



软件分析与架构设计

踪迹语义

何冬杰
重庆大学

踪迹语义 (trace semantics)

- **syntax**: rules to write programs of the language;
- **semantics**: defines the runtime behavior of programs that is what and how they compute when executed:
 - **trace**: sequence of events recording the actions executed during a program execution,
 - **partial trace**: finite observation of an execution; this observation can stop at any time,
 - **finite trace**: partial trace that ends upon execution termination,
 - **infinite trace**: infinite observation of an execution that never terminates,
 - **maximal trace**: finite or infinite execution trace.

Finite traces

□ Program P:

```
ℓ1 x = x + 1 ;  
    while ℓ2 (tt) {  
        ℓ3 x = x + 1 ;  
        if ℓ4 (x > 2) ℓ5 break ; } ℓ6 ; ℓ7
```

□ Prefix traces (initially x = 0)

- ℓ₁
- ℓ₁ $\xrightarrow{x = x + 1 = 1}$ ℓ₂ \xrightarrow{tt} ℓ₃ $\xrightarrow{x = x + 1 = 2}$ ℓ₄ $\xrightarrow{\neg(x > 2)}$ ℓ₂ \xrightarrow{tt} ℓ₃

□ Finite (maximal) traces:

$$\begin{array}{l} \ell_1 \xrightarrow{x = x + 1 = 1} \ell_2 \xrightarrow{tt} \ell_3 \xrightarrow{x = x + 1 = 2} \ell_4 \xrightarrow{\neg(x > 2)} \ell_2 \xrightarrow{tt} \ell_3 \xrightarrow{x = x + 1 = 3} \rightarrow \\ \ell_4 \xrightarrow{x > 2} \ell_5 \xrightarrow{\text{break}} \ell_6 \xrightarrow{\text{skip}} \ell_7 \end{array}$$

Infinite traces

□ Program P:

$\ell_1 \ x = 0 \ ; \ \text{while } \ell_2 \ (\text{tt}) \ \{ \ell_3 \ x = x + 1 \ ; \ } \ell_4$

□ Infinite trace:

$$\begin{array}{l} \ell_1 \xrightarrow{x = 0 = 0} \ell_2 \xrightarrow{\text{tt}} \ell_3 \xrightarrow{x = x + 1 = 1} \ell_2 \xrightarrow{\text{tt}} \ell_3 \xrightarrow{x = x + 1 = 2} \ell_2 \dots \ell_2 \xrightarrow{\text{tt}} \ell_3 \\ \xrightarrow{x = x + 1 = n} \ell_2 \xrightarrow{\text{tt}} \ell_3 \xrightarrow{x = x + 1 = n+1} \ell_2 \dots \end{array}$$

Traces and Trace concatenation

□Traces:

- T^+ : the set of all finite traces,
- T^∞ : the set of all infinite traces,
- $T^{+\infty}$: the set of all finite or infinite traces.

□Conventions:

- $\pi = {}^l \pi'$: trace π is assumed to start with the program label l
- $\pi = \pi' l$: trace π is finite and ends with label l
- Note, π' is not itself a properly formed trace)

□Trace concatenation:

$$\begin{array}{lll} \pi_1 \ell_1 \frown \ell_2 \pi_2 & & \text{undefined if } \ell_1 \neq \ell_2 \\ \pi_1 \ell_1 \frown \ell_1 \pi_2 & \triangleq & \pi_1 \ell_1 \pi_2 \quad \text{if } \pi_1 \text{ is finite} \\ \pi_1 \frown \pi_2 & \triangleq & \pi_1 \quad \text{if } \pi_1 \text{ is infinite} \end{array}$$

- Empty trace \exists : e.g., ${}^l \pi^{l'} = l$, then $\pi = \exists$ and $l = l'$

Values of variables on a trace

□ $q(\pi)x$: the value of variable x at the end of trace π

➤ is the last value assigned to x (or 0 at initialization)

$$\begin{aligned} q(\pi^\ell \xrightarrow{x = A = v} \ell')x &\triangleq v \\ q(\pi^\ell \xrightarrow{\dots} \ell')x &\triangleq q(\pi^\ell) \quad \text{otherwise} \\ q(\ell)x &\triangleq 0 \end{aligned}$$

Prefix trace semantics

□ $\pi_1 at[S]$:

➤ an initialization trace ending on entry $at[S]$ of statement S .

□ $\mathcal{S}^*[S](\pi_1 at[S])$:

➤ the set of prefix traces $at[S]\pi_2^\ell$ of S continuing the trace $\pi_1 at[S]$ and reaching some program label $\ell \in labx[S]$.

$$\begin{array}{c} \xrightarrow{\pi_1} \quad \underbrace{at[S] \xrightarrow{\pi_2} \ell}_{\in \mathcal{S}^*[S](\pi_1 at[S])} \end{array}$$

➤ By convention $\mathcal{S}^*[S](\pi_1^\ell) = \emptyset$ when $\ell \neq at[S]$.

Maximal finite trace semantics

□ $\pi_1 at[S]$:

➤ an initialization trace ending on entry $at[S]$ of statement S

□ $\mathcal{S}^+[S](\pi_1 at[S])$:

➤ is the set of maximal finite traces $at[S]\pi_2 after[S]$ of S continuing the trace $\pi_1 at[S]$ and reaching $after[S]$

$$\begin{array}{c} \xrightarrow{\pi_1} \quad at[S] \xrightarrow{\pi_2} after[S] \\ \underbrace{\hspace{10em}} \\ \in \mathcal{S}^+[S](\pi_1 at[S]) \end{array}$$

➤ Formally, $\mathcal{S}^+[S](\pi_1 at[S]) \triangleq \{\pi_2 \ell \in \mathcal{S}^*[S](\pi_1 at[S]) \mid \ell = after[S]\}$

Structural prefix trace semantics

□ Structural prefix trace semantics $\widehat{\mathcal{S}}^*[[S]]$:

- S is a program component

Prefix trace at a program component S

$$\pi_2 \in \widehat{\mathcal{S}}^*[[S]](\pi_1)$$

$$\frac{}{\text{at}[[S]] \in \widehat{\mathcal{S}}^*[[S]](\pi_1 \text{at}[[S]])}$$

- the prologue trace π_1 terminates at $\text{at}[[S]]$
- the continuation trace π_2 starts at $\text{at}[[S]]$

Prefix traces of an empty statement list $sl ::= \epsilon$

$$\frac{}{\text{at}[[sl]] \in \widehat{\mathcal{S}}^*[[sl]](\pi \text{at}[[sl]])}$$

Structural prefix trace semantics of stmt

Prefix traces of an empty statement list $S \mathrel{::=} \epsilon$

$$\frac{}{\text{at}[\llbracket S \rrbracket] \in \widehat{\mathcal{S}}^*[\llbracket S \rrbracket](\pi_{\text{at}[\llbracket S \rrbracket]})}$$

Prefix traces of a break statement $S \mathrel{::=} \ell \text{ break ;}$

$$\frac{}{\ell \xrightarrow{\text{break}} \text{break-to}[\llbracket S \rrbracket] \in \widehat{\mathcal{S}}^*[\llbracket S \rrbracket](\pi_{\ell})}$$

Prefix traces of an assignment statement $S \mathrel{::=} \ell \text{ x} = \text{A} ;$

$$\frac{v = \mathcal{A}[\llbracket A \rrbracket] \varrho(\pi_{\ell})}{\ell \xrightarrow{\text{x} = \text{A} = v} \text{after}[\llbracket S \rrbracket] \in \widehat{\mathcal{S}}^*[\llbracket S \rrbracket](\pi_{\ell})}$$

Prefix traces of a skip statement $S \mathrel{::=} \ell ;$

$$\frac{}{\ell \xrightarrow{\text{skip}} \text{after}[\llbracket S \rrbracket] \in \widehat{\mathcal{S}}^*[\llbracket S \rrbracket](\pi_{\ell})}$$

Structural inference rules

Prefix traces of a program $P ::= S \ell$

$$\frac{\pi_2 \in \widehat{\mathcal{S}}^* \llbracket S \ell \rrbracket (\pi_1 \text{at} \llbracket S \ell \rrbracket)}{\pi_2 \in \widehat{\mathcal{S}}^* \llbracket P \rrbracket (\pi_1 \text{at} \llbracket P \rrbracket)}$$

Prefix traces of a compound statement $S ::= \{ S \ell \}$

$$\frac{\pi_2 \in \widehat{\mathcal{S}}^* \llbracket S \ell \rrbracket (\pi_1)}{\pi_2 \in \widehat{\mathcal{S}}^* \llbracket S \rrbracket (\pi_1)}$$

Prefix traces of a conditional statement $S ::= \text{if } \ell \text{ (B) } S_t$

$$\frac{\mathcal{B} \llbracket B \rrbracket \varrho(\pi_1 \ell) = \text{ff}}{\ell \xrightarrow{\neg(B)} \text{after} \llbracket S \rrbracket \in \widehat{\mathcal{S}}^* \llbracket S \rrbracket (\pi_1 \ell)}$$

$$\frac{\mathcal{B} \llbracket B \rrbracket \varrho(\pi_1 \ell) = \text{tt}, \quad \pi_2 \in \widehat{\mathcal{S}}^* \llbracket S_t \rrbracket (\pi_1 \ell \xrightarrow{B} \text{at} \llbracket S_t \rrbracket)}{\ell \xrightarrow{B} \text{at} \llbracket S_t \rrbracket \frown \pi_2 \in \widehat{\mathcal{S}}^* \llbracket S \rrbracket (\pi_1 \ell)}$$

Prefix traces of a conditional statement $S ::= \text{if } \ell \text{ (B) } S_t \text{ else } S_f$

$$\frac{\mathcal{B} \llbracket B \rrbracket \varrho(\pi_1 \ell) = \text{tt}, \quad \pi_2 \in \widehat{\mathcal{S}}^* \llbracket S_t \rrbracket (\pi_1 \ell \xrightarrow{B} \text{at} \llbracket S_t \rrbracket)}{\ell \xrightarrow{B} \text{at} \llbracket S_t \rrbracket \frown \pi_2 \in \widehat{\mathcal{S}}^* \llbracket S \rrbracket (\pi_1 \ell)}$$

$$\frac{\mathcal{B} \llbracket B \rrbracket \varrho(\pi_1 \ell) = \text{ff}, \quad \pi_2 \in \widehat{\mathcal{S}}^* \llbracket S_f \rrbracket (\pi_1 \ell \xrightarrow{\neg(B)} \text{at} \llbracket S_f \rrbracket)}{\ell \xrightarrow{\neg(B)} \text{at} \llbracket S_f \rrbracket \frown \pi_2 \in \widehat{\mathcal{S}}^* \llbracket S \rrbracket (\pi_1 \ell)}$$

Structural inference rules

Prefix traces of a statement list $sl ::= sl' \ S$

$$\begin{array}{l}
 \blacksquare \frac{\pi_2 \in \widehat{\mathcal{F}}^*[[sl']](\pi_1)}{\pi_2 \in \widehat{\mathcal{F}}^*[[sl]](\pi_1)} \\
 \blacksquare \frac{\pi_2 \in \widehat{\mathcal{F}}^+[[sl']](\pi_1), \quad \pi_3 \in \widehat{\mathcal{F}}^*[[S]](\pi_1 \frown \pi_2)}{\pi_2 \frown \pi_3 \in \widehat{\mathcal{F}}^*[[sl]](\pi_1)}
 \end{array}
 \quad
 \begin{array}{c}
 \xrightarrow{\pi_1} \quad \underbrace{\begin{array}{c} at[[sl]] \\ at[[sl']] \end{array}}_{\in \widehat{\mathcal{F}}^+[[sl']](\pi_1 at[[sl']])} \xrightarrow{\pi_2} \underbrace{\begin{array}{c} after[[sl']] \\ at[[S]] \end{array}}_{\in \widehat{\mathcal{F}}^*[[S]](\pi_1 at[[sl']] \pi_2 at[[S]])} \xrightarrow{\pi_3} \ell \\
 \underbrace{\hspace{15em}}_{\in \widehat{\mathcal{F}}^*[[sl]](\pi_1 at[[sl]])}
 \end{array}$$

Prefix traces of an iteration statement $S ::= \text{while } \ell(B) \ S_b$

$$\begin{array}{l}
 \blacksquare \overline{\ell \in \widehat{\mathcal{F}}^*[[S]](\pi_1 \ell)} \\
 \blacksquare \frac{\ell \pi_2 \ell \in \widehat{\mathcal{F}}^*[[S]](\pi_1 \ell), \quad \mathcal{B}[[B]]\varrho(\pi_1 \ell \pi_2 \ell) = \text{ff}}{\ell \pi_2 \ell \xrightarrow{\neg(B)} \text{after}[[S]] \in \widehat{\mathcal{F}}^*[[S]](\pi_1 \ell)} \\
 \blacksquare \frac{\begin{array}{l} \ell \pi_2 \ell \in \widehat{\mathcal{F}}^*[[S]](\pi_1 \ell), \quad \mathcal{B}[[B]]\varrho(\pi_1 \ell \pi_2 \ell) = \text{tt}, \\ \pi_3 \in \widehat{\mathcal{F}}^*[[S_b]](\pi_1 \ell \pi_2 \ell \xrightarrow{B} at[[S_b]]) \end{array}}{\ell \pi_2 \ell \xrightarrow{B} at[[S_b]] \frown \pi_3 \in \widehat{\mathcal{F}}^*[[S]](\pi_1 \ell)}
 \end{array}
 \quad
 \begin{array}{c}
 \ell \pi_2 \ell \in \widehat{\mathcal{F}}^*[[S]](\pi_1 \ell), \quad \mathcal{B}[[B]]\varrho(\pi_1 \ell \pi_2 \ell) = \text{tt}, \\
 \pi_3 \xrightarrow{\text{break}} \text{break-to}[[S]] \in \widehat{\mathcal{F}}^*[[S_b]](\pi_1 \ell \pi_2 \ell \xrightarrow{B} at[[S_b]]) \\
 \hline
 \ell \pi_2 \ell \xrightarrow{B} at[[S_b]] \frown \pi_3 \xrightarrow{\text{break}} \text{break-to}[[S]] \in \widehat{\mathcal{F}}^*[[S]](\pi_1 \ell)
 \end{array}$$

Prefix trace semantics

□ The prefix trace semantics is defined structurally:

$$\mathcal{S}^* \llbracket S \rrbracket \triangleq \hat{\mathcal{S}}^* \llbracket S \rrbracket$$

□ The prefix traces starting from a set \mathcal{R}_0 of initial traces are

$$\mathcal{S}^* \llbracket S \rrbracket \mathcal{R}_0 \triangleq \bigcup \{ \mathcal{S}^* \llbracket S \rrbracket (\pi^\ell) \mid \pi^\ell \in \mathcal{R}_0 \}$$

□ The prefix traces starting from a set \mathcal{R}_0 of initial traces and arriving at program label ℓ are:

$$\begin{aligned} \mathcal{S}^* \llbracket S \rrbracket &\in \wp(\mathbb{T}^+) \xrightarrow{\quad} (\mathbb{L} \rightarrow \wp(\mathbb{T}^+)) \\ \mathcal{S}^* \llbracket S \rrbracket \mathcal{R}_0^\ell &\triangleq \{ \pi_0^{\ell_0} \pi_1^{\ell_1} \mid \pi_0^{\ell_0} \in \mathcal{R}_0 \wedge \pi_0^{\ell_0} \pi_1^{\ell_1} \in \mathcal{S}^* \llbracket S \rrbracket (\pi_0^{\ell_0}) \wedge \ell_1 = \ell \} \end{aligned}$$

Example of prefix trace semantics

- $S = \text{while } \ell_1 \text{ (tt) } \ell_2 \text{ } x = x + 1 ; \ell_3.$
- $\widehat{\mathcal{S}}^* \llbracket S \rrbracket (\ell_1) = \left\{ \left(\ell_1 \xrightarrow{\text{tt}} \ell_2 \xrightarrow{x=i} \ell_1 \right)_{i=1}^n, \left(\ell_1 \xrightarrow{\text{tt}} \ell_2 \xrightarrow{x=i} \ell_1 \right)_{i=1}^n \xrightarrow{\text{tt}} \ell_2 \mid n \in \mathbb{N} \right\}$
(reduced to ℓ_1 for $n = 0$).

□Notation:

- $\left(\ell \pi(i) \ell \right)_{i=1}^n$ denotes the finite trace $\ell \pi(1) \ell \pi(2) \ell \dots \pi(n) \ell$. This is the trace ℓ for $n = 0$.
- $\left(\ell \pi(i) \ell \right)_{i=1}^{\infty}$ denotes the infinite trace $\ell \pi(1) \ell \pi(2) \ell \dots \pi(n) \ell \pi(n+1) \ell \dots$

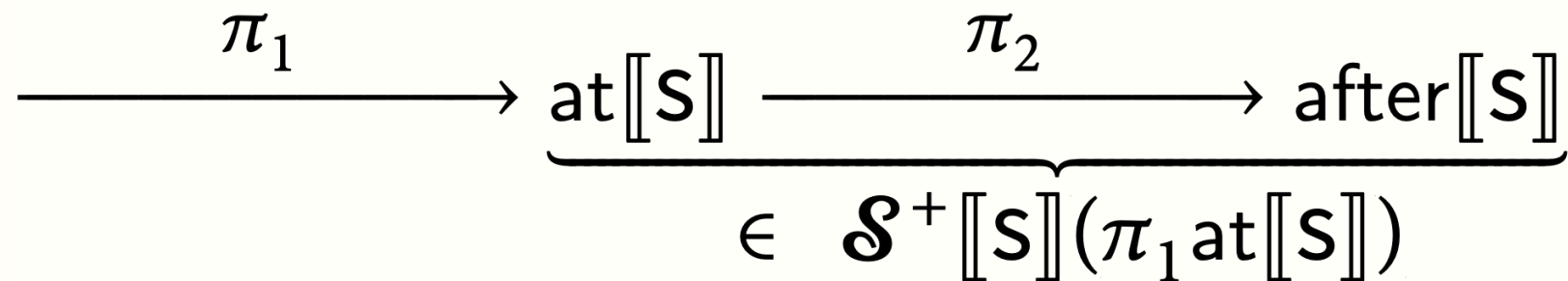
Finite maximal trace semantics

□ $\mathcal{S}^+ \llbracket S \rrbracket (\pi_1 \text{at} \llbracket S \rrbracket)$:

➤ The set of maximal finite traces $\text{at} \llbracket S \rrbracket \pi_2 \text{after} \llbracket S \rrbracket$ of S continuing the trace $\pi_1 \text{at} \llbracket S \rrbracket$ and reaching $\text{after} \llbracket S \rrbracket$

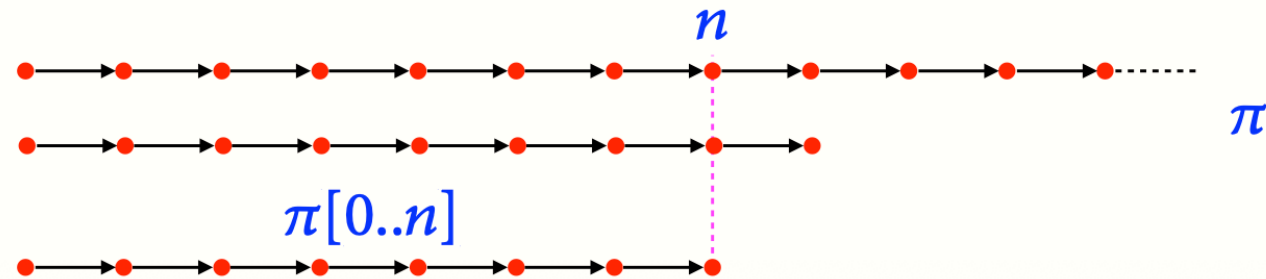
- $\mathcal{S}^+ \llbracket S \rrbracket (\pi_1 \text{at} \llbracket S \rrbracket) \triangleq \{ \pi_2^\ell \in \mathcal{S}^* \llbracket S \rrbracket (\pi_1 \text{at} \llbracket S \rrbracket) \mid \ell = \text{after} \llbracket S \rrbracket \}$
- $\mathcal{S}^+ \llbracket S \rrbracket (\pi_1^\ell) = \emptyset$ when $\ell \neq \text{at} \llbracket S \rrbracket$

➤ Schematically,



Prefixes of a trace

- If $\pi = \ell_0 \xrightarrow{e_0} \dots \ell_i \xrightarrow{e_i} \dots \ell_n$ is a finite trace then its prefix $\pi[0..p]$ at p is
 - π when $p \geq n$
 - $\ell_0 \xrightarrow{e_0} \dots \ell_j \xrightarrow{e_j} \dots \ell_p$ when $0 \leq p \leq n$.
- If $\pi = \ell_0 \xrightarrow{e_0} \dots \ell_i \xrightarrow{e_i} \dots$ is an infinite trace then its prefix $\pi[0..p]$ at p is $\ell_0 \xrightarrow{e_0} \dots \ell_j \xrightarrow{e_j} \dots \ell_p$.



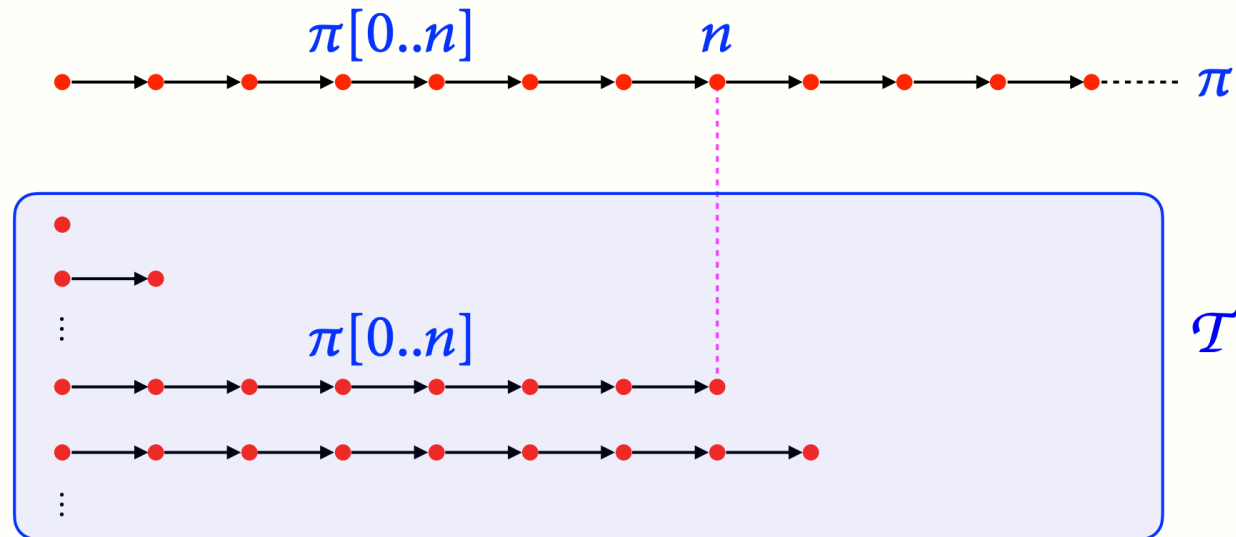
Limit of prefix traces

□ Limit of a set of finite traces:

➤ Is the set of infinite traces which prefixes are traces in this set

$$\lim \mathcal{T} \triangleq \{ \pi \in \mathbb{T}^\infty \mid \forall n \in \mathbb{N} . \pi[0..n] \in \mathcal{T} \} \quad \lim \emptyset = \emptyset$$

➤ Requires the set to be prefix closed



Example I of limit of prefix traces

- The prefix semantics of the program $S = \text{while } \ell_1 \text{ (tt) } \ell_2 \text{ } x = x + 1 ; \ell_3$ is

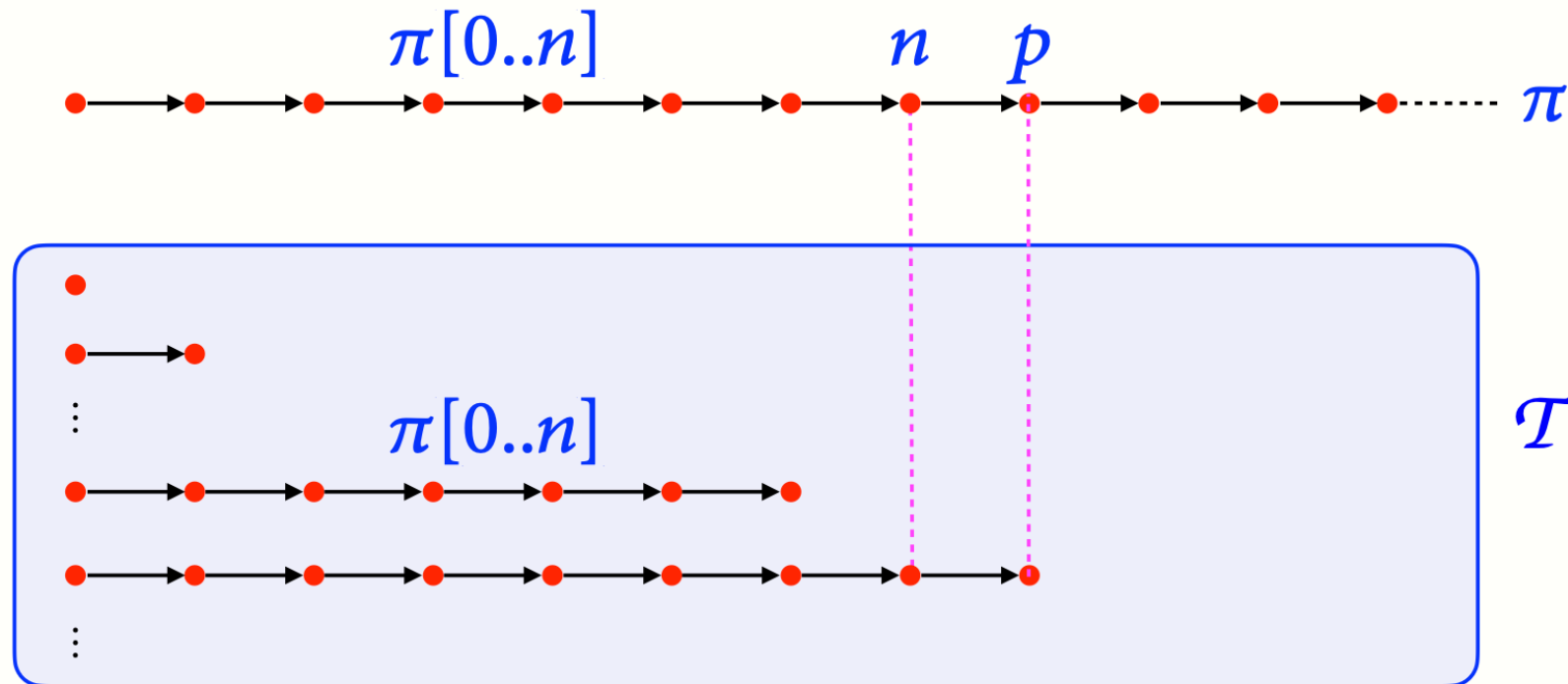
$$\mathcal{S}^*[[S]](\ell_1) = \left\{ \left(\ell_1 \xrightarrow{\text{tt}} \ell_2 \xrightarrow{x=i} \ell_1 \right)_{i=1}^n, \left(\ell_1 \xrightarrow{\text{tt}} \ell_2 \xrightarrow{x=i} \ell_1 \right)_{i=1}^n \xrightarrow{\text{tt}} \ell_2 \mid n \in \mathbb{N} \right\}.$$

- Its limit is $\lim(\mathcal{S}^*[[S]](\ell_1)) = \{\pi\}$ where the infinite trace is $\pi = \left(\ell_1 \xrightarrow{\text{tt}} \ell_2 \xrightarrow{x=i} \right)_{i=1}^{\infty}$.
- All prefixes of π belong to $\mathcal{S}^*[[S]](\ell_1)$.

Limit of prefix traces (II)

□ Limit **lim** \mathcal{T} as the set of infinite traces which prefixes can be extended to a trace in \mathcal{T} :

$$\lim \mathcal{T} \triangleq \{ \pi \in \mathbb{T}^\infty \mid \forall n \in \mathbb{N} . \exists p \geq n . \pi[0..p] \in \mathcal{T} \}$$



Maximal trace semantics

□ Infinite maximal trace semantics

$$\mathcal{S}^\infty \llbracket S \rrbracket (\pi^\ell) \triangleq \lim(\mathcal{S}^* \llbracket S \rrbracket (\pi^\ell))$$

□ Maximal finite trace semantics

$$\mathcal{S}^+ \llbracket S \rrbracket (\pi_1 \text{at} \llbracket S \rrbracket) \triangleq \{ \pi_2^\ell \in \mathcal{S}^* \llbracket S \rrbracket (\pi_1 \text{at} \llbracket S \rrbracket) \mid \ell = \text{after} \llbracket S \rrbracket \}$$

□ Maximal infinite trace semantics

$$\mathcal{S}^{+\infty} \llbracket S \rrbracket (\pi^\ell) \triangleq \mathcal{S}^+ \llbracket S \rrbracket (\pi^\ell) \cup \mathcal{S}^\infty \llbracket S \rrbracket (\pi^\ell)$$

$$\mathcal{S}^{+\infty} \llbracket S \rrbracket \Pi \triangleq \bigcup \{ \mathcal{S}^{+\infty} \llbracket S \rrbracket (\pi^\ell) \mid \pi^\ell \in \Pi \}$$

$$\mathcal{S}^{+\infty} \llbracket S \rrbracket \triangleq \mathcal{S}^{+\infty} \llbracket S \rrbracket (\mathbb{T}^+)$$

$$\mathcal{S}^{+\infty} \llbracket P \rrbracket \triangleq \mathcal{S}^{+\infty} \llbracket P \rrbracket (\{ \text{at} \llbracket P \rrbracket \}).$$

Example II of limit of prefix traces

- $\lim \left\{ \left(\ell_1 \xrightarrow{\text{tt}} \ell_2 \xrightarrow{x=i} \ell_1 \right)_{i=1}^n \mid n \in \mathbb{N} \right\} = \{\pi\}$ where $\pi = \left(\ell_1 \xrightarrow{\text{tt}} \ell_2 \xrightarrow{x=i} \ell_1 \right)_{i=1}^{\infty}$.
- All prefixes of π are of the form $\left(\ell_1 \xrightarrow{\text{tt}} \ell_2 \xrightarrow{x=i} \ell_1 \right)_{i=1}^n$ or $\left(\ell_1 \xrightarrow{\text{tt}} \ell_2 \xrightarrow{x=i} \ell_1 \right)_{i=1}^n \xrightarrow{\text{tt}} \ell_2$ and this last one can be extended to a finite trace $\left(\ell_1 \xrightarrow{\text{tt}} \ell_2 \xrightarrow{x=i} \ell_1 \right)_{i=1}^{n+1}$.
- The maximal trace semantics of the program $S = \text{while } \ell_1 \text{ (tt) } \ell_2 \text{ } x = x + 1 ; \ell_3$ is $\mathcal{S}^{+\infty} \llbracket S \rrbracket (\ell_1) = \left\{ \left(\ell_1 \xrightarrow{\text{tt}} \ell_2 \xrightarrow{x=i} \ell_1 \right)_{i=1}^{\infty} \right\}$.

(Optional) 作业:

□ 阅读