# QUANTUM ALGORITHM FOR SUPERSINGULAR ISOGENY PROBLEM

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#### **ABSTRACT**

We make an overview of supersingular isogeny cryptography, and exhibit our attempts to make an improvement on existing algorithms along with the possible reasons why we finally failed. This paper also gives a brief tutorial of elliptic curve isogenies and some computational problems. Supersingular isogeny cryptography is attracting attention due to it's quantum-resistant. However, many computational problems involving the structure of isogeny graph have not been sufficiently studied. We summarized some previous results and describe our understanding of this problem. We hope these can help others.

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## 1 Introduction

In 1994, Shor proposed a quantum algorithm which can factor a composite in polynomial time. [1] It means that the RSA protocol [2] is not safe under quantum attack. John and Christof designed a variant Shor's algorithm [3] which can be applied to elliptic curve cryptography. Diffie-Hellman protocol [4] is also unsafe in post-quantum era. In 2011, Luca De Feo, David Jao and Jérôme Plût [5] presented new candidates for quantum-resistant public-key cryptosystems based on the conjectured difficulty of finding isogenies between supersingular elliptic curves. In 2016, National Institute of Standards and Technology (NIST) has begun the call for post-quantum cryptographic algorithms from all over the world. SIKE [6] is the only supersingular isogeny protocol in NIST.

There are many extraordinary textbooks and lecture notes about elliptic curves, such as [7–10]. For quantum algorithm for algebraic problem, [11] is a good survey. Basic knowledge about quantum computing can be referred to [12].

In chapter 2, we make a brief tutorial to elliptic curves. Chapter 3 is about the quantum subexponential time algorithm for constructing isogeny between ordinary curves. In chapter 5,6, we introduce general protocol and two different quantum attacks on it. We also exhibit our attempts on improving the algorithm for isogeny problem, and analyse the essential hardness of this problem along with the reasons why they failed in chapter 7. In chapter 8, we will discuss a exquisite and explicit correspondence between SIKE and the Bruhat-Tits tree [13]. In chapter 9, we try to investigate the probability problems about the quantum walk on the isogeny graph via Hecke operators. It is worth mentioning that authors in [14] propose a efficient attack on SIKE, which is a shock. I am convinced that it will bring some new idea to the related study, but I will not cover it in this paper.

## 2 Mathematical background

## 2.1 Introduction to elliptic curves

In mathematics, an elliptic curve is a smooth, projective, algebraic curve of genus one, on which there is a specified point O. An elliptic curve is defined over a field k and describes points in  $k \times k$ . If the field's character  $char(k) \neq 2, 3$ , then the curve can be described as a plane algebraic curve:

$$E: y^2 = x^3 + ax + b, a, b \in k$$

where  $\Delta := 4a^3 + 27b^2 \neq 0$ , which means the curve is non-singular, i.e. the curve has no cusps or self-intersections. The set of points of an elliptic curve can be equipped with an additive group law. Actually there is an isomorphism:

$$\Phi: \mathbb{C}/L \to E(\mathbb{C})$$
$$z \mapsto (\wp(z), \wp'(z))$$

where L is a  $\mathbb{C}$ -lattice,  $\wp(z)$  is Weierstrass elliptic function. So elliptic curves preserves the additive structure. Details about the arithmetic of elliptic curves can be found in many references, such as [7, 10].

Let  $\mathbb{F}_q$  be a finite field, where  $q=p^n, n\in\mathbb{N}, p>3$ . For simplicity, we assume that p>3 in the following. In projective space, the elliptic curve E over  $\mathbb{F}_q$  is the set of points:

$$E(\mathbb{F}_q) = \{(x, y) \in \mathbb{F}_q^2 : y^2 = x^3 + ax + b\} \cup \{\mathbf{0}_E\}$$

where  $\mathbf{0}_E$  is the point (x:y:z)=(0:1:0) on the projective curve  $y^2z=x^3+axz^2+bz^3$ , we denote  $\mathbf{0}_E$  as  $\mathbf{0}$  for simplicity. Sometimes we also consider the set  $E(\bar{\mathbb{F}}_q)$  of all the points over the algebraic closure  $\bar{\mathbb{F}}_q$  instead of  $\mathbb{F}_q$ .

There are "nearly q" points on an elliptic curve over  $\mathbb{F}_q$ . Precisely,  $\#E(\mathbb{F}_q)=q-1+t$ , where t is an integer, and  $|t|\leq 2\sqrt{q}$  (Hasse Theorem). We call an elliptic curve over  $\mathbb{F}_q$ , where  $q=p^a$ , supersingular if p|t, and ordinary otherwise. It follows that  $\#E(\mathbb{F}_q)\equiv 1\pmod{p}$ . Maybe it's confusing that why we separate elliptic curves by this, we will later see the motivation.

For  $n \in \mathbb{N}$ ,  $P \in E(\mathbb{F}_q)$ , we define  $[n]P = P + \cdots + P$ , (n times). Define  $E[n] = P \in E(\overline{\mathbb{F}}_q)$ . If  $(p \nmid n)$ , it follows that  $\#E[n] = n^2$ , and actually is a direct product of two cyclic group of order n. If E is supersingular then  $E[p] = \{0\}$ , and #E[p] = p when ordinary.

A morphism between elliptic curves is a group homomorphism and a rational map over the algebraic closure. More generally, morphisms can be defined for abelian varieties. An isomorphism of elliptic curves  $f: E \to E'$  is a morphism that satisfies  $f(\mathbf{0}_E) = \mathbf{0}_{E'}$ , and whose inverse (over the algebraic closure). So an isomorphism is a bijection  $E(\mathbb{F}_q) \to E(\overline{\mathbb{F}}_{q'})$ .

**Note:** Isomorphisms are over  $\overline{\mathbb{F}}_q$ , so they are not necessary maps between  $E(\mathbb{F}_q)$  and  $E'(\mathbb{F}_q)$ . Actually if  $\#E(\mathbb{F}_q)=q+1-t$ , there is another elliptic curve E' over  $\mathbb{F}_q$  called the **quadrtic twist** of  $E(\mathbb{F}_q)$ , with  $\#E'(\mathbb{F}_q)=q+1+t$  and isomorphic to E, because isomorphism is not defined over  $\mathbb{F}_q$ , but over its quadrtic extension  $\mathbb{F}_{q^2}$ .

We can separate all elliptic curves over an algebraic closed field k (we take  $k = \bar{\mathbb{F}}_q$  here) into their isomorphism classes by j-invariant.

The *j*-invariant of an elliptic curve  $E: y^2 = x^3 + ax + b$  is:

$$j(E) = 1728 \frac{4a^3}{4a^3 + 27b^2}$$

E and E' is isomorphic if and only if j(E) = j(E').

Inversely, given  $j \in \mathbb{F}_q$  with  $j \neq 0,1728$ , we can compute the corresponding (unique) elliptic curves easily:

$$E: y^2 = x^3 + \frac{3j}{1728 - j}x + \frac{2j}{1728 - j}$$

and j(E) = j. But in field which is not algebraic closed, the property doesn't hold, *i.e.*, there two elliptic curves having the same j-invariant but not isomorphic.

## 2.2 Isogeny

An **isogeny** is a morphism  $\phi: E \to E'$ , with  $\phi(\mathbf{0}_E) = \mathbf{0}_{E'}$ . We call two elliptic curves are isogenous if there is a non-constant isogeny between them. Actually isogenies have a standard form:

$$\phi(x,y) = (\frac{u(x)}{v(x)}, \frac{s(x)}{t(x)}y)$$

The **degree** d of an isogeny is  $d = \max\{\deg(u(x)), \deg(v(x))\}$ . We call an isogeny is **separable** if the formal derivative of  $\frac{u(x)}{v(x)}$  is zero, and **inseparable** otherwise. The degree of an isogeny corresponds with the number of points in the kernel in separable case.

The **dual isogeny** to  $\phi: E \to E'$  is an isogeny  $\hat{\phi}: E' \to E$ ,  $s.t. \hat{\phi} \circ \phi = [\deg(\phi)]: E \to E$ . The dual isogeny exists for every isogeny  $\phi$ . And Tate's Theorem says that any two elliptic curves E, E' defined over  $\mathbb{F}_q$  are isogenous over  $\mathbb{F}_q$  if and only if  $\#E(\mathbb{F}_q) = \#E'(\mathbb{F}_q)$  [7, V Ex 5.4]. Maybe it's confusing that an isogeny has a kernel, is it contradictive to that two isogenous elliptic curves have the same number of points? It is due to the kernel of isogeny is a subgroup of  $E(\overline{\mathbb{F}}_q)$  rather than  $E(\mathbb{F}_q)$ .

Every isogeny  $\phi: E \to E'$  has a kernel  $ker(\phi) \le E(\bar{\mathbb{F}}_q)$ , can we determine an isogeny uniquely only by its kernel? Here a theorem discussing this problem:

**Theorem 1** Let E be an elliptic curve defined over  $\mathbb{F}_q$  and G a finite subgroup of  $E(\overline{\mathbb{F}}_q)$  that is defined over  $\mathbb{F}_q$ . Then there is an elliptic curve E' defined over  $\mathbb{F}_q$ , and a separate isogeny  $\phi: E \to E'$  defined over  $\mathbb{F}_q$  of degree #G, with  $G = \ker(\phi)$ . If there is another separable isogeny  $\psi: E \to E''$  of degree #G, with  $\ker(\psi) = G$ , then f(E') = f(E''), f(E'') = f(E''), f(E') = f(

There is an explicit algorithmic proof named Velu's formulas, whose complexity is O(n) field operations to compute the isogeny  $\phi$  with  $\deg(\phi)=n$ .

Another important property of isogeny is that isogenys can be factored. For example,  $\phi: E \to E'$  is a separable isogeny defined over  $\mathbb{F}_q$ . If  $\phi = \phi_1 \circ \cdots \circ \phi_k \circ [n]$ , then  $\deg(\phi) = n^2 \cdot \prod_{i=1}^k \deg(\phi_i)$ . If we take  $\phi_i$  all isogenies with a small prime degree, for example 2. Then the complexity to compute the isogeny  $\phi$  is O(k), instead of  $O(2^k)$  by Velu's formulas.

Because of these properties, isogeny has a broad amplication in cryptography, both in encryption and attacking. Many works have been done to speed up the computation of isogenies. Later we will discuss it in more detail. In addition, isogeny-based cryptography usually works on isomorphism classes of elliptic curves. On reason is that Velu's formulas' outputs are the isogeny  $\phi$  and the iamge E'. E' is isomorphic to the desired elliptic curve, but not necessarily the desired curve.

## 2.3 Endomorphism and complex multiplication

Because any elliptic curve over  $\mathbb C$  is isomorphic to a complex tori  $\mathbb C/L$ . Actually, elliptic curves over  $\mathbb C$  and complex torus are categorial equivalence. It follows that the endomorphism ring of elliptic curves is isomorphic to  $\mathcal O(L):=\{\alpha\in\mathbb C:\alpha L\subseteq L\}$ . This is the origin of term **complex multiplication**.

The endomorphism algebra of elliptic curve E is  $End^0(E) := End(E) \otimes_{\mathbb{Z}} \mathbb{Q}$ . Here is the classification theorem for endomorphism algebras.

**Theorem 2** Let E/k be an elliptic curve. Then  $End^0(E)$  is isomorphic to one of:

- the field of rational numbers  $\mathbb{Q}$
- an imaginary quadratic field  $\mathbb{Q}(\alpha)$ , with  $\alpha^2 < 0$
- a quaternion algebra  $\mathbb{Q}(\alpha, \beta)$ , with  $\alpha^2, \beta^2 < 0$ .

According to the above theorem, the endomorphism ring of elliptic curve over  $\mathbb C$  is either  $\mathbb Q$  or an imaginary quadratic field  $\mathbb Q(\alpha)$ .

As for elliptic curves over a finite field, there is a theorem about the endomorphism algebra.

**Theorem 3** Let E be an elliptic curve over a finite field of characteristic p,  $\pi_E$  is Frobenius endomorphism. Either E is supersingular,  $tr_E \equiv 0 \mod p$ , and  $End^0(E_{\overline{\mathbb{F}}_q})$  is a quaternion algebra, or E is ordinary,  $tr_E \neq 0$ , and  $End^0(E_{\overline{\mathbb{F}}_q})$  is an imaginary quadratic field.

It follows that the endomorphism algebra of elliptic curve over finite field is never equals  $\mathbb{Q}$ , which is different from the cases over  $\mathbb{C}$ . And endomorphism of supersingular curves are not commutative.

Furthermore, to show the relationship between the endomorphism ring and isomorphism class, we take elliptic curve over  $\mathbb{C}$  as an example, whose endomorphism ring is an order of an imaginary quadratic field.

Due to the relationship between lattices and elliptic curves given by Weierstrass  $\wp$  function. Given an order  $\mathcal{O}$ , there is a bijection:

$$\{L \subseteq \mathbb{C} : \mathcal{O}(L) = \mathcal{O}\}/_{\sim} \longleftrightarrow \{E/\mathbb{C} : \operatorname{End}(E) = \mathcal{O}\}/_{\sim}$$

Here  $\sim$  means the homothety between lattices, and  $\simeq$  means the isomorphism betweem elliptic curves. Moreover, the above two sets are both in bijection with the ideal class group  $\operatorname{cl}(\mathcal{O})$ . We define an  $\mathcal{O}$ -ideal L is proper if  $\mathcal{O}(L) = \mathcal{O}$ , the ideal class group can be defined as:

$$cl(\mathcal{O}) := \{ \text{proper } \mathcal{O}\text{-ideals } \mathfrak{a} \} /_{\sim}$$
 
$$\mathfrak{a} \sim \mathfrak{b} \iff \alpha \mathfrak{a} = \beta \mathfrak{b} \text{ for nonzero } \alpha, \beta \in \mathcal{O}.$$

Note that the equivalence between  $\mathcal{O}$ -ideals corresponds to homothety between lattices. We can label the isomorphism classes  $\operatorname{Ell}_{\mathcal{O}}(\mathbb{C}) := \{j(E) : E/\mathbb{C} \text{ with } \operatorname{End}(E) = \mathcal{O}\}$ . by ideal classes:

$$\begin{array}{c} \operatorname{cl}(\mathcal{O}) \longrightarrow \operatorname{Ell}_{\mathcal{O}}(\mathbb{C}) \\ [\mathfrak{a}] \longmapsto j(E_{\mathfrak{a}}) = j(\mathfrak{a}) \end{array}$$

Moreover,  $\operatorname{cl}(\mathcal{O})$  has a group action on  $\operatorname{Ell}_{\mathcal{O}}(\mathbb{C})$  via:  $[\mathfrak{a}]j(E_{\mathfrak{b}})=j(E_{\mathfrak{a}^{-1}\mathfrak{b}})$ . Actually the action of  $\operatorname{cl}(\mathcal{O})$  is not only faithful (only the identity fixex every element), and it's free (every stablizer is trivial). In addition,  $\#\operatorname{cl}(\mathcal{O})=\#\operatorname{Ell}_{\mathcal{O}}(\mathbb{C})$  together with the free action imply that the action is transitive. A group action that is free and transitive is said to be regular, which meaning that the action of group G on a set X, for any  $x,y\in X$ , there is a unique g,s.t.gx=y. In this situation the set X is called G-torsor or principal homogeneous space for G.

Then we state a theorem that shows a important property of endomorphism ring related the isogeny problem over finite field that we shall soon discuss. [15, Theorem 4.5]

**Theorem 4** Let E be the endomorphism algebra of an isogeny class of elliptic curves,  $\mathcal{O}$  an order in it which is a possible endomorphism ring. Then every ideal of  $\mathcal{O}$  is a kernel ideal for every A with End(A) = R.

- If E is commutative, the isomorphism classes of curves with endomorphism ring  $\mathcal O$  form a principal homogeneous space for  $cl(\mathcal O)$
- If E is non-commutative, the number of isomorphism classes  $\#Ell_{\mathcal{O}}(k) = cl(\mathcal{O})$  (the classes of are homogeneous space for Brandt groupoid). Each  $\mathcal{O}$  has one or two isomorphism classes of curves with order  $\mathcal{O}$ , according as the ideal  $\mathfrak{p}$  of  $\mathcal{O}$  with  $\mathfrak{p}^2 = p$  is or is not principal.

The commutative cases is the key to reduce isogeny problem to hidden abelian shift problem which can be solved in quantum subexponential time.

## 2.4 Modular polynomial and isogeny graph

Velu's formulas tells us that given kernel points we can compute the isogeny. However, there is another tool for computing isogenies without dealing with the kernel subgroups.

Let l be an integer and  $l \geq 2$ . The **modular polynomial**  $\Phi_z(x,y) \in \mathbb{Z}[x,y]$ , with the following remarkable property: A pair  $j,j' \in \mathbb{F}_q$  satisfies  $\Phi_l(j,j') = 0$  if and only if there are two elliptic curves E,E' over  $\mathbb{F}_q$ , with j(E)=j and j(E')=j', and an isogeny  $\phi:E\to E'$ . It can be concluded easily that  $\Phi_l(j,j')=0 \Leftrightarrow \Phi_l(j',j)=0$  due to the dual isogeny.

The modular polynomial has very high degree and very large coefficients, so just representing the modular polynomial is actually a hard problem, there are some works related to it. When l is prime then  $deg_x(\Phi_l(x,y)) = l+1$ , and indeed  $\Phi_l(x,y) = x^{l+1} + y^{l+1} + x^l + y^l + lower terms$ . It requires  $O(l^3log(l))$  to represent  $\Phi_l$ .

Hence, given an elliptic curve E over  $\mathbb{F}_q$ , we can find all j-invariants which are l-isogenous to E by computing the univariate polynomial  $\Phi_l(j(E), y) \in \mathbb{F}_q[y]$  and computing its roots in  $\overline{\mathbb{F}}_q$ . An algorithm due to Elkies allows us to compute the kernel of the corresponding isogeny (in O(exp(l)) time) given E and j' = j(E'). Along with Velu formulas, we can compute the corresponding isogeny in O(exp(l)), that's why we usually take l small in practice.

For elliptic curves over  $\mathbb{F}_q$  and l a prime, the l-isogeny graph over  $\mathbb{F}_q$  is the directed graph  $G_l(\mathbb{F}_q)$ , whose vertices is the set of j-invariants of elliptic curves over  $\mathbb{F}_q$ , and whose edges are the pair (j(E),j(E')) with multiplicity equal to the multiplicity of j(E') as a root of  $\Phi_l(j(E),Y)$ . So the graph is not a simple graph. It can be a multi-graph with more distinct edges between two vertices(and it may have self-loop). For  $j \neq 0,1728$ , the multiplicities of the edges (j(E),j(E')) and j(E'),j(E) are the same, which implies we can consider the isogeny graph as an undirected graph without taking the vertices 0,1728 into consideration.

Here we give a brief introduction about the isogeny graph, more properties will be refered later.

An elliptic curve which is isogenous to a supersingular curve is also supersingular by Tate' theorem. So the connected component in the *l*-isogeny graph is either ordinary or supersingular.

The ordinary component in l-isogeny graph is called l-volcano, which is a connected undirected graph whose vertices have a level structure meaning that vertices can be divided into  $V_0, \cdots, V_d$ .  $V_0$  is called the surface or carter, and is a cycle. Each vertex in  $V_i$  (i > 0) has exactly one neighbor in  $V_{i-1}$ , and all vertices have degree l + 1, expect for vertices in  $V_d$  with degree l-l-volcano can be seen as a forest, several exactly the same complete l ary trees are attached to the surface.

The supersingular component has a totally different structure. Every j-invariant of supersingular over  $\mathbb{F}_p$  lies in its algebraic closure  $\mathbb{F}_{p^2}$ , so  $\Phi_l(j(E),Y)$  have l+1 roots in  $\mathbb{F}_{p^2}$ . Hence, the supersingular isogeny graph over  $\mathbb{F}_{p^2}$  is a regular graph with degree l+1. There is only one supersingular component in l-isogeny graph. Furthermore, it is an expander graph ,which means it has a good mixing property. Moreover, it's Ramanujan graph, which implies its the optimal expander graph. Such properties led to its widely application in supersingular isogeny-based cryptography, which is one of the post-quantum cryptography in NIST. More detailed properties about supersingular isogeny graph can be referred to.

## **3** A Brief Introduction to Complex Multiplication

In this section, I am going to give a brief introduction to Complex Multiplication (CM) theory. Although some theorems are not directly related to the computation of isogeny, they are important parts of the whole theory of isogeny between elliptic curves. Being acquainted with the theory and tools may be fundamental to the insight into the structure of isogeny problem. The CM theory about elliptic curves over  $\mathbb C$  might also gives inspiration about the supersingular isogeny cases.

Anyway, this section is not necessary for understanding the methods and techniques in isogeny problem, you can skip it.

## 3.1 Modular curves

Every 2-rank lattice  $L = [\omega_1, \omega_2]$  over  $\mathbb C$  is homothetic to a lattice of the form  $L' = [1, \tau]$ . We can choose  $\tau$ , such that  $\tau$  is in the upper half plane  $\mathbb H := \{z \in \mathbb C : \text{im } z > 0\}$ . j-function is be defined as:

$$j: \mathbb{H} \to \mathbb{C}$$

$$j(\tau) = 1728 \frac{g_2(\tau)^3}{g_2(\tau)^3 - 27g_3(\tau)^2}$$

where

$$g_2(\tau) = 60 \sum_{(m,n) \in \mathbb{Z}^2 - \{(0,0)\}} \frac{1}{(m+n\tau)^4} \text{ and } g_3(\tau) = 140 \sum_{(m,n) \in \mathbb{Z}^2 - \{(0,0)\}} \frac{1}{(m+n\tau)^6}$$

Actually, j-function is defined on rank-2  $\mathbb C$  lattice, and  $j(\tau)=j([1,\tau])$ . Due to the relationship between lattice and elliptic curves by  $\wp(x)$ , it is also related to j-invariant of elliptic curves. The value of j-function can also be used to identify homothetic classes of lattices.

*j*-function has many properties. We consider the *modular group*:

$$\Gamma = \mathrm{SL}_2(\mathbb{Z}) = \left\{ X = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in M_2(\mathbb{Z}) : \det(X) = 1 \right\}$$

 $\Gamma$  acts on  $\mathbb H$  via linear fractional transform:

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \tau = \frac{a\tau + b}{c\tau + d}$$

It can be verified that j-function is invariant under the action of  $\Gamma$ , i.e.  $j(\tau) = j(\gamma \tau), \ \forall \gamma \in \Gamma$ . As a consequence, it suffices to study j-function on the  $\Gamma$  equivalence classes of  $\mathbb{H}$ , that is, the orbits of  $\mathbb{H}$  under the action of  $\Gamma$  which we denote by  $\mathbb{H}/\Gamma$ .

By choosing unique representative of each class, we can get a *fundamental domain*  $\mathcal{F}$  for  $\mathbb{H}/\Gamma$ .

$$\mathcal{F} = \{ \tau \in \mathbb{H} : re(\tau) \in [-1/2, 1/2) \text{ and } |\tau| \ge 1, \text{ such that } |\tau| > 1 \text{ if re } \tau > 0 \}$$

We can say that j-function has the symmetry of  $SL_2(\mathbb{Z})$ , and j is a bijection from  $\mathcal{F}$  to  $\mathbb{C}$ . In addition, j-function has the property of "uniformity", that is, its definition for different objects corresponds with each other:

$$j(E_L) = j(L) = j(\tau) = j(L') = j(E_{L'})$$

where  $E/\mathbb{C} \simeq \mathbb{C}/L$ , L is homothetic to  $L' := [1, \tau]$ ,  $E_{L'} \simeq \mathbb{C}/L'$ .

Under the action of modular group,  $\mathbb H$  also has geometry structure. To compactify  $\mathcal F$ , We can adjoin the point at infinity  $\infty$ . We should also include the set of all rational number in  $\mathbb H$ :

$$\mathbb{H}^* = \mathbb{H} \cup \mathbb{Q} \cup \{\infty\} = \mathbb{H} \cup \mathbb{P}^1(\mathbb{Q})$$

The reason that we include all rational number is that the orbit of  $SL_2(\mathbb{Z})$  on any rational number is  $\mathbb{P}^1(\mathbb{Q})$ . Such definition is easy to extended in other cases. We call the orbits of  $\mathbb{P}^1(\mathbb{Q})$  under some group as cusps.

The analytic definition of a modular curve involves a choice of a congruence subgroup  $\Gamma$  of  $SL_2(\mathbb{Z})$ , i.e. a subgroup containing the principal congruence subgroup of level N  $\Gamma(N)$ , for some positive integer N, where:

$$\Gamma(N) := \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \operatorname{SL}_2(\mathbb{Z}) : \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \operatorname{mod} N \right\}$$

There are two families of congruence subgroups of particular interest:

$$\Gamma_1(N) := \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \operatorname{SL}_2(\mathbb{Z}) : \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix} \operatorname{mod} N \right\}$$

$$\Gamma_0(N) := \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \operatorname{SL}_2(\mathbb{Z}) : \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \operatorname{mod} N \right\}$$

The modular operation for matrix is related to arithmetic properties, especially the N-torsion subgroup of elliptic curves.

Let's take an example.  $X(1) := \mathbb{H}^*/\Gamma$ , it is a compact Riemann surface with genus 0, hence X(1) is homeomorphic to the Riemann sphere  $S = \mathbb{P}^1(\mathbb{C})$ , the unique compact Riemann surface of genus 0. The homeoporphic is given by j-function. Similarly,  $Y(1) = \mathbb{H}/\Gamma$  is a Riemann surface with genus 0, but not compact. Hence it is homeomorphic to the complex plane  $\mathbb{C}$  via j-function.

We now define some other modular curves:

$$X(N) := \mathbb{H}^*/\Gamma(N), \quad X_1(N) := \mathbb{H}^*/\Gamma_1(N), \quad X_0(N) := \mathbb{H}^*/\Gamma_0(N)$$
  
 $Y(N) := \mathbb{H}/\Gamma(N), \quad Y_1(N) := \mathbb{H}/\Gamma_1(N), \quad Y_0(N) := \mathbb{H}/\Gamma_0(N)$ 

. They are all Riemann surfaces, and curves labeled by X are compact.

#### 3.2 The modular Equation

Of particular interest in elliptic curves is the modular curve  $X_0(N) = \mathbb{H}^*/\Gamma_0(N)$ , which plays a central role in the theory. It is a key ingredient for algorithm for isogenies between elliptic curves over finite fields. The power of  $X_0(N)$  can be interpreted as two reasons. First,  $X_0(N)$  has a canonical model over  $\mathbb{Q}$  with integer coefficients, which allows us to interpret  $X_0(N)$  as a curve over any field, including finite fields. Second,  $X_0(N)$  can "parameterize" isogeny of degree N, i.e. given the j-invariant of  $E/\mathbb{C}$ , and a positive integer N, we can determine the j-invariant of all curves that are isogenious between E with a N-cyclic kernel. To understand the above properties, more information need to be imposed.

*Modular functions* are meromorphic functions on a modular curve. The definition of meromorphic on modular curves can be interpreted via meromorphic on  $\mathbb{H}$  and q-expansion. The latter is not very necessary for our introduction.

Let  $\Gamma$  be a congruence subgroup.  $X_{\Gamma} = \mathbb{H}^*/\Gamma$ . Since sums, products and quotients of modular functions for  $\Gamma$  are all modular functions for  $\Gamma$ , the set of all modular functions for  $\Gamma$  forms a field  $\mathbb{C}(\Gamma)$ , that is a transcendental extension of  $\mathbb{C}$ . It is proved that, modular curves  $X_{\Gamma}$  are not only compact Riemann surfaces, but also algebraic curves. And  $\mathbb{C}(\Gamma)$  is isomorphic to  $\mathbb{C}(X_{\Gamma})$ , the function field of  $X_{\Gamma}$ .

We discuss the modular function for X(1) and  $X_0(N)$ . We list some results without prove them.

For  $\Gamma(1)$ :

- 1. Every modular curve for  $\Gamma(1)$  is a rational function of j-function, i.e.  $\mathbb{C}(\Gamma(1)) = \mathbb{C}(j)$
- 2. Every modular function for  $\Gamma(1)$  that is holomorphic on  $\mathbb{H}$  is a polynomial in *j*-function
- 3.  $\Gamma$  is a congruence group.  $\mathbb{C}(\Gamma)$  is a finite extension of  $\mathbb{C}(j)$ , and  $[\mathbb{C}(gam):\mathbb{C}(j)] \leq [\Gamma(1):\Gamma]$

For  $\Gamma_0(N)$ :

- 1. The function  $j_N(\tau) := j(N\tau)$  is a modular function for  $\Gamma_0(N)$ .
- 2.  $[\mathbb{C}(\Gamma_0(N)):\mathbb{C}(j)] = [\Gamma(1):\Gamma_0(N)]$ . The extension is generated by  $j_N(\tau)$

We now study the extension  $\mathbb{C}(j_N)/\mathbb{C}(j)$ , which lead to the canonical affine  $\mathbb{Q}$  model of  $X_0(N)$ . We define  $\Phi_N \in \mathbb{C}(j)[Y]$  is the minimal polynomial of  $j_N$  over  $\mathbb{C}(j)$ .

$$\Phi_N(Y) = \prod_{i=1}^n (Y - j_N(\gamma_i \tau))$$

where  $\{\gamma_1,\cdots,\gamma_n\}$  is a set of right coset representatives for  $\Gamma_0(N)$  in  $\Gamma(1)$ . (The explicit representatives for right coset can be chosen as  $ST^k$ ,  $0 \le k < N$ , where  $S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ ,  $T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ ) The coefficients of  $\Phi_N[Y]$  are symmetric polynomials in  $j_N(\gamma_i\tau)$ , and they are  $\Gamma(1)$ -invariant. They are also polynomials in j due to property 2 for  $\Gamma(1)$ . Hence  $\Phi_N \in \mathbb{C}[j,Y]$ . It has been proved that  $\Phi_N \in \mathbb{Z}[X,Y]$ .  $\mathbb{Z}[X,Y]$  can be used as affine model of  $X_0(N)$  over  $\mathbb{Q}$ , it has many theoretical uses with some practical drawbacks. More methods and theories about calculating the canonical model of  $X_0(N)$  can refer to this thesis [16].

 $\Phi_N$  is also related to N-isogeny.

**Theorem 5** Let N > 1 be an integer and let k be a field of characteristic not dividing N.  $\forall j_1, j_2 \in k$  we have  $\Phi_N(j_1, j_2) = 0$  if and only if  $j_1$  and  $j_2$  are j-invariants of elliptic curves over k that are related by a cyclic isogeny of degree N defined over k.

The above theorem provide us a method to calculate all j-invariants that are N-isogenious to a curve E. Besides, it can be proved that  $\Phi_N[X,Y] = \Phi_N[Y,X]$ , which corresponds with the existence of dual isogeny.

The coefficients of  $\Phi_N$  grow rapidly as N increases. The bits of the largest coefficients is on the order O(NlogN) and has  $O(N^2)$  coefficients when N is a prime. Many efficient classical methods are used to compute these polynoials.

Why  $X_0(N)$  is so important to N-isogeny? That is, it can be interpreted as *moduli space* of N-isogeny, which means that the isogeny can be parameterize by points in  $X_0(N)$ . Functions  $j(\tau)$  and  $j_N(\tau)$  define a bijection from  $Y_0(N) = \mathbb{H}/\Gamma_0(N)$ , the non-cuspidal points on  $X_0(N)$ , to the affine curve  $\Phi_N[X,Y]$  via the map:

$$\tau \mapsto (j(\tau), j_N(\tau))$$

each of the points corresponds to a cyclic N-isogeny  $E \to E'$  with  $j(E) = j(\tau), j(E') = j_N(\tau)$ .

A cyclic N-isogeny  $\phi$  can be uniquely determined by a pair  $(E, \langle P \rangle)$ , where E is the domain of  $\phi$  and  $\ker(\phi) = \langle P \rangle$ . So non-cuspidal points on  $X_0(N)$  can be identified by a pair  $(E, \langle P \rangle)$  up to isomorphism. And the interpretation of moduli space is valid over any field! As a result it plays a key role in Schoof-Elkies-Atkin point-counting algorithm and fast algorithm for Hilbert class polynomial. The latter is the core to the CM method.

## 3.3 Hilbert class polynomial and CM method

Recall that given a imaginary quadratic order  $\mathcal{O}$ , the class group  $\operatorname{cl}(\mathcal{O})$  acts on  $\operatorname{Ell}_{\mathcal{O}}(\mathbb{C})$  via:

$$[\mathfrak{a}]j_{\mathfrak{b}}=j_{\mathfrak{a}^{-1}\mathfrak{b}}$$

The action is faithful, free and transitive. The action of Galois group on roots of polynomials is faithful and transitive. Synthesising the discuss about modular equation above, can we establish the relationship between elliptic curves isomorphism classes, ideal class group and Galois group of some extension over  $K : \mathbb{Q}(\sqrt{D})$ , where  $D = \operatorname{disc}(\mathcal{O})$ ?

To study the action of Galois group on  $\text{Ell}_{\mathcal{O}}(\mathbb{C})$ , we can construct the *Hilbert class polynomial*:

$$H_D(X) = \prod_{j(E) \in \text{Ell}_{\mathcal{O}}(\mathbb{C})} (X - j(E))$$

which is the monic polynomial whose roots are distinct j-invariant of all elliptic curves with CM by  $\mathcal{O}$ . It has been proved that  $H_D(X) \in \mathbb{Z}[X]$  using the fact  $\Phi_N[X] \in \mathbb{Z}[X]$ . This theorem implies that all j-invariants for elliptic curves over  $\mathbb{C}$  are algebraic integers. There is a more powerful theorem called the *First Main Theorem of Complex Multiplication* describing the the action that Galois group on  $H_D(X)$ .

**Theorem 6** Let  $\mathcal{O}$  be an imaginary quadratic order with  $disc(\mathcal{O}) = D$  and let L be the splitting field of  $H_D(X)$  over  $K := \mathbb{Q}(\sqrt{D})$ . The map  $\Psi : Gal(L/K) \to cl(\mathcal{O})$  sends each automorphism  $\sigma$  to the unique  $\alpha_{\sigma} \in cl(\mathcal{O})$  that is compatible with the actions with Gal(L/K) and  $cl(\mathcal{O})$ , i.e.  $j(E)^{\sigma} = \alpha j(E)$ ,  $\forall j(E) \in Ell_{\mathcal{O}}(\mathbb{C})$  is an group isomorphism.

In fact, L = K(j(E)), for any  $j(E) \in \text{Ell}_{\mathcal{O}}(\mathbb{C})$ . The extension of K generated by the j-invariant of any  $E/\mathbb{C}$  with  $End(E) = \mathcal{O}$  are called *ring class field* of imaginary quadratic order  $\mathcal{O}$  with  $\operatorname{disc}(\mathcal{O}) = D$ .

The theory of complex multiplication is motivated by the study of elliptic curves, but it is also a way to construct abelian extension of imaginary quadratic fields. The study of abelian extension led to the *class field theory*. Complex multiplication can describe the maximal abelian extension of the simplest fields  $K = \mathbb{Q}(\sqrt{D})$ .

To continue the following discussion about the CM method, we need to introduce some concept in algebraic number theory.

Let L be a finite Galois extension of a number field K. Nonzero prime ideals of the ring of integer  $\mathcal{O}_K$  are called primes of K. The ring  $\mathcal{O}_L$  is *Dedeking domain*, so the  $\mathcal{O}_L$ -ideal  $\mathfrak{p}\mathcal{O}_L$  can be uniquely factored as:

$$\mathfrak{p}\mathcal{O}_L=\mathfrak{q}_1\cdots\mathfrak{q}_n$$

and  $\mathfrak{q}_i \cup \mathcal{O}_K = \mathfrak{p}$ . And we denote by  $\mathfrak{q}|gp$ . When  $\mathfrak{q}_i$  are distinct, we say  $\mathfrak{p}$  is unramified in L. All but finitely many primes  $\mathfrak{p}$  are unramified in L. Given a integer prime p, we say p splits completely in L, if the factored primes in  $p\mathcal{O}_L$  are distinct and have minimal norm  $\mathfrak{N}\mathfrak{q} = p$ . For an imaginary quadratic field K with  $\mathrm{disc}(K) = D$ , there are three possibilities of  $p\mathcal{O}_K$  in  $\mathcal{O}_K$  corresponds with three values of the Kronecker symbol  $\left(\frac{D}{p}\right)$ :

- 1. splits.  $p\mathcal{O}_K = \mathfrak{q}_1\mathfrak{q}_2, \ \mathfrak{q}_1 \neq \mathfrak{q}_2 \quad \left(\frac{D}{p}\right) = 1$
- 2. ramifies.  $p\mathcal{O}_K = \mathfrak{q}^2 \quad \left(\frac{D}{p}\right) = 0$
- 3. inert.  $p\mathcal{O}_K$  is prime in  $\mathcal{O}_K$   $\left(\frac{D}{p}\right) = -1$

The Kronecker symbol is defined as:

$$\left(\frac{D}{p}\right) := \#\left\{x \in \mathbb{F}_p : x^2 = D\right\} - 1$$

The property of imaginary quadratic orders and abel extension of imaginary quadratic fields have been studied well.

**Theorem 7** Let  $\mathcal{O}$  be an imaginary quadratic order with  $disc(\mathcal{O}) = D$  and ring class field L. An odd number  $p \not| D$  is unramified in L. (In fact if  $p \not| D$ , p must be unramified in L). The following are euivalent:

1. p is the norm of a principal O-ideal

- 2.  $\left(\frac{D}{p}\right) = 1$  and  $H_D(X)$  splits into linear factors in  $\mathbb{F}_p[X]$
- 3. p splits completely in L
- 4.  $4p = t^2 v^2 D$  for some integers t and v, t p

The equation in property 4 is often called the *norm equation*, we can construct a curve  $\bar{E}$  over finite field, with  $\mathrm{tr}(\pi_E) = \pm t \neq 0 \mod p$  and  $\bar{E}$  ordinary provided  $j(\bar{E}) \neq 0,1728$ . The above theorem allows us to construct elliptic curves over finite field with desired number of rational points by find a root of its Hilbert class polynomial. Such method to construct desired elliptic curves is called CM method.

The relationship between K, L and finite field can be revealed via reduction. For finite Galois extension L/K,  $\mathfrak{q}|\mathfrak{p}$ , the stabilizer of  $\mathfrak{q}$ :

$$D_{\mathfrak{q}}:=\{\sigma\in Gal(L/K):\mathfrak{q}^{\sigma}=\mathfrak{q}\}\subseteq Gal(L/K)$$

Since elements in  $D_{\mathfrak{q}}$  fix  $\mathfrak{q}$ , the automorphism  $\sigma$  of L can be induced to automorphism  $\bar{\Sigma}$  of  $\mathbb{F}_{\mathfrak{q}}:=\mathcal{O}_L/\mathfrak{q}$ . The image of  $\mathcal{O}_K$  under the quotient map is  $\mathbb{F}_{\mathfrak{p}}:=\mathcal{O}_K/\mathfrak{q}$ . Hence  $\bar{\sigma}\in Gal(\mathbb{F}_{\mathfrak{q}}/\mathbb{F}_{\mathfrak{p}})$ . Actually, the homomorphism:

$$D_{\mathfrak{q}} \to Gal(\mathbb{F}_{\mathfrak{q}}/\mathbb{F}_{\mathfrak{p}})$$

$$\sigma \mapsto \bar{\sigma}$$

is an isomorphism. As a result, many properties in the number fields can be carried to finite fields.

 $E/\mathbb{F}_q$  is the reduction of E/L with CM by  $\mathcal{O}$  over its ring class field L modulo an unramified prime  $\mathfrak{q}$  of norm p. There are some results by Deuring:

**Theorem 8**  $\mathcal{O}$  is an imaginary quadratic order of  $disc(\mathcal{O}) = D$  with ring class field L, q is the norm of a prime in  $\mathcal{O}_L$  coprime with D. Then  $H_D[X]$  splits into distinct linear factors in  $\mathbb{F}_q[X]$  and its roots are the set:

$$Ell_{\mathcal{O}}(\mathbb{F}_q) := \{j(E)\mathbb{F}_q : EndE \simeq \mathcal{O}\}.$$

**Theorem 9** (Deuring lifting theorem)  $E/\mathbb{F}_q$  is an elliptic curve and  $0 \neq phi \in E$ . There exsits an elliptic curve E' over a number field with  $\phi' \in EndE'$ , such that E' has good reduction modulo a prime  $\mathfrak{q}$  of L with  $\mathcal{O}_L/\mathfrak{q} = \mathbb{F}_q$  and  $E, \phi$  are the reduction of  $E', \phi'$ 

The above describe the relationship between elliptic curves over finite fields and number fields.

## 4 Constructing isogenies between ordinary curves

## 4.1 Endomorphism ring in ordinary cases

For an ordinary curve over  $\mathbb{F}_q$ , its endomorphism ring is an imaginary quadratic order  $\mathcal{O}_\Delta$  (also a  $\mathbb{Z}$ -module of rank 2), with  $\Delta < 0$ . Here  $\Delta$  is a negative integer, a discriminant of an imaginary quadratic order that uniquely determines  $\mathcal{O}$ . We can define  $\mathrm{Ell}_{\mathbb{F}_q}(\Delta)$ , the set of all  $\mathbb{F}_q$ -isomorphism classes over  $\mathbb{F}_q$ , whose endomorphism ring is  $\Delta$ . Because two elliptic curves over a finite field are isogenious if and only if they have the same cardinality. So we can define  $\mathrm{Ell}_{\mathbb{F}_q,n}$  that is a subset of  $\mathrm{Ell}_{\mathbb{F}_q}$  whose elements are all curves with cardinality n. For each isomorphism classes, we can take its j-invariant as its representative.

An isogeny between too curves is called **horizontal** isogeny if they have the same endomorphism ring. Any separable horizontal isogeny  $\phi: E \to E'$  between curves in  $\mathrm{Ell}_{\mathbb{F}_q,n}(\mathcal{O}_\Delta)$  can be specified, up to isomorphism, by giving  $E, \ker(\phi)$ . [7, III.4.12]. The kernel of  $\phi$  can be represented as an ideal in  $\mathcal{O}_\Delta$ . So we can identified an isogeny  $\phi_\mathfrak{a}: E \to E_\mathfrak{a}$  by its kernel or by an ideal  $\mathfrak{a}$ . The ideal's isomorphism corresponds to lattice's homothety, and isomorphism of the image of  $\phi$ . Thus there is a group action:

$$\operatorname{cl}(\mathcal{O}_{\Delta}) \times \operatorname{Ell}_{\mathbb{F}_q,n}(\mathcal{O}_{\Delta}) \to \operatorname{Ell}_{\mathbb{F}_q,n}(\mathcal{O}_{\Delta})$$
  
$$[\mathfrak{a}]j(E) = j(E_{\mathfrak{a}})$$

Because the endomorphism ring is commutative,  $\mathrm{Ell}_{\mathbb{F}_q,n}(\mathcal{O}_\Delta)$  is principal homogeneous space for  $\mathrm{cl}(\mathcal{O}_\Delta)$ .

#### 4.2 Reduce to abelian hidden shift problem

**abelian hidden shift problem** Let A be a known finite abelian group, and  $f_0, f_1 : A \longrightarrow S$  be a black-box function, where S is a finite set.  $f_0, f_1$  are said to hide a shift  $s \in A$  if  $f_0$  is injective and  $f_1(x) = f_0(xs)$ , *i.e.*  $f_1$  is a shifted version of  $f_0$ . The abelian hidden shift problem is to determine the shift s using queries to the black-box function.

Isogeny construction can be reduced to abelian hidden shift problem via the group action. Given two horizontally isogeneous curves  $E_0, E_1$  with endomorphism ring  $\mathcal{O}_{\Delta}$ , we can define two functions  $f_0, f_1 : \operatorname{cl}(\mathcal{O}_{\Delta}) \longrightarrow \operatorname{Ell}_{\mathbb{F}_q,n}(\mathcal{O}_{\Delta})$  with a hidden shift  $[\mathfrak{s}]$ , where  $[\mathfrak{s}]$  satisfies that  $[\mathfrak{s}]j(E_0) = j(E_1)$ . Then we can specify  $f_b([\mathfrak{a}]) = [\mathfrak{a}]j(E_b), \ b \in \{0,1\}$ . It follows that we can find the ideal class  $[\mathfrak{s}]$  by solving the abelian hidden shift problem, which labels the desired isogeny.

The abelian hidden shift can be reduced to hidden subgroup of the "dihedral" group. If we define  $f(x,b) := f_b(x), b \in \mathbb{Z}/2\mathbb{Z}$ . (We denote  $\mathbb{Z}/n\mathbb{Z}$  as  $\mathbb{Z}_n$  in the following for simplicity without causing confusion. Sometimes  $\mathbb{Z}_p$  means the localization of  $\mathbb{Z}$  on p.) Then we can consider the semidirect product  $A \rtimes \mathbb{Z}_2$ . Because  $f_0 \neq f_1$ , we take:

$$\psi: \mathbb{Z}_2 \to \operatorname{Aut}(A)$$

$$\psi_b = \begin{cases} \psi_0 : x \longmapsto x \\ \psi_1 : x \longmapsto x^{-1} \end{cases}$$

Here  $\psi_b$  denotes the image of b. We want to find s, s.t.  $f_0(xs) = f_1(x)$ ,  $\forall x \in A$ . In  $A \rtimes_{\psi} \mathbb{Z}_2$ ,  $(xs,0)(s^{-1},1) = (x,1)$ , so finding s in A equals finding the subgroup  $\langle (s,1) \rangle$  in  $A \rtimes_{\psi} \mathbb{Z}_2$ . If A is a cyclic group, the problem is finding the hidden subgroup of a dihedral group, which can be solved in quantum subexponential time. We can call the group  $A \rtimes_{\psi} \mathbb{Z}_2$  A-dihedral group. According to the structure theorem of finitely generated abelian group, we can decompose the group A into direct product of some cyclic groups. Then we can get the algorithm:

## Algorithm 1: Isogeny computation between ordinary curves defined over a finite field

**Input:** A discriminant of  $\Delta < 0$ , and Weierstrass equations of horizontally isogeneous ordinary elliptic curves  $E_{\alpha}$ ,  $E_{\gamma}$  defined over  $\mathbb{R}$  with characteristic n

 $E_0, E_1$  defined over  $\mathbb{F}_q$  with characteristic p Output:  $[\mathfrak{s}] \in \mathrm{cl}(\mathcal{O}_\Delta), \ s.t. \ [\mathfrak{s}] j(E_0) = j(E_1)$ 

1: Decompose  $\operatorname{cl}(\mathcal{O}_{\Delta}) = \langle [\mathfrak{a}_1] \rangle \oplus \cdots \oplus \langle [\mathfrak{a}_k] \rangle$ , where  $|\langle [\mathfrak{a}_i] \rangle| = n_i$ 

2: Solve the hidden shift problem defined by  $f_0, f_1 : \mathbb{Z}_{n_1} \times \cdots \times \mathbb{Z}_{n_k} \longrightarrow \operatorname{Ell}_{\mathbb{F}_q, n}(\mathcal{O}_{\Delta})$  satisfying

 $f_c(x_1, \dots, x_k) = ([\mathfrak{a}_1]^{x_1} \dots [\mathfrak{a}_k]^{x_k}) j(E_c)$ , with hidden shift  $(s_1, \dots, s_k) \in \mathbb{Z}_{n_1} \times \dots \times \mathbb{Z}_{n_k}$ 3: Output  $[\mathfrak{s}] = ([\mathfrak{a}_1]^{x_1} \dots [\mathfrak{a}_k]^{x_k})$ 

Let's analyse the complexity. Step 1 is similar to finding hidden subgroup in abelian group, and its time complexity is only polynomial time. Step 2, we need to compute the group action and HSP in dihedral group. Group action can be computed in subexponential time. [17, Prop 4.4]. The latter can be implemented using Kuperberg's algorithm [18] or Regev's algorithm [19] in quantum subexponential time. Kuperberg's sieve requires superpolynomial space. Regev's algorithm is slightly slower than Kuperberg's, but it only requires polynomial space.

## 5 Computing supersingular isogeny by Grover's algorithm

The main reference for this section is [20].

#### 5.1 More detailed properties about supersingular isogeny graph

For some practical reasons, we take prime p>3 for simplicity. Let  $X(\bar{\mathbb{F}}_p,l)$  be the supersingular l-isogeny graph defined over  $\bar{\mathbb{F}}_p$ . Since the j-invariant of supersingular elliptic curves always lies in  $\mathbb{F}_{p^2}$ , so we consider  $X(\mathbb{F}_{p^2},l)$  instead. It should be noted that the isogenies are defined over  $\mathbb{F}_p$  in general.

Let  $S_{p^2}$  denotes the vertices set of  $X(\mathbb{F}_{p^2}, l)$ . There is a well-known result [7, Theorem V.4.1(c)]:

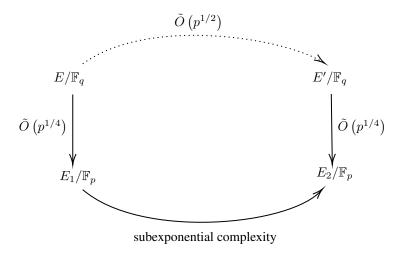
$$\#S_{p^2} = \lfloor \frac{p}{12} \rfloor + \begin{cases} 0 & \text{if } p \equiv 1 \mod 12 \\ 1 & \text{if } p \equiv 5,7 \mod 12 \\ 2 & \text{if } p \equiv 11 \mod 12 \end{cases}$$

And denote the set of *j*-invariants lie in  $\mathbb{F}_p$  as  $S_p$ . Then:

$$\#S_p = \begin{cases} \frac{h(-4p)}{2} & \text{if } p \equiv 1 \bmod 4 \\ h(-p) & \text{if } p \equiv 7 \bmod 8 \\ 2h(-p) & \text{if } p \equiv 3 \bmod 8 \end{cases}$$

where h(d) is the class number of the imaginary quadratic field  $\mathbb{Q}(\sqrt{h})$ . [9, Theorem 14.18]. And  $h(d) \in \tilde{O}(\sqrt{d})$ . It follows that  $\#S_p \in O(\sqrt{p})$ , and  $\#S_{p^2} \in O(p)$ .

The algorithm in [20] can be described in the following diagram.



Algorithm 2: Isogeny computation between supersingular curves defined over a finite field

**Input:** Supersingular curves E, E' defined over  $\mathbb{F}_q$  with characteristic p

**Output:** An isogeny between E and E'

1: Find  $\phi: E \to E_1$  where  $E_1$  is defined over  $\mathbb{F}_p$ 2: Find  $\psi: E' \to E_2$  where  $E_2$  is defined over  $\mathbb{F}_p$ 

3: Find  $\tau: E_1 \to E_2$ 

4: Return  $\hat{\psi} \circ \tau \circ \phi$ 

The complexity of step 1,2 is  $\tilde{O}(p^{1/4})$ , and the complexity of step 3 is subexponenial.

## Quantum search for a curve defined over $\mathbb{F}_p$

In this subsection, we will introduce the algorithm of step 1,2 using Grover search [21], which make full use of the expander property of the supersingular isogeny graph.

With a quantum computer, searching an unsorted database of N can be implement in complexity in  $O(\sqrt{N})$  using Grover's algorithm, while O(N) with classical computer. Actually  $O(\sqrt{N})$  and O(N) are also tight bounds of searching problem in unsorted database with quantum and classical computer [22].

While Grover's algorithm need arbitrary query on elements in the database, maybe in supersingular isogeny graph arbitraty query can not be implemented very easily, but it is not a key point. Here we elaborate the expander property [20, Proposition 1]:

**Theorem 10** Under the Generalized Riemann Hypothesis, there is a probability at least  $\frac{\pi}{2\gamma} \frac{1}{r^{1/2}}$  that a random 3-isogeny path of length

$$\lambda \ge \frac{\log(\frac{2}{\sqrt{6e^{\gamma}}}p^{3/4})}{\log(\frac{2}{\sqrt{3}})}$$

passes through a supersingular j-invariant defined over  $\mathbb{F}_p$ , where  $\gamma$  is the Ruler constant.

This theorem is a direct application of the expander property of the Ramanujan graph. Proof can be referred to [23]. Let's narrate the theorem in short. Note that  $\lambda \in O(log(p))$ , the probability is  $O(p^{-1/2})$ , which corresponds with the ratio of  $\frac{\#S_p}{\#S_{p^2}}$ . This property is called the mixing property, meaning that random walk of length  $\lambda \in O(log(p))$  can be almost uniform distribution. Such property of expander graph usually be used for constructing pseudo-random sequence in cryptography and complexity theory.

Then we can enumerate all of the random path with length  $\lambda$ . because of the regularity of the graph, it's easy to label the path. Here we consider all 3-isogeny paths, then they can be labeled by  $\{0,1,2\}^{\lambda}$ . Since the path length is just O(log(p)), so we can construct an oracle with can determine whether the path passes through points in  $\mathbb{F}_p$  with very little cost. Then we can implement Grover's algorithm to find out the desired path. (There are many paths meeting our requirement, but one path is enough.) And Grover's algorithm provides a quadratic speedup for the procedure. This is the key point for [20]'s speedup.

# 5.3 Computing an isogeny between curves defined over $\mathbb{F}_p$

This procedure relies on the complex multiplication theory. The endomorphism ring  $\operatorname{End}(E)$  of a supersingular elliptic curve is an order of a quaternion algebra. While as shown in [24, Proposition 2.5], the endomorphism ring  $\operatorname{End}_{\mathbb{F}_p}(E)$  defined over  $\mathbb{F}_p$  is isomorphic to an order  $\mathcal{O}$  in the imaginary quadratic number field  $\mathbb{Q}(\sqrt{-p})$ .

**Theorem 11** There is an one-to-one correspondence between:

$$\left\{\begin{array}{c} \textit{supersingular elliptic} \\ \textit{curves over} \, \mathbb{F}_p \end{array}\right\} \longleftrightarrow \left\{\begin{array}{c} \textit{elliptic curves } E \textit{ over } \mathbb{C} \\ \textit{with } \textit{End}(E) \in \{\mathbb{Z}[\sqrt{-p}], \mathcal{O}_K\} \end{array}\right\}.$$

The theorem implies that properties of supersingular elliptic curves over  $\mathbb{F}_p$  are similar to ordinary cases. So there is also a transitive action of  $Cl(\mathcal{O})$  on the  $\mathbb{F}_p$ -isomorphism classes. As in the ordinary case, an ideal class  $\mathfrak{a}$  acts via an isogeny of degree  $\mathcal{N}(\mathfrak{a})$ . Then we get an injective function induced by each supersingular elliptic curve defined over  $\mathbb{F}_p$ :

$$\begin{array}{ccc} f_E: \ Cl(\mathcal{O}) &\longrightarrow & \mathbb{F}_p - \text{isomorphism classes of curves over } \mathbb{F}_p \\ & [\mathfrak{b}] &\longmapsto & \text{action of } [\mathfrak{b}] \text{ on the class of } E \end{array}$$

Hence, given two supersingular elliptic curves  $E_1$ ,  $E_2$  defined over  $\mathbb{F}_p$ . We can reduce the isogeny finding problem to the hidden abelian shift problem, i.e. finding the ideal class  $[\mathfrak{a}]$ , such that  $f_{E_2}(x) = f_{E_1}([\mathfrak{a}] \cdot x)$  for all x. It equals that  $E_2$  is the image of the action of  $[\mathfrak{a}]$  on  $E_1$ .

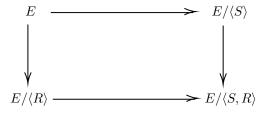
Then we can implement the procedure as in ordinary case.

## 6 SIKE and claw finding

This section mainly refers to [25, 26].

## 6.1 Supersingular isogeny key encapsulation

This section is mainly referred to [27]. In [6], De Feo, Jao and Plût presented an encryption protocol relying on the difficulty of computing an isogeny between supersingular elliptic curves. Given a secret point S of a supersingular curve E over  $\mathbb{F}_{p^2}$ , and a public point R, SIKE can be described by the following commutative diagram in general:



Let's take SIDH protocol (supersingular isogeny Diffie-Hellman) for instance. For the Jao and De Feo system [28] ( [5] is a more detailed version), there is a special set-up. Choose two distinct small primes  $l_1, l_2$ , typically with  $l_1=2, l_2=3$ , and choose  $e_1, e_2\in\mathbb{N},\ s.t.\ l_1^{e_1}\approx l_2^{e_2}\approx 2^\lambda$ , where  $\lambda$  is a security parameter. Then choose a random integer  $f\in\mathbb{N}$  satisfying  $p:=l_1^{e_1}l_2^{e_2}f\pm 1$  is a prime. Constructing an supersingular elliptic curve E over

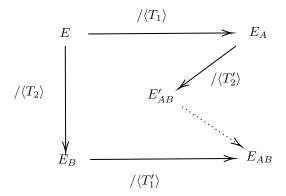
 $\mathbb{F}_{p^2}$ , which can be done by Broker's alogrithm [29] with time complexity  $\tilde{O}((log^3(p)))$  under generalized Riemann Hypothesis. Group theoretically,  $E(\mathbb{F}_{p^2})$  is a direct product of two cyclic groups with order  $l_1^{e_1}l_2^{e_2}f$ . Selecting points  $R_1, S_1 \in E[l_1^{e_1}], \ s.t. \ \langle R_1, S_1 \rangle = E[l_1^{e_1}], \ and \ R_2, S_2 \in E[l_2^{e_2}], \ s.t. \ \langle R_2, S_2 \rangle = E[l_2^{e_2}].$  The **SIDH system parameters** are  $(E, R_1, S_1, R_2, S_2)$ .

The protocal works similarly as Diffie-Hellman. Alice chooses a secret subgroups of  $E[l_1^{e_1}]$  by choosing an integer  $0 \le a < l_1^{e_1}$  and setting  $T_1 = R_1 + [a]S_1$ . Alice computes an isogeny  $\phi_A : E \to E_A$  with kernel generated by  $T_1$  and publishes  $E_A, \phi_A(R_2), \phi_A(S_2)$ . Similarly Bob chooses  $0 \le b < l_2^{e_2}$ , computes  $\phi_B : E \to E_B$ , with kernel generated by  $T_2 := R_2 + [b]S_2$ , and publishes  $(E_B, \phi_B(R_1), \phi_B(S_1))$ . To compute the shared key, Alice computes

$$T_1' = \phi_B(R_1) + [a]\phi_B(S_1) = \phi_B(R_1 + [a]S_1) = \phi_B(T_1).$$

Then computes isogeny  $\phi_A': E_B \to E_{AB}$  with kernel generated by  $T_1'$ . The composition  $\phi_A' \circ \phi_B$  has kernel  $\langle T_1, T_2 \rangle$ . Similarly Bob computes an isogeny  $\phi_B': E_B \to E_{AB}'$ , whose kernel is  $\langle \phi_A(R_2) + [b]\phi_A(S_2)$ .  $E_{AB}$  and  $E_{AB}'$  are not necessarily the same but isomorphic, so  $j(E_{AB}) = j(E_{AB}')$ . We choose  $j(E_{AB})$  as the shared key.

We denote  $G_A = \langle T_1 \rangle$  is a subgroup of  $E[l_1^{e_1}], G_B$  in the same way. Then  $E_A = E/G_A, E_B = E/G_B$ , it follows that  $E_{AB} = E/\langle G_A, G_B \rangle$ . This process can be shown in the following commutative diagram.



Given a hash function family  $\mathcal{H} = \{H_k : k \in K\}$ , where K is a finite set.  $H_k$  sends j-invariant to an message  $m \in \{0,1\}^M$  Alice encrypt the message m into ciphertext c:

$$h = H_k(j(E_{AB}))$$
$$c = h \oplus m.$$

To break the key exchange protocol is to solve a specific isogeny problem.

#### 6.2 Claw finding and meet in the middle

This subsection is mainly referred to [25, 26].

**claw finding:** Let  $f: X \to S$  and  $g: Y \to S$  be two functions. Find  $x \in X$  and  $y \in Y$ , s.t. f(x) = g(y), if it exists.

It's closely connected with the isogeny problem. Given two curves  $E_0$ ,  $E_1$ , there is a secret isogeny  $\phi: E_0 \to E_1$  of degree  $l^e$ . We take X to be the set of all isogenies  $\phi_i: E_0 \to E_1$  of degree  $l^{e/2}$  and Y be all isogenies  $\psi_j: E_1 \to E_j$  of degree  $l^{e/2}$ . The functions f, g take an isogeny as the input and the j-invariant of the image of the isogeny as output. If there is a claw (x,y), which implies that  $\phi_x: E0 \to E_{01}$ ,  $\psi_y: E_1 \to E_{01}$ . From the claw, we can get the desired isogeny  $\gamma: E_0 \to E_1$  with degree  $l^e$  by compositing the claw isogenies  $\gamma = \hat{\psi}_y \circ \phi_x$ .

For SIDH, S is the set of all j-invariants  $S_{p^2}$  of supersingular elliptic curves defined over  $\mathbb{F}_{p^2}$ . And  $|S| \approx p/12$ . For X, there are  $(l+1)l^{e/2-1} \approx l^{e/2} \approx p^{1/4}$  isogenies of degree  $l^{e/2}$  from  $E_0$ , so do isogenies form  $E_1$ . Hence,  $|X| \approx |Y| \approx p^{1/4} \mathcal{L}|S|$ , which implies that the claw is very likely to be the unique.

In classical cases, the state of the art is called **Meet-in-the-middle**. Given a memory parameter R, we construct sorted lists  $L_x$ ,  $L_y$ ,  $L_x$  consists of random elements (x, f(x)), so does  $L_y$ . And  $|L_x| = |L_y| = R$ . We execuate the following procedure, until a claw is found.

• Delete a random element of  $L_x$ . Choose a new random element of X, and get the pair (x, f(x)). Check if  $\exists y \in L_y, \ s.t. \ f(x) = g(y)$ , if not insert x, f(x) into  $L_x$ , and repeat the procedure.

Let's compute the time complexity. The cost of constructing list is O(Rlog(R)) (ignore the cost of evaluate f,g, because the length of each isogeny path is O(log(p))). The costs of inserting and searching are O(log(R)). The probability of the algorithm detecting a claw (x,y) is no less than  $\frac{R^2}{|X||Y|}$ . And we need to make the list totally "fresh" because the probability after updating highly depends on the previous cases. Above all, the total cost is :

$$O(Rlog(R) + \frac{|X||Y|}{R^2}R(log(R) + log(R))$$

Taking R = |X| + |Y|, then we get the optimal time cost, which can be shown directly by the inequality of arithmetic and geometric means. The optimal time complexity of this method is  $\tilde{O}(p^{1/4})$ . To get this time complexity, we also need  $\tilde{O}(p^{1/4})$  bits of memory.

## 6.3 Quantum speedup using Grover's search

Can we do better than the classical cases? We make a simple attempt. For searching problem, it's natural to consider Grover's algorithm or quantum walk. But list all pairs (x,y) and search in Brute Force cost too much. For example, if we take X as the set of all paths with length a starting from  $E_0$ , then Y is the set of all e/2-a long path from  $E_1$ .  $|X|=l^a$ ,  $|Y|=l^{e-a}$ . Then the set of pair (x,y) has  $l^e=p^{1/2}$  elements. Grover's search can only speed up to  $O(p^{1/4})$ . It's same as the classical meet in the middle. Because we have not made full use of the structure of this problem, too much redundant information has been searched. We need to find the path in Y whose end is in X, so we can enumerate every element in X and using Grover's algorithm to search the desired element in Y. The time complexity is  $O(|X|\sqrt{|Y|})$ . However, determining whether a path in Y whose end is in the set of ends of X or not can be done more efficiently. Because we can sort all the elements in X by their j-invariant and just using binary search to determine. Let's analyze this algorithm's complexity. The cost of constructing ordered set X is O(|X|log(|X|)), and Grover's search in |Y| costs  $O(log(|X|)\sqrt{|Y|})$ . So the total time complexity is  $O(|X|log(|X|)+log(|X|)\sqrt{|Y|})$ , with  $|X||Y|=O(p^{1/2})$ , taking  $|X|=p^{1/6}$  leads to the optimal results  $\tilde{O}(p^{1/6})$ .

# **6.4** Other quantum algorithm in $\tilde{O}(p^{1/6})$

Here we show the table in [25], which exhibit the state of the art of quantum claw finding, and I make a revise on it.

Type	Variant	Specialty
Grover	Tiny-Claw [30]	Lowest circuit complexity*
	Parallel Tiny-claw [31]	Offsets a lot of the query cost
Random Walk [32]	Tani [33]	Lowest number of queries*
	Distinguished Points [34]	Lowest gate cost*
Multi-Grover [35]	Distinguished Points [34]	Best parallelism

**Note:** (In [25]) Under reasonable limits on total runtime, all of them perform worse than classical algorithm by van Oorschot Wiener [36], even without accounting for the overheads of quantum computing. \* indicates that the claim only holds with no runtime limit.

Jean-François Biasse and Benjamin Pring [30] made an improvement on the quantum circuit complexity of a specified Grover's algorithm, which has an asymptotic improvement on cryptanalysis of SIKE [26].

In [31], Reza Azarderakhsh, Jean-François Biasse, et al made an optimization and improvement an Tiny Claw which can be executed in parallel and offsets a lot of query cost. Furthermore, it only need several independent small quantum computers and classical connections rather than quantum connectivity. The costs is allowed to be balanced between the relative cost of quantum error correction and classical memory.

Tani's claw finding algorithm [33] has the lowest query complexity, and it is very famous in cryptography.

In [34], Samuel Jaques and André Schrottenloher provide better quantum parallelization methods using distinguished points technique proposed by van Oorschot Wiener [36].

## 7 Our approaches and hardness of the searching problem on supersingular isogeny graphs

We have been trying to design a faster quantum algorithm to solve the isogeny problem but finally failed. Here I want to elaborate on our ideas, and make an explanation on why they failed that is highly connected with the structure of the problem.

Having seen Biasse, Jao and Sankar's algorithm in [20], we want to make an improvement on it. Because the Grover's search using in [20, Section 4] seems that it doesn't make full use of the structure of the supersingular isogeny graph. We want to find a path starting from  $E_0$  and passing through j-invariant in  $\mathbb{F}_p$  faster than  $\tilde{O}(p^{1/4})$ .

Inspired by the element distinctness problem solved by Andris Ambainis [37], which has been improved from  $O(N^{3/4})$  to  $O(N^{2/3})$ . He reduced the problem to quantum walk on Johnson Graph which preserves the problem's structure and make it more clear. This algorithm reaches the lower bound of the problem. However, the element distinctness is not a pure searching problem in an unordered dataset, so it can be improved. For searching problem with no structure, the lower bound is  $\Omega(\sqrt{N})$  [22]. I have made some attempts to reduce the isogeny finding problem to quantum walk on Johnson Graph, but they all failed. Because the reduction is trivial, we didn't discover more properties. Thus, the reduction won't be helpful.

Quantum snake walk is said to solve a specific searching problem on glued tree [38] with a exponential speedup. Can the snake walk technique be used here? My answer is probably not. The author didn't make a detailed analysis, and he claimed that maybe in some cases the algorithm doesn't work. In addition, or the most importantly, we know little about the supersingular isogeny graph, just constructing the Hamiltonian is not a easy task. So we can't make a convinced analysis. Besides the above, the task in [38] is very different from our problem. The latter is to find the root of the glued tree, the difficulty is to escape from the overlap of two complete binary trees as possible. Once the walker escape from it, it can reach the target easily. But for quantum walk on isogeny graph, the walker doesn't have the sense of direction due to the high expansion of the graph, random walk on it will mix rapidly, which will hinder us from doing better than Grover's search.

Here let's state the difficulties of the problem.

- The problem seems as a path finding problem, but actually a search problem, because once we find the desired point, we can compute the path using meet-in-the-middle (or the quantum version).
- The desired points  $|S_p| = O(\sqrt{p})$ , and total points on the graph  $|S_{p^2}| = O(p)$ . So if we pick points randomly, the probability is  $|S_p|/|S_{p^2}| = O(p^{-1/2})$ . In [20] listing all paths makes use of the expander property, which means the probability the path ends at a desired point equals to  $|S_p|/|S_{p^2}|$  due to "mixing". Longer length of the path won't lead to a better distribution. If we consider this problem as a pure search problem, we can not do better than quadratic speedup using Grover's algorithm.

Hence, to make an improvement, we need to explore more about the structure. [39] shows that maybe the desired points are not distributed on the graph evenly, which depends on the choice of p and l. This is quite a amazing result, even though some of their results by experiment have not been proved. Can we make use of some of these properties?

Quantum fast-forwarding (QFF) [40] is a powerful tool which allows to approximately prepare the quantum samples of random walk in the square root of the random walk runtime. And it can be used to speed up some property-testing problem on a graph, for example, expansion testing. At first, QFF will not be useful to estimating the random walk in our problem, because we just care about path with length O(log(p)), QFF won't help a lot. Then can expansion testing be helpful?

In [39], authors considered the points  $S_p$  that j-invariant is in  $\mathbb{F}_p$  along with the graph consisting of vertex set  $S_p$  and edge set  $\mathbb{F}_p$ -isogeny and  $\overline{\mathbb{F}}_p$  isogeny. Maybe the topology of these sets contains some information, but these information are local on the graph. While expansion testing is to detect the global property of the graph. This is the essential point that why expansion testing may be not a good choice for the isogeny problem.

We also have made a few algebraic approaches, but we think there is no hope to make a improvement using algebraic method. There are no algebraic algorithm performs better than graph algorithm in the supersingular isogeny problem. And we are not experts in this field.

Another interesting results about supersingular isogeny graph is [?], which shows an explicit connection between the graph and Bruhat-Tits trees. Can we get some global information about the isogeny graph from the Bruhat-Tits trees? Bruhat-Tits trees is related to p-adic lattice, maybe some technique on p-adic analysis will help? I think it's a interest problem.

## 8 Orienting isogeny graph via Bruhat-Tits tree

In this section, we introduce the explicit construction to relate isogeny graphs and Bruhat-Tits trees. This method provide an approach to "orient" while random walking in the isogeny graphs. For more details on it see [13].

#### 8.1 Tate module and Bruhat-Tits tree

Given an elliptic curve  $E/\mathbb{F}_p$ , and l is a prime,  $l \not| p$ , we have  $E[l^n] \simeq \mathbb{Z}/l^n\mathbb{Z} \times \mathbb{Z}/l^n\mathbb{Z}$ . By taking the inverse limit of the above abelian group  $\mathbb{Z}/l^n\mathbb{Z} \times \mathbb{Z}/l^n\mathbb{Z}$ , we get the *Tate Module* of the elliptic curve:

$$T_l(E) = \lim_{n \to \infty} E[l^n] \simeq \mathbb{Z}_l \times \mathbb{Z}_l$$

There is a basis  $\{(P_n)_{n=1}^{\infty}, (Q_n)_{n=1}^{\infty}\}$  where  $\{P_n, Q_n\}_{n=1}^{\infty}$  is a system of basis of  $E[l^n]$ .

Since Tate module is the inverse limit of the torsion groups, it allows a canonical map  $T_l(E) \to E[l^n]$ . This map sends a cyclic sublattice of order  $l^n$  in  $T_l(E)$  into a cyclic subgroup of order  $l^k$  in  $E[l^k]$ . Hence, the  $l^k$  cyclic sublattice corresponds with the  $l^k$ -isogeny. We can get the corresponding elliptic curves by quotient of the corresponding subgroup.

The above discussion provides a clear motivation for the study of the Bruhat-Tits tree whose vertices corresponds with  $l^k$  sublattices.

Going on a step further, we consider endomorphisms. By some results from [7, Chap III], we have:

$$\operatorname{End}(E) \otimes_{\mathbb{Z}} \mathbb{Z}_l \simeq \operatorname{End}(T_l(E))$$

The endomorphism ring of rank 2 is a unique maximal order up to conjugate in the local quaternion algebra  $M_2(\mathbb{Q}_l)$ , i.e. conjugate to  $M_2(\mathbb{Z}_l)$ . By choosing a particular basis, we can identify  $T_l(E)$  with  $L = \langle (1,0), (0,1) \rangle = \mathbb{Z}_l \times \mathbb{Z}_l$ .

We give the formal definition of the *Bruhat-Tits tree*  $\mathcal{T}_l$ .

Its vertices  $Ver(\mathcal{T}_l)$  can be describes as:

- homothetic  $\mathbb{Z}_l$  classes in  $\mathbb{Q}_l^2$
- equivalent norm classes on these lattices
- classes in  $PGL_2(\mathbb{Q}_l)/PGL_2(\mathbb{Z}_l)$
- maximal orders in the quaternion algebra  $M_2(\mathbb{Q}_l)$

We prefer the first description because it is easier to comprehend and has clear geometric implication. Given two homothety classes (vertices)  $\{M\}$ ,  $\{M'\}$ , they are adjacent in  $\mathcal{T}_l$  if  $lM \subsetneq M'M$  with proper representatives of the two classes. It is equivalent to M' is a cyclic sublattice of M with order l.

For lattice  $\langle u, v \rangle$ , it has l+1 cyclic sublattice with order  $l: \langle u+iv, lv \rangle$ ,  $i=0,\ldots,l-1$  and  $\langle lu, v \rangle$ . The adjacency can be described as a direction matrix  $D \in M_2(\mathbb{Z}_l)$  with  $\det(D) = l$  acts on  $\langle u, v \rangle$ :

$$D_i = \begin{pmatrix} 1 & 0 \\ i & l \end{pmatrix}, \quad i = 0, \dots, l-1 \text{ or } D_{\infty} = \begin{pmatrix} l & 0 \\ 0 & 1 \end{pmatrix}$$

We can use these matrices to represent the directions on the tree, although some modification is needed. But these details will not affect our discussion here. The  $D_{\infty}$  orients to the root of the tree when the vertices is not on the first level (adjacent to the root). The first level has l+1 vertices, each of the has l child nodes. We can compute a vertices explicitly when given the path to it, just multiplying the direction matrices! And the tree has infinite vertices, is also a l+1 regular graph, which is very similar to the supersingular isogeny graph.

Bruhat-Tits trees can be interpreted as sublattices of the Tate module and their relationship.  $\operatorname{End}(\mathcal{T}_l(E)) = M_2(\mathbb{Z}_l)$ , the basis  $\langle (1,0),(0,1)\rangle$  are chosen as the root of the Bruhat-Tits tree, i.e. the matrix  $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ . Starting from the root, we can identify vertices of level k as a cyclic sublattice of order  $l^k$  in  $\mathcal{T}_l(E)$ . Given a basis of  $\mathcal{T}_l(E)$   $\{(P_n)_n^\infty,(Q_n)_n^\infty\}$ , its sublattice's basis can be represent explicitly.

#### 8.2 The special fiber graph and *l*-adic Shimura curve

We want to show that the graph  $\mathcal{T}_l/\Gamma_{l,+}$  is the double cover of the supersingular isogeny graph. To demonstrate the relationship between them, some results of the theory of Shimura curves need to be introduced. It involves many areas in pure mathematics, we will try to explain it by analogizing with the complex modular curve in Section 3.

Any quaternion algebra B over  $\mathbb{Q}$  is isomorphic to  $\left(\frac{a,b}{\mathbb{Q}}\right)$  for some  $a,b\in\mathbb{Z}$ . For every prime p, we define:

$$B_p := B \otimes_{\mathbb{Q}} \mathbb{Q}_p$$

and for the infinite prime  $\infty$  we define:

$$B_{\infty} := B \otimes_{\mathbb{Q}} \mathbb{R}.$$

A quaternion algebra B over  $\mathbb Q$  is called ramified at p (or  $\infty$ ) if  $B_p$  (or  $\infty$ ) is a division algebra. B is unramified at p (or  $\infty$ ) if  $B_p \simeq M_2(\mathbb Q_p)$  (or  $M_2(\mathbb R)$ ). It is called definite if it is ramified at  $\infty$ . The discriminant of B is the product of all ramified primes in B. The endomorphism ring of any supersingular graph over  $\mathbb F_q$  is a maximal order ramified only at p and  $\infty$ , denoted by  $B_{p\infty}$ . The classification of  $B_{p,\infty}$  is given by Pizer [41]. The explicit form of  $B_{p,\infty}$  can be computed efficiently in poly(log p) given p.

We know discuss Shimura curves from indefinite quaternion algebras. The Shimura curve is the quotient  $\mathbb{H}/\Gamma$ , where  $\Gamma$  is an arithmetic *Fuchsian* group, with many algebraic and geometric properties. But we will not investigate further here.

H is an indefinite quaternion algebra over  $\mathbb{Q}$  with  $\operatorname{disc}(H) = D_H > 1$ , and  $\mathcal{O} \subseteq H$  is a maximal order. Since H is indefinite,  $B \otimes_{\mathbb{Q}} R \subseteq M_2(\mathbb{R})$ , there is a canonial embedding  $\Phi : H \hookrightarrow M_2(\mathbb{R})$ . We define  $\Gamma_+$ :

$$\Gamma_{+} := \Phi(\mathcal{O}^{\times})/\{\pm 1\} \subseteq PSL_{2}(\mathbb{R}),$$

where  $\mathcal{O}^{\times} := \{ \alpha \in \mathcal{O} : N\alpha = \pm 1 \}$ . Very similar to the complex multiplication theory we discussed in Section 3, considering the action of  $\Gamma_+$  on  $\mathbb{H}$ , given  $D_H > 1$ , there exists an algebraic curve over  $\mathbb{Q}$  and an isomorphism [42]:

$$\Psi: \mathbb{H}/\Gamma_+ \to X(D_H)(\mathbb{C})$$

Considering the reduction  $X(D_H)/\mathbb{F}_p$  of  $X(D_H)/\mathbb{Q}$ . The reduction is called *bad* if  $p|D_H$ . The bad reduction of a Shimura curve is connected and isomorphic to several projective lines  $\mathbb{P}^1_{\mathbb{F}_p}$ . We call  $X(D_H)_{\mathbb{F}_p}$  the *special fiber* of the Shimura curve. More details can be found in [43]. We define the graph of the special fiber  $\mathcal{G}$ , whose vertices are irreducible components of  $X(D_H)_{\mathbb{F}_p}$  that are isomorphic to  $\mathbb{P}^1_{\mathbb{F}_q}$ . Two vertices are connected if the corresponding components have nonzero intersection.

To study the graph  $\mathcal{G}$ , we need to consider the *l*-adic version of the theory of Shimura curves.

The *l-adic upper half-plane* are defined over L, where L is an extension of  $\mathbb{Q}_l$  and  $L \subset \mathbb{C}_l$ .

$$\mathbb{H}_l(L) := \mathbb{P}^1_{\mathbb{Q}_l}(L) - \mathbb{P}_{\mathbb{Q}_l}^1(\mathbb{Q}_l).$$

The l-adic upper half-plane is a l-adic rigid analytic variety, and more details can be found in [44]. We can think of the Bruhat-Tits tree as the skeleton of the l-adic upper half-plane via a reduction map, which always exists.

While in the above cases, we discuss Shimura curves over  $\mathbb Q$  with  $\Gamma_+$  generated from an indefinite algebra, we want study its l-adic analogue  $\Gamma_{+,l}$ . Let B be a definite quaternion algebra, with discriminant D and ramified at  $\infty$ .  $\mathcal O_B$  is a maximal order in B, its localization over  $\mathbb Z[1/l]$  is  $\mathcal O_B[1/l]:=\mathcal O_B\otimes_\mathbb Z\mathbb Z[1/l]$ . There also exists  $\Phi_l:B\hookrightarrow M_2(\mathbb Q_l)$ . Its unit group is formed by elements whose reduced norm is a unit in  $\mathbb Z[1/l]$ .

$$\mathcal{O}_B[1/l]^{\times} := \{ \alpha \in \mathcal{O}_B[1/l] : N\alpha = l^k, \ k \in \mathbb{Z} \}.$$

And  $\Gamma_{l,+} \subseteq PGL_2(\mathbb{Q}_l)$  is defined as:

$$\Gamma_{l,+} := \Phi_l(\{\alpha \in \mathcal{O}_B[1/l] : \mathbf{N}\alpha = l^{2k}, \ k \in \mathbb{Z}\})/\mathbb{Z}[1/l]^{\times} \subseteq PSL_2(\mathbb{Q}_l).$$

By results of [13,45,46], The graph of the special fiber  $X(D)_{\mathbb{F}_l}$  is the graph  $\mathcal{T}_l/\Gamma_{l,+}$ .

Ribet shows that  $\mathcal{G}$  is a double cover of supersingular isogeny graph [47]. Ver $\mathcal{G}$  are two copies of isomorphism classes of elliptic curves over  $\overline{\mathbb{F}}_p$ . And its edges  $\mathrm{Ed}\mathcal{G}=I(l,p)$ , where I(l,p) is the set of l-isogeny classes induced by elliptic curves isomorphism classes over  $\overline{\mathbb{F}}_p$ .

## 8.3 Computation with the Bruhat-Tits tree for SIKE

Since SIKE provides us with the generator in  $E_A$  and  $E_B$ , it is suitable for us to consider in the Bruhat-Tits tree.  $p=2^{e_A}3^{e_B}-1$ ,  $E_A$  can be viewed as the destination of a random walk with length  $e_A$  from E on the 2-isogeny graph. Considering the walk step by step, for every  $k \le e_A$ ,  $\{2^{e_A-k}P_A, 2^{e_B-k}Q_A\}$  form a system of compatible bases of  $E[2^k]$ , which allow us to consider the truncated Bruhat-Tits tree  $\mathcal{T}_2$  at lever  $e_A$ . Then how to relate the truncated tree to isogeny?

First we need to construct the tree given the elliptic curve over  $\mathbb{F}_p$  and its endomorphism ring. We want E to be the root of the tree, that is  $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ , which can be done by taking inverse limit  $T_l(E)$ . Basis of  $E[2^k]$   $\{P_k, Q_k\}$  can be get by the canonical map from  $T_l(E)$  to  $E[2^k]$ . If we have known the position of point u, v on the truncated Bruhat-Tits

tree, the shortest path between them is  $u \to w \to v$ , w is their nearest ancestor vertices, which can be found can the tree efficiently. But if we know  $E_A$ ,  $P_A$ ,  $Q_A$  without  $n_A$  in SIKE, we can not make an exponential speedup to solve the isogeny problem, because we do not known the explicit position of  $E_A$  on the tree whose root is E. It is assured that  $E_A$  is on the  $e_A$  level of the tree, it can be represented as linear combination of  $P_A$ ,  $Q_A$ . So if attacker wants to compute the  $\phi_A$ , he need to take a search on the  $e_A$  level to find  $E_A$ . Verifying the current vertices is  $E_A$  can be done using the public information.

The above discussion about solving isogeny problem via Bruhat-Tits tree shows that the Bruhat-Tits tree also focus on the local information. The tree is construcated using the sublattice relationship, which is similar to *l*-isogeny relationship. Hence we may not make some nontrivial speedup for the problem when just using this tool. However the tree provide us with methods for cryptography analysis. It also reveals that although the supersingular isogeny graph is a complicated expander graph, its structure is not so confusing when considering parameter setting in SIKE. Furthermore, torsion groups endowed with linear structure makes this problem much more "neat" and clear. It also has practical meaning, that is computing matrix multiplication seems to be more easier than solve modular equation in CM method.

The quotient of the Bruhat-Tits tree  $\mathcal{T}_l/\Gamma_{l,+}$  is a double cover of  $\mathcal{G}_l$ , there exists an algorithm which can computing it in polynomial time in l and genus g [48]. There are also some useful algorithms in this paper, but limited by my personal ability, I will not go further to make use of them.

In addition, there are some interesting information I want to settle here. In SIKE parameter, the first step of the random walk from E can not at the  $\infty$  direction. We define the subtree  $\mathcal{T}_2'$  of the truncated tree  $\mathcal{T}_2^{(e_A)}$ . Considering  $\mathcal{T}_2' \to \mathcal{G}_2$  by pasting points with the same j-invariant, computational results in 1001[49] show that  $\mathcal{T}_2'$  is actually a tree in  $\mathcal{G}_2$  for SIKEp504, l=2,3; SIKEp434, l=2. There are also some similar properties in different parameter sets. Maybe there exists some attack practically to these protocols, although them might not be showed theoretical efficient.

Another result is that, in [50] provides an improvement on "meet-in-the-middle" on a weak variant of SIDH using some information from the torsion group. Precisely they exploit the norm in the endomorphism ring. Although I will not investigate on it, I still prospect that we need to make use of the torsion group if we want to make improvement on the isogeny problem.

## 9 Sample from quantum walk on the isogeny graph

Up to now, there are no efficient quantum algorithms for searching problem on the supersingular isogeny graph. Because to speed up the searching algorithm, we need to have information about the global struture of the graph. Otherwise we can not to better than the brute force method. However, if we do not persist in the searching problem, there are some interesting problems that may have space to be improved about the isogeny graph.

There is a thinking error about the isogeny graph is that the graph is a black-box graph. That is we can only get information about the graph by querying its entries of the adjacency matrix. In that cases, we can only know the neighbors of vertexes. Nevertheless, the isogeny graph is constructed by algebraic structure, it also inherits some properties related to the abundant theory about elliptic curves.

Although the supersingular graphs  $\mathcal{G}_l$  have been proved to be expander graphs, quantum walk on the graph is not well-studied. To investigate the quantum walk, the operators about the graph plays a key role. That is the *Hecke operator*, related to *modular forms*. We are going to discuss about sampling from the limiting distribution of the continuous time quantum walk on  $\mathcal{G}_l$  in [51]. This work is inspired by an interesting work [52] about constructing quantum money via quaternion algebra or modular forms. Maybe there are some potential problems about the quantum walk on the graph await us to work on.

#### 9.1 Modular forms and Hecke operators

Although these knowledge are not necessary for the discussion about the quantum walk on  $\mathcal{G}_l$ , you can just view the Hecke operator as the adjacency matrix of  $\mathcal{G}_l$ , but some backgrounds about it may provide ideas about related problems. More can see [7,53,54].

A holomorphic function  $f: \mathbb{H} \to \mathbb{C}$  is a weak modular form of weight k for a congruence subgroup  $\Gamma$  if  $f(\gamma \tau) = (c\tau + d)^k f(\tau)$  for all  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma$ . The only modular forms of weight 0 are constant functions. The concept of weight allows us to generalize modular functions, by strengthening their analytic properties at the expense of weakening their congruence properties. A modular form is a cusp form if it vanishes at all cusps. Modular forms of weight k for  $\Gamma$  form a  $\mathbb{C}$ -vector space  $M_k(\Gamma)$  containing cusp forms  $S_k(\Gamma)$  as a subspace, whose dimensions are finite.

Hecke operators  $T_n$  on  $M_k(\Gamma_0(N))$  are linear operators fixing  $S_k(\Gamma_0(N))$ . We first consider  $T_n$  acts on lattices in  $\mathbb{C}$ , which is highly related to modular forms.

$$T_nL := \sum_{[L:L']=n} L',$$

, where the sum is the formal sum of all index-n sublattices.  $T_n$  can be extended to an endomorphism of  $\mathrm{Div}(\mathcal{L})$ :  $T_n \sum L := \sum T_n L$ .  $\mathrm{Div}(\mathcal{L})$  is the free abelian group generated by the set of all lattices  $\mathcal{L}$ . Another family of endomorphisms  $\{R_\lambda : \lambda \in \mathbb{C}^\times\}$  in  $\mathrm{Div}(\mathcal{L})$  are defined as: $R_\lambda L := \lambda L$ . There is an important property about Hecke operators. Because of the correspondence between torus  $\mathbb{C}/L$  and the elliptic curve  $E/\mathbb{C}$ , the definition of  $T_n$  can be naturally extended to sending a curve to the formal sum of all n-isogenous curves.

**Theorem 12** The subring of  $End(Div(\mathcal{L}))$  generated by  $\{R_p, T_p : p \text{ prime}\}$  is commutative and contains all all Hecke operators  $T_n$ .

The action of  $T_n$  can be extended to  $M_k(\Gamma_0(1))$  easily, while cases on  $M_k(\Gamma_0(1))$  invovles more details. Given a weight k modular form  $f: \mathbb{H} \to \mathbb{C}$ , we define the function on lattices:  $f([\omega_1, \omega_2]) := f(\omega_1^{-1}[1, \omega_2/\omega_1]) := \omega_1^{-k}f([1, \omega_2/\omega_1])$ .  $R_\lambda$  and  $T_n$  acts on f via the action on the lattice  $[1, \tau]$ . While the action of  $T_n$  on  $S_k(\Gamma_0(N))$  are involved with the relationship between n and N. And  $\{T_p: p \text{ prime}\}$  is commutative in spite of N. So they can be diagonalized simultaneously.

In addition,  $S_k(\Gamma)$  is endowed with an inner product structure.  $S_k(\Gamma_0(1))$  and a specific subspace of  $S_k(\Gamma_0(N))$  are the direct sum of one-dimensional eigenspaces for  $T_n$ . The bound of eigenvalues of Hecke operators is an important problem in related area.

Actually, there is a well-understood connection between modular forms and elliptic curves, but we will not discuss about it due to the space.

## 9.2 Quantum sample via Hecke projectors

We consider the continuous time quantum walk on an undirected graph  $\Gamma=(V,E)$ . The Hamiltonian of the walk is the adjacency matrix A of the graph, and the evolution operator is  $W(t)=e^{iAt}$ . The Hilbert space is  $\mathcal{X}:=\mathbb{C}^V$ . Let  $\{|\phi_j\rangle\}_{1\leq j\leq N}$  be a set of orthonormal eigenstates of A that forms a basis of  $\mathcal{X}$ . The multiset  $\{\lambda_j\}_{1\leq j\leq N}$  is the set of eigenvalues.  $\{\mathcal{X}_j\}_{1\leq j\leq M}$  is the eigenspace, where  $M\leq N$ .  $I_j:=\{k:|\phi_k\rangle\in\mathcal{X}_j\}$  is the indices set of eigenstates in  $\mathcal{X}_j$ . Starting from the state  $|psi_0\rangle$ , the limiting distribution of the quantum walk measured in the vertex basis can be calculated straightforward:

$$P_{\infty}(v|\psi_0) := \lim_{T \to \infty} P_T(v|\psi_0) = \sum_{j=1}^M \left| \sum_{k \in I_j} \left\langle v|\phi_k \right\rangle \left\langle \phi_k |\psi_0 \right\rangle \right|^2.$$

We want to design a program to tag the eigenspaces of A uniquely. If there is a quantum algorithm,

$$|\psi_{0}\rangle|0\rangle = \sum_{j=1}^{N} \langle \phi_{j} | \psi_{0} \rangle |0\rangle |\phi_{j}\rangle \mapsto \sum_{j=1}^{N} \langle \phi_{j} | \psi_{0} \rangle |t_{j}\rangle |\phi_{j}\rangle = \sum_{j=1}^{M} \sum_{k \in I_{j}} \langle \phi_{j} | \psi_{0} \rangle |t_{j}\rangle |\phi_{j}\rangle,$$

if we measure the second register in the vertex basis, the probability corresponds with the limiting distribution.

Eigenvalues of A are natural choices, but to assure the tags are unique, the accuracy might be exponential. W(t) can be used to estimate the phases of A, but t is needed to be exponential large. So if we treat A as a black-box, to tag the eigenspaces is not efficient.

But in [51], the author proposed a method using a specific set of operators commuting with A. The operators are called  $\varepsilon$ -projectors.

**Definition.** For an integer r>0, let  $\mathcal{A}=\{A_j\}_{1\leq j\leq r}$  be a set of hermitian operators, acting on  $\mathcal{X}$ , that have the same eigenspaces. For an eigenstate  $|\phi_j\rangle$ , let  $\lambda_{1,j},\lambda_{2,j},\ldots,\lambda_{r,j}$  be the eigenvalues of the operators  $A_1,A_2,\ldots,A_r$  associated with  $|\phi_j\rangle$ , respectively. Define the vector  $\mathbf{\lambda}_j=(\lambda_{1,j},\lambda_{2,j},\ldots,\lambda_{r,j}),\ j=1,\ldots,N$ . For a real number  $\varepsilon>0$ , the set of operators  $\mathcal{A}$  is said to be  $\varepsilon$ -separated if

$$\|\boldsymbol{\lambda}_j - \boldsymbol{\lambda}_k\|_2 \ge \varepsilon$$
, for all  $\boldsymbol{\lambda}_j \ne \boldsymbol{\lambda}_k, 1 \le j, k \le N$ .

**Definition** Let A be a hermitian operator on  $\mathcal{X}$ . An  $\varepsilon$ -projector for A is an  $\varepsilon$ -separated set  $A = \{A_j\}_{1 \leq j \leq r}$  such that for all  $j = 1, \ldots, r$ :

- the walk  $e^{iA_jt}$  can be performed in F(t) poly(log N) operations, where  $F(t) \in O(t)$
- $A_j$  has the same eignenspaces as A.

The sample algorithm is performed as below.

## **Algorithm 3:** Sample the limiting distribution of a continuous time quantum walk via $\varepsilon$ -projector

Input: The adjacency matrix A of a graph  $\Gamma$ , an  $\varepsilon$ -projector  $\mathcal{A} = \{A_j\}_{1 \leq j \leq r}$ , an initial state  $|\psi_0\rangle$  Output: A sample from the limiting distribution of the walk  $W(t) = e^{i\overline{A}t}$  on  $\Gamma$ 

- 1: Perform phase estimation on  $e^{iA_1},\ldots,e^{iA_r}$  with accuracy  $\varepsilon/2\sqrt{r}$ , and store the approximate phases  $\lambda_{k,j}^{\tilde{r}}$  of  $A_k$  corresponding to  $|\phi_j\rangle$ . The resulting state is  $\sum_{j=1}^N \langle \phi_j \mid \psi_0 \rangle |\tilde{\lambda}_{1,j}\rangle \ldots |\tilde{\lambda}_{r,j}\rangle |\phi_j\rangle$
- 2: Measure the last register in the vertex basis.
- 3: Return the measured vertex.

The correctness is guaranteed by the  $\varepsilon$ -separability. And the time complexity is  $O(rF(2\sqrt{r}\varepsilon^{-1})\text{poly}(\log N))$ .

For the quantum walk on  $\mathcal{G}_l$ , we consider the Hecke operator acting on  $\mathrm{Div}(S_p)$ , where  $S_p$  is the set of isomorphism classes of elliptic curves over  $\mathbb{F}_{p^2}$ .  $T_l$  sends a curve to the formal sum of all l-isogenous curves. Since the l-isogeny can be computed in O(l) using the Velu formula,  $e^{iT_l}$  can be efficiently approximate.

In [55], Serre proved that for large p, the eigenvalues of the normalized Hecke operator  $T_l/\sqrt{l}$  are equidistributed in [-2,2] with respect to the measurement:

$$\mu_l = \frac{l+1}{\pi} \frac{\left(1 - x^2/4\right)^{1/2} dx}{\left(l^{1/2} + l^{-1/2}\right)^2 - x^2}.$$

The following theorem in [51, Lemma 5.2] assures that the limiting distribution on  $G_l$  can be sampled in poly(log p) operations using algorithm 3.

**Theorem 13** Lemma 5.2. Let  $r \ge 32 \log N$ , let  $l_1, l_2, \ldots, l_r$  be a set of distinct primes each bounded by poly  $(\log N)$ , and let  $\varepsilon = 1/\sqrt{\log N}$ . Then the set of operators  $\mathcal{T} = \{T_{l_k}/\sqrt{l_k}\}_{1 \le k \le r}$  is  $\varepsilon$ -separated with overwhelming probability.

## 10 Conclusion

Searching problem on the isogeny graph seems to be a hard problem, using the tool of Bruhat-Tits trees will not help. But the Bruhat-Tits tree provides us a method to analyze the problem. Quantum walk on the isogeny graph via Hecke operators is likely a potential area await us to work on it. In [14], authors proposed a method of discussing the abelian variety generated by the given curve and its isogenious curve that is generated by a specific torsion group. This method is efficient although there is no precise time analysis on it. Due to the limit of my ability, maybe I will not work on it in the future.

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