

Introduction to Machine Learning Methods in Condensed Matter Physics

LECTURE 6, FALL 2021

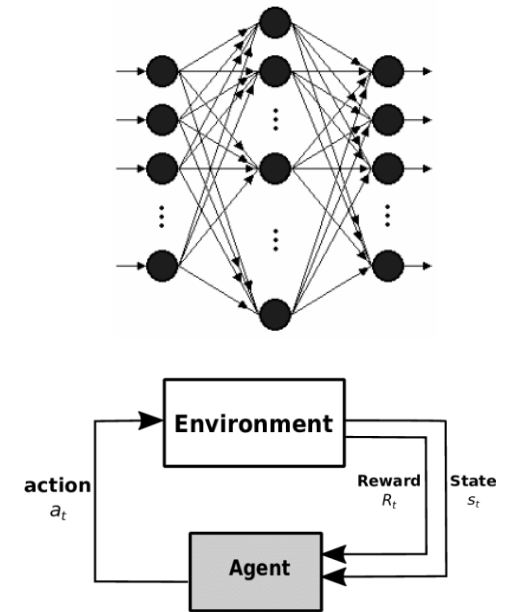
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What is and why reinforcement learning?

- An agent (strategy) that interacts with an environment and maximizes reward (minimize) penalty – value functions approximated by ANNs.
 - $V(S)$: the approximate most accumulated reward from state S . Then, given S , we can choose then optimal action $\text{argmax}[V(S' = S(A))]$
 - $Q(S, A)$: the approximate most accumulated reward from state S after choosing action A . Then, given S , we can choose the optimal action $\text{argmax}[Q(S, A)]$
- General setup: S : current state; A : action upon state; R : reward
 $S \xrightarrow{A} S' \xrightarrow{A'} S'' \xrightarrow{A''} \dots \rightarrow \text{end}$, where the *accumulated reward* is determined
- Good for control problems where an optimal-strategy solution simply does not exist.
 - E.g. competing interests in experimental or numerical tunings



Reinforcement learning for Quantum Adiabatic Algorithm

- Calculating the ground state of a target Hamiltonian H_t via adiabatic evolution:

$$H = \lambda H_t + (1 - \lambda) H_0$$

from a trivial initial H_0 with a simple ground state, $\lambda = 0 \rightarrow 1$.

- The process needs to be sufficiently slow to keep the system at its ground state:
- More generally, we can have a path $H_{tar} \rightarrow H_1 \rightarrow H_2 \rightarrow \dots \rightarrow H_0$:

S : current H_i ;

Compared with Goal:

A : ΔH_i , change $H_{i+1} = H_i + \Delta H_i$;

R : minimum gap or fidelity $\langle \Phi_i | \Phi_{i+1} \rangle$ along the path,

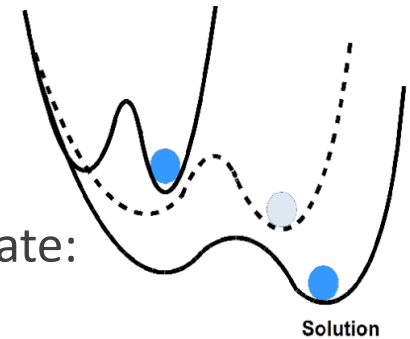
only available at the end of the path (arriving at H_0).

Board configuration

Next move

Win rate / advantage

However, we have not achieved good convergence yet.



- Also, value function offers an estimate of the difficulty level of the target Hamiltonian.

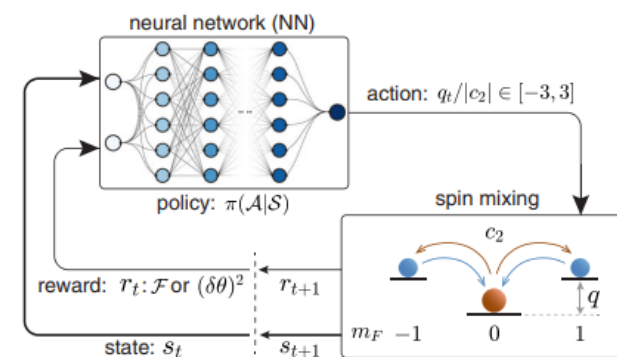
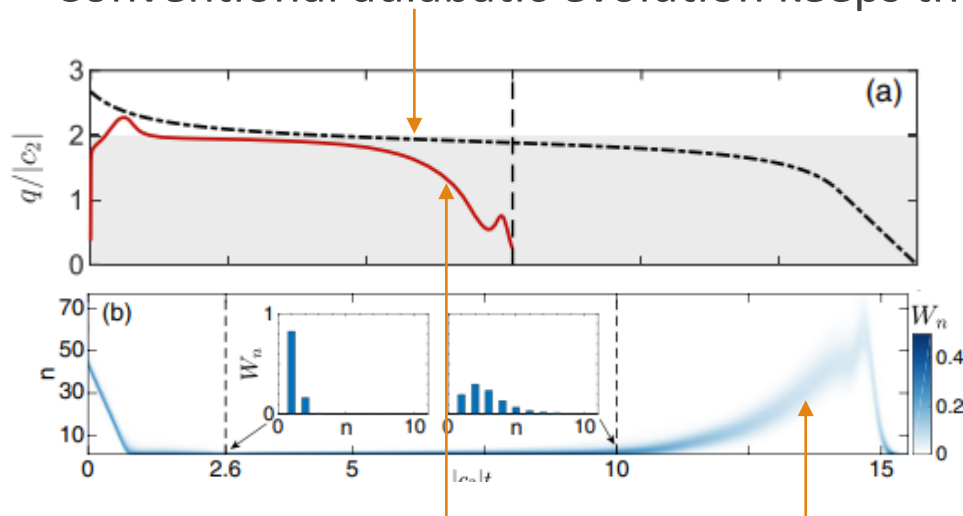
Reinforcement learning for fast state preparation

- Preparing the Dicke state through evolution ($q(t) \rightarrow 0$): (One target state only)

$$H = \frac{c_2}{2N} \mathbf{L}^2 - q(t) N_0$$

Conventional adiabatic evolution keeps the system at ground state.

takes longer, especially when system is gapless



$$\mathcal{F} = |\langle \psi(t) | \psi_{\text{Dicke}}^{(0)} \rangle|^2$$

An unconventional route by reinforcement learning forsakes the adiabaticity requirement.

Shuai-Feng Guo[#], Feng Chen[#], et al., *Phys. Rev. Lett.* **126**, 060401 (2021).

Universal quantum compiling

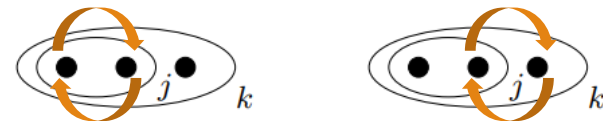
- Given a universal set of elementary gates, one can obtain any given unitary via a sequence:

For example,

$$U_1 \quad U_2$$

$$\pi/8 \text{ (T)} \quad \begin{bmatrix} 1 & 0 \\ 0 & e^{i\pi/4} \end{bmatrix} \quad \text{Hadamard (H)} \quad \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$$

or by braiding topological quasiparticles:



$$\sigma_1 = \begin{pmatrix} \eta^{-4} & 0 \\ 0 & \eta^3 \end{pmatrix} \quad \sigma_2 = \begin{pmatrix} -\phi^{-1}\eta^{-1} & \phi^{-\frac{1}{2}}\eta^{-3} \\ \phi^{-\frac{1}{2}}\eta^{-3} & -\phi^{-1} \end{pmatrix}$$

Chetan Nayak, et al., *Rev. Mod. Phys.* **80**, 1083 (2008).

$$\eta = e^{i\pi/5} \quad \phi = (\sqrt{5} + 1)/2$$

System		
Initial state	The unitary to be approximated	The scrambled cube
Target state	The identity matrix	The solved cube
Basic move	A gate from the universal set	Rotation of one face

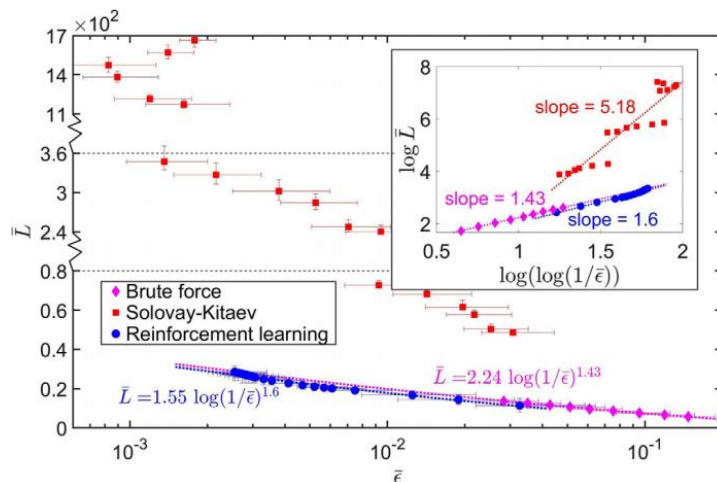
$$U \approx U_1^{n_1} U_2^{n_2} U_1^{n_3} U_2^{n_4} \dots$$

- We prefer to represent the unitary with as much precision with as short a sequence as possible.
 - Brute-force: try out all sequences at a given length, pick the closest unitary
 - Solovay-Kitaev: obtain near-identity unitaries as building block and solve recursively
- Reinforcement learning shines where there exists an applicability proof but no optimal strategy.

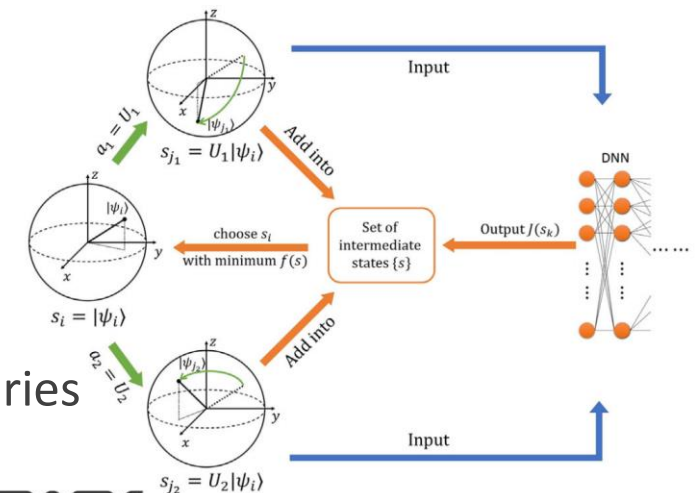
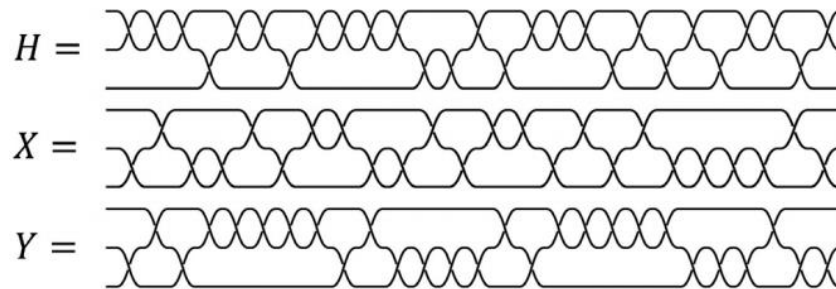
best length, bad search time
good search time, bad length

Reinforcement learning for quantum compiling

- S : current unitary U , A : applied elementary gate U_i , R : expected distance towards solution within required accuracy threshold
- Application is very time efficient: $S \rightarrow S' \rightarrow S'' \rightarrow \dots \rightarrow$
- Results: comparable sequence length with brute force



General, any single-qubit unitaries



all better than $O(10^{-3})$ precision

- Selected target unitaries:

Yuan-Hang Zhang, Pei-Lin Zheng, YZ*, and Dong-Ling Deng*, *Phys. Rev. Lett.* 125, 170501 (2020).

Yao's Millionaires' problem

- Possible security of sensitive big data: add (indistinguishable) random noise
- *Secure multi-party computation problem*: for example, two millionaires want to compare their wealth, but refuse to reveal how much money they have, to each other or a trusted third party.

- Step 1: prepare identical boxes with locks and keys:
- Step 2: first millionaire puts information about his number inside, lock up all boxes.
- Step 3: second millionaire chooses the box according to her number, destroy the rest of boxes.
- Step 4: open the lock on the remaining box to find out the answer.

Andrew C. Yao, *SFCS* **1**, 160–164(1982).

E.g.: double-blind interpretation of experimental/numerical data

Data pooling: the bigger the data, the better machine learning

- *Oblivious transfer*: transfer many messages but is oblivious to which message is used/received.



Autoencoder

- Train an ANN with a bottleneck to minimize the reconstruction error $L(x, \hat{x})$ between the input x and output \hat{x} .
 - Compression: amount of information that can traverse the network is constrained.
 - Dimensional reduction (similar to linear PCA, unsupervised machine learning, to be discussed later)
- The bottleneck should be sufficient to allow to accurately build a reconstruction, but not enough to simply memorize (overfit) the training data.

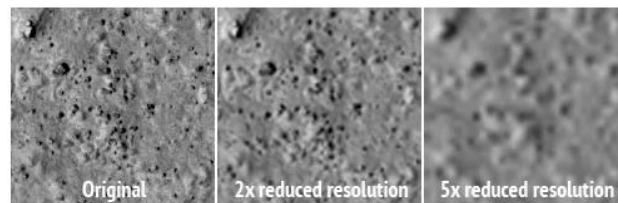
- Trivia: add noise to the input for the better:

- Idea: representation matters!

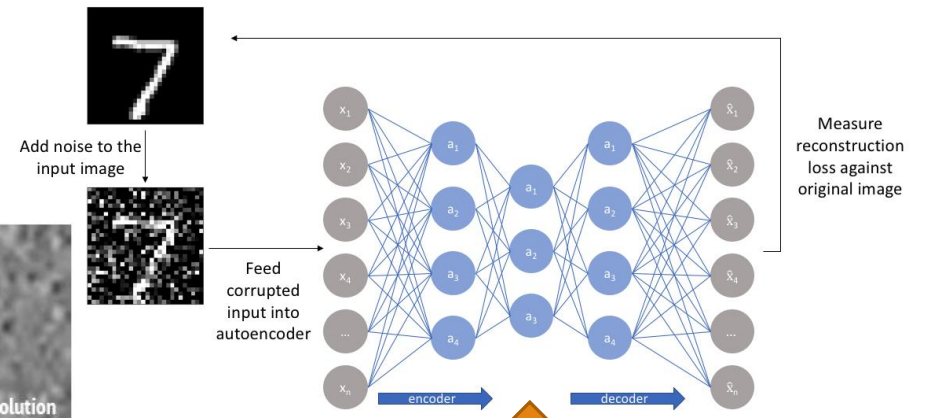


JPEG compression

vs



reduced resolution (majority rule)



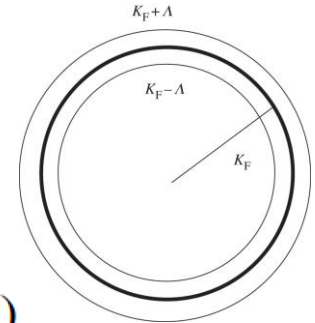
a compressed
representation

- The extreme limit: phase recognition. Also, CNN's convolution and pooling

Renormalization group in condensed matter

- Momentum-space RG: e.g. Fermi liquid theory

- Why electrons with Coulomb interactions commonly behave like non-interacting electron gas?
- Relevant d.o.f are the momentum shells around the Fermi surface
- All interactions are irrelevant except (nested) BSC and CDW interaction channels

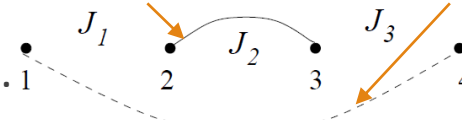


- Real-space RG: e.g. 1D random spin glass

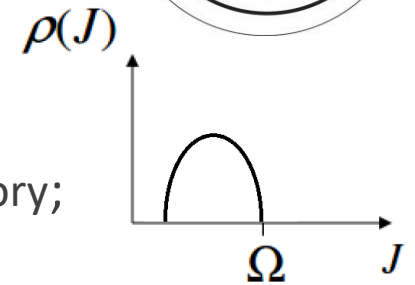
R. Shankar, Rev. Mod. Phys. 66, 129(1994).

$$\hat{\mathcal{H}} = \sum_i J_i \hat{\mathbf{S}}_i \cdot \hat{\mathbf{S}}_{i+1}$$

1. Pick the strongest bond; 2. Eliminate the higher energy d.o.f via perturbation theory;

3. iterate.  $\Rightarrow J_{eff} = J_1 J_3 / 2 J_2$ $J^{typical} \sim \frac{\Omega^2}{\Omega_0}$

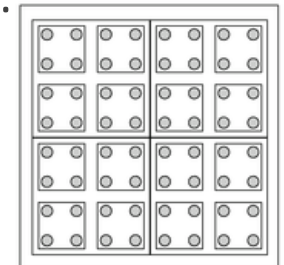
D. S. Fisher, Phys. Rev. B 50, 3799 (1994).



- Also, block spin RG: $H(T, J)$ describes the system with n.n. coupling J at temperature T :

The physics of a 2×2 block is approximately described by $H(T', J')$.

$(T, J) \rightarrow (T', J') \rightarrow (T'', J'') \rightarrow \dots$ long-range behavior after many iteration.



➔ Fixed points: $T = 0, J \rightarrow \infty$; $T = \infty, J \rightarrow 0$; $T = T_c, J = J_c$ (unstable, critical).

Leo P. Kadanoff, Physics Physique Fizika. 2 (6), 263(1966).

Neural network assisted renormalization group

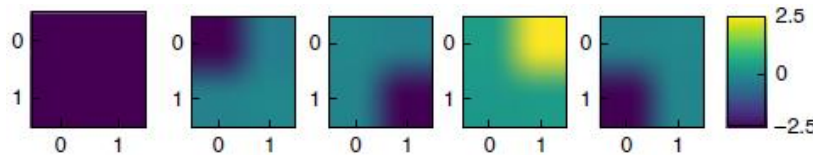
- Mutual information: a measure of the mutual dependence, correlation between two d.o.f

$$I_{\Lambda}(\mathcal{H}:\mathcal{E}) = \sum_{\mathcal{H},\mathcal{E}} P_{\Lambda}(\mathcal{E},\mathcal{H}) \log \left(\frac{P_{\Lambda}(\mathcal{E},\mathcal{H})}{P_{\Lambda}(\mathcal{H})P(\mathcal{E})} \right)$$

- Choose a subset representation \mathcal{H} of \mathcal{V} to maximize its *real-space mutual information* with the environment \mathcal{E} with a neural network:

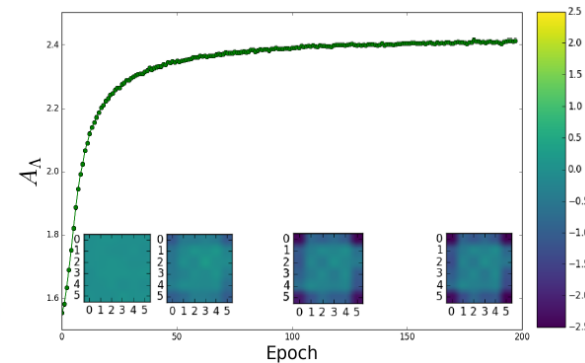
- Example: 2D Ising-model

$$H_I = \sum_{\langle i,j \rangle} s_i s_j$$

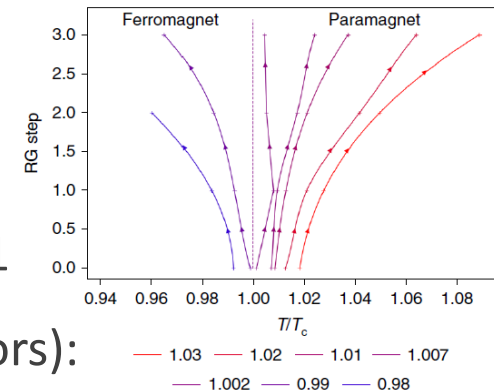
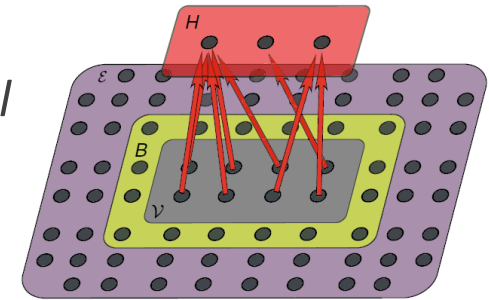


2 × 2 maps to 1

2 × 2 maps to 4



6 × 6 block spin maps to 1

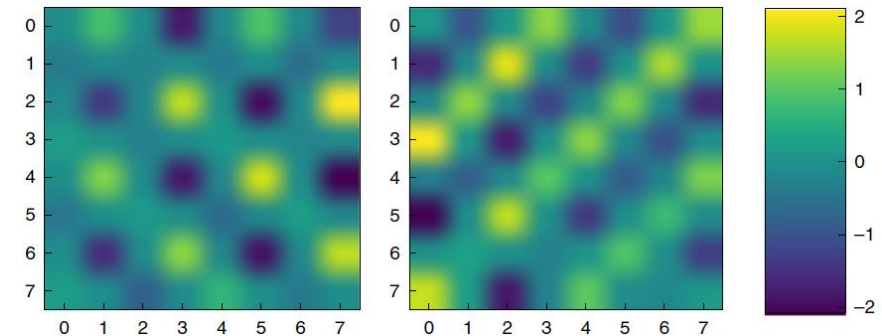
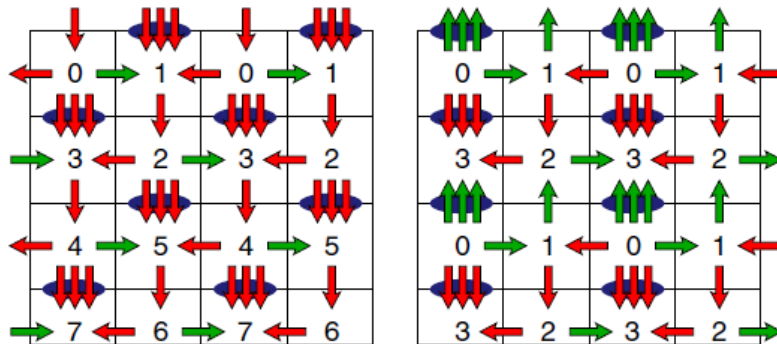
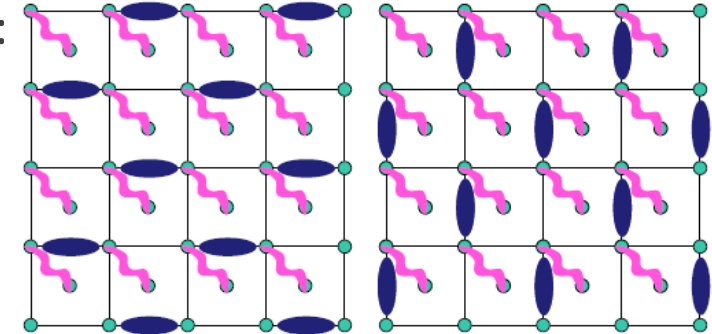


- Iterate the steps for the RG flows (temperature inferred from correlators):

Maciej Koch-Janusz, Zohar Ringel, *Nature Physics* **14**, 578–582 (2018).

Neural network assisted renormalization group

- Application on dimer model for more nontrivial relevant d.o.f:
 - Also works in the presence of local noises (irrelevant in RG)
- The true degrees of freedom (E fields) are disclosed by ANN:



maps to 2 neurons