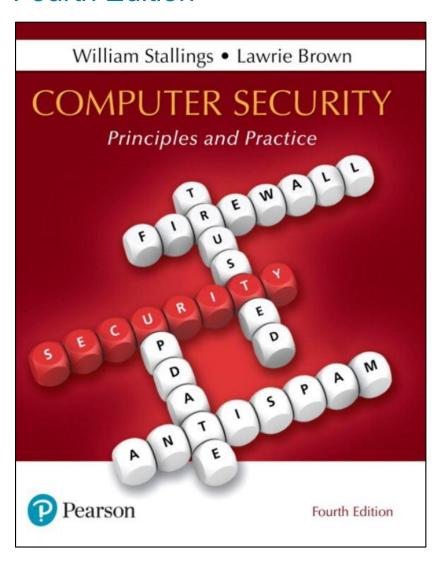
Computer Security: Principles and Practice

Fourth Edition



Chapter 4

Access Control



Access Control Definitions (1 of 2)

NISTIR 7298 defines access control as:

"the process of granting or denying specific requests to: (1) obtain and use information and related information processing services; and (2) enter specific physical facilities"





Access Control Definitions (2 of 2)

RFC 4949 defines access control as:

"a process by which use of system resources is regulated according to a security policy and is permitted only by authorized entities (users, programs, processes, or other systems) according to that policy"



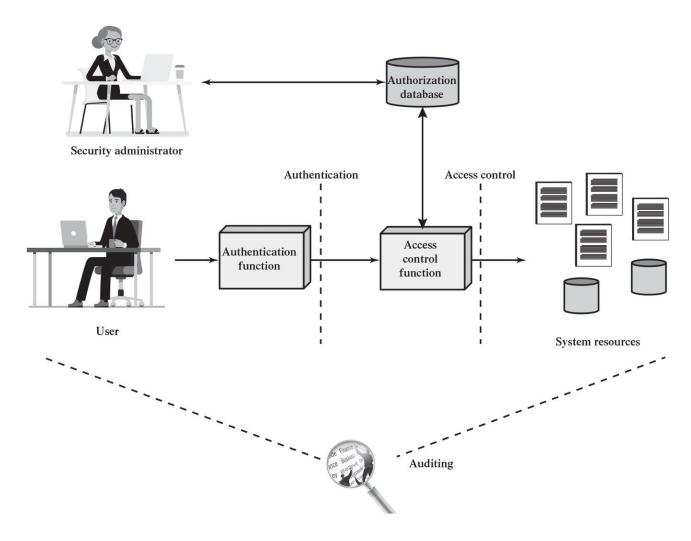
Access Control Principles

- In a broad sense, all of computer security is concerned with access control
- RFC 4949 defines computer security as:

"measures that implement and assure security services in a computer system, particularly those that assure access control service"



Figure 4.1 Relationship Among Access Control and Other Security Functions



Source: Based on [SAND94].



Access Control Policies

This avato

- Discretionary access control (DAC)
 - Controls access based on the identity of the requestor and on access rules

 (authorizations) stating what requestors are (or are not) allowed to do
- Mandatory access control (MAC)
- Controls access based on comparing security labels with security clearances

- Role-based access control (RBAC)
 - Controls access based on the roles that users have within the system and on rules stating what accesses are allowed to users in given roles
- Attribute-based access control (ABAC)
 - Controls access based on attributes of the user, the resource to be accessed, and current environmental conditions



Subjects, Objects, and Access Rights

- Subject
 - An entity capable of accessing objects
 - Three classes
 - Owner
 - Group
 - World



- Object
 - A resource to which access is controlled
 - Entity used to contain and/or receive information

- Access right
 - Describes the way in which a subject may access an object
 - Could include:
 - Read
 - Write
 - Execute
 - Delete
 - Create
 - Search



Discretionary Access Control (DAC)

- Scheme in which an entity may be granted access rights that permit the entity, by its own violation, to enable another entity to access some resource
- Often provided using an access matrix
 - One dimension consists of identified subjects that may attempt data access to the resources
 - The other dimension lists the objects that may be accessed
- Each entry in the matrix indicates the access rights of a particular subject for a particular object



Figure 4.2 Example of Access Control

Structures (1 of 2) **OBJECTS** File 1 File 2 File 4 File 3 Own Own User A Read Read Write Write Own **SUBJECTS** Read Read User B Read Write Write Own Read User C Read Read Write Write

(a) Access matrix



Figure 4.2 Example of Access Control Structures (2 of 2)

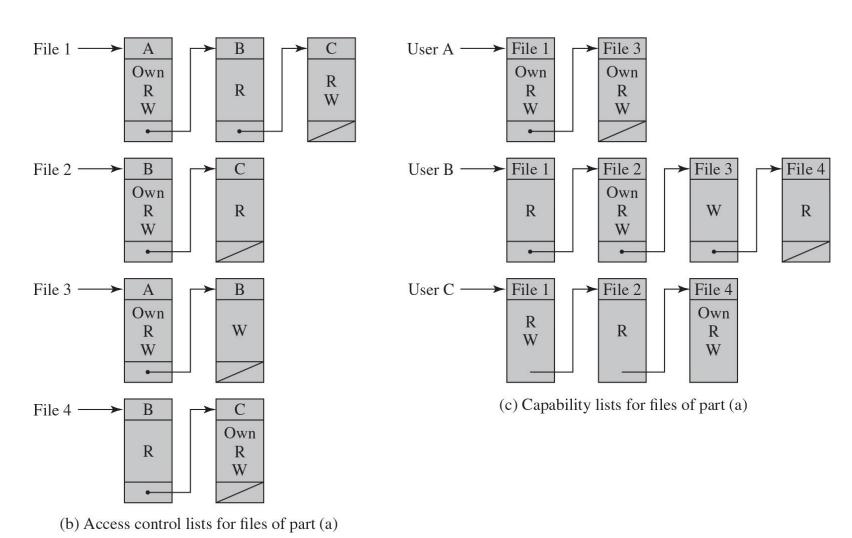




Table 4.2 Authorization Table for Files in Figure 4.2

Subject	Access Mode	Object
А	Own	File 1
А	Read	File 1
А	Write	File 1
А	Own	File 3
А	Read	File 3
А	Write	File 3
В	Read	File 1
В	Own	File 2
В	Read	File 2
В	Write	File 2
В	Write	File 3
В	Read	File 4

Subject	Access Mode	Object
С	Read	File 1
С	Write	File 1
С	Read	File 2
С	Own	File 4
С	Read	File 4
С	Write	File 4



Figure 4.3 Extended Access Control

Matrix

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OBJECTS

			Subjects		Files		Processes		Disk drives	
		S_1	S_2	S_3	F_1	F_2	P_1	P_2	D_1	D_2
S	S_1	control	owner	owner control	read*	read owner	wakeup	wakeup	seek	owner
SUBJECTS	S_2		control		write*	execute			owner	seek*
SI	S_3			control		write	stop			

* = copy flag set

Figure 4.4 An Organization of the Access Control Function

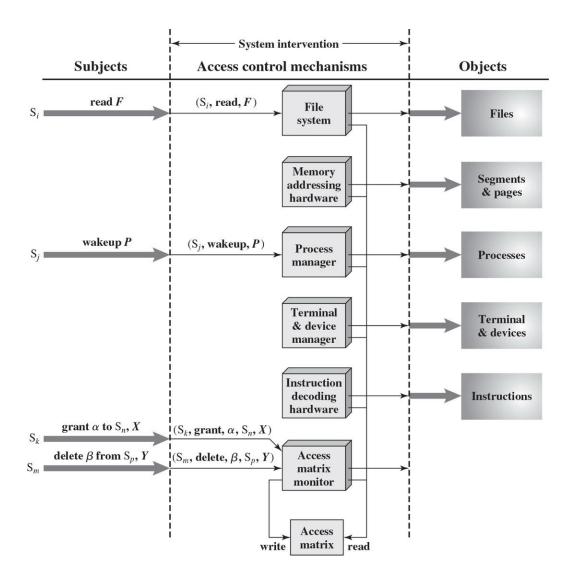




Table 4.3 Access Control System Commands (1 of 2)

Rule	Command (by S_0)	Authorization	Operation
	transfer $\begin{cases} \alpha^* \\ \alpha \end{cases}$ to S, X	" α *" in $A[S_0, X]$	store $\begin{cases} \alpha^* \\ \alpha \end{cases}$ in $A[S, X]$
R2	grant $\left\{\begin{matrix} \alpha \\ \alpha \end{matrix}\right\}$ to S, X	'owner' in $A[S_0, X]$ å	store $\begin{cases} \alpha \\ \alpha \end{cases}$ in $A[S, X]$
R3		'control' in $A[S_0, S]$	
	delete α from S, X	or 'owner' in $A[S_0, X]$	delete α from $A[S, X]$
R4		'control' in $A[S_0, S]$	
	w ← read S, X	or 'owner' in $A[S_0, X]$	copy A[S, X] into w
R5	create object X	None	add column for X to A ; store 'owner' in $A[S_0, X]$

Table 4.3 Access Control System Commands (2 of 2)

Rule	Command (by S ₀)	Authorization	Operation
R6	destroy object X	'owner' in $A[S_0, X]$	delete column for X from A
R7	create subject S	none	add row for S to A; execute create object S; store 'control' in A[S, S]
R8	destroy subject S	'owner' in $A[S_0, S]$	delete row for S from A; execute destroy object S





Protection Domains

- Set of objects together with access rights to those objects
- More flexibility when associating capabilities with protection domains
- In terms of the access matrix, a row defines a protection domain
- User can spawn processes with a subset of the access rights of the user
- Association between a process and a domain can be static or dynamic
- In user mode certain areas of memory are protected from use and certain instructions may not be executed
- In kernel mode privileged instructions may be executed and protected areas of memory may be accessed



UNIX File Access Control (1 of 3)

- UNIX files are administered using inodes (index nodes)
 - Control structures with key information needed for a particular file
 - Several file names may be associated with a single inode
 - An active inode is associated with exactly one file
 - File attributes, permissions and control information are sorted in the inode
 - On the disk there is an inode table, or inode list, that contains the inodes of all the files in the file system
 - When a file is opened its inode is brought into main memory and stored in a memory resident inode table



UNIX File Access Control (2 of 3)

- Directories are structured in a hierarchical tree
 - May contain files and/or other directories
 - Contains file names plus pointers to associated inodes



UNIX File Access Control (3 of 3)

- Unique user identification number (user ID)
- Member of a primary group identified by a group ID
- Belongs to a specific group
- 12 protection bits
 - Specify read, write, and execute permission for the owner of the file, members of the group and all other users
- The owner ID, group ID, and protection bits are part of the file's inode

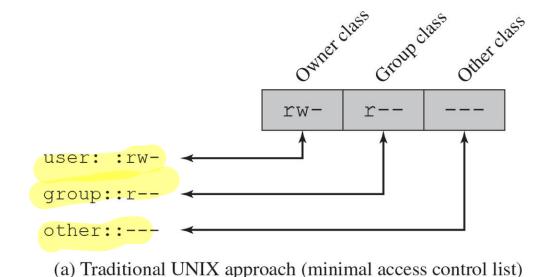


Figure 4.5 UNIX File Access Control



Traditional UNIX File Access Control

- "Set user ID"(SetUID)
- "Set group ID"(SetGID)
 - System temporarily uses rights of the file owner/group in addition to the real user's rights when making access control decisions
 - Enables privileged programs to access files/resources not generally accessible
- Sticky bit
 - When applied to a directory it specifies that only the owner of any file in the directory can rename, move, or delete that file
- Superuser
 - Is exempt from usual access control restrictions
 - Has system-wide access



Figure 4.5 UNIX File Access Control

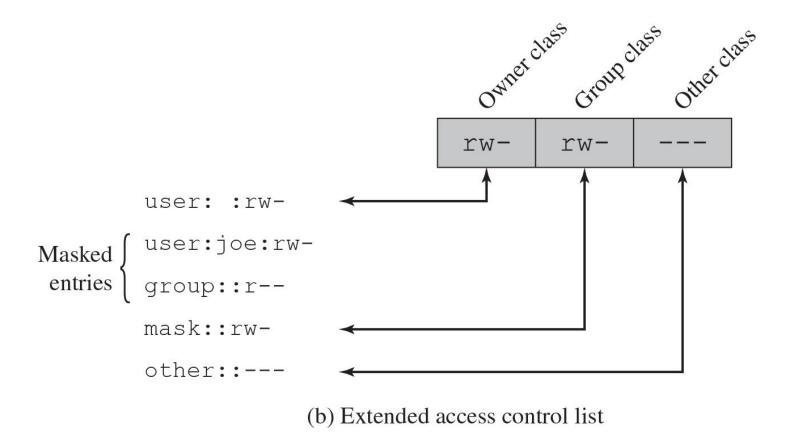




Figure 4.6 Users, Roles, and Resources

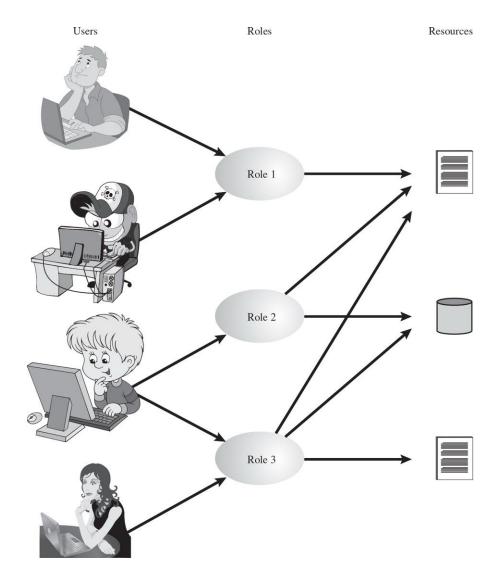




Figure 4.7 Access Control Matrix Representation of RBAC

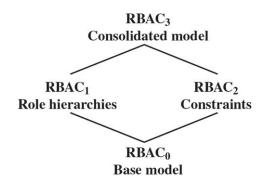


	R_1	R_2	 R_n
U_1	×		
U_2	×		
U_3		×	×
U_4			×
U_5			×
U_6			×
:			
\mathbf{U}_m	×		
	×		

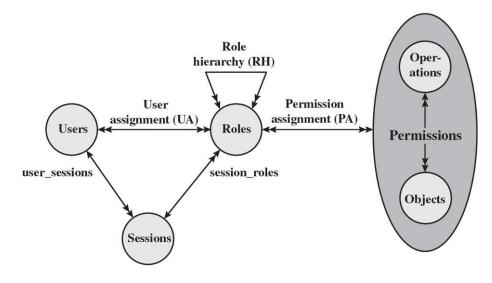
	OBJECTS									
	V.	R_1	R_2	R_n	F_1	F_2	P_1	P_2	D_1	D_2
	R_1	control	owner	owner control	read *	read owner	wakeup	wakeup	seek	owner
ROLES	R_2		control		write *	execute			owner	seek *
ROI	•									
	R_n			control		write	stop			



Figure 4.8 A Family of Role-Based Access Control Models



(a) Relationship among RBAC models



(b) RBAC models

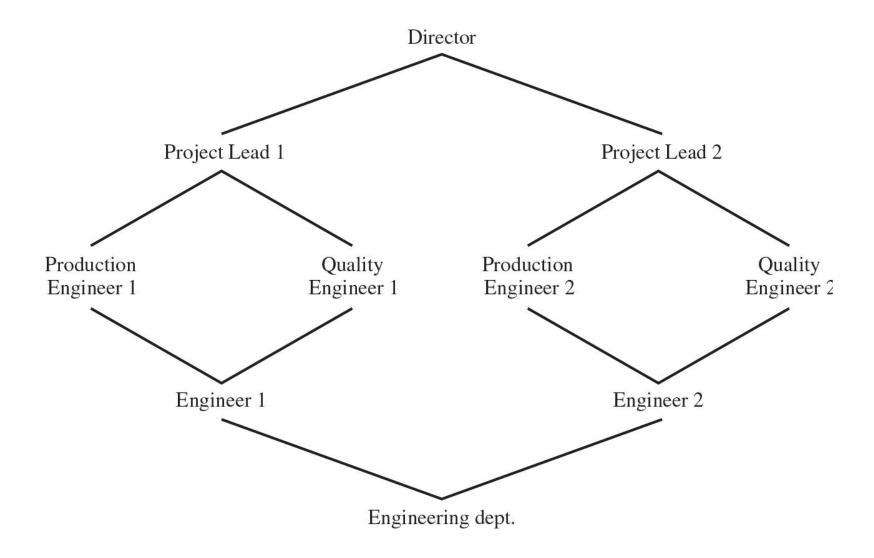


Table 4.4 Scope RBAC Models

Models	Hierarchies	Constraints
RBAC ₀	No	No
RBAC ₁	Yes	No
RBAC ₂	No	Yes
RBAC ₃	Yes	Yes



Figure 4.9 Example of Role Hierarchy





Constraints - RBAC

- Provide a means of adapting RBAC to the specifics of administrative and security policies of an organization
- A defined relationship among roles or a condition related to roles
- Types:
 - Mutually exclusive roles
 - A user can only be assigned to one role in the set (either during a session or statically)
 - Any permission (access right) can be granted to only one role in the set
 - Cardinality
 - Setting a maximum number with respect to roles
 - Prerequisite roles
 - Dictates that a user can only be assigned to a particular role if it is already assigned to some other specified role



Summary

- Access control principles
 - Access control context
 - Access control policies
- Subjects, objects, and access rights
- Discretionary access control
 - Access control model
 - Protection domains
- UNIX file access control
 - Traditional UNIX file access control
 - Access control lists in UNIX

- Role-based access control
 - RBAC reference models



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