

# Open Issues in Deep Learning

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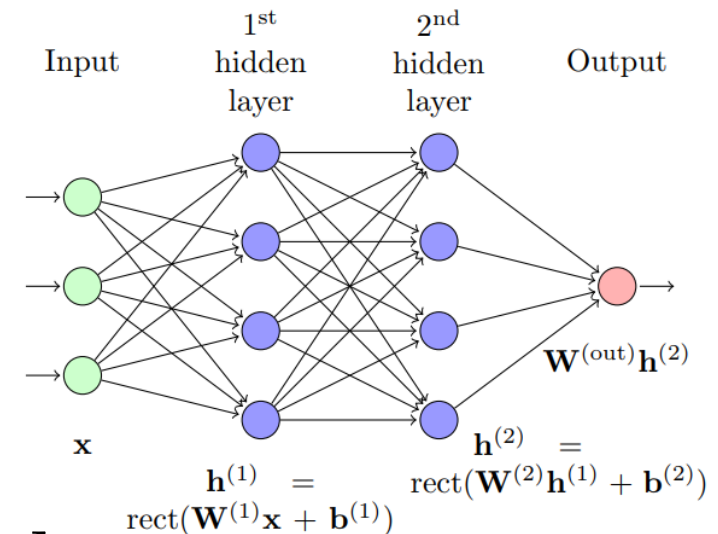
***Friday 16 March, 2018***

# Outline

- Non-convex Optimization
- Adversarial Examples
- Real-life Challenges

# Non-Convex Optimization

- Explore the complexity and expression power of deep feedforward MLP networks with rectified linear activations. [Pascanu et al 2014]
- Parameters:
  - $k$  : # hidden layers
  - $n_0$  : input dim
  - $n$  : # neurons per layer
  - 1:output dim
- Deep [ $k$  hidden layers with  $n$  neurons]
- Shallow [1 hidden layer with  $kn$  neurons]
- Both are piecewise linear functions (due to the their layers)

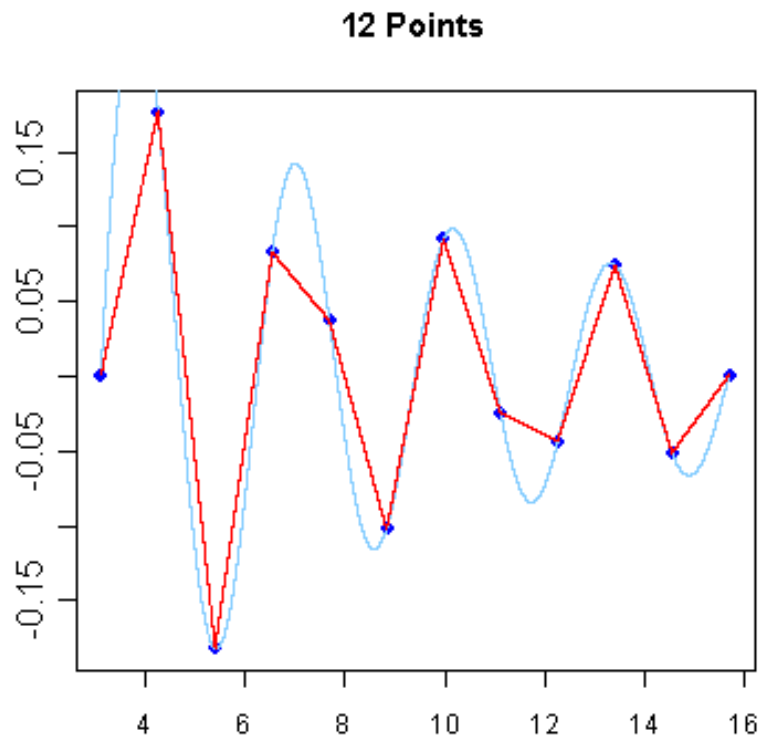


$$s = \sum_{i \in [n]} w_i x_i + b$$

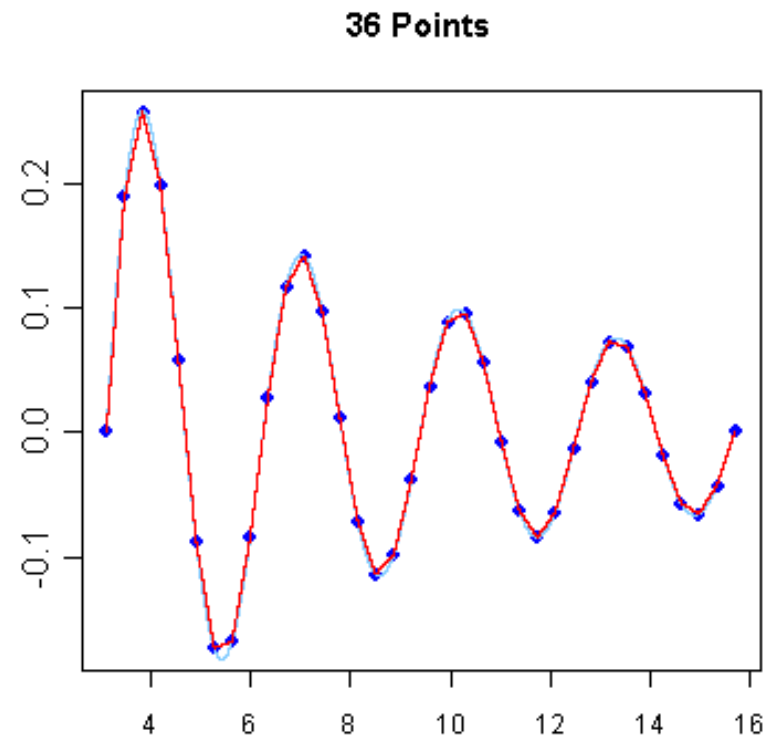
$$\text{rect}(s) = \max\{0, s\}$$

# Non-Convex Optimization

- How well do they approximate arbitrary functions?



RMS error = 5.04147E - 2



RMS error = 5.64535E - 3

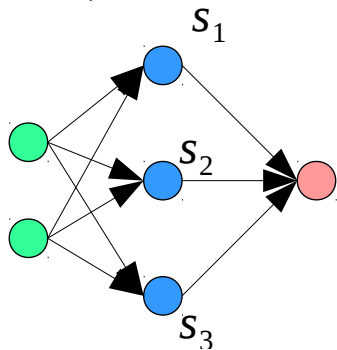
- Corresponds to the number of pieces (linear regions).

# Non-Convex Optimization

- Give upper bounds on the number of regions with  $n_0$  as constant:
  - Note that the last layer is a linear function  $\rightarrow$  # of regions is not increasing.
- Shallow:  $O(k^{n_0} n^{n_0})$  [Zaslavsky, 1975]

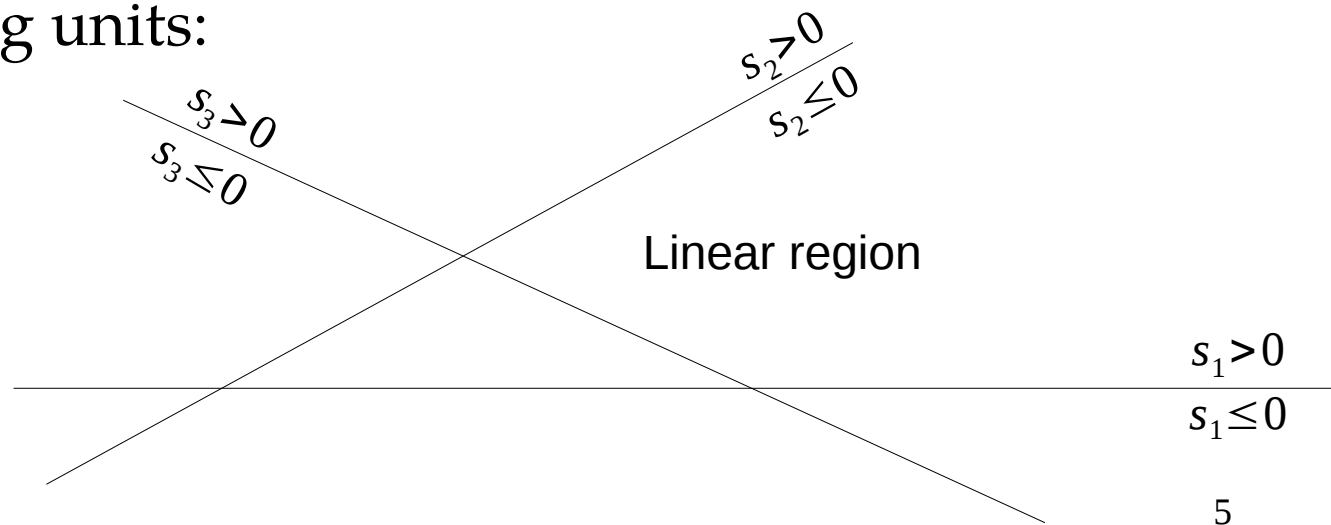
Actually, since each rectifying unit acts as a hyperplane dividing the hyperspace ( $\mathbb{R}^{n_0}$ ) the problem is well studied (hyperplane arrangements) and the result is known.

- $n_0=2, n=3, k=1$  rectifying units:



$$s = \sum_{i \in [n]} w_i x_i + b$$

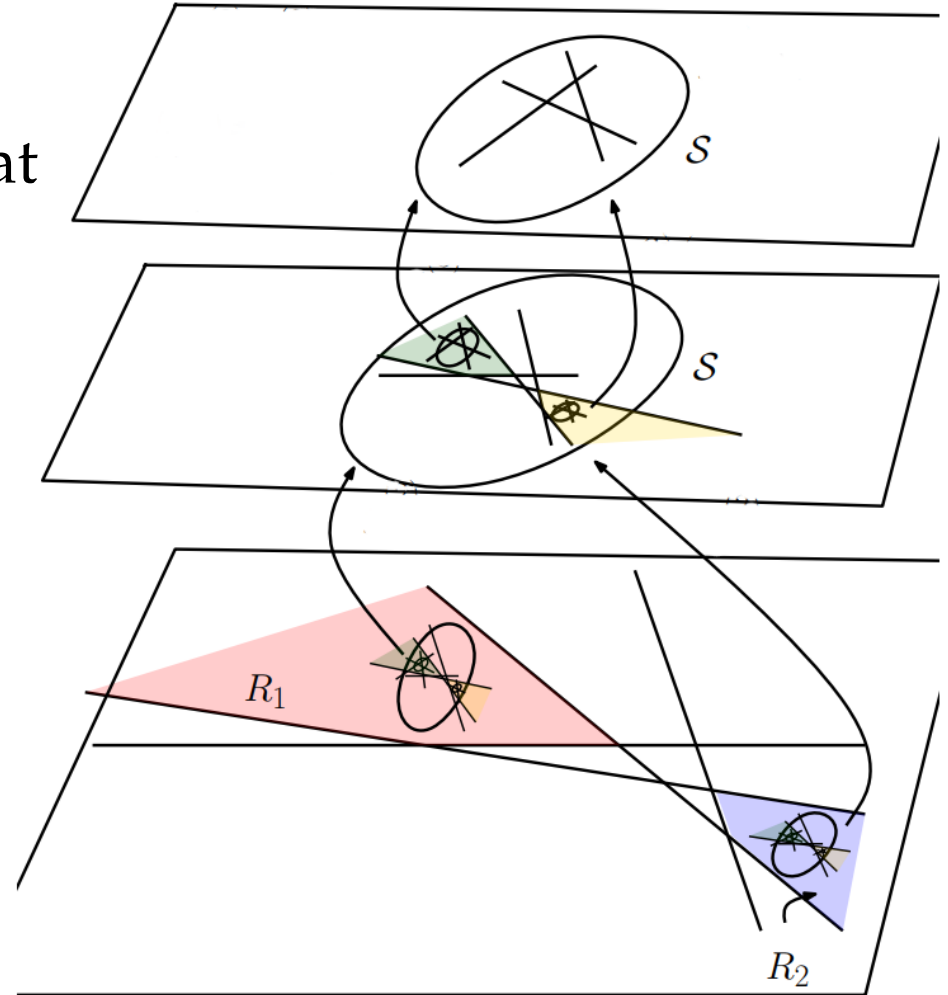
$$\text{rect}(s) = \max\{0, s\}$$



Equation of a plane

# Non-Convex Optimization

- Deep:  $O([n/n_0]^k n^{n_0}/k) \rightarrow$  the proof is out the scope of this talk but intuitively the proof shows that each layer can be considered an arrangement and that these arrangements can be embedded into each other.
- This shows that although both networks have the same number of neurons the deep possesses higher expressive power i.e., might approximate functions with possibly more piecewise linear regions.



# Non-Convex Optimization

- Try to explain the paradigm of optimizing the highly non-convex neural network objective function through the prism of spin-glass theory. [*Choromanska et al 2015*]
- For large size networks, most local minimum are equivalent and yield similar performance on a test set.
- The probability of finding a “bad” local minimum is non-zero for small-size networks and decreases quickly with network size.
- Trying to find the global minimum on the training set is not useful in practice and may lead to overfitting.

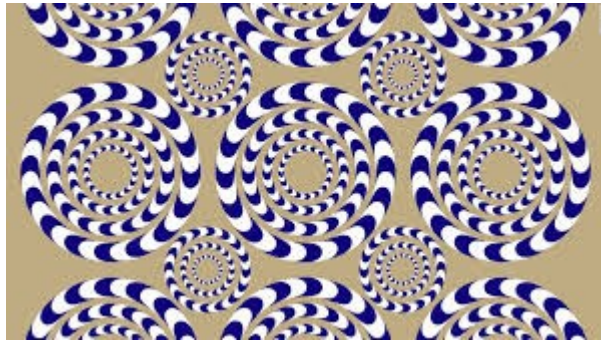
# Non-Convex Optimization

- Try to explain the paradigm of optimizing the highly non-convex neural network objective function through the prism of spin-glass theory. [Choromanska et al 2015]
- For large size networks, most local minimum are equivalent and yield similar performance on a test set.
- The probability of finding a “bad” local minimum is non-zero for small-size networks and decreases quickly with network size.
- Trying to find the global minimum on the training set is not useful in practice and may lead to overfitting.
- **Paradox:** The latent space we are looking for can be expressed using smaller networks. Deep networks after trained can be compressed by removing  $\sim 90\%$  parameters to give similar performance. [Rueda et al 2017, Han et al 2016]



# Adversarial Examples

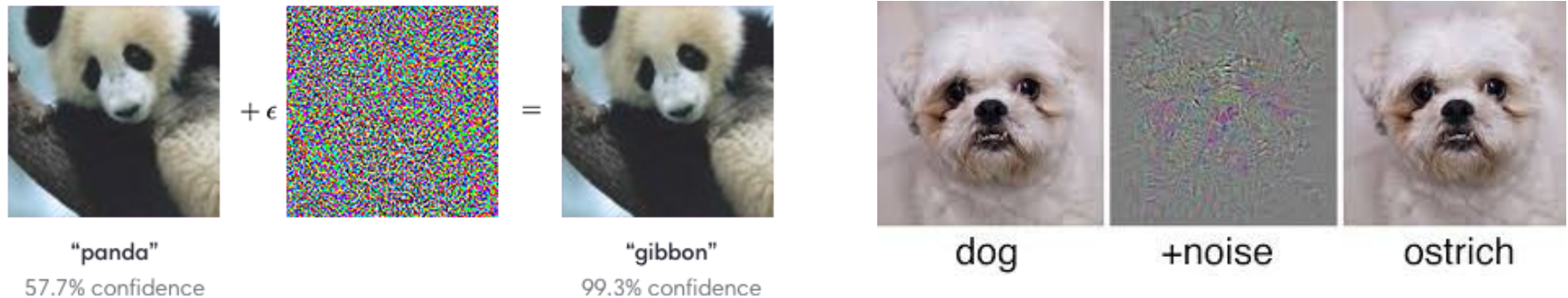
- What are they?
  - Like optical illusions to humans.



- Why they are important?
  - Create security issues for the deployment of deep learning in the physical world: autonomous cars, automatic speech recognition, reinforcement learning algorithms, etc

# Adversarial Examples

- What are they?
  - Like optical illusions to humans.



- Given a trained model  $f$ , an original input sample  $x$ , generating an adversarial example  $x'$  can be described as a constrained optimization problem.

$$\begin{array}{ll}
 \min_{x'} & \|x' - x\| \\
 s.t. & f(x') = l', \\
 & f(x) = l, \\
 & l \neq l', \\
 & x' \in [0, 1],
 \end{array}
 \quad \eta = x' - x \quad
 \begin{array}{ll}
 \min_{x'} & J(f(x'), l') \\
 s.t. & \|\eta\| \leq \epsilon, \\
 & f(x) = l, \\
 & l \neq l',
 \end{array}$$

# Adversarial Examples

- How to create adversarial examples?
  - Variety of methods. [*Yuan et al 2017*],[*Tramer et al 2018*]
- How do they attack to the networks?
  - Most adversarial examples construct techniques using the gradient to attack knowing the model. (white-box attacks)
  - When the model is not known. (black-box attacks)
- How to fight against them?
  - Open research topic, no generic way to fight against them.
  - Adversarial Training.
  - Defensive distillation.

# Real-life Challenges

**MOTHERBOARD**

## It's Too Late—We've Already Taught AI to Be Racist and Sexist

Now what?

SHARE



TWEET



Jordan Pearson

May 25 2016, 5:32pm

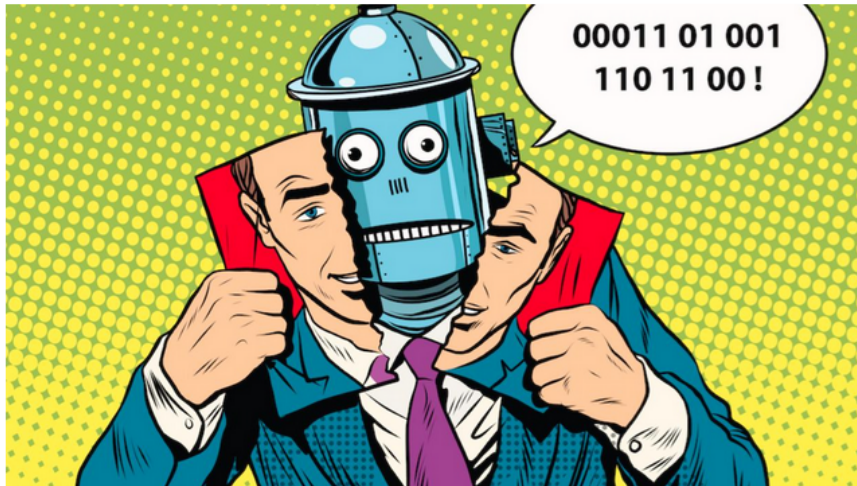


Image: Shutterstock/studiostoks

They say that kids aren't born sexist or racist—hate is taught. Artificial intelligence is the same way, and humans are fabulous teachers.

**ProPublica reported**, for example, that an algorithm used to to predict the

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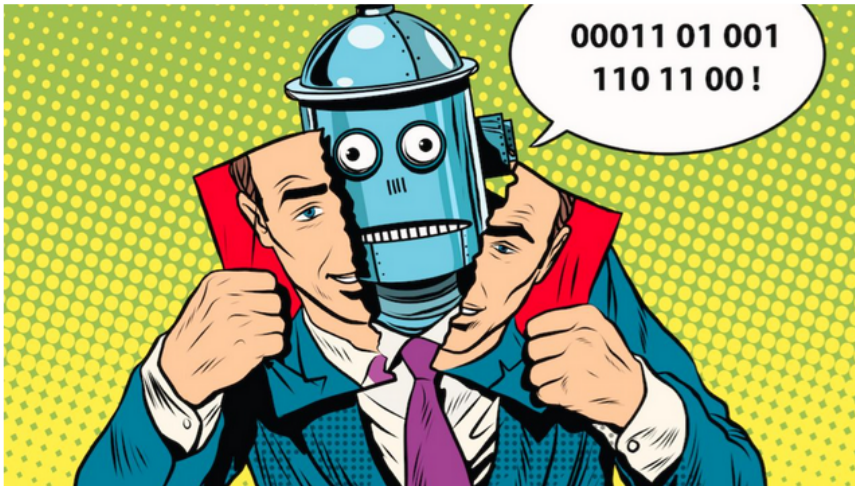


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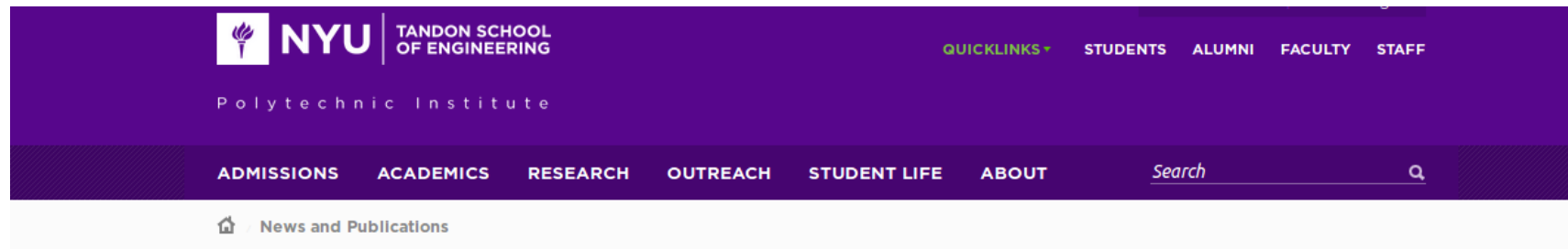
*Image 8063007 from Flickr30K dataset*

Proposed captions from network trained on Flickr30k  
[Miltenburg et al 2016]

- A blond girl and a bald man with his arms crossed are standing inside looking at each other.
- A **worker** is being *scolded* by her **boss** in stern lecture.
- A **manager** talks to an **employee** about job performance.
- A hot, blond girl getting criticized by **her boss**.
- Sonic employees talking about work.



# Real-life Challenges



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POSTED MARCH 6TH, 2018

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# Open Issues in Deep Learning

THANK YOU



***Friday 16 March, 2018***