



# Graph Models and Applications

Lecture 11

Discrete Mathematical  
Structures



# Graph Models and Applications

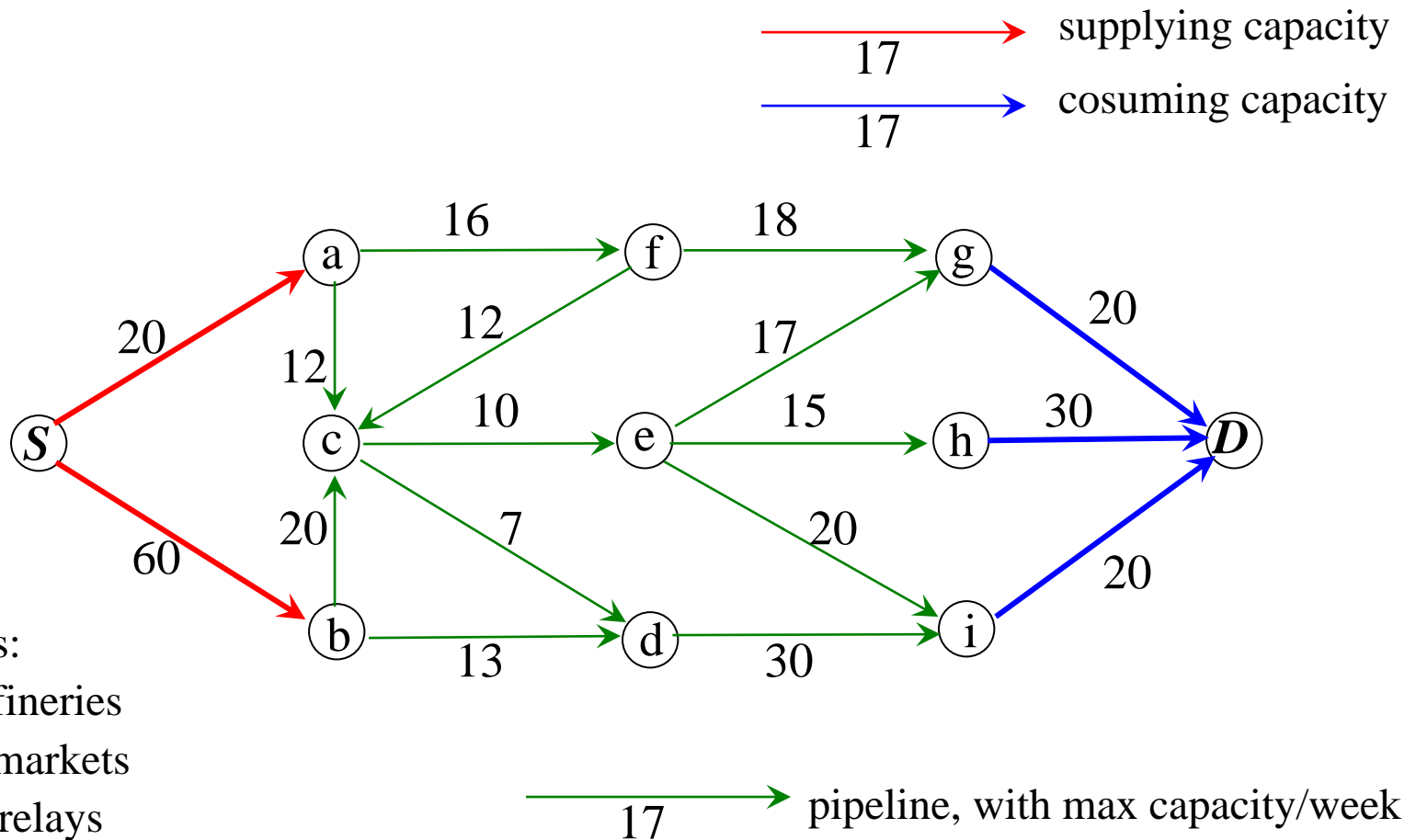
## ■ Part I: Network and Flow

- Transport networks and Maximum flow
- Labeling algorithm
- Matching in a bipartite graph
- Existence Condition of Perfect Matching

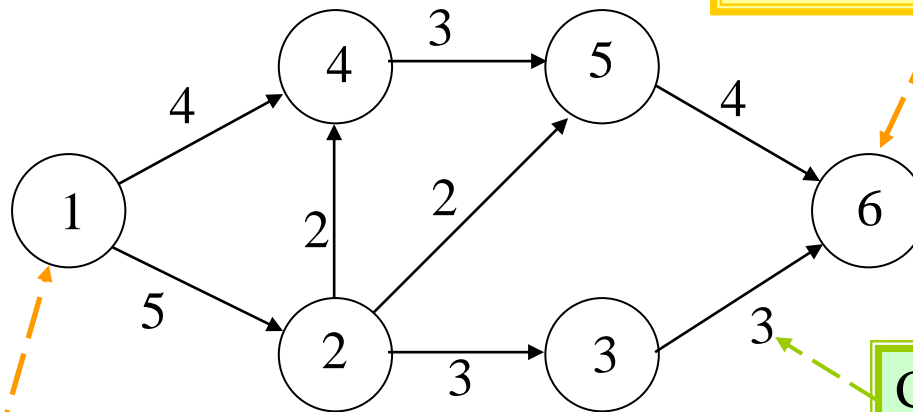
## ■ Part II: Graph Coloring

- Vertex Coloring of Graph
- Four-color and Five-color Theorem

# A Model of Oil Supply



# Transport Networks: example



The unique node with out-degree 0  
The **sink**

The unique node with in-degree 0  
The **source**

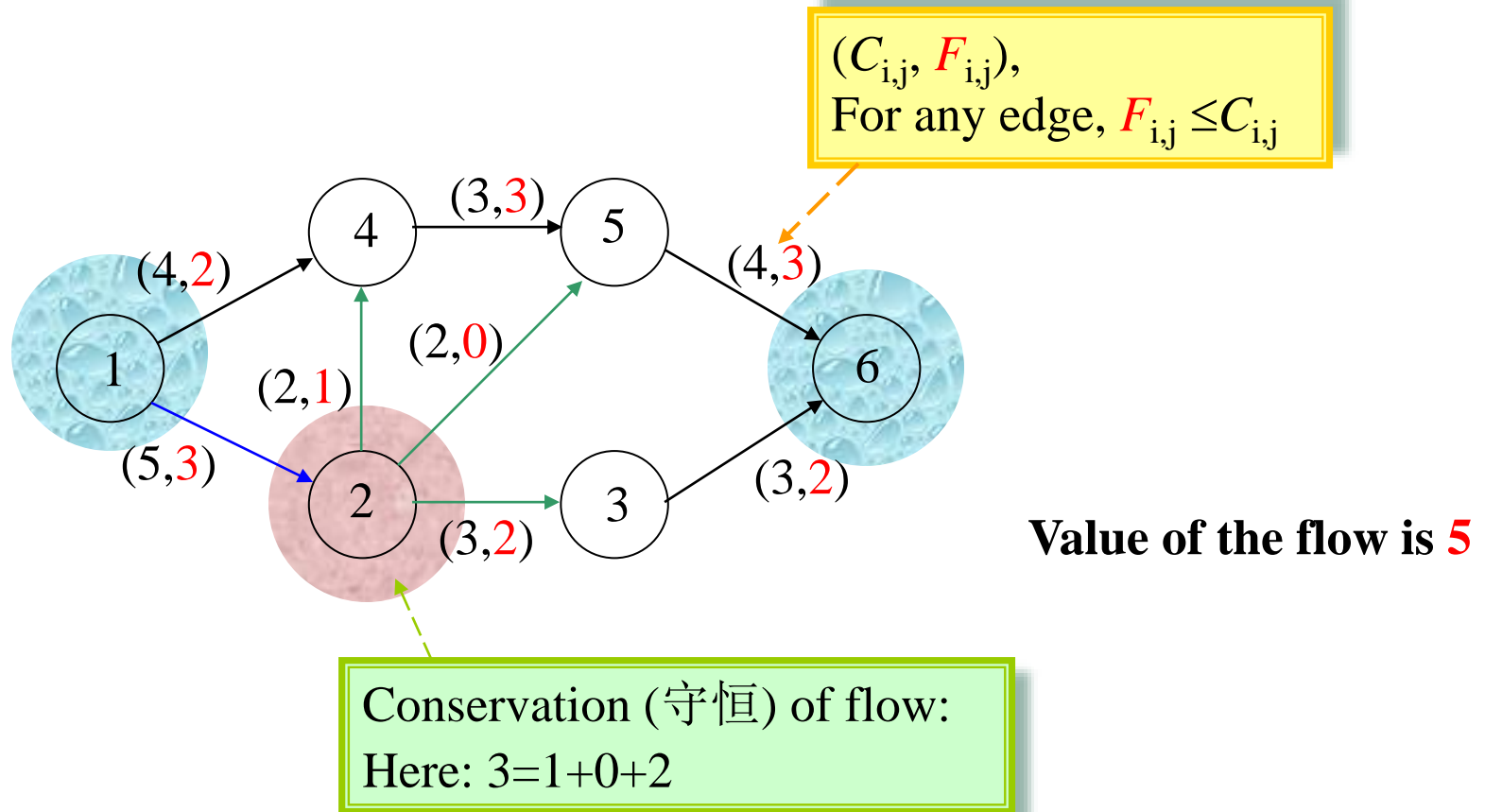
Capacity of edge,  $C_{i,j}$

It is assumed that all edges are in one direction.

# Transport Network

- A **transport network** is an ordered pair  $(G, k)$ , where:
  - $G$  is a weakly connected directed graph containing no loops
  - $k$  is a nonnegative real function defined on  $E_G$
  - There are two distinguished vertices  $S$  and  $D$  in  $V_G$ , and usually  $S$  has in-degree 0, called source,  $D$  has out-degree 0, called sink .

# Flow: an example



# Flow

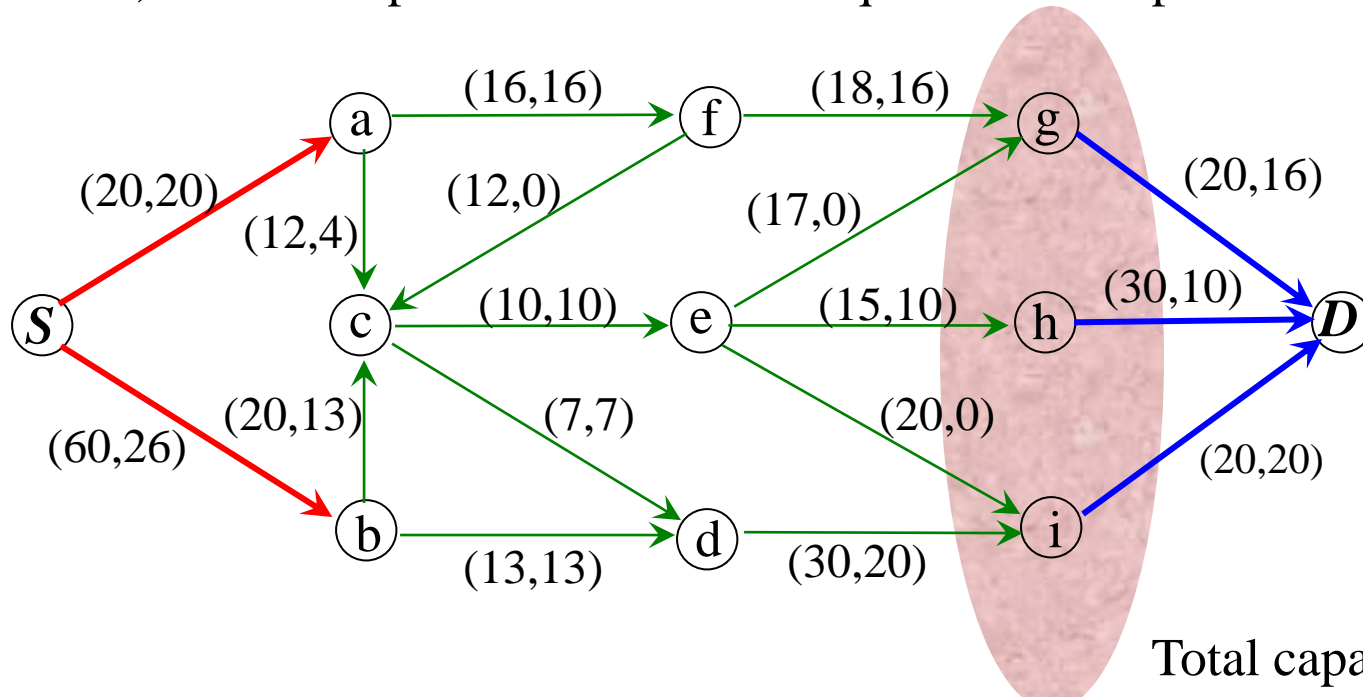
- Let  $(G, k)$  be a transport network with source  $S$  and sink  $D$ . Assume the capacity function  $k$  is defined on the edges of  $G$ . A **flow** in  $G$  is a nonnegative real-valued function  $F$  defined on the edges of  $G$  such that:
  - [Capacity constraint]  $0 \leq F(e) \leq k(e)$  for each edge  $e \in E(G)$
  - [Conservation equation]

$$\sum_{y \in A(x)} F(xy) = \sum_{z \in B(x)} F(zx) \text{ for every } x \in V(G) - \{S, D\}$$

where  $A(x) = \{y \mid xy \in E(G)\}$ ,  $B(x) = \{z \mid zx \in E(G)\}$

# Flow: One More Example

The actual transported amount on any road cannot exceed the edge capacity.  
For any vertex, the total input amount must be equal to the output total.



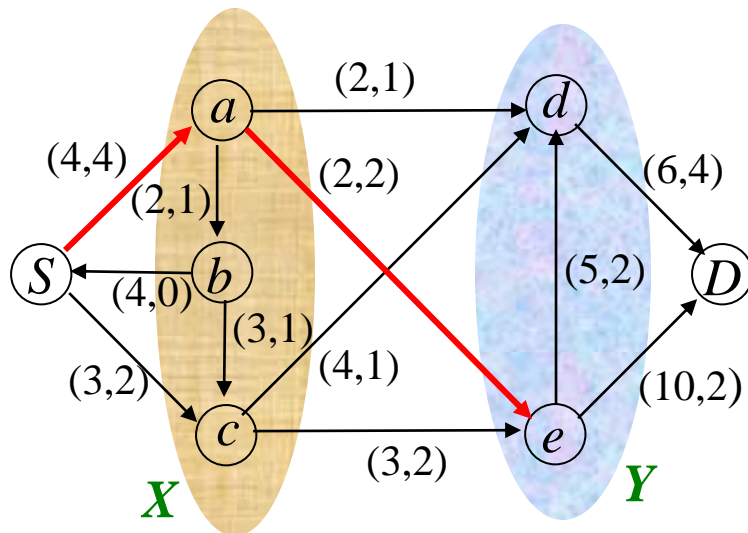
Total capacity: 70

Actual receipt: 46

**The problem: Can we send more on the network?**



# Edge Sets in a Flow



- Saturated edges
- Unsaturated edges

**Excess capacity( $ce$ )= $k(ce)$ - $F(ce)$ =1**

$$(X, Y) = \{ad, ae, cd, ce\}$$

$$k(X, Y) = 2+2+4+3 = 11$$

$$F(X, Y) = 1+2+1+2 = 6$$

Note:  $k(Y, X) = 0$ , since  $(Y, X) = \phi$

$$(X, V_G) = \{\textcolor{red}{bS}, ad, ae, cd, ce\}$$

$$(V_G, X) = \{Sa, Sc\}$$

# Value of Flow

- Let  $S$  and  $D$  be the source and sink, respectively, of a network  $(G,k)$ . Let  $F$  be a flow. Then  $F(S, V_G) = F(V_G, D)$

$$F(V_G, V_G) = \sum_{x \in V_G} F(x, V_G) = \sum_{x \in V_G} F(V_G, x)$$

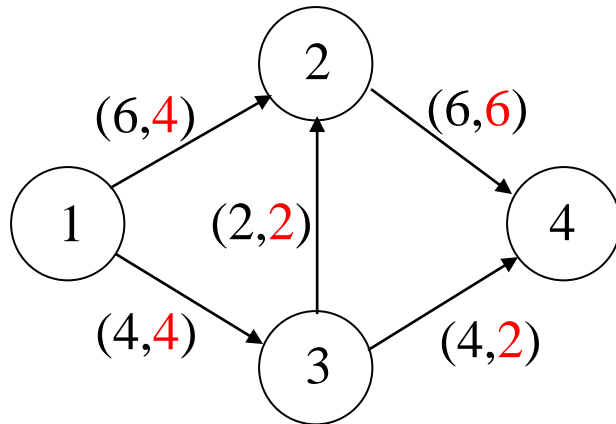
However,  $F(x, V_G) = F(V_G, x)$  for each  $x \in V_G - \{S, D\}$

$$\therefore F(S, V_G) + F(D, V_G) = F(V_G, S) + F(V_G, D)$$

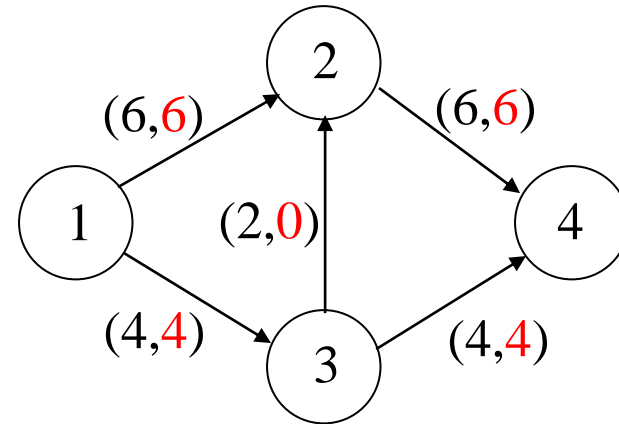
Delete the terms equal to 0:  $F(S, V_G) = F(V_G, D)$

- The **value of a flow**  $F$  (denoted as  $|F|$ ) is defined as the value of  $F(S, V_G)$  (or,  $F(V_G, D)$ )

# Flows with Different Value



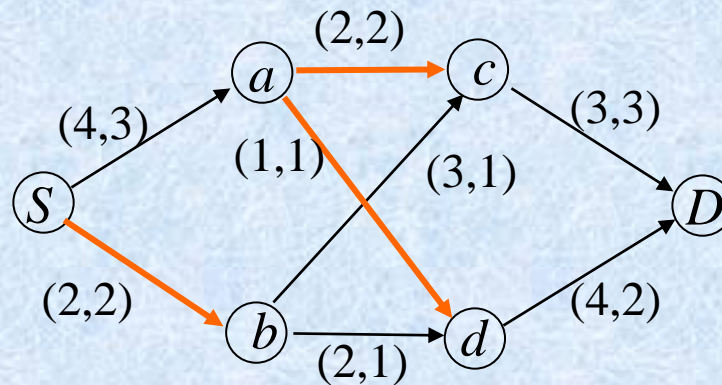
Value of flow: 8



Value of flow: 10

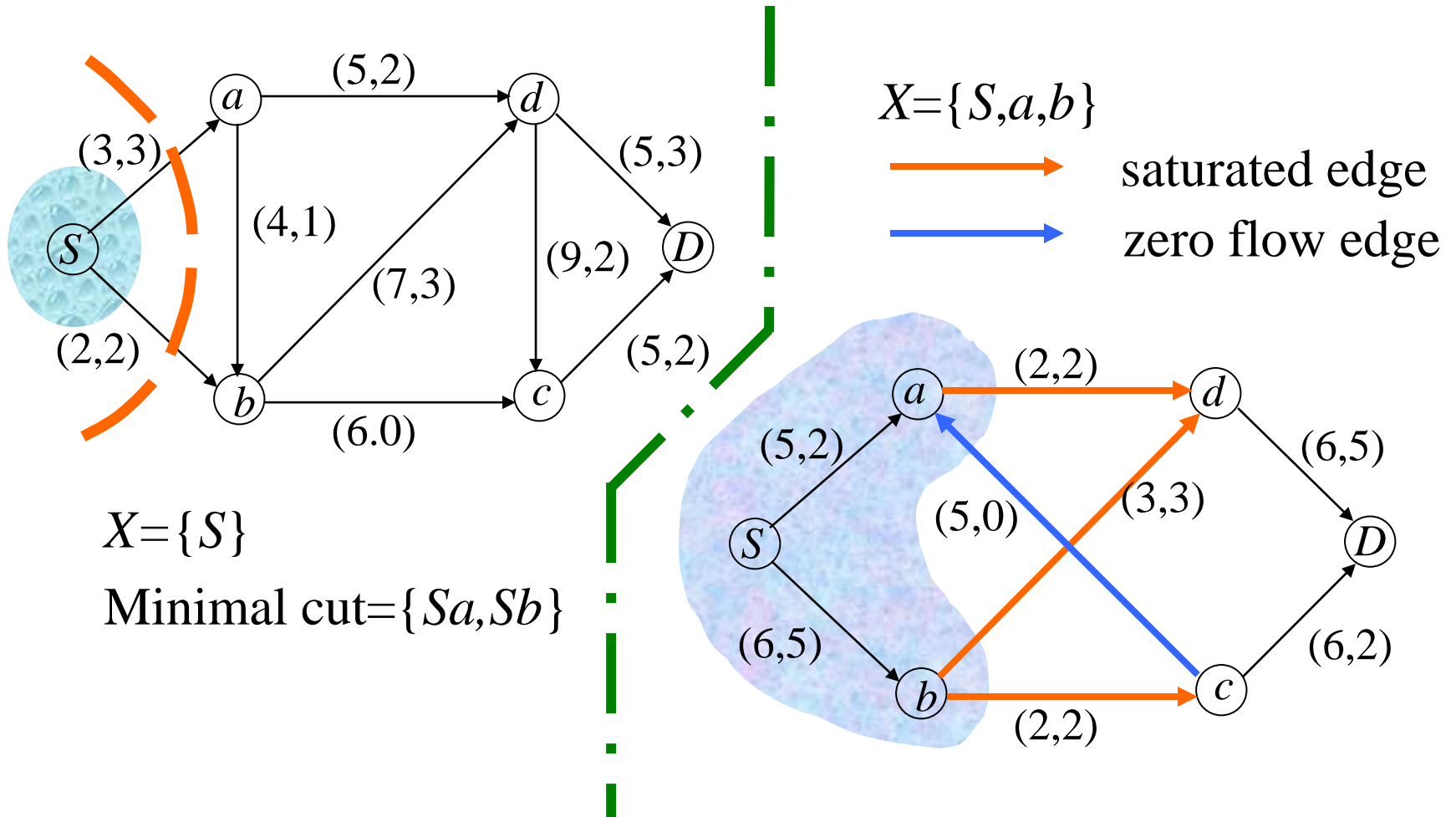
# Maximum Flow

- A flow  $F$  in a network  $(G,k)$  is a **maximum flow** if  $|F| \geq |F'|$  for every flow  $F'$  in  $(G,k)$

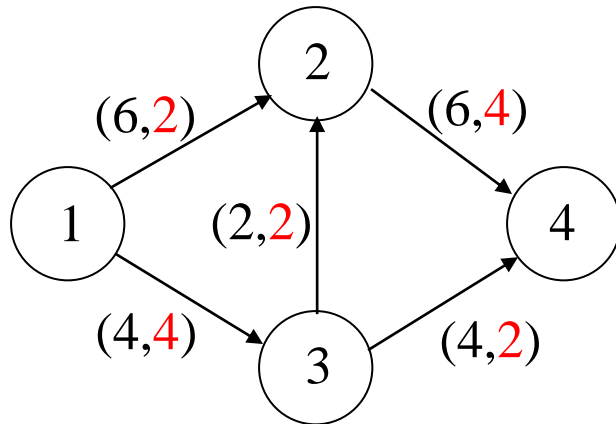


A maximal flow  
with value 5

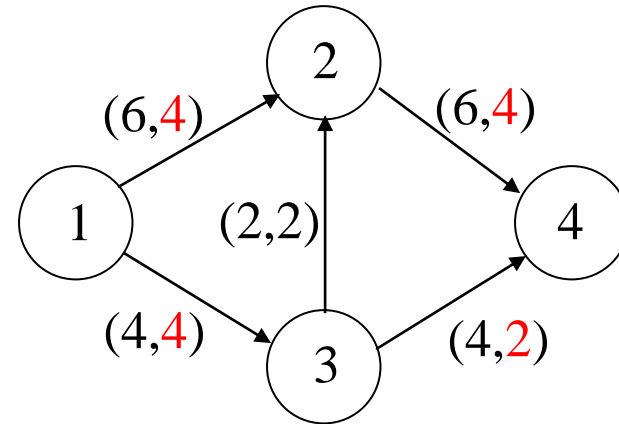
# Two Examples of Maximum Flow



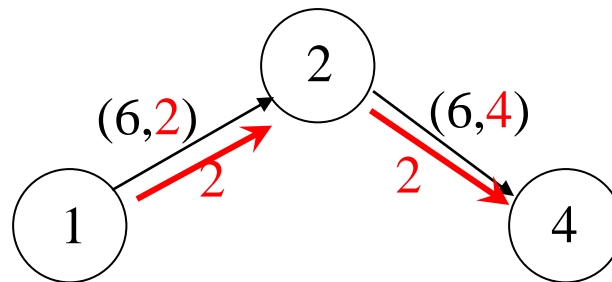
# Problem of the Maximum Flows



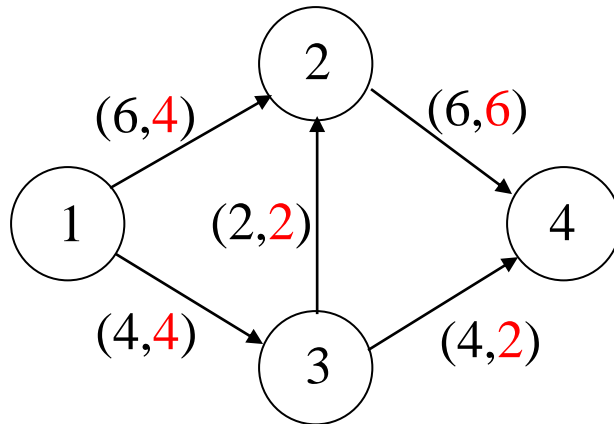
Value of flow: 6



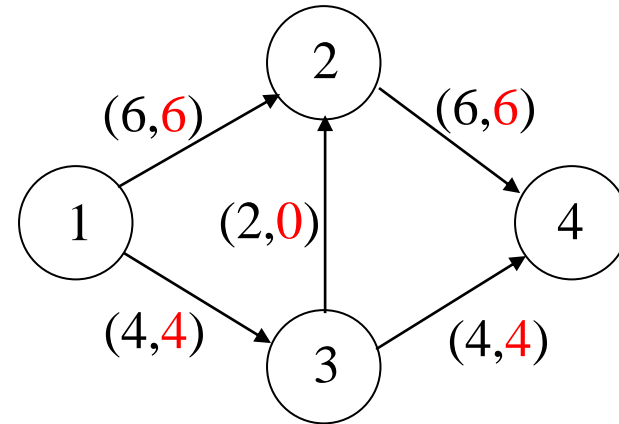
Value of flow: 8



# Problem of the Maximum Flows

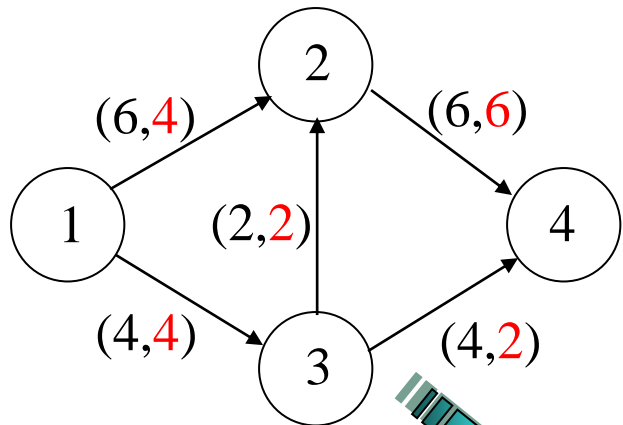


Value of flow: 8

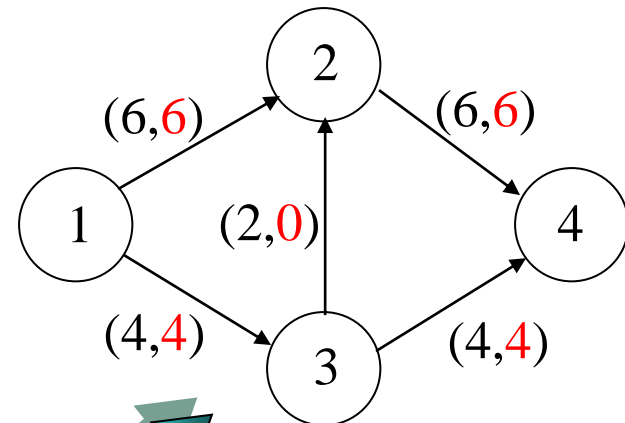


Value of flow: 10

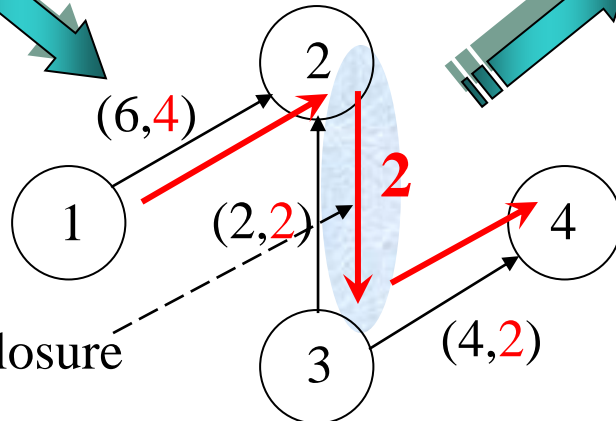
# Maximum Flows



Value of flow: 8



Value of flow: 10



This edge is not in  $N$ ,  
but in  $N$ 's symmetric closure

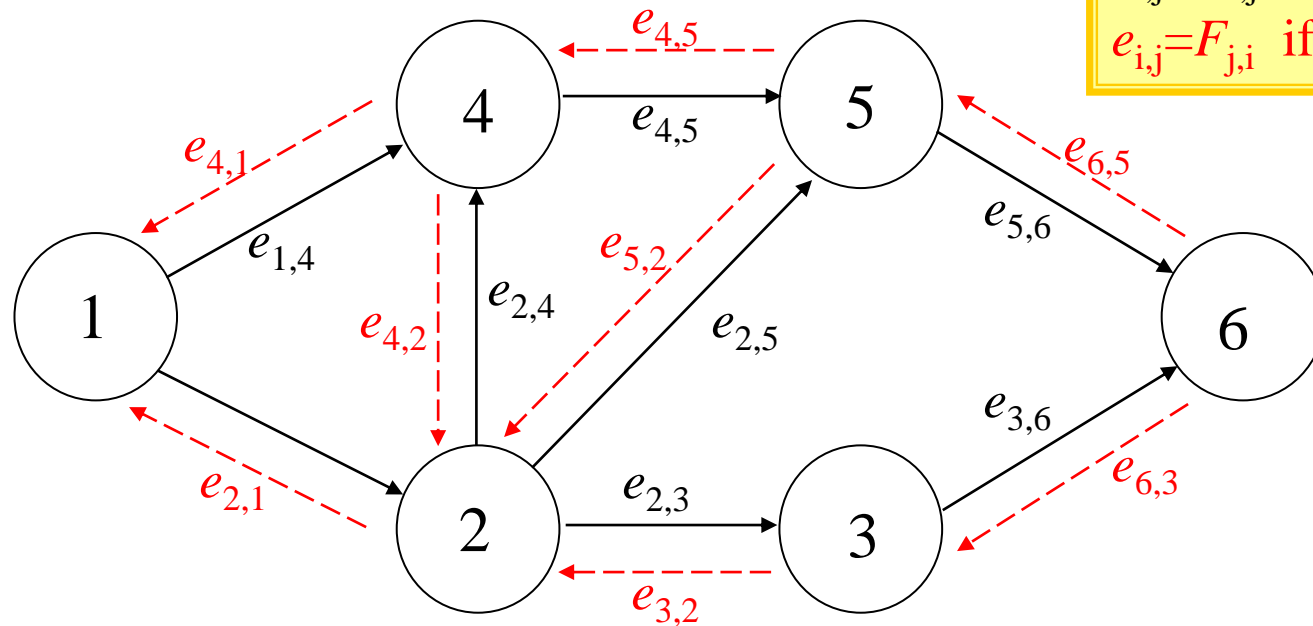


# General Scenario

Excess capacity:

$$e_{i,j} = C_{i,j} - F_{i,j}$$

$$e_{i,j} = F_{j,i} \text{ if } F_{j,i} > 0$$



$C_{i,j}$  is the capacity of edge  $(i,j)$

$F_{i,j}$  is the flow on edge  $(i,j)$

Find a path from Source to Sink such that all edges with a positive excess capacity.

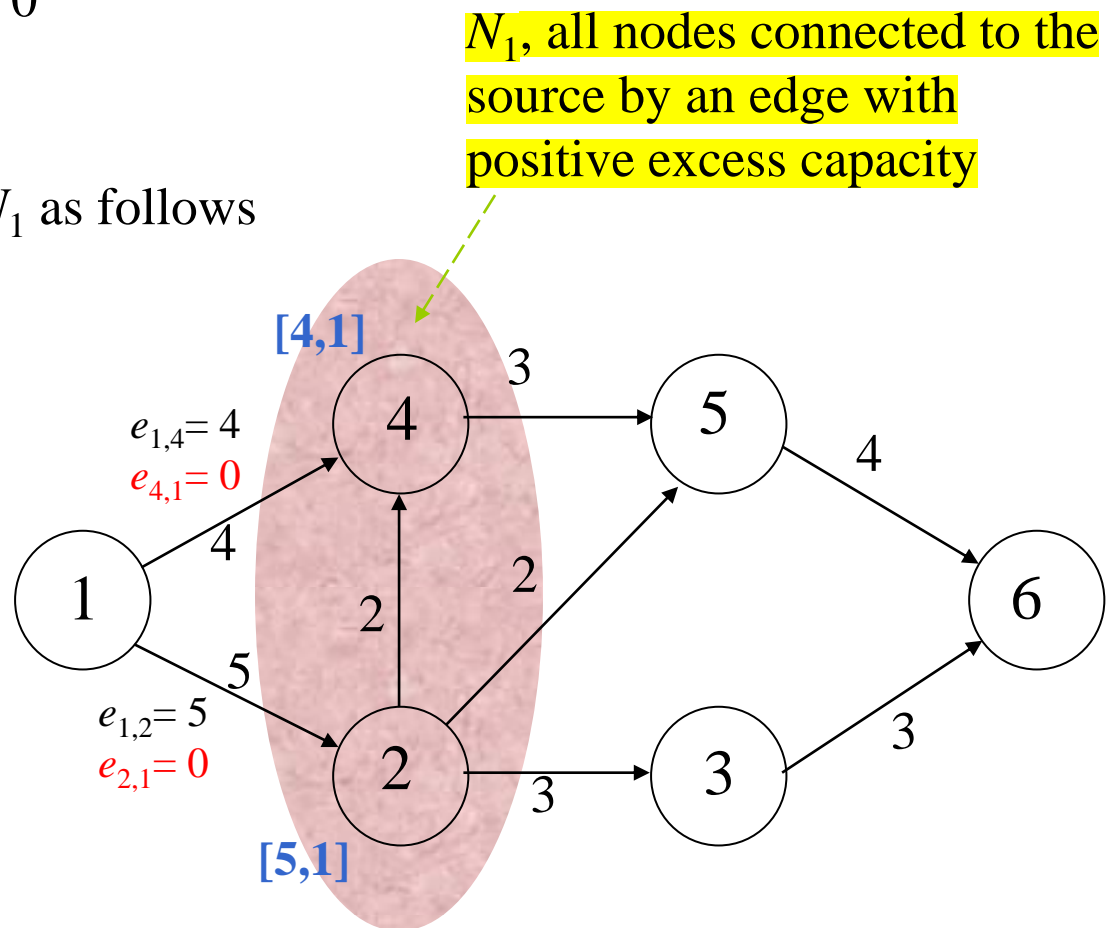
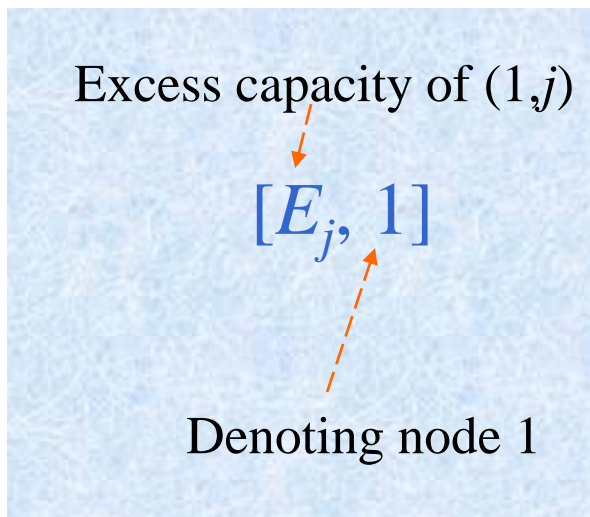
1. The value of flow can be increased by the minimal excess capacity of the edges.
2. Conservation constraints
3. Capacity constraints

# Labeling Algorithm (Ford & Fulkson)

Initialization: set all flow to 0

Step 1: (1) Identify  $N_1$

(2) Label nodes in  $N_1$  as follows



# Labeling Algorithm (Ford & Fulkson)

- Step 2: (1) Identify  $N_2(j)$ , based on the node  $j$ , with the smallest number, in  $N_1$   
 (2) Label nodes in  $N_2(j)$  as follows

$N_2(j)$ , all unlabelled nodes connected to node  $j$  by an edge with positive excess capacity

Also  $N_2$ , in this case

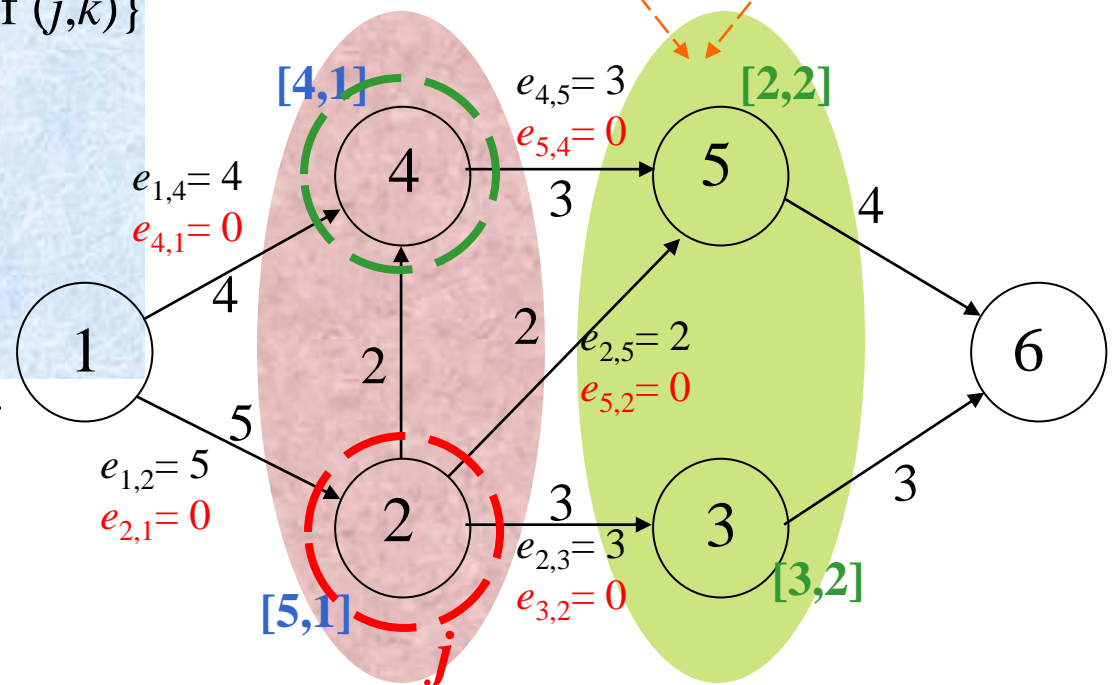
$$\min\{E_j, \text{Excess capacity of } (j,k)\}$$

$[E_k, j]$

Denoting node  $j$

- (3) Do as above for all  $j$  in  $N_1$ , and let

$$N_2 = \cup_{j \in N_1} N_2(j)$$



# Labeling Algorithm (Ford & Fulkson)

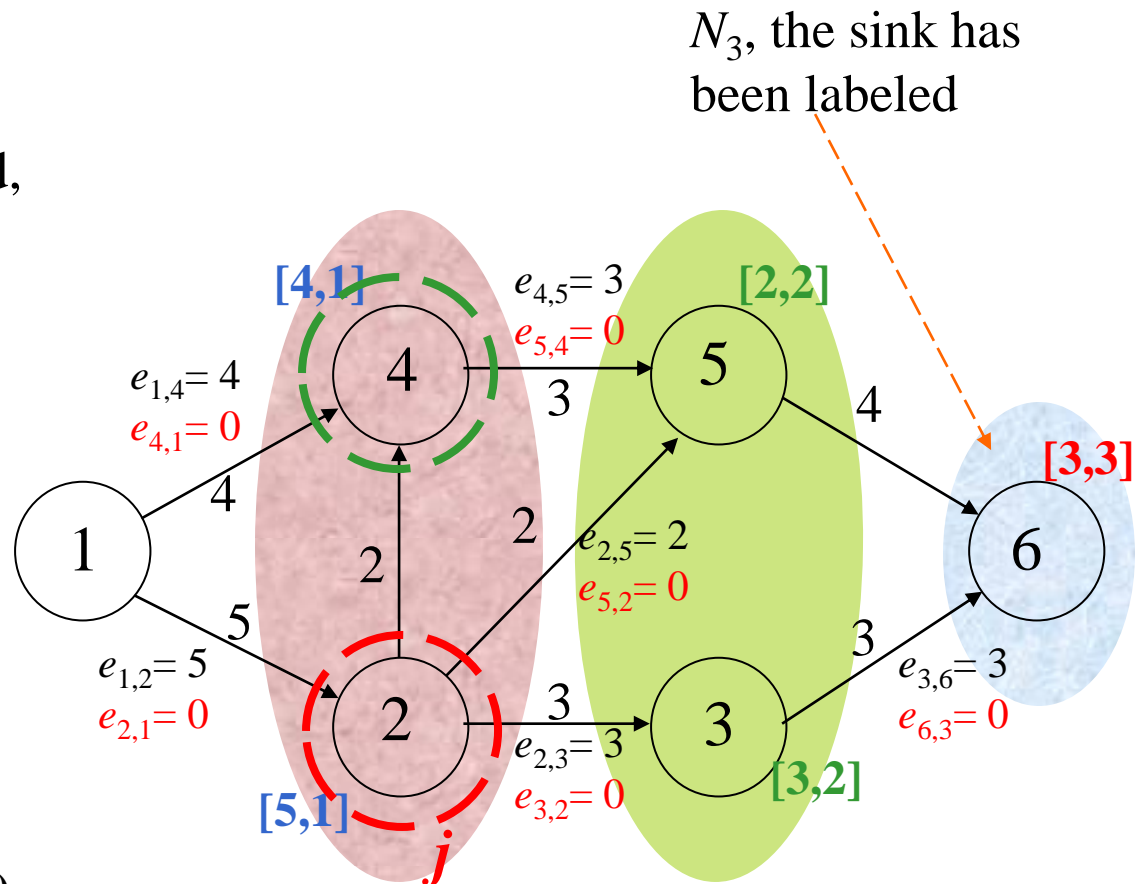
Step 3: Continue as in step 2,  
forming  $N_3, N_4, N_5, \dots$ , until:

(i) the sink has been labeled,  
goto step 4

or

(ii) the sink has not been  
labeled, but no other  
nodes can be labeled  
according to the rules,  
the total flow is the  
maximum flow

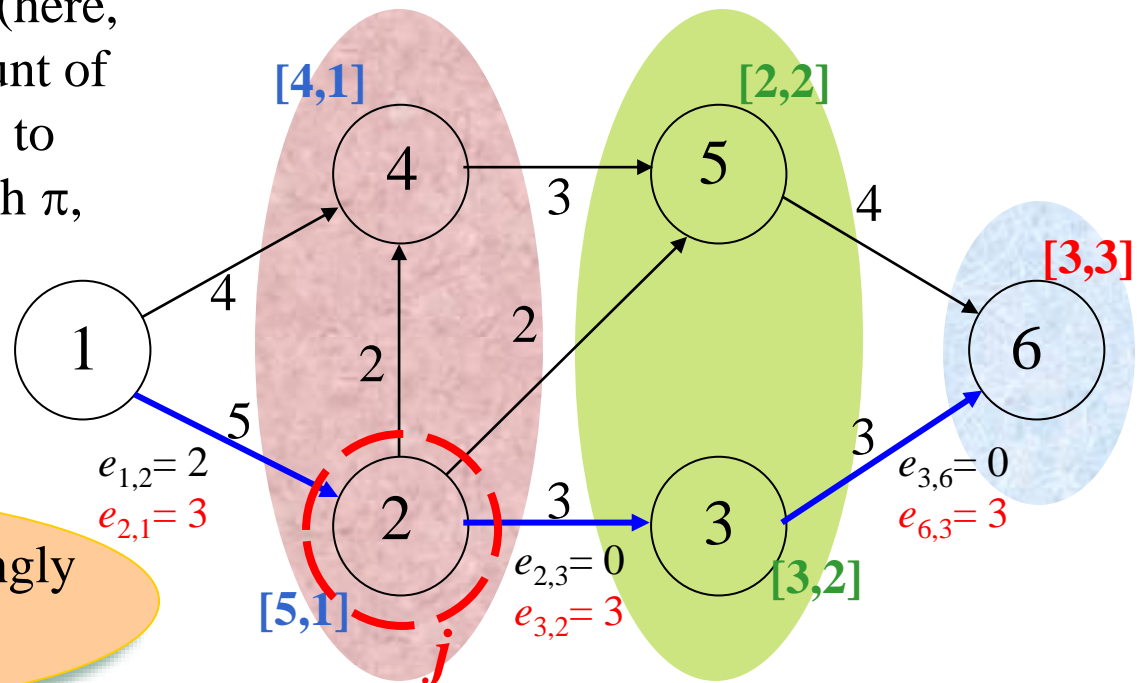
(note: the source is not labeled)



# Labeling Algorithm (Ford & Fulkson)

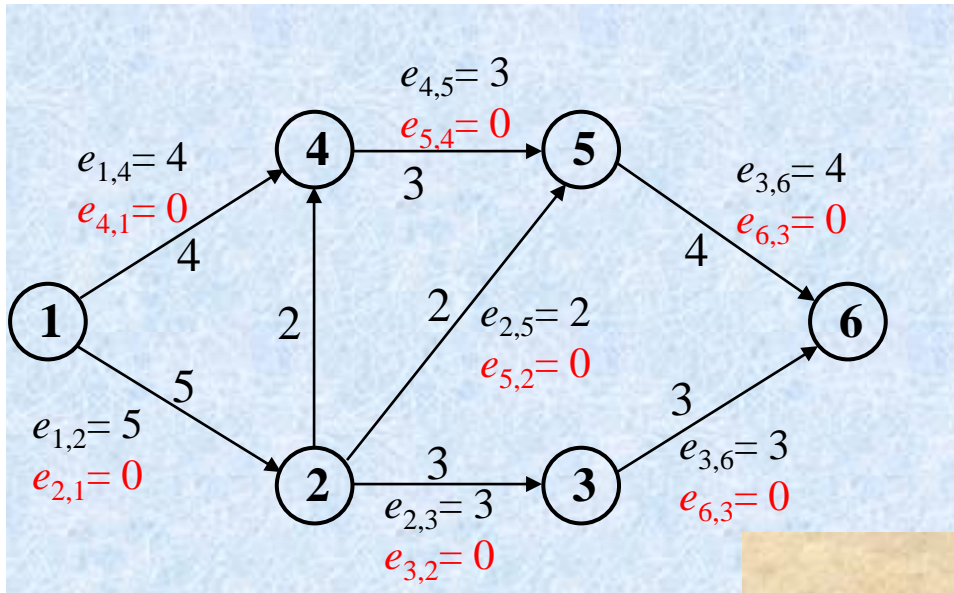
Situation after one full cycle:

The label of sink is  $[E_n, m]$  (here,  $[3,3]$ ), where  $E_n$  is the amount of extra flow that can be made to reach the sink through a path  $\pi$ , and the path can be traced backward by node  $m$



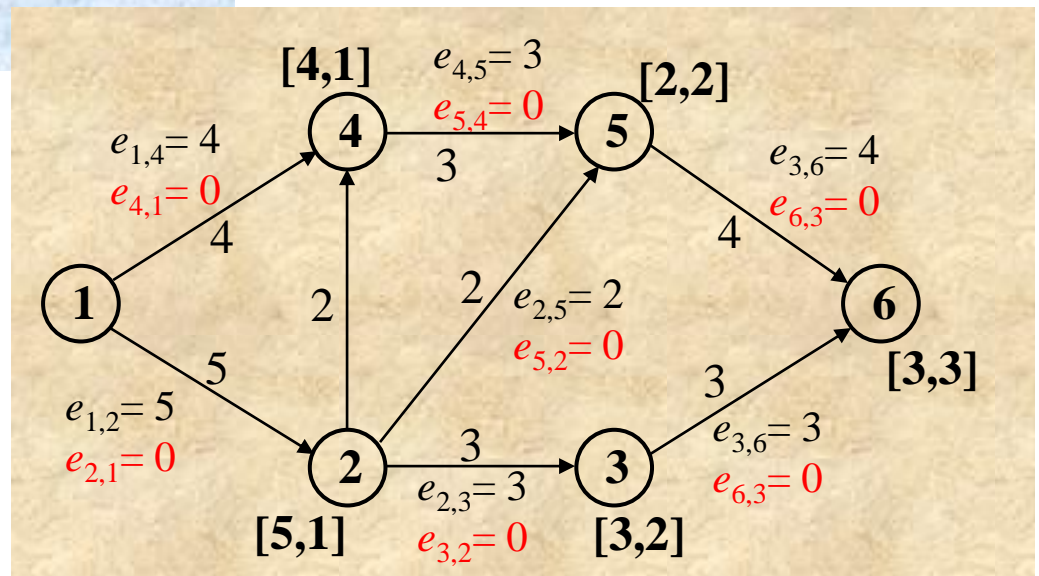
$e_{i,j}$ ,  $e_{j,i}$  are changed accordingly  
and then return to step 1

# Applying Labeling Algorithm

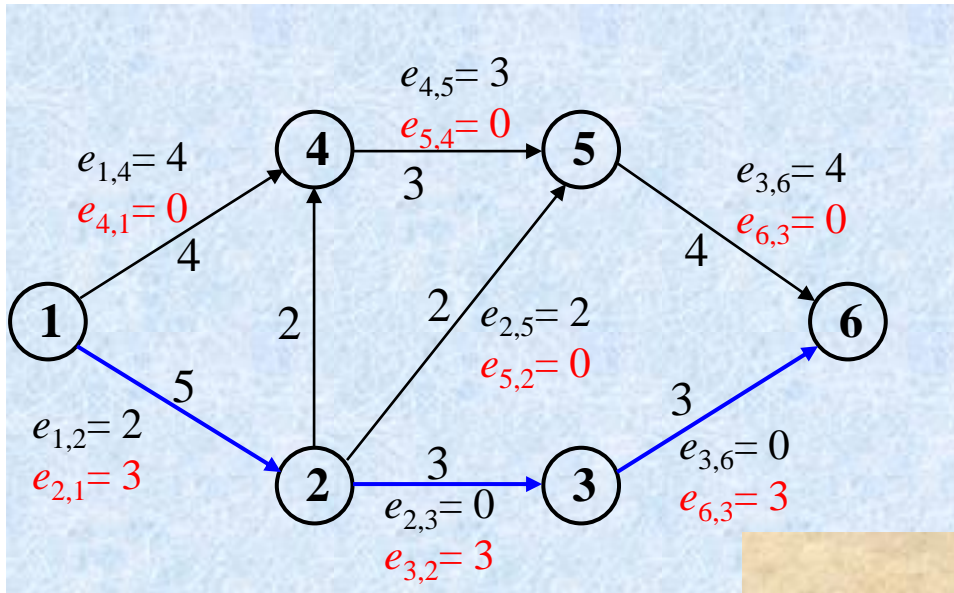


After the first cycle

At the beginning,  
setting all flow to 0

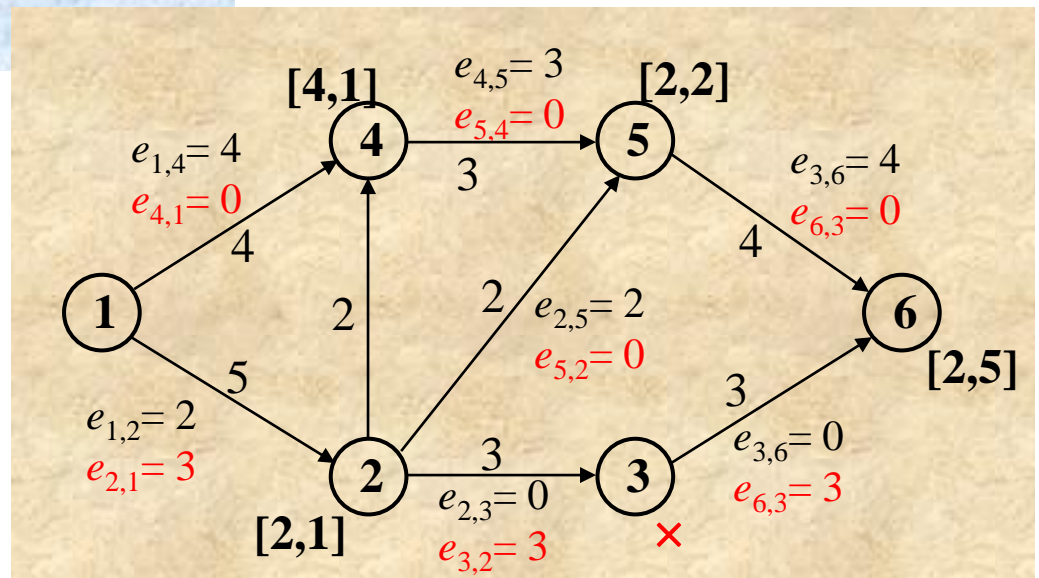


# Applying Labeling Algorithm



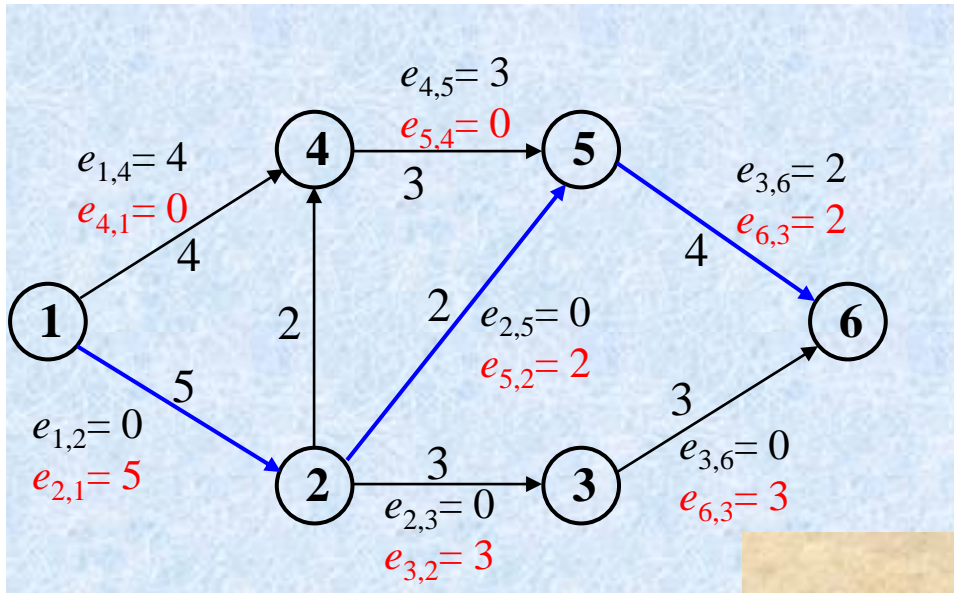
After the first cycle

After the second cycle



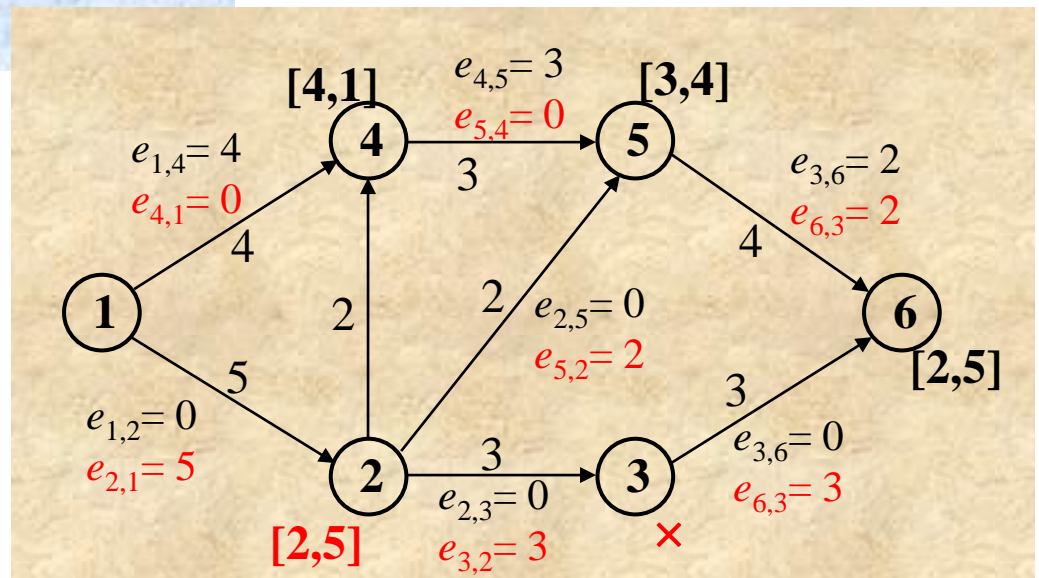


# Applying Labeling Algorithm



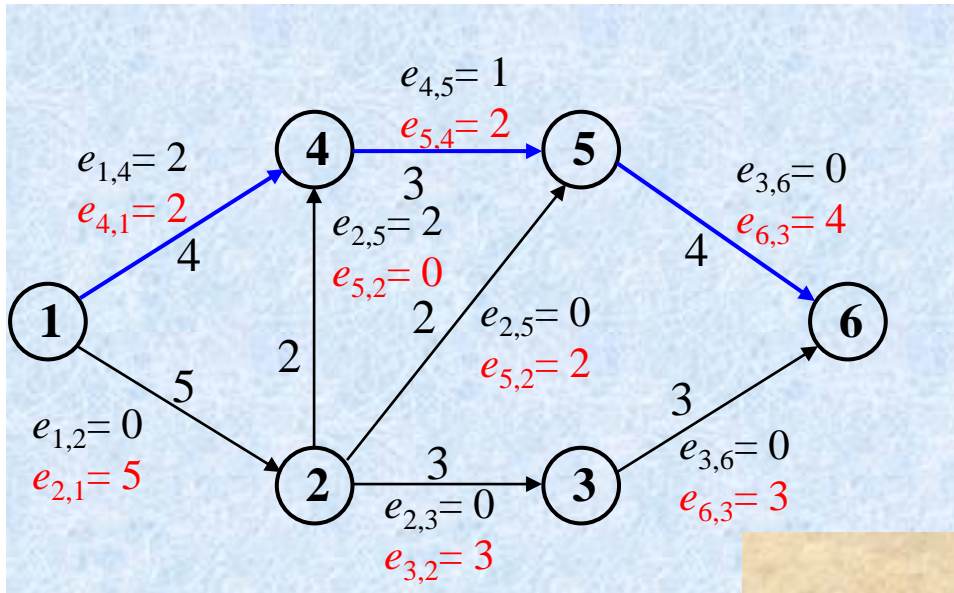
After the second cycle

After the third cycle





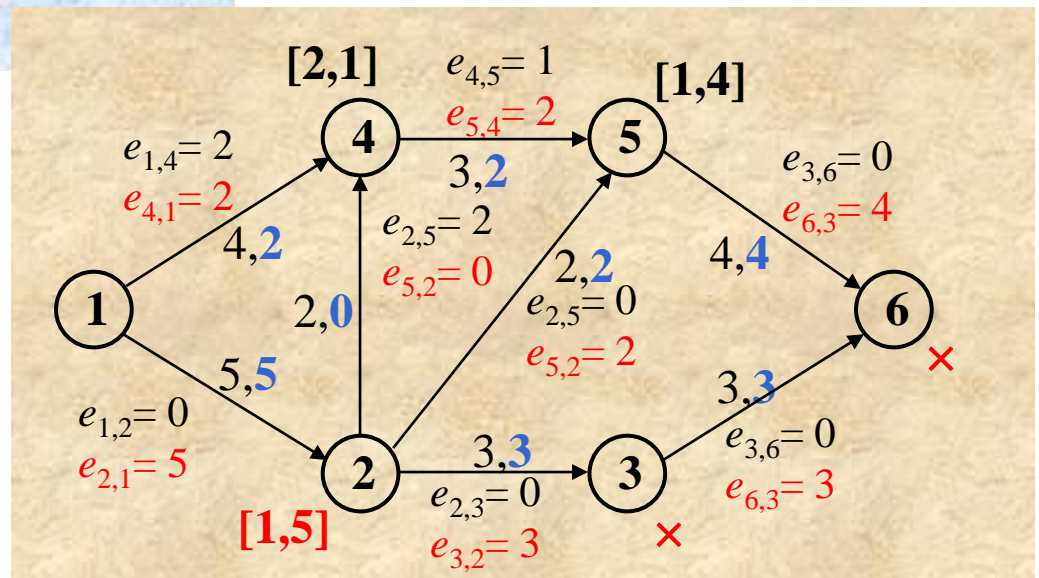
# Applying Labeling Algorithm



After the third cycle

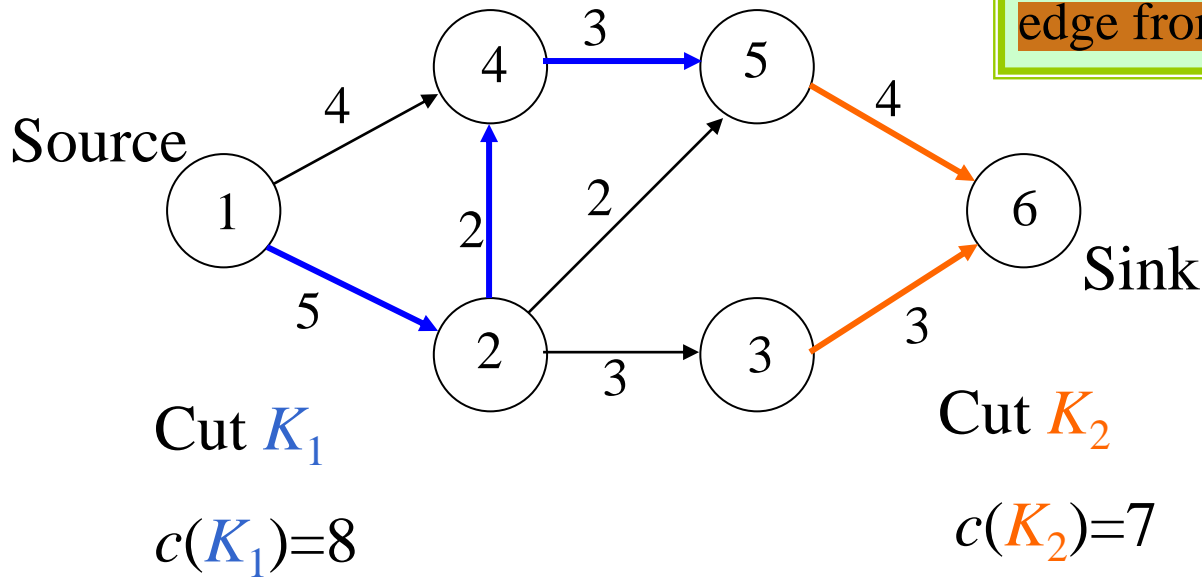
After the fourth cycle

The sink has not been labeled,  
so the final result reached



# Flow and Cut

**Cut:** a set  $K$  of edges in a network  $N$ , having the property that **every path from the source to the sink contains at least one edge from  $K$ .**



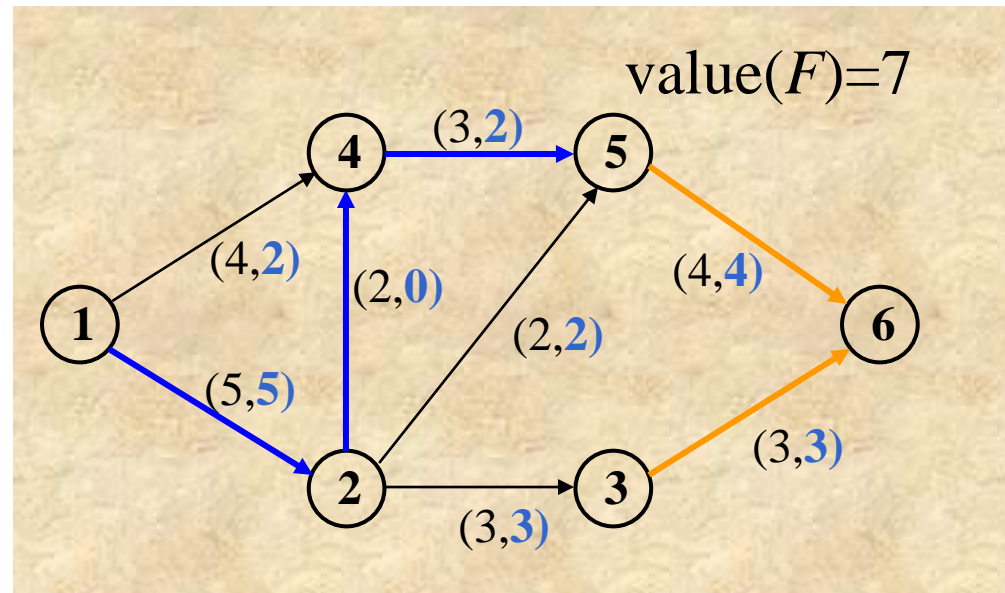
# Max Flow Min Cut Theorem

For any flow  $F$ , and any cut  $K$ , all parts of  $F$  must pass through the edges of  $K$ . Since  $c(K)$  is the maximum amount that can pass through the edges of  $K$ , so,  $\text{value}(F) \leq c(K)$ .

If  $\text{value}(F) = c(K)$ , then the flow uses the full capacity of all edges in  $K$ ,  $F$  must be a flow with maximum value, and, on the other hand,  $K$  must be a cut with minimum capacity.

## Theorem

A maximum flow  $F$  in a network has value equal to the capacity of a minimum cut of the network



# Correctness of Labeling Algorithm

$M_1$  : labelled nodes

$M_2$  : other nodes

$K$  : all edges from  $M_1$  to  $M_2$ .

Any path  $\pi$  from source to sink contains an edge begins with node in  $M_1$  and ends with node in  $M_2$ . So,  $K$  is a cut.

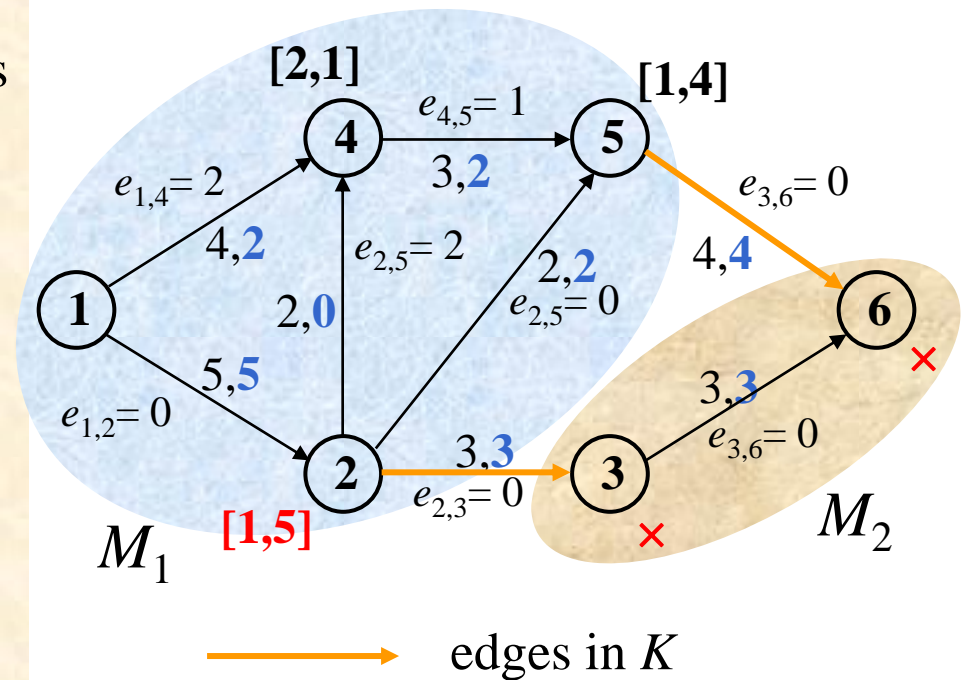
For  $(i,j)$  in  $K$ ,  $(i,j)$  carrying its full capacity in the final flow  $F$ .

In the final flow:

- 1、 no flow from  $M_2$  to  $M_1$
- 2、 for nodes in  $M_1$  other than source, the conservation rules applied.

So,  $value(F) = c(K)$

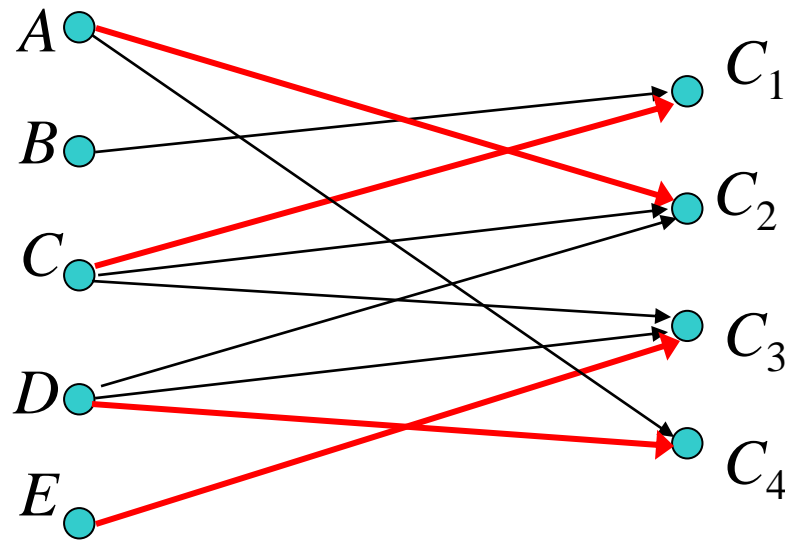
Algorithm stops at Step 4



# “Non-Transport” Transport Network

5 persons  $A, B, C, D, E$  belongs to 4 committees  $C_1, C_2, C_3, C_4$ , where  $C_1 = \{B, C\}$ ;  $C_2 = \{A, C, D\}$ ;  $C_3 = \{C, D, E\}$ ;  $C_4 = \{A, D\}$ .

Is it possible to select 4 chairperson where no one chairs more than one?



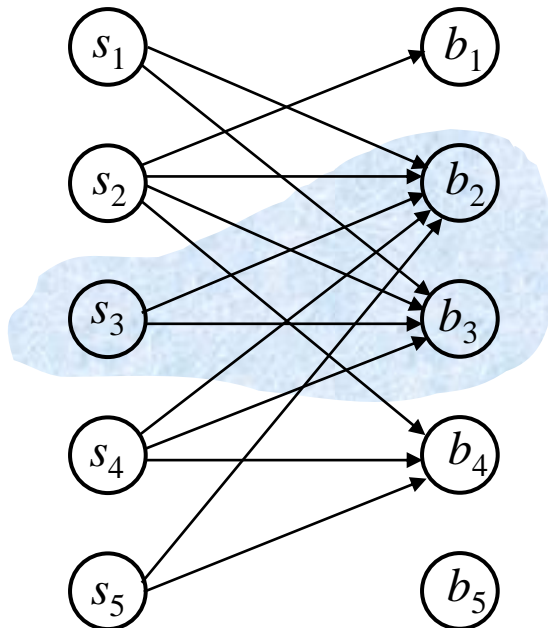


# Assignment Problem

## ■ The assignment problem

- A organization has  $n$  positions to fill and  $m$  applicants. Each applicant has a list of qualifications which make him suitable for certain positions.
- Is it possible to assign each applicant to a position to which he or she is suitable?
- If not, what is the largest number of people that can be assigned to the positions?
- How should these assignments be made?

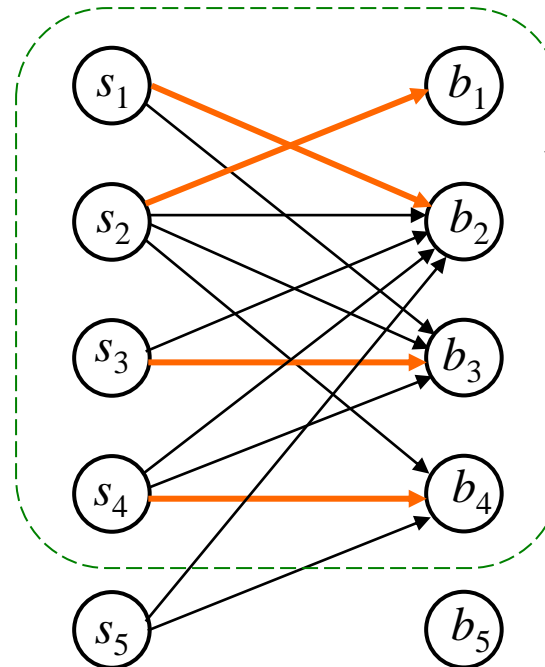
# Matching



Relation  $R$

**Note:**  $R(s_3, b_2)$ , and  $R(s_3, b_3)$ ,

$$R(\{s_3\}) = \{b_2, b_3\}$$

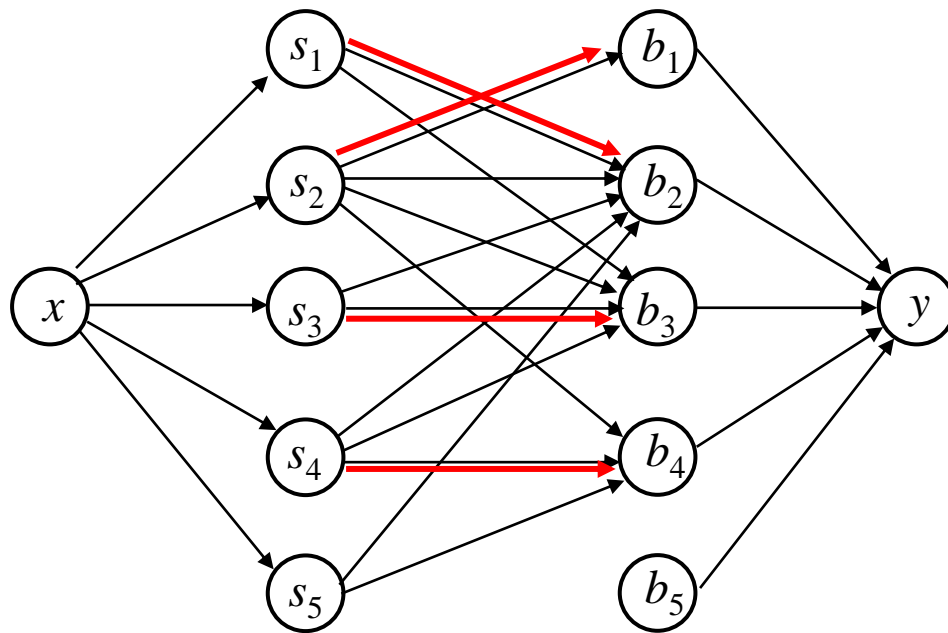


← If this is a relation  $R'$ , then  $M$  is a complete matching compatible to  $R'$

Matching function  $M$ , compatible to  $R$

**A maximal matching**, but not complete

# Matching and Flow in Network



Labeling algorithm for max flow is used in the network to compute the matching

with each capacity set to 1



# Hall's Marriage Theorem

- Let  $R$  be a relation from  $A$  to  $B$ . Then there exists a complete matching  $M$  if and only if for each  $X \subseteq A$ ,  $|X| \leq |R(X)|$

- Proof:

- $\Rightarrow$  Obviously

- $\Leftarrow$  Show that the minimum cut in  $N$  has value  $n=|A|$ .

- Suppose  $K$  is a minimal cut.

- Consider all edges in  $K$  as in three sets

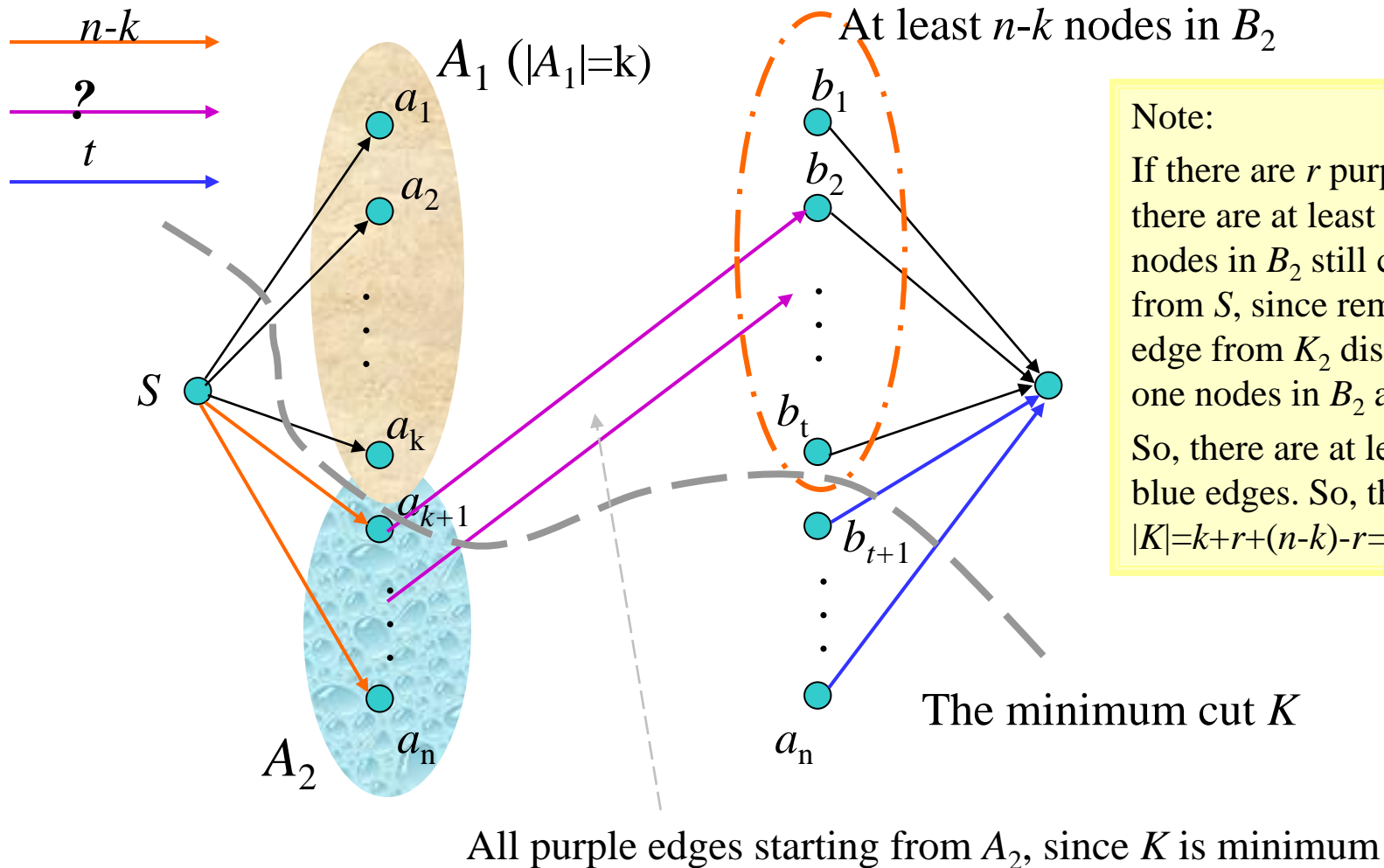
- $S_1$ : those begin at supersource;

- $S_2$ : those correspond to pairs in  $R$ ;

- $S_3$ : those end at supersink.

- Considering the situation of removing the three sets one by one, we can see that  $K$  contains at least  $n$  edges.

# Proof of Hall's Theorem:



Note:

If there are  $r$  purple edges, there are at least  $(n-k)-r$  nodes in  $B_2$  still connected from  $S$ , since removing one edge from  $K_2$  disconnecting one nodes in  $B_2$  at most.

So, there are at least  $(n-k)-r$  blue edges. So, the  $|K|=k+r+(n-k)-r=n$



# Chromatic Number of Graph

## ■ Definitions

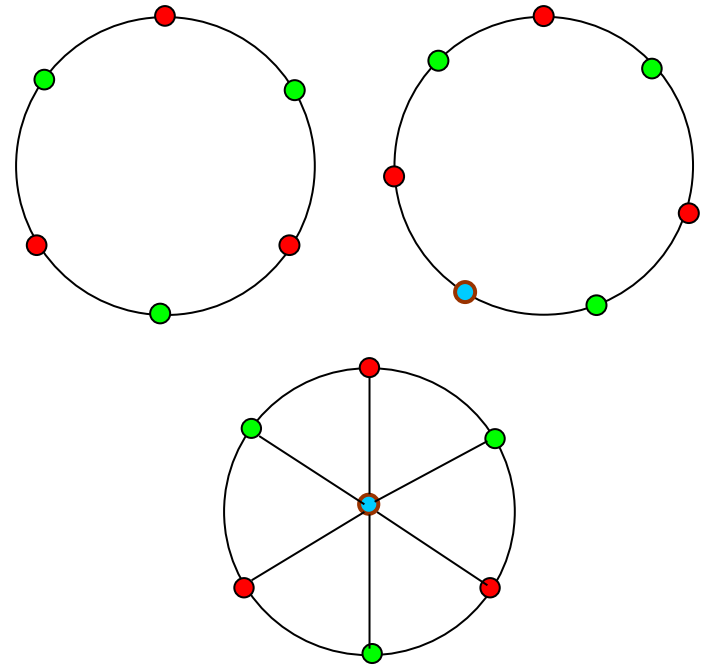
- Coloring each vertex in a graph without rings, if no adjacent vertices has the same color, the coloring is called a proper coloring.
- The smallest number of colors needed to produce a proper coloring of a graph  $G$  is called the chromatic number of the graph, denoted by  $\chi(G)$ .

# “Commonsense” about $\chi(G)$

- $\chi(G) \leq |V_G|$ , and only when  $G = K_n$  the equality holds
- If  $H$  is a subgraph of  $G$ , If  $\chi(H) = k$ , then  $\chi(G) \geq k$ .
- If  $d(v) = k$ , then all the vertices adjacent to  $v$  can be properly colored in at most  $k$  colors.
- The chromatic number of  $G$  is the chromatic number of the largest component of  $G$ .

# Chromatic Number: Examples

- $\chi(G)=1$  iff. there is no edges in the graph
- $\chi(K_n)=n$
- If  $G$  is a cycle:
  - If  $|V_G|=2k$ ,  $\chi(G)=2$
  - If  $|V_G|=2k+1$ ,  $\chi(G)=3$
- If  $G$  is a wheel
  - If  $|V_G|=2k$ ,  $\chi(G)=4$
  - If  $|V_G|=2k+1$ ,  $\chi(G)=3$



# Chromatic Number of Bipartite Graph

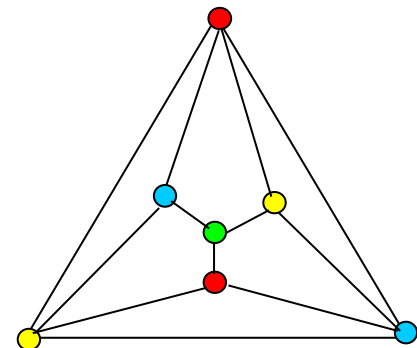
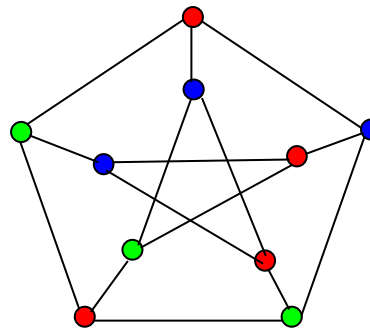
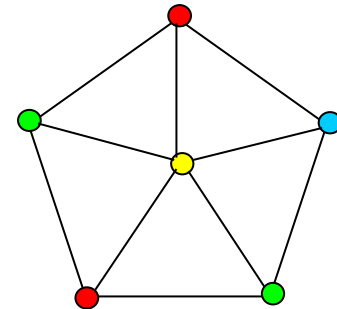
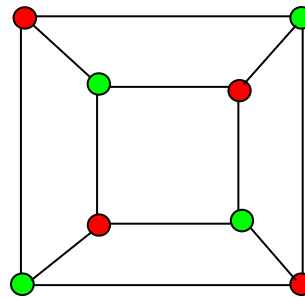
- $G$  is a bipartite graph if there is a two-set partition of the set of vertices such that all edges in the graph connect members from different sets in the partition.
- $G$  is a bipartite graph if and only if there is no odd cycle in  $G$ .
- $G$  is a graph with at least one edge (i.e. not discrete graph), then,  $\chi(G)=2$  if and only if  $G$  is a bipartite graph
  - $\Rightarrow$  if  $\chi(G)=2$ , then there is no odd cycle in  $G$ ;
  - $\Leftarrow$  if  $G$  is a bipartite graph, then only one color is needed for one set in the partition.

# Chromatic Number: Examples

- Upper left:  
a bipartite graph
- Upper right: wheel
- Lower left

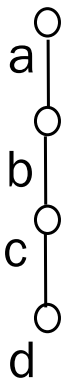
$$\Delta=3, \quad \therefore \chi=3$$

- Lower right  
 $\Delta=4$ , but  $\chi>3$

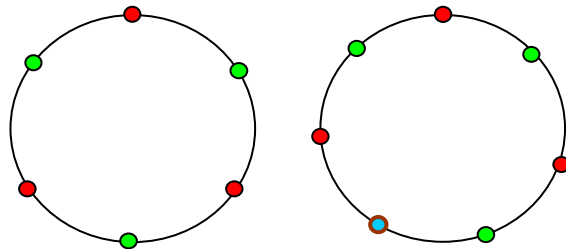


# Chromatic Polynomial

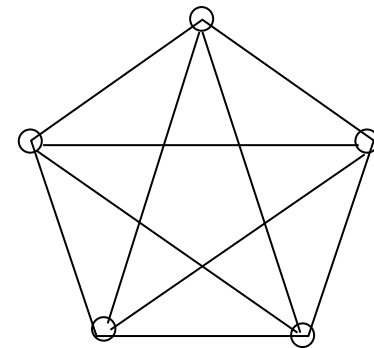
- Give a graph  $G$  and a set of “color”  $(\{c_1, c_2, \dots, c_n\})$ , the number of different coloring for  $G$  is a function of  $n$ .
- The function is a polynomial function, called the chromatic polynomial



$$P_G(x) = x(x-1)^3$$



$$P_G(x) = (x-1)^n + (-1)^n(x-1)$$



$$P_G(x) = x(x-1)(x-2)(x-3)(x-4)$$



# Recursive Formula

$$P_G(x) = P_{G_e}(x) - P_{G^e}(x)$$

where  $G_e = G - \{e\}$ , and,

$G^e$  is the graph by merging the edge  $e$  in  $G$ .

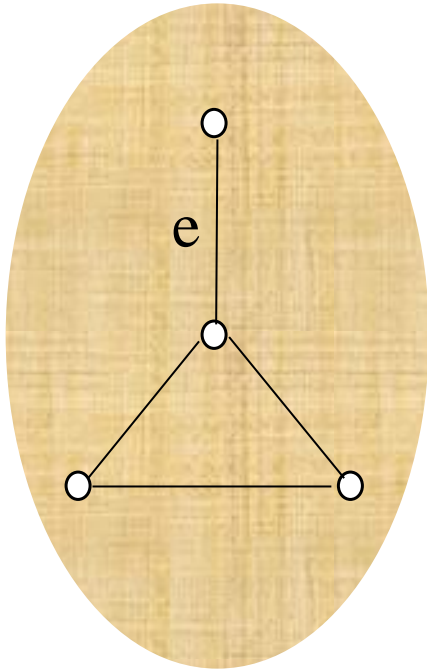
Proof:

Compare the coloring of  $G$  and  $G_e$ .

For  $G_e$ , any coloring in which the two endpoints of  $e$  are the same color is not in the coloring of  $G$ .

However, the number of such coloring scheme is just that of  $G^e$ .

# An Example



$G^e$  is  $K_3$ , so, the polynomial is:

$$x(x-1)(x-2)$$

$G_e$  has two component, one a single vertex, and the other,  $K_3$ . So, the polynomial is:

$$x(x(x-1)(x-2))=x^2(x-1)(x-2)$$

$$P_G(x) = x^2(x-1)(x-2) - x(x-1)(x-2) = x(x-1)^2(x-2)$$

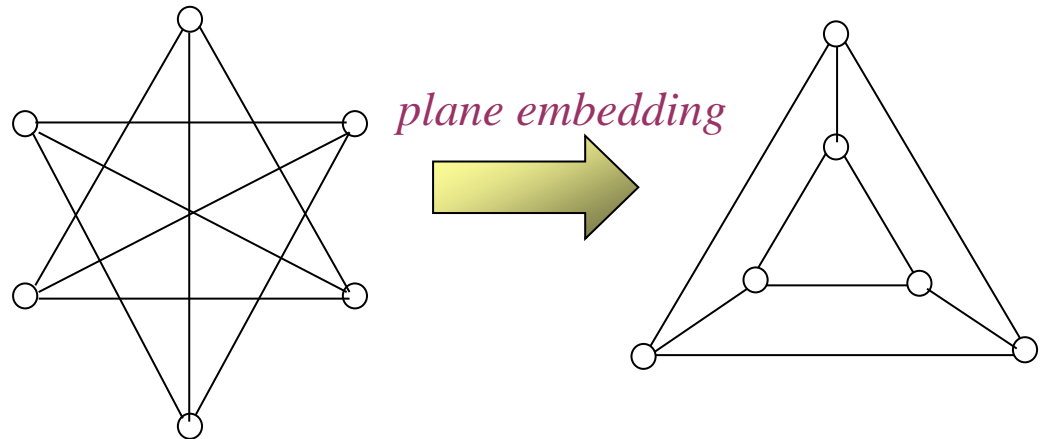
$$\chi(G)=3$$

# Concept of Planar Graph

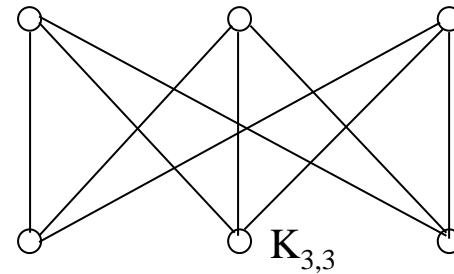
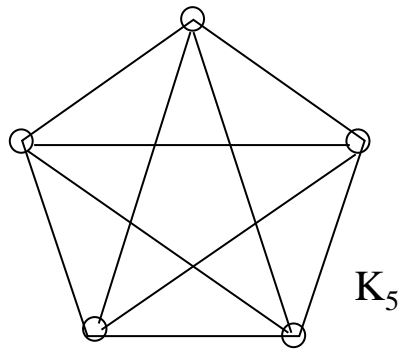
- Embedding a graph on a plane: Drawing a diagram for a graph, such that no two edges cross unless on the endpoint.
- A graph is a planar graph if it has plane embedding

## Notes:

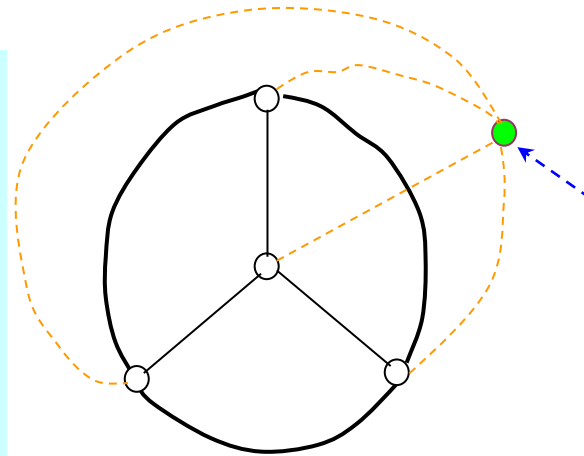
- Acyclic graph is planar.
- A graph is non-planar, if any of its subgraph is.
- Unconnected graph can be considered by components.



# Typical Non-planar Graph



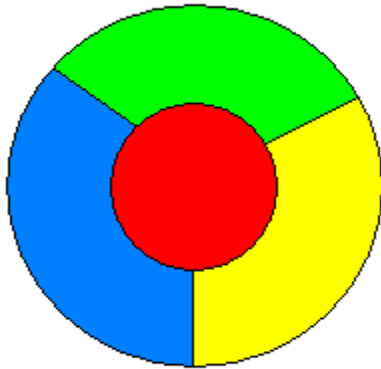
Jordan theorem: a closed curve  $C$  divides the plane into 2 parts: the inner and the outer. The line connecting two vertices located in the two sections respectively must intersect with  $C$ .



Jordan condition occurs, wherever the vertex is placed

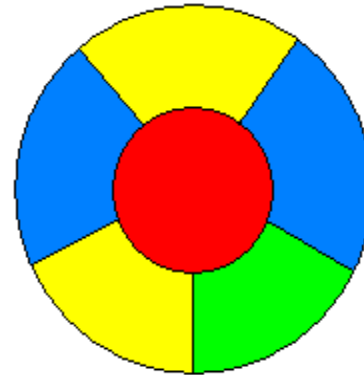
# Francis Guthrie's Conjecture

Coloring areas in a map, such that no two areas with common border have the same color, four colors is enough.



3 colors can't do.

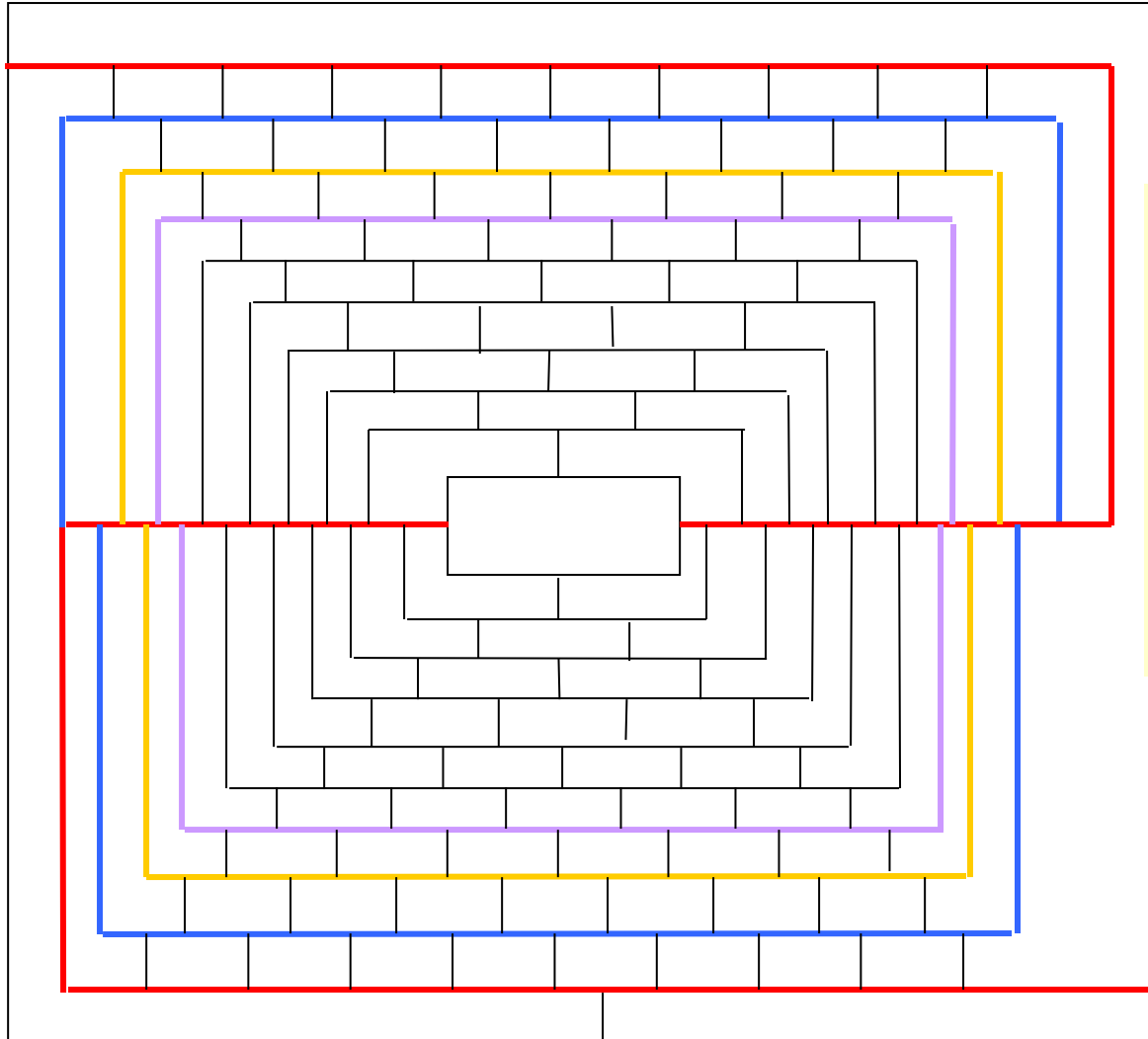
- Guthrie, de Morgan



It can be proved that no such pattern exists: 5 sections, with each adjacent to all other 4.

But ...

# Martin Gardner's Gift for Fool's Day

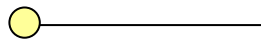
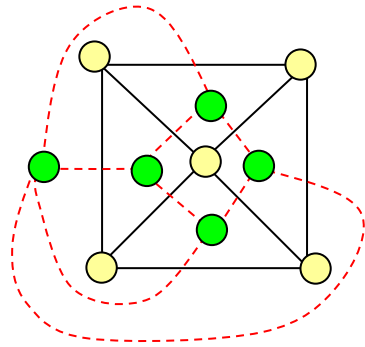


**A  
counterexample  
for four-color  
conjecture**

**?**

Scientific American  
Fool's Day of 1975

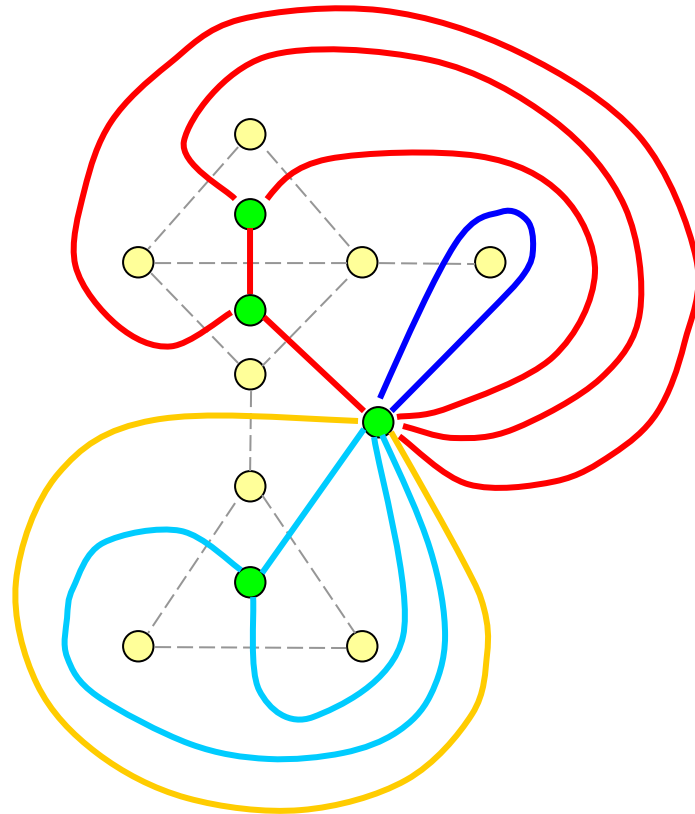
# Dual Graph of a Planar Graph



Vertices and edges  
in  $G$



Vertices and edges  
in the dual graph of  
 $G$



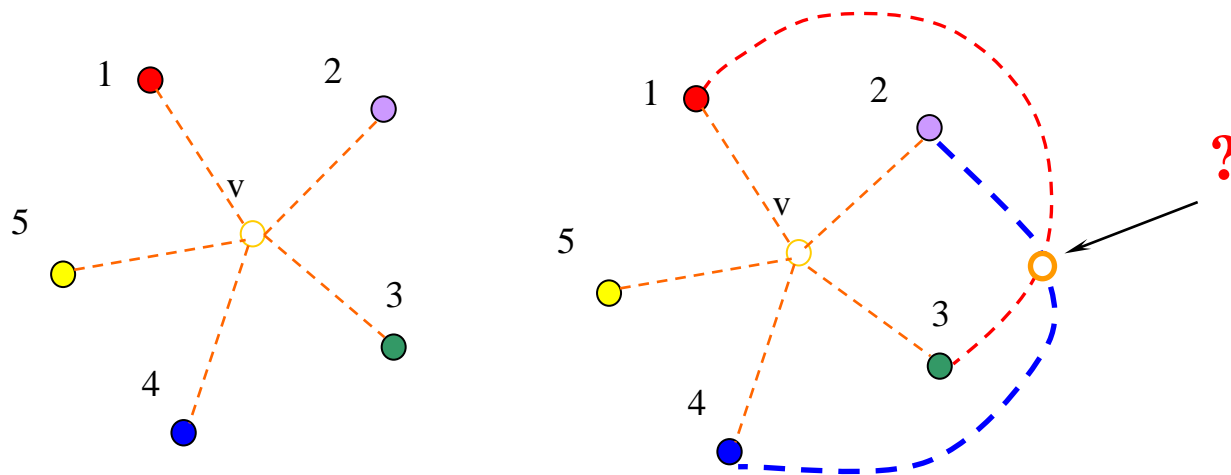
# Five Color Theorem

- Coloring a planar map, with different colors for adjacent areas, five colors is enough.
- Proof (sketch)

There must be a 5-degree vertex in any simple planar.

Induction on the number of vertices (if  $n \leq 5$ , obviously)

induction as follows:







# Home Assignments

- To be checked

- ☐ pp.328: 5-11, 14, 19-21

- ☐ pp.333: 4, 5, 8, 10, 14-19

- ☐ pp.338: 15, 16, 19, 23, 26, 27

- Self tests