

# VISION HGNN: AN ELECTRON-MICROGRAPH IS WORTH HYPERGRAPH OF HYPERNODES

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## ABSTRACT

Material characterization using electron micrographs is a crucial but challenging task with applications in various fields, such as semiconductors, quantum materials, batteries, etc. The challenges in categorizing electron micrographs include but are not limited to the complexity of patterns, high level of detail, and imbalanced data distribution(long-tail distribution). Existing methods have difficulty in modeling the complex relational structure in electron micrographs, hindering their ability to effectively capture the complex relationships between different spatial regions of micrographs. We propose a hypergraph neural network(HgNN) backbone architecture, a conceptually alternative approach, to better model the complex relationships in electron micrographs and improve material characterization accuracy. By utilizing cost-effective GPU hardware, our proposed framework outperforms popular baselines. The results of the ablation studies demonstrate that the proposed framework is effective in achieving state-of-the-art performance on benchmark datasets and efficient in terms of computational and memory requirements for handling large-scale electron micrograph-based datasets.

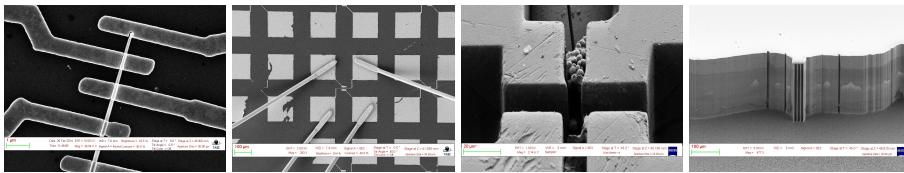
## 1 INTRODUCTION

Accurate development, characterization, and testing of miniaturized semiconductor devices are essential in leading-edge chip design to ensure their proper functioning and performance. State-of-the-art imaging and analysis techniques(Holt & Joy (2013)) play a critical role in the fabrication, inspection, and testing of the next-generation miniaturized semiconductor devices, such as those with a feature size of 7nm or smaller, as they help ensure their quality and reliability. The advanced imaging of miniature devices in the semiconductor industry typically utilizes a broad spectrum of electron beam tools, including Scanning Electron Microscopy(SEM), Transmission Electron Microscopy(TEM), Reflective Electron Microscopy(REM), and others. Electron microscopes, as ultra-modern imaging tools, produce high-magnification and high-resolution images of material specimens, known as electron micrographs, to perform microstructural characterization or identification of materials, which is essential for the accurate design fabrication, and evaluation of miniaturized semiconductor devices. However, classifying electron micrographs is challenging due to high intra-class variance, low inter-class dissimilarity, and multiple spatial scales of visual patterns. Figure 1 illustrates the various challenges in the automatic nanomaterial identification task. The de facto standard neural-network architectures for vision tasks such as ConvNets(Iandola et al. (2016), He et al. (2016)), Vision transformers(ViT<sub>s</sub>, Dosovitskiy et al. (2020), Liu et al. (2021), d'Ascoli et al. (2021), Chen et al. (2021b)), hybrid architectures(Wu et al. (2021), Graham et al. (2021), Wang et al. (2022)) and MLP-based vision models(Tolstikhin et al. (2021), Touvron et al. (2021a)) do not explicitly model the higher-order dependencies between the multiple grid-like patches(also referred to as tokens) of the electron micrographs. Nevertheless, this work aims to explore an alternative effective, efficient neural-network architecture beyond traditional methods for modeling the fine-grained interrelations among the spatially and semantically dependent regions(patches) of the electron micrographs for automatic nanomaterial identification tasks via the hypergraph framework. **We utilize the hypergraphs as a mathematical model(Ouvrard (2020); Feng et al. (2019); Gao et al. (2022); Yadati et al. (2019)) for a structured representation of the electron micrographs to learn the hierarchical relations among the spatial regions(patches) unconstrained by their spatial location in the micrograph.** We begin

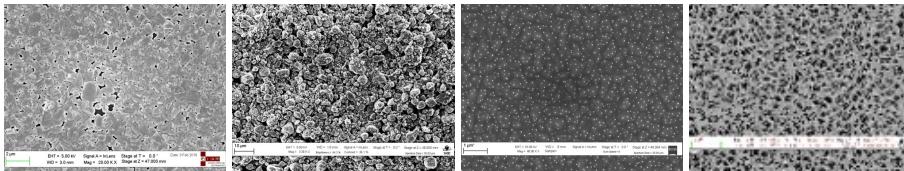
\*Conceived, designed, implemented the research and drafted the manuscript

†Performed computational experiments, interpretation and visualization analysis of the results

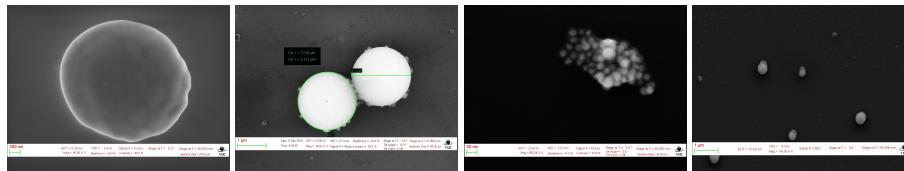
with a hypothesis, that the electron micrographs have an inherent hypergraph structure that capture a wide range of structural and property information from sub-hypergraphs of various sizes, and represents the higher-order dependencies between the patches in the electron micrographs. We present the **Vision Hypergraph Neural Networks**(for short, **Vision-HgNN**) designed to automatically encode the dominant structural and feature information of the visual hypergraphs and then learn relation structure-aware hypergraph-level embeddings to perform effective neural relational inference on the downstream multi-class classification task. The proposed visual hypergraph representation learning framework is designed to identify discrete visual elements(low-level entities) and their higher-order dependencies, and to prune redundant visual elements to learn an efficient representation of scale-variant visual elements(high-level entities) for improved perception and reasoning of the visual content in hypergraph-structured micrographs to enhance classification performance. The proposed framework is intended to offer better generalization and scalability for large-scale electron microscopy image corpus-based classification tasks.



(a) High intra-class dissimilarity in electron micrographs of *MEMS* device.



(b) The high inter-class similarity in different material categories(left to right: *films, powder, particles, porous sponges*).



(c) Multi-spatial scales of patterns in electron micrographs of *particles*.

Figure 1: The figure depicts the various challenges in the electron micrograph classification task on the SEM dataset(Aversa et al. (2018)).

## 2 PROBLEM STATEMENT

Consider a dataset consisting of visual hypergraph-label pairs  $(\mathcal{G}_i, y_i)$  where the ground-truth label of  $\mathcal{G}_i$  is denoted by  $y_i$ . The objective of the classification task is to learn a novel mapping neural network function  $f : \mathcal{G}_i \rightarrow y_i$  that maps the discrete visual hypergraphs to the set of predefined categories.

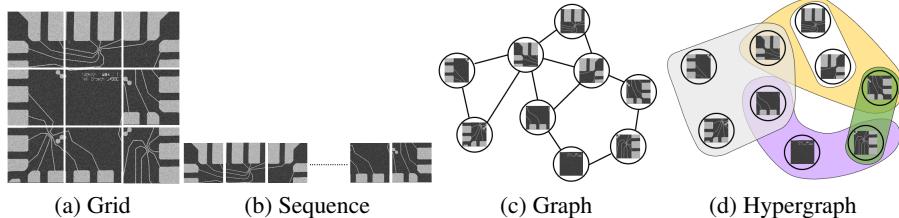


Figure 2: For illustration purpose, an electron micrograph(MEMS device, Aversa et al. (2018)) was split into  $3 \times 3$  patches. This figure depicts a regular grid, a sequence, a graph, and a hypergraph representation of an electron micrograph. (a) ConvNets operate on a grid of pixels, (b) ViTs operate on a sequence of grid-like patches, (c) GNNs operate on visual graphs where patches are viewed as nodes, and (d) HgNNs operate on visual hypergraphs where the patches represent the hypernodes to perform classification tasks. The visual graph and hypergraph structure representations are learned through the nearest neighbor search algorithm. They are linked based on the visual content and are not necessarily determined by their spatial location in the micrograph. The edges in the graph model pair-wise relations among the patches, while hyperedges model multi-dyadic relationships.

### 3 PROPOSED APPROACH

As illustrated in Figure 3, our framework consists of the following modules. (a) hypergraph structure learning module, for brevity, **HgSL** backbone, to learn the discrete visual hypergraph representations of the electron micrographs through pairwise proximity function. (b) a local and global neighborhood connectivity-driven hypergraph attention network, for brevity, the **HgAT** backbone is designed to capture short, and moderate-range dependencies, i.e., encapsulates the hypergraph’s structural and feature information in the hypernode-level embeddings. (c) a self-attention mechanism-based-hypergraph transformer network, for brevity, an **HgT** backbone with no hypergraph spatial priors to learn all pairwise hypernode interactions for better learning of the long-range pairwise dependencies. (d) the hypergraph read-out module, for brevity, the **HgRo** backbone, performs the global average pooling that collapses hypernode-level embeddings to obtain the single hypergraph-level embedding. (e) a linear projection layer and a normalized exponential function transform the hypergraph-level embedding to a multinomial probability distribution over the predefined electron micrograph categories to predict the visual hypergraph category.

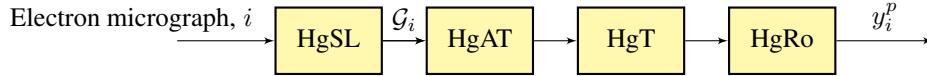


Figure 3: The isotropic Vision-HgNN architecture.  $y_i^p$  denotes the model predictions.

#### 3.1 HYPERGRAPH STRUCTURE LEARNING(HgSL)

The **HgSL** operates in two phases. At first, it performs tokenization of electron micrographs. Next, it optimizes the discrete visual hypergraph structure through a differentiable approach to learn the more robust and optimal representation through the nearest neighbor search technique and formulate the posterior classification task as message-passing schemes with hypergraph neural networks.

##### 3.1.1 ELECTRON MICROGRAPH TOKENIZER

We split an electron micrograph with the size  $h \times w \times c$ , where  $(h, w)$  is the resolution of the RGB image, and  $c$  is the number of channels into non-overlapping  $n$  uniform patches, where the size of each patch is  $p \times p \times c$  and  $p$  is patch size. We reshape the patches to obtain feature matrix  $\mathbf{X}' \in \mathbb{R}^{n \times p^2 c}$ . We linearly transform the feature matrix,  $\mathbf{X}'$ , through a trainable embedding layer  $\mathbf{E}$  to compute a refined feature matrix  $\mathbf{X} \in \mathbb{R}^{n \times d}$  as described below,

$$\mathbf{X} = \mathbf{X}'\mathbf{E}; \mathbf{E} \in \mathbb{R}^{p^2 c \times d} \quad (1)$$

The row  $i$  of the feature matrix  $\mathbf{X} = [\mathbf{x}_p^1; \dots; \mathbf{x}_p^n]$  represents the (low-dimensional) feature representation for patch  $\mathbf{x}_p^i \in \mathbb{R}^d$ ,  $i = 1, 2, \dots, n$ , where  $d$  is the predefined feature dimension.

##### 3.1.2 HYPERGRAPH REPRESENTATION

We represent the patches as the unordered hypernodes of an undirected visual hypergraph denoted as  $\mathcal{V} = \{v_1, v_2, \dots, v_n\}$ . For each hypernode  $v_i$ , we form an undirected hyperedge  $e_p$  from the hypernode  $v_i$  to  $v_j$ ; if  $v_j$  is among the top-K visual-semantic-nearest neighbors of  $v_i$ . Thus we obtain  $n$  hyperedges incident with  $K + 1$  non-repeating hypernodes. The hyperedges describe the relations and capture more complex relationships and interdependencies among hypernodes in the visual hypergraphs. We then obtain a hypergraph  $\mathcal{G} = (\mathcal{V}, \mathcal{E}, \mathbf{X})$  where  $\mathcal{E} = \{e_1, e_2, \dots, e_n\}$  denotes the set of hyperedges and  $\mathbf{X} \in \mathbb{R}^{n \times d}$  is the hypernode feature matrix. Each row  $i$  in  $\mathbf{X}$  represents the hypernode feature vector,  $\mathbf{x}_{v_i} \in \mathbb{R}^d$ . Note:  $\mathbf{x}_{v_i}$  is the patch feature representation,  $\mathbf{x}_p^i$ . The incidence matrix,  $\mathbf{H} \in \mathbb{R}^{n \times n}$ , describes the hypergraph structure.  $\mathbf{H}_{i,p} = 1$  if the hyperedge  $p$  incident with hypernode  $i$  and otherwise 0. The hyperparameter  $K < n$  determines the sparsity of the visual hypergraph. Let  $\mathcal{N}_{p,i} = \{v_i | \mathbf{H}_{i,p} = 1\}$  represent the subset of hypernodes  $v_i$  incident with any hyperedge  $p$ . The intra-edge neighborhood of the hypernode  $i$  is given by  $\mathcal{N}_{p,i} \setminus i$ . It is a localized group of perceptually similar patches and captures higher-order relationships. The inter-edge neighborhood of hypernode  $i$ ,  $\mathcal{N}_{i,p} = \{e_p | \mathbf{H}_{i,p} = 1\}$ , spans the spectrum of the set of hyperedges  $e_p$  incident with hypernode  $i$ . There is no natural ordering of the hypernodes in the hypergraph. To preserve patch-locality information in the main hypergraph, we linearly add the trainable position embeddings( $\mathbf{E}_{pos}$ ) to the hypernode feature vectors to enable position awareness. The HgSL module computes the hypernode’s positional embeddings based on the intra- and inter-edge neighborhood in the hypergraph. Equation 2 shows the transformed feature vector of the hypernodes.

$$[\mathbf{x}_{v_1}; \dots; \mathbf{x}_{v_n}] = [\mathbf{x}_{v_1}, \dots, \mathbf{x}_{v_n}] + \mathbf{E}_{pos}; \mathbf{E}_{pos} \in \mathbb{R}^{n \times d} \quad (2)$$

### 3.2 MODEL ARCHITECTURE

Figure 4 depicts the Vision-HgNN framework. The Hypergraph attention network(HgAT) performs the message-passing schemes on the visual hypergraphs to obtain (low-dimensional) hypernode embeddings. The Hypergraph transformer(HgT) utilizes the self-attention mechanism for transforming the hypernode embeddings determined by the HgAT operator to compute refined hypernode embeddings( $\mathbf{z}_{v_1}^{L_{\text{HgT}}}, \dots, \mathbf{z}_{v_n}^{L_{\text{HgT}}}$ ). We discussed the HgAT and HgT operators in the appendix. The HgRo module performs the average pooling of hypernode embeddings( $\mathbf{z}_{v_1}^{L_{\text{HgT}}}, \dots, \mathbf{z}_{v_n}^{L_{\text{HgT}}}$ ) to obtain hypergraph-level embedding  $\mathbf{z}^{L_{\text{HgT}}} \in \mathbb{R}^d$ . We apply a linear projection and softmax to transform  $\mathbf{z}^{L_{\text{HgT}}}$  for determining the model predictions  $y_i^p = \text{softmax}(\mathbf{W}^{\text{out}} \mathbf{z}^{L_{\text{HgT}}})$ , where  $\mathbf{W}^{\text{out}} \in \mathbb{R}^{d \times d}$ .

### 3.3 ALGORITHMIC ARCHITECTURE

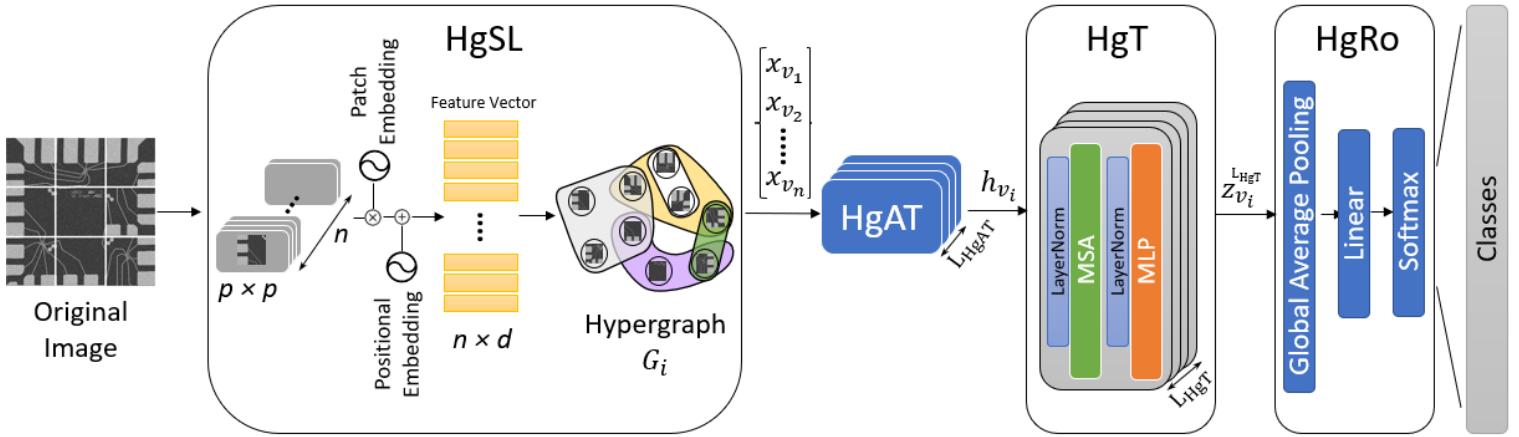


Figure 4: For illustration, we split the electron micrograph into  $3 \times 3$  patches. We represent the electron micrograph as a patch-attributed visual hypergraph. The framework presents an end-to-end visual hypergraph representation learning with Hypergraph Neural Networks for categorization tasks.

## 4 EXPERIMENTS AND RESULTS

### 4.1 DATASETS

We conduct experiments on the SEM dataset(Aversa et al. (2018)) for automatic nanomaterials identification. The human-annotated dataset contains a set of 10 categories belonging to a wide range of nanomaterials spanning a broad range of particles, nanowires, patterned surfaces, etc., for a total of  $\approx 21,283$  electron micrographs. The initial experimental results are reported by Modarres et al. (2017) on the subset of the complete dataset. Due to the unavailability of the subset dataset publicly, we conducted experiments on the original dataset(Aversa et al. (2018)), which contains 12% more samples. The dataset curators(Aversa et al. (2018)) had not shared the predefined train/validation/test splits, so we leveraged the k-fold cross-validation technique to evaluate our model performance for competitive benchmarking with the varied baseline models. Additionally, we utilized several open-source material benchmark datasets to demonstrate the effectiveness of our proposed method.

|                            | Algorithms                            | Parameters | Top-1        | Top-2        | Top-3        | Top-5        |
|----------------------------|---------------------------------------|------------|--------------|--------------|--------------|--------------|
| ConvNets                   | AlexNet(Krizhevsky et al. (2017))     | 57.0M      | 0.519        | 0.593        | 0.681        | 0.785        |
|                            | DenseNet(Huang et al. (2017))         | 0.24M      | 0.521        | 0.722        | 0.869        | 0.912        |
|                            | ResNet(He et al. (2016))              | 0.27M      | 0.524        | 0.773        | 0.904        | 0.915        |
|                            | VGG(Simonyan & Zisserman (2014))      | 34.4M      | 0.523        | 0.657        | 0.729        | 0.783        |
|                            | GoogleNet(Szegedy et al. (2015))      | 0.26M      | 0.573        | 0.857        | 0.914        | 0.942        |
|                            | SqueezeNet(Iandola et al. (2016))     | 0.74M      | 0.467        | 0.476        | 0.621        | 0.684        |
| Vision Transformers(ViT's) | CCT(Hassani et al. (2021))            | 0.41M      | 0.586        | 0.795        | 0.886        | 0.952        |
|                            | ConViT(d’Ascoli et al. (2021))        | 0.60M      | 0.596        | 0.724        | 0.836        | 0.941        |
|                            | PVTC(Wang et al. (2022))              | 1.30M      | 0.572        | 0.758        | 0.826        | 0.917        |
|                            | SwinT(Liu et al. (2021))              | 27.8M      | 0.658        | 0.763        | 0.904        | 0.928        |
|                            | VanillaViT(Dosovitskiy et al. (2020)) | 1.79M      | 0.638        | 0.834        | 0.868        | 0.943        |
|                            | CaiT(Touvron et al. (2021c))          | 0.38M      | 0.627        | 0.741        | 0.879        | 0.945        |
|                            | LeViT(Graham et al. (2021))           | 16.8M      | 0.625        | 0.776        | 0.863        | 0.957        |
|                            | NesT(Zhang et al. (2022))             | 16.1M      | 0.643        | 0.835        | 0.923        | 0.952        |
|                            | PatchMerger(Renggli et al. (2022))    | 3.26M      | 0.561        | 0.703        | 0.842        | 0.939        |
|                            | RegionViT(Chen et al. (2021a))        | 12.2M      | 0.589        | 0.812        | 0.863        | 0.936        |
|                            | T2TViT(Yuan et al. (2021))            | 10.3M      | 0.672        | 0.841        | 0.911        | 0.927        |
|                            | ViT-SD(Lee et al. (2021))             | 4.47M      | 0.609        | 0.746        | 0.873        | 0.949        |
|                            | <b>Vision-HgNN</b>                    | 0.91M      | <b>0.819</b> | <b>0.864</b> | <b>0.942</b> | <b>0.994</b> |

|                            | Algorithms                         | Parameters | Top-1        | Top-2        | Top-3        | Top-5        |
|----------------------------|------------------------------------|------------|--------------|--------------|--------------|--------------|
| GCL                        | GBT(Bielak et al. (2021))          | 0.71M      | 0.524        | 0.612        | 0.703        | 0.794        |
|                            | GRACE(Zhu et al. (2020))           | 0.74M      | 0.602        | 0.639        | 0.726        | 0.784        |
|                            | BGRL(Thakoor et al. (2021))        | 0.69M      | 0.589        | 0.647        | 0.705        | 0.739        |
|                            | InfoG(Sun et al. (2019))           | 0.68M      | 0.572        | 0.643        | 0.717        | 0.767        |
| Graph Convolution Networks | APPNP(Klicpera et al. (2018))      | 0.74M      | 0.625        | 0.726        | 0.825        | 0.855        |
|                            | AGNN(Thekumparampil et al. (2018)) | 0.52M      | 0.533        | 0.745        | 0.851        | 0.954        |
|                            | ARMA(Bianchi et al. (2021))        | 0.45M      | 0.549        | 0.763        | 0.857        | 0.937        |
|                            | DNA(Fey (2019))                    | 0.84M      | 0.607        | 0.683        | 0.772        | 0.913        |
|                            | GAT(Veličković et al. (2017))      | 0.63M      | 0.524        | 0.689        | 0.814        | 0.926        |
|                            | GGC(Li et al. (2015))              | 0.81M      | 0.617        | 0.813        | 0.845        | 0.951        |
|                            | GC(Morris et al. (2019))           | 0.59M      | 0.606        | 0.769        | 0.913        | 0.962        |
|                            | GCN2C(Chen et al. (2020a))         | 0.62M      | 0.703        | 0.829        | 0.874        | 0.957        |
|                            | CC(Defferrard et al. (2016))       | 0.50M      | 0.566        | 0.782        | 0.847        | 0.913        |
|                            | GUNet(Gao & Ji (2019))             | 0.96M      | 0.635        | 0.746        | 0.873        | 0.928        |
|                            | MPNN(Gilmer et al. (2017))         | 0.52M      | 0.662        | 0.825        | 0.896        | 0.972        |
|                            | RGGC(Bresson & Laurent (2017))     | 0.66M      | 0.658        | 0.744        | 0.906        | 0.947        |
|                            | SGAT(Kim & Oh (2022))              | 0.55M      | 0.592        | 0.694        | 0.892        | 0.955        |
|                            | TAGC(Du et al. (2017))             | 0.57M      | 0.637        | 0.753        | 0.827        | 0.962        |
|                            | <b>Vision-HgNN</b>                 | 0.91M      | <b>0.819</b> | <b>0.864</b> | <b>0.942</b> | <b>0.994</b> |

|     | Algorithms                         | Parameters | Top-1        | Top-2        | Top-3        | Top-5        |      | Algorithms                           | Parameters | Top-1        | Top-2        | Top-3        | Top-5        |
|-----|------------------------------------|------------|--------------|--------------|--------------|--------------|------|--------------------------------------|------------|--------------|--------------|--------------|--------------|
| VCL | Barlowtwins(Zbontar et al. (2021)) | 8.99M      | 0.176        | 0.264        | 0.337        | 0.449        | ViTs | CVT(Wu et al. (2021))                | 0.26M      | 0.551        | 0.764        | 0.843        | 0.965        |
|     | SimCLR(Chen et al. (2020b))        | 8.73M      | 0.189        | 0.243        | 0.408        | 0.475        |      | CrossViT(Chen et al. (2021b))        | 0.84M      | 0.493        | 0.738        | 0.842        | 0.963        |
|     | Byol(Grill et al. (2020))          | 8.86M      | 0.163        | 0.245        | 0.323        | 0.437        |      | ATSC(Fayyaz et al. (2021))           | 3.26M      | 0.536        | 0.725        | 0.805        | 0.942        |
|     | Moco(He et al. (2020))             | 8.73M      | 0.174        | 0.196        | 0.264        | 0.468        |      | DeepViT(Zhou et al. (2021))          | 3.26M      | 0.537        | 0.762        | 0.893        | 0.961        |
|     | Nnclr(Dwibedi et al. (2021))       | 9.12M      | 0.153        | 0.271        | 0.441        | 0.538        |      | Distillation(Touvron et al. (2021b)) | 2.06M      | 0.524        | 0.733        | 0.859        | 0.953        |
|     | SimSiam(Chen & He (2021))          | 9.01M      | 0.196        | 0.301        | 0.416        | 0.561        |      | PiT(Heo et al. (2021))               | 4.48M      | 0.547        | 0.716        | 0.845        | 0.962        |
|     | <b>Vision-HgNN</b>                 | 0.91M      | <b>0.819</b> | <b>0.864</b> | <b>0.942</b> | <b>0.994</b> |      | <b>Vision-HgNN</b>                   | 0.91M      | <b>0.819</b> | <b>0.864</b> | <b>0.942</b> | <b>0.994</b> |

Table 1: Comparative study of our proposed method and the baseline algorithms.

#### 4.2 BENCHMARKING ALGORITHMS

We train the Vision-HgNN framework through a supervised learning approach for joint visual hypergraph inference and category prediction of micrographs. Table 1 provides a performance comparison of the Vision-HgNN framework with other baseline models, which include ConvNets, GNNs(Rozemberczki et al. (2021); Fey & Lenssen (2019)), and ViTs(al. (2022b;a)) architectures. In addition, we utilize the different self-supervised learning algorithms: Vision Contrastive Learning(VCL, et al. (2020))) and Graph Contrastive Learning(GCL, Zhu et al. (2021))) for comparison with our proposed method. We ensure a fair and rigorous comparison between the Vision-HgNN framework and the baseline algorithms by generating the results under identical experimental settings. The evaluation metric is the Top-N accuracy, where  $N \in \{1, 2, 3, 5\}$ . The standard deviation values are less than at most 4% of the mean value. The proposed method demonstrates the best performance with a high Top-1 accuracy score of 81.9% and a Top-5 score of 99.4%. The Vision-HgNN model brings a significant relative improvement of 21.87% and 16.50% in the Top-1 scores compared to the next-best baseline models T2TViT(Yuan et al. (2021)) among ViTs and GCN2C(Chen et al. (2020a)) among GNNs. The ablation studies, hyperparameters optimization, and other additional experimental results are reported and discussed in the appendix.

## 5 CONCLUSION

The challenge associated with the design of chips smaller than 7 nanometers is the increased complexity of the manufacturing process. As feature sizes shrink, the tolerance for errors in the manufacturing process decreases, making it more difficult to produce high-quality chips with consistent performance. In addition, the smaller feature sizes can lead to increased variability in the performance of the transistors, which can negatively impact the overall performance and reliability of the chip. Indeed, state-of-the-art imaging and analysis techniques are crucial in the development of next-generation semiconductor devices with feature sizes of 7nm or smaller. These techniques play a prominent role in the fabrication, inspection, and testing processes and are essential for driving the development of advanced microelectronics technologies. The high-resolution imaging of the device structures and materials allows for the identification of potential defects and deviations from design specifications, which can then be addressed through process optimization or design modification. In addition, these challenges present significant opportunities for innovation and the development of automatic material characterization methods for electron micrographs, which is essential for ensuring the quality and reliability of semiconductor devices. We conduct the first comprehensive study of the hypergraph-neural networks for electron micrograph classification tasks to improve the accuracy and efficiency of material characterization in various applications. We learn the optimal visual hypergraph structure to capture complex relationships and interactions between different spatial regions in an electron micrograph, allowing for a more robust and accurate representation of the micrograph. Hypergraph Neural Networks(HgNNs) operate on visual hypergraphs, where the patches in the micrograph are represented as hypernodes. The hyperedges in the hypergraph represent relationships between multiple patches, allowing for the capture of higher-order relationships between the patches in the micrograph. The experimental results corroborate our approach of augmenting HgAT layer stacks with a subsequent fully-connected transformer module(HgT) to achieve better performance compared to the state-of-art methods on automatic electron micrographs classification tasks. For future work, we would endeavor to generalize our framework on other electron micrograph datasets like REM, TEM, FE-SEM, STEM, etc., for anomaly detection, segmentation, etc.

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## A APPENDIX

### A.1 MODULES DESCRIPTION

#### A.1.1 HYPERGRAPH ATTENTION NETWORK(HGAT)

The HgAT(Veličković et al. (2017); Brody et al. (2021)) architecture extends the traditional convolution operation on the visual hypergraphs. It combines both local and global attention mechanisms to learn robust and expressive representations of visual hypergraphs. The hypergraph encoder(HgAT) is designed to utilize the hypergraph structure( $\mathbf{H}$ ) and feature matrix( $\mathbf{X}$ ) to compute the hypernode embeddings  $\mathbf{h}_{v_i} \in \mathbb{R}^d, \forall i \in \mathcal{V}$ . We learn the optimal embeddings  $\mathbf{h}_{v_i}$  to preserve the high-level visual content embedded in the structural characteristics and feature attributes of the hypergraph. The layer-wise HgAT operator performs local and global-neighborhood aggregation for utilizing the powerful relational inductive bias of spatial equivariance encoded by the hypergraph’s connectivity to model the fine-grained correlations explicitly between visual patches. We perform the attention-based intra-edge neighborhood aggregation for learning the latent hyperedge embeddings as,

$$\mathbf{h}_{e_p}^{(\ell)} = \sum_{z=1}^Z \sigma \left( \sum_{i \in \mathcal{N}_{p,i}} \alpha_{p,i}^{(\ell,z)} \mathbf{W}_0^{(z)} \mathbf{h}_{v_i}^{(\ell-1,z)} \right), \ell = 1 \dots L_{\text{HgAT}} \quad (3)$$

where the superscript  $\ell$  denotes the layer and for scenario  $\ell = 1$ ,  $\mathbf{h}_{v_i}^{(0,z)} = \mathbf{x}_{v_i}$ .  $\mathbf{h}_{e_p} \in \mathbb{R}^d$  denotes the hyperedge embeddings. We compute multiple-independent embeddings in each layer with different trainable parameters and output summed-up embeddings.  $\sigma$  is the sigmoid function. The attention coefficient  $\alpha_{p,i}$  determines the relative importance of the hypernode  $i$  incident with the hyperedge,  $p$  and is computed by,

$$\alpha_{p,i}^{(\ell,z)} = \frac{\exp(e_{p,i}^{(\ell,z)})}{\sum_{i \in \mathcal{N}_{p,i}} \exp(e_{p,i}^{(\ell,z)})}; e_{p,i}^{(\ell,z)} = \text{ReLU}(\mathbf{W}_0^{(z)} \mathbf{h}_{v_i}^{(\ell-1,z)}) \quad (4)$$

where  $e_{p,i}$  denote the attention score. We then model the complex relations between hyperedges and hypernodes by performing the attention-based inter-edge neighborhood aggregation for learning the expressive hypernode embeddings as described by,

$$\mathbf{h}_{v_i}^{(\ell)} = \sum_{z=1}^Z \text{ReLU}(\mathbf{W}_0^{(z)} \mathbf{h}_{v_i}^{(\ell-1,z)} + \sum_{p \in \mathcal{N}_{i,p}} \beta_{i,p}^{(\ell,z)} \mathbf{W}_1^{(z)} \mathbf{h}_{e_p}^{(\ell,z)}), \ell = 1 \dots L_{\text{HgAT}} \quad (5)$$

where  $\mathbf{W}_0^{(z)}, \mathbf{W}_1^{(z)} \in \mathbb{R}^{d \times d}$  are learnable weight matrices. We utilize the ReLU function to introduce non-linearity for updating the hypernode-level embeddings. The normalized attention scores  $\beta_{i,p}$  specifies the importance of hyperedge  $p$  incident with hypernode  $i$  and are computed by,

$$\beta_{i,p}^{(\ell,z)} = \frac{\exp(\phi_{i,p}^{(\ell,z)})}{\sum_{p \in \mathcal{N}_{i,p}} \exp(\phi_{i,p}^{(\ell,z)})}; \phi_{i,p}^{(\ell,z)} = \text{ReLU}(\mathbf{W}_3^{(z)} \cdot (\mathbf{W}_2^{(z)} \mathbf{h}_{v_i}^{(\ell-1,z)} \oplus \mathbf{W}_2^{(z)} \mathbf{h}_{e_p}^{(\ell,z)})) \quad (6)$$

where  $\mathbf{W}_2^{(z)} \in \mathbb{R}^{d \times d}$  and  $\mathbf{W}_3^{(z)} \in \mathbb{R}^{2d}$  are weight matrix and vector.  $\oplus$  denotes the concatenation operator.  $\phi_{i,p}$  is the unnormalized attention score. Stacking multiple layers broadens the receptive field, but performance degrades due to over-squashing(Alon & Yahav (2020)) and over-smoothing issues(Li et al. (2018)). In between each layer, we apply batch norm and dropout for regularization. We concatenate the embeddings of each HgAT layer, transform it through a linear projection, and serve as input to the HgT network.

#### A.1.2 HYPERGRAPH TRANSFORMER(HGT)

The HgT operator generalizes the transformer neural networks(Vaswani et al. (2017)) to arbitrary sparse hypergraph structures with full attention as a desired inductive bias for generalization. The permutation-invariant HgT module models the pairwise relations between all hypernodes and updates the hypernode-level embeddings by exploiting global contextual information in the visual hypergraphs. The HgT module with no structural priors acts as a drop-in replacement for various other methods of stacking multiple HgNN layers with residual connections(Fey (2019), Xu et al. (2018)), virtual hypernode mechanisms(Gilmer et al. (2017); Pham et al. (2017)), or hierarchical pooling schemes(Rampášek & Wolf (2021), Lee et al. (2019)) to model the long-range correlations in the visual hypergraph. The HgT operator incentivizes learning the fine-grained relations to facilitate learning of expressive embeddings by spanning large receptive fields to effectively capture the high-level semantic information embedded in the hypergraph structure. The transformer encoder(Vaswani et al. (2017)) consists of alternating layers of multiheaded self-attention(MSA) and MLP blocks. We

apply Layernorm(LN(Ba et al. (2016))) for regularization and residual connections after every block. We skip the details for conciseness and to avoid notion clutter. Inspired by ResNets(He et al. (2016)), we add skip-connections through an initial connection strategy to relieve the vanishing gradients and over-smoothing issues.

$$\mathbf{h}'_{v_i}^{(\ell)} = \text{MSA} (\text{LN} (\mathbf{h}_{v_i}^{(\ell-1)} + \mathbf{x}_p^i \mathbf{E})) + \mathbf{h}_{v_i}^{(\ell-1)}, \quad \ell = 1 \dots L_{\text{HgT}} \quad (7)$$

$$\mathbf{z}_{v_i}^{\ell} = \text{MLP} (\text{LN} (\mathbf{h}'_{v_i}^{(\ell)})) + \mathbf{h}'_{v_i}^{(\ell)}, \quad \ell = 1 \dots L_{\text{HgT}} \quad (8)$$

We do not add position embeddings in skip-connections as HgAT operator had encoded the structural information into the hypernode embeddings. HgT overcomes the inherent information bottleneck of HgAT representational capacity for effective hypergraph summarization. It does so by learning hypernode-to-hypernode relations beyond the original sparse structure and distills the long-range information in the downstream layers to learn task-specific expressive hypergraph embeddings.

## A.2 ALGORITHMIC ARCHITECTURE

Algorithm 1 summarizes the hypergraph structure learning(HgSL) module. While Algorithm 2 gives an overview of the Vision-HgNN framework. Our implementation utilizes the HgAT module to encode discrete visual hypergraphs to compute the hypernode embeddings, and the HgT module refines the embeddings through the self-attention mechanism. The HgRo module computes the hypergraph-level embedding to facilitate the classification task

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**Algorithm 1:** Hypergraph structure learning

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**Input:** Electron micrograph,  $\mathbf{X}' \in \mathbb{R}^{h \times w \times c}$ , where  $h$  is the height,  $w$  is the width, and  $c$  is the number of channels, patch size  $p$ , patch feature representation size ( $d$ )

**Output:** Visual hypergraph  $\mathcal{G}$ , incidence matrix  $\mathbf{H} \in \mathbb{R}^{n \times n}$ , feature matrix  $\mathbf{X} \in \mathbb{R}^{n \times d}$

**Model Parameters:** Patch and Position embedding matrices  $\mathbf{E} \in \mathbb{R}^{p^2c \times d}$ ,  $\mathbf{E}_{pos} \in \mathbb{R}^{n \times d}$

**1:** reshape electron micrograph,  $\mathbf{X}' \in \mathbb{R}^{h \times w \times c} \rightarrow \mathbf{X}' \in \mathbb{R}^{n \times p^2c}$ ,  $\mathbf{x}_p^i \in \mathbb{R}^{p^2c}, i = 1, \dots, n$

**2:**  $[\mathbf{x}_{v_1}, \dots, \mathbf{x}_{v_n}] = [\mathbf{x}_p^1; \mathbf{x}_p^2; \dots; \mathbf{x}_p^n] \mathbf{E}, \mathbf{x}_{v_i} \in \mathbb{R}^d, i = 1, \dots, n$  // linear transformation

**3:**  $[\mathbf{x}_{v_1}, \dots, \mathbf{x}_{v_n}] = [\mathbf{x}_{v_1}, \dots, \mathbf{x}_{v_n}] + \mathbf{E}_{pos}$ , // add position embeddings

**4:**  $\mathbf{X} = [\mathbf{x}_{v_1}, \dots, \mathbf{x}_{v_n}], \mathbf{X} \in \mathbb{R}^{n \times d}$  // feature matrix

**for** patch  $i = 1, \dots, n$  **do**

**for** patch  $j = 1, \dots, n$  **do**

$d_{i,j}^{(p)} = (\sum_{z=1}^d |\mathbf{x}_{v_i}^{(z)} - \mathbf{x}_{v_j}^{(z)}|^2)^{1/2}; i \neq j$  //  $d_{i,j}^{(p)}$  denotes the distance similarity measure between a pair of hypernodes,  $i$  and  $j$  connected by any hyperedge  $p$

**end**

**end**

**5:**  $\mathbf{H}_{j,p} = \mathbb{1}\{j \in \text{Top-K}(\min\{d_{i,j}^{(p)}\}, j \in \mathcal{V})\} | \mathbf{H}_{i,p} = 1$

// Top-K returns the indices of the K-nearest hypernodes of  $i$

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**Algorithm 2:** Vision-HgNN framework

---

**Input:** Visual hypergraph  $\mathcal{G}$ , incidence matrix  $\mathbf{H} \in \mathbb{R}^{n \times n}$ , the number of hypergraph attention layers  $L_{\text{HgAT}}$ , number of transformer layers  $L_{\text{HgT}}$ , feature matrix  $\mathbf{X} \in \mathbb{R}^{n \times d}$

**Output:** model predictions of electron micrographs category,  $y^p$

**Model Parameters:**  $\mathbf{W}_0^{(z)}, \mathbf{W}_1^{(z)}, \mathbf{W}_2^{(z)}, \mathbf{W}^{\text{out}} \in \mathbb{R}^{n \times d}, \mathbf{E} \in \mathbb{R}^{p^2 c \times d}$

```

for layer  $\ell = 1, \dots, L_{\text{HgAT}}$  do
    evaluate  $\alpha_{p,i}^{(\ell,z)}$  // attention coefficient
     $\mathbf{h}_{e_p}^{(\ell)} = \sum_{z=1}^Z \sigma \left( \sum_{i \in \mathcal{N}_{p,i}} \alpha_{p,i}^{(\ell,z)} \mathbf{W}_0^{(z)} \mathbf{h}_{v_i}^{(\ell-1,z)} \right)$  // hyperedge embeddings
    evaluate  $\beta_{i,p}^{(\ell,z)}$  // attention coefficient
     $\mathbf{h}_{v_i}^{(\ell)} = \sum_{z=1}^Z \text{ReLU} \left( \mathbf{W}_0^{(z)} \mathbf{h}_{v_i}^{(\ell-1,z)} + \sum_{p \in \mathcal{N}_{i,p}} \beta_{i,p}^{(\ell,z)} \mathbf{W}_1^{(z)} \mathbf{h}_{e_p}^{(\ell,z)} \right)$  // hypernode
    embeddings
end
compute  $\mathbf{h}_{v_i} = [\mathbf{h}_{v_i}^{(\ell)} || \dots || \mathbf{h}_{v_i}^{(L_{\text{HgAT}})}] \mathbf{W}, \mathbf{W} \in \mathbb{R}^{d \cdot L_{\text{HgAT}} \times d}$ 
for layer  $\ell = 1, \dots, L_{\text{HgT}}$  do
     $\mathbf{h}'_{v_i}^{(\ell)} = \text{MSA} \left( \text{LN} \left( \mathbf{h}_{v_i}^{(\ell-1)} + \mathbf{x}_p^i \mathbf{E} \right) \right) + \mathbf{h}_{v_i}^{(\ell-1)}$  // apply multi-head
    self-attention
     $\mathbf{z}_{v_i}^{\ell} = \text{MLP} \left( \text{LN} \left( \mathbf{h}'_{v_i}^{(\ell)} \right) \right) + \mathbf{h}'_{v_i}^{(\ell)}$  // refine hypernode-level embeddings
end
 $\mathbf{z}^{L_{\text{HgT}}} = \text{READOUT}(\{\mathbf{z}_{v_1}^{L_{\text{HgT}}}, \dots, \mathbf{z}_{v_n}^{L_{\text{HgT}}}\})$  // Aggregate hypernode embeddings
 $y_i^p = \text{softmax}(\mathbf{W}^{\text{out}} \mathbf{z}^{L_{\text{HgT}}})$  // Category predictions

```

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**A.3 EXPERIMENTAL SETUP**

The data pre-processing involves per electron micrograph standard intensity normalization with a mean and covariance of 0.5 across all the channels to obtain normalized electron micrographs to the [-1, 1] range. The size of each electron micrograph in the SEM dataset (Aversa et al. (2018)) is  $1024 \times 768 \times 3$  pixels. We resize electron micrographs to obtain a relatively lower spatial resolution,  $256 \times 256 \times 3$  pixels, and split the downsampled electron micrographs into non-overlapping uniform patches of size  $32 \times 32 \times 3$  pixels. The total number of patches( $n$ ) for each electron micrograph is 64. The position embedding( $\mathbf{E}_{pos}$ ) and patch embedding( $\mathbf{E}$ ) have a dimensionality size( $d$ ) of 128. We obtain the visual hypergraph representations of the electron micrographs through the Top-K nearest neighbor search algorithm with the optimal K value is 20. We utilize the k-fold cross-validation technique to evaluate the performance of our proposed method with  $k = 10$ . The training dataset comprises consecutive “k-2” of the folds. The validation and test dataset contains each with “1” in the remaining “2” folds. We implement an early stopping technique on the validation set to prevent the model from over-fitting and for model selection. The model is trained for 100 epochs to learn from the training dataset. We set the initial learning rate as  $1e^{-3}$ . The recommended batch size is 48. The optimal number of layers of HgAT and HgT operators, i.e.,  $L_{\text{HgAT}}$  and  $L_{\text{HgT}}$ , are 2. The number of multiple-independent replicas( $Z$ ) is 4 for the HgAT operator. We utilize a learning rate scheduler to drop the learning rate by half if the Top-1 accuracy shows no improvement on the validation dataset for a waiting number of 10 epochs. We run the adam optimization algorithm to minimize the cross-entropy loss between the ground-truth labels and the model predictions. We train our model and baseline methods on multiple NVIDIA Tesla T4, Nvidia Tesla v100, and GeForce RTX 2080 GPUs built upon the PyTorch framework. We evaluate the model performance and report the evaluation metrics on the test dataset. Each computational experiment runs for a unique random seed. In this work, we report the ensemble average of the results obtained from five computational experiments. The experimental results reported are the average value of the different random seeds-based experimental run outputs.

**A.4 BASELINE SETTINGS**

We construct the visual graphs representation of the electron micrographs through the Top-K nearest neighbor search technique, where the patches had viewed as nodes and the edges model the pairwise associations between the semantic nearest-neighbor nodes. The baseline GNNs (Rozemberczki

et al. (2021); Fey & Lenssen (2019)) operate on the visual graphs to perform the classification task trained through the supervised learning approach. We utilize the graph contrastive learning(GCL, Zhu et al. (2021)) algorithms to learn the unsupervised node embeddings. The node-level graph encoder of the GCL algorithms had modeled by the GAT (Veličković et al. (2017)) algorithm. We compute the graph-level embedding through the sum-pooling of the node-level embeddings. The random Forest(RF) algorithm utilizes the unsupervised graph-level embeddings to predict the electron micrograph categories trained through the supervised learning technique. We report the RF model classification accuracy on the holdout data to evaluate the quality of unsupervised embeddings. The baseline ConvNets operate on the regular grid of electron micrographs to perform classification tasks trained through a supervised learning approach. The baseline ViTs (al. (2022b;a)) were trained on the supervised learning classification task to learn from the sequence of patches of each electron micrograph. Furthermore, we leverage visual-contrastive learning(VCL, et al. (2020)) techniques, i.e., computer-vision-based self-supervised algorithms for performing contrastive learning of visual representations to report classification accuracy. We utilized the ResNet backbone architecture for feature extraction. To reduce the baseline model complexity, we set the dimensionality size( $d$ ) of the patches to 64. We leverage the 10-fold cross-validation technique to evaluate the performance of the baseline methods. We train the baseline models for 100 epochs. The batch size is 48.

#### A.5 STUDY OF MODULES

We conduct detailed ablation studies to shed light on the relative contribution of modules for the improved overall performance of our framework. We gradually exclude the modules to design several variants of our framework and then investigate the variant model’s performance compared to the Vision-HgNN model on the SEM dataset to demonstrate the efficacy and support the rationale of our modules. We refer to w/o HgAT and w/o HgT as Vision-HgNN models without HgAT and HgT modules, respectively. Table 2 shows the results of the ablation studies.

| Algorithms  | Top-1              | Top-2              | Top-3              | Top-5              | Avg-Precision      | Avg-Recall         | Avg-F1 Score       |
|-------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Vision-HgNN | <b>0.819±0.005</b> | <b>0.864±0.009</b> | <b>0.942±0.005</b> | <b>0.990±0.001</b> | <b>0.784±0.006</b> | <b>0.718±0.008</b> | <b>0.731±0.004</b> |
| w/o HgAT    | 0.682±0.008        | 0.783±0.007        | 0.900±0.013        | 0.968±0.007        | 0.713±0.008        | 0.662±0.005        | 0.657±0.005        |
| w/o HgT     | 0.497±0.013        | 0.644±0.010        | 0.834±0.008        | 0.946±0.009        | 0.588±0.007        | 0.543±0.002        | 0.548±0.001        |

Table 2: The table reports the results of ablation studies.

| Category             | Multi-class metrics |             |             |
|----------------------|---------------------|-------------|-------------|
|                      | Precision           | Recall      | F1 Score    |
| Biological           | 0.700±0.016         | 0.728±0.004 | 0.713±0.007 |
| Tips                 | 0.705±0.014         | 0.656±0.007 | 0.608±0.016 |
| Fibres               | 0.922±0.033         | 0.625±0.010 | 0.751±0.011 |
| Porous Sponge        | 0.833±0.009         | 0.852±0.009 | 0.842±0.009 |
| Films Coated Surface | 0.849±0.002         | 0.837±0.003 | 0.843±0.000 |
| Patterned surface    | 0.766±0.007         | 0.823±0.020 | 0.793±0.013 |
| Nanowires            | 0.751±0.009         | 0.756±0.001 | 0.754±0.004 |
| Particles            | 0.816±0.017         | 0.481±0.055 | 0.572±0.033 |
| MEMS devices         | 0.676±0.003         | 0.705±0.000 | 0.670±0.013 |
| Powder               | 0.822±0.013         | 0.721±0.002 | 0.767±0.004 |

Table 3: The table reports the performance of the Vision-HgNN framework on each electron-micrograph category classification task of the SEM dataset.

We additionally report the average precision, recall, and F1 score across the electron micrograph categories to evaluate the framework performance on the highly unbalanced SEM dataset. The w/o HgAT variant model reports a drop in performance of 9.06% in the precision score, 7.79% in the recall, and 10.12% in the F1 score w.r.t. Vision-HgNN model. Likewise, regarding w.r.t precision, recall, and F1 score, we observe a 25%, 24.37%, and 25.03% decline in w/o HgT variant model performance compared to the Vision-HgNN model. The variant model’s performance disentangles the relative gains of each module and corroborates the hypothesis of the joint optimization of modules for better learning on the visual hypergraphs. The experimental results support our modules, HgAT and HgT effectiveness for capturing the short-range and high-level, long-range correlations, respectively, for the improved overall performance of our proposed framework. Table 3 reports the unaveraged multi-class evaluation metrics such as precision, recall, and F1 score of the Vision-HgNN framework performance on each predefined electron micrograph category. The results reported in Table 3 demonstrate that our proposed framework generalizes well despite the complexity of patterns across

the broad spectrum of electron micrograph categories, with a relatively higher score for the more labeled electron micrograph categories w.r.t. to the fewer labeled categories. The less electron micrograph-specific relational inductive bias offers an advantage for the Vision-HgNN framework to perform better on the classification task than the traditional methods.

#### A.6 ABLATION STUDIES

Our proposed Vision-HgNN framework consists of HgSL, HgAT, HgT, and HgRo modules. We study the impact of each module in great detail that is responsible for the enhanced performance of our framework by substituting the modules with well-known algorithms of similar functionality to design replaced models. We compare the performance of the replaced models with our proposed framework to support the efficacy of our modules.

**Study of HgSL Module:-** We study the effectiveness of the HgSL module in modeling the complex relations among the spatially and semantically dependent visual patches for a structured representation of electron micrographs. The HgSL module learns the optimal K-uniform bi-directed hypergraph structure of the electron micrographs through the top-K nearest neighbor search algorithm. The most popular graph-based approaches for constructing the optimal graph structure are classified into two categories, (a) constructing a K-regular bi-directed graph or (b) the K-irregular bi-directed graph. The K-irregular graph construction techniques overcome the limitations of K-uniform graph structure learning techniques, which are not continuously differentiable. The former algorithmic approach in the literature includes (a) the top-K nearest neighbor search strategy using cosine similarity(CS,Deng & Hooi (2021)) and (b) structure learning through implicit correlations of node embeddings(MTGNN, Wu et al. (2020)). The later algorithmic techniques include (a) parametrization of the adjacency matrix and sample through the Gumbel reparameterization trick (Jang et al. (2016); Kool et al. (2019)) to output the link probability between nodes(GPT, Shang et al. (2021)), (b) regularized graph generation(RGG, Yu et al. (2022)) to learn sparse implicit graph by dropping redundant connections between nodes, and (c) graph relational learning using the self-attention mechanism(GRL, Zhang et al.) to construct a graph from observed data. We refer to the Vision-HgNN model for which the HgSL module is modeled with the different operators as follows,

- w/ CS: Vision-HgNN model with the CS operator.
- w/ MTGNN: Vision-HgNN model with the MTGNN operator.
- w/ GPT: Vision-HgNN model with the GPT operator.
- w/ RGG: Vision-HgNN model with the RGG operator.
- w/ GRL: Vision-HgNN model with the GRL operator.

Table 4 reports the performance of the replaced models compared to the Vision-HgNN framework. The Top-1 scores of the substituted models, w/ MTGNN, w/ GPT, w/ RGG, w/ GRL declined by 10.74%, 12.94%, 15.63% , 12.69% on SEM dataset compared to the Vision-HgNN model. The impact of w/ CS is marginal and achieves on-par performance compared to the Vision-HgNN model. The results show the advantages of utilizing the HgSL module modeled with the top-K nearest neighbor search technique, a simple yet effective similarity learning technique based on the Euclidean distance between patches for capturing the underlying higher-order relational information in visual hypergraphs.

| Algorithms  | Top-1 | Top-2 | Top-3 | Top-5 | Avg-Precision | Avg-Recall | Avg-F1 Score |
|-------------|-------|-------|-------|-------|---------------|------------|--------------|
| Vision-HgNN | 0.819 | 0.864 | 0.942 | 0.994 | 0.784         | 0.718      | 0.731        |
| w/ CS       | 0.790 | 0.833 | 0.909 | 0.959 | 0.756         | 0.693      | 0.706        |
| w/ MTGNN    | 0.731 | 0.763 | 0.843 | 0.897 | 0.699         | 0.639      | 0.644        |
| w/ GPT      | 0.713 | 0.744 | 0.822 | 0.875 | 0.682         | 0.616      | 0.632        |
| w/ RGG      | 0.691 | 0.718 | 0.788 | 0.844 | 0.668         | 0.621      | 0.627        |
| w/ GRL      | 0.715 | 0.749 | 0.826 | 0.862 | 0.694         | 0.633      | 0.645        |

Table 4: The table reports the comparative study of the various structure learning techniques.

**Study of HgAT Module:-** (a) We study the importance of the **attention mechanism** in the hypernode-level hypergraph encoder(HgAT) to compute the expressive hypernode embeddings( $\mathbf{h}_{v_i}$ ) of visual hypergraphs. We disable the attention mechanism of the layerwise HgAT operator. We perform the unweighted sum-pooling operation on the neural messages in the intra- and inter-neighborhood aggregation scheme for computing hypergraph embeddings. We refer to the Vision-HgNN model in the absence of the attention-mechanism for determining the hyperedge( $\mathbf{h}_{e_p}$ ) and hypernode( $\mathbf{h}_{v_i}$ ) embeddings as follows,

- **w/o  $\alpha_{p,i}, \beta_{i,p}$ :** Vision-HgNN model without the attention mechanism.

Eliminating the attention mechanism degrades the replaced model performance, as evident in Table 5. In particular, it decreases the Top-1 accuracy w.r.t. the Vision HgNN model by more than 13.79% on the SEM dataset. The attention mechanism automatically learns the relative importance among the incident hypernodes and hyperedges during the local and global neighborhood aggregation. It provides beneficial inductive bias to capture the dominant-visual patterns in the electron micrographs across the categories. The hypergraph attention mechanism models the fine-grained correlations for encoding the higher-order relationships in the embeddings for better learning the visual hypergraphs.

| Algorithms                      | Top-1 | Top-2 | Top-3 | Top-5 | Avg-Precision | Avg-Recall | Avg-F1 Score |
|---------------------------------|-------|-------|-------|-------|---------------|------------|--------------|
| Vision-HgNN                     | 0.819 | 0.864 | 0.942 | 0.994 | 0.784         | 0.718      | 0.731        |
| w/o $\alpha_{p,i}, \beta_{i,p}$ | 0.706 | 0.742 | 0.812 | 0.827 | 0.667         | 0.619      | 0.630        |

Table 5: The table shows the comparative study of the model’s performance with and without the attention mechanism in HgAT.

(b) We analyze the usefulness of **latent master-hypernode** to encode the long-range hypernode relations in the visual hypergraph embeddings for enhancing classification performance. We add a superhypernode(or virtual master hypernode, Gilmer et al. (2017); Ishiguro et al. (2019); Pham et al. (2017); Hwang et al. (2021)) for augmenting the visual hypergraphs. The master hypernode had connected to all hyperedges of the augmented hypergraph. It provides an additional route for neural message-passing schema on visual hypergraphs. The master hypernode embeddings contain the global latent information of the visual hypergraphs. Each hyperedge reads and writes to transform the master hypernode embedding through the intra- and inter-neighborhood aggregation-based message-passing attention networks. We design a replaced model by disabling the HgT module to operate on the augmented hypergraphs as follows,

- w/ Virtual: **Vision-HgNN** model without the HgT module to operate on augmented hypergraphs.

The degradation of the variant performance is evident in Table 6, which shows a drop of 11.72% in the Top-1 accuracy compared to the Vision HgNN model. The latent “master” hypernode is ineffective for capturing long-range hypernode-to-hypernode relations to encode the spatial dependencies among patches in the hypergraphs embeddings for effectively learning on the visual hypergraphs-topology compared to the HgT module.

| Algorithms  | Top-1 | Top-2 | Top-3 | Top-5 | Avg-Precision | Avg-Recall | Avg-F1 Score |
|-------------|-------|-------|-------|-------|---------------|------------|--------------|
| Vision-HgNN | 0.819 | 0.864 | 0.942 | 0.994 | 0.784         | 0.718      | 0.731        |
| w/ Virtual  | 0.723 | 0.761 | 0.832 | 0.847 | 0.684         | 0.634      | 0.648        |

Table 6: The table shows the comparative study of the model’s performance with and without the latent “master” hypernode.

| Algorithms      | Top-1 | Top-2 | Top-3 | Top-5 | Avg-Precision | Avg-Recall | Avg-F1 Score |
|-----------------|-------|-------|-------|-------|---------------|------------|--------------|
| Vision-HgNN     | 0.819 | 0.864 | 0.942 | 0.994 | 0.784         | 0.718      | 0.731        |
| w/ TopK Pooling | 0.695 | 0.731 | 0.803 | 0.814 | 0.657         | 0.603      | 0.620        |
| w/ SAG Pooling  | 0.732 | 0.770 | 0.843 | 0.866 | 0.699         | 0.642      | 0.656        |

Table 7: The table shows the comparative study of the model’s performance with different hierarchical pooling schemes.

(c) We study the effectiveness of **hierarchical pooling schemes**(Gao & Ji (2019); Lee et al. (2019)) for better learning the long-range, higher-order dependencies in visual hypergraphs. The spatial pooling operator learns the hierarchical representations in a two-stage approach to model the large portions in the visual hypergraphs. (a) assigns a score to all hypernodes and drops the low-scoring hypernodes and the corresponding hyperedges, which contain noise or less prominent patterns. (b) samples the high-scoring hypernodes to obtain hierarchical-induced visual subhypergraphs. (c) performs the hierarchical message passing schemes on pooled hypergraphs for encoding the hypergraph’s local and global structure information to compute higher-order representations of the visual hypergraphs. We obtain a replaced model by (a) disabling the HgT module, (b) stacking three layers of pooling operators(pooling ratio( $p_r$ ) as 0.75) interleaved with the HgAT module, and refer to the Vision-HgNN model as follows,

- w/ TopK Pooling: **Vision-HgNN** model with the TopK Pooling operator (Gao & Ji (2019)).

- w/ SAG Pooling: **Vision-HgNN** model with the SAG Pooling operator(Lee et al. (2019)).

The performance degradation of the replaced models was evident in the SEM dataset, as indicated in Table 7. The replaced models, w/ TopK Pooling, w/ SAG Pooling yields an overall 15.14%, 10.62% relative lower Top-1 accuracy compared to the Vision-HgNN model. The self-attention mechanism-based HgT module is more effective for capturing long-range spatial dependencies by computing all pairwise interactions among hypernodes through a position-agnostic fashion for learning about visual hypergraphs.

**Study of HgT Module:-** Inspired by using a special-classification token(<CLS>) in transformer architectures (Jiang et al. (2022); Pan et al. (2022); Devlin et al. (2018)) for sentence-level classification tasks. We will append a special-patch(<CLS>) embedding along with the hypernode’s embeddings corresponding to the visual hypergraphs as the input set of embeddings sequence to the HgT module. Note: We do not add the special patch(<CLS>) as a virtual hypernode to the visual hypergraph. This method overcomes the drawbacks of the information bottleneck problems of the virtual hypernode augmentation technique. The former algorithm prevents learning pairwise relationships between hypernodes except with the virtual hypernode. The special-patch incorporated variant model encodes the one-to-one relations between the <CLS> patch and every other patch of the visual hypergraphs in the hypergraph embeddings with the self-attention module. We hypothesize that the special-patch(<CLS>) embedding contains complete visual hypergraph information. We apply the softmax operator to special-patch embedding and predict the category of the visual hypergraphs. Thus, we obtain a replaced model with the special-patch-inspired readout mechanism coupled with the HgT module as follows,

- w/ <CLS>: The Vision-HgNN model with <CLS> patch in HgT module and disabled HgRo module.

| Algorithms  | Top-1 | Top-2 | Top-3 | Top-5 | Avg-Precision | Avg-Recall | Avg-F1 Score |
|-------------|-------|-------|-------|-------|---------------|------------|--------------|
| Vision-HgNN | 0.819 | 0.864 | 0.942 | 0.994 | 0.784         | 0.718      | 0.731        |
| w/ <CLS>    | 0.747 | 0.779 | 0.839 | 0.917 | 0.707         | 0.672      | 0.677        |

Table 8: The table reports the comparative study of the special-token-based hypergraph readout mechanism with our proposed method.

The experimental results had illustrated in Table 8. We notice a drop in the variant performance compared to our proposed method. The Top-1 accuracy of the design variant, w/ <CLS>, declined by 8.79% on the SEM dataset compared to the Vision-HgNN model.

| Algorithms  | Top-1 | Top-2 | Top-3 | Top-5 | Avg-Precision | Avg-Recall | Avg-F1 Score |
|-------------|-------|-------|-------|-------|---------------|------------|--------------|
| Vision-HgNN | 0.819 | 0.864 | 0.942 | 0.994 | 0.784         | 0.718      | 0.731        |
| w/GMT       | 0.774 | 0.816 | 0.890 | 0.939 | 0.741         | 0.679      | 0.691        |
| w/GA        | 0.786 | 0.828 | 0.884 | 0.954 | 0.752         | 0.703      | 0.716        |
| w/Set2Set   | 0.719 | 0.741 | 0.818 | 0.882 | 0.698         | 0.650      | 0.658        |
| w/GSM       | 0.737 | 0.753 | 0.841 | 0.909 | 0.716         | 0.666      | 0.675        |

Table 9: The table reports the comparative study of the hypergraph readout baseline operators.

**Study of HgRo Module:-** We probe the HgRo module’s effectiveness compared to the well-known algorithms of identical functionality. The hypergraph readout module performs global average pooling on the hypernode-level embeddings to compute the visual hypergraph-level embedding. We utilize well-known methods as baseline operators to perform global-pooling operations on the hypergraphs. The list includes GraphMultisetTransformer(GMT, Baek et al. (2021)), GlobalAttention(GA, Li et al. (2015)), Set2Set(Vinyals et al. (2015)), and Global Summation Pooling(GSM). We refer to the **Vision-HgNN** model with the baseline operators for modeling the global readout function(HgRo module) as follows:

- w/ GMT: The Vision-HgNN model with GMT operator.
- w/ GA: The Vision-HgNN model with GA operator.
- w/ Set2Set: The Vision-HgNN model with Set2Set operator.
- w/ GSM: The Vision-HgNN model with GSM operator.

The results reported in Table 9 across all the evaluation metrics demonstrate no significant improvements in the replaced model’s performance compared to our proposed method with the global average pooling operator. Overall, our hypergraph readout module proves effective by learning to compute the optimal hypergraph-level representations while maximally preserving the visual hypergraph’s global-contextual information.

### A.7 HYPERPARAMETER STUDIES

We perform an in-depth hyperparameter tuning to determine the optimal hyperparameters of our framework. The algorithm hyperparameters are (1) the dimensionality of embedding( $d$ ). (2) The stack of HgAT operators( $L_{\text{HgAT}}$ ) and the HgT operators( $L_{\text{HgT}}$ ). Hyperparameters had chosen from the following ranges: embedding dimension( $d$ )  $\in [32, 256]$ ,  $L_{\text{HgAT}} \in [1, 8]$ , and  $L_{\text{HgT}} \in [1, 6]$ . We perform hyperparameter optimization using the grid-search technique to yield the optimal performance of our proposed method on the validation dataset in terms of the Top-1 classification accuracy. For each experiment, we change the hyperparameter under investigation to determine the impact on the model performance. The optimal hyperparameters determined from the study are as follows,  $d$  is 128,  $L_{\text{HgAT}}$ , and  $L_{\text{HgAT}}$  is 2.

| $d$ | 32    | 64    | 128   | 256   | $L_{\text{HgAT}}$ | 1     | 2     | 4     | 8     | $L_{\text{HgT}}$ | 1     | 2     | 4     | 6     |
|-----|-------|-------|-------|-------|-------------------|-------|-------|-------|-------|------------------|-------|-------|-------|-------|
|     | 0.632 | 0.740 | 0.813 | 0.802 |                   | 0.712 | 0.813 | 0.673 | 0.520 |                  | 0.754 | 0.813 | 0.830 | 0.798 |

Table 10: The table reports the experimental results of the hyperparameter study.

### A.8 SEM DATASET

The SEM dataset contains ten diverse electron micrograph categories of nanomaterials such as *biological, fibers, films, MEMS, patterned surfaces, etc.* Table 11 shows the unequal distributions in the total count of each electron micrograph category. A few illustrative electron micrographs belonging to the different nanomaterials had shown in Figure 5.

| Category                    | Number of images |
|-----------------------------|------------------|
| Biological                  | 973(4.57%)       |
| Tips                        | 1625(7.63%)      |
| Fibres                      | 163(0.76%)       |
| Porous Sponge               | 182(0.85%)       |
| Films Coated Surface        | 327(1.53%)       |
| Patterned surface           | 4756(22.34%)     |
| Nanowires                   | 3821(17.95%)     |
| Particles                   | 3926(18.44%)     |
| MEMS devices and electrodes | 4591(21.57%)     |
| Powder                      | 918(4.31%)       |
| Total                       | 21282            |

Table 11: Summary of SEM dataset: The listing of electron micrographs count per category.

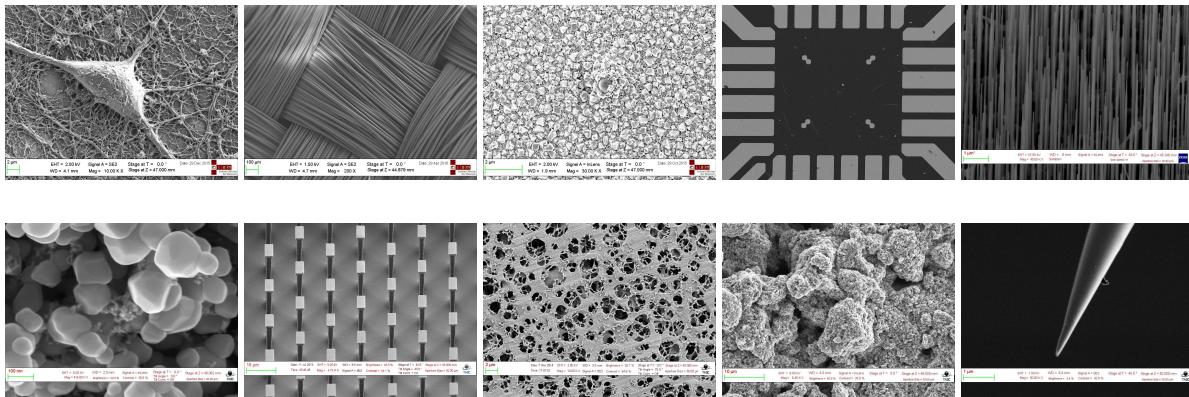


Figure 5: The figure shows the electron micrograph categories in the SEM dataset (Aversa et al. (2018))(left to right in the first row: *biological, fibers, films, MEMS, nanowires*; left to right in the second row: *particles, patterned surface, porous sponges, powder, tips*).

### A.8.1 BENCHMARKING ON OPEN-SOURCE MATERIAL DATASETS

- **NEU-SDD**<sup>1</sup> (Deshpande et al. (2020)) is a hot-rolled steel-strip surface defects database consisting of 1800 gray-scale electron micrographs with a resolution of  $200 \times 200$  pixels. The dataset had categorized into six surface defect classes, where each class has 300 electron micrographs. The list includes pitted surfaces, scratches, rolled-in scale, crazing, patches, and inclusion defects. For illustration, each category’s representative electron micrograph had shown in Figure 6. We compare the performance of our proposed method with several baseline algorithms on the multiclass classification task.
- **CMI**<sup>2</sup> is a benchmark dataset for automating corrosion assessment of materials, which consists of 600 corroding panel-based electron micrographs of resolution  $512 \times 512$  pixels. The human-annotated dataset had manually assigned a rating to each electron micrograph by the corrosion experts following the ASTM-D1654 standards. The discrete rating variables range from 5 to 9, where the corrosion rating of 9 implies that the panel is in the initial stage of corrosion. Each corrosion rating-based panel has 120 electron micrographs, and Figure 7 shows the representative electron micrographs for each corrosion rating. We report the performance of our proposed method compared to the several baseline algorithms on the multiclass classification task.
- **KTH-TIPS**<sup>3</sup> is a texture database that contains 810 electron micrographs of ten different materials. The electron micrograph resolution in the dataset is  $200 \times 200$  pixels. In each material category, the corresponding electron micrographs have varying illumination, pose, and scale of the material under examination. The ten materials categories are *sponge, orange peel, styrofoam, cotton, cracker, linen, brown bread, sandpaper, crumpled aluminum foil, and corduroy*. A few example electron micrographs per category had shown in Figure 8. We evaluate and report the performance of our proposed method compared to the several baseline algorithms on the multiclass classification task.

Table 12 shows the performance comparison of our proposed method w.r.t to the baselines across all the datasets. The experimental results demonstrate the efficacy of our proposed method.

|           | Algorithms         | NEU-SDD      | CMI          | KTH-TIPS     |
|-----------|--------------------|--------------|--------------|--------------|
| Baselines | ResNet             | 0.906        | 0.928        | 0.941        |
|           | GoogleNet          | 0.936        | 0.928        | 0.929        |
|           | SqueezeNet         | 0.955        | 0.943        | 0.963        |
|           | VanillaViT         | 0.962        | 0.968        | 0.972        |
|           | <b>Vision-HGNN</b> | <b>0.975</b> | <b>0.981</b> | <b>0.987</b> |

Table 12: Performance comparison on the datasets.

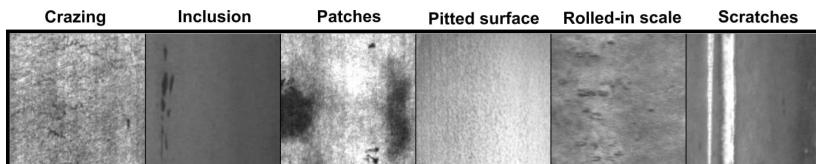


Figure 6: The NEU-SDD dataset: We illustrate the electron micrographs of six defect categories of hot-rolled steel strip 1(Deshpande et al. (2020)).

<sup>1</sup>Datasource: [http://faculty.neu.edu.cn/yunhyan/NEU\\_surface\\_defect\\_database.html](http://faculty.neu.edu.cn/yunhyan/NEU_surface_defect_database.html)

<sup>2</sup>[https://arl.wpi.edu/corrosion\\_dataset](https://arl.wpi.edu/corrosion_dataset)

<sup>3</sup><https://www.csc.kth.se/cvap/databases/kth-tips/index.html>

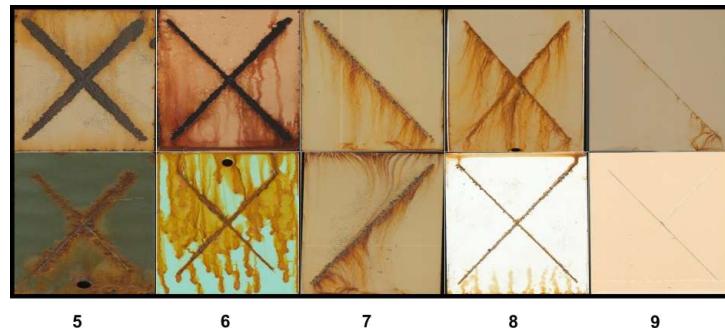


Figure 7: The CMI dataset: The representative electron micrographs of five corrosion rating classes 2.

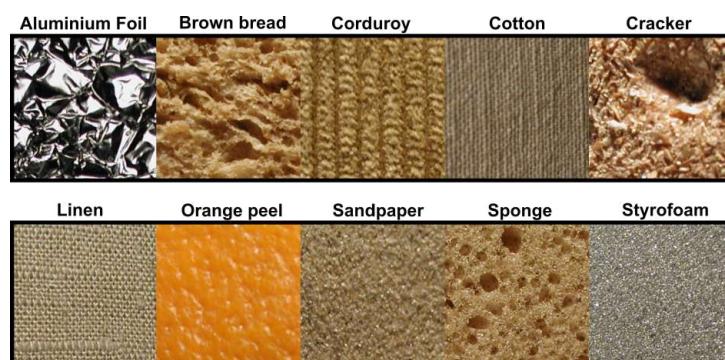


Figure 8: The KTH-TIPS dataset: Illustration of electron micrographs belonging to ten different materials 3.