

Interactive Proof

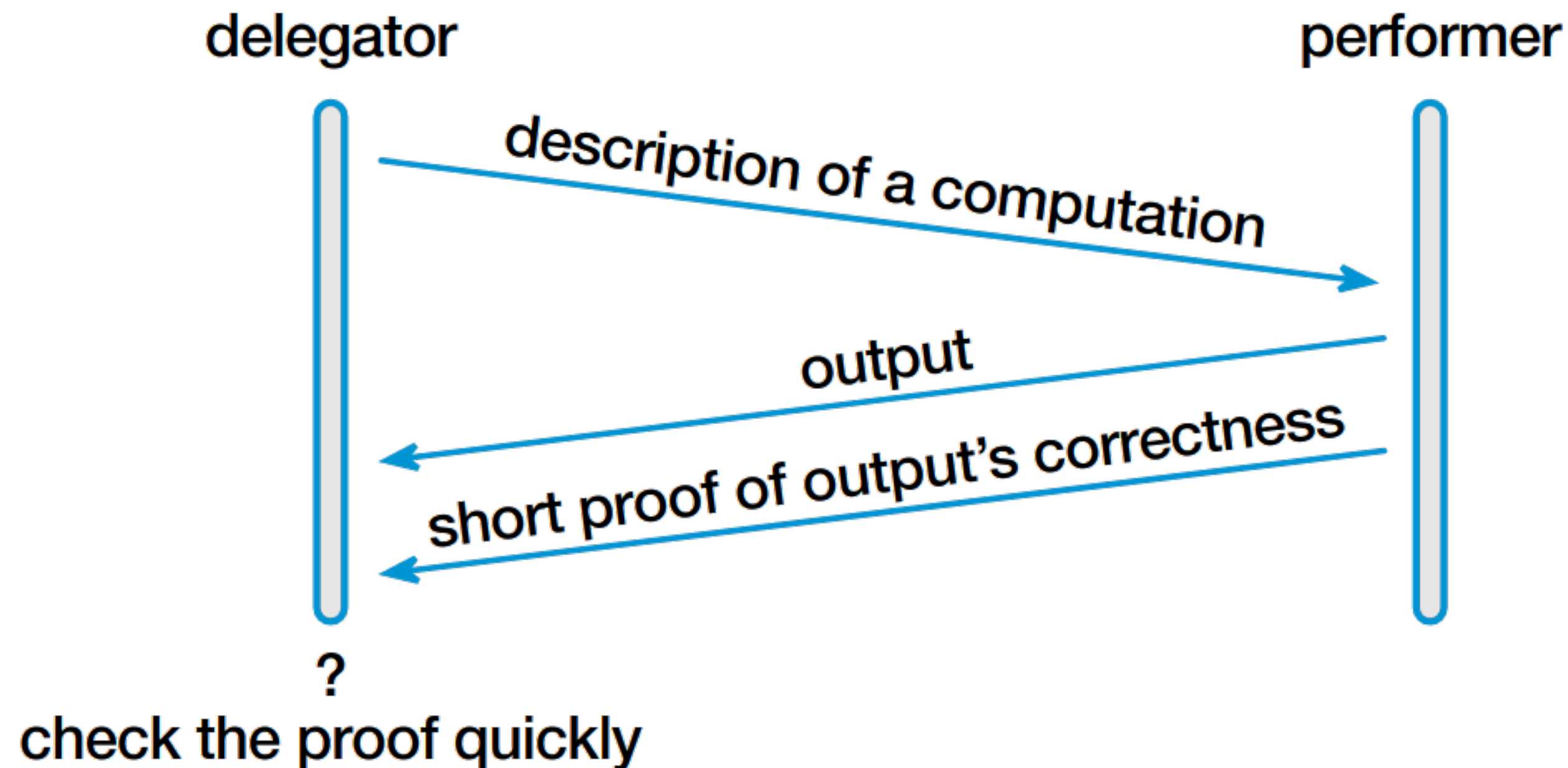
and more

Soowon Jeong, 9 Mar 2023



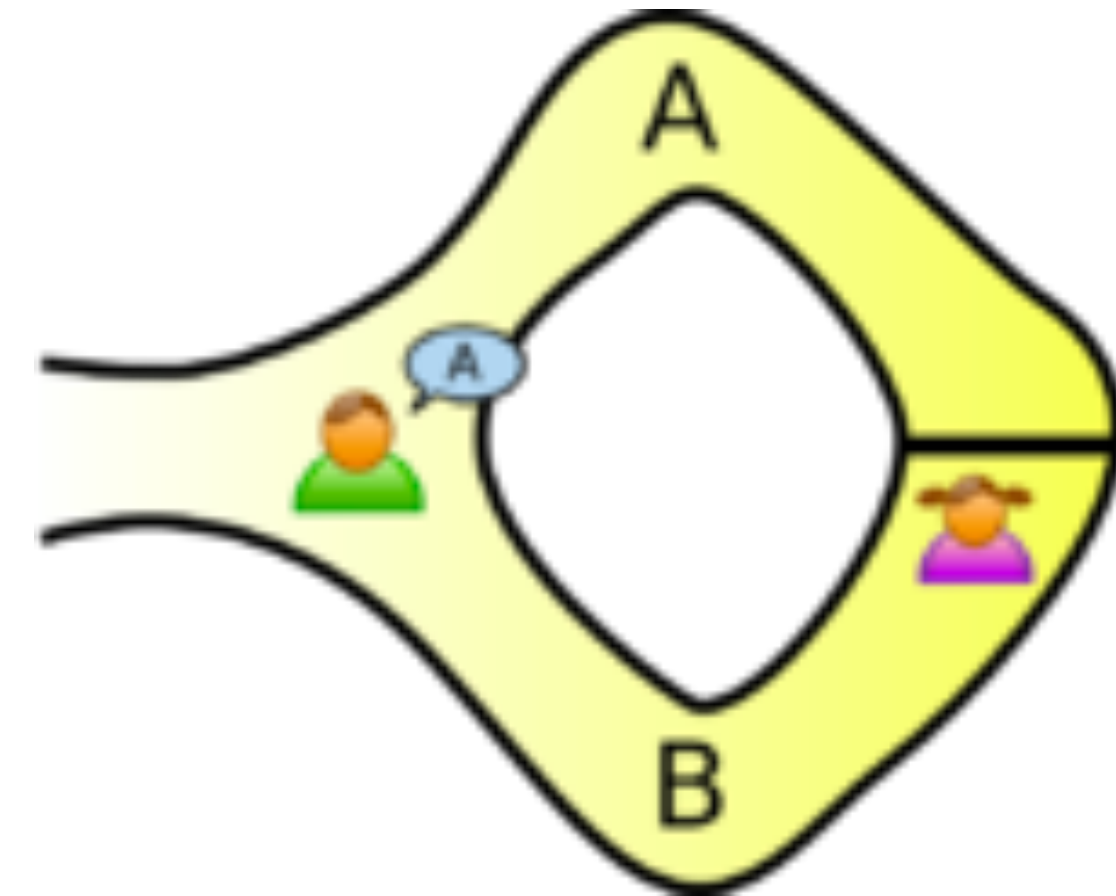
Proof

- 수학 증명 (Mathematical proof) \neq 암호학 증명 (Cryptographic proof)
- 회로 (circuit) \rightarrow 계산 과정
- 검증 가능한 계산 (Verifiable computing)



Interactive Proof

- 1980s
- IP가 NP보다 빠름이 증명됨
- $IP = PSPACE$ [Sha92]
- $P \subseteq NP \subseteq PSPACE$ (\subsetneq)



Interactive Proof

- 함수 f 가 주어졌을 때, $\{0,1\}^n \rightarrow \mathcal{R}$
- k -message interactive proof system
- 증명자 \mathcal{P} , 검증자 \mathcal{V} 에게 같은 $x \in \{0,1\}^n$ 을 제공
- \mathcal{P} 는 $f(x)$ 와 같다고 주장하는 y 를 보냄
- 한 차례씩 메시지 m_i 를 주고받음 (둘 다 다음 메시지를 계산할 수 있다면)
- $(m_1, \dots, m_k) \leftarrow \text{transcript}$

Interactive Proof

- Decision problem
- $f : \{0,1\}^n \rightarrow \{0,1\}$
- $f(x)$ 를 질문으로 만든다면 “ $f(x) = 1?$ ”
- $L \subseteq \{0,1\}^n$
- Completeness: For any $x \in L$, there is some prover strategy that will cause the verifier to accept with high probability.
- Soundness: For any $x \notin L$, then for *every* prover strategy, the verifier rejects with high probability.

Sum-check

- For v -variate polynomial g , $H = \sum_{(b_1, \dots, b_v) \in \{0,1\}^v} g(b_1, \dots, b_v)$
- Prover \mathcal{P} sends a value H and the univariate polynomial $g_1(X_1)$

$$g_1(X_1) = \sum_{(x_2, \dots, x_v) \in \{0,1\}^v} g(X_1, x_2, \dots, x_v).$$

Verifier \mathcal{V} checks that $H = g_1(0) + g_1(1)$
- For i in $1 < i < v$, \mathcal{P} sends to \mathcal{V} a univariate polynomial $g_i(X_i)$ claimed to equal

$$g_i(X_i) = \sum_{(x_{i+1}, \dots, x_v) \in \{0,1\}^{v-i}} g(r_1, \dots, r_{i-1}, X_i, x_{i+1}, \dots, x_v)$$

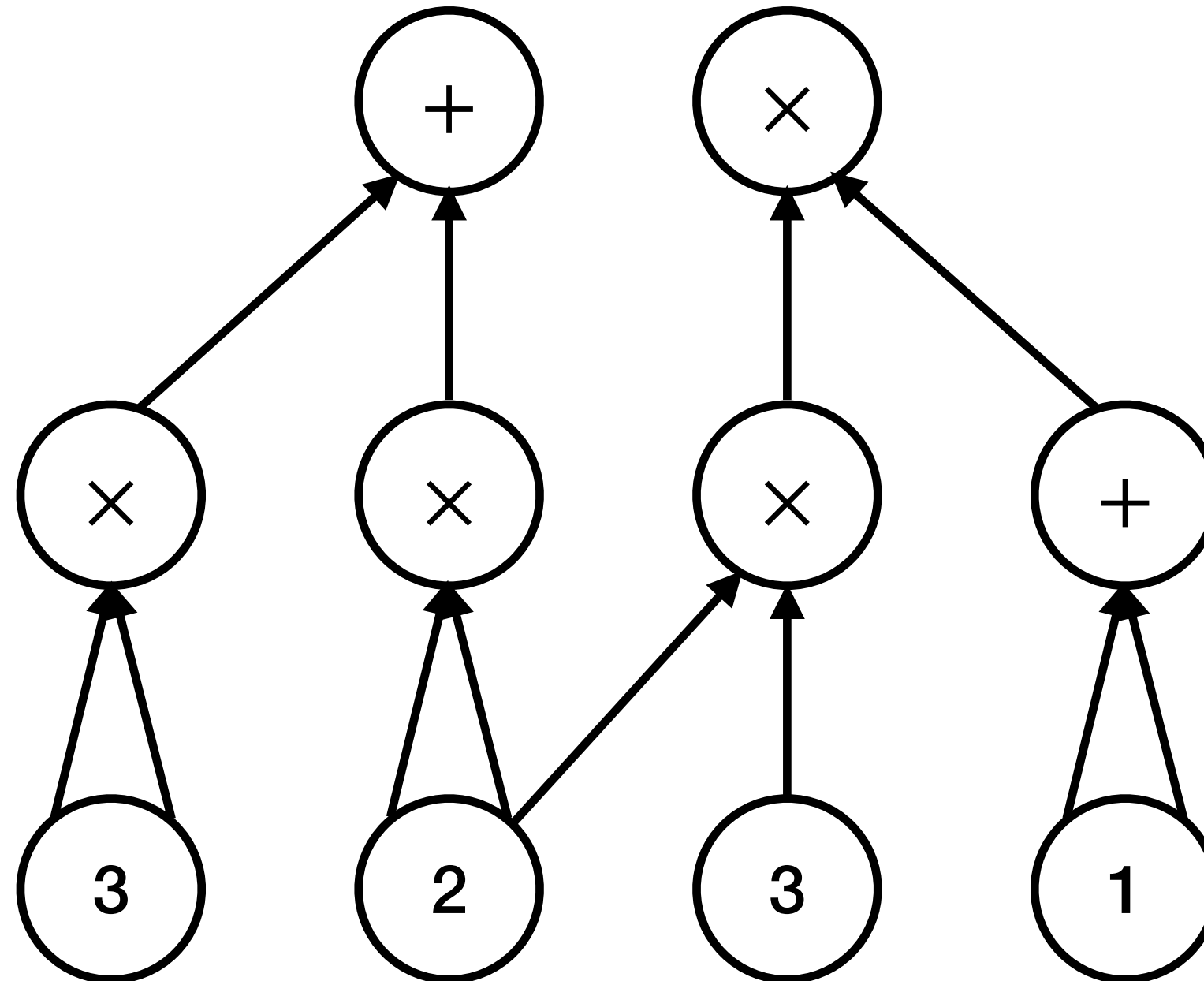
\mathcal{V} checks that $g_{i-1}(r_{i-1}) = g_i(0) + g_i(1)$
 \mathcal{V} chooses a random value $r_i \in \mathbb{F}$ and sends it to \mathcal{P}

Sum-check

- Final check
 - \mathcal{V} chooses a random element r_v and evaluates $g(r_1, \dots, r_v)$
 - checks that $g_v(r_v) = g(r_1, \dots, r_v)$

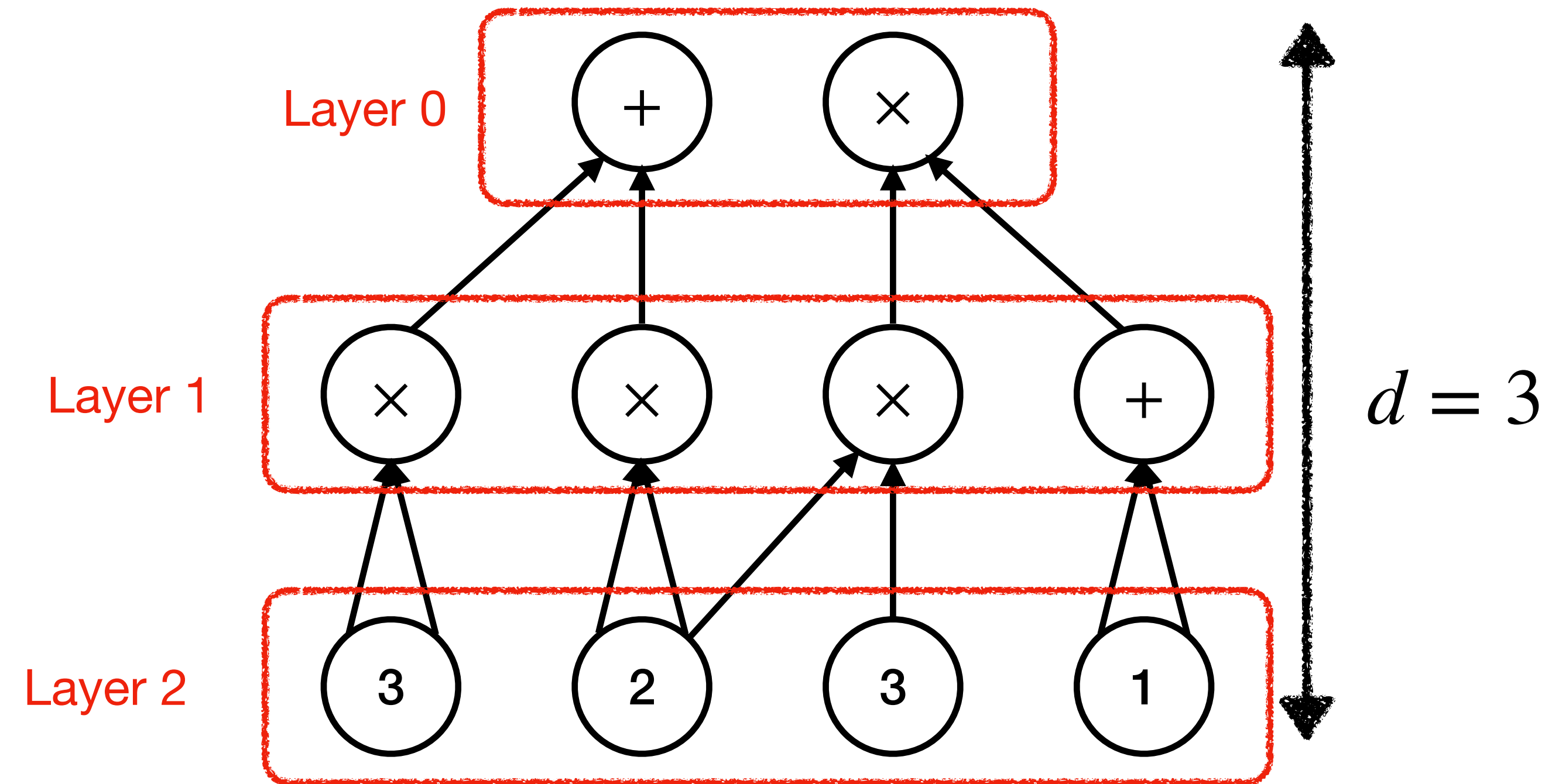
GKR

- Layered arithmetic circuit
- 제일 끝에 있는 gate의 값이 output



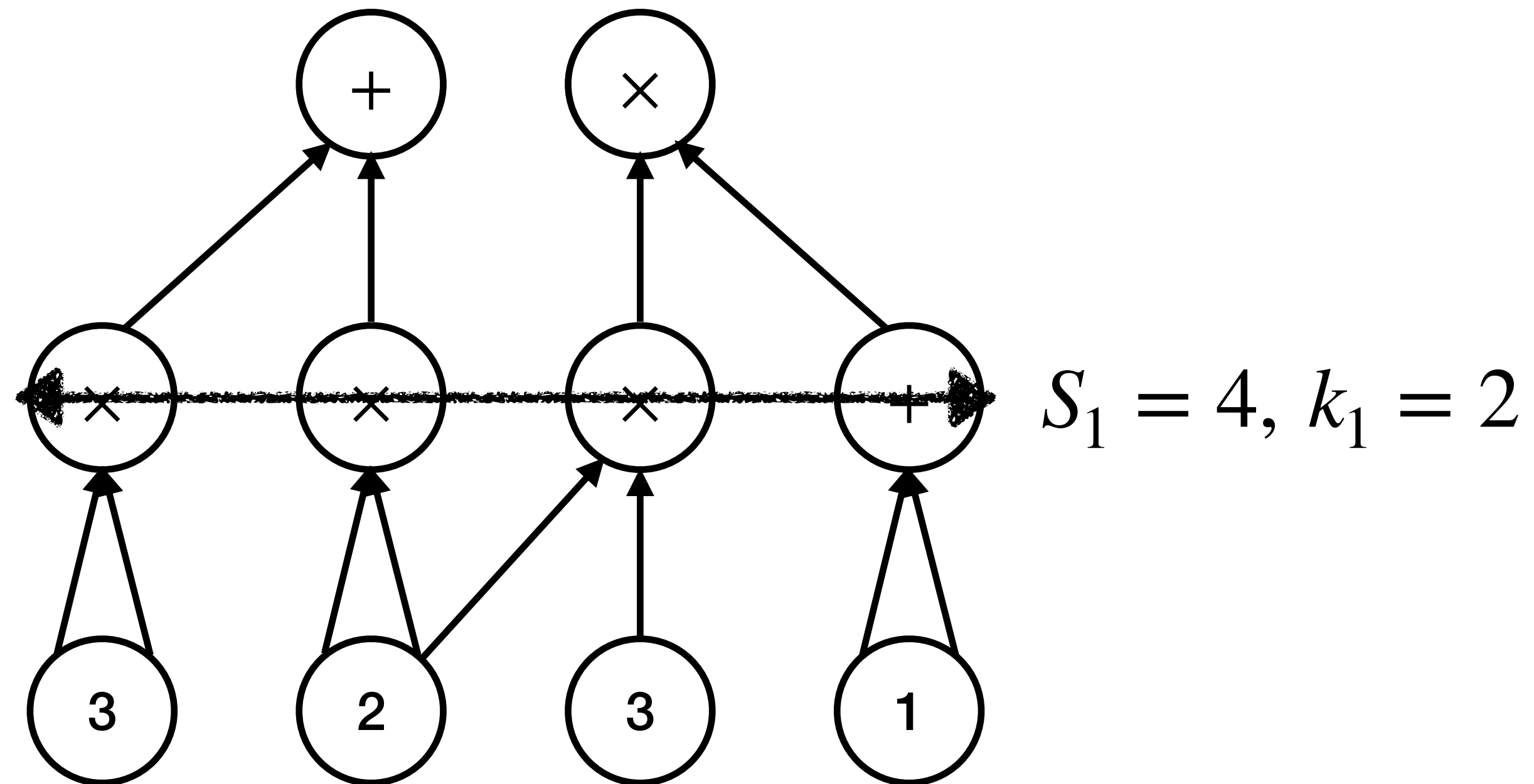
Notation in GKR

- d : GKR circuit의 깊이



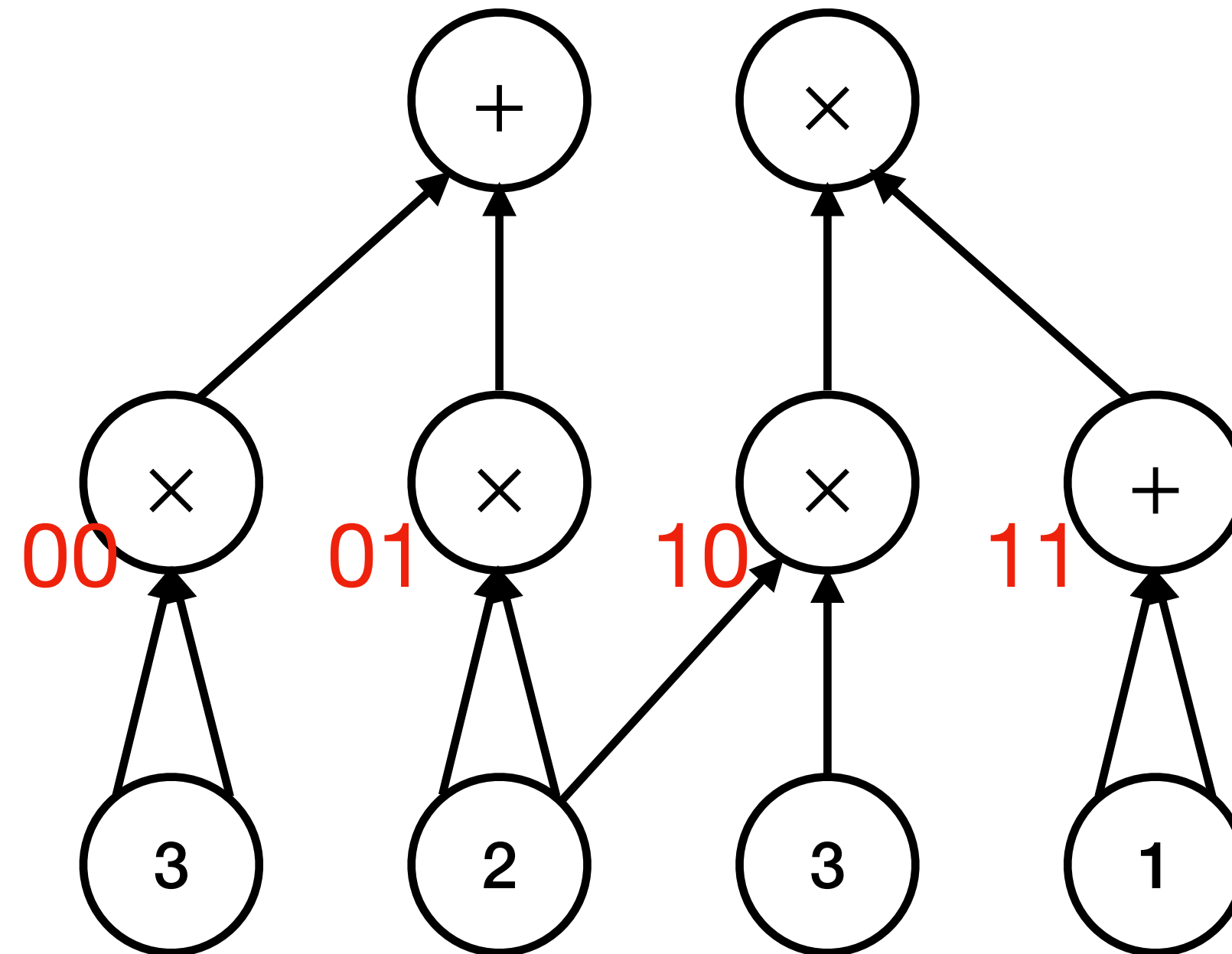
Notation in GKR

- S_i : # of gates at layer i . S_i is a power of 2 ($S_i = 2^{k_i}$).



Notation in GKR

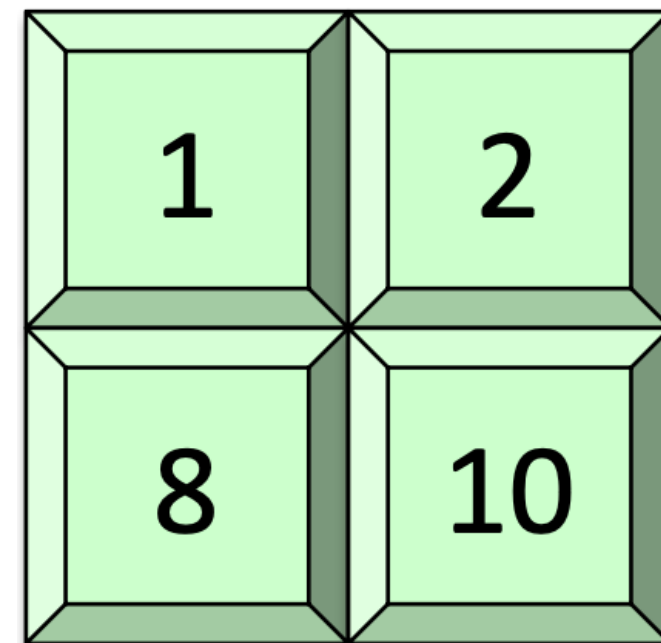
- 왼쪽부터 번호를 붙임. let $W_i : \{0,1\}^{k_i} \rightarrow \mathbb{F}$. MLE of W_i is \widetilde{W}_i



$$W_1(0,0) = 9, W_1(0,1) = 4, W_1(1,0) = 6, W_1(1,1) = 2$$

Multilinear extension

$$f: \{0,1\}^2 \rightarrow \mathbb{F}$$



1	2
8	10

Multilinear extension

$$\tilde{f} : \mathbb{F}^2 \rightarrow \mathbb{F}$$

1	2	3	4	5	6
8	10	12	14	16	18
15	18	21	24	27	30
22	26	30	34	38	42
29	34	39	44	49	56

Multilinear extension

$$\tilde{f}(x_1, x_2) = (1 - x_1)(1 - x_2) + 2(1 - x_1)x_2 + 8x_1(1 - x_2) + 10x_1x_2$$

1	2	3	4	5	6
8	10	12	14	16	18
15	18	21	24	27	30
22	26	30	34	38	42
29	34	39	44	49	56

Multilinear extension

- Lagrange interpolation

- $$\tilde{\delta}_w(r) = \prod_{i=1}^{\ell} (r_i w_i + (1 - r_i)(1 - w_i))$$

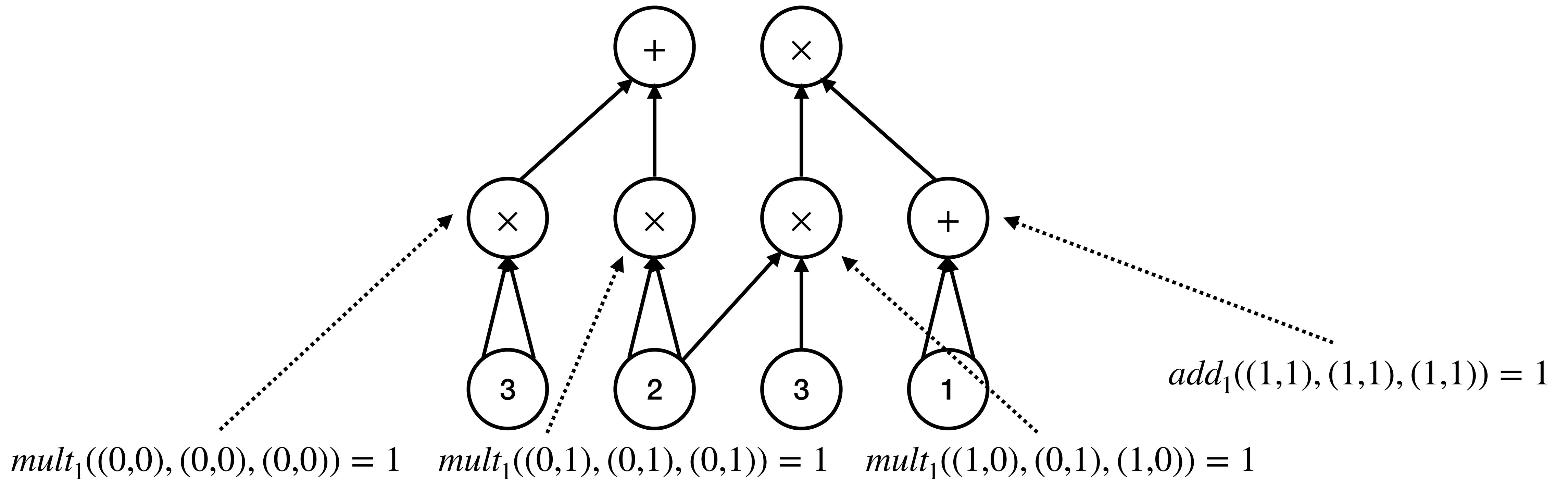
- multilinear Lagrange basis polynomial

- $$\tilde{f}(r) = \sum_{w \in \{0,1\}^{\ell}} f(w) \cdot \tilde{\delta}_w(r)$$

Notation in GKR

- $add_i, mult_i : \{0,1\}^{k_i+2k_{i+1}} \rightarrow \{0,1\}$

만약 a 가 덧셈 gate라면, $add_i(a, b, c) = \begin{cases} 1 & \text{if } (b, c) = (\text{in}_1(a), \text{in}_2(a)) \\ 0 & \text{otherwise} \end{cases}$
(곱셈도 동일)



Notation in GKR

- $f_{r_i}^{(i)}(b, c) = \widetilde{add}_i(r_i, b, c)(\widetilde{W}_{i+1}(b) + \widetilde{W}_{i+1}(c)) + \widetilde{mult}_i(r_i, b, c)(\widetilde{W}_{i+1}(b) \cdot \widetilde{W}_{i+1}(c))$

- $\widetilde{W}_i(r_i) = \sum_{b, c \in \{0, 1\}^{k_{i+1}}} f_{r_i}^{(i)}(b, c) = m_i \quad (\widetilde{W}_i : \mathbb{F}^{k_i} \rightarrow \mathbb{F})$

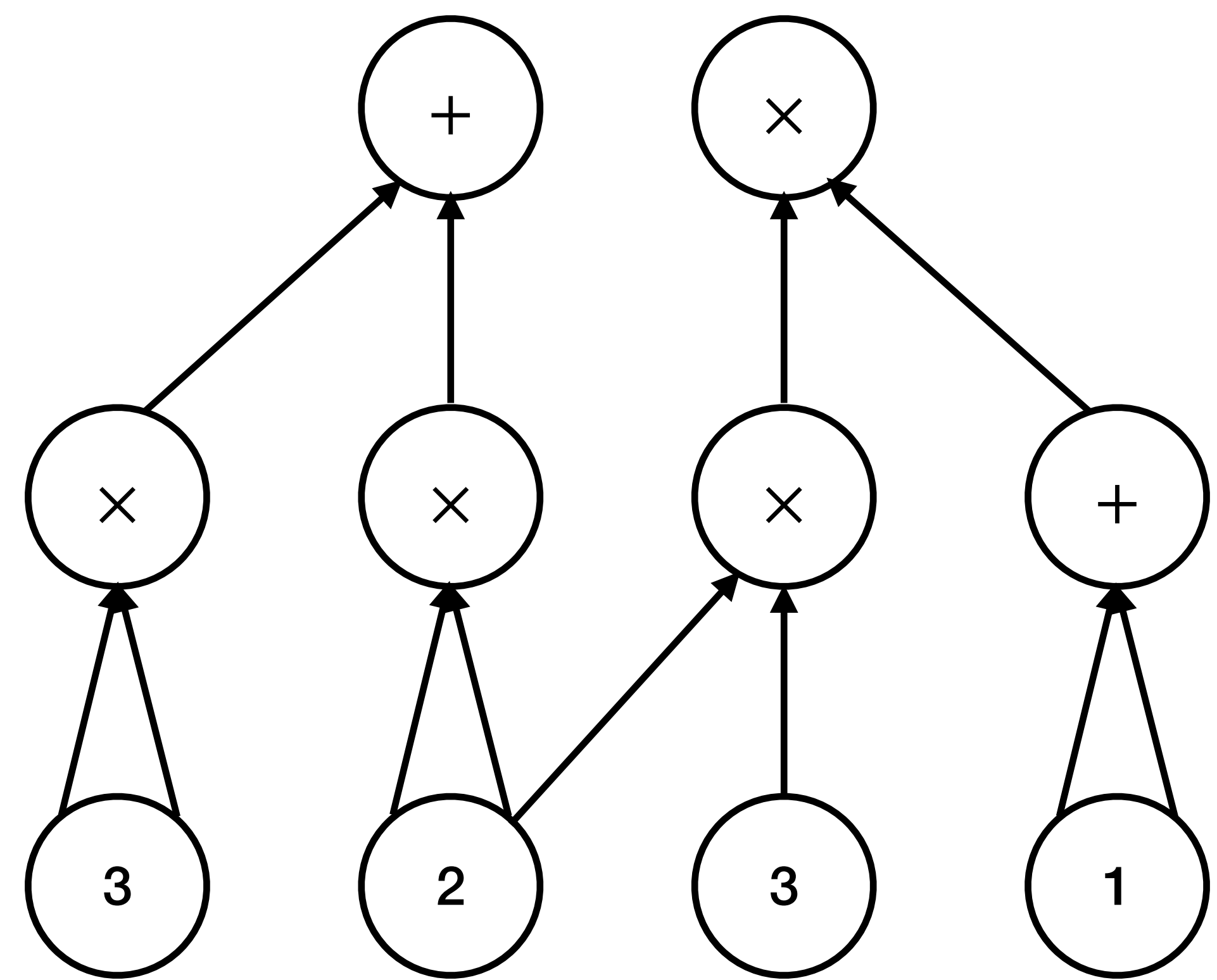
GKR

- Prover \mathcal{P} 는 먼저 W_0 와 동일하다고 주장하는 $D : \{0,1\}^{k_0} \rightarrow \mathbb{F}$ 라는 함수를 보낸다.
- Verifier \mathcal{V} 는 무작위 field element $r_0 \in \mathbb{F}$ 를 고르고 $\tilde{D}(r_0)$ 를 계산한 뒤 m_0 에 넣는다. ($m_0 \leftarrow \tilde{D}(r_0)$) 남은 프로토콜은 $m_0 = \widetilde{W_0}(r_0)$ 인지 확인하는 과정이라고 볼 수 있다.

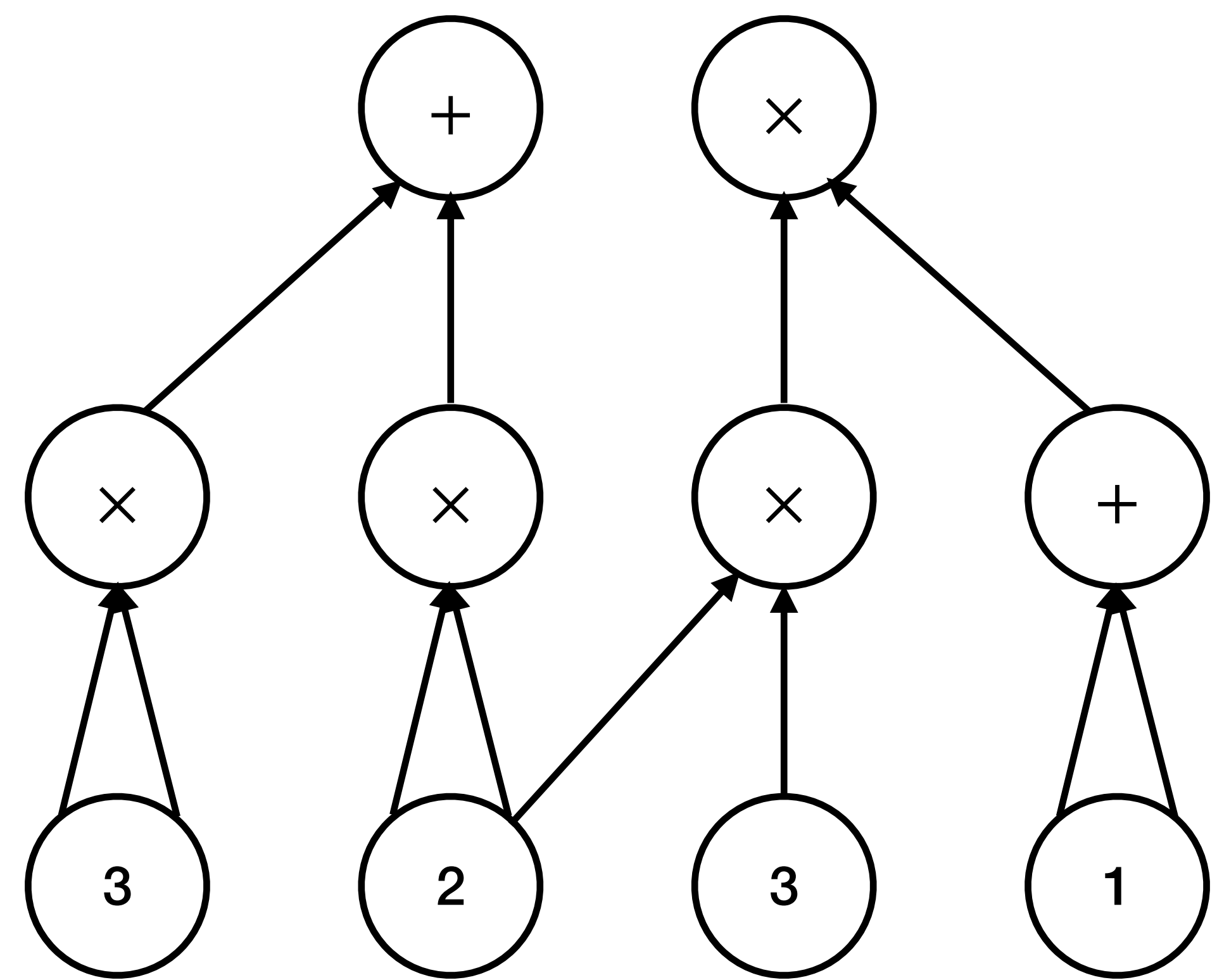
GKR

- circuit depth d 에 대해 $i = 0, 1, \dots, d - 1$
- \mathcal{P} 가 $f_{r_i}^{(i)}(b, c) = \text{add}_i(r_i, b, c)(\tilde{W}_{i+1}(b) + \tilde{W}_{i+1}(c)) + \text{mult}_i(r_i, b, c)(\tilde{W}_{i+1}(b) \cdot \tilde{W}_{i+1}(c))$ 를 정의한다.
 - $\sum_{b, c \in \{0, 1\}^{k_{i+1}}} f_{r_i}^{(i)}(b, c) = m_i$ 임을 \mathcal{V} 에게 보낸다.
 - \mathcal{V} 는 sumcheck로 위 식을 확인하고 $(b^*, c^*) \in \mathbb{F}^{k_{i+1}} \times \mathbb{F}^{k_{i+1}}$ 인 무작위 값을 고른다.
 - $l(0) = b^*, l(1) = c^*$ 인 직선이 있다고 할 때, \mathcal{P} 는 $\tilde{W}_{i+1} \circ l$ 인 일변수 다항식 q 를 보낸다.
 - \mathcal{V} 는 q 를 이용해 $q(0), q(1)$ 을 구할 수 있다. 이를 이용해 $\tilde{W}_{i+1}(b^*), \tilde{W}_{i+1}(c^*)$ 를 식에서 대체할 수 있다.
 - \mathcal{V} 는 새로운 무작위 값 $r^* \in \mathbb{F}$ 를 골라 $r_{i+1} = l(r^*)$ 로 두고 $m_{i+1} \leftarrow q(r^*)$ 를 넣는다.
- 위 과정이 끝나면 \mathcal{V} 는 $m_d = \tilde{W}_d(r_d)$ 를 직접 확인할 수 있다.

GKR example

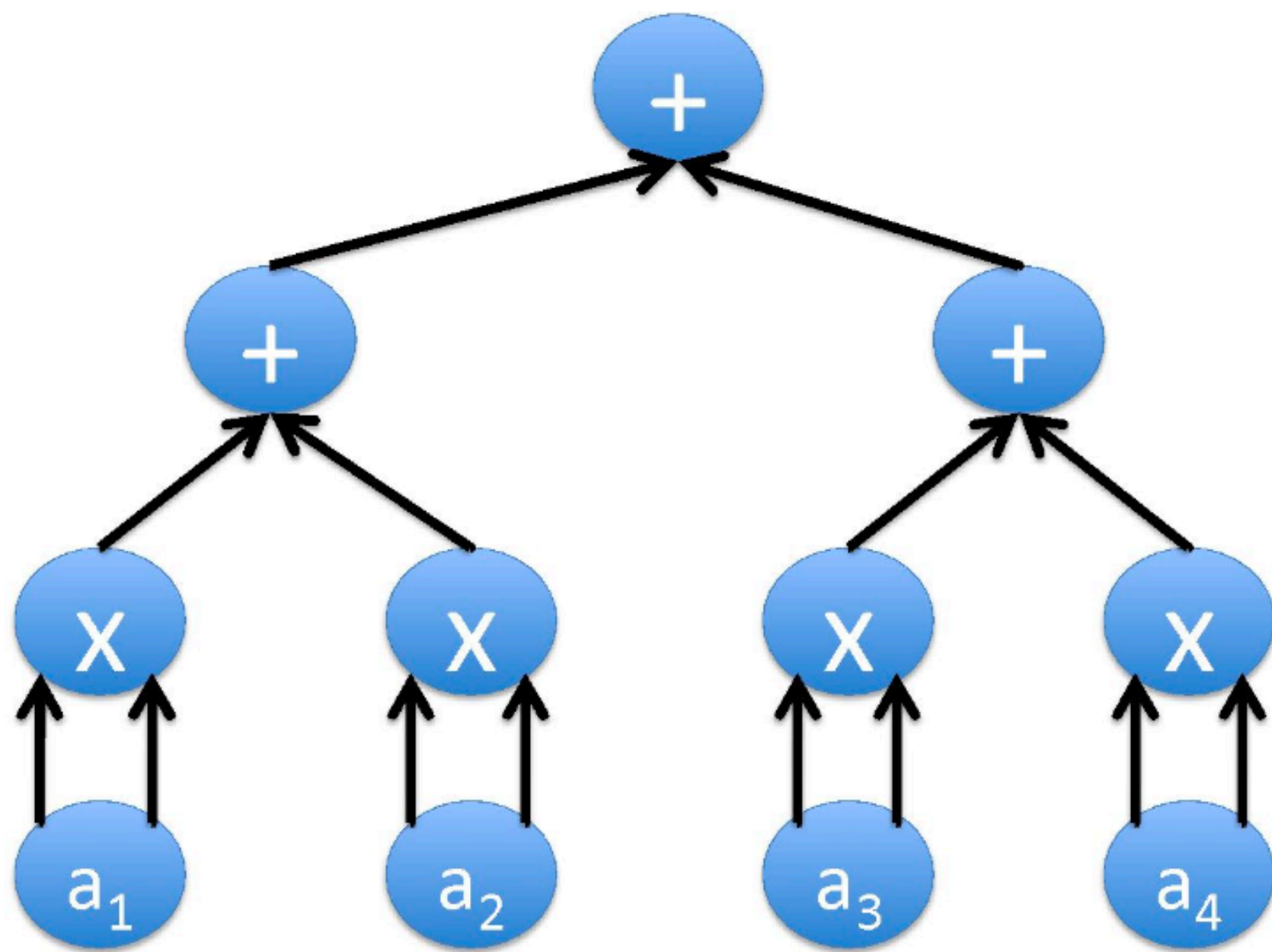


GKR example

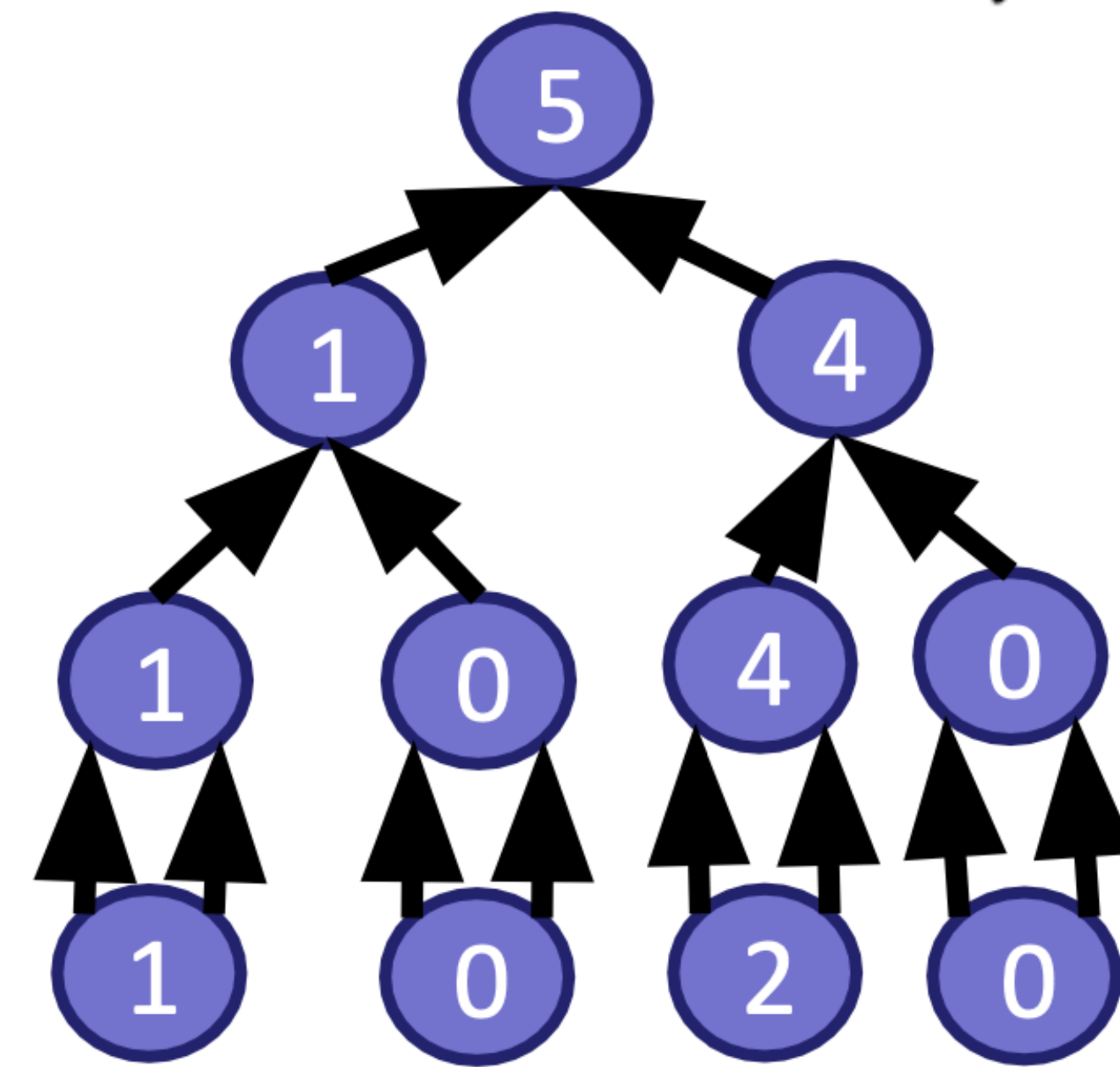


SNARKs for circuit-satisfiability

- Transcript T for C

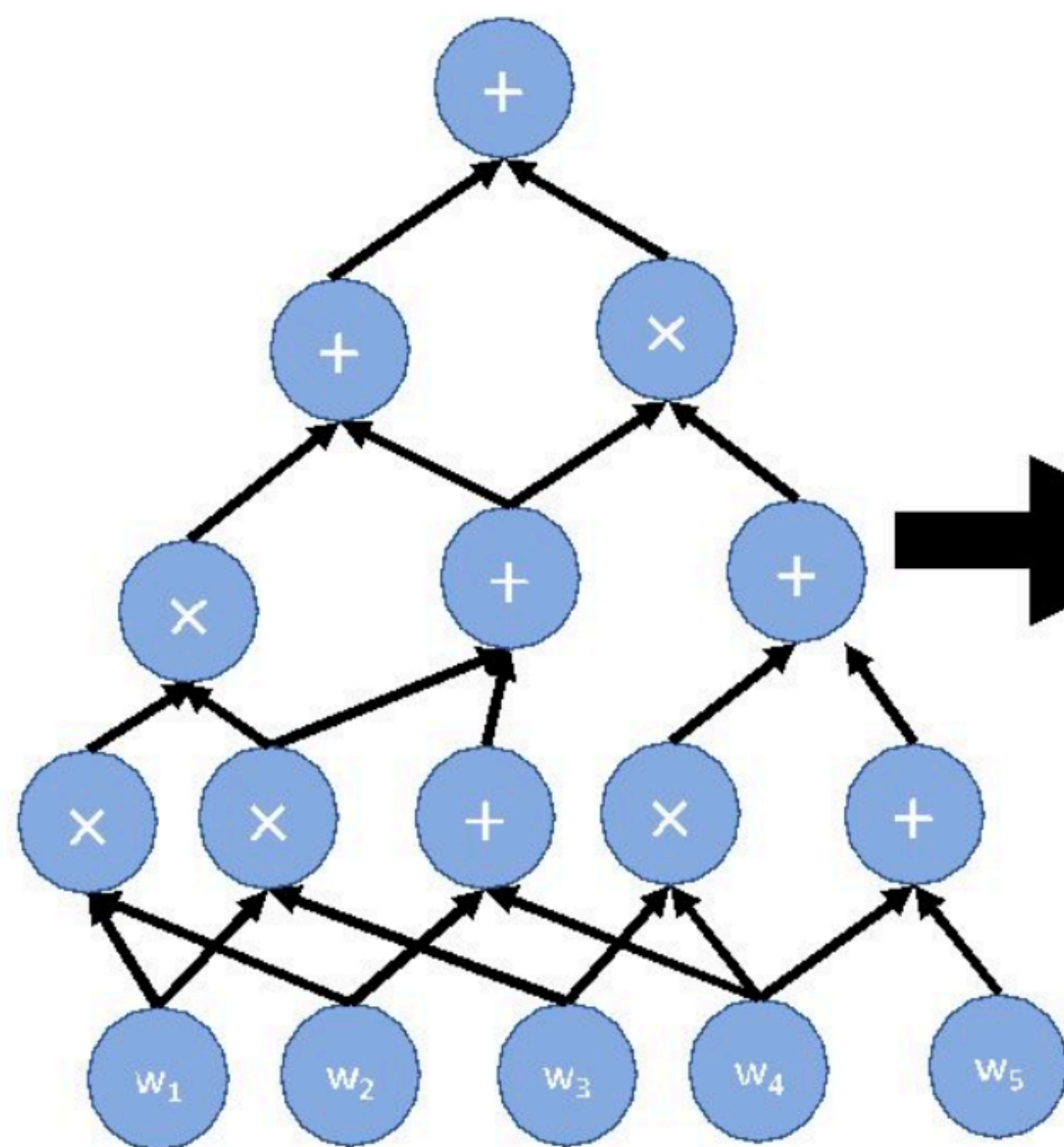


Circuit-SAT instance C

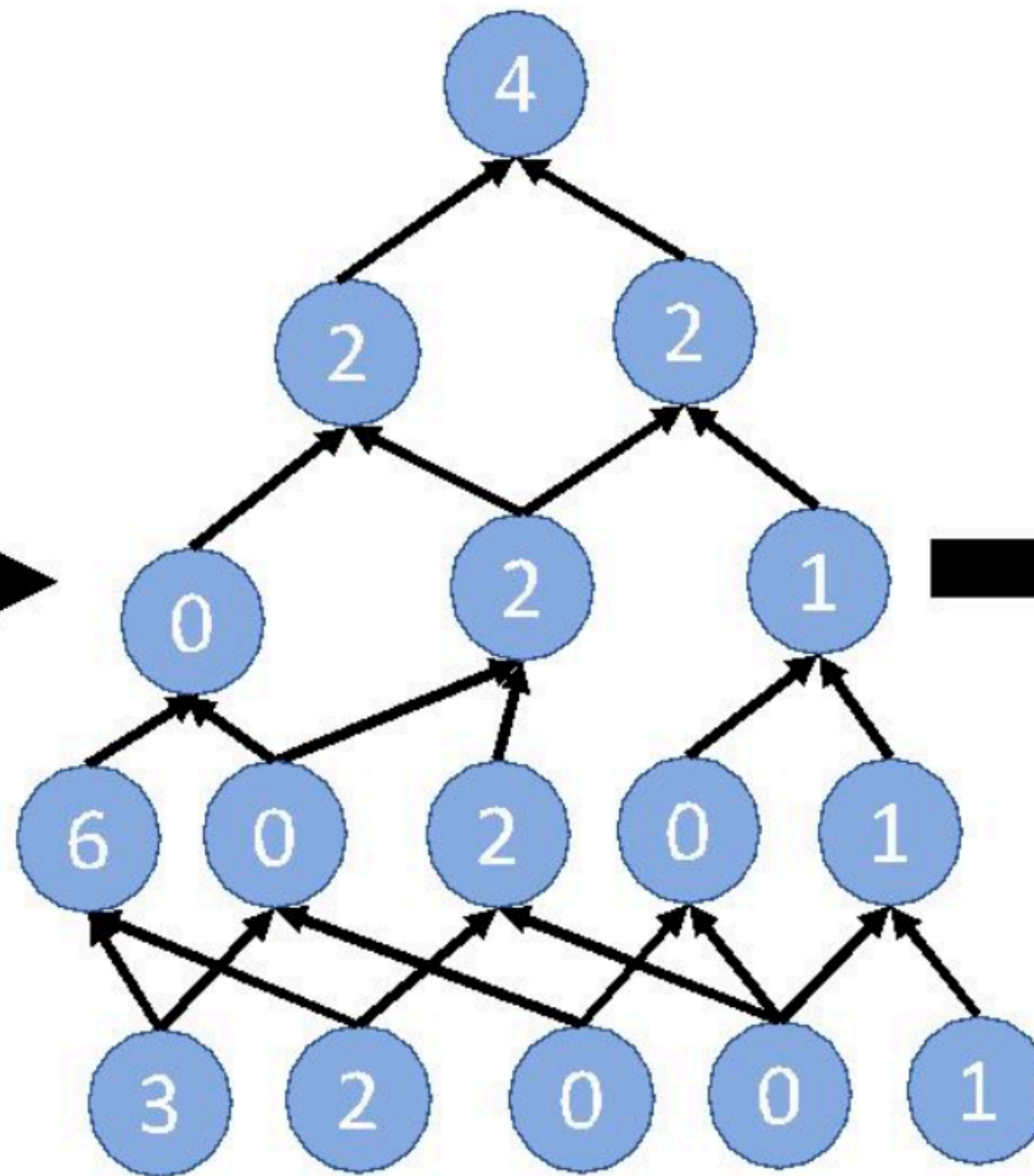


Viewing a transcript as a function

- 왼쪽 아래의 gate부터 테이블을 채움



Circuit-SAT instance C



Correct transcript T for C

$T(0,0,0,0) = 3$
$T(0,0,0,1) = 2$
$T(0,0,1,0) = 0$
$T(0,0,1,1) = 0$
$T(0,1,0,0) = 1$
$T(0,1,0,1) = 6$
$T(0,1,1,0) = 0$
$T(0,1,1,1) = 2$
$T(1,0,0,0) = 0$
$T(1,0,0,1) = 1$
$T(1,0,1,0) = 0$
$T(1,0,1,1) = 2$
$T(1,1,0,0) = 1$
$T(1,1,0,1) = 2$
$T(1,1,1,0) = 2$
$T(1,1,1,1) = 4$

Polynomial Interactive Oracle Proof

- 왼쪽 아래의 gate부터 테이블을 채움
- \mathcal{P} 는 $T(x)$ 를 확장시킨 $h(x)$ 를 claim
- \mathcal{V} 는 evaluation 결과만 알 수 있음

Polynomial Interactive Oracle Proof

1. $(\log S)$ -variate 다항식 h 로 $(3 \log S)$ -variate 다항식 g_h 를 만든다
 - h 가 정확한 transcript T 의 확장이다 $\Leftrightarrow g_h(a, b, c) = 0 \forall (a, b, c) \in \{0, 1\}^{3 \log S}$
2. $g_h(a, b, c) = 0 \forall (a, b, c) \in \{0, 1\}^{3 \log S}$ 인지 확인할 수 있는 Interactive proof
 - \mathcal{V} 는 $g_h(r)$ 을 한번 계산하면 되도록

Polynomial Interactive Oracle Proof

- Define $g_h(a, b, c)$:

$$\widetilde{add}(a, b, c) \cdot (h(a) - (h(b) + h(c))) + \widetilde{mult}(a, b, c) \cdot (h(a) - h(b) \cdot h(c))$$

1. $g_h(a, b, c) = h(a) - (h(b) + h(c))$ (만약 a 가 add gate라면)
2. $g_h(a, b, c) = h(a) - h(b) \cdot h(c)$ (만약 a 가 mult gate라면)
3. 둘다 아닐경우 $g_h(a, b, c) = 0$

Polynomial Interactive Oracle Proof

- $g_h(a, b, c) = 0 \forall (a, b, c) \in \{0, 1\}^{3 \log S}$ 인지 확인할 수 있는 Interactive proof
 - 만약 univariate polynomial이었다면
 - $g_h(x) = 0 \forall x \in H \Leftrightarrow g_h$ 가 나뉘지는 $Z_H(x) := \prod_{a \in H} (x - a)$
 - $Z_H(x)$ 는 vanishing polynomial
 - 그러나 여기서는 multivariate polynomial을 썼기 때문에 불가능

→ sumcheck 사용

Polynomial Interactive Oracle Proof

- $\sum_{a,b,c \in \{0,1\}^{\log S}} g_h(a,b,c)^2$ 에 대해서 sumcheck