# Introduction to Machine Learning Methods in Condensed Matter Physics

LECTURE 8, FALL 2021

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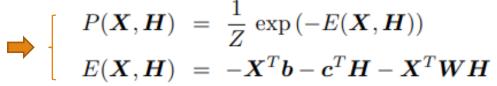
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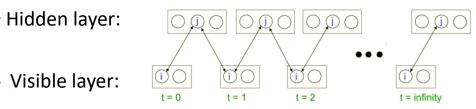
#### Restricted Boltzmann Machine

A binary graphic model with a visible layer and hidden layer:

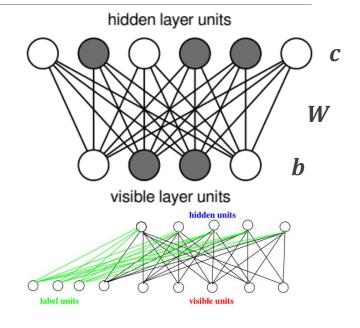
The probability of a configuration: Boltzmann distribution

*W*, *b*, and *c* as model parameters Efficient sampling:



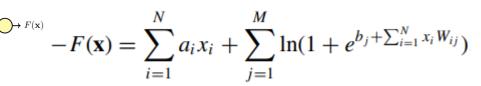


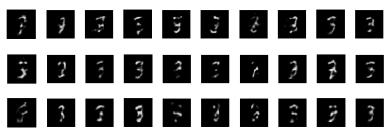
Train and interpolate with class labels:



Train RBM to: maximize the likelihood of the given data

fit a given distribution





#### Generative Adversarial Network

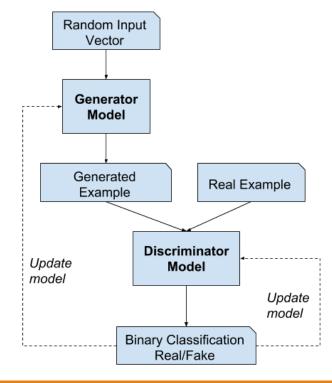
- Two neural networks contest with each other in a zero-sum game:
  - Generator: generate new plausible examples from the training dataset
  - Discriminator. Model that is used to classify examples as real (from the training set) or fake (generated)
- Data augmentation: better performing models
  - Ideal convergence: the generator generates perfect replicas, and the discriminator cannot tell the difference



Example of the Progression in the Capabilities of GANs

Also available: quantum GAN (QGAN)

Generative Adversarial Networks, Ian Goodfellow, et al. (2014); NIPS 2016 Tutorial: Generative Adversarial Networks, Ian Goodfellow (2016).



## Variational methods in quantum many-body physics

An example of quantum many-body trial wave function: or through Gutzwiller projection:

$$|\Psi
angle = \exp\left[-rac{1}{2}\sum_{R,R'} {f v}_{R,R'} S_R^z S_{R'}^z
ight] |\Phi_{
m cl}
angle = \prod_R \left(|\uparrow
angle_R + e^{iQR}|\downarrow
angle_R
ight)$$

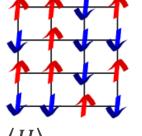
 $v_{R,R'}$  (Jastrow factor), Q, etc. are our variational parameters.

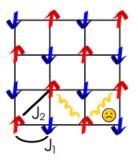
An example of quantum many-body system (Hamiltonian):

$$\mathcal{H} = J_1 \sum_{\langle R,R' 
angle} \mathbf{S}_R \cdot \mathbf{S}_{R'} + J_2 \sum_{\langle \langle R,R' 
angle 
angle} \mathbf{S}_R \cdot \mathbf{S}_{R'}$$

One 'simple' job: find the quantum many-body state with the lowest  $\langle H \rangle$ .

$$|\Psi
angle = \mathcal{P}_G |\Phi_0
angle \ \mathcal{P}_G = \prod_R (n_{R,\uparrow} - n_{R,\downarrow})^2 \ |\Phi_0
angle = \exp\left\{\sum_{i,j} f_{i,j} c_{i,\uparrow}^\dagger c_{j,\downarrow}^\dagger\right\} |0
angle \ \mathcal{P}_G = \exp\left\{\sum_{i,j} f_{i,j} c_{i,\uparrow}^\dagger c_{j,\downarrow}^\dagger\right\} |0
angle$$





Néel AFM SL collinear AFM
0.0 ~0.48 ~0.60 J<sub>2</sub>/J<sub>1</sub>

Wen-Jun Hu, et al., Phys. Rev. B **88**, 060402R (2013). Frustration → sign problem in quantum Monte Carlo methods

#### Sampling expectation values for quantum many-body state

• For an operator  $\hat{O}$ , its expectation value:

$$\begin{split} \langle \hat{O} \rangle &= \langle \Psi | \hat{O} | \Psi \rangle = \sum_{\alpha} \langle \Psi | \alpha \rangle \langle \alpha | \hat{O} | \Psi \rangle = \sum_{\alpha} \langle \Psi | \alpha \rangle \langle \alpha | \Psi \rangle \cdot \frac{\langle \alpha | \hat{O} | \Psi \rangle}{\langle \alpha | \Psi \rangle} \\ &= \sum_{\alpha} \langle \Psi | \alpha \rangle \langle \alpha | \Psi \rangle \cdot \sum_{\beta} \langle \alpha | \hat{O} | \beta \rangle \frac{\langle \beta | \Psi \rangle}{\langle \alpha | \Psi \rangle} \end{split}$$

MC sampling: positive-definite normalized weight

contribution of each sampled  $|\alpha\rangle$ 

- The sampling only concerns with ratios of  $\langle \alpha' | \Psi \rangle / \langle \alpha | \Psi \rangle$ ,  $\langle \beta | \Psi \rangle / \langle \alpha | \Psi \rangle$  with local differences.
- Given one (formalism of) quantum many-body state, it is relatively easy and controlled to evaluate operator expectations.  $|\Psi\rangle = \sum \Psi_{i_1 i_2 \dots i_N} |i_1 \otimes i_2 \otimes \dots \otimes i_N\rangle$

- Issue with the variational methods: even if we have hundreds of variational parameters, it is overwhelmingly dwarfed by the  $2^N$  degrees of freedom of the quantum Many-body states
- → the "ground-state" energy may be just an upper bound, and the "ground-state" physics wrong.

### Tensor network states as quantum many-body states

A tensor network state is still a trial wavefunction representation with much fewer parameters:

Why we can do this? Quantum entanglement suggests that ground states are special:

$$|\Psi\rangle = \sum_{k}^{M} s_{kk} |u_k\rangle |v_k\rangle$$

$$|\Psi\rangle = \sum_{k}^{M} s_{kk} |u_k\rangle |v_k\rangle$$

$$|\Psi\rangle = 1 |u_1\rangle |v_1\rangle$$

$$|\Psi\rangle = 1 |u_1\rangle |v_1\rangle$$

$$|\Psi\rangle = \frac{1}{\sqrt{2}} |u_1\rangle |v_1\rangle + \frac{1}{\sqrt{2}} |u_2\rangle |v_2\rangle$$

$$|\Psi\rangle = \frac{1}{\sqrt{2}} |u_1\rangle |v_1\rangle + \frac{1}{\sqrt{2}} |u_2\rangle |v_2\rangle$$

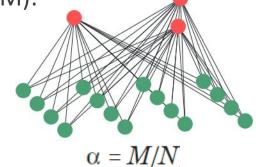
 Ground-state protocol based upon tensor network states: density matrix renormalization group (DMRG)
 Steven R. White, Phys. Rev. Lett. 69, 2863 (1992).

#### Neural network quantum states

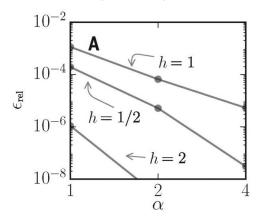
Representing the quantum many-body state with a neural network (RBM):

$$|\Psi_{
m RBM}
angle \propto \sum_{h_a=\pm 1} \exp \left[ \sum_{R,a} W_{R,a} S_R^z h_a + \sum_a b_a h_a 
ight] |\Phi_{
m cl}
angle$$

$$\propto \prod_a \exp \left\{ \log \cosh \left[ b_a + \sum_R W_{R,a} S_R^z 
ight] 
ight\} |\Phi_{
m cl}
angle$$



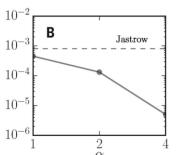
ullet Quality be systematically improved upon increasing the number of hidden neurons M.

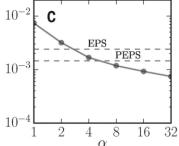


1D transverse field Ising model:

$$\mathcal{H}_{\mathrm{TFI}} = -h \sum_{i} \sigma_{i}^{x} - \sum_{ij} \sigma_{i}^{z} \sigma_{j}^{z}$$

$$\epsilon_{\rm rel} = (E_{\rm NQS}(\alpha) - E_{\rm exact}) / |E_{\rm exact}|$$





1D and 2D AFM Heisenberg model:

$$\mathcal{H}_{ ext{AFH}} = \sum_{ij} ext{d}_i^x ext{d}_j^x + ext{d}_i^y ext{d}_j^y + ext{d}_i^z ext{d}_j^z$$

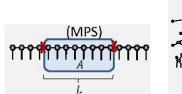
G. Carleo, et al., Science **355**,602–606 (2017).

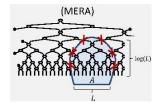
# Neural network quantum states: pros and cons

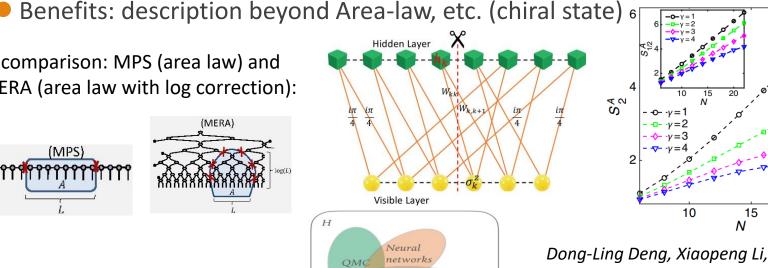
Why it works: convertibility between neural network and tensor network representations

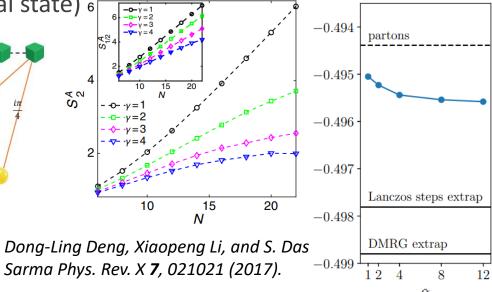
 $J_2 = 0.5$ 

In comparison: MPS (area law) and MERA (area law with log correction):









- Potential problems:
  - difficult optimizations (local minima), e.g. perform relatively poorly on frustrated models:  $J_1 J_2$  model
  - preference for a specific spin-spin correlation
  - active degrees of freedom unclear → physics not transparent

ensor networks

## Quantum state tomography

- Quantum state tomography reconstructs the quantum state by measurements on the system. necessary for quantum computation, quantum entanglement, error diagnosis, etc.
- Setup and requirements:
  - $\bullet$  A quantum system  $\rho$  that can be prepared repeatedly
  - A set of quantum measurements
  - For N =8, a brute-force QST requires almost  $10^6$  measurements.  $\bullet$  A model that analyze the outcome of the measurements to produce an estimate  $\rho^*$

Example: single spinor state 
$$\langle \vec{\sigma} \rangle = tr(\rho \vec{\sigma})$$
 (with infinite precision of  $\langle \vec{\sigma} \rangle$ )  $\rho = aI + \mathbf{b} \cdot \boldsymbol{\sigma}$   $\Rightarrow$  
$$\begin{cases} a = \frac{1}{2} \operatorname{tr} \rho = \frac{1}{2} \\ b_i = \frac{1}{2} \operatorname{tr} (\sigma_i \rho) = \frac{1}{2} \langle \sigma_i \rangle \end{cases} \Rightarrow \rho = \frac{1}{2} \left( I + \langle \boldsymbol{\sigma} \rangle \cdot \boldsymbol{\sigma} \right)$$

Difficulty: exponential scaling both in the representation and the analysis, quantum and

thermal fluctuations, etc.

Limiting the scale of rapidly advancing quantum simulators: Probing many-body dynamics on a 51atom quantum simulator

Soonwon Choi, Alexander S. Zibrov, Manuel Endres, Markus Greiner 🗷, Vladan Vuletić 🔀 & Mikhail 🛭

Nature 551, 579-584 (30 November 2017) Download Citation ±

Observation of a many-body dynamical phase transition with a 53-qubit quantum simulator

J. Zhang M. G. Pagano, P. W. Hess, A. Kyprianidis, P. Becker, H. Kaplan, A. V. Gorshkov, Z.-X. Gong & C.

Nature 551, 601-604 (30 November 2017) Download Citation

### Neural network quantum state tomography

Define the quantum state:

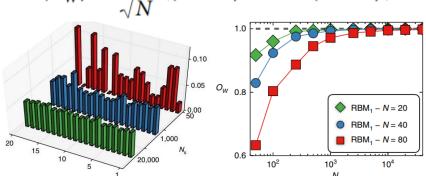
$$\psi_{\lambda,\mu}(\mathbf{x}) = \sqrt{\frac{p_{\lambda}(\mathbf{x})}{Z_{\lambda}}} e^{i\phi_{\mu}(\mathbf{x})/2}$$
 $\phi_{\mu} = \log p_{\mu}(\mathbf{x})$ 

represented by two RBMs:

$$p_{\kappa}(\mathbf{\sigma}, \mathbf{h}) = e^{\sum_{ij} W_{ij}^{\kappa} h_i \sigma_j + \sum_j b_j^{\kappa} \sigma_j + \sum_i c_i^{\kappa} h_i}$$
 one for amplitude, one for phase

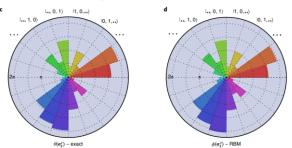
- Train to maximize the data-set likelihood of independent measurements:  $|\psi_{\lambda,u}(\mathbf{x}^{[b]})|^2 \simeq |\Psi(\mathbf{x}^{[b]})|^2$ (only raw data instead of expectation values). Also, KL divergence:  $\Xi(\mathbf{\kappa}) \equiv \sum_{b=0}^{N_B} \mathrm{KL}_{\kappa}^{[b]} = \sum_{b=0}^{N_B} \sum_{\{\mathbf{\sigma}^{[b]}\}} P_b(\mathbf{\sigma}^{[b]}) \log \frac{P_b(\mathbf{\sigma}^{[b]})}{|\psi_{\kappa}(\mathbf{\sigma}^{[b]})|^2}$  $|\Psi_W\rangle = \frac{1}{\sqrt{N}}(|100...\rangle + ... + |...001\rangle)$ (amplitude only)
- Example: the real W state:
  - Convergence as number of samples increases:

Dataset generated by sampling (synthetic measurements)



Now, with local phase variation:

 $\exp(i\theta(\sigma_k^z)/2)$ 



G. Torlai, G. Mazzola, J. Carrasquilla, M. Troyer, R. Melko, and G. Carleo, Nat. Phys. 14, 447 (2018).

#### Restricted Boltzmann Machine

• Quantum state tomography on ground states of interacting many-body problems:

1D transverse-field Ising model:

1D XXZ spin-1/2 model:

$$\mathcal{H} = \sum_{ij} I_{ij} \sigma_i^z \sigma_j^z - h \sum_i \sigma_i^x$$

$$\mathcal{H} = \sum_{ij} \left[ \Delta \left( \sigma_i^x \sigma_j^x + \sigma_i^y \sigma_j^y \right) + \sigma_i^z \sigma_j^z \right]$$
As well as time-evolved states:
$$|\Psi(t)\rangle = \exp(-i\mathcal{H}t) |\Psi_0\rangle \qquad \Psi_0 = |\to, \to, \dots, \to \rangle$$

$$|\Psi(t)\rangle = \exp(-i\mathcal{H}t) |\Psi_0\rangle \qquad \Psi_0 = |\to, \to, \dots, \to \rangle$$
Consistent correlation and entanglement-entropy behavior
$$S_2\left(\rho_A\right) = -\log(\mathrm{Tr}\left(\rho_A^2\right))$$
G. Torlai, G. Mazzola, J. Carrasquilla, M. Troyer, R. Melko, and G. Carleo, Nat. Phys. 14, 447 (2018).