# Introduction to Machine Learning CMU-10701

Deep Learning

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#### Credits

Many of the pictures, results, and other materials are taken from:

Ruslan Salakhutdinov

Joshua Bengio

Geoffrey Hinton

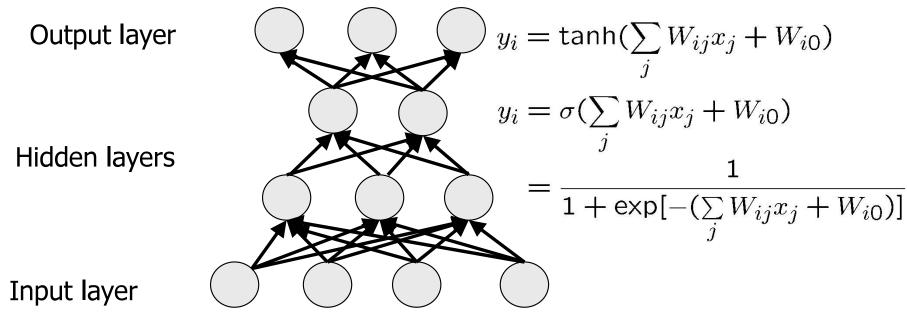
Yann LeCun

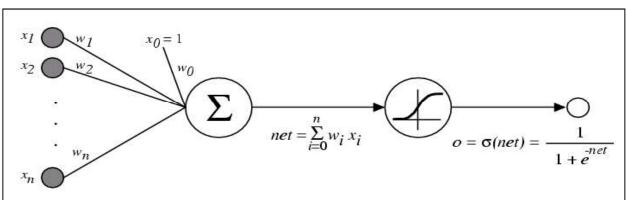
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### Deep architectures

**Defintion:** Deep architectures are composed of *multiple levels* of non-linear operations, such as neural nets with many hidden layers.





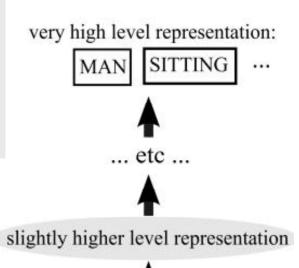
# Goal of Deep architectures

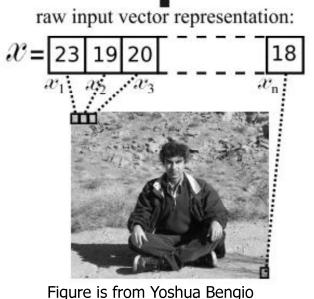
**Goal:** Deep learning methods aim at

- learning feature hierarchies
- where features from higher levels of the hierarchy are formed by lower level features.

edges, local shapes, object parts

Low level representation

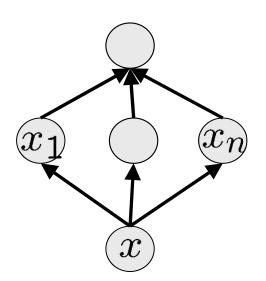




### **Neurobiological Motivation**

☐ Most current learning algorithms are shallow architectures (1-3 levels) (SVM, kNN, MoG, KDE, Parzen Kernel regression, PCA, Perceptron,...)

SVM: 
$$\hat{f}(\mathbf{x}) = \text{sign}(\sum_{i=1}^{n} \alpha_i y_i k(\mathbf{x}_i, \mathbf{x}))$$



☐ The mammal brain is organized in a deep architecture (Serre, Kreiman, Kouh, Cadieu, Knoblich, & Poggio, 2007)
(E.g. visual system has 5 to 10 levels)

#### Deep Learning History

- ☐ Inspired by the architectural depth of the brain, researchers wanted for decades to train deep multi-layer neural networks.
- No successful attempts were reported before 2006 ...

Researchers reported positive experimental results with typically two or three levels (i.e. one or two hidden layers), but training deeper networks consistently yielded poorer results.

- ☐ Exception: convolutional neural networks, LeCun 1998
- **SVM**: Vapnik and his co-workers developed the Support Vector Machine (1993). It is a shallow architecture.
- □ **Digression**: In the 1990's, many researchers abandoned neural networks with multiple adaptive hidden layers because SVMs worked better, and there was no successful attempts to train deep networks.
- **☐** Breakthrough in 2006

#### Breakthrough

#### **Deep Belief Networks (DBN)**

Hinton, G. E, Osindero, S., and Teh, Y. W. (2006). A fast learning algorithm for deep belief nets. Neural Computation, 18:1527-1554.

#### **Autoencoders**

Bengio, Y., Lamblin, P., Popovici, P., Larochelle, H. (2007). Greedy Layer-Wise Training of Deep Networks, Advances in Neural Information Processing Systems 19

# Theoretical Advantages of Deep Architectures

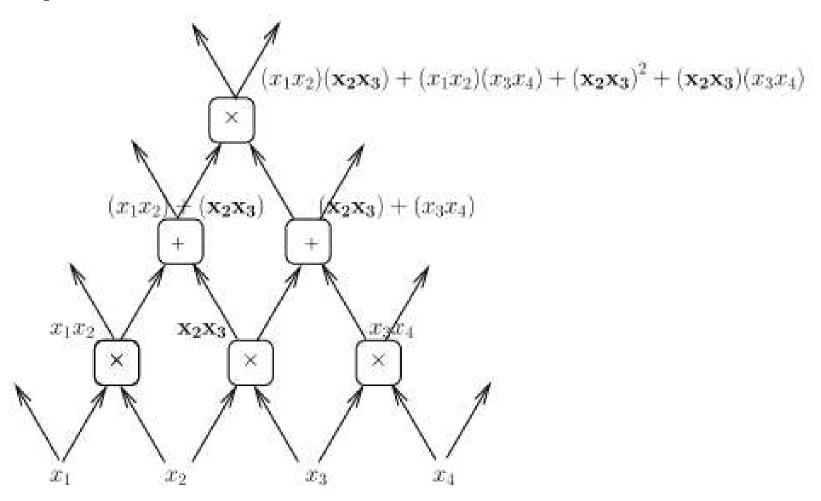
- ☐ Some functions cannot be efficiently represented (in terms of number of tunable elements) by architectures that are too shallow.
- ☐ Deep architectures might be able to represent some functions otherwise not efficiently representable.
- ☐ More formally:

Functions that can be compactly represented by a depth k architecture might require an exponential number of computational elements to be represented by a depth k – 1 architecture

- ☐ The consequences are
  - Computational: We don't need exponentially many elements in the layers
  - **Statistical**: poor generalization may be expected when using an insufficiently deep architecture for representing some functions.

# Theoretical Advantages of Deep Architectures

#### The Polynoimal circuit:



# Deep Convolutional Networks

#### Deep Convolutional Networks

- □ Deep supervised neural networks are generally too difficult to train.
- □ One notable exception: convolutional neural networks (CNN)
- Convolutional nets were inspired by the visual system's structure
- □ They typically have five, six or seven layers, a number of layers which makes fully-connected neural networks almost impossible to train properly when initialized randomly.

#### Deep Convolutional Networks

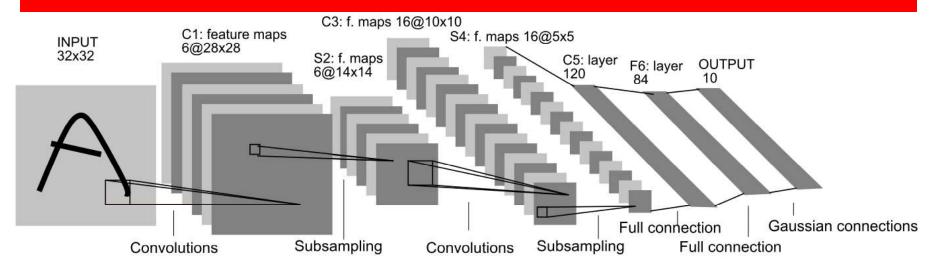
Compared to standard feedforward neural networks with similarly-sized layers,

- CNNs have much fewer connections and parameters
- and so they are easier to train,
- while their theoretically-best performance is likely to be only slightly worse.

#### LeNet 5

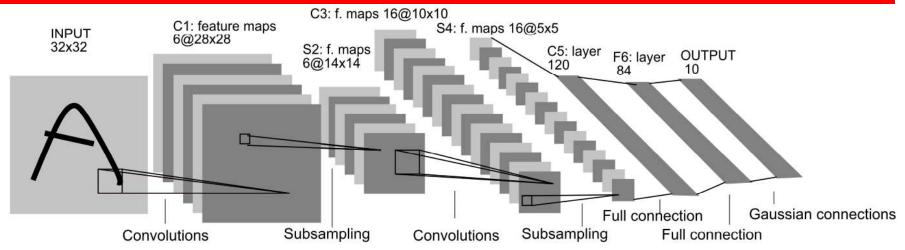
Y. LeCun, L. Bottou, Y. Bengio and P. Haffner: **Gradient-Based Learning Applied to Document Recognition**, *Proceedings of the IEEE*,
86(11):2278-2324, November **1998** 

### LeNet 5, LeCun 1998



- Input: 32x32 pixel image. Largest character is 20x20
   (All important info should be in the center of the receptive field of the highest level feature detectors)
- Cx: Convolutional layer
- Sx: Subsample layer
- Fx: Fully connected layer
- Black and White pixel values are normalized:
   E.g. White = -0.1, Black =1.175 (Mean of pixels = 0, Std of pixels =1)

## LeNet 5, Layer C1



C1: Convolutional layer with 6 feature maps of size 28x28.  $C1_k$  (k=1...6)

Each unit of C1 has a 5x5 receptive field in the input layer.

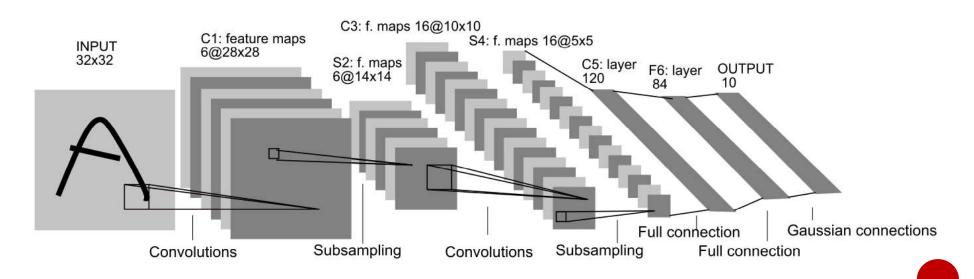
- Topological structure
- Sparse connections
- Shared weights

(5\*5+1)\*6=156 parameters to learn

Connections: 28\*28\*(5\*5+1)\*6=122304

If it was fully connected we had (32\*32+1)\*(28\*28)\*6 parameters

## LeNet 5, Layer S2

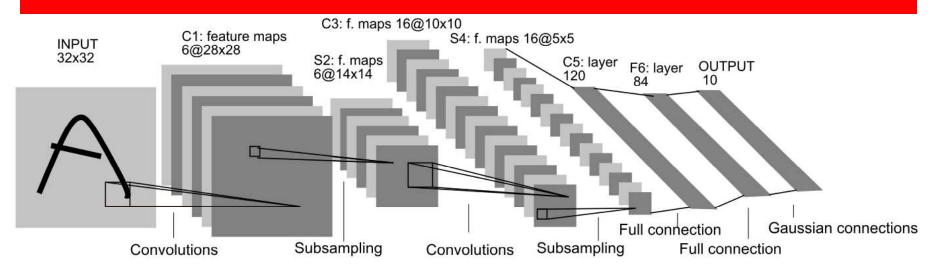


S2: Subsampling layer with 6 feature maps of size 14x14 2x2 nonoverlapping receptive fields in C1

Layer S2: 6\*2=12 trainable parameters.

Connections: 14\*14\*(2\*2+1)\*6=5880

## LeNet 5, Layer C3



- C3: Convolutional layer with 16 feature maps of size 10x10
- Each unit in C3 is connected to several! 5x5 receptive fields at identical locations in S2

#### Layer C3:

1516 trainable parameters.

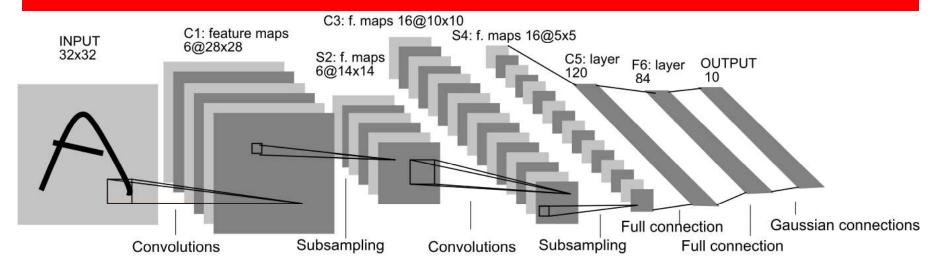
Connections: 151600

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
0	X				X	X	X			X	X	X	X		X	X
1	X	$\mathbf{X}$				$\mathbf{X}$	$\mathbf{X}$	X			X	$\mathbf{X}$	$\mathbf{X}$	$\mathbf{X}$		$\mathbf{X}$
2	X	$\mathbf{X}$	$\mathbf{X}$				X	X	X			X		X	$\mathbf{X}$	$\mathbf{X}$
3		X	$\mathbf{X}$	$\mathbf{X}$			X	X	X	X			X		$\mathbf{X}$	$\mathbf{X}$
4			$\mathbf{X}$	$\mathbf{X}$	$\mathbf{X}$			X	X	X	X		$\mathbf{X}$	$\mathbf{X}$		$\mathbf{X}$
5				$\mathbf{X}$	$\mathbf{X}$	$\mathbf{X}$			X	X	X	X		X	$\mathbf{X}$	$\mathbf{X}$

TABLE I

EACH COLUMN INDICATES WHICH FEATURE MAP IN S2 ARE COMBINED BY THE UNITS IN A PARTICULAR FEATURE MAP OF C3.

#### LeNet 5, Layer S4

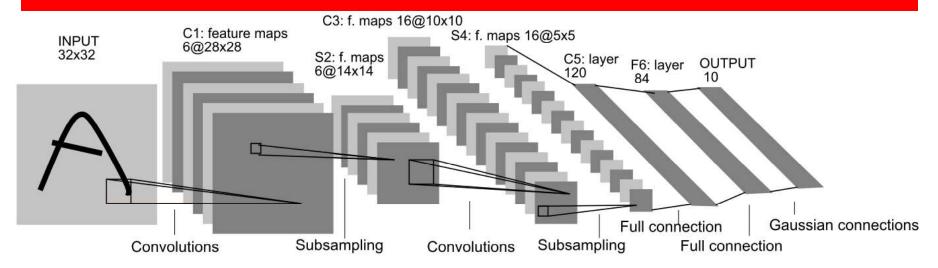


- S4: Subsampling layer with 16 feature maps of size 5x5
- Each unit in S4 is connected to the corresponding 2x2 receptive field at C3

Layer S4: 16\*2=32 trainable parameters.

Connections: 5\*5\*(2\*2+1)\*16=2000

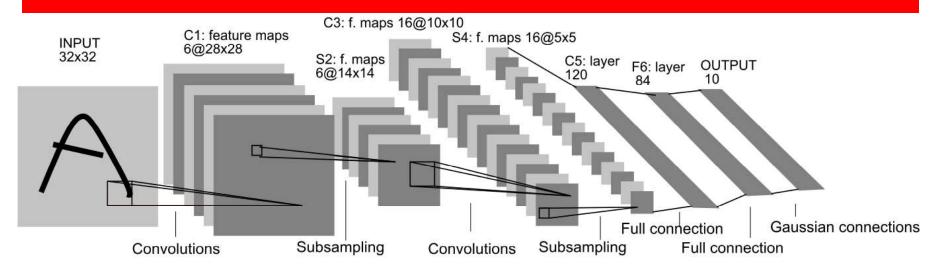
### LeNet 5, Layer C5



- C5: Convolutional layer with 120 feature maps of size 1x1
- Each unit in C5 is connected to all 16 5x5 receptive fields in S4

Layer C5: 120\*(16\*25+1) = 48120 trainable parameters and connections (Fully connected)

### LeNet 5, Layer C5



Layer F6: 84 fully connected units. 84\*(120+1)=10164 trainable parameters and connections.

Output layer: 10RBF (One for each digit)

84=7x12, stylized image

Weight update: Backpropagation

#### MINIST Dataset

60,000 original datasets

Test error: 0.95%

0	0	0	0	0	0	0	0	0	0
)	J	)	)	)	)	J	)	)	J
2	2	2	2	2	2	2	2	2	2
3	3	3	3	3	3	3	3	3	3
4	4	4	4	4	4	4	4	4	4
2	2	2	2	2	2	S	S	2	S
4	4	4	4	4	4	4	4	4	4
7	7	7	7	7	7	7	7	7	7
8	8	8	8	8	8	8	8	8	8
9	Q	9	9	9	9	9	9	9	9

540,000 artificial distortions

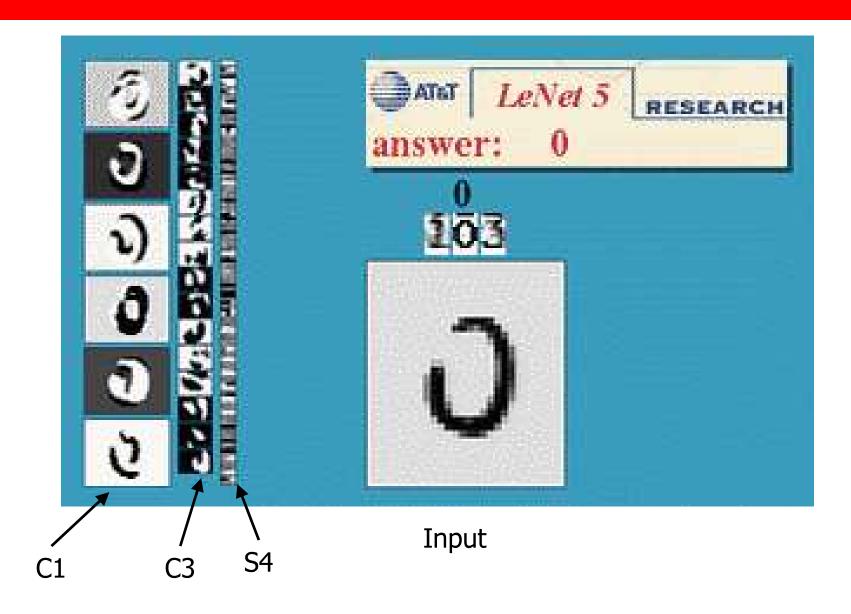
+ 60,000 original

Test error: 0.8%

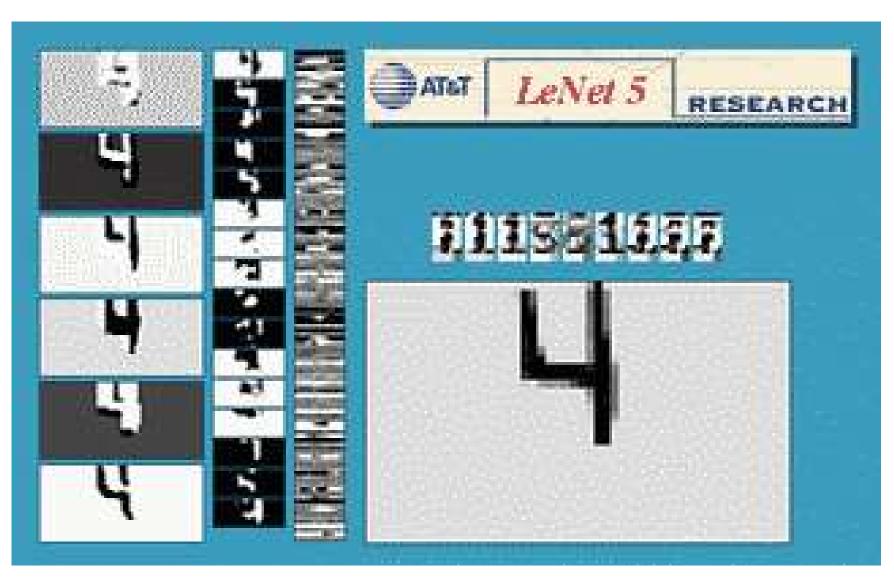
#### Misclassified examples



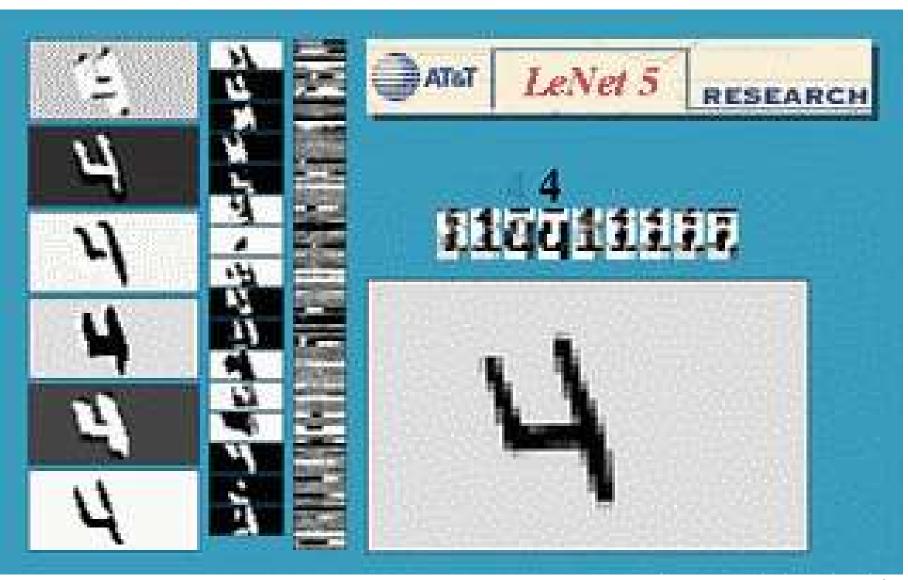
#### LeNet 5 in Action



# LeNet 5, Shift invariance



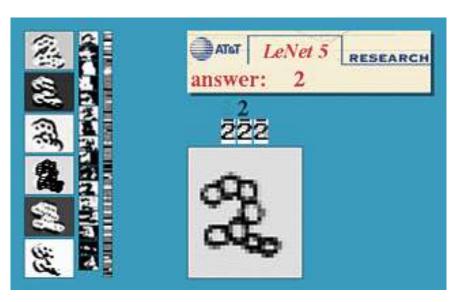
## LeNet 5, Rotation invariance

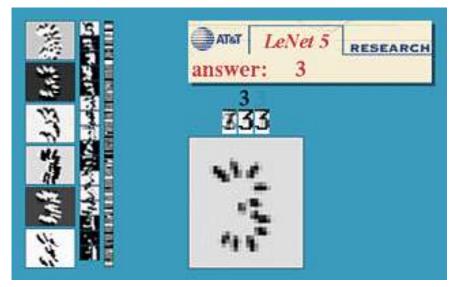


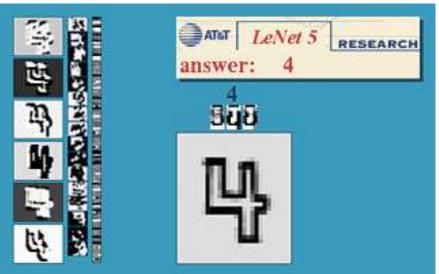
# LeNet 5, Nosie resistance



#### LeNet 5, Unusual Patterns









# ImageNet Classification with Deep Convolutional Neural Networks

Alex Krizhevsky, Ilya Sutskever, Geoffrey Hinton, Advances in Neural Information Processing Systems 2012

#### **ImageNet**

- ☐ 15M images
- ☐ 22K categories
- ☐ Images collected from Web
- □ Human labelers (Amazon's Mechanical Turk crowd-sourcing)
- ☐ ImageNet Large Scale Visual Recognition Challenge (ILSVRC-2010)
  - 1K categories
  - 1.2M training images (~1000 per category)
  - 50,000 validation images
  - 150,000 testing images
- □ RGB images
- □ Variable-resolution, but this architecture scales them to 256x256 size

# **ImageNet**

#### **Classification goals:**

- ☐ Make 1 guess about the label (Top-1 error)
- ☐ make 5 guesses about the label (Top-5 error)



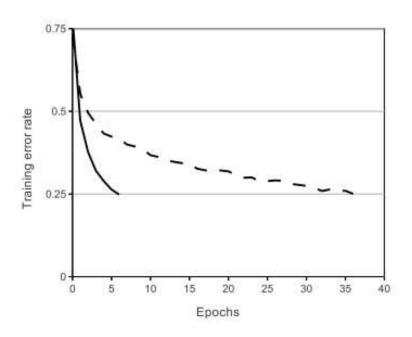
#### The Architecture

Typical nonlinearities:  $f(x) = \tanh(x)$ 

$$f(x) = (1 + e^{-x})^{-1}$$

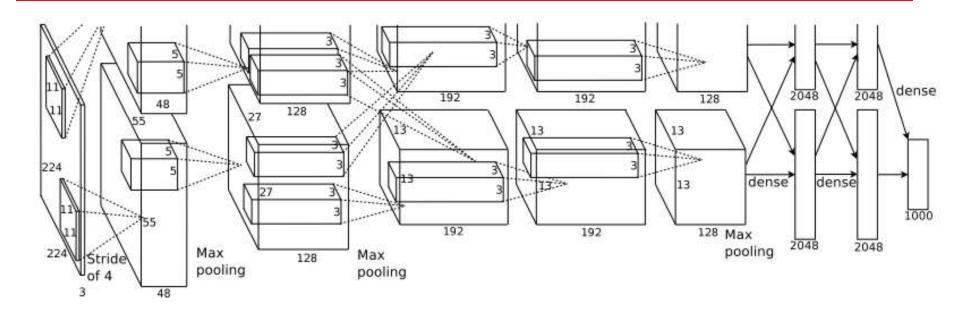
Here, however, Rectified Linear Units (ReLU) are used:  $f(x) = \max(0, x)$ 

**Empirical observation**: Deep convolutional neural networks with ReLUs train several times faster than their equivalents with tanh units



A four-layer convolutional neural network with ReLUs (solid line) reaches a 25% training error rate on CIFAR-10 six times faster than an equivalent network with tanh neurons (dashed line)

#### The Architecture



The first convolutional layer filters the  $224 \times 224 \times 3$  input image with 96 kernels of size  $11 \times 11 \times 3$  with a stride of 4 pixels (this is the distance between the receptive field centers of neighboring neurons in the kernel map. 224/4=56

**The pooling layer**: form of non-linear down-sampling. Max-pooling partitions the input image into a set of rectangles and, for each such sub-region, outputs the maximum value

#### The Architecture

- Trained with stochastic gradient descent
- on two NVIDIA GTX 580 3GB GPUs
- for about a week
- ☐ 650,000 neurons
- **□** 60,000,000 parameters
- □ 630,000,000 connections
- □ 5 convolutional layer, 3 fully connected layer
- ☐ Final feature layer: 4096-dimensional

#### **Data Augmentation**

The easiest and most common method to **reduce overfitting** on image data is to artificially **enlarge the dataset** using label-preserving transformations.

We employ two distinct forms of data augmentation:

- image translation
- horizontal reflections
- changing RGB intensities

#### Dropout

- □ We know that combining different models can be very useful (Mixture of experts, majority voting, boosting, etc)
- ☐ Training many different models, however, is very time consuming.

#### The solution:

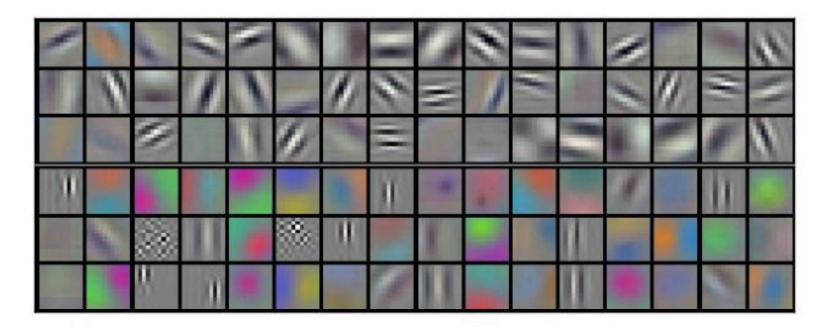
*Dropout*: set the output of each hidden neuron to zero w.p. 0.5.

#### Dropout

**Dropout**: set the output of each hidden neuron to zero w.p. 0.5.

- The neurons which are "dropped out" in this way do not contribute to the forward pass and do not participate in backpropagation.
- So every time an input is presented, the neural network samples a different architecture, but all these architectures share weights.
- This technique reduces complex co-adaptations of neurons, since a neuron cannot rely on the presence of particular other neurons.
- It is, therefore, forced to learn more robust features that are useful in conjunction with many different random subsets of the other neurons.
- Without dropout, our network exhibits substantial overfitting.
- Dropout roughly doubles the number of iterations required to converge.

### The first convolutional layer



96 convolutional kernels of size 11×11×3 learned by the first convolutional layer on the 224×224×3 input images.

The top 48 kernels were learned on GPU1 while the bottom 48 kernels were learned on GPU2

Looks like Gabor wavelets, ICA filters...

#### Results

#### **Results on the test data:**

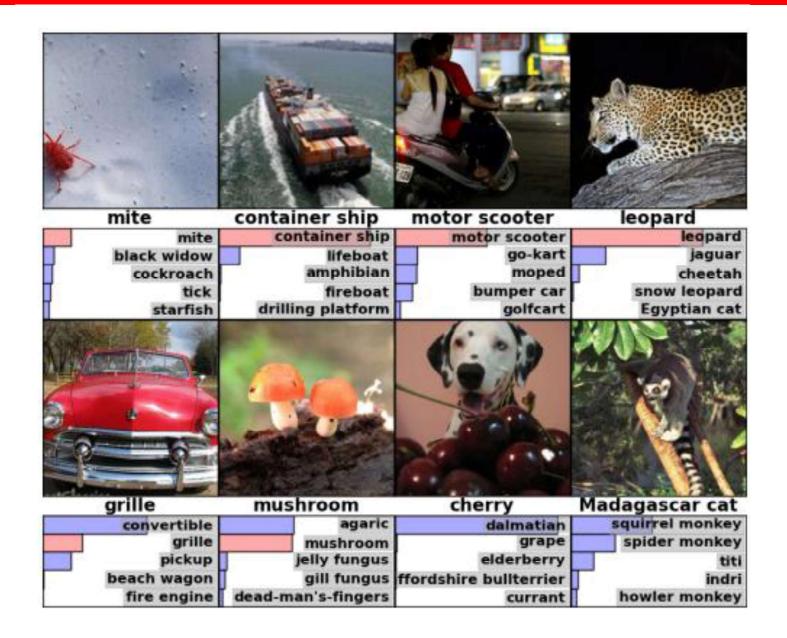
top-1 error rate: 37.5% top-5 error rate: 17.0%

#### **ILSVRC-2012** competition:

15.3% accuracy

2<sup>nd</sup> best team: 26.2% accuracy

#### Results



## Results: Image similarity



Test column

six training images that produce feature vectors in the last hidden layer with the smallest Euclidean distance from the feature vector for the test image.