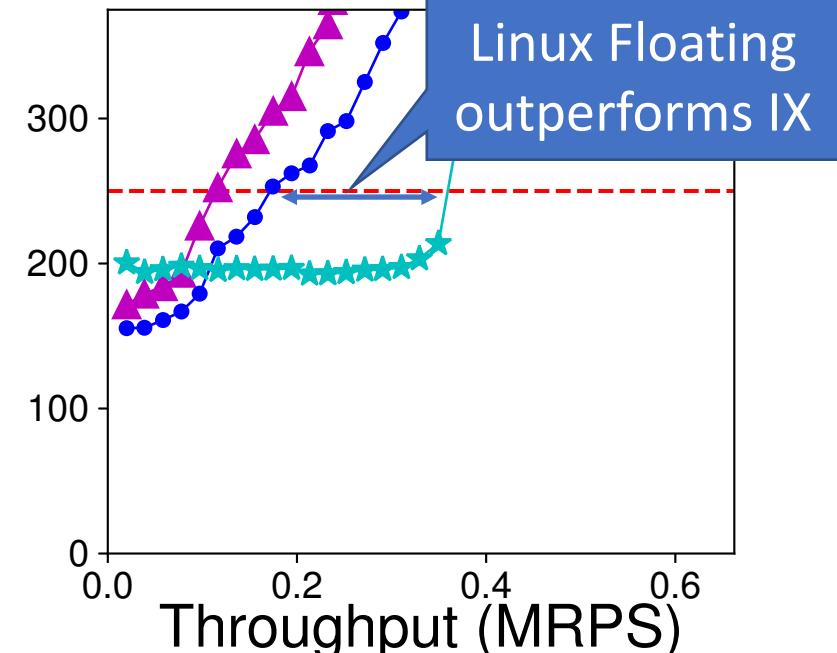
Fixed



Exponential



Bimodal



Dataplanes perform better **only** in very low service times with low dispersion

99 percentile latency

SLO: 10 x AVG[service\_time]

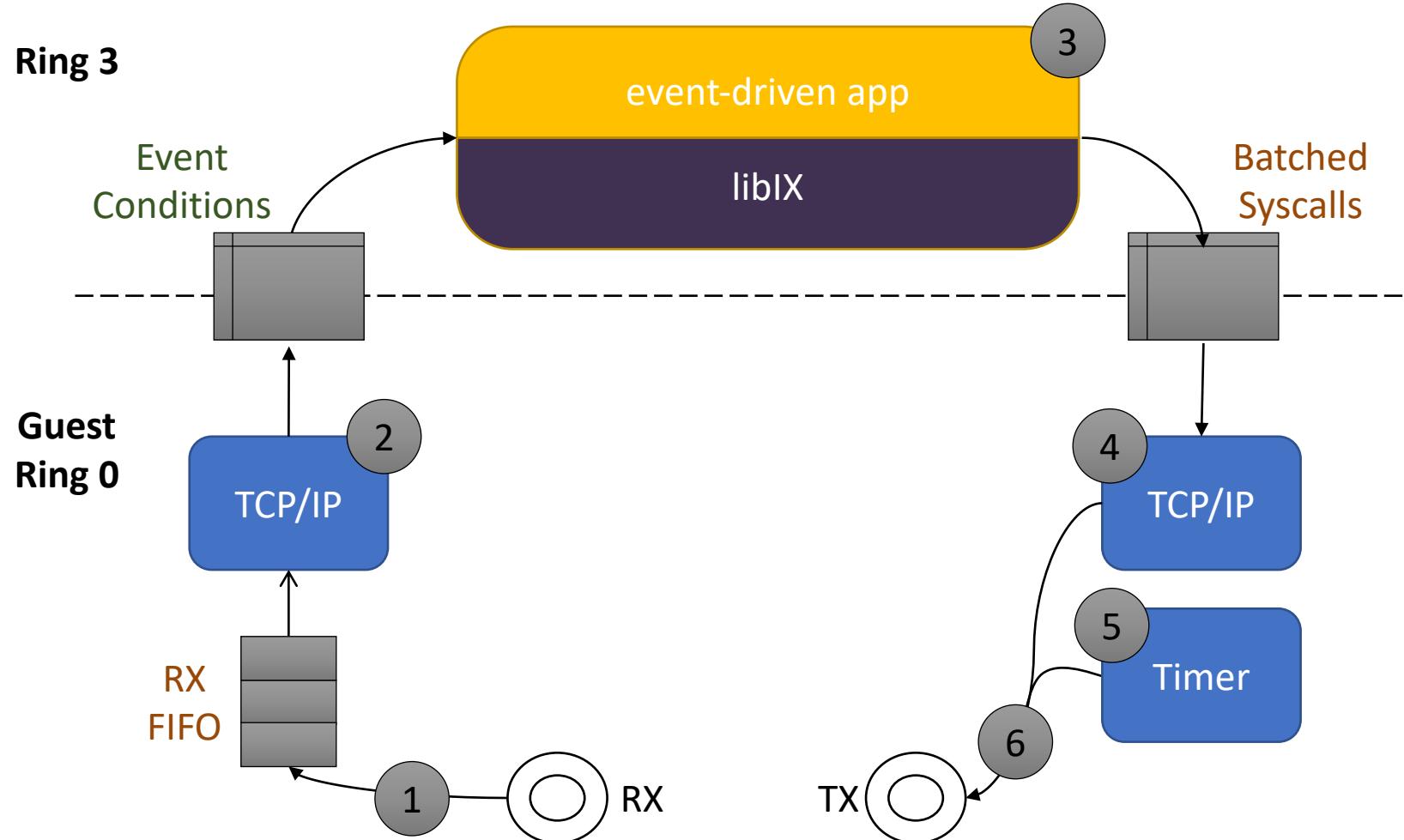
IX, Belay et al. OSDI 2014

# ZygOS Approach

- Dataplane aspect:
  - Reduced system overheads
  - Share nothing network processing
- Single Queue system
  - Work conservation
  - Reduction of head of line blocking

Implement **work-stealing** to achieve work-conservation in a dataplane

# Background on IX



# Wygo design

## 1. Application layer

Event based application  
that is agnostic to work-stealing

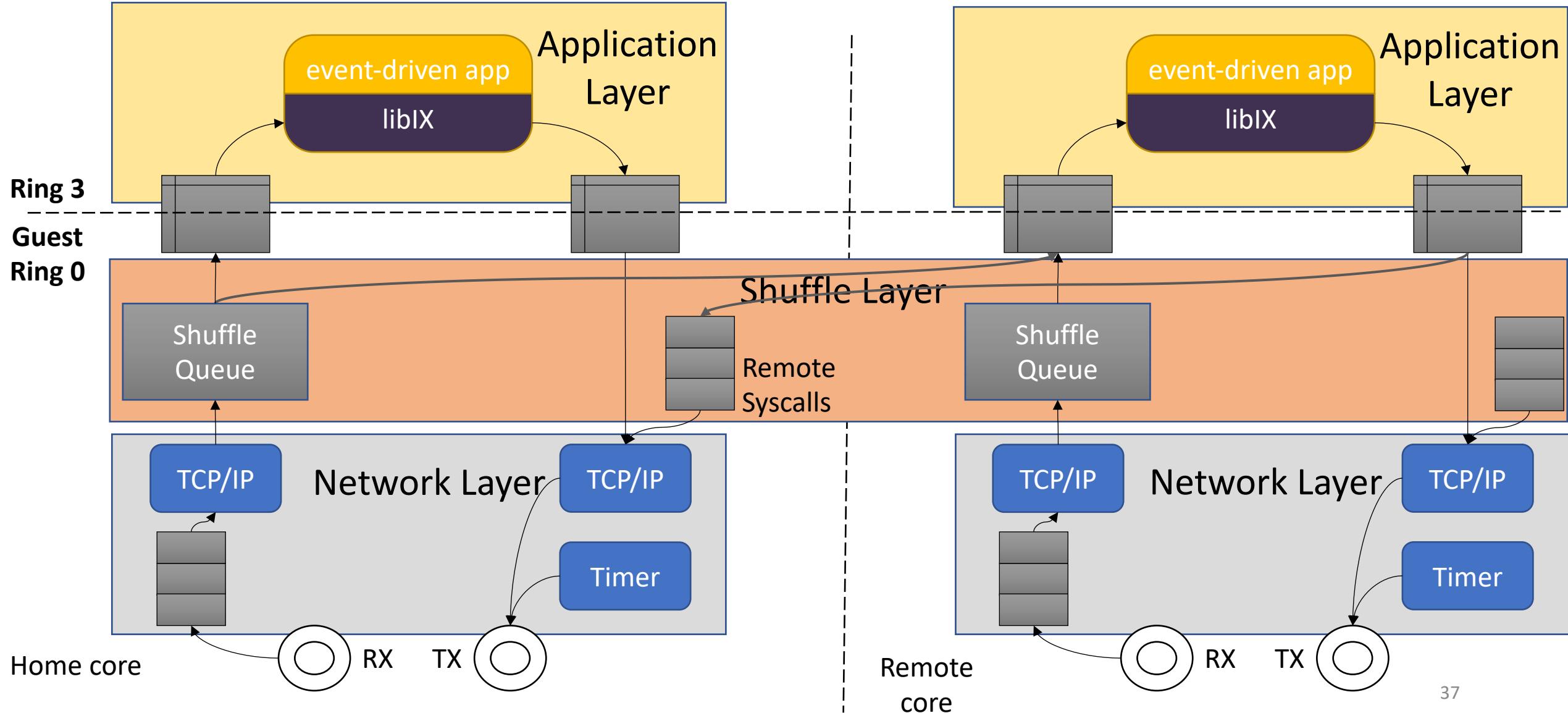
## 2. Shuffle layer

Includes a per core list of ready connections that allows stealing

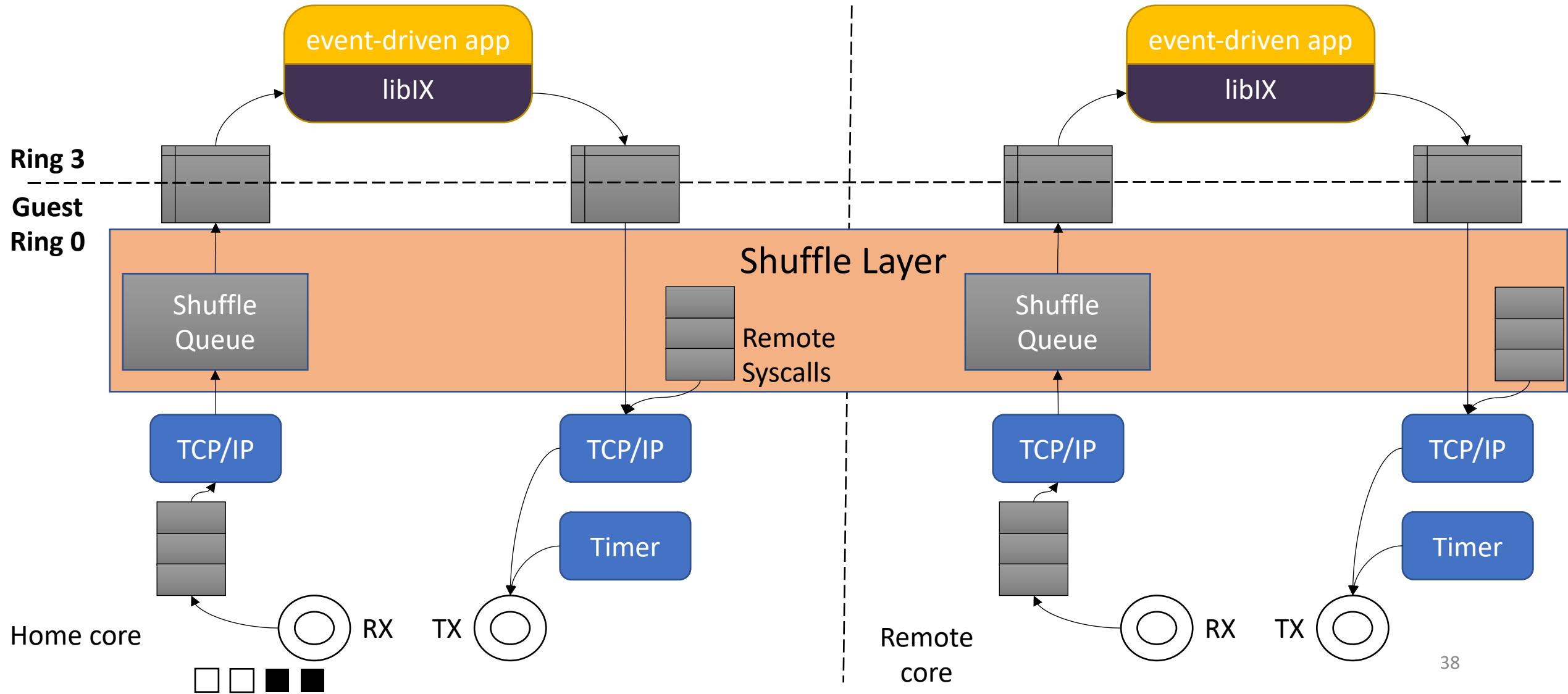
## 3. Network layer

Coherence- and sync-free network processing

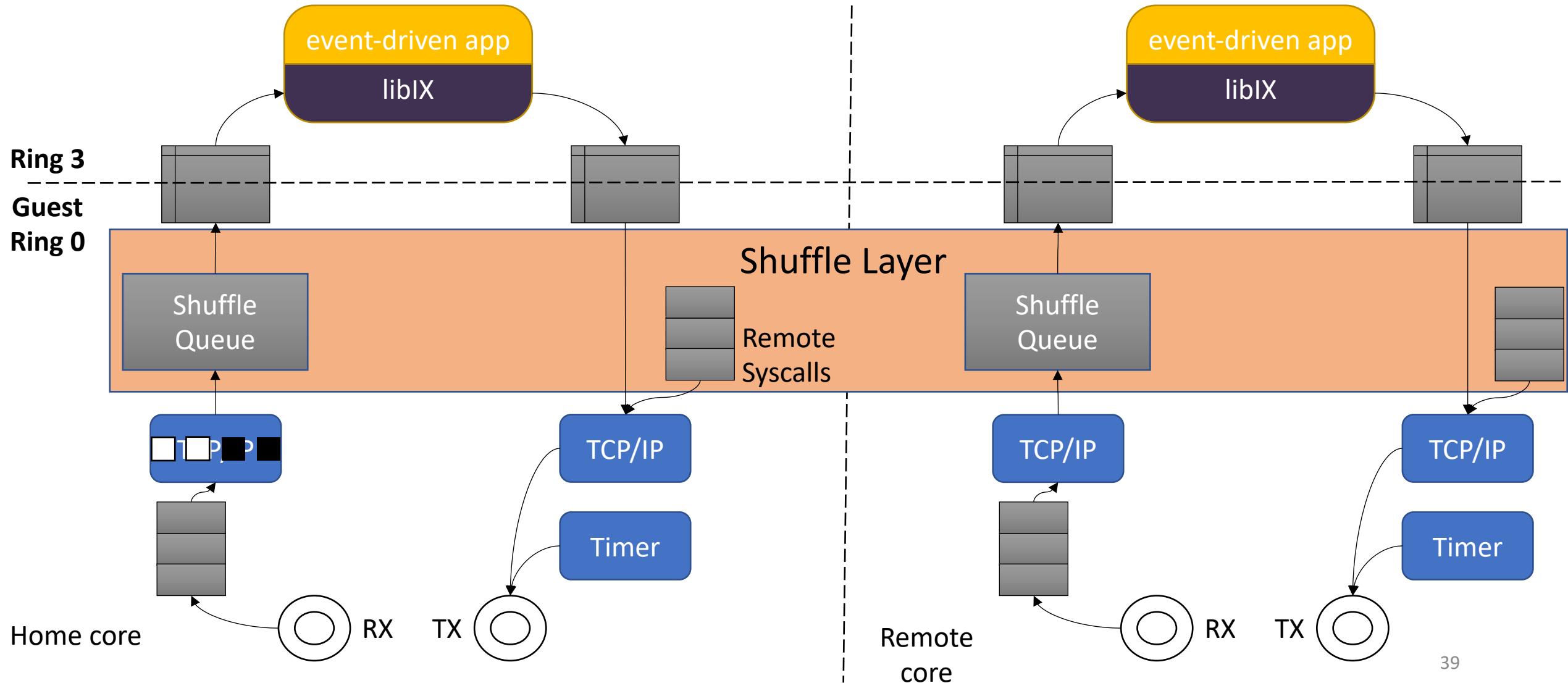
# ZygOS Architecture



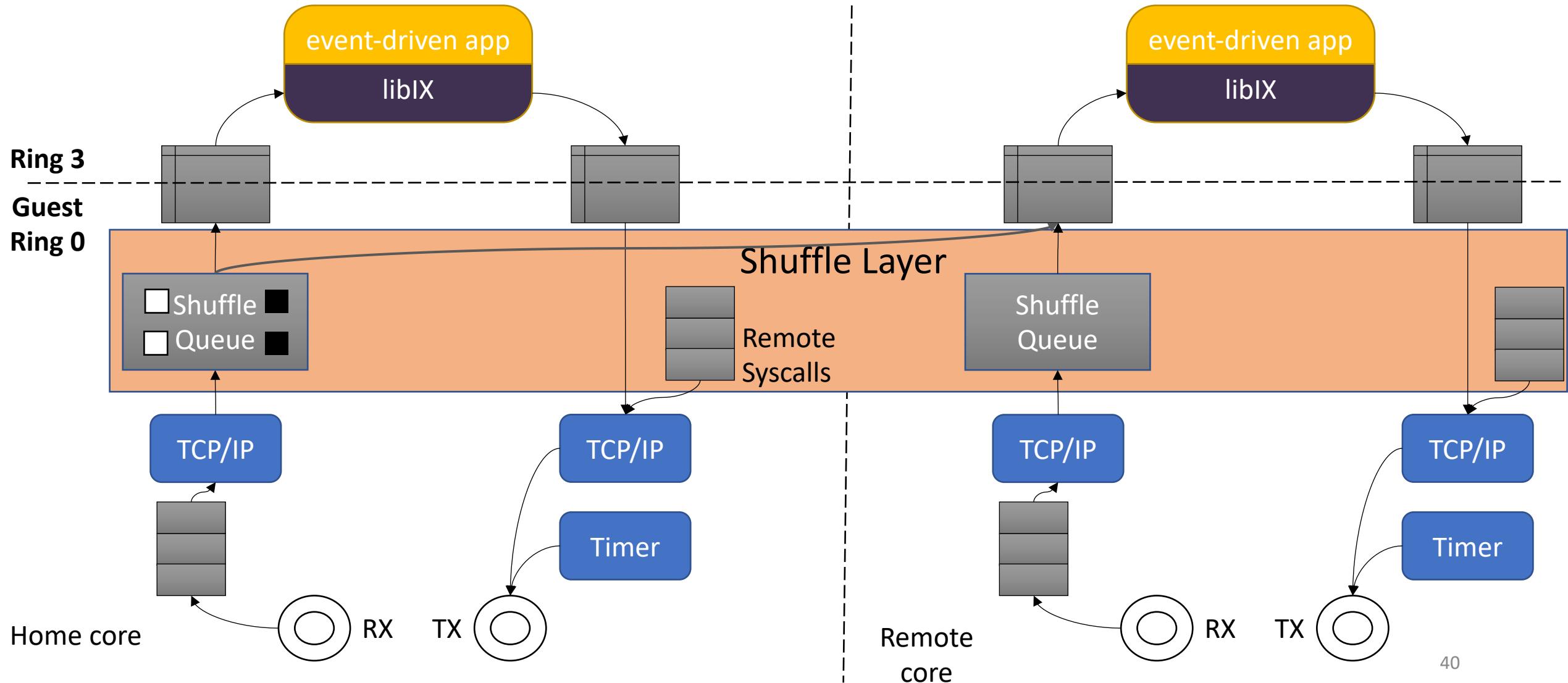
# Execution Model



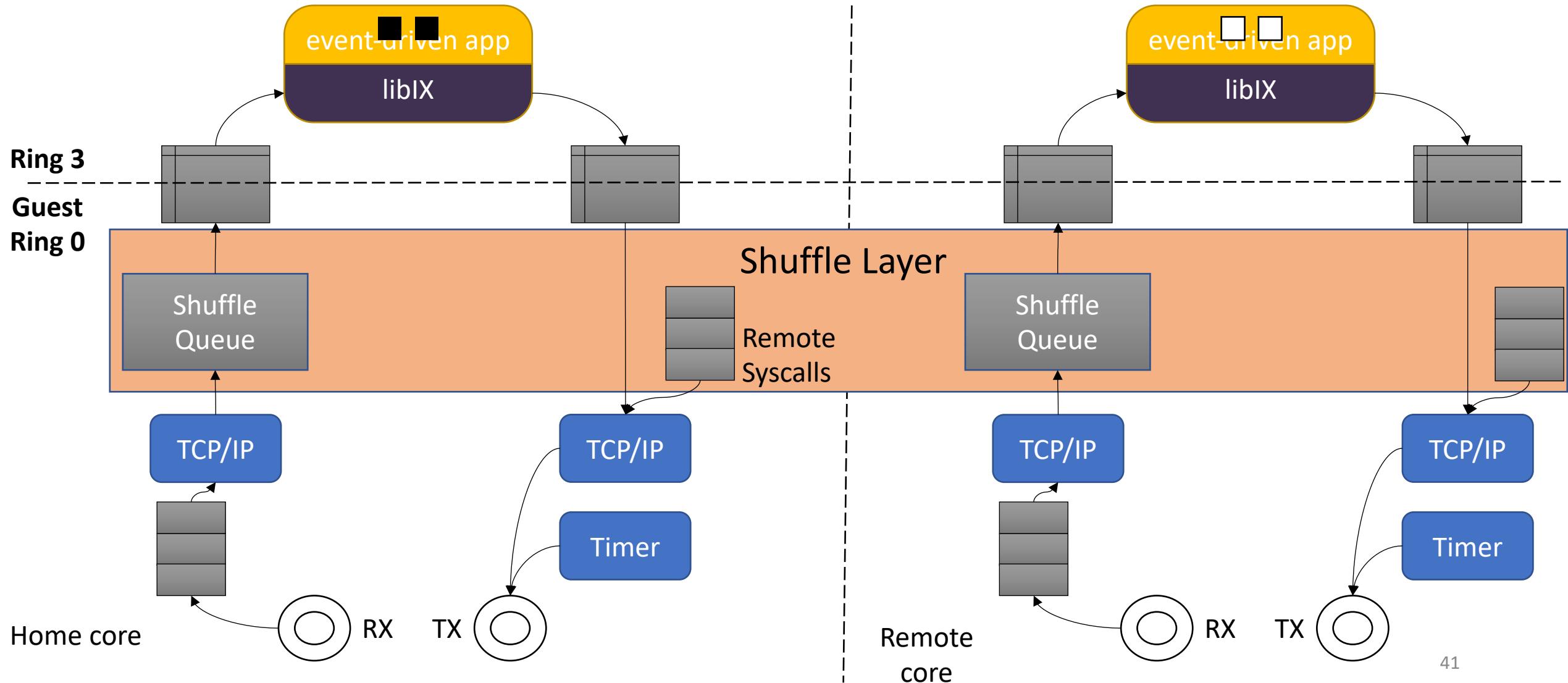
# Execution Model



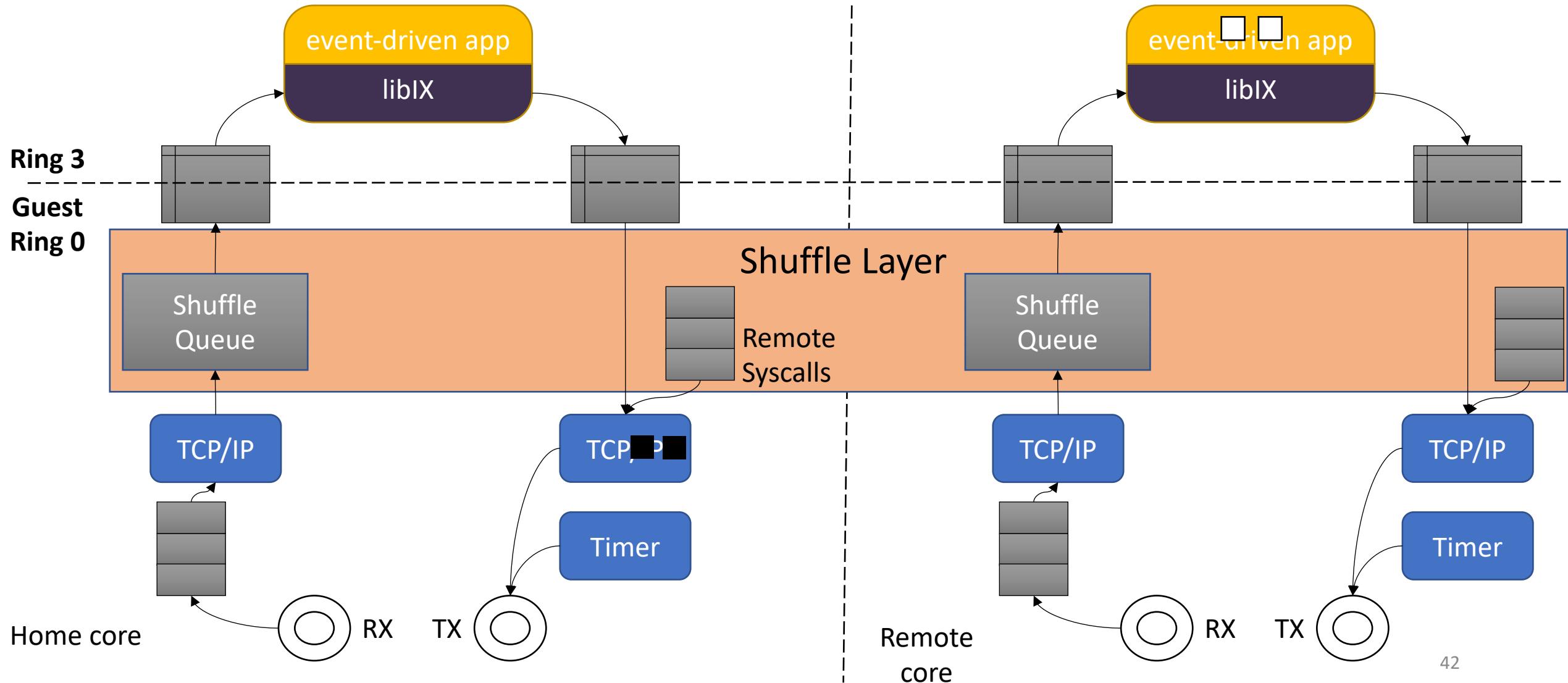
# Execution Model



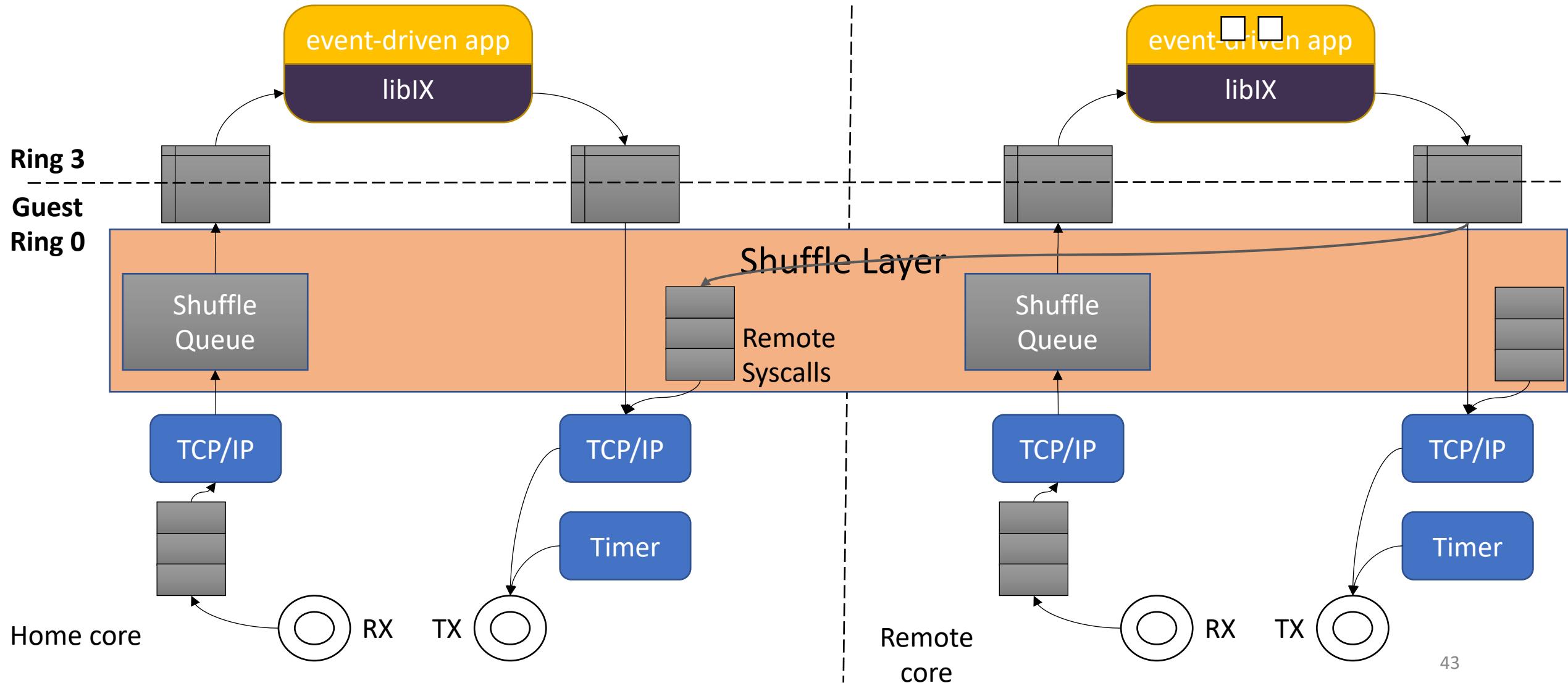
# Execution Model



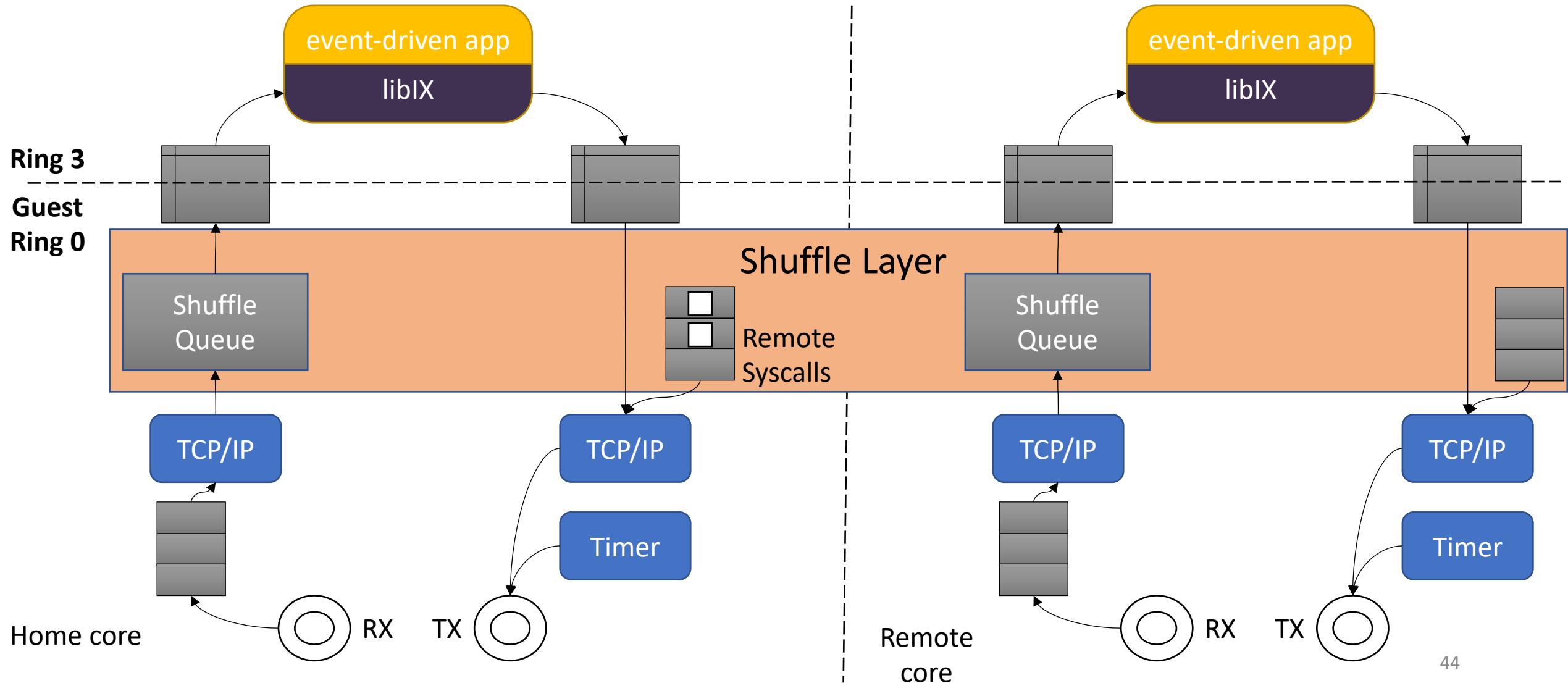
# Execution Model



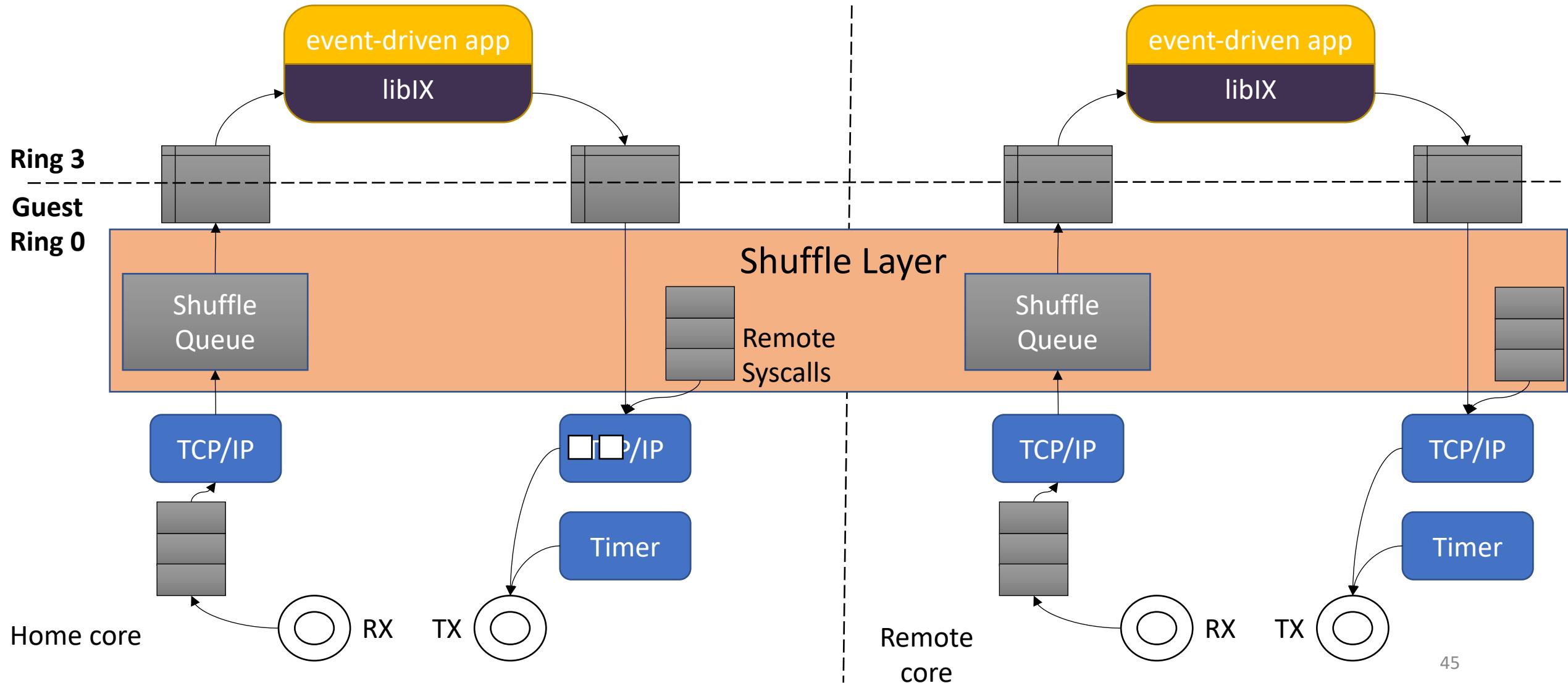
# Execution Model



# Execution Model



# Execution Model



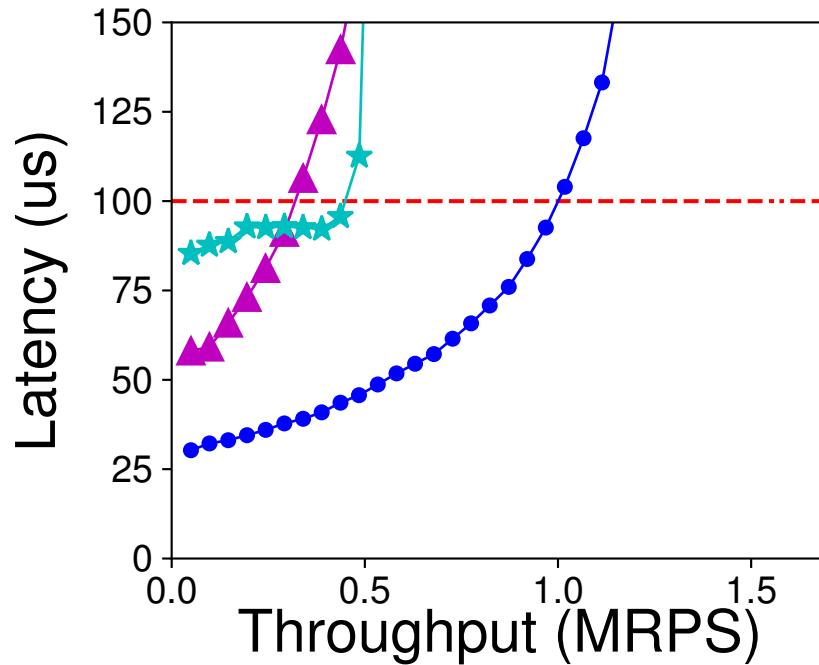
# Evaluation Setup

- Environment:
  - 10+1 Xeon Servers
  - 16-hyperthread server machine
  - Quanta/Cumulus 48x10GbE switch
- Experiments:
  - Synthetic micro-benchmarks
  - Silo [SOSP 2013]
  - Memcached
- Baselines:
  - IX
  - Linux (partitioned and floating connections)

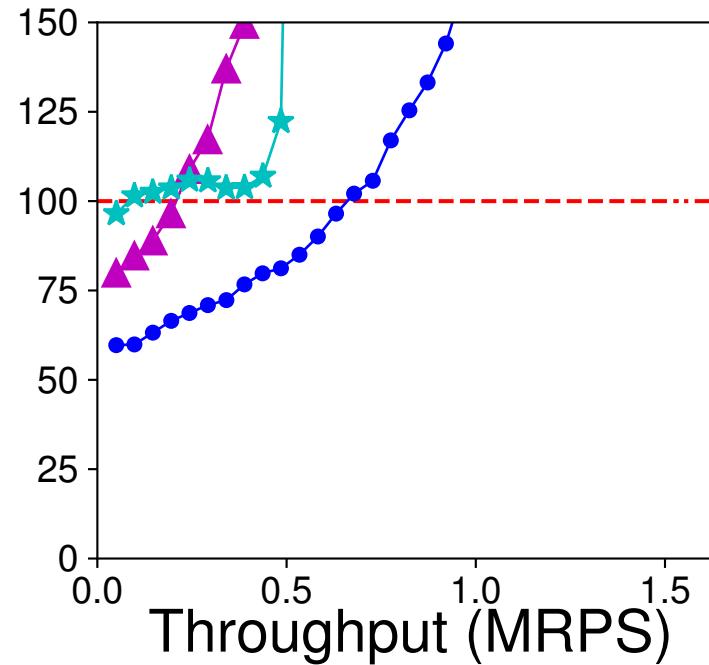
# Latency vs Load – Service Time 10μs

— SLO    ▲ Linux (partitioned connections)    — IX    ★ Linux (floating connections)

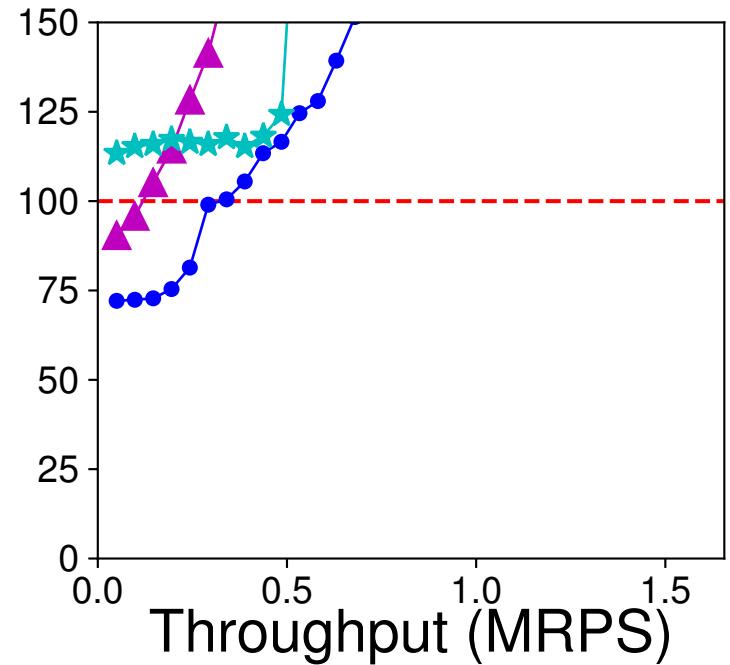
Fixed



Exponential



Bimodal



99<sup>th</sup> percentile latency

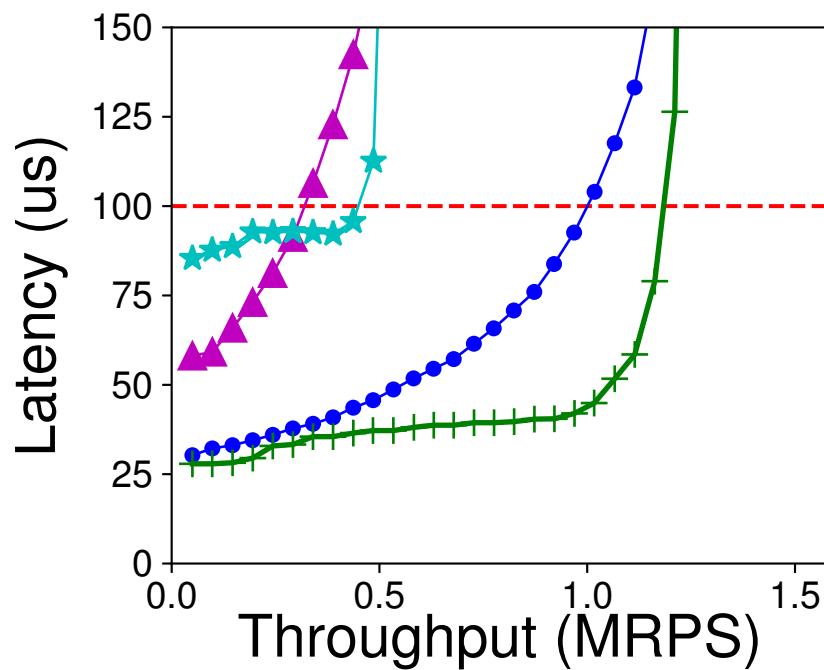
SLO: 10 x AVG[service\_time]

IX, Belay et al. OSDI 2014

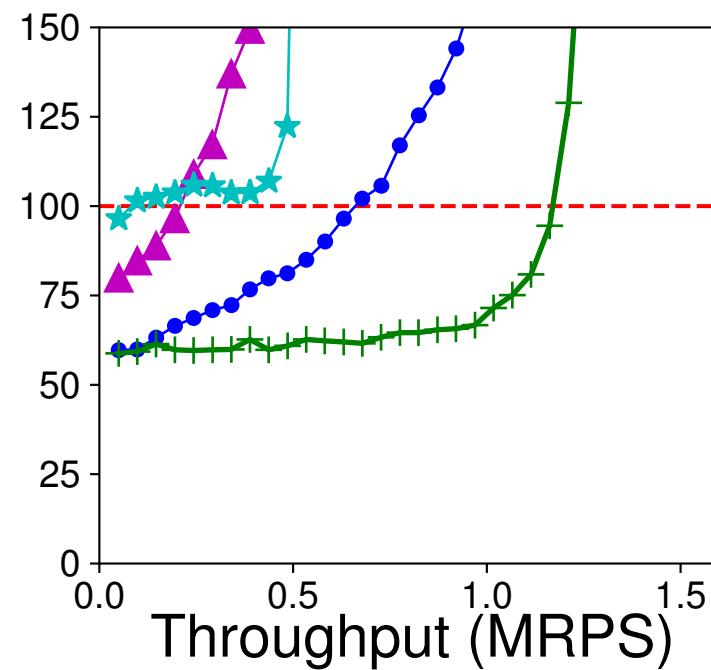
# Latency vs Load – Service Time 10μs



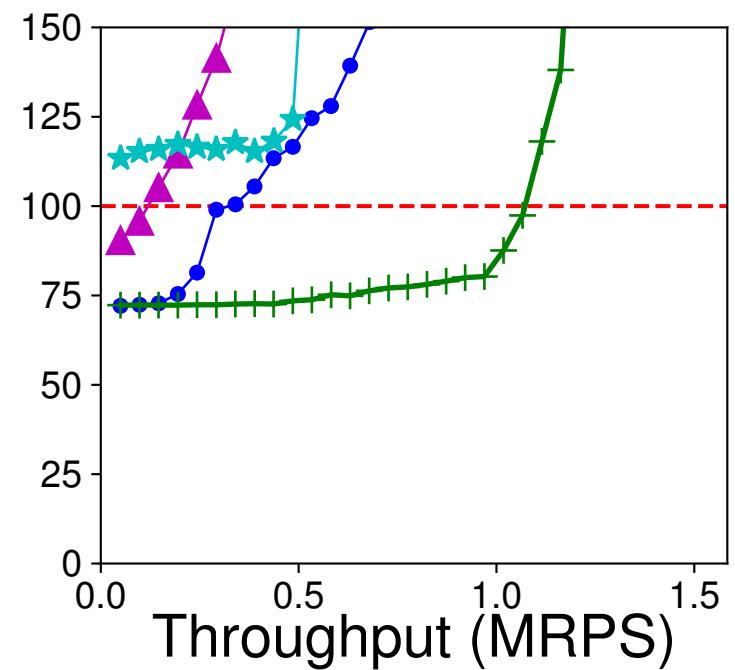
Fixed



Exponential



Bimodal

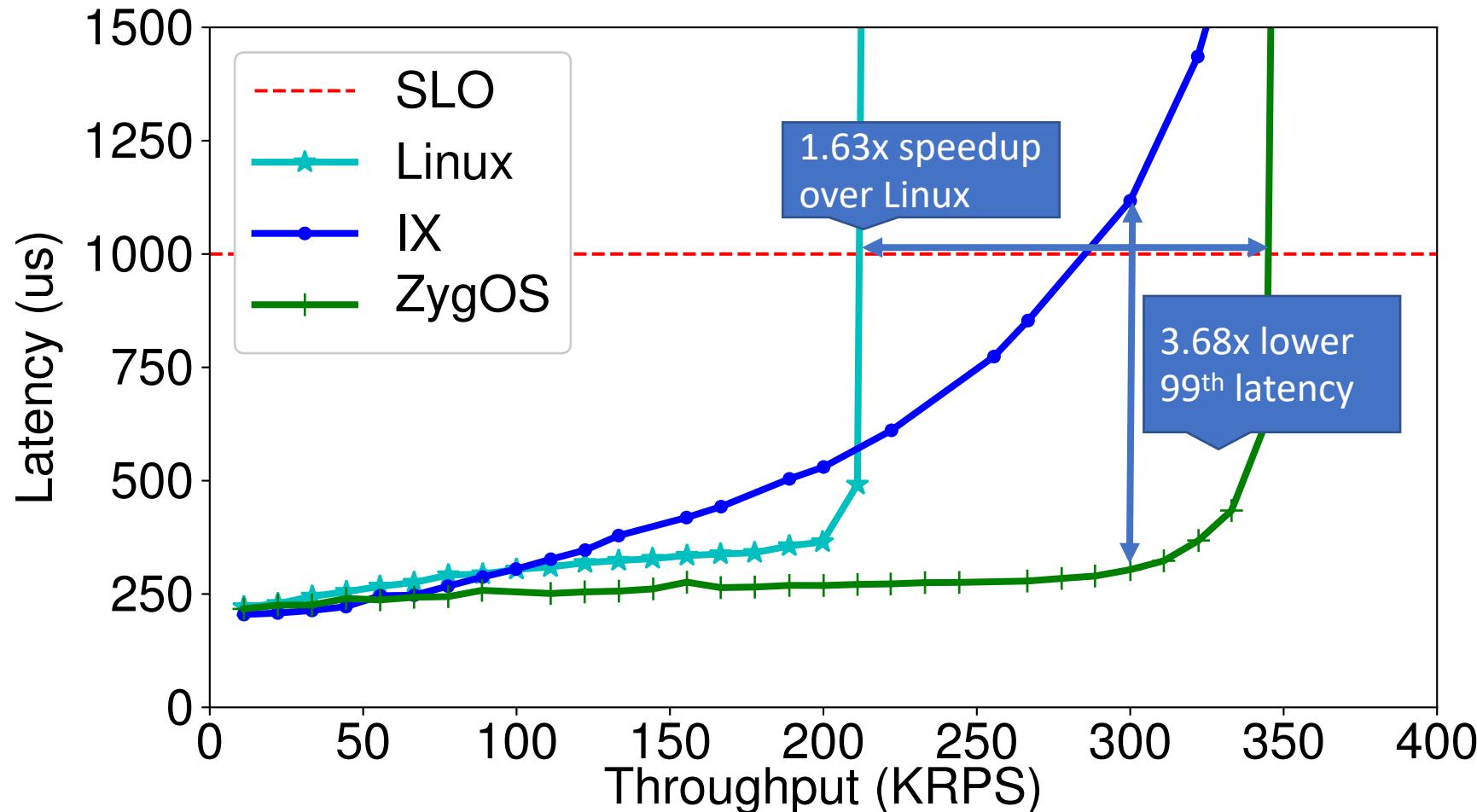


99<sup>th</sup> percentile latency

SLO: 10 x AVG[service\_time]

IX, Belay et al. OSDI 2014

# Silo with TPC-C workload



# Conclusion

ZygOS: A datacenter operating system for low-latency

- Reduced System overheads
- Converges to a single queue model
- Work conservation through work stealing
- Reduce HOL through light-weight IPIs

We ❤️ opensource



<https://github.com/ix-project/zygos>

# Scheduling in Modern Computer Systems

- FCFS
  - SOSP'17 ZygOS
- RR
  - NSDI'19 Shinjuku
- MLFQ
  - NSDI'19 Tiresias
- Fairness
  - NSDI'11 DRF
  - NSDI'16 FairRide

# Tiresias

A GPU Cluster Manager for Distributed Deep Learning

Juncheng Gu, Mosharaf Chowdhury, Kang G. Shin,  
Yibo Zhu, Myeongjae Jeon, Junjie Qian, Hongqiang (Harry) Liu, Chuanxiong Guo



# GPU Cluster for Deep Learning Training

- Deep learning (DL) is popular
  - $10.5\times$  increase of DL training jobs in Microsoft
  - DL training jobs require GPU
    - Distributed deep learning (DDL) training with multiple GPUs
- GPU cluster for DL training
  - $5\times$  increase of GPU cluster scale in Microsoft [I]



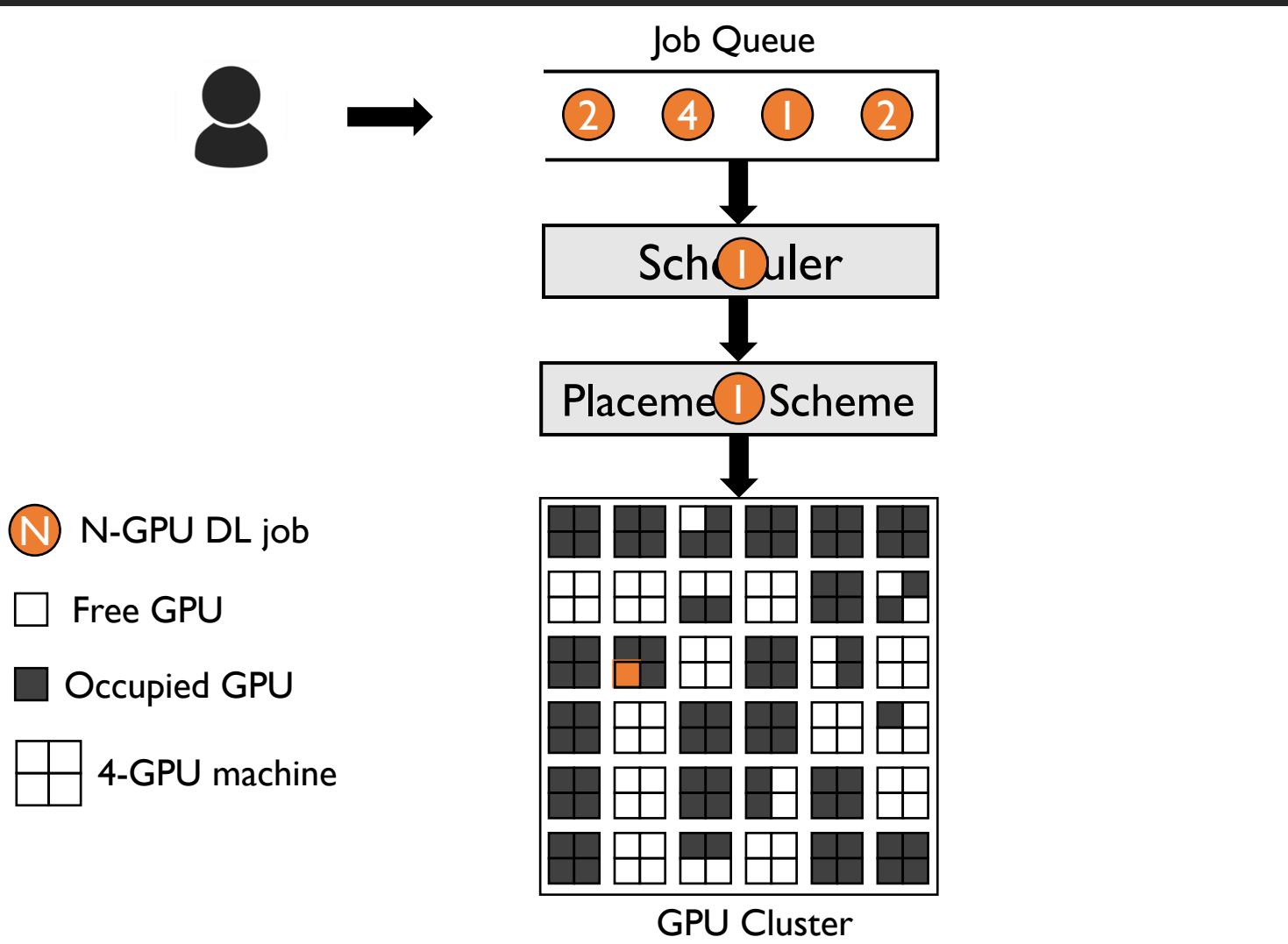
Google Lens



Siri

***How to efficiently manage a GPU cluster for DL training jobs?***

# GPU Cluster Manager



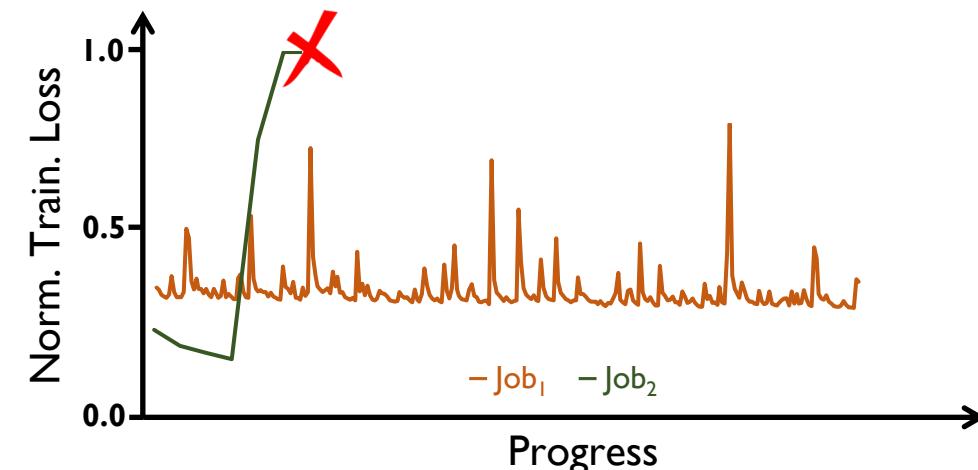
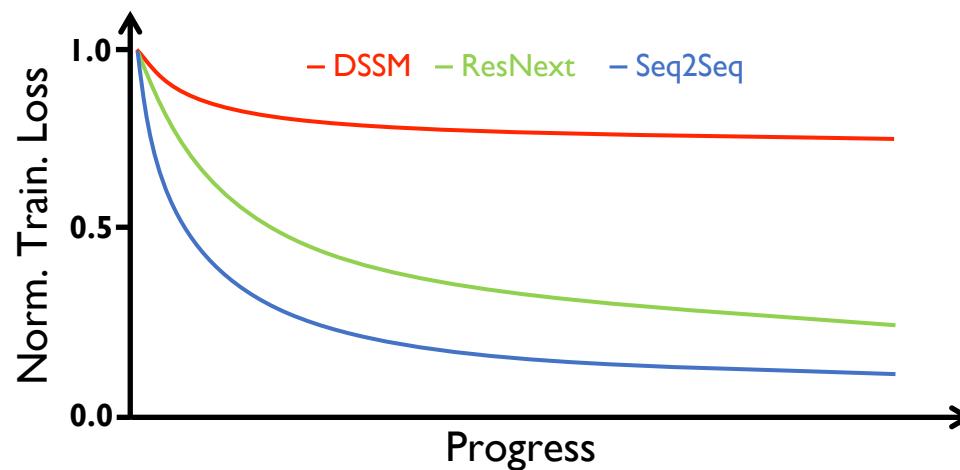
*Design Objectives*

*Minimize Cluster-Wide Average Job Completion Time (JCT)*

*Achieve High Resource (GPU) Utilization*

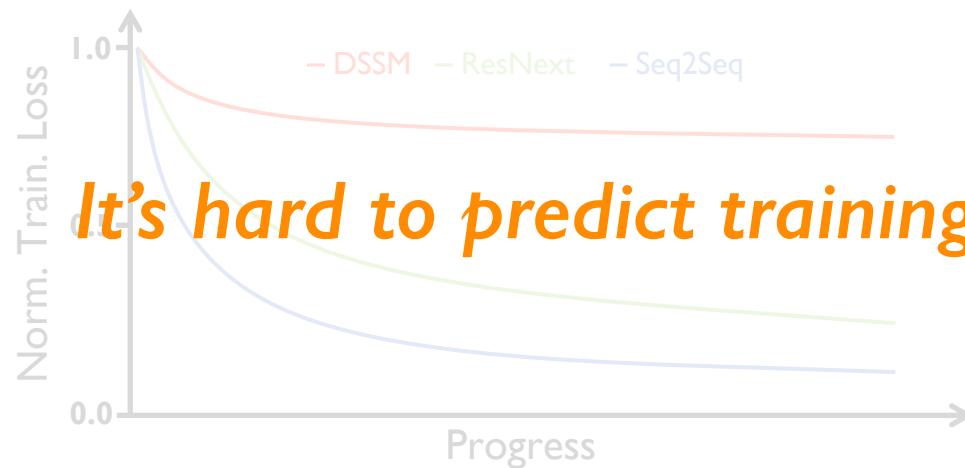
# Challenge I: Unpredictable Training Time

- Unknown execution time of DL training jobs
  - Job execution time is useful when minimizing JCT
- Predict job execution time
  - Use the smooth loss curve of DL training jobs (Optimus [I])



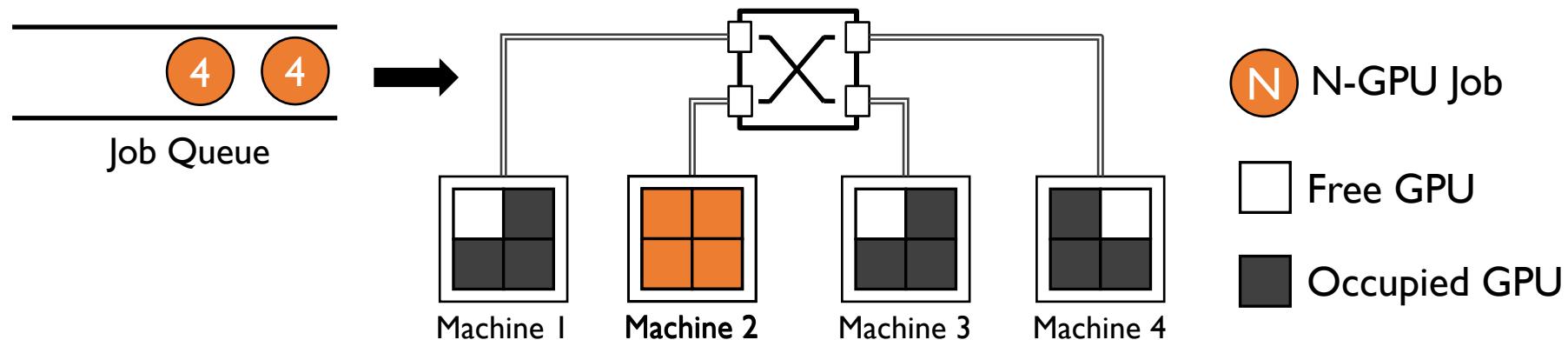
# Challenge I: Unpredictable Training Time

- Unknown execution time of DL training jobs
  - Job execution time is useful when minimizing JCT
- Predict job execution time
  - Use the smooth loss curve of DL training jobs (Optimus [I])



# Challenge II: Over-Aggressive Job Consolidation

- Network overhead in DDL training
- **Consolidated placement** for good training performance
  - Fragmented free GPUs in the cluster
  - Longer queuing delay



# Prior Solutions

	I. Unpredictable Training Time ( <i>Scheduling</i> )	II. Over-Aggressive Job Consolidation ( <i>Job Placement</i> )
<i>Optimus</i> <sub>[1]</sub>	None	None
<i>YARN-CS</i>	<i>FIFO</i>	None
<i>Gandiva</i> <sub>[2]</sub>	<i>Time-sharing</i>	<i>Trial-and-error</i>

[1]. Optimus: An Efficient Dynamic Resource Scheduler for Deep Learning Clusters, EuroSys'18

[2]. Gandiva: Introspective Cluster Scheduling for Deep Learning, OSDI'18

# Tiresias

*A GPU cluster manager for  
Distributed Deep Learning  
Without Complete Knowledge*

## I. Age-Based Scheduler

*Minimize JCT without  
complete knowledge of jobs*

## 2. Model Profile-Based Placement

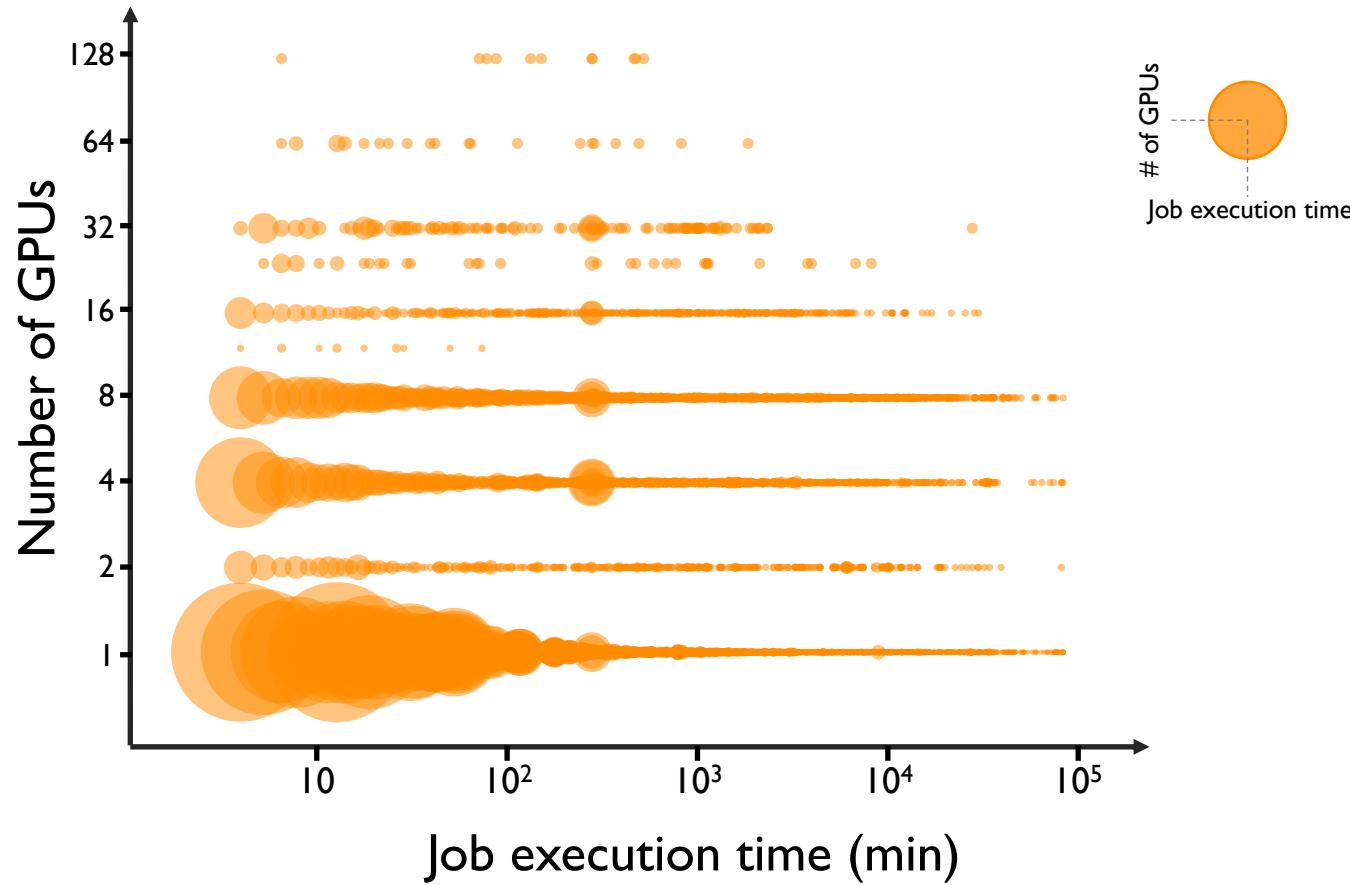
*Place jobs without additional  
information from users*

# Challenge I

How To Schedule DL Training Jobs  
Without Complete Job Information?

# Characteristics of DL Training Jobs

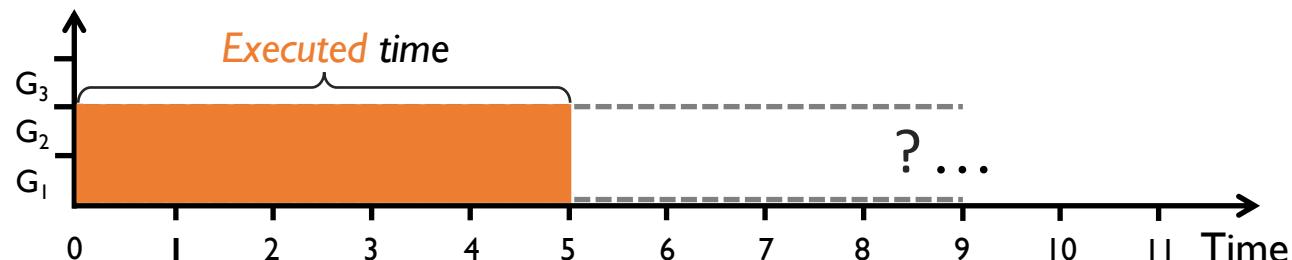
- Variations in both temporal and spatial aspects



*Scheduler should consider both  
**temporal and spatial**  
aspects of DL training jobs*

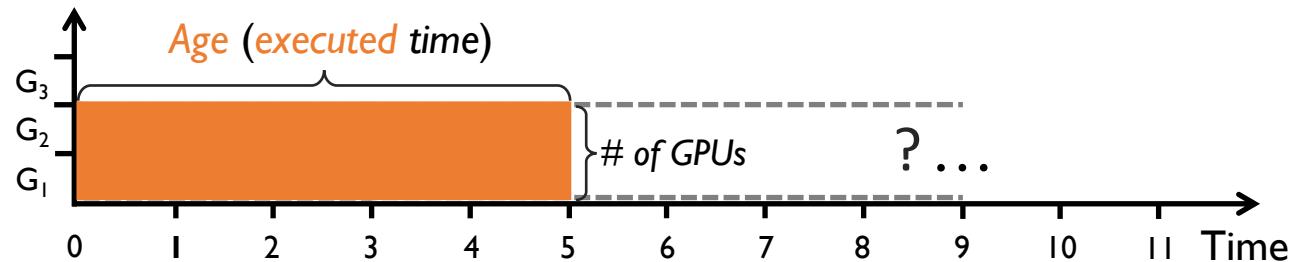
# Available Job Information

1. Spatial: number of GPUs
2. Temporal: **executed** time



# Age-Based Schedulers

- **Least-Attained Service<sub>[1]</sub>** (LAS)
  - Prioritize job that has the shortest executed time



# Two-Dimensional Age-Based Scheduler (2DAS)

- Age calculated by two-dimensional attained service
  - i.e., a job's *total executed GPU time* (# of GPUs × executed time)
- No prior information
  - 2D-LAS

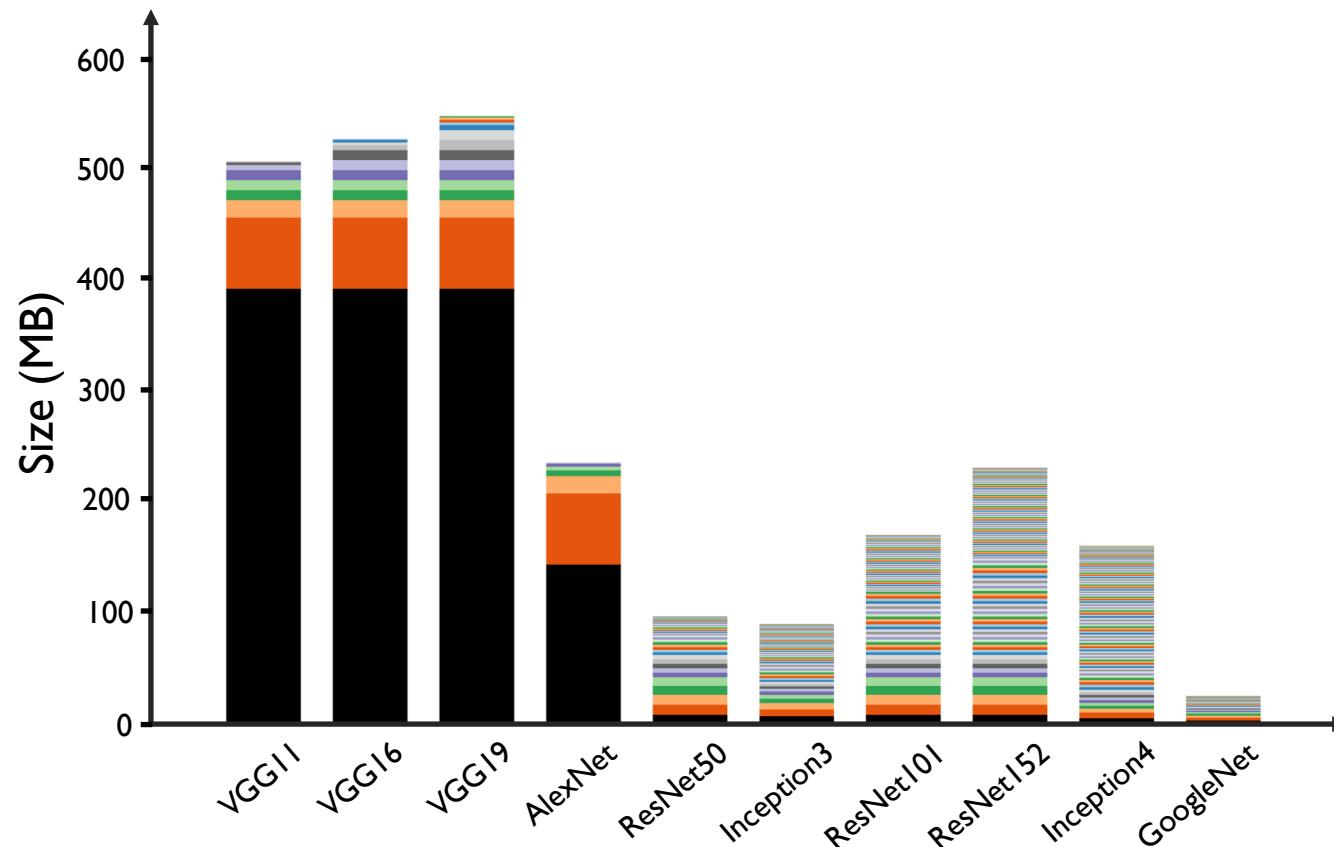
**Fewer Job Switches: Discretized 2D-LAS (MLFQ)**

# Challenge II

How to Place DL Jobs  
Without Hurting Training Performance?

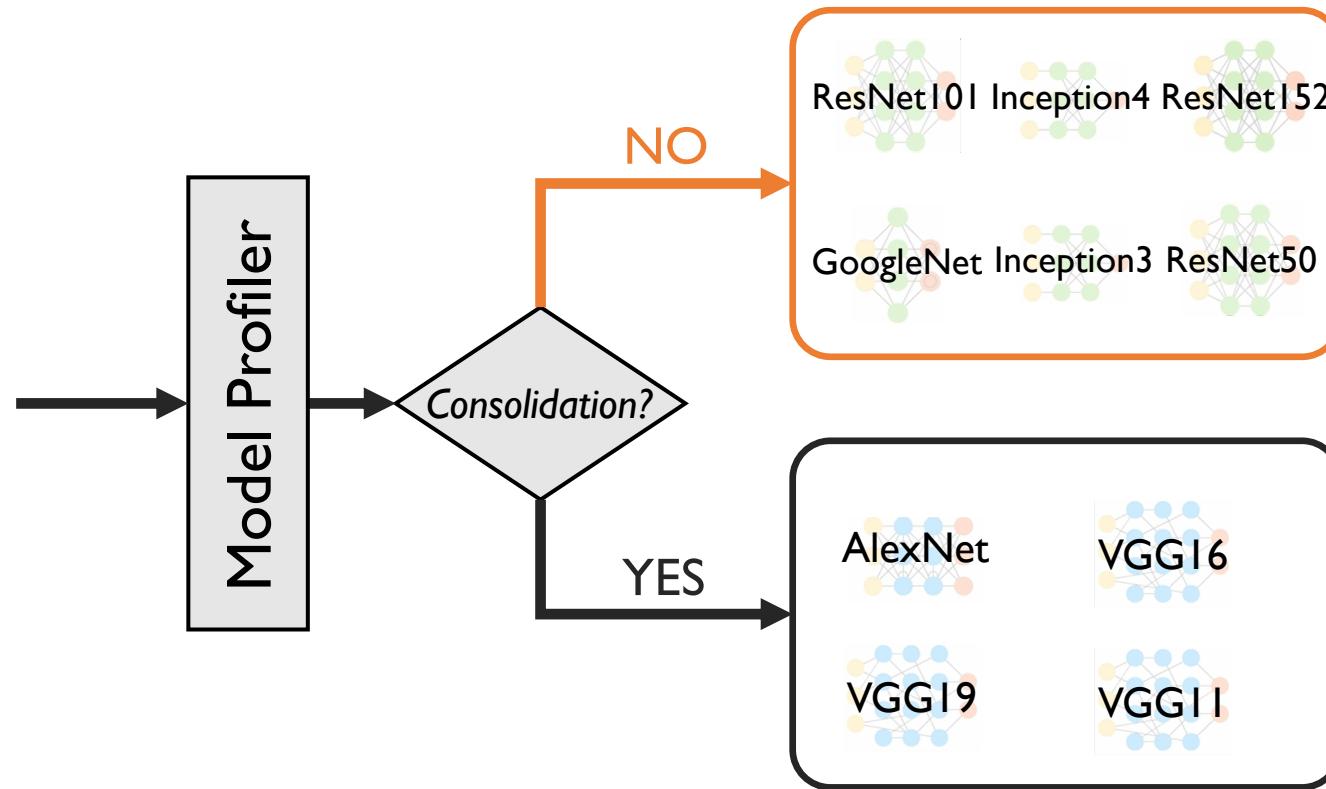
# Characteristics of DL Models

- Tensor size in DL models
  - **Large tensors** cause network imbalance and contention



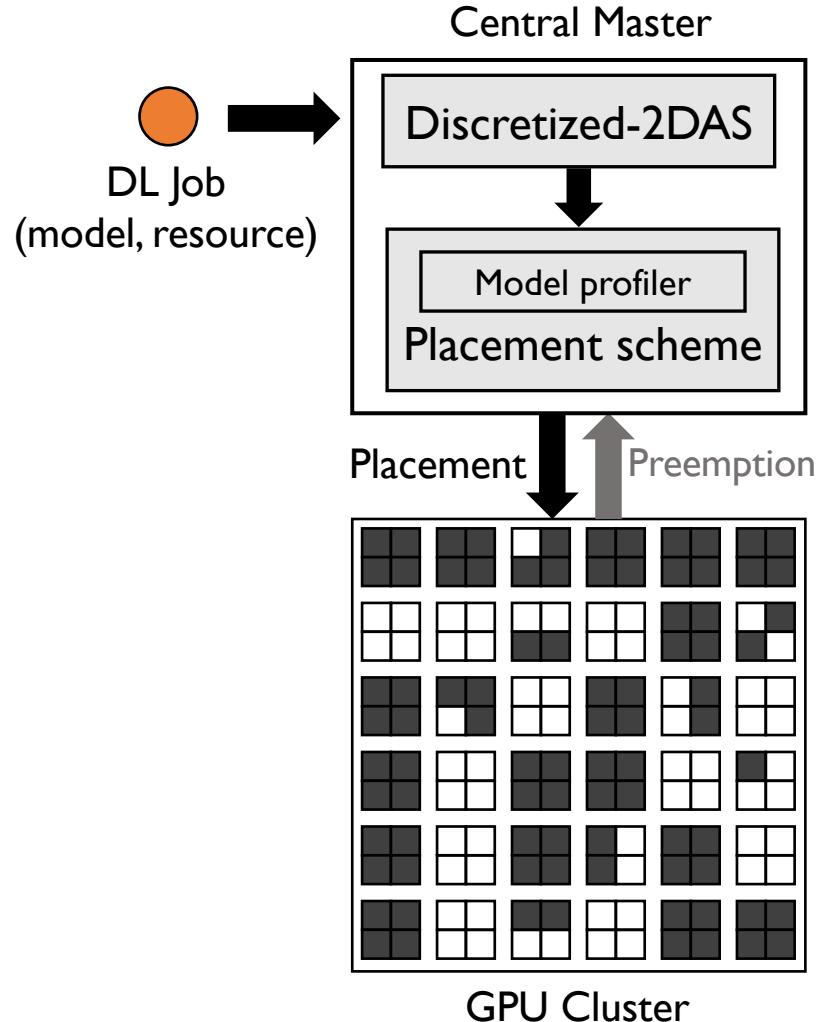
**Consolidated placement**  
is needed when the  
model is **highly skewed**  
in its tensor size

# Model Profile-Based Placement



# Tiresias

*Central Master  
Network-Level Model Profiler*

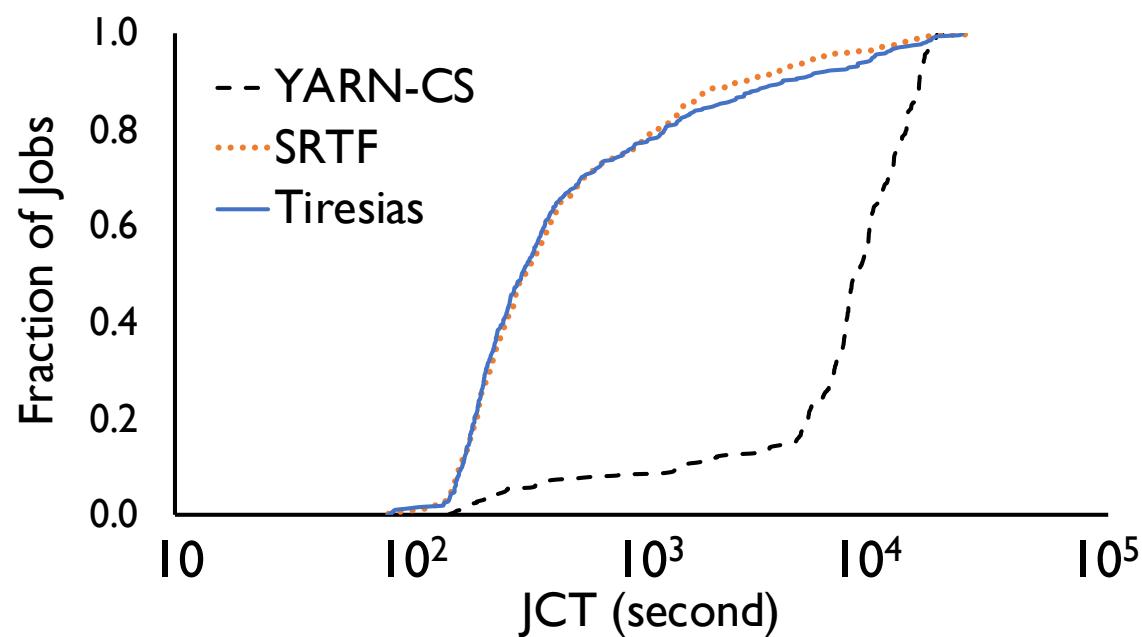


## *Evaluation*

*60-GPU  
Testbed Experiment  
Large-scale &  
Trace-driven Simulation*

# JCT Improvements in Testbed Experiment

- Testbed – Michigan ConFlux cluster
  - 15 machines (4 GPUs each)
  - 100 Gbps RDMA network

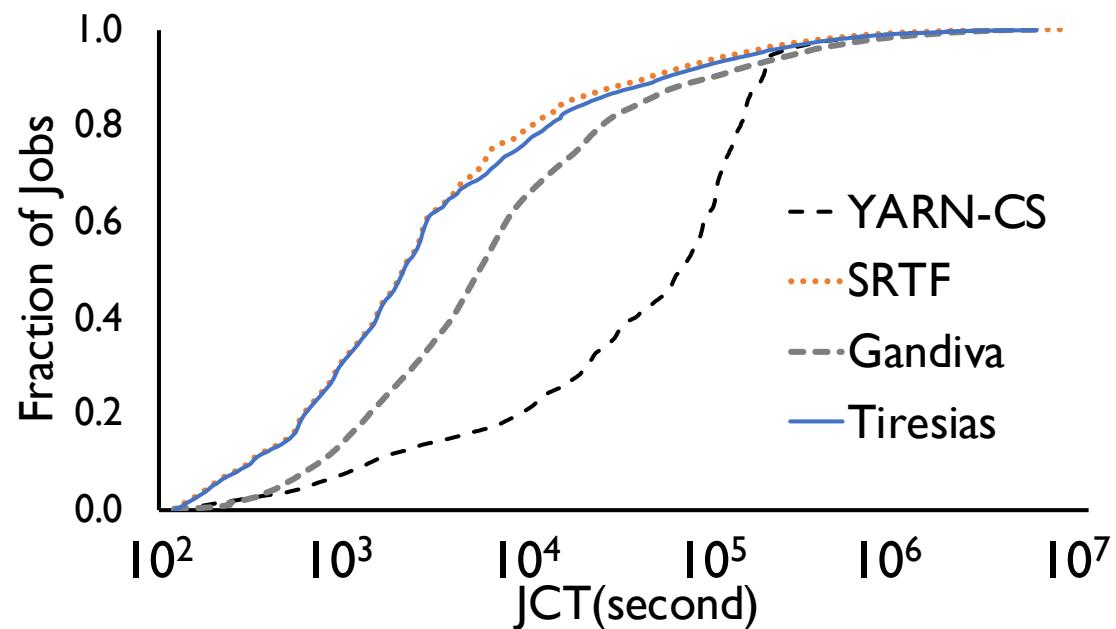


*Avg. JCT improvement  
(w.r.t. YARN-CS): 5.5×*

*Comparable  
performance to SRTF*

# JCT Improvements in Trace-Driven Simulation

- Discrete-time simulator
  - 10-week job trace from Microsoft
  - 2,000-GPU cluster



*Avg. JCT improvement  
(w.r.t. Gandiva): 2×*

# Tiresias

*A GPU cluster manager for  
Distributed Deep Learning  
Without Complete Knowledge*

- Optimize JCT with no or partial job information
- Relax placement constraint without hurting training performance
- Simple, practical, and with significant performance improvements



# Scheduling in Modern Computer Systems

- FCFS
  - SOSP'17 ZygOS
- RR
  - NSDI'19 Shinjuku
- MLFQ
  - NSDI'19 Tiresias
- Fairness
  - NSDI'11 DRF
  - NSDI'16 FairRide

# Dominant Resource Fairness (DRF)

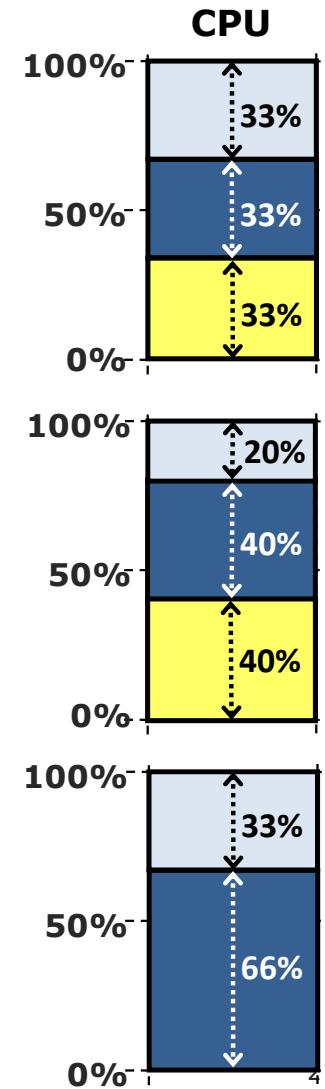
## Fair Allocation of Multiple Resource Types

Ali Ghodsi, Matei Zaharia  
Benjamin Hindman, Andy Konwinski,  
Scott Shenker, Ion Stoica

*University of California, Berkeley*

# What is fair sharing?

- n users want to share a resource (e.g. CPU)
  - Solution:  
Allocate each  $1/n$  of the shared resource
- *Generalized by max-min fairness*
  - Handles if a user wants less than its fair share
  - E.g. user 1 wants no more than 20%
- *Generalized by weighted max-min fairness*
  - Give weights to users according to importance
  - User 1 gets weight 1, user 2 weight 2



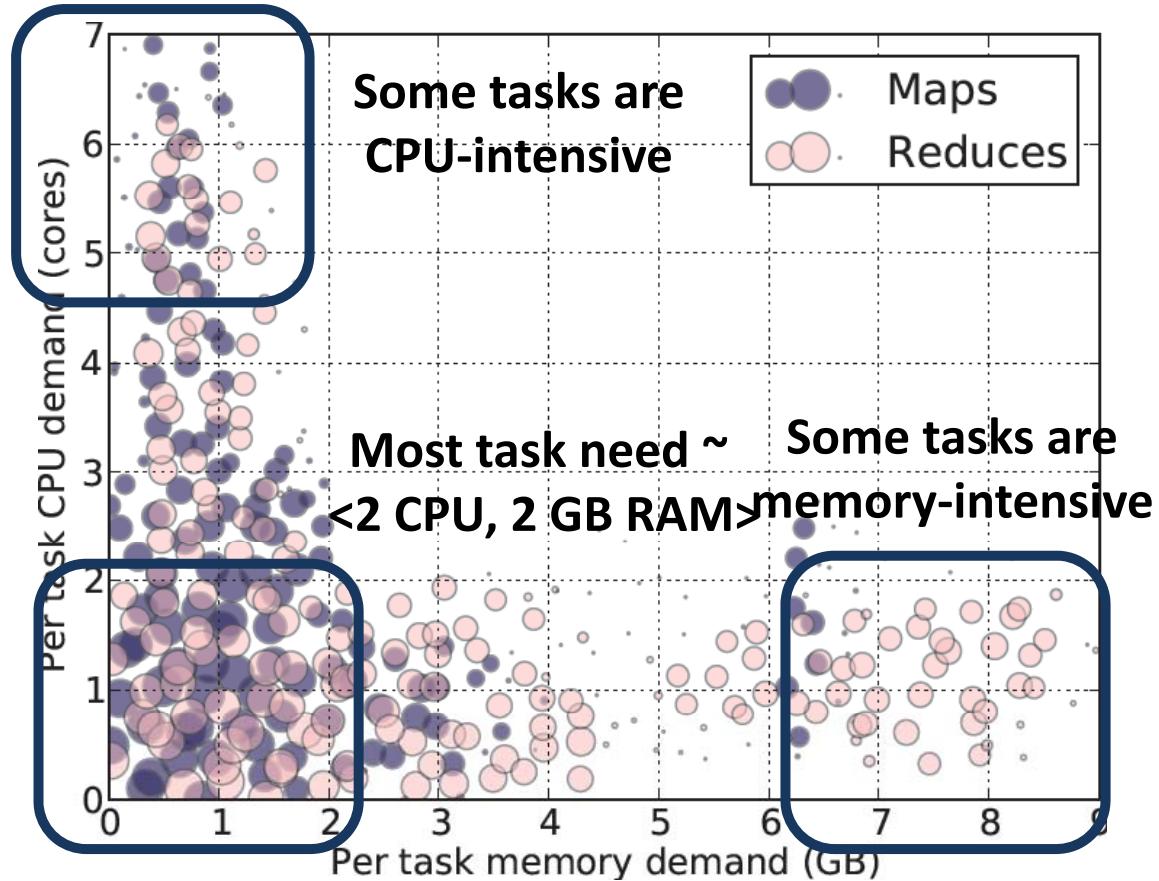
# How to define fairness?

- Share guarantee
  - Each user can get at least  $1/n$  of the resource
  - But will get less if her demand is less
- Strategy-proof
  - Users are not better off by asking for more than they need
  - Users have no reason to lie
- Pareto efficiency
  - It is not possible to increase the allocation of a user without decreasing the allocation of at least another user
  - It leads to maximizing system utilization subject to satisfying other constraints

# Why is max-min fairness not enough?

- Job scheduling in datacenters is not only about CPUs
  - Jobs consume CPU, memory, disk, and I/O
- Does this pose any challenge?

# Heterogeneous Resource Demands

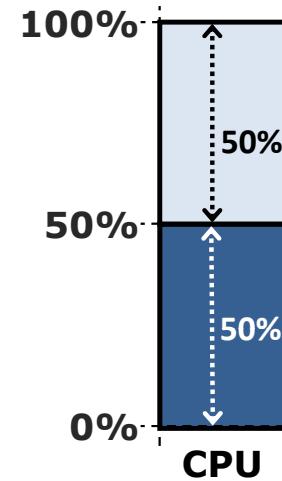


2000-node Hadoop Cluster at Facebook (Oct 2010)

# Problem

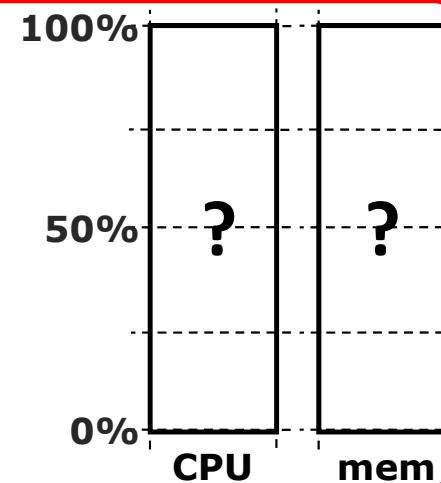
## *Single resource example*

- 1 resource: CPU
- User 1 wants <1 CPU> per task
- User 2 wants <3 CPU> per task



## *Multi-resource example*

- 2 resources: CPUs & mem
- User 1 wants <1 CPU, 4 GB> per task
- User 2 wants <3 CPU, 1 GB> per task
- **What's a fair allocation?**



# Problem definition

How to **fairly share multiple resources** when  
users have **heterogenous demands** on them?

# Model

- Users have *tasks* according to a *demand vector*
  - e.g.  $\langle 2, 3, 1 \rangle$  user's tasks need 2  $R_1$ , 3  $R_2$ , 1  $R_3$
  - Not needed in practice, measure actual consumption
- Resources given in multiples of demand vectors
- Assume divisible resources

# A Natural Policy

- *Asset Fairness*
  - Equalize each user's *sum of resource shares*
- Cluster with 70 CPUs, 70 GB RAM
  - $U_1$  needs <2 CPU, 2 GB RAM> per task
  - $U_2$  needs <1 CPU, 2 GB RAM> per task

# A Natural Policy

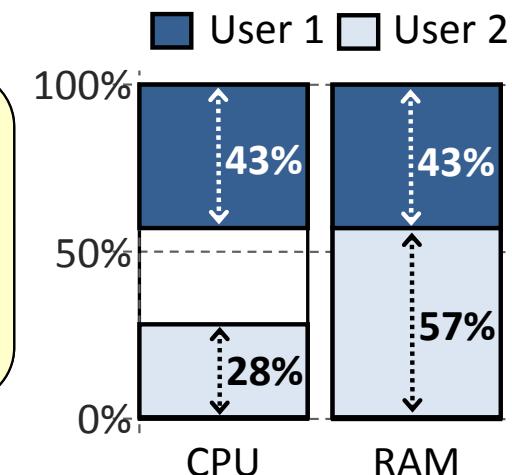
- *Asset Fairness*
  - Equalize each user's *sum of resource shares*

## Problem

User 1 has < 50% of both CPUs and RAM

Better off in a separate cluster with 50% of the resources

- Asset fairness yields
  - $U_1$ : 15 tasks: 30 CPUs, 30 GB ( $\Sigma=60$ )
  - $U_2$ : 20 tasks: 20 CPUs, 40 GB ( $\Sigma=60$ )



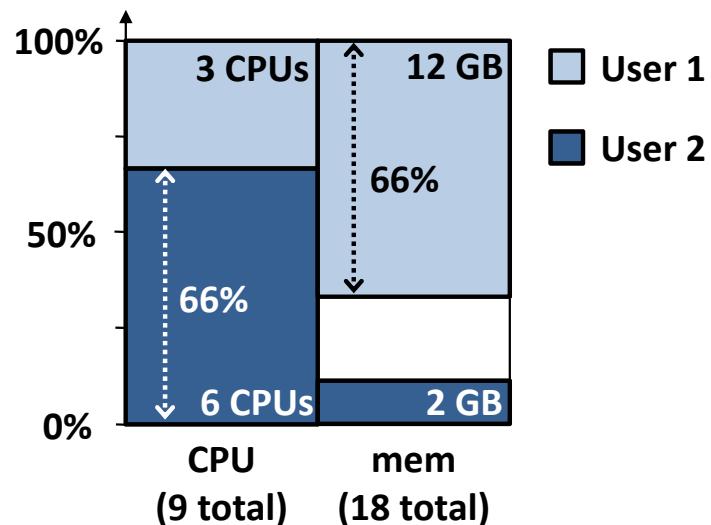
# Dominant Resource Fairness

- A user's *dominant resource* is the resource she has the biggest share of
  - Example:
    - Total resources: **<10 CPU, 4 GB>**
    - User 1's allocation: **<2 CPU, 1 GB>**
    - Dominant resource is memory as  $1/4 > 2/10$  ( $1/5$ )
- A user's *dominant share* is the fraction of the dominant resource she is allocated
  - User 1's dominant share is **25%** ( $1/4$ )

# Dominant Resource Fairness (2)

- *Apply max-min fairness to dominant shares*
- Equalize the dominant share of the users
  - Example:

Total resources:      **<9 CPU, 18 GB>**  
User 1 demand:      **<1 CPU, 4 GB>** dom res: mem  
User 2 demand:      **<3 CPU, 1 GB>** dom res: CPU



# Properties of Policies

Property	Asset	CEEI	DRF
Share guarantee		✓	✓
Strategy-proofness	✓		✓
Pareto efficiency	✓	✓	✓
Envy-freeness	✓	✓	✓
Single resource fairness	✓	✓	✓
Bottleneck res. fairness		✓	✓
Population monotonicity	✓		✓
Resource monotonicity			

# Scheduling in Modern Computer Systems

- FCFS
  - SOSP'17 ZygOS
- RR
  - NSDI'19 Shinjuku
- MLFQ
  - NSDI'19 Tiresias
- Fairness
  - NSDI'11 DRF
  - NSDI'16 FairRide

# FairRide: Near-Optimal Fair Cache Sharing



Qifan Pu,  
Haoyuan Li,  
Matei Zaharia,  
Ali Ghodsi,  
Ion Stoica

# Caches are crucial



 ALLUXIO

The Alluxio logo consists of a blue stylized 'A' icon followed by the word "ALLUXIO" in a blue sans-serif font.

 Spark

The Apache Spark logo features the word "Spark" in a large, dark grey sans-serif font, with a red four-pointed star icon positioned above the letter "k".

 memCached

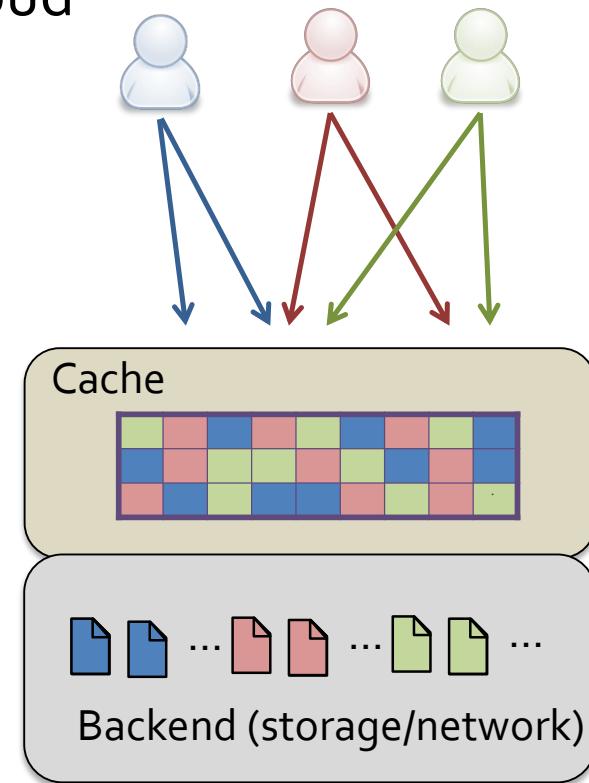
The memcached logo features a green stylized 'm' icon followed by the word "memCached" in a green sans-serif font.

# Cache sharing

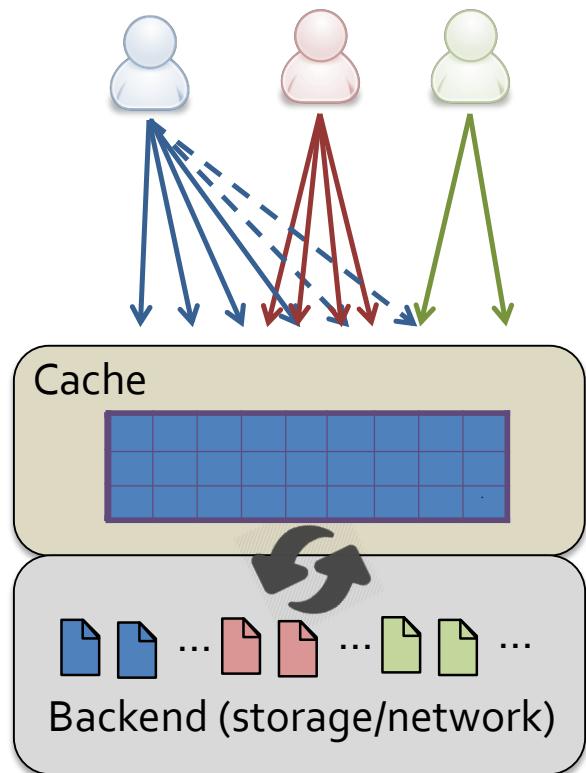
- Increasingly, caches are shared among multiple users
  - Especially with the advent of cloud

## Benefits:

- Provide low latency
- Reduce backend load



# Problems with cache algorithms



- LRU, LFU, LRU-K...
  - Cache data likely to be accessed in the future
- Optimize global efficiency
- Single user gets arbitrarily small cache
- Prone to strategic behavior

# A simple model

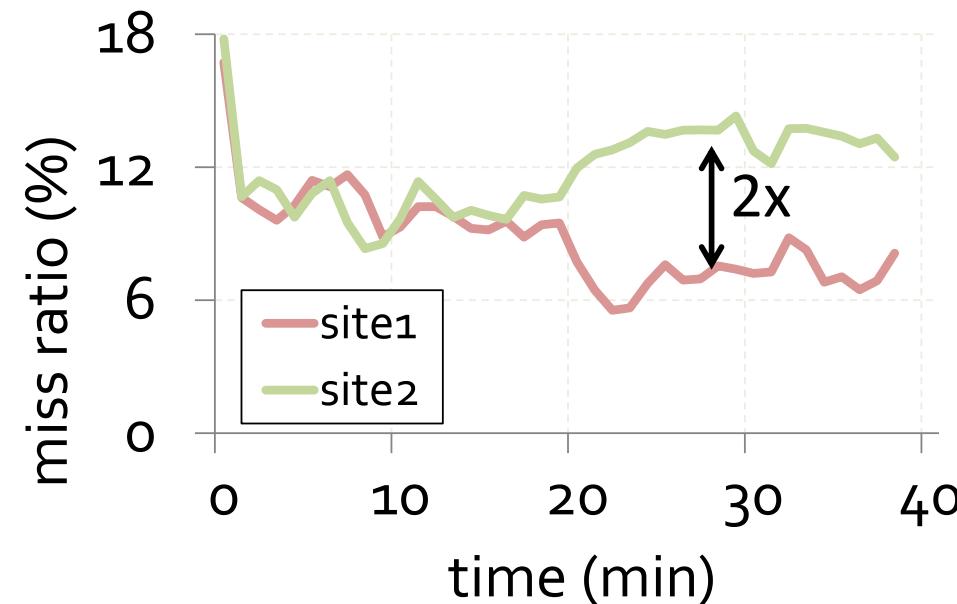
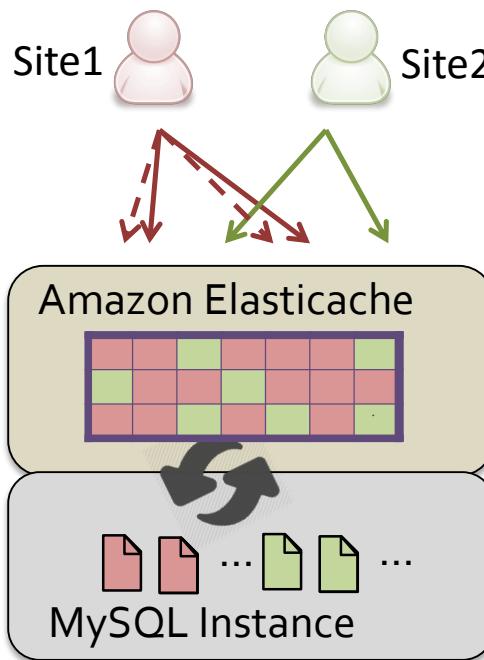
- Users access equal-sized files at constant rates
  - $r_{ij}$  the rate user  $i$  accesses file  $j$
- A allocation **policy** decides which files to cache
  - $p_j$  the % of file  $j$  put in cache
- Users care their hit ratio  $HR_i = \frac{\text{total\_hits}}{\text{total\_accesses}} = \frac{\sum_j p_j r_{ij}}{\sum_j r_{ij}}$ 
  - user  $i$ 's hit ratio:
    - ◆ Results hold with varied file sizes, access partial files,  $p_j$  is binary, etc.

# Properties

- Isolation Guarantee (**Share Guarantee**)
  - No user should be worse off than static allocation
- Strategy-Proofness
  - No user can improve by cheating
- Pareto Efficiency
  - Can't improve a user without hurting others

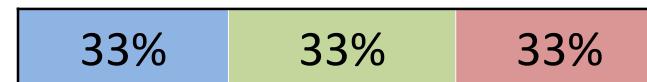
# Strategy proofness

- Very easy to cheat, hard to detect
  - e.g., by making spurious accesses
- Can happen in practice



# What is *max-min fairness*?

- *Maximize* the user with *minimum allocation*
  - Solution: allocate each  $1/n$  (fair share)

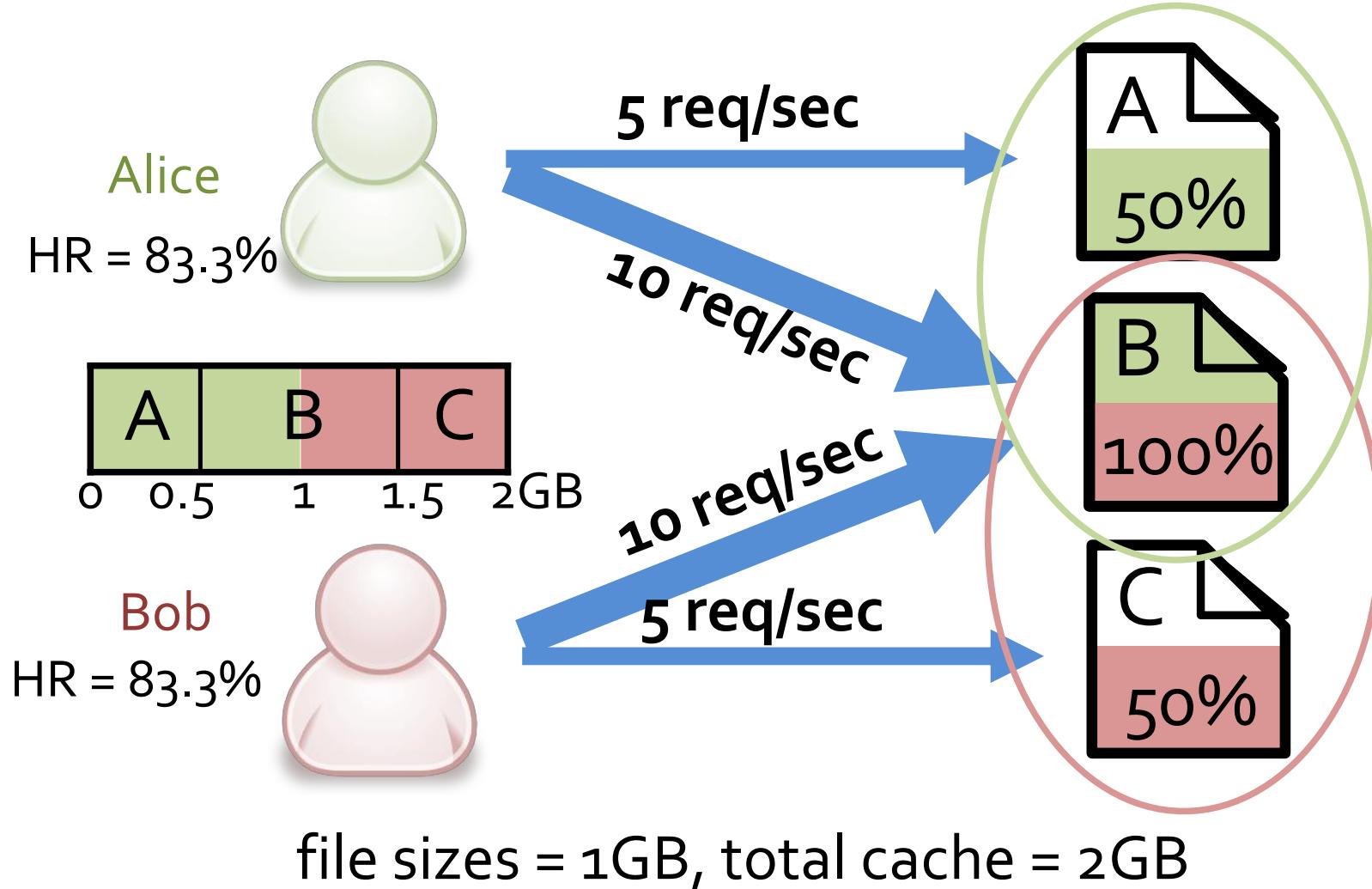


- Handles if some users want less than fair share



- Widely successful to other resources:
  - OS: round robin, prop sharing, lottery sched...
  - Networking: fair queueing, wfq, wf2q, csfq, drr...
  - Datacenter: DRF, Hadoop fair sched, Quincy...

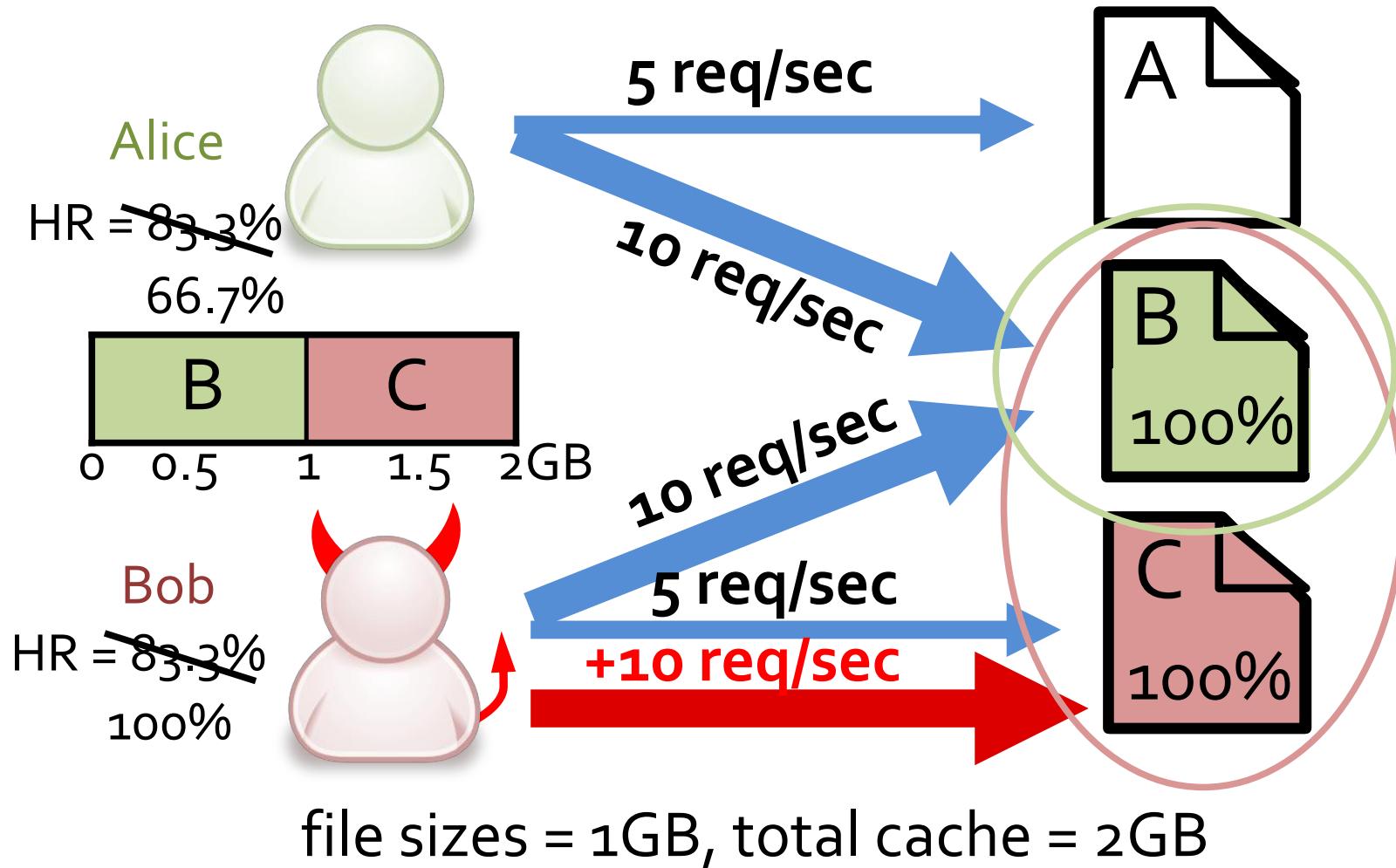
# An example



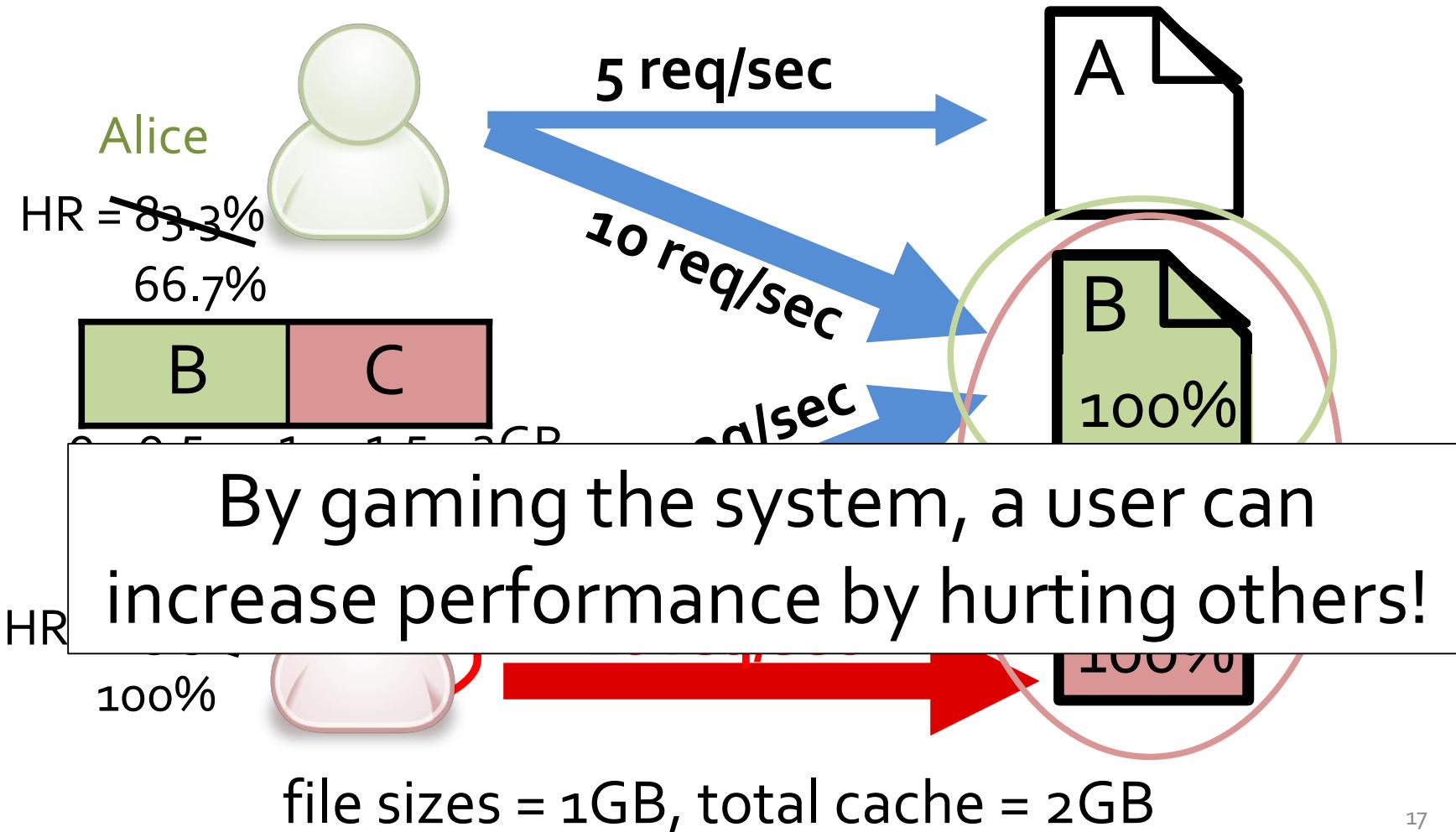
# Properties

	Isolation Guarantee	Strategy Proofness	Pareto Efficiency
max-min fairness	✓	?	✓

# An example



# An example



# Properties

	Isolation Guarantee	Strategy Proofness	Pareto Efficiency
max-min fairness	✓	✗	✓
static allocation	✓	✓	✗
priority allocation	✗	✓	✓
max-min rate	✗	✓	✗
...	...	...	...

# Theorem

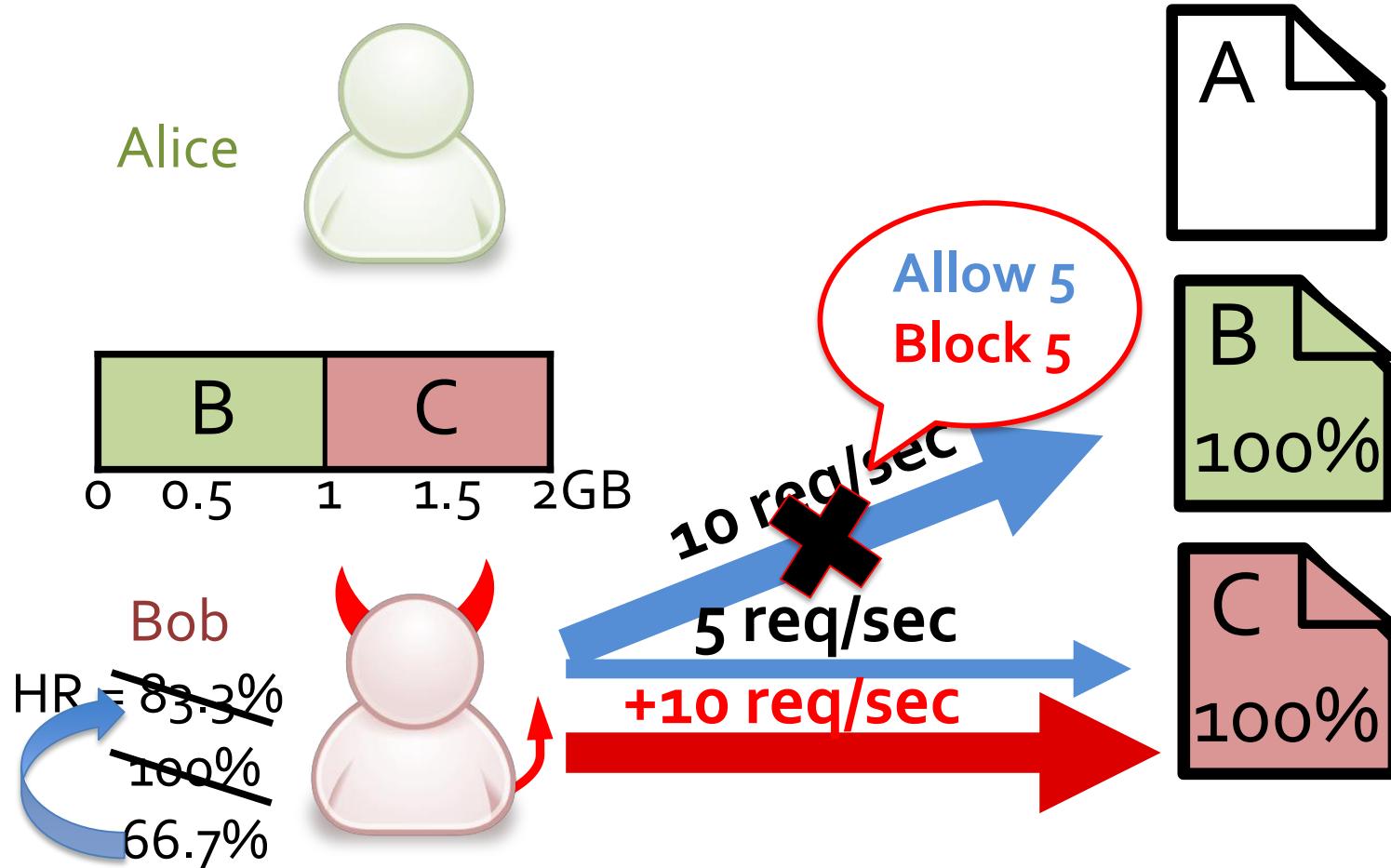
**No** allocation policy can satisfy **all three** properties!

- Best we can do: two of three.

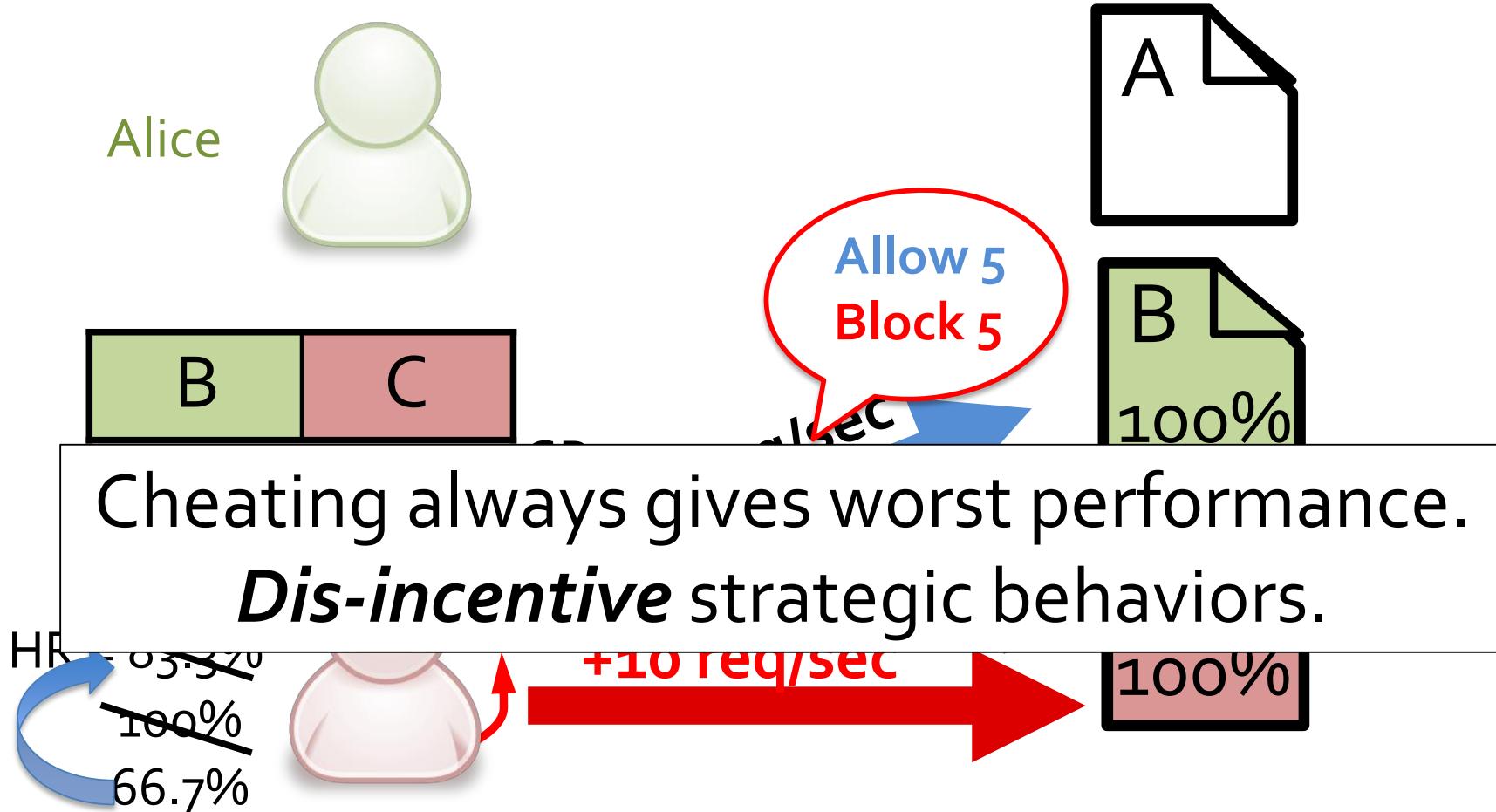
# FairRide

- Starts with max-min fairness
  - Allocate  $1/n$  to each user
  - Split “cost” of shared files equally among shared users
- Only difference:  
**blocking** users who don’t “pay” from accessing
- Probabilistic blocking: with some probability
  - Implemented with delaying

# FairRide: Blocking



# FairRide: Blocking



# Probabilistic blocking

- FairRide blocks a user with  $p(nj) = 1/(nj+1)$  probability
  - $nj$  is number of other users caching file  $j$
  - e.g.,  $p(1)=50\%$ ,  $p(4)=20\%$
- The best you can do in a general case
  - **Less blocking does not prevent cheating**

# Properties

	Isolation Guarantee	Strategy Proofness	Pareto Efficiency
max-min fairness	✓	✗	✓
static allocation	✓	✓	✗
priority allocation	✗	✓	✓
max-min rate	✗	✓	✗
FairRide	✓	✓	Near-optimal