



软件分析与架构设计

# 踪迹语义

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# 踪迹语义 (trace semantics)

- ❑ **syntax**: rules to write programs of the language;
- ❑ **semantics**: defines the runtime behavior of programs that is what and how they compute when executed:
  - **trace**: sequence of events recording the actions executed during a program execution,
  - **partial trace**: finite observation of an execution; this observation can stop at any time,
  - **finite trace**: partial trace that ends upon execution termination,
  - **infinite trace**: infinite observation of an execution that never terminates,
  - **maximal trace**: finite or infinite execution trace.

# Finite traces

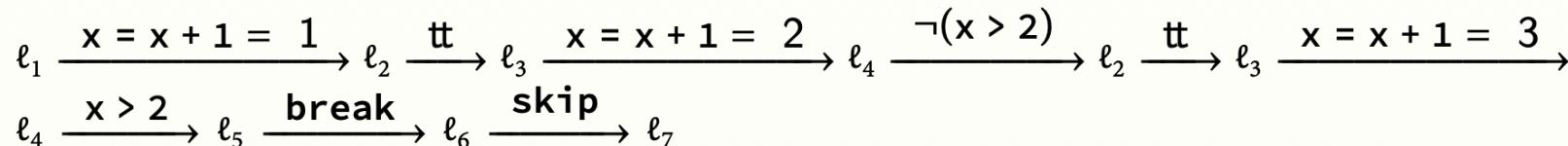
## □ Program P:

```
ℓ1 x = x + 1 ;  
while ℓ2 (tt) {  
    ℓ3 x = x + 1 ;  
    if ℓ4 (x > 2) ℓ5 break ; }ℓ6;ℓ7
```

## □ Prefix traces (initially x = 0)

- ℓ<sub>1</sub>
- ℓ<sub>1</sub>  $\xrightarrow{x = x + 1 = 1}$  ℓ<sub>2</sub>  $\xrightarrow{tt}$  ℓ<sub>3</sub>  $\xrightarrow{x = x + 1 = 2}$  ℓ<sub>4</sub>  $\xrightarrow{\neg(x > 2)}$  ℓ<sub>2</sub>  $\xrightarrow{tt}$  ℓ<sub>3</sub>

## □ Finite (maximal) traces:

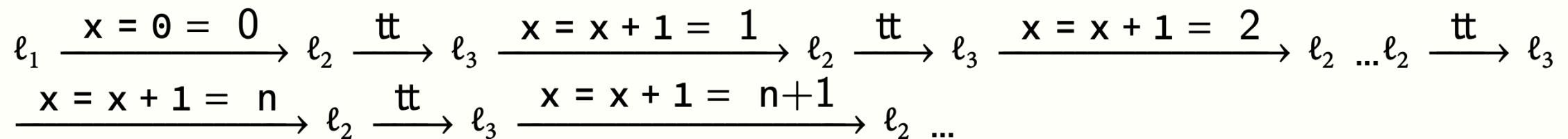


# Infinite traces

□ Program P:

$$\ell_1 \ x = 0 ; \text{while } \ell_2 (\text{tt}) \ \{ \ \ell_3 \ x = x+1 ; \ \} \ \ell_4$$

□ Infinite trace:



# Traces and Trace concatenation

## □ Traces:

- $\textcolor{blue}{T}^+$ : the set of all finite traces,
- $\textcolor{blue}{T}^\infty$ : the set of all infinite traces,
- $\textcolor{blue}{T}^{+\infty}$ : the set of all finite or infinite traces.

## □ Conventions:

- $\pi = \textcolor{blue}{l} \pi'$  : trace  $\pi$  is assumed to start with the program label  $\textcolor{blue}{l}$
- $\pi = \pi' l$  : trace  $\pi$  is finite and ends with label  $\textcolor{blue}{l}$
- Note,  $\pi'$  is not itself a properly formed trace)

## □ Trace concatenation:

$$\begin{array}{lll} \pi_1 \ell_1 \cdot \ell_2 \pi_2 & & \text{undefined if } \ell_1 \neq \ell_2 \\ \pi_1 \ell_1 \cdot \ell_1 \pi_2 & \triangleq & \pi_1 \ell_1 \pi_2 & \text{if } \pi_1 \text{ is finite} \\ \pi_1 \cdot \pi_2 & \triangleq & \pi_1 & \text{if } \pi_1 \text{ is infinite} \end{array}$$

- Empty trace  $\exists$ : e.g.,  $\textcolor{blue}{l} \pi^{l'} = l$ , then  $\pi = \exists$  and  $l = l'$

# Values of variables on a trace

- $\varrho(\pi)x$  : **the value of variable  $x$  at the end of trace  $\pi$** 
  - is the last value assigned to  $x$  (or 0 at initialization)

$$\varrho(\pi^\ell \xrightarrow{x = A = v} \ell')x \triangleq v$$

$$\varrho(\pi^\ell \xrightarrow{\dots} \ell')x \triangleq \varrho(\pi^\ell) \text{ otherwise}$$

$$\varrho(\ell)x \triangleq 0$$

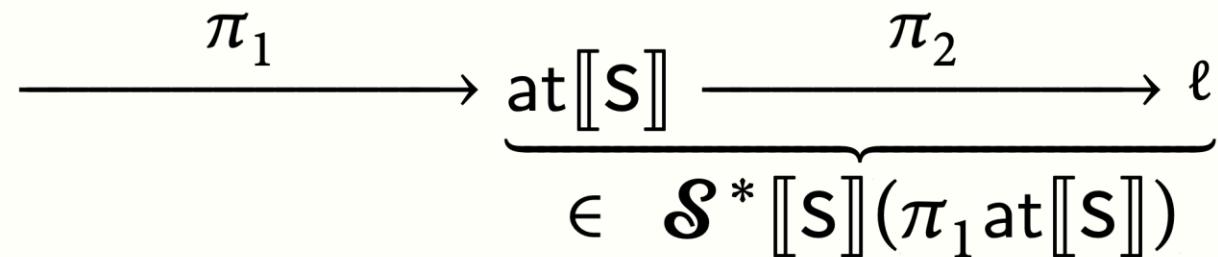
# Prefix trace semantics

◻  $\pi_1 \text{at}[\![S]\!]$ :

- an initialization trace ending on entry  $\text{at}[\![S]\!]$  of statement  $S$ .

◻  $\mathcal{S}^*[\![S]\!](\pi_1 \text{at}[\![S]\!])$ :

- the set of prefix traces  $\text{at}[\![S]\!]\pi_2^\ell$  of  $S$  continuing the trace  $\pi_1 \text{at}[\![S]\!]$  and reaching some program label  $\ell \in \text{labx}[\![S]\!]$ .



- By convention  $\mathcal{S}^*[\![S]\!](\pi_1^\ell) = \emptyset$  when  $\ell \neq \text{at}[\![S]\!]$ .

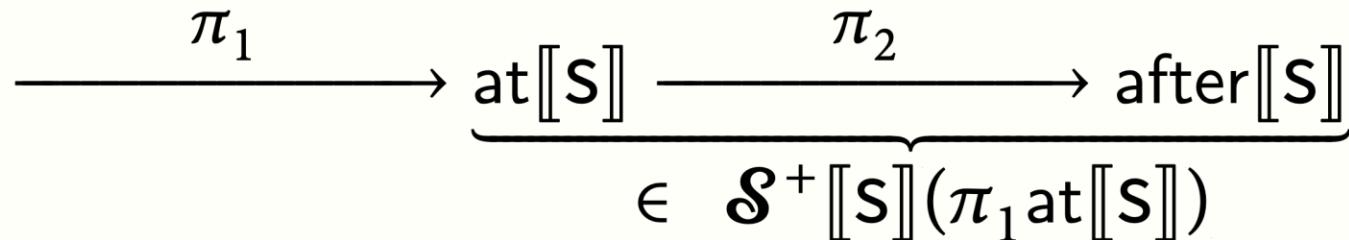
# Maximal finite trace semantics

◻  $\pi_1 \text{at}[\![S]\!]$ :

- an initialization trace ending on entry  $\text{at}[\![S]\!]$  of statement  $S$

◻  $\mathcal{S}^+[\![S]\!](\pi_1 \text{at}[\![S]\!])$ :

- is the set of maximal finite traces  $\text{at}[\![S]\!] \pi_2 \text{after}[\![S]\!]$  of  $S$  continuing the trace  $\pi_1 \text{at}[\![S]\!]$  and reaching  $\text{after}[\![S]\!]$



- Formally,  $\mathcal{S}^+[\![S]\!](\pi_1 \text{at}[\![S]\!]) \triangleq \{\pi_2^\ell \in \mathcal{S}^*[\![S]\!](\pi_1 \text{at}[\![S]\!]) \mid \ell = \text{after}[\![S]\!]\}$

# Structural prefix trace semantics

## □ Structural prefix trace semantics $\widehat{\mathcal{S}}^*[\![S]\!]$ :

➤ S is a program component

*Prefix trace at a program component S*

$$\pi_2 \in \widehat{\mathcal{S}}^*[\![S]\!](\pi_1)$$

$$\bullet \quad \frac{}{\text{at}[\![S]\!] \in \widehat{\mathcal{S}}^*[\![S]\!](\pi_1 \text{at}[\![S]\!])}$$

- the prologue trace  $\pi_1$  terminates at  $\text{at}[\![S]\!]$
- the continuation trace  $\pi_2$  starts at  $\text{at}[\![S]\!]$

*Prefix traces of an empty statement list  $\text{sl} ::= \epsilon$*

$$\bullet \quad \frac{}{\text{at}[\![\text{sl}]\!] \in \widehat{\mathcal{S}}^*[\![\text{sl}]\!](\pi \text{at}[\![\text{sl}]\!])}$$

# Structural prefix trace semantics of stmt

Prefix traces of an empty statement list  $\text{sl} ::= \epsilon$

Prefix traces of a break statement  $s ::= \ell \text{ break ;}$

$$\boxed{\text{at}[\text{sl}] \in \widehat{\mathcal{S}}^*[\text{sl}](\pi \text{at}[\text{sl}])}$$

$$\boxed{\ell \xrightarrow{\text{break}} \text{break-to}[s] \in \widehat{\mathcal{S}}^*[s](\pi^\ell)}$$

Prefix traces of an assignment statement  $s ::= \ell \ x = A ;$

Prefix traces of a skip statement  $s ::= \ell ;$

$$\boxed{\frac{v = \mathcal{A}[A]\varrho(\pi^\ell)}{\ell \xrightarrow{x = A = v} \text{after}[s] \in \widehat{\mathcal{S}}^*[s](\pi^\ell)}}$$

$$\boxed{\ell \xrightarrow{\text{skip}} \text{after}[s] \in \widehat{\mathcal{S}}^*[s](\pi^\ell)}$$

# Structural inference rules

*Prefix traces of a program  $P ::= \text{sl}^\ell$*

$$\boxed{\frac{\pi_2 \in \widehat{\mathcal{S}}^*[\text{sl}](\pi_1 \text{at}[\text{sl}])}{\pi_2 \in \widehat{\mathcal{S}}^*[\text{P}](\pi_1 \text{at}[\text{P}])}}$$

*Prefix traces of a compound statement  $S ::= \{ \text{sl} \}$*

$$\boxed{\frac{\pi_2 \in \widehat{\mathcal{S}}^*[\text{sl}](\pi_1)}{\pi_2 \in \widehat{\mathcal{S}}^*[\text{S}](\pi_1)}}$$

*Prefix traces of a conditional statement  $S ::= \text{if } \ell \text{ (B) } S_t$*

$$\boxed{\frac{\mathcal{B}[\text{B}]\varrho(\pi_1^\ell) = \text{ff}}{\ell \xrightarrow{\neg(\text{B})} \text{after}[\text{S}] \in \widehat{\mathcal{S}}^*[\text{S}](\pi_1^\ell)}}$$

$$\boxed{\frac{\mathcal{B}[\text{B}]\varrho(\pi_1^\ell) = \text{tt}, \quad \pi_2 \in \widehat{\mathcal{S}}^*[\text{S}_t](\pi_1^\ell \xrightarrow{\text{B}} \text{at}[\text{S}_t])}{\ell \xrightarrow{\text{B}} \text{at}[\text{S}_t] \curvearrowright \pi_2 \in \widehat{\mathcal{S}}^*[\text{S}](\pi_1^\ell)}}$$

*Prefix traces of a conditional statement  $S ::= \text{if } \ell \text{ (B) } S_t \text{ else } S_f$*

$$\boxed{\frac{\mathcal{B}[\text{B}]\varrho(\pi_1^\ell) = \text{tt}, \quad \pi_2 \in \widehat{\mathcal{S}}^*[\text{S}_t](\pi_1^\ell \xrightarrow{\text{B}} \text{at}[\text{S}_t])}{\ell \xrightarrow{\text{B}} \text{at}[\text{S}_t] \curvearrowright \pi_2 \in \widehat{\mathcal{S}}^*[\text{S}](\pi_1^\ell)}}$$

$$\boxed{\frac{\mathcal{B}[\text{B}]\varrho(\pi_1^\ell) = \text{ff}, \quad \pi_2 \in \widehat{\mathcal{S}}^*[\text{S}_f](\pi_1^\ell \xrightarrow{\neg(\text{B})} \text{at}[\text{S}_f])}{\ell \xrightarrow{\neg(\text{B})} \text{at}[\text{S}_f] \curvearrowright \pi_2 \in \widehat{\mathcal{S}}^*[\text{S}](\pi_1^\ell)}}$$

# Structural inference rules

Prefix traces of a statement list  $sl ::= sl' s$

- $\frac{\pi_2 \in \widehat{\mathcal{S}}^* [sl']( \pi_1 )}{\pi_2 \in \widehat{\mathcal{S}}^* [sl] ( \pi_1 )}$
  - $\frac{\pi_2 \in \widehat{\mathcal{S}}^+ [sl'] ( \pi_1 ), \quad \pi_3 \in \widehat{\mathcal{S}}^* [s] ( \pi_1 \cdot \pi_2 )}{\pi_2 \cdot \pi_3 \in \widehat{\mathcal{S}}^* [sl] ( \pi_1 )}$
- 

Prefix traces of an iteration statement  $s ::= \text{while } \ell (B) S_b$

- $\ell \in \widehat{\mathcal{S}}^* [s] ( \pi_1^\ell )$
- $\frac{\ell \pi_2^\ell \in \widehat{\mathcal{S}}^* [s] ( \pi_1^\ell ), \quad \mathcal{B}[B]\varrho(\pi_1^\ell \pi_2^\ell) = ff}{\ell \pi_2^\ell \xrightarrow{\neg(B)} \text{after}[s] \in \widehat{\mathcal{S}}^* [s] ( \pi_1^\ell )}$
- $\frac{\ell \pi_2^\ell \in \widehat{\mathcal{S}}^* [s] ( \pi_1^\ell ), \quad \mathcal{B}[B]\varrho(\pi_1^\ell \pi_2^\ell) = tt, \quad \pi_3 \in \widehat{\mathcal{S}}^* [S_b] (\pi_1^\ell \pi_2^\ell \xrightarrow{B} \text{at}[S_b])}{\ell \pi_2^\ell \xrightarrow{B} \text{at}[S_b] \cdot \pi_3 \xrightarrow{\text{break}} \text{break-to}[s] \in \widehat{\mathcal{S}}^* [s] ( \pi_1^\ell )}$

# Prefix trace semantics

□ The prefix trace semantics is defined structurally:

$$\mathcal{S}^*[S] \triangleq \hat{\mathcal{S}}^*[S]$$

□ The prefix traces starting from a set  $\mathcal{R}_0$  of initial traces are

$$\mathcal{S}^*[S]\mathcal{R}_0 \triangleq \bigcup\{\mathcal{S}^*[S](\pi^\ell) \mid \pi^\ell \in \mathcal{R}_0\}$$

□ The prefix traces starting from a set  $\mathcal{R}_0$  of initial traces and arriving at program label  $\ell$  are:

$$\mathcal{S}^*[S] \in \wp(\mathbb{T}^+) \longrightarrow (\mathbb{L} \rightarrow \wp(\mathbb{T}^+))$$

$$\mathcal{S}^*[S]\mathcal{R}_0^\ell \triangleq \{\pi_0^{\ell_0}\pi_1^{\ell_1} \mid \pi_0^{\ell_0} \in \mathcal{R}_0 \wedge \ell_0\pi_1^{\ell_1} \in \mathcal{S}^*[S](\pi_0^{\ell_0}) \wedge \ell_1 = \ell\}$$

# Example of prefix trace semantics

- $S = \text{while } \ell_1 (\text{tt}) \ell_2 x = x + 1 ; \ell_3.$
- $\widehat{\mathcal{S}}^* [\![S]\!](\ell_1) = \left\{ \left( \ell_1 \xrightarrow{\text{tt}} \ell_2 \xrightarrow{x=i} \ell_1 \right)_{i=1}^n, \left( \ell_1 \xrightarrow{\text{tt}} \ell_2 \xrightarrow{x=i} \ell_1 \right)_{i=1}^n \xrightarrow{\text{tt}} \ell_2 \mid n \in \mathbb{N} \right\}$   
(reduced to  $\ell_1$  for  $n = 0$ ).

## □ Notation:

- $\left( \ell \pi(i) \ell \right)_{i=1}^n$  denotes the finite trace  $\ell \pi(1) \ell \pi(2) \ell \dots \pi(n) \ell$ . This is the trace  $\ell$  for  $n = 0$ .
- $\left( \ell \pi(i) \ell \right)_{i=1}^\infty$  denotes the infinite trace  $\ell \pi(1) \ell \pi(2) \ell \dots \pi(n) \ell \pi(n+1) \ell \dots$

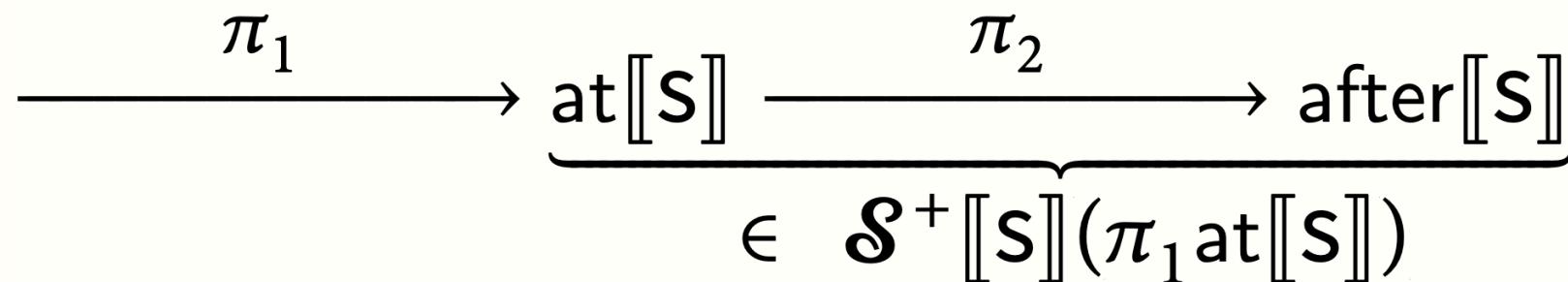
# Finite maximal trace semantics

$\square \mathcal{S}^+[\![S]\!](\pi_1 \text{at}[\![S]\!]):$

➤ The set of maximal finite traces  $\text{at}[\![S]\!]\pi_2 \text{after}[\![S]\!]$  of  $S$  continuing the trace  $\pi_1 \text{at}[\![S]\!]$  and reaching  $\text{after}[\![S]\!]$

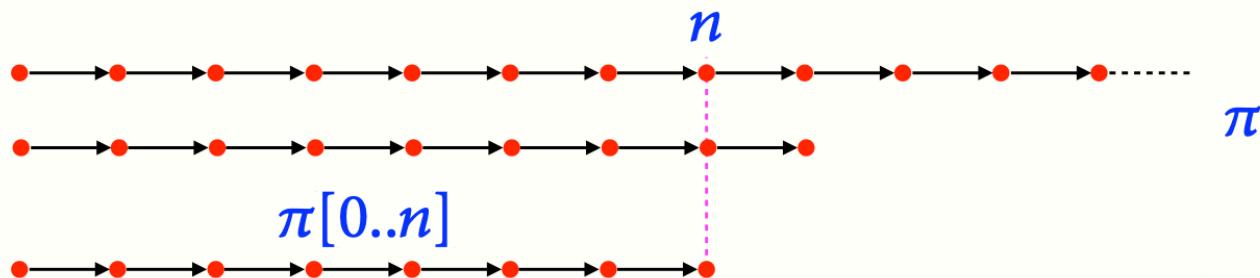
- $\mathcal{S}^+[\![s]\!](\pi_1 \text{at}[\![s]\!]) \triangleq \{\pi_2^\ell \in \mathcal{S}^*[\![s]\!](\pi_1 \text{at}[\![s]\!]) \mid \ell = \text{after}[\![s]\!]\}$
- $\mathcal{S}^+[\![s]\!](\pi_1^\ell) = \emptyset \quad \text{when } \ell \neq \text{at}[\![s]\!]$

➤ Schematically,



# Prefixes of a trace

- If  $\pi = \ell_0 \xrightarrow{e_0} \dots \ell_i \xrightarrow{e_i} \dots \ell_n$  is a finite trace then its prefix  $\pi[0..p]$  at  $p$  is
  - $\pi$  when  $p \geq n$
  - $\ell_0 \xrightarrow{e_0} \dots \ell_j \xrightarrow{e_j} \dots \ell_p$  when  $0 \leq p \leq n$ .
- If  $\pi = \ell_0 \xrightarrow{e_0} \dots \ell_i \xrightarrow{e_i} \dots$  is an infinite trace then its prefix  $\pi[0..p]$  at  $p$  is  $\ell_0 \xrightarrow{e_0} \dots \ell_j \xrightarrow{e_j} \dots \ell_p$ .



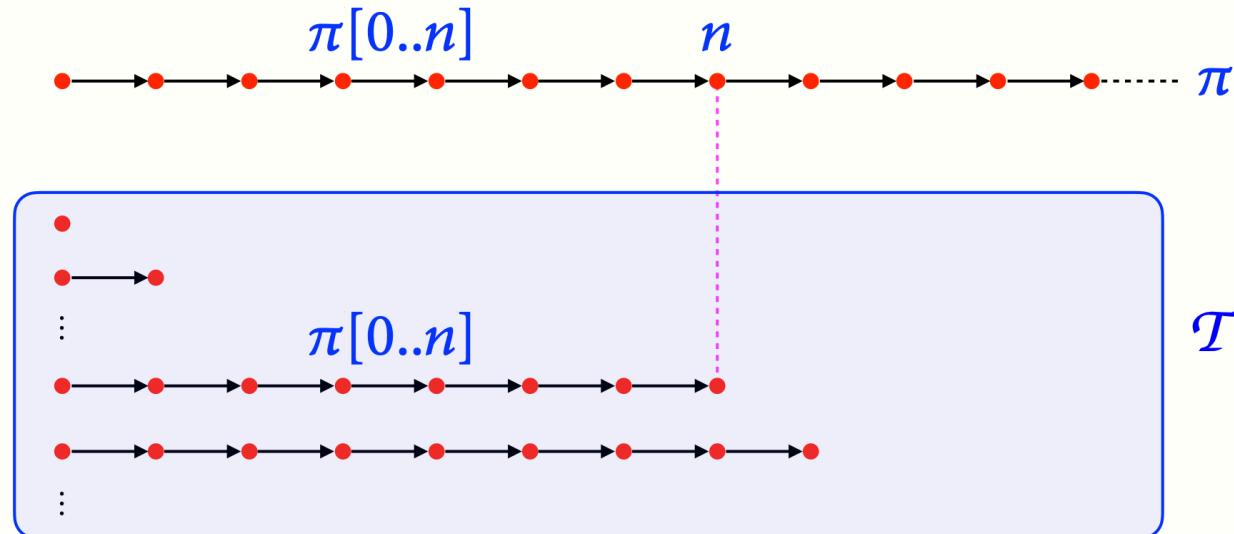
# Limit of prefix traces

## □ Limit of a set of finite traces:

- Is the set of infinite traces which prefixes are traces in this set

$$\lim \mathcal{T} \triangleq \{\pi \in \mathbb{T}^\infty \mid \forall n \in \mathbb{N} . \pi[0..n] \in \mathcal{T}\} \quad \lim \emptyset = \emptyset$$

- Requires the set to be prefix closed



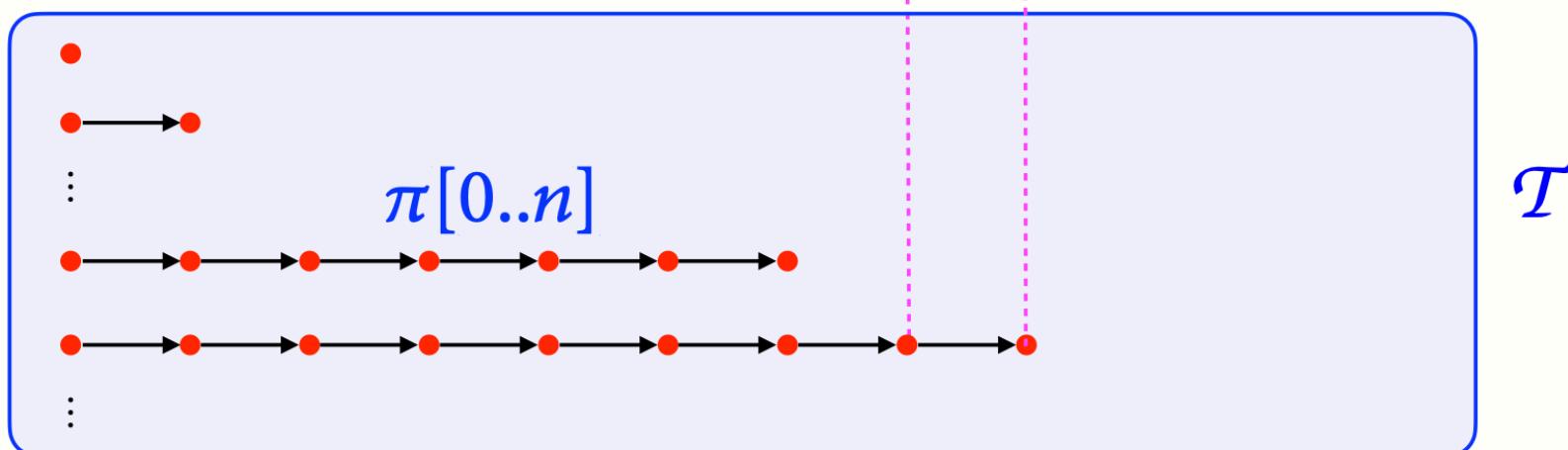
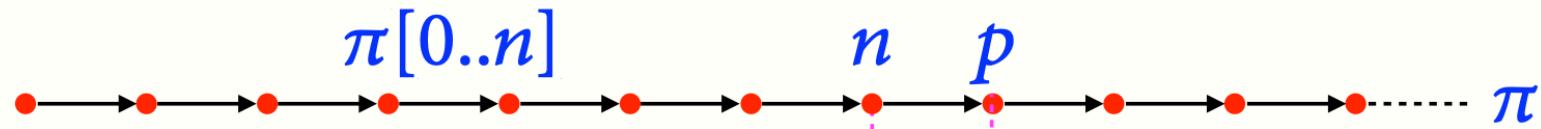
# Example I of limit of prefix traces

- The prefix semantics of the program  $s = \text{while } \ell_1 (\text{tt}) \ \ell_2 \ x = x + 1 ; \ell_3$  is
$$\mathcal{S}^*[\![s]\!](\ell_1) = \left\{ \left( \ell_1 \xrightarrow{\text{tt}} \ell_2 \xrightarrow{x=i} \ell_1 \right)_{i=1}^n, \left( \ell_1 \xrightarrow{\text{tt}} \ell_2 \xrightarrow{x=i} \ell_1 \right)_{i=1}^n \xrightarrow{\text{tt}} \ell_2 \mid n \in \mathbb{N} \right\}.$$
- Its limit is  $\lim(\mathcal{S}^*[\![s]\!](\ell_1)) = \{\pi\}$  where the infinite trace is  $\pi = \left( \ell_1 \xrightarrow{\text{tt}} \ell_2 \xrightarrow{x=i} \right)_{i=1}^\infty$ .
- All prefixes of  $\pi$  belong to  $\mathcal{S}^*[\![s]\!](\ell_1)$ .

# Limit of prefix traces (II)

□ Limit  $\lim \mathcal{T}$  as the set of infinite traces which prefixes can be extended to a trace in  $\mathcal{T}$ :

$$\lim \mathcal{T} \triangleq \{\pi \in \mathbb{T}^\infty \mid \forall n \in \mathbb{N} . \exists p \geq n . \pi[0..p] \in \mathcal{T}\}$$



# Maximal trace semantics

## □ Infinite maximal trace semantics

$$\mathcal{S}^\infty[\![s]\!](\pi^\ell) \triangleq \lim(\mathcal{S}^*[\![s]\!](\pi^\ell))$$

## □ Maximal finite trace semantics

$$\mathcal{S}^+[\![s]\!](\pi_1 \text{at}[\![s]\!]) \triangleq \{\pi_2^\ell \in \mathcal{S}^*[\![s]\!](\pi_1 \text{at}[\![s]\!]) \mid \ell = \text{after}[\![s]\!]\}$$

## □ Maximal infinite trace semantics

$$\mathcal{S}^{+\infty}[\![s]\!](\pi^\ell) \triangleq \mathcal{S}^+[\![s]\!](\pi^\ell) \cup \mathcal{S}^\infty[\![s]\!](\pi^\ell)$$

$$\mathcal{S}^{+\infty}[\![s]\!]_\Pi \triangleq \bigcup \{\mathcal{S}^{+\infty}[\![s]\!](\pi^\ell) \mid \pi^\ell \in \Pi\}$$

$$\mathcal{S}^{+\infty}[\![s]\!] \triangleq \mathcal{S}^{+\infty}[\![s]\!](\mathbb{T}^+)$$

$$\mathcal{S}^{+\infty}[\![P]\!] \triangleq \mathcal{S}^{+\infty}[\![P]\!](\{\text{at}[\![P]\!]\}).$$

# Example II of limit of prefix traces

- $\lim \left\{ \left( \ell_1 \xrightarrow{\text{tt}} \ell_2 \xrightarrow{x=i} \ell_1 \right)_{i=1}^n \mid n \in \mathbb{N} \right\} = \{\pi\}$  where  $\pi = \pi = \left( \ell_1 \xrightarrow{\text{tt}} \ell_2 \xrightarrow{x=i} \right)_{i=1}^\infty$ .
- All prefixes of  $\pi$  are of the form  $\left( \ell_1 \xrightarrow{\text{tt}} \ell_2 \xrightarrow{x=i} \ell_1 \right)_{i=1}^n$  or  
 $\left( \ell_1 \xrightarrow{\text{tt}} \ell_2 \xrightarrow{x=i} \ell_1 \right)_{i=1}^n \xrightarrow{\text{tt}} \ell_2$  and this last one can be extended to a finite trace  
 $\left( \ell_1 \xrightarrow{\text{tt}} \ell_2 \xrightarrow{x=i} \ell_1 \right)_{i=1}^{n+1}$ .
- The maximal trace semantics of the program  $s = \text{while } \ell_1 (\text{tt}) \ \ell_2 \ x = x + 1 ; \ell_3$  is  
 $\mathcal{S}^{+\infty}[s](\ell_1) = \left\{ \left( \ell_1 \xrightarrow{\text{tt}} \ell_2 \xrightarrow{x=i} \right)_{i=1}^\infty \right\}$ .

# (Optional) 作业:

阅读