CSCE 633 - Homework 2

Prakhar Suryavansh

Problem 1: Information Gain

Part (1):

We are given the following training points for a classification problem:

X_1	X_2	Y
1	1	1
1	1	1
1	1	2
1	0	3
0	0	2
0	0	3

We have to calculate the information gain for both attributes X_1 and X_2.

The information gain measures the expected reduction in entropy. It can be calculated using the formula:

$$Gain(S, X_i) = Entropy(S) - \sum_{v \in Values(X_i)} \frac{|S_v|}{|S|} Entropy(S_v), where$$

Gain(S, X_i) denotes the information gain for attribute X_i relative to a collection of examples S,

S denotes the collection of examples,

$Values(X_i)$ is the set of all possible values for attribute X_i $|S_v|$ is the subset of S, for which attribute X_i has value v

Calculating the Entropy of Y:

The overall entropy $H(Y)$ is calculated as:

$$H(Y) = -\sum_{i=1}^{3} p_i \log_2(p_i)$$

Where p_i is the probability of class i in the dataset.

From the dataset, we have the following distribution of Y:

Class 1: 2 instances

Class 2: 2 instances

Class 3: 2 instances

So

$$p_1 = \frac{2}{6}, p_2 = \frac{2}{6}, p_3 = \frac{2}{6}$$

Thus, the entropy is:

$$H(Y) = -\left(\frac{2}{6}\log_2\frac{2}{6} + \frac{2}{6}\log_2\frac{2}{6} + \frac{2}{6}\log_2\frac{2}{6}\right)$$

Simplifying:

$$H(Y) = -3 \times \frac{2}{6}\log_2\frac{1}{3} = -3 \times \frac{2}{6} \times (-1.585) = 1.585 \text{ bits}$$

Therefore, we have:

$$Entropy(S) = 1.585 \text{ bits}$$

Calculating the Entropy of Y given X_1

Calculating the Entropy when $X_1 = 0$: $Entropy(S_{X_1=0})$

For $X_1 = 0$, we have the following distribution for Y:
Class 1: 0 instance
Class 2: 1 instance
Class 3: 1 instance
So

$$p_1 = \frac{0}{2}, p_2 = \frac{1}{2}, p_3 = \frac{1}{2}$$

Thus, the entropy is:

$$Entropy(S_{X_1=0}) = -\left(\frac{0}{2}\log_2\frac{0}{2} + \frac{1}{2}\log_2\frac{1}{2} + \frac{1}{2}\log_2\frac{1}{2}\right)$$

Simplifying, we get

$$Entropy(S_{X_1=0}) = 1 \text{ bits}$$

Calculating the Entropy when $X_1 = 1$: $Entropy(S_{X_1=1})$

For $X_1 = 1$, we have the following distribution for Y:
Class 1: 2 instance
Class 2: 1 instance
Class 3: 1 instance
So

$$p_1 = \frac{2}{4}, p_2 = \frac{1}{4}, p_3 = \frac{1}{4}$$

Thus, the entropy is:

$$Entropy(S_{X_1=1}) = -\left(\frac{2}{4}\log_2\frac{2}{4} + \frac{1}{4}\log_2\frac{1}{4} + \frac{1}{4}\log_2\frac{1}{4}\right)$$

$$Entropy(S_{X_1=1}) = -\left(\frac{1}{2}\log_2\frac{1}{2} + \frac{1}{2}\log_2\frac{1}{4}\right)$$

$$Entropy(S_{X_1=1}) = -\left(\frac{1}{2}\log_2\frac{1}{8}\right)$$

Simplifying, we get

$$Entropy(S_{X_1=1}) = 1.5 \text{ bits}$$

Information Gain for X_1:

$$Gain(X_1) = Entropy(S) - \sum_{v \in Values(X_i)} \frac{|S_v|}{|S|} Entropy(S_v)$$

$$Gain(X_1) = 1.585 - \frac{2}{6}Entropy(S_{X_1=0}) - \frac{4}{6}Entropy(S_{X_1=1})$$

Therefore, we have gain for $X_1 = 1.585 - 0.334 - 1 = 0.251$ bits

Calculating the Entropy of Y given X_2.
When $X_2 = 1$, we have:

X_1	X_2	Y
1	1	1
1	1	1
1	1	2

For $X_2 = 1$, the class distribution is:
- Class 1: 2 instances
- Class 2: 1 instance

Thus, the entropy is:

$$H(Y|X_2 = 1) = -\left(\frac{2}{3}\log_2\frac{2}{3} + \frac{1}{3}\log_2\frac{1}{3}\right)$$

$$H(Y|X_2 = 1) = 0.918 \text{ bits}$$

When $X_2 = 0$, we have:

X_1	X_2	Y
1	0	3
0	0	2
0	0	3

For $X_2 = 0$, the class distribution is:
- Class 2: 1 instance
- Class 3: 2 instances

Thus, the entropy is:

$$H(Y|X_2 = 0) = -\left(\frac{1}{3}\log_2\frac{1}{3} + \frac{2}{3}\log_2\frac{2}{3}\right)$$

$$H(Y|X_2 = 0) = 0.918 \text{ bits}$$

Information Gain for X_2:
The information gain is given by:

$$IG(X_2) = H(Y) - \left(\frac{3}{6}H(Y|X_2 = 1) + \frac{3}{6}H(Y|X_2 = 0)\right)$$

$$IG(X_2) = 1.585 - \left(\frac{1}{2} \times 0.918 + \frac{1}{2} \times 0.918\right)$$

$$IG(X_2) = 1.585 - 0.918 = 0.667 \text{ bits}$$

Part (2):

As calculated above,

$IG(X_1) = 0.251$ bits
$IG(X_2) = 0.667$ bits

Since $IG(X_2) > IG(X_1)$, we use attribute X_2 for the first split in the decision tree, because feature with higher information gain is more informative.

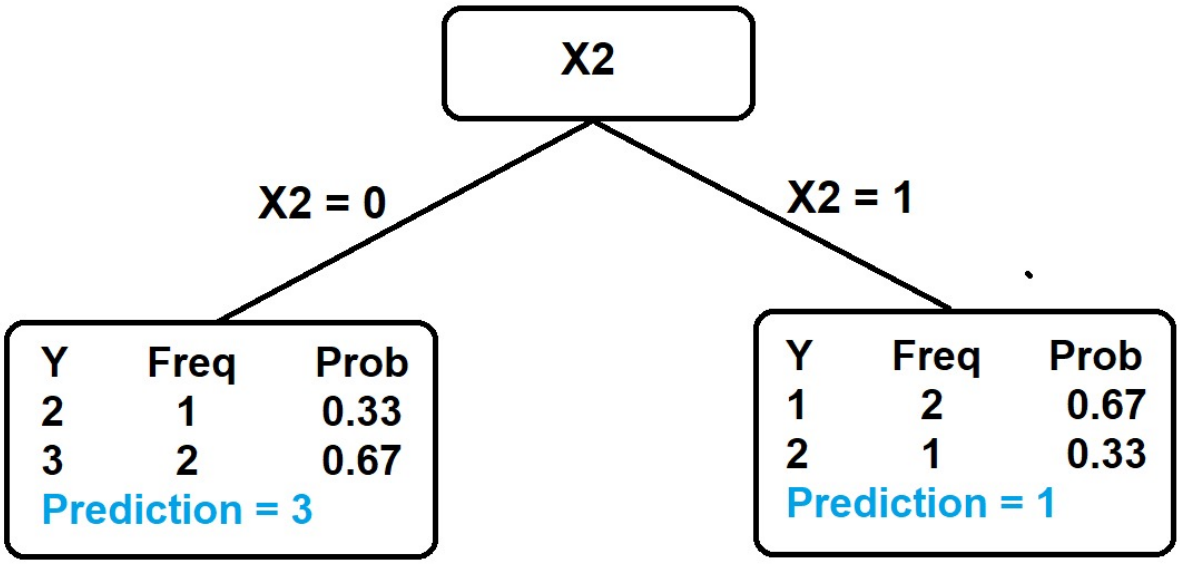

Figure 1: Decision tree using X2 for splitting at root

Part (3):

Conducting classification for the test example $X_1 = 0$ and $X_2 = 1$.
Using our decision tree above, we see that we have to check X_2 at root node to decide which side to go.
Since $X_2 = 1$, we go to the right side and reach the node which is leaf, where the prediction value is $Y = 1$ based on it's probability.
So, for test example $X_1 = 0$ and $X_2 = 1$, we classify $\mathbf{Y = 1}$.

Problem 2: Entropy

We have the following data points:

X_1	X_2	Y
1	1	1
1	1	1
1	1	2
1	0	3
0	0	2
0	0	3

Conditional Entropy Formula

The conditional entropy $H(Y|X)$ is defined as:

$$H(Y|X) = \sum_{x \in X} P(X = x) H(Y|X = x)$$

Where $H(Y|X = x)$ is the entropy of Y when X takes a particular value, and it is computed as:

$$H(Y|X = x) = -\sum_{y \in Y} P(Y = y|X = x) \log_2 P(Y = y|X = x)$$

Part (1):

Calculating the Entropy of Y given X_1

When $X_1 = 1$, we have:

X_1	X_2	Y
1	1	1
1	1	1
1	1	2
1	0	3

For $X_1 = 1$, the class distribution is:

- Class 1: 2 instances
- Class 2: 1 instance
- Class 3: 1 instance

The conditional entropy for Y given $X_1 = 1$ is computed as follows:

$$H(Y|X_1 = 1) = -\left(\frac{2}{4}\log_2\frac{2}{4} + \frac{1}{4}\log_2\frac{1}{4} + \frac{1}{4}\log_2\frac{1}{4}\right)$$

Substituting the values:

$$H(Y|X_1 = 1) = -\left(0.5 \times (-1) + 0.25 \times (-2) + 0.25 \times (-2)\right)$$

$$H(Y|X_1 = 1) = -(-0.5 - 0.5 - 0.5) = 1.5\,\text{bits}$$

When $X_1 = 0$, we have:

X_1	X_2	Y
0	0	2
0	0	3

For $X_1 = 0$, the class distribution is:
- Class 2: 1 instance
- Class 3: 1 instance

The conditional entropy for Y given $X_1 = 0$ is:

$$H(Y|X_1 = 0) = -\left(\frac{1}{2}\log_2\frac{1}{2} + \frac{1}{2}\log_2\frac{1}{2}\right)$$

Since $\log_2(0.5) = -1$:

$$H(Y|X_1 = 0) = -(0.5 \times (-1) + 0.5 \times (-1)) = 1\,\text{bit}$$

The overall conditional entropy of Y given X_1 is:

$$H(Y|X_1) = \frac{4}{6}H(Y|X_1 = 1) + \frac{2}{6}H(Y|X_1 = 0)$$

Substituting the calculated values:

$$H(Y|X_1) = \frac{4}{6} \times 1.5 + \frac{2}{6} \times 1 = \mathbf{1.33\ bits}$$

Calculating the Entropy of Y given X_2
When $X_2 = 1$, we have:

X_1	X_2	Y
1	1	1
1	1	1
1	1	2

For $X_2 = 1$, the class distribution is:

- Class 1: 2 instances
- Class 2: 1 instance

The conditional entropy for Y given $X_2 = 1$ is:

$$H(Y|X_2 = 1) = -\left(\frac{2}{3}\log_2\frac{2}{3} + \frac{1}{3}\log_2\frac{1}{3}\right)$$

Substituting the values:

$$H(Y|X_2 = 1) = -\left(0.666 \times (-0.585) + 0.333 \times (-1.585)\right)$$

$$H(Y|X_2 = 1) = -(-0.390 + -0.528) = 0.918\,\text{bits}$$

When $X_2 = 0$, we have:

X_1	X_2	Y
1	0	3
0	0	2
0	0	3

For $X_2 = 0$, the class distribution is:
- Class 2: 1 instance
- Class 3: 2 instances

The conditional entropy for Y given $X_2 = 0$ is:

$$H(Y|X_2 = 0) = -\left(\frac{1}{3}\log_2\frac{1}{3} + \frac{2}{3}\log_2\frac{2}{3}\right)$$

Substituting the values:

$$H(Y|X_2 = 0) = -\left(0.333 \times (-1.585) + 0.666 \times (-0.585)\right)$$

$$H(Y|X_2 = 0) = -(-0.528 - 0.390) = 0.918\,\text{bits}$$

The overall conditional entropy of Y given X_2 is:

$$H(Y|X_2) = \frac{3}{6}H(Y|X_2 = 1) + \frac{3}{6}H(Y|X_2 = 0)$$

$$H(Y|X_2) = \frac{1}{2}(0.918) + \frac{1}{2}(0.918) = \mathbf{0.918\ bits}$$

Part (2):

Choosing the Attribute for the First Split:

Since $H(Y|X_2) = 0.918\,\text{bits}$ and $H(Y|X_1) = 1.33\,\text{bits}$, the attribute X_2 should be used for the first split because it results in the lower conditional entropy. Entropy is a measure of disorder or uncertainty so we choose the feature that results in a lower entropy on splitting.

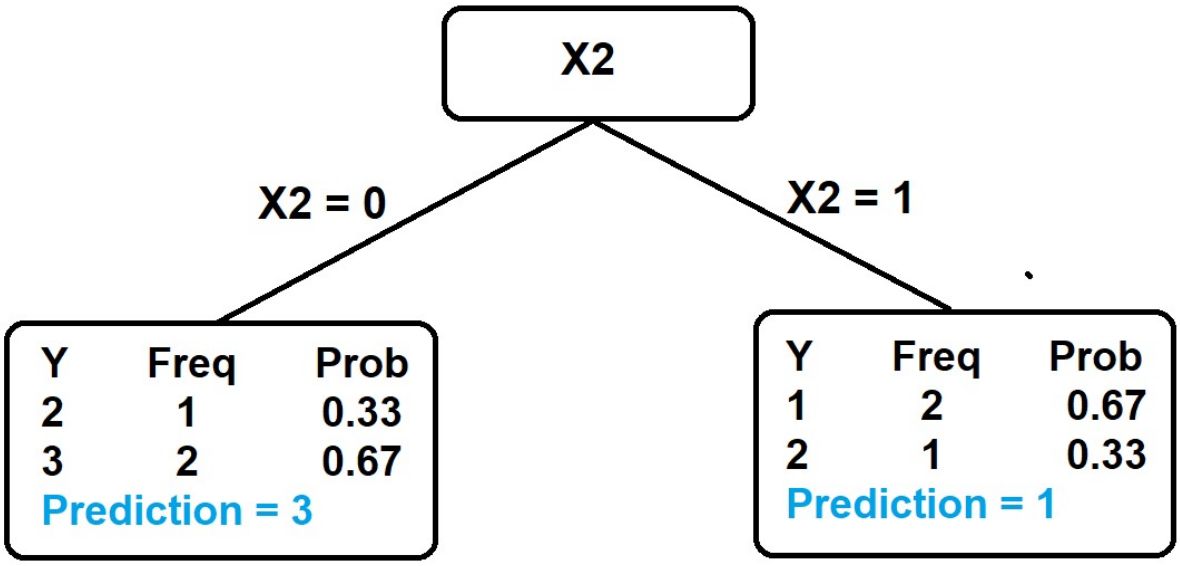

Figure 2: Decision tree using X2 for splitting at root

Part (3):

Classification for the Test Example $X_1 = 0$ and $X_2 = 1$:

The root of the decision tree splits on X_2. If $X_2 = 1$, Y can be either class 1 or class 2. If $X_2 = 0$, Y can be either class 2 or class 3.

For the test example where $X_1 = 0$ and $X_2 = 1$, the majority class for $X_2 = 1$ is class 1 as we can see from our decision tree above. Therefore, the predicted class for the test example is: $\boxed{Y = 1}$