Guide

June 13, 2022

0.1 # Opening a File

To open a .ROOT file, the function uproot.open("path/to/file.root") is used. For example,

```
[1]: import uproot

file1 = uproot.open("PyHEP_UPROOTandAWKWARD/data/HiggsZZ4mu.root")
file1
```

[1]: <ReadOnlyDirectory '/' at 0x02927e25c0a0>

However, it is safer to use the with statement in order to ensure that the files close when the program ends.

```
[22]: with uproot.open("PyHEP_UPROOTandAWKWARD/data/HiggsZZ4mu.root") as file2: print(type(file2))
```

<class 'uproot.reading.ReadOnlyDirectory'>

The file path can either be a local file path or an URL,

```
[23]: with uproot.open("https://scikit-hep.org/uproot3/examples/nesteddirs.root") as<sub>□</sub>

⇔file3:

print(type(file3))
```

<class 'uproot.reading.ReadOnlyDirectory'>

uproot.open have other parameters such as num_workers or object_cache (more about them, and other parameters, here). The default parameters attempt to optimze everything but better performance can be obtain by tuning the parameters.

0.2 Navigating ROOT file

0.3 # Finding objects in a file

The object returned by uproot.open represents a TDirectory inside the file (a Mapping Python object). To get a list of contents use the method .keys().

```
[16]: file1.keys()
```

```
[16]: ['Events;5']
```

```
[26]: file2 = uproot.open("https://scikit-hep.org/uproot3/examples/nesteddirs.root")
      file2.keys()
[26]: ['one;1',
       'one/two;1',
       'one/two/tree;1',
       'one/tree;1',
       'three;1',
       'three/tree;1']
     To extract an item you use square brackets, omiting the cycle number (everything after;), as
     follows,
[27]: file2["one"]
[27]: <ReadOnlyDirectory '/one' at 0x016f1e76d9a0>
[28]: file2["one"]["two"]
[28]: <ReadOnlyDirectory '/one/two' at 0x016f217be9a0>
[30]: file2["one"]["two"]["tree"]
[30]: <TTree 'tree' (20 branches) at 0x016f2178f250>
     Or the separations can be showed as slashes,
[31]: file2["one/two/tree"]
[31]: <TTree 'tree' (20 branches) at 0x016f2178f250>
     Data isn't read from the disk until they are explicitly requested with squre brackets. Alternativly,
     you can use .classnames() to get the names of classes without reading the objects first.
[32]: file2.classnames()
[32]: {'one;1': 'TDirectory',
       'one/two;1': 'TDirectory',
       'one/two/tree;1': 'TTree',
       'one/tree;1': 'TTree',
       'three;1': 'TDirectory',
       'three/tree;1': 'TTree'}
     As a shortcut, you can open a file and jump straight to the object by separating the file path and
     object path with a colon.
```

[4]: events = uproot.open("https://scikit-hep.org/uproot3/examples/Zmumu.root:

⇔events")

```
events
```

[4]: <TTree 'events' (20 branches) at 0x0277043da850>

1 Extracting histograms from a file

Uproot can read most types of objects but only a few of them have been overloaded with specialized behaviors. Classes unknown to Uproot can be accessed through their members. To se the members you can use .all_members.

```
[36]: file = uproot.open("https://scikit-hep.org/uproot3/examples/hepdata-example.
       ⇔root")
      file.classnames()
[36]: {'hpx;1': 'TH1F',
       'hpxpy;1': 'TH2F',
       'hprof;1': 'TProfile',
       'ntuple;1': 'TNtuple'}
[38]: file["hpx"].all_members
[38]: {'@fUniqueID': 0,
       '@fBits': 50331656,
       'fName': 'hpx',
       'fTitle': 'This is the px distribution',
       'fLineColor': 602,
       'fLineStyle': 1,
       'fLineWidth': 1,
       'fFillColor': 0,
       'fFillStyle': 1001,
       'fMarkerColor': 1,
       'fMarkerStyle': 1,
       'fMarkerSize': 1.0,
       'fNcells': 102,
       'fXaxis': <TAxis (version 9) at 0x016f21975ac0>,
       'fYaxis': <TAxis (version 9) at 0x016f21975850>,
       'fZaxis': <TAxis (version 9) at 0x016f219753d0>,
       'fBarOffset': 0,
       'fBarWidth': 1000,
       'fEntries': 75000.0,
       'fTsumw': 74994.0,
       'fTsumw2': 74994.0,
       'fTsumwx': -97.16475860591163,
       'fTsumwx2': 75251.86518025988,
       'fMaximum': -1111.0,
       'fMinimum': -1111.0,
```

```
'fNormFactor': 0.0,
'fContour': <TArrayD [] at 0x016f219755e0>,
'fSumw2': <TArrayD [] at 0x016f21975610>,
'fOption': <TString '' at 0x016f21965430>,
'fFunctions': <TList of 1 items at 0x016f21975eb0>,
'fBufferSize': 0,
'fBuffer': array([], dtype=float64),
'fBinStatErrOpt': 0,
'fN': 102}
```

To see an specific one, you can use .member("NAME").

```
[41]: file["hpx"].member("fName")
```

[41]: 'hpx'

Some classes, like uproot.behaviors.TH1.TH1, uproot.behaviors.TProfile.TProfile, and uproot.behaviors.TH2.TH2, have high-level "behaviors" defined in uproot.behaviors to make them easier to use.

Histograms have edges, values and errors mehods to extract the content directly to NumPy arrays. To see you use file["name"].axis().edges(), file["name"].values and file["name"].errors() respectively.

Uproot (since it's an io library) doesn't have methods for plotting/manipulating histograms. Instead, it has methods to export them to other libraries such as NumPy, Boost and Hist.

```
[42]: file["hpxpy"].to_numpy()
[42]: (array([[0., 0., 0., ..., 0., 0., 0.],
             [0., 0., 0., ..., 0., 0., 0.],
             [0., 0., 0., ..., 0., 0., 0.]
             [0., 0., 0., ..., 0., 0., 0.]
             [0., 0., 0., ..., 0., 0., 0.]
             [0., 0., 0., ..., 0., 0., 0.]], dtype=float32),
      array([-4., -3.8, -3.6, -3.4, -3.2, -3., -2.8, -2.6, -2.4, -2.2, -2.,
             -1.8, -1.6, -1.4, -1.2, -1., -0.8, -0.6, -0.4, -0.2, 0., 0.2,
              0.4, 0.6, 0.8, 1., 1.2, 1.4, 1.6, 1.8, 2., 2.2,
              2.6, 2.8, 3., 3.2, 3.4, 3.6, 3.8, 4. ]),
      array([-4., -3.8, -3.6, -3.4, -3.2, -3., -2.8, -2.6, -2.4, -2.2, -2.,
             -1.8, -1.6, -1.4, -1.2, -1., -0.8, -0.6, -0.4, -0.2, 0., 0.2,
              0.4, 0.6, 0.8, 1., 1.2, 1.4, 1.6, 1.8, 2., 2.2,
              2.6, 2.8, 3., 3.2, 3.4, 3.6, 3.8, 4.]))
[43]:
     file["hpxpy"].to_boost()
[43]: Histogram(
       Regular (40, -4, 4),
       Regular (40, -4, 4),
```

```
storage=Double()) # Sum: 74985.0 (75000.0 with flow)
```

```
[44]: file["hpxpy"].to_hist()
[44]: Hist(
        Regular(40, -4, 4, name='xaxis', label='xaxis'),
        Regular (40, -4, 4, name='yaxis', label='yaxis'),
        storage=Double()) # Sum: 74985.0 (75000.0 with flow)
     # Inspecting a TBranches of a TTree
     uproot.TTree, which contains TBranches or other nested TTrees, is another type of Mapping
     objects within Uproot. Like the command .classnames(), one can acces the data type of a
     TBranch without reading them using .typenames.
[46]: events.typenames()
[46]: {'Type': 'char*',
       'Run': 'int32_t',
       'Event': 'int32_t',
       'E1': 'double',
       'px1': 'double',
       'py1': 'double',
       'pz1': 'double',
       'pt1': 'double',
       'eta1': 'double',
       'phi1': 'double',
       'Q1': 'int32_t',
       'E2': 'double',
       'px2': 'double',
       'py2': 'double',
       'pz2': 'double',
       'pt2': 'double',
       'eta2': 'double',
       'phi2': 'double',
       'Q2': 'int32_t',
       'M': 'double'}
```

More interactively and convinient, one can use .show()

[47]: events.show()

name	typename	interpretation
Туре	char*	AsStrings()
Run	int32_t	AsDtype('>i4')
Event	int32_t	AsDtype('>i4')
E1	double	AsDtype('>f8')
px1	double	AsDtype('>f8')

```
| double
                                                   | AsDtype('>f8')
py1
                      | double
                                                   | AsDtype('>f8')
pz1
                                                   | AsDtype('>f8')
                      | double
pt1
eta1
                      | double
                                                   | AsDtype('>f8')
                                                   | AsDtype('>f8')
                      | double
phi1
Q1
                      | int32_t
                                                   | AsDtype('>i4')
E2
                      | double
                                                   | AsDtype('>f8')
                      | double
                                                   | AsDtype('>f8')
px2
                      | double
                                                   | AsDtype('>f8')
py2
                      | double
                                                   | AsDtype('>f8')
pz2
                      | double
                                                   | AsDtype('>f8')
pt2
                      | double
                                                   | AsDtype('>f8')
eta2
                      | double
                                                   | AsDtype('>f8')
phi2
                      | int32_t
                                                   | AsDtype('>i4')
Q2
                      | double
                                                   | AsDtype('>f8')
Μ
```

2 Reading a TBranch as an array

A TBranch can be turned into an array using .array() method.

```
[48]: events["M"].array()
[48]: <Array [82.5, 83.6, 83.3, ... 96, 96.5, 96.7] type='2304 * float64'>
     By defautl, the array is an array from Awkward Array, but a NumPy or a Pandas array
     (pandas. Series in the case of Pandas) can be created using the parameter library="np" or
     library="pd".
[49]: events["M"].array(library="np")
[49]: array([82.46269156, 83.62620401, 83.30846467, ..., 95.96547966,
             96.49594381, 96.65672765])
[50]: events["M"].array(library="pd")
[50]: 0
              82.462692
      1
              83.626204
      2
              83.308465
      3
              82.149373
              90.469123
      2299
              60.047138
      2300
              96.125376
      2301
              95.965480
      2302
              96.495944
      2303
              96.656728
      Length: 2304, dtype: float64
```

The array method has multiple parameters such as delimitation, parellelization and others, as seen here.

2.1 Reading multiple TBranches as a group of arrays

If multiple TBranches are going to be used you could use the funtion arrays. As shown next,

```
[5]: events.arrays(["px1", "py1", "pz1"])
```

```
[5]: <Array [{px1: -41.2, ... pz1: -74.8}] type='2304 * {"px1": float64, "py1": float...'>
```

Just as with array, you can alter the default library to Pandas or Numpy. In Numpy, it will be exported as an dict of arrays

```
[6]: events.arrays(["px1", "py1", "pz1"], library="np")
```

And in Pandas, it will be exported as a pandas. DataFrame.

```
[7]: events.arrays(["px1", "py1", "pz1"], library="pd")
```

```
[7]:
                 px1
                            py1
                                         pz1
     0
          -41.195288
                     17.433244
                                 -68.964962
           35.118050 -16.570362
     1
                                 -48.775247
     2
           35.118050 -16.570362
                                 -48.775247
     3
           34.144437 -16.119525
                                 -47.426984
     4
           22.783582
                     15.036444
                                 -31.689894
     2299
           19.054651
                     14.833954
                                   22.051323
     2300 -68.041915 -26.105847 -152.235018
     2301 32.377492
                       1.199406
                                 -74.532431
     2302
          32.377492
                       1.199406
                                 -74.532431
     2303 32.485394
                       1.201350
                                 -74.808372
```

[2304 rows x 3 columns]

2.1.1 Filtering TBranches

If no filtering arguments are passed to arrays, all TBranches will be read. To avoid this (for any reason) you can use the parameters filter_name, filter_typenames or filter_branch to select TBranches by name, type or other attributes. (This can be used with in other methods such as keys, show or typename). Additionally, lambda functions can be used in this parameters.

```
[8]: events.keys(filter_name="px*")
 [8]: ['px1', 'px2']
 [9]: events.arrays(filter name="px*")
 [9]: <Array [{px1: -41.2, ... px2: -68.8}] type='2304 * {"px1": float64, "px2":
      float64}'>
[11]: events.keys(filter_name="/p[xyz][0-9]/i")
[11]: ['px1', 'py1', 'pz1', 'px2', 'py2', 'pz2']
[12]: events.arrays(filter_name="/p[xyz][0-9]/i")
[12]: <Array [{px1: -41.2, py1: 17.4, ... pz2: -154}] type='2304 * {"px1": float64,
      "p...'>
[13]: events.keys(filter_branch=lambda b: b.compression_ratio > 10)
[13]: ['Run', 'Q1', 'Q2']
[14]: | events.arrays(filter_branch=lambda b: b.compression_ratio > 10, library="pd")
Γ14]:
               Run Q1
                        Q2
      0
            148031
                     1
                        -1
      1
            148031
                   -1
      2
            148031 -1
      3
            148031 -1
                         1
      4
            148031
                    1
                        -1
      2299 148029
                    1 -1
      2300 148029
                   -1
      2301 148029
                        -1
      2302 148029
                        -1
                     1
      2303 148029
                     1 -1
      [2304 rows x 3 columns]
     2.2
          Selections
     2.2.1 Selections from 1D arrays
     Another way to filter branches is if they are able to pass some criteria, for exmaple
[53]: branches = uproot.open("HSF training/uproot-tutorial-file.root:Events").arrays()
```

branches['nMuon'] == 1

```
[53]: <Array [False, False, True, ... False, False] type='100000 * bool'>
```

You can observe that the returned array is a boolean array, called mask.

```
[54]: single_muon_mask = branches['nMuon'] == 1
```

2.2.2 Applying a mask to an array

If we want to apply a selection to an array, we use the mask as an index, For example, if we want the pT of only those muons in events with exactly one muon,

```
[56]: branches['Muon_pt'][single_muon_mask]
```

```
[56]: <Array [[3.28], [3.84], ... [13.3], [9.48]] type='13447 * var * float32'>
```

2.3 Selections from a jagged array

To make a selection of this type of array, you use the absolute value and follow the same steps as in the 1D arrays,

```
[57]: eta_mask = abs(branches["Muon_eta"]) < 2 eta_mask
```

[57]: <Array [[True, True], ... True, True, True]] type='100000 * var * bool'>

2.4 Computing expressions and cuts

So far in the arrays method we've used the first argument to pass TBranches names. Additionally, it can also be used to compute expresions.

```
[16]: events.arrays("sqrt(px1**2 + py1**2)")
```

You can use aliases to name the computations.

```
[17]: events.arrays("pt1", aliases={"pt1": "sqrt(px1**2 + py1**2)"})
```

```
[17]: <Array [{pt1: 44.7}, ... {pt1: 32.4}] type='2304 * {"pt1": float64}'>
```

The second argument is a filter ("cut") on entries. It is shown in the previous example that there is 2304 entries, while with a cut,

```
[20]: events.arrays("pt1", "pt1 > 50", aliases={"pt1": "sqrt(px1**2 + py1**2)"})
```

```
[20]: <Array [{pt1: 77}, ... {pt1: 72.9}] type='290 * {"pt1": float64}'>
```

there are only 290 entries. More filters can be applied using "&" or/and a pipe ("|"),

```
[23]: <Array [{pt1: 77}, ... {pt1: 72.9}] type='269 * {"pt1": float64}'>
```

As it has been said Uproot is uniquely used as an io library, so this filter funnels the command to Numpy. Nevertheless, if the computation requires more than one expression, you'll have to move it out of strings into Python.

3 Nested Data Structures

Not all entries have one value per entry. Take a look to the following array,

```
[25]: events = uproot.open("https://scikit-hep.org/uproot3/examples/HZZ.root:events")
    events.show()
```

name	typename	interpretation
NJet	int32_t	AsDtype('>i4')
Jet_Px	float[]	AsJagged(AsDtype('>f4'))
Jet_Py	float[]	AsJagged(AsDtype('>f4'))
Jet_Pz	float[]	AsJagged(AsDtype('>f4'))
Jet_E	float[]	AsJagged(AsDtype('>f4'))
Jet_btag	float[]	AsJagged(AsDtype('>f4'))
Jet_ID	bool[]	AsJagged(AsDtype('bool'))
NMuon	int32_t	AsDtype('>i4')
Muon_Px	float[]	AsJagged(AsDtype('>f4'))
Muon_Py	float[]	AsJagged(AsDtype('>f4'))
Muon_Pz	float[]	AsJagged(AsDtype('>f4'))
Muon_E	float[]	AsJagged(AsDtype('>f4'))
Muon_Charge	int32_t[]	AsJagged(AsDtype('>i4'))
Muon_Iso	float[]	AsJagged(AsDtype('>f4'))
NElectron	int32_t	AsDtype('>i4')
Electron_Px	float[]	AsJagged(AsDtype('>f4'))
Electron_Py	float[]	AsJagged(AsDtype('>f4'))
Electron_Pz	float[]	AsJagged(AsDtype('>f4'))
Electron_E	float[]	AsJagged(AsDtype('>f4'))
Electron_Charge	int32_t[]	AsJagged(AsDtype('>i4'))
Electron_Iso	float[]	AsJagged(AsDtype('>f4'))
NPhoton	int32_t	AsDtype('>i4')
Photon_Px	float[]	AsJagged(AsDtype('>f4'))
Photon_Py	float[]	AsJagged(AsDtype('>f4'))
Photon_Pz	float[]	AsJagged(AsDtype('>f4'))
Photon_E	float[]	AsJagged(AsDtype('>f4'))
Photon_Iso	float[]	AsJagged(AsDtype('>f4'))
MET_px	float	AsDtype('>f4')

```
| float
                                                       | AsDtype('>f4')
     MET_py
                                                       | AsDtype('>f4')
     MChadronicBottom_px | float
     MChadronicBottom_py | float
                                                       | AsDtype('>f4')
     MChadronicBottom_pz | float
                                                       | AsDtype('>f4')
                                                       | AsDtype('>f4')
     MCleptonicBottom px | float
     MCleptonicBottom py | float
                                                       | AsDtype('>f4')
     MCleptonicBottom pz | float
                                                       | AsDtype('>f4')
     MChadronicWDecayQ... | float
                                                     | AsDtype('>f4')
     MChadronicWDecayQ... | float
                                                     | AsDtype('>f4')
     MChadronicWDecayQ... | float
                                                     | AsDtype('>f4')
     MChadronicWDecayQ... | float
                                                     | AsDtype('>f4')
     MChadronicWDecayQ... | float
                                                     | AsDtype('>f4')
     MChadronicWDecayQ... | float
                                                     | AsDtype('>f4')
     MClepton_px
                           | float
                                                       | AsDtype('>f4')
     MClepton_py
                           | float
                                                       | AsDtype('>f4')
                                                       | AsDtype('>f4')
     MClepton_pz
                           | float
     MCleptonPDGid
                           | int32_t
                                                       | AsDtype('>i4')
                                                       | AsDtype('>f4')
     MCneutrino_px
                           | float
     MCneutrino_py
                           | float
                                                       | AsDtype('>f4')
     MCneutrino pz
                           | float
                                                       | AsDtype('>f4')
     NPrimary Vertices
                           | int32 t
                                                       | AsDtype('>i4')
                                                       | AsDtype('bool')
     triggerIsoMu24
                           | bool
     EventWeight
                           | float
                                                       | AsDtype('>f4')
[26]: events.keys(filter_name="/(Jet|Muon)_P[xyz]/")
[26]: ['Jet_Px', 'Jet_Py', 'Jet_Pz', 'Muon_Px', 'Muon_Py', 'Muon_Pz']
[28]: ak_arrays = events.arrays(filter_name="/(Jet|Muon)_P[xyz]/")
      ak_arrays[:2].tolist()
[28]: [{'Jet_Px': [],
        'Jet Py': [],
        'Jet_Pz': [],
        'Muon Px': [-52.89945602416992, 37.7377815246582],
        'Muon_Py': [-11.654671669006348, 0.6934735774993896],
        'Muon_Pz': [-8.16079330444336, -11.307581901550293]},
       {'Jet_Px': [-38.87471389770508],
        'Jet_Py': [19.863452911376953],
        'Jet_Pz': [-0.8949416279792786],
        'Muon_Px': [-0.8164593577384949],
        'Muon_Py': [-24.404258728027344],
        'Muon_Pz': [20.199968338012695]}]
```

See Awkward array documentation for data analysis techniques using these types.

Just as with everything you can read the array with Numpy (array with dtype="0") and Pandas (DataFrame with MultiIndex rows)

```
[29]: events.arrays(filter_name="/(Jet|Muon)_P[xyz]/", library="np")
[29]: {'Jet_Px': array([array([], dtype=float32), array([-38.874714], dtype=float32),
              array([], dtype=float32), ..., array([-3.7148185], dtype=float32),
              array([-36.361286, -15.256871], dtype=float32),
              array([], dtype=float32)], dtype=object),
       'Jet_Py': array([array([], dtype=float32), array([19.863453], dtype=float32),
              array([], dtype=float32), ..., array([-37.202377], dtype=float32),
             array([ 10.173571, -27.175364], dtype=float32),
             array([], dtype=float32)], dtype=object),
       'Jet Pz': array([array([], dtype=float32), array([-0.8949416], dtype=float32),
              array([], dtype=float32), ..., array([41.012222], dtype=float32),
              array([226.42921 , 12.119683], dtype=float32),
              array([], dtype=float32)], dtype=object),
       'Muon_Px': array([-52.899456, 37.73778], dtype=float32),
             array([-0.81645936], dtype=float32),
             array([48.98783 , 0.8275667], dtype=float32), ...,
             array([-29.756786], dtype=float32),
              array([1.1418698], dtype=float32),
             array([23.913206], dtype=float32)], dtype=object),
       'Muon_Py': array([array([-11.654672 , 0.6934736], dtype=float32),
             array([-24.404259], dtype=float32),
             array([-21.723139, 29.800508], dtype=float32), ...,
             array([-15.303859], dtype=float32),
             array([63.60957], dtype=float32),
             array([-35.665077], dtype=float32)], dtype=object),
       'Muon_Pz': array([array([ -8.160793, -11.307582], dtype=float32),
             array([20.199968], dtype=float32),
             array([11.168285, 36.96519], dtype=float32), ...,
             array([-52.66375], dtype=float32),
             array([162.17632], dtype=float32),
             array([54.719437], dtype=float32)], dtype=object)}
      events.arrays(filter_name="/(Jet|Muon)_P[xyz]/", library="pd")
[30]: (
                          Jet_Px
                                     Jet_Py
                                                 Jet_Pz
       entry subentry
             0
                      -38.874714 19.863453
                                             -0.894942
       3
             0
                      -71.695213 93.571579 196.296432
             1
                       36.606369 21.838793
                                              91.666283
             2
                      -28.866419
                                 9.320708
                                              51.243221
       4
             0
                        3.880162 -75.234055 -359.601624
       2417 0
                      -33.196457 -59.664749 -29.040150
             1
                      -26.086025 -19.068407
                                              26.774284
       2418
            0
                      -3.714818 -37.202377 41.012222
       2419 0
                      -36.361286 10.173571 226.429214
```

```
1
               -15.256871 -27.175364
                                         12.119683
[2773 rows x 3 columns],
                   Muon_Px
                                           Muon_Pz
                              Muon_Py
entry subentry
      0
                -52.899456 -11.654672
                                         -8.160793
                37.737782
                             0.693474
                                        -11.307582
      1
1
      0
                -0.816459 -24.404259
                                         20.199968
                48.987831 -21.723139
2
      0
                                         11.168285
                 0.827567 29.800508
      1
                                         36.965191
                     •••
2416
                -39.285824 -14.607491
                                         61.715790
     0
2417
                35.067146 -14.150043
                                        160.817917
2418
      0
               -29.756786 -15.303859
                                        -52.663750
2419
                  1.141870 63.609570
                                        162.176315
     0
2420
     0
                23.913206 -35.665077
                                         54.719437
[3825 rows x 3 columns])
```

Each row of the DataFrame represents one particle and the row index is broken down into "entry" and "subentry" levels. If the selected TBranches include data with different numbers of values per entry, then the return value is not a DataFrame, but a tuple of DataFrames, one for each multiplicity. See the Pandas documentation on joining for tips on how to analyze DataFrames with partially shared keys ("entry" but not "subentry"). (?)

4 Iterating over intervals of entries

If files are too large, it is better to iterate over an intervarl in order to not run out of memory. For this, you use a for loop and indicate a step size,

You can also add a filter as it shown previously.

A better method to iterate entries is to select instead a number of bytes,

Again, Pandas and Numpy can be used.

5 Iterating over many files

Often, larger data sets consist of many files and other abstractions such as ROOT's TChain. So, in order to iterate many files you can use the functino uproot.iterate that takes a list of files as its first argument,

The specification of file names has to include paths to the TTree objects, so the colon isn't exactly optional. Since it is possible for file paths to include colons as part of the file or directory name, the following alternate syntax can also be used,

```
[7]: for batch in uproot.iterate([{"PyHEP_UPROOTandAWKWARD/data/Zmumu.root":

→"events"}]):

# do something

pass
```

6 Reading many files into big arrays

uproot.iterate function is not a direct analogy of ROOT's TChain because it does not make multifile workflows look like a single file workflows.

The simplest way to access many files is to chain them into one array. The uproot.concatenate function is a multi-file analogue of the arrays method, in that it returns a single array group,

```
[8]: uproot.concatenate(["https://scikit-hep.org/uproot3/examples/Zmumu.root: events", "https://scikit-hep.org/uproot3/examples/HZZ.root:events"])
```

```
[8]: <Array [{Type: 'GT', ... EventWeight: 0.00876}] type='4725 * union[{"Type": stri...'>
```

A down side, is that the array is entirely read into memory, so this is only possible if, - the files are small, - the number of files is small, or - the selected branches do not represent a large fraction of the files

decent RAM memory is adviced.

7 Reading on demand with lazy-arrays

Lazy-loading is a third way to access multifile datasets. The interface to uproot.lazy is like uproot.concatenate in that it returns a single object, not an iterator that you have to iterate through, but it is like uproot.iterate in that the data are not loaded immediately and do not need to reside in memory all at once.

```
[11]: <Array [{Type: 'GT', Run: 148031, ... M: 96.7}] type='4608 * {"Type": string, "R...'>
```

When uproot.lazy is called, it opens all of the specified files and TTree metadata, but none of the TBranch data. It uses the TBranch names and types, as well as the TTree num_entries, to define the data type and prepare batches for reading. Only when you access items in the array, such as printing them to the screen or performing a calculation on them, are the relevant TBranches read (in batches).

This lazy-loading uses an Awkward Array feature, so library="ak" is the only library option.

The data being loaded is intentionally hidden, if you're interested in watching filling up you can use the uproot.LRUAArrayCache function.

[13]: <LRUArrayCache (0/1000000000 bytes full) at 0x029205b383d0>

As it was previously said, the lazy array doesn't load any data (untill it is called). If we then ask for a single element from a single field, it loads one TBranch-batch. Since we specified the $step_size=100$ (much too small for a real case; the default is "100 MB"), this TBranch-bath is 100 entries (≈ 800 bytes).

```
[15]: array["px1", 1] cache
```

[15]: <LRUArrayCache (800/1000000000 bytes full) at 0x029205b383d0>

If we request another item from the same batch, it doesn't load anything else,

```
[16]: array["px1", 2] cache
```

[16]: <LRUArrayCache (800/1000000000 bytes full) at 0x029205b383d0>

It will, nevertheless, load more data when an item is either outside of the batch or if another TBranch is indicated,

```
[17]: array["px1", 100]
    print(cache)
    array["py1", 0]
    print(cache)
```

```
<LRUArrayCache (1600/1000000000 bytes full) at 0x029205b383d0>
<LRUArrayCache (2400/1000000000 bytes full) at 0x029205b383d0>
```

Although lazy arrays combine the convenience of uproot.concatenate with the gradual loading of uproot.iterate, it is not always the most efficient way to process data. Derived quantities are fully resident in memory, and most data analyses compute more quantities than they read.

Moreover, if a lazy array is larger than its cache, reading the last batches will cause the first batches to be evicted from the cache. If it is accessed again, the first batches will need to be fully re-read, which evicts the last batches, guaranteeing that data will never be found in the cache when it's needed.

On the other hand, if you make the cache(s) large enough to accommodate all the arrays you'll be loading, then you might as well load them entirely into memory. Avoiding the overhead of managing lazy batch-loading can only streamline a workflow.

8 Caching and memory management

Each file has an associated object_cache and array_cache, which stramline interactive use but could track down memory use.

The object_cache stores a number of objects like TDirectories, histograms and TTrees. The main effect of this is that,

```
[18]: (<TH1F (version 1) at 0x0292005c8640>, <TH1F (version 1) at 0x0292005c8640>)
```

and

```
[19]: (file["hpx"], file["hpx"])
```

```
[19]: (<TH1F (version 1) at 0x0292005c8640>, <TH1F (version 1) at 0x0292005c8640>)
```

have identical performance. In other words, not having to declare names for things that are already referenced by name simplifies bookkeeping

The array_cache stores array output up to a maximum of bytes. The array_cache ensures that,

```
[20]: (<Array [-41.2, 35.1, 35.1, ... 32.4, 32.5] type='2304 * float64'>, <Array [-41.2, 35.1, 35.1, ... 32.4, 32.5] type='2304 * float64'>)
```

and

```
[21]: (events["px1"].array(), events["px1"].array())
```

```
[21]: (<Array [-41.2, 35.1, 35.1, ... 32.4, 32.5] type='2304 * float64'>, <Array [-41.2, 35.1, 35.1, ... 32.4, 32.5] type='2304 * float64'>)
```

have the same performance, assuming that the caches are not overrun.

By default, each file has a separate cache of 100 objects and "100 MB" of arrays. However, these can be overridden by passing an object_cache or array_cache argument to uproot.open or setting the object_cache and array_cache properties.

9 Parallel processing

Data are or can be read in parallel in each of the following three stages.

- Physically reading bytes from disk or remote sources: the parallel processing or single-thread background processing is handled by the specific uproot.source.chunk.Source type, which can be influenced with uproot.open options (particularly num_workers and num_fallback_workers).
- Decompressing TBasket (uproot.models.TBasket.Model_TBasket) data: depends on the decompression_executor.
- Interpreting decompressed data with an array uproot.interpretation.Interpretation: depends on the interpretation_executor.

Like the caches, the default values for the last two are global uproot.decompression_executor and uproot.interpretation_executor objects. The default decompression_executor is a uproot.ThreadPoolExecutor with as many workers as your computer has CPU cores. Decompression workloads are executed in compiled extensions with the Python GIL released, so they can afford to run with full parallelism. The default interpretation_executor is a uproot.TrivialExecutor

that behaves like an distributed executor, but actually runs sequentially. Most interpretation workflows are not computationally intensive or are currently implemented in Python, so they would not currently benefit from parallelism.

If, however, you're working in an environment that puts limits on parallel processing, you may want to modify the defaults, either locally through a decompression_executor or interpretation_executor function parameter, or globally by replacing the global object.

10 Opening a file for writing

To write ROOT files, you can open them using,

```
new_file = uproot.recreate("path/to/new-file.root")
existing_file = uproot.update("path/to/existing-file.root")
```

This functions should be used like this,

```
with uproot.recreate("path/to/new-file.root") as file:
    do_something...
```

It should be noted that this functions return a uproot.WritableDirectory instead of an uproot.ReadOnlyDirectory that uproot.open returns, and these objects have different methods.

10.1 Writing objects to a file

The object returned by uproot.recreate or uproot.update represents a TDirectory inside the file.

```
[22]: file = uproot.recreate("example.root")
file
```

[22]: <WritableDirectory '/' at 0x02920062a430>

This is a python Mutable Mapping, wich means you can write date just by assigning it,

```
[24]: import numpy as np
file["hist"] = np.histogram(np.random.normal(0, 1, 1000000))
file["hist"]
```

[24]: <TH1D (version 3) at 0x02920062ac70>

It also works to add a nested directory by adding slashes ("/") in the name,

```
[25]: file["subdir/hist"] = np.histogram(np.random.normal(0, 1, 100000))
file["subdir/hist"]
```

[25]: <TH1D (version 3) at 0x029200649be0>

```
[26]: file.keys()
```

```
[26]: ['hist;1', 'subdir;1', 'subdir/hist;1']
[27]: file.classnames()
[27]: {'hist;1': 'TH1D', 'subdir;1': 'TDirectory', 'subdir/hist;1': 'TH1D'}
```

Empty directories can be made with the mkdir method.

Note

A small but growing list of data types can be written to files:

- · strings: TObjString
- histograms: TH1*, TH2*, TH3*
- profile plots: TProfile, TProfile2D, TProfile3D
- NumPy histograms created with np.histogram, np.histogram2d, and np.histogramdd with 3 dimensions or fewer
- histograms that satisfy the Universal Histogram Interface (UHI) with 3 dimensions or fewer; this includes boost-histogram and hist
- · PyROOT objects

10.2 Removing objects from a file

You can use the del operator,

```
[29]: del file["hist"]
[30]: file.keys()
[30]: ['subdir;1', 'subdir/hist;1']
```

11 Writing TTrees to a file

To create a TTree, you can use the object uproot. WritableTree, which can be created using various methods. First, you can use a directory,

```
[31]: file["tree1"] = {"branch1": np.arange(1000), "branch2": np.arange(1000)*1.1} file["tree1"].show()
```

```
name | typename | interpretation
```

```
branch1 | int32_t | AsDtype('>i4')
branch2 | double | AsDtype('>f8')
```

You can also use Numpy arrays and Pandas DataFrames (of equal length),

```
[33]:
              X
                       У
      0
              0
                     0.0
      1
              1
                     1.1
      2
              2
                     2.2
      3
              3
                     3.3
      4
                     4.4
      995
           995
                 1094.5
      996
           996
                 1095.6
      997
            997
                 1096.7
                 1097.8
      998
           998
      999
           999
                 1098.9
```

[1000 rows x 2 columns]

```
[34]: file["tree2"] = df
file["tree2"].show()
```

name	typename	interpretation
index	int64_t	AsDtype('>i8')
x	int32_t	AsDtype('>i4')
y	double	AsDtype('>f8')

In Awkward, nonetheless, arrays can contain a variable number of values per entry,

```
[36]: import awkward as ak

file["tree3"] = {"branch": ak.Array([[1.1, 2.2, 3.3], [], [4.4, 5.5]])}

file["tree3"].show()
```

name	typename	interpretation
nbranch	int32_t	AsDtype('>i4')
branch	double[]	AsJagged(AsDtype('>f8'))

And Awkward record arrays, constructed with ak.zip, can consolidate arrays to ensure that there is only one "counter" TBranch.

Note

The small but growing list of data types can be written as TTrees is:

- dict of NumPy arrays (flat, multidimensional, and/or structured),
 Awkward Arrays containing one level of variable-length lists and/or one level of records, or a Pandas DataFrame with a numeric index
- a single NumPy structured array (one level deep)
- a single Awkward Array containing one level of variable-length lists and/or one level of records
- a single Pandas DataFrame with a numeric index

Just as empty directories can be made with the mkdir method, empty TTrees can be made with mktree.

This method also provides control over the naming convention for counter TBranches and subfield TBranches

12 Extanding TTrees with large datasets

In order to weite more data to dhte disk than can fit in the memory, you can use the extend method.

```
file["tree5"].num_entries, file["tree5"].num_baskets
```

```
[43]: (5, 1)
```

[44]: (10, 2)

The extend method always adds one TBasket to each TBranch in the TTree. The data you provide must have the types that have been established in the first write or mktree call: exactly the same set of TBranch names and the same data type for each TBranch.

The arrays also have to have the same lengths as each other, though only in the first dimension. Above, the "x" NumPy array has shape (5, 3): the first dimension has length 5. The "y" Awkward array has type 5 * var * float64: the first dimension has length 5. This is why they are compatible; the inner dimensions don't matter (except inasmuch as they have the right type).

Note: Make sure the **extend** method includes at least 100 kb per branch. If uproot writes very small baskets it will spend more time working on the TBasket overhead than actually writting data.

13 Specifying the compression

You can specify the compression for a whole file while opening it. It is also mutable.

```
[47]: file = uproot.recreate("example.root", compression=uproot.ZLIB(4)) file.compression
```

[47]: ZLIB(4)

The uproot.WritableTree object also have a compression setting that can overide the global one. Additionally, each TBranch can have a different compression setting.