

Introduction to Machine Learning Methods in Condensed Matter Physics

LECTURE 11, FALL 2021

Yi Zhang (张亿)

International Center for Quantum Materials, School of Physics
Peking University, Beijing, 100871, China

Email: frankzhangyi@pku.edu.cn

What is quantum machine learning?

- Why? Both quantum computation and machine learning are cutting-edge topics with a lot of interests and focuses (fundings, grants, jobs, etc.)

- (1) Application of classical Machine learning to meaningful quantum problems

➡ A distinct perspective and a useful tool




Examples: quantum phase identification, quantum compiling and control optimization, QMC speed up, renormalization group, quantum-state representation, quantum state tomography, etc.

- (2) Application of quantum methods to difficult classical problems

➡ Practical utility, but true quantum advantage is difficult to establish

Examples: Shor's factorization algorithm, quantum annealing, quantum generative adversarial network (QGAN), quantum approximate optimization algorithm (QAOA), variational quantum eigensolver (VQE), etc.

- (3) Quantum machine learning for quantum many-body problems ➡ good utility yet to be established

Data \ Method	Classical	Quantum
Classical	Classical ML	(1) 
Quantum	(2) 	(3) 

Quantum-classical hybrid algorithm for noisy intermediate-scale quantum (NISQ) computer and devices

Quantum simulation?

A new perspective for quantum many-body problem

- Solve the ground-state properties of a tight-binding Hamiltonian (Solid state physics 101):

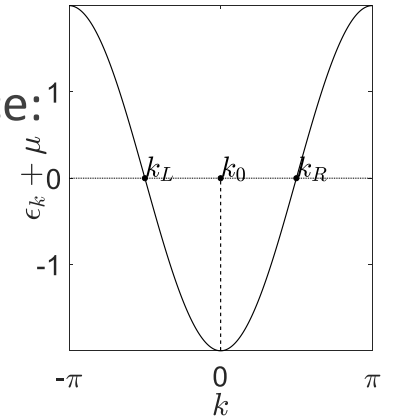
$$\hat{H} = \sum_x -t(c_{x+1}^\dagger c_x + \text{h.c.}) + \mu c_x^\dagger c_x$$

- Conventional strategy: (1) diagonalize the Hamiltonian in the momentum space:¹
and (2) fill the Fermi sea for the lowest-energy ground state:

$$|GS\rangle = \prod_{\epsilon_k + \mu < 0} c_k^\dagger |vac\rangle$$

then (3) calculate the ground-state properties as expectation values:

$$\langle GS | c_x^\dagger c_x | GS \rangle, \langle GS | c_{x+1}^\dagger c_x | GS \rangle, \text{etc.}$$



- Alternative strategy: given a quantum state with translation symmetry and a single Fermi sea:

$$\left[\begin{array}{l} |\Phi\rangle = \prod_{\substack{k_L < k < k_R \\ k_{R(L)} = k_0 \pm k_F}} c_k^\dagger |vac\rangle \\ \end{array} \right] \Rightarrow \left[\begin{array}{l} C_1 = \langle c_{x+1}^\dagger c_x \rangle = \langle c_x^\dagger c_{x-1} \rangle = \dots = k_F/\pi \\ C_0 = \langle c_x^\dagger c_x \rangle = \langle c_{x-1}^\dagger c_{x-1} \rangle = \dots = \sin(k_F) e^{ik_0/\pi} \end{array} \right] \Rightarrow \pm\pi |C_1| = \sin(\pi C_0)$$

A new perspective for quantum many-body problem

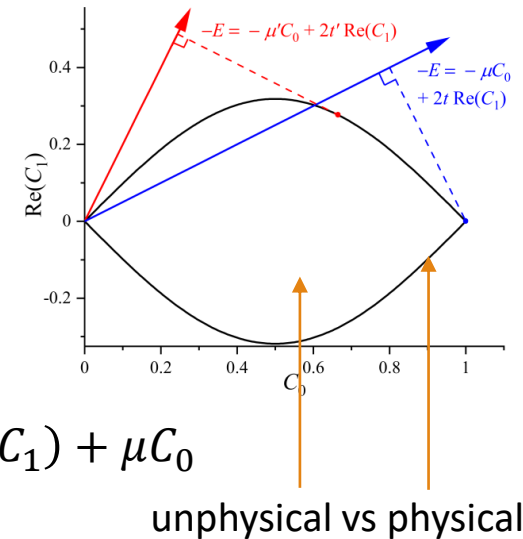
- Physical expectation values (classical number):

$$\pm\pi|C_1| = \sin(\pi C_0)$$

- For the ground state, minimize energy (Hamiltonian expectation value) with a classical constrained optimization:

$$\min \bar{E} \text{ s.t. } \pm\pi|C_1| = \sin(\pi C_0)$$

- Example: $\hat{H} = \sum_x -t(c_{x+1}^\dagger c_x + \text{h.c.}) + \mu c_x^\dagger c_x \Rightarrow \bar{E} = E/N = -2t\text{Re}(C_1) + \mu C_0$
 $t \text{ is Real} \Rightarrow \text{Im}(C_1) = 0$



- The quantum many-body system $\hat{H} \Rightarrow$ The ground-state properties $\langle \hat{\mathcal{O}} \rangle_{GS}$

$$\hat{H} \not\propto |\Phi\rangle_{GS} \Rightarrow \langle \hat{\mathcal{O}} \rangle_{GS}$$

- The Hamiltonian is also but an operator (linear combination of operators):

$$\hat{H} = \sum_i c_i \hat{\mathcal{O}}_i \Rightarrow E = \sum_i c_i \langle \hat{\mathcal{O}}_i \rangle$$

Its role?

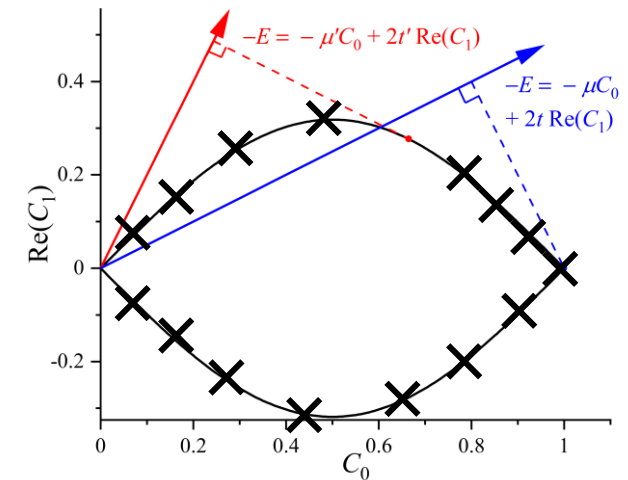
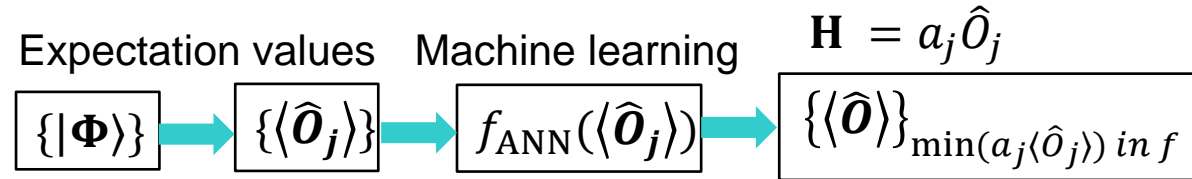
Minimum energy criteria.

Pei-lin Zheng, Si-Jing Du, YZ, arXiv: 2105.09947

Classical-machine-learning-invigorated quantum strategy

- How do we get such function $f(\langle \hat{\mathcal{O}} \rangle)$ that can distinguish physical and unphysical expectation values?

- Sample $|\Phi\rangle$ consistent with the *presumptions* of the ground states.
- Evaluate $\langle \hat{\mathcal{O}} \rangle$ as one sample, so on so forth.
- Apply supervised machine learning for an approximate $f(\langle \hat{\mathcal{O}} \rangle)$.



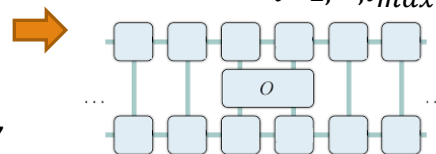
- Advantages: thermodynamic limit, strongly correlated systems, etc.

fully translation-invariant *iMPS*

$$|\Psi\rangle = \sum_{\dots, s_n, \dots} \dots M_{s_n} \dots \times |\dots, s_n, \dots\rangle$$

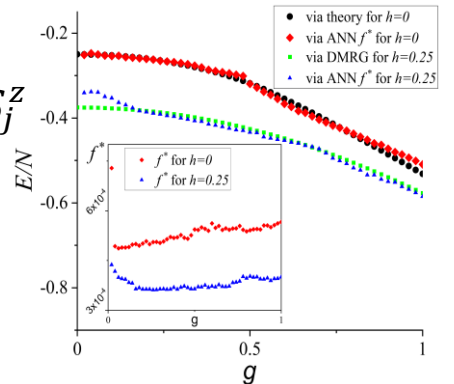
Pei-lin Zheng, Si-Jing Du, YZ, arXiv: 2105.09947

Evaluate expectation value of $S_r^\lambda, S_r^\lambda S_{r+l}^{\lambda'}$ $\Big|_{\substack{\lambda, \lambda' = x, y, z \\ l=1, \dots, l_{\max}}}$



$$H = \sum_j -JS_j^z S_{j+1}^z - gS_j^x - hS_j^z$$

$$\min_{\langle \hat{\mathcal{O}} \rangle} L = \bar{E} + \eta f^*$$



Classical-machine-learning-invigorated quantum strategy

- Another advantage: property design, for example, maximize $(C_1^{AA} - C_1^{BB})^\epsilon (C_{1/2}^{AB} + C_{-1/2}^{AB})$

Conventionally, we can search within a variational model:

$$\hat{H}_{var} = \sum_x -t(c_{x+1}^\dagger c_x + \text{h.c.}) + (-1)^x \Delta (c_{x+2}^\dagger c_x + \text{h.c.}) + \dots$$

Generally, we need a larger search space for better outcome, and solve the ground state for each attempted Hamiltonian.

- With the new perspective, we simply need to apply classical optimizations:

$$\min_{g_r, \tilde{g}_{r'}} L = -(C_1^{AA} - C_1^{BB})^\epsilon (C_{1/2}^{AB} + C_{-1/2}^{AB}) + \eta f$$

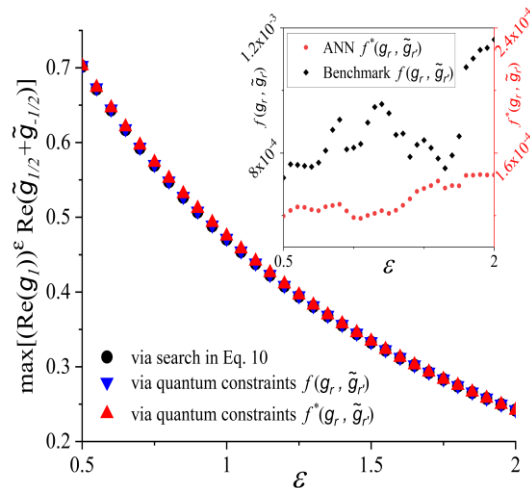
Variational strategy:
incomplete search space

→ underestimate

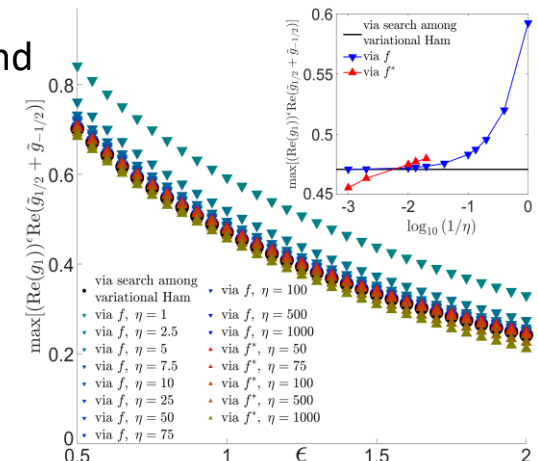
Quantum constraints strategy:
exaggerated search space

→ overestimate

Extrapolation and
impact of η :



Pei-lin Zheng, Si-Jing Du, YZ, arXiv: 2105.09947

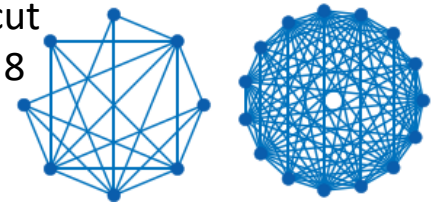


'Simple' yet difficult classical optimization problems

- Globally optimal solutions of classical spin glass problems are difficult (NP-hard):

$$\hat{H}_C = \sum_{i<j} J_{ij} \hat{\sigma}_i^z \hat{\sigma}_j^z + \sum_{i=1}^n h_i \hat{\sigma}_i^z$$

Example max-cut problems with 8 and 15 nodes:



Examples: number partition, max cut, 3-SAT, exact cover, etc.

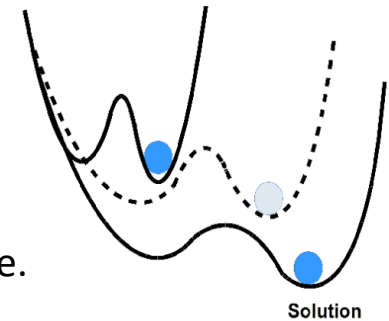
- Conventional methods: simulated annealing (Classical Monte Carlo), quantum annealing

$$H = \lambda H_C + (1 - \lambda) H_M, \lambda = 0 \rightarrow 1$$

from a trivial initial H_M with a simple ground state.

Example: $\hat{H}_M \equiv \sum_{i=1}^n \hat{\sigma}_i^x$ and $|+\rangle^{\otimes n}$

Need quantum experiment, since the real-time evolution is very expensive to calculate.



- Time evolution operator: time-independent $U(H, t) = e^{-iHt/\hbar}$
time-dependent: Trotter-Suzuki decomposition when $n \gg 1$

$$U(H, t) = \prod_{k=1}^n e^{-iH_k t/n} \Rightarrow \begin{array}{c} \text{---} \boxed{U(H_1)} \text{---} \boxed{U(H_2)} \text{---} \cdots \text{---} \boxed{U(H_N)} \text{---} \\ \text{---} \end{array}$$

Quantum annealing needs to be sufficiently slow to keep the system at ground state.

Quantum approximate optimization algorithm

- Instead of an infinite number of (time) steps, we approximate the process with p discrete steps:

$$|\psi_p(\vec{\gamma}, \vec{\beta})\rangle \equiv \hat{V}(\beta_p)\hat{U}(\gamma_p) \dots \hat{V}(\beta_1)\hat{U}(\gamma_1)|+\rangle^{\otimes n} \quad \hat{V}(\beta) \equiv e^{-i\beta\hat{H}_M} \quad \hat{U}(\gamma) \equiv e^{-i\gamma\hat{H}_C}$$

and treat it as a variational state and optimize the energy with respect to its parameters:

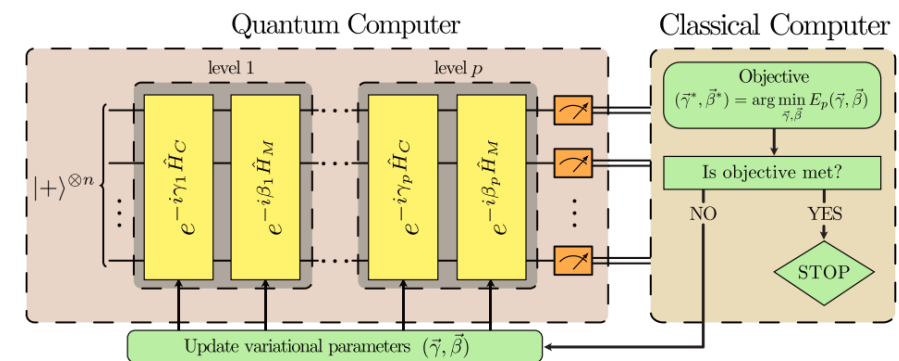
$$E_p(\vec{\gamma}, \vec{\beta}) \equiv \langle \psi_p(\vec{\gamma}, \vec{\beta}) | \hat{H}_C | \psi_p(\vec{\gamma}, \vec{\beta}) \rangle$$

$$(\vec{\gamma}^*, \vec{\beta}^*) = \arg \min_{\vec{\gamma}, \vec{\beta}} E_p(\vec{\gamma}, \vec{\beta})$$

$$\left\{ \begin{array}{l} \hat{H}_C = \sum_{i < j} J_{ij} \hat{\sigma}_i^z \hat{\sigma}_j^z + \sum_{i=1}^n h_i \hat{\sigma}_i^z \\ \hat{H}_M \equiv \sum_{i=1}^n \hat{\sigma}_i^x \end{array} \right.$$

$|\psi_p\rangle$ is a linear superposition of classical configurations, which can be measured for the optimal solution as long as it has a notable weight.

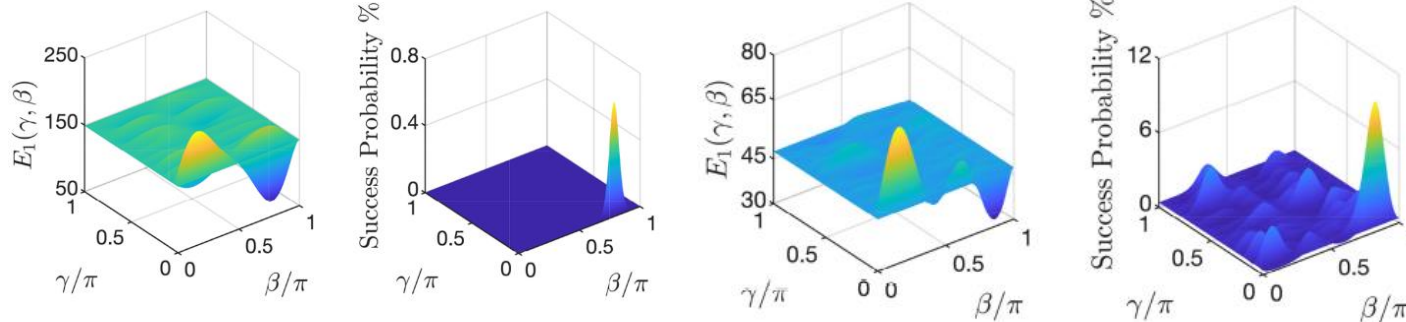
- QAOA as a hybrid algorithm:
 - Quantum computer/circuit: evaluate E_p
 - Classical computer: classical optimization problem



Edward Farhi and Jeffrey Goldstone, arXiv: 1411.4028; Pontus Vikstal, et al., Phys. Rev. Applied 14, 034009 (2020).

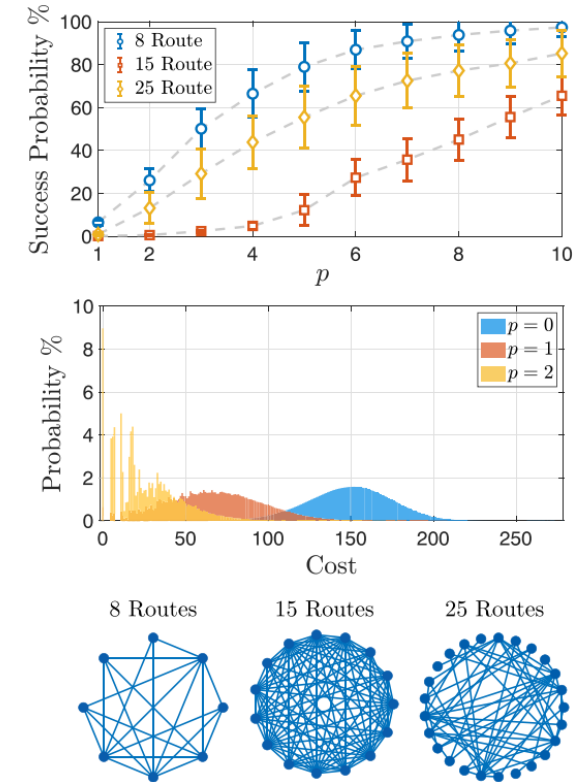
Quantum approximate optimization algorithm

- When the depth/level $p \rightarrow \infty$, we should approach the expressibility of the true solution.
- Good-enough approximation to the true solution is good enough.
- All potential solutions are considered equally in the initial state.
- When the energy is low, the true solution's weight should be high.
- The optimization problem is with respect to the parameters $\vec{\lambda}$ and $\vec{\beta}$, and can be carried out using gradient descent, etc.



Single layer ($p = 1$) QAOA's energy landscape for $n = 25$ and $n = 8$ max-cut problems

Edward Farhi and Jeffrey Goldstone, *arXiv: 1411.4028*; Pontus Vikstal, et al., *Phys. Rev. Applied* 14, 034009 (2020).



QAOA for quantum states

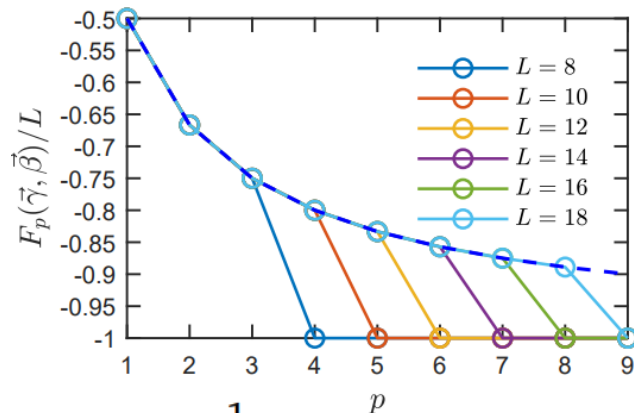
- QAOA as an efficient way for state preparation:

$$|\psi(\boldsymbol{\gamma}, \boldsymbol{\beta})\rangle_p = e^{-i\beta_p H_1} e^{-i\gamma_p H_2} \dots e^{-i\beta_1 H_1} e^{-i\gamma_1 H_2} |\psi_1\rangle \otimes |X=1\rangle$$

$$\begin{cases} H_1 = -\sum_{i=1}^L X_i \\ H_2 = -\sum_{i=1}^L Z_i Z_{i+1} \end{cases}$$

- Examples on the GHZ state and TFIM critical state:

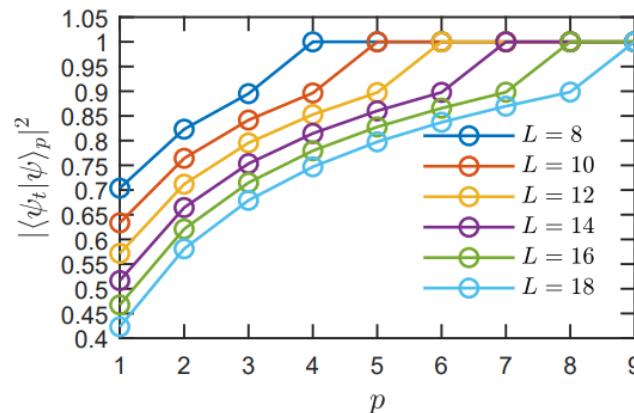
$$H_T = -\sum_{i=1}^L Z_i Z_{i+1}$$



$$|\text{GHZ}\rangle \equiv \frac{1}{\sqrt{2}}(\otimes |Z=1\rangle + \otimes |Z=-1\rangle)$$

Wen Wei Ho, Timothy H. Hsieh, SciPost Phys. 6, 029 (2019).

$$H_T = -\sum_{i=1}^L Z_i Z_{i+1} - \sum_{i=1}^L X_i$$



Duality between the Ising model and Z_2 lattice gauge theory

Also for certain topological states

However, QAOA is still approximate in general, and can be most/more useful determine ground-state properties that are discrete, robust, e.g. topological invariants.