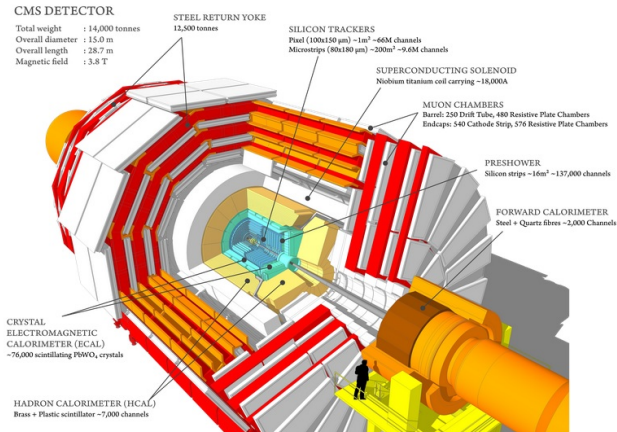


# Presentation draft

Konstantinos Papadimos

# The CMS Experiment overview

## The CMS detector at the LHC



# Coordinates at the CMS

Given the solenoid geometry of the CMS detector, it is more convenient to use a spherical type of coordinates( $r, \phi, \theta$ ).

$$\begin{aligned}p_x &= P_T \cos \phi \\p_y &= P_T \sin \phi \\p_z &= P_T \sinh \eta \\|\vec{P}| &= P_T \cosh \eta\end{aligned}\tag{1}$$

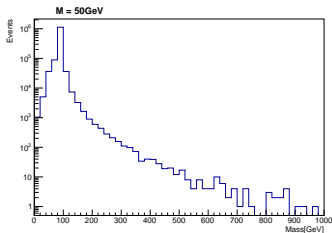
$\phi \in [0, 2\pi]$  the azimuthal angle, and  $\eta \in [-\infty, +\infty]$  is defined as:

$$\eta \equiv -\ln \left[ \tan \left( \frac{\theta}{2} \right) \right]\tag{2}$$

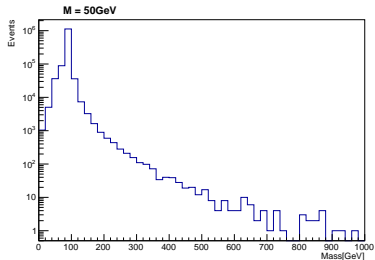
# Decays & Resonances

Not every particle can be detected by the CMS detector(i.e neutrinos)

- Detectable Decay Products  
→ Resonance



- Non Detectable Decay Products → Not a resonance



# Calibration and energy scale uncertainties

- Calibration process adjusts energy scale and resolution to match well-known resonances (Z boson, J/psi meson) in data and simulation,
- Imperfect agreement due to subdetector complexities and nonlinear effects

## How do analysis techniques respond to energy scale uncertainties ?

Our work will focus on the effects that energy scale uncertainties have, on a traditional fit-based analysis and a more modern Boosted Decision Tree-based analysis, using the generic diobject production process as the working example.

# BDT 1: Supervised Learning

## Supervised learning:

- The model is trained using training data
- The trained model is tested using testing data
- If we like the resulting model, we apply it!

## but what is this model?

- A function that given the input features  $x$ , it returns the probability  $x$  being class A
- The goal of the training is to minimize the difference between the predicted output  $y_i \in [0, 1]$  and the real output  $\hat{y}_i = 0$  class B, or  $\hat{y}_i = 1$  class A

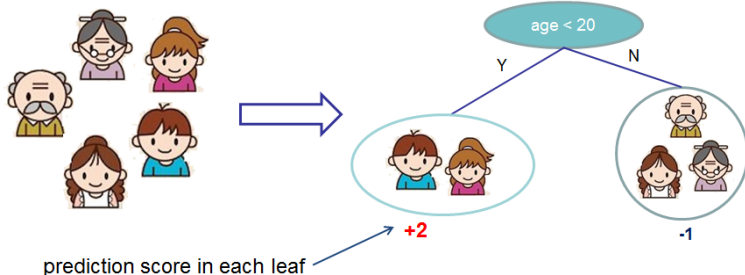
# BDT 2a: Boosted decision trees

In this study the model of choice is Boosted Decision Trees(BDT).

- It classifies data using decision tree models

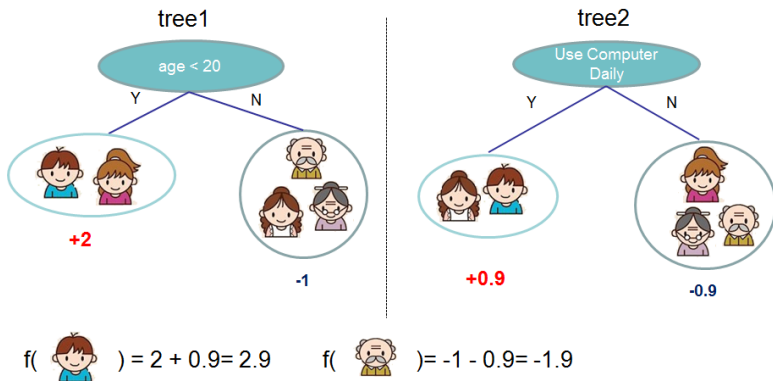
Input: age, gender, occupation, ...

Like the computer game X



# BDT 2b: Boosted Decision Trees

Usually only one tree is not power full enough  $\rightarrow$  Use more trees in additive manner(Boosting)





# BDT 3a: Signal from Background Separation

In our case:

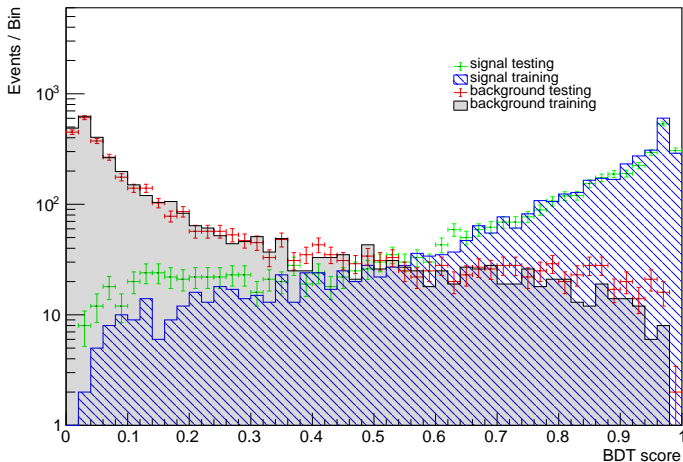
- Signal: a resonant decay  $Y \rightarrow xx$
- Background: a non resonant process

How to separate them?

- Plot the number of Signal and Background events per BDT score  $\rightarrow$  BDT histogram

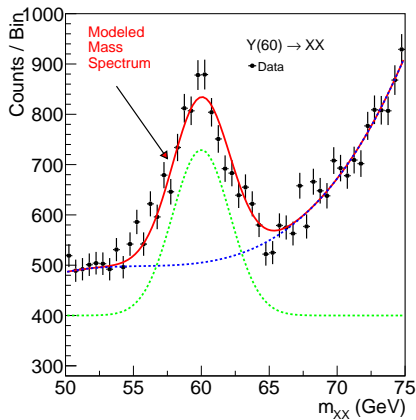
# BDT 3b: Signal from background separation

Where should we place the cut in order to accept most of the signal while rejecting most of the background?



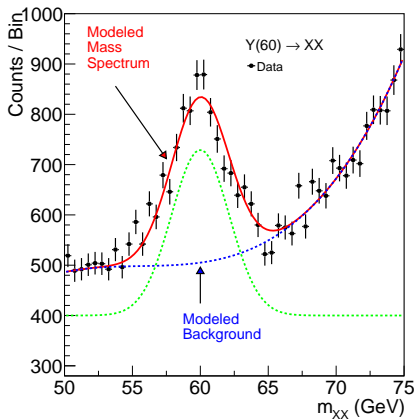
# Fit based signal from background separation

Fit the mass spectrum ...



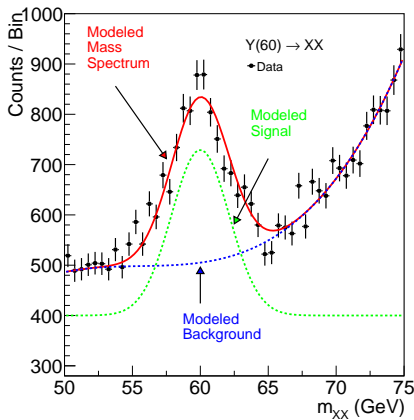
# Fit based signal from background separation

... and decompose it to a background component ...



# Fit based signal from background separation

... and a signal component



# Fit based signal from background separation

Then we can count the signal and background events, in a region of interest  $I$ :

$$O = \int_I \text{observation}(x) dx \quad (3)$$

$$B = \int_I \text{bkg}(x) dx \quad (4)$$

$$S = O - B \quad (5)$$

# Statistical interpretation of results

Are the signal events we counted, statistically significant?

- We use the following metric:

$$\text{Significance} = \frac{\text{Signal}}{\sqrt{\text{Background}}} \quad (6)$$

- The selected regions of interest both in BDT and Fit based analysis, are those that maximize the significance.

# Searches for $Y \rightarrow XX$

## Search for heavy $Y \rightarrow XX$

- Mass range from 100GeV up to 300GeV

## Search for light $Y \rightarrow XX$

- Mass range from 50GeV up to 70GeV



# The $Y \rightarrow XX$ channel

The specific characteristics(mass etc.) of each dataset is different but the main idea is the same

- Use a non resonant process for background
- Use a resonant process for signal
- Separate signal from background
- Apply energy scale uncertainties to signal
- Separate again
- Compare the nominal case with the smeared cases

# The $Y \rightarrow XX$ channel: Background

- Drell-Yan process

# The $Y \rightarrow XX$ channel: Signal

- $W \Phi \rightarrow \Pi$

# Energy scale uncertainties

To smear the data by  $x\%$ ,

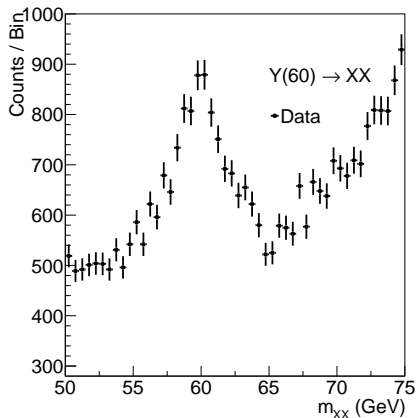
- iterate over every signal event
- multiply each  $P_T$  by a number sampled from a Gaussian distribution of  $\mu = 1$  and  $\sigma = x/100$

# Search for light $Y \rightarrow XX$

We will study the following smearing cases:

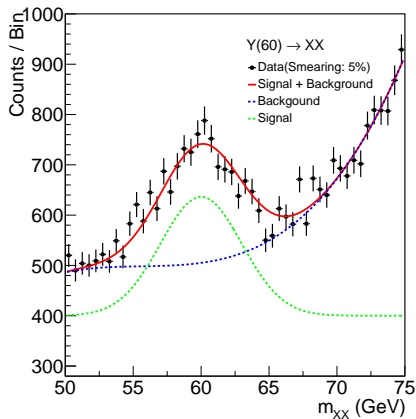
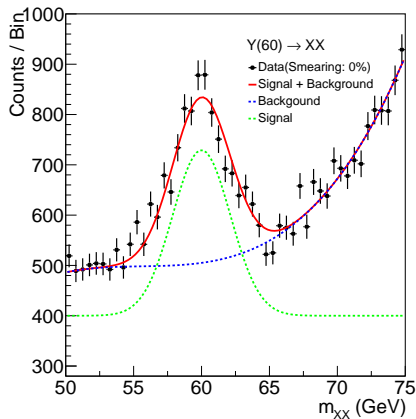
- 0%(Nominal case)
- 5%
- 7%
- 10%
- 12%

The working mass range is quite small  $\rightarrow$  smearing has a significant effect real quick

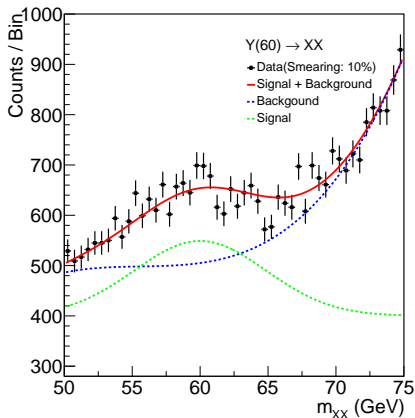
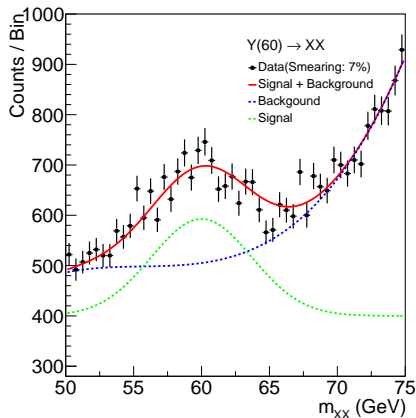


# Fit based approach: Fitting

Then we proceed with the fits!

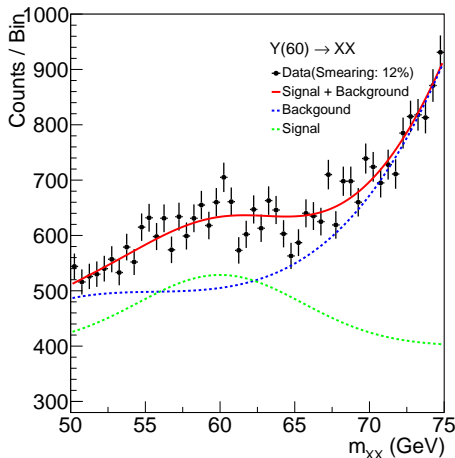


# Fit based approach: Fitting



# Fit based approach: Fitting

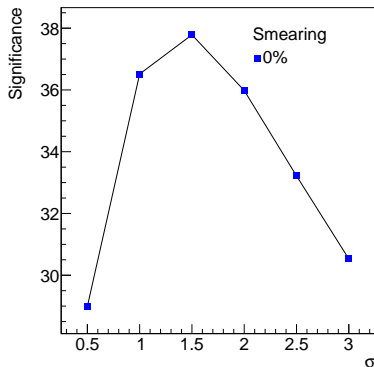
Any further smearing will make the signal indistinguishable!





# Fit based approach: Signal from background separation

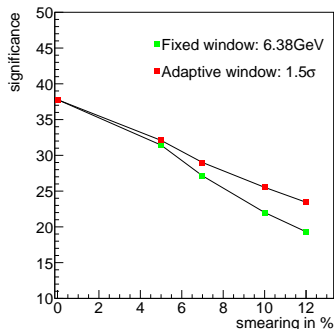
Working in the nominal case, we find the region that yields the best significance, by scanning the ranges  $m = \pm \frac{n}{2}\sigma$ ,  $n = 1, 2, 3, 4, 5, 6$



# Fit based approach: Signal from background separation

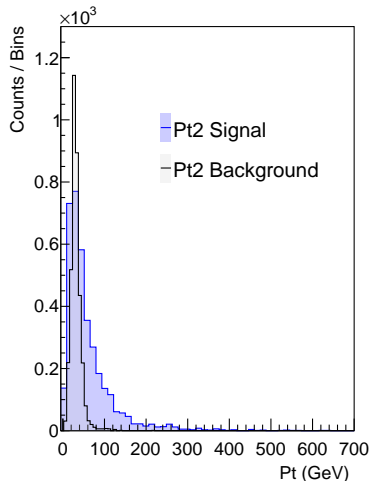
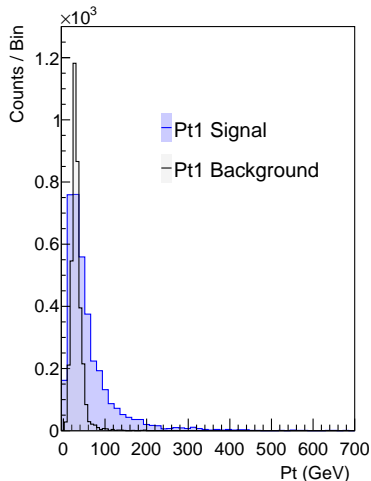
The region of interest that yields the best significance is the  $\pm 1.5\sigma$ . There are two ways to interpret this.

- interpret  $\sigma$  as the the spread of the nominal case  $\rightarrow$  fixed window
- interpret  $\sigma$  as the the spread of each cases  $\rightarrow$  adaptive window

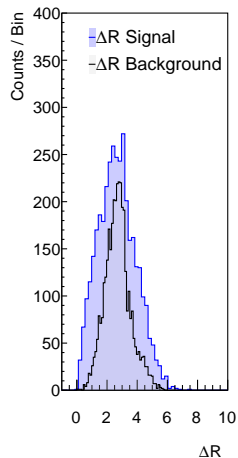
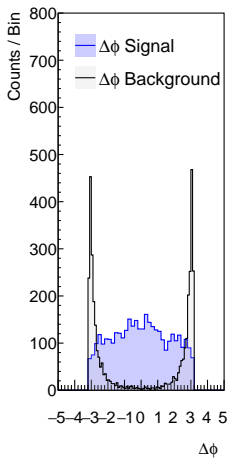
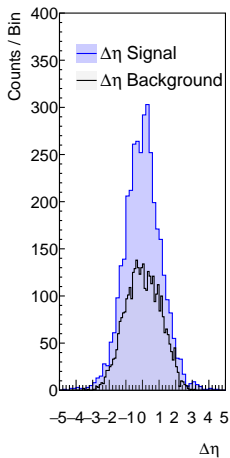


# BDT approach: Feature space

What features of the dataset are best for the classification task?

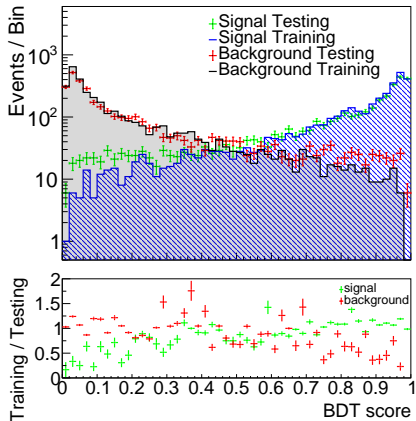


# BDT approach: Feature space



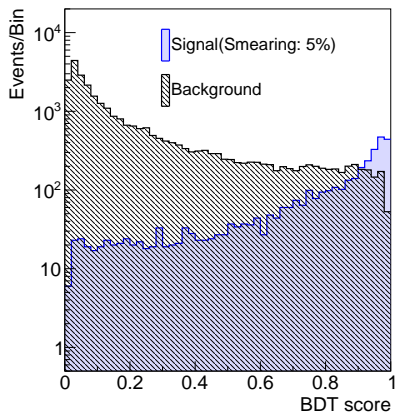
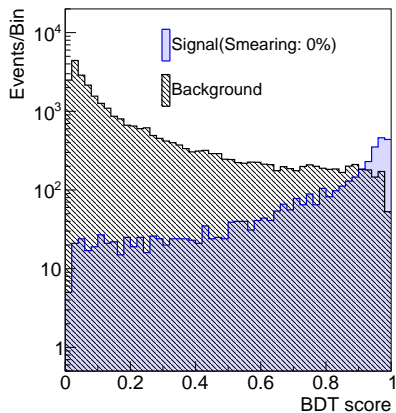
# BDT approach: The model

- Trained with approximately 3K events
- To examine overfitting we compare the ratio of training events to testing for each bdt score

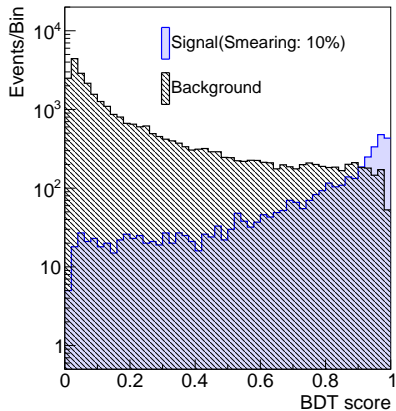
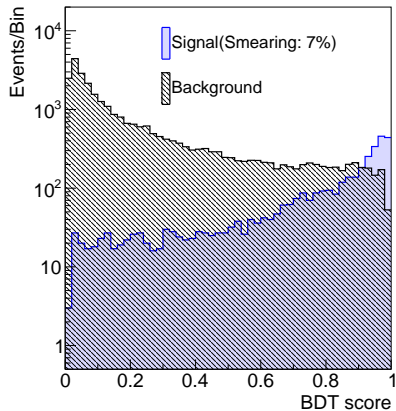


# BDT approach: Application

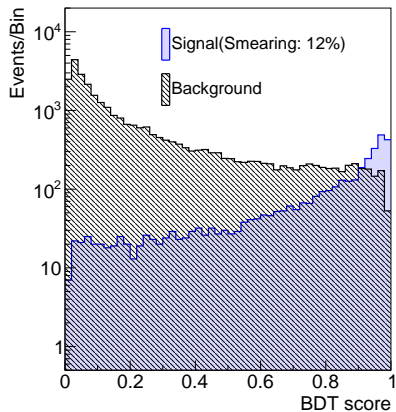
Feed the application set to the BDT  $\rightarrow$  BDT plots



# BDT approach: Application



# BDT approach: Application

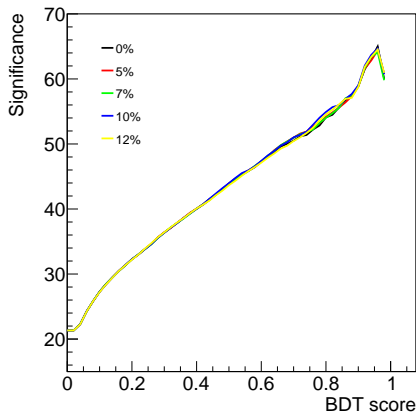




# BDT approach: Signal from background separation

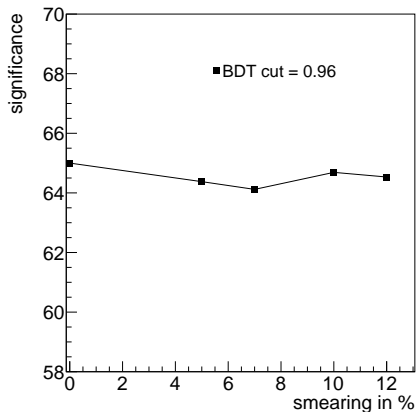
Where should we place the cut?

- Same philosophy as in the fit based search
- We scan the bdt range to find the best region of interest
- Best cut  $\rightarrow$  BDT score = 0.96.

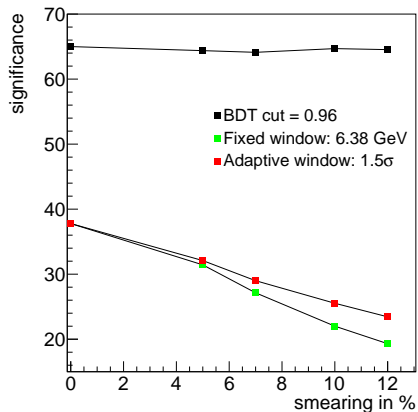


# BDT approach: Signal from background separation

- The performance of the BDT remains invariant under energy scale uncertainties!



- BDT performs better than the fit based
- Remains invariant under smearing
- Performance of the fit drops



# Search for heavy $Y \rightarrow XX$

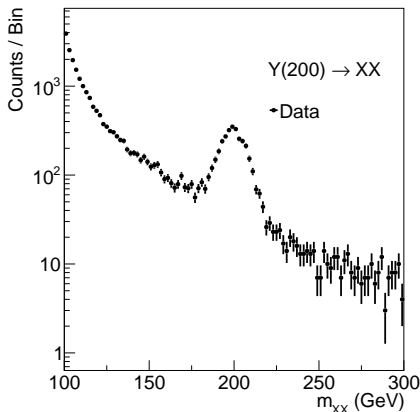
We will study the following smearing cases:

Medium to extreme cases

- 0%(Nominal case)
- 5%
- 10%
- 15%
- 20%

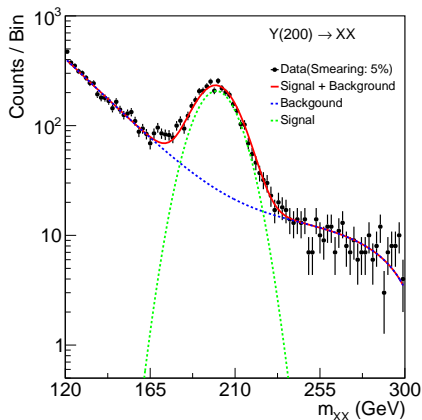
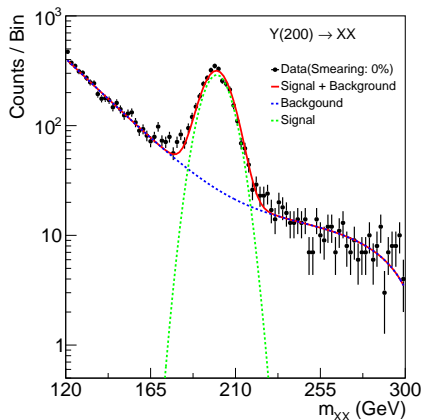
Plus some really extreme cases

- 30%
- 40%
- 50%

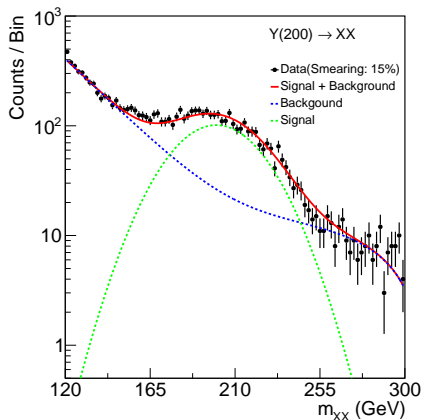
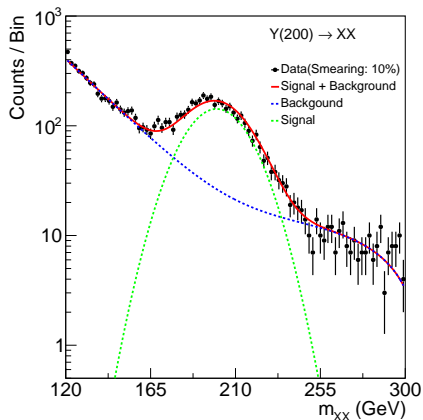


# Fit based approach: Signal Fitting

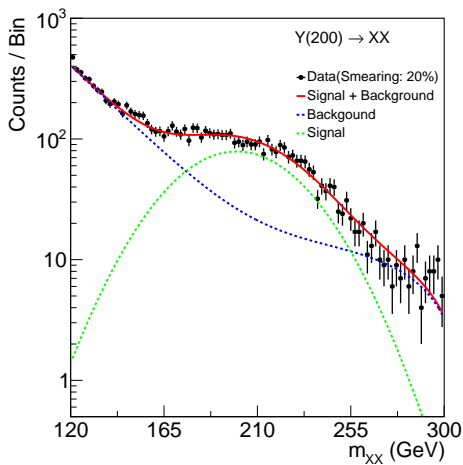
Then we proceed and fit the signal



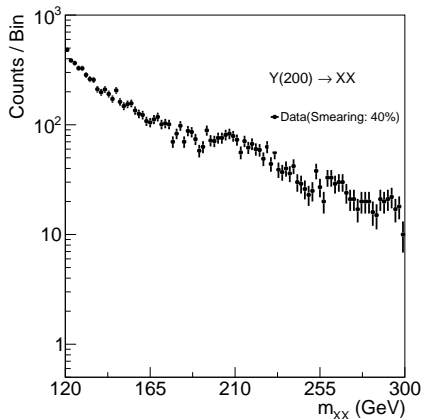
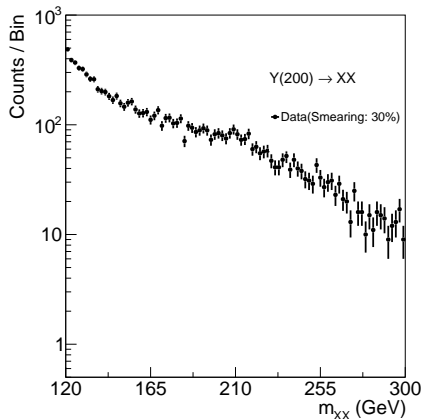
# Fit based approach: Signal Fitting



# Fit based approach: Signal Fitting

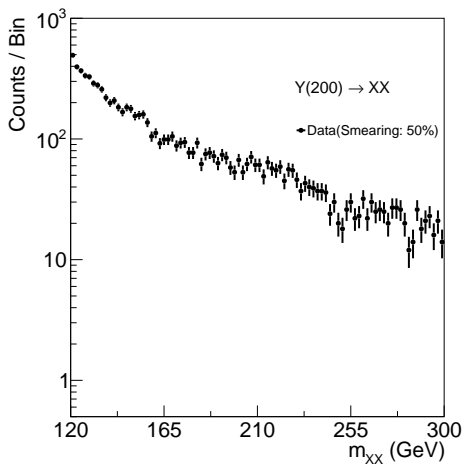


# Fit based approach: Signal Fitting



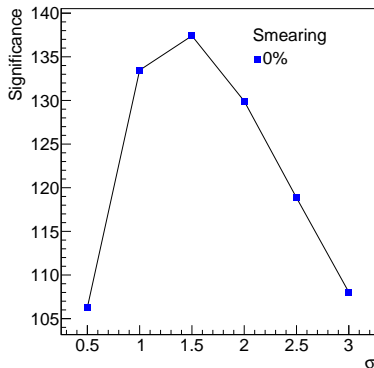


# Fit based approach: Signal Fitting



# Fit based approach: Signal from background separation

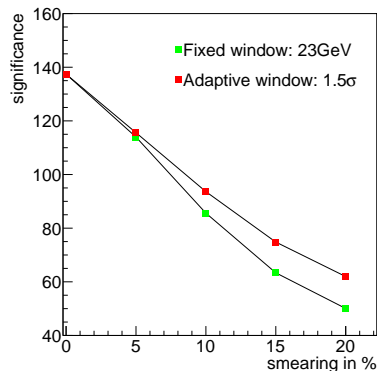
Working in the nominal case, we scan the ranges  
 $m = \pm \frac{n}{2}\sigma$ ,  $n = 1, 2, 3, 4, 5, 6$



# Fit based approach: Signal from background separation

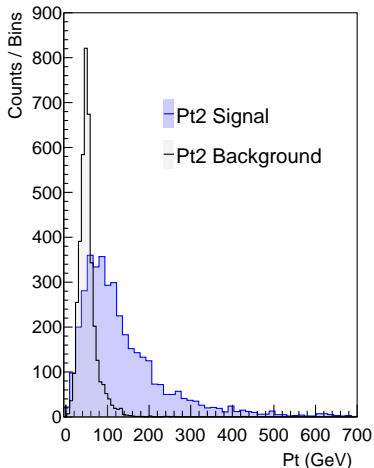
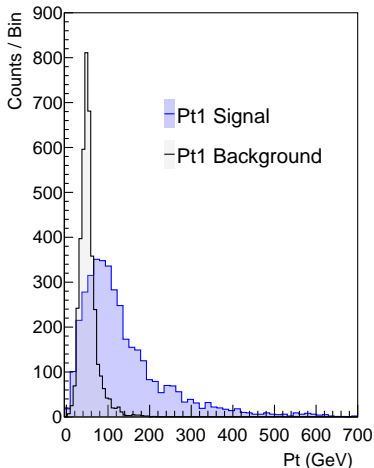
The best significance is in the  $\pm 1.5\sigma$  range.

- fixed window
- adaptive window

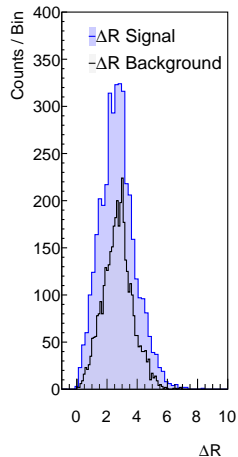
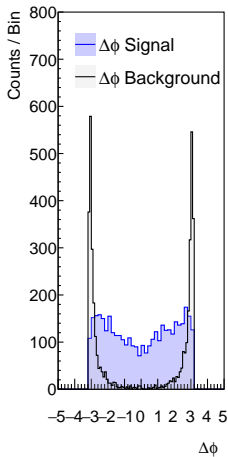
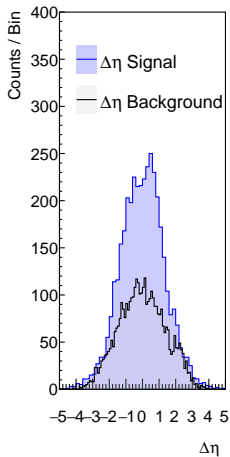


# BDT approach: Feature space

We use the same feature space as with the light mass search

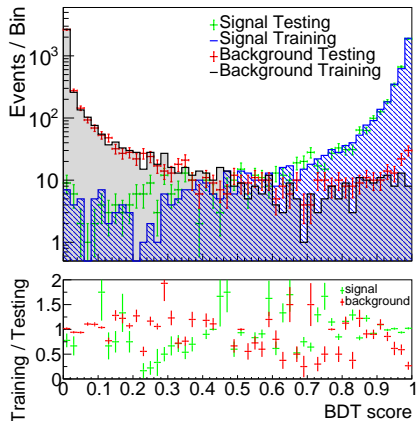


# BDT approach: Feature space



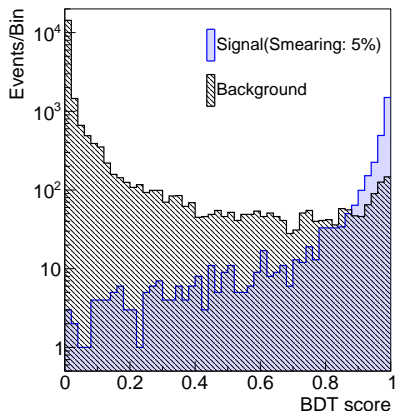
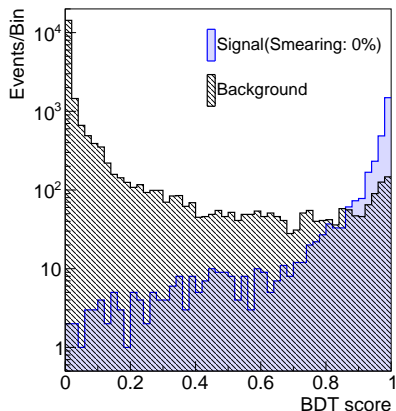
# BDT approach: The model

- Trained with approximately 3K events
- To examine overfitting we compare the ratio of training events to testing for each bdt score

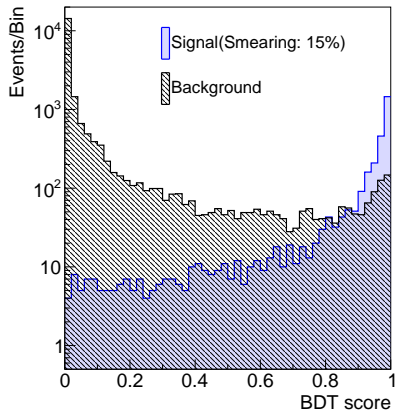
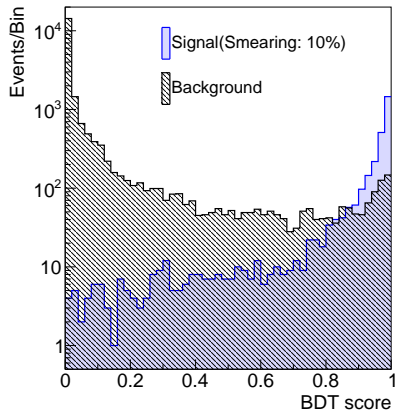


# BDT approach: Application

Feed the application set to the BDT  $\rightarrow$  BDT plots

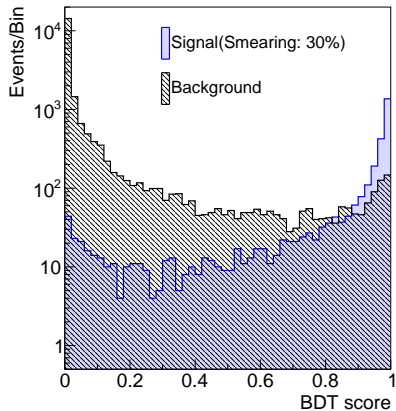
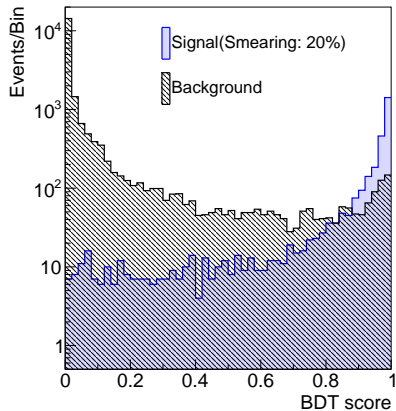


# BDT approach: Application

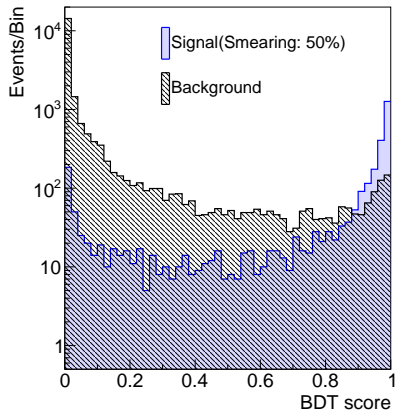
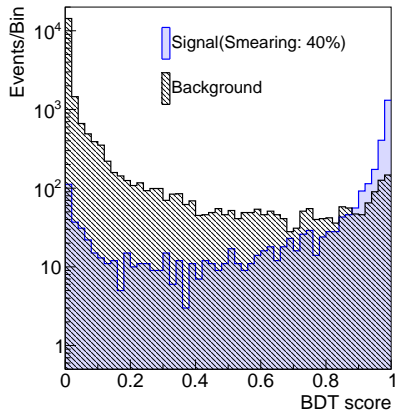




# BDT approach: Application



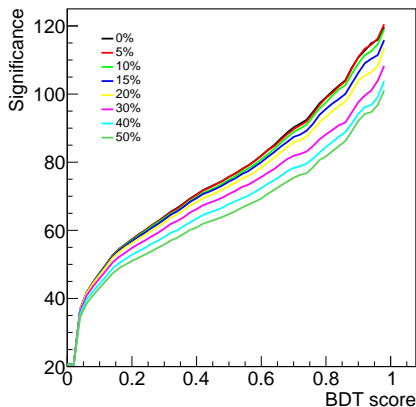
# BDT approach: Application



# BDT approach: Signal from background separation

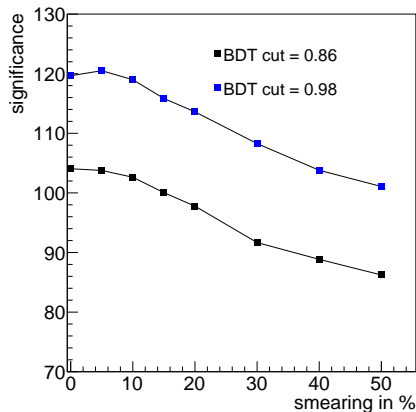
Where should we place the cut?

- We scan the whole bdt range to find the best region of interest
- Best cut  $\rightarrow$  BDT score = 0.98.
- This is rather tight, let's see what happens if we place a more relaxed cut at 0.86

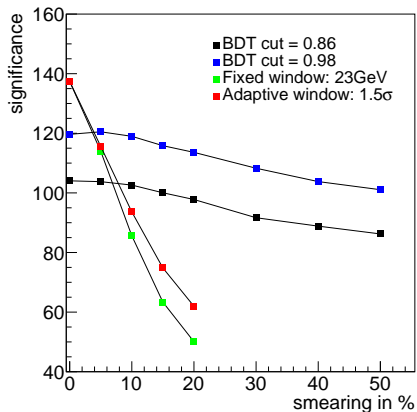


# BDT approach: Signal from background separation

- The performance of the more relaxed cut is not as good as the best cut
- The bdt model is rather robust

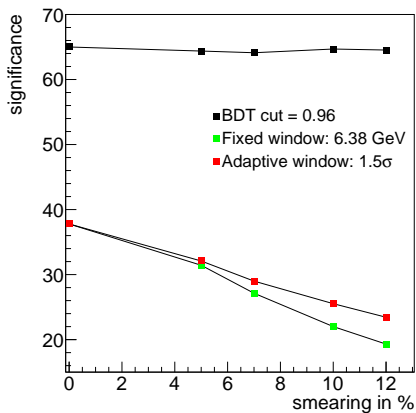


- The performance of the BDT and Fit are comparable when smearing is mild
- Fit performance drops dramatically
- BDT is more robust

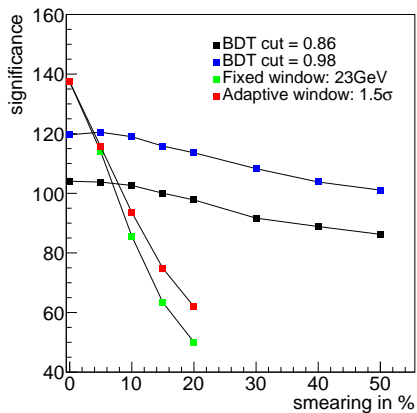


# Results

## • Light $Y \rightarrow XX$



## • Heavy $Y \rightarrow XX$



Overall, the BDT is more robust as it learns features that do not get affected by energy scale uncertainties

So is the BDT better?

- No: A more careful event selection can improve the performance of the fit based analysis
- yes: In the presence of energy scale uncertainties, the fit based analysis reaches a "breaking point"

# Unused stuff

Welcome to the backup slides!



# Search for light $Y \rightarrow XX$

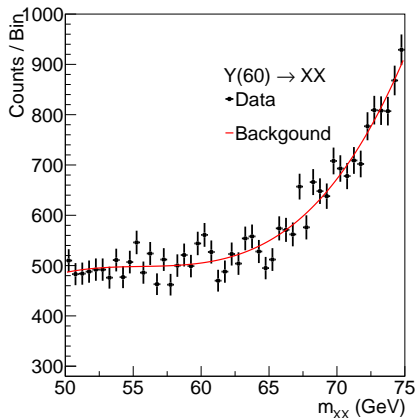
We will study the following smearing cases:

- 0%(Nominal case)
- 5%
- 7%
- 10%
- 12%

The working mass range is quite small  $\rightarrow$  smearing has a significant effect real quick

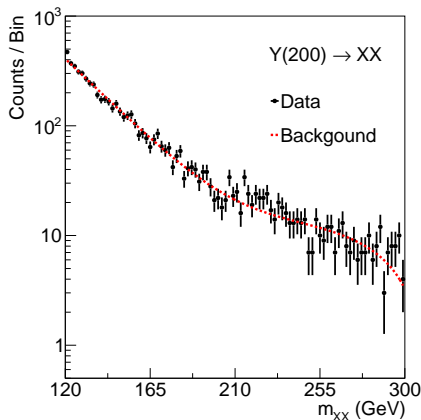
# Fit based approach: Background Fitting light

- To simplify things a bit, we fit the background separately
- The background shape is kept constant throughout the fits
- Shape:  $\alpha + \beta x + \gamma x^2 + \delta x^3$



# Fit based approach: Background Fitting

- The background shape is kept constant
- Shape:  
 $\alpha + \beta x^{-1/2} + \gamma x^{-1} + \delta x^{3/2}$



# Search for heavy $Y \rightarrow XX$

We will study the following smearing cases:

Medium to extreme cases

- 0%(Nominal case)
- 5%
- 10%
- 15%
- 20%

Plus some really extreme cases

- 30%
- 40%
- 50%