

# Computational intelligence and asthma: Synaptotagmin XIII (SYT13), possible asthma related gene

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**Abstract**—The aim of this work is apply some algorithms typical used in statistics and machine learning to a given dataset. With this, we'll try to find if some of these algorithms can classify our dataset and try to figure something out. The dataset selected for this purpose is an asthma dataset.

## I. INTRODUCTION

Asthma is a chronic (long-lasting) inflammatory disease of the airways. In those susceptible to asthma, this inflammation causes the airways to spasm and swell periodically so that the airways narrow. The individual then must wheeze or gasp for air. Obstruction to air flow either resolves spontaneously or responds to a wide range of treatments, but continuing inflammation makes the airways hyper-responsive to stimuli such as cold air, exercise, dust mites, pollutants in the air, and even stress and anxiety.

A few keys from AAAAI (American Academy of Allergy Asthma and Immunology)[3] who collects all of this information:

- 1) From 2001 through 2009 asthma rates rose the most among black children, almost a 50% increase, in U.S.A. [4]
- 2) More than half (53%) of people with asthma had an asthma attack in 2008. More children (57%) than adults (51%) had an attack. 185 children and 3,262 adults died from asthma in 2007, in U.S.A. [4]
- 3) An estimated 300 million people worldwide suffer from asthma, with 250,000 annual deaths attributed to the disease.[5]
- 4) About 70% of asthmatics also have allergies.[5]
- 5) It is estimated that the number of people with asthma will grow by more than 100 million by 2025. [5]

But it's not just a problem of the (illogically) called "first world". It also affects to other undeveloped continents such as the African one [6]:

- In 2010, 49.7 million (13.9%; 95% CI 9.6-18.3) among children ;15 years, 102.9 million (13.8%; 95% CI 6.2-21.4) among people aged ;45 years, and 119.3 million (12.8%; 95% CI 8.2-17.1) in the total population.

### A. Dataset

The dataset chosen is the one used by Voraphani N. (2014)[1], in which the main purpose was to identify differen-

tially expressed genes. These genes belongs to various subjects with different classes:

- Control: Subjects with no asthma.
- MMA: Subjects with mild-moderate asthma.
- SA: severe asthmatic patients.

All of these classes represent an expression array with bronchial epithelial cells of Homo Sapiens.

Because we get an expression file, we had to convert it to an arff file (used by weka [17]). In order to get this file, we have to do some operations before we could some research about it. The first thing, and the most important thing, you must do when you get an expression file, is the normalization of the data. With R and bioconductor [?] (citation here), this purpose is solved. We do the normalization with the background of the array with R. We could, also, have done differential expression in order to obtain just a few genes (differentially expressed over the others).

After all of this, we obtain 43377 (without array controls) genes and 108 subjects. With this dataset we'll do all of the classification's problems.

## II. METHODS

As said before, the main purpose is classify well and see which genes could contribute more than others. We'll analyze these genes so as to see if any of those important genes to our classification problem, have the same relevancy in biology, and, being more specific, in asthma.

Why machine learning & statistics and not other methods? Well, machine learning and statistics are so powerful. That could be a double-edged sword, but in this case it is not. Although, machine learning, by now, is widely used in the field of bioinformatics and biology.

### A. Supervised classification

In a classification problem, we have a set of elements divided into classes [7]. Given an element (or instance) of the set, a class is assigned according to some of the element's features and a set of classification rules. In our case, we have three classes (Control, MMA, SA) and 108 instances (subjects with asthma or not). Our cases are labelled with their own class. So we proceed to divide the dataset in two subsets: the training dataset and the test dataset. The training one will be the input (labelled as well) of the classifier. The classifier learn

to classify this training dataset, and the output will be a model. With this model, we run again the classifier, but, at this time, we input the test dataset without labels (i.e. no classes). After all of this procedure, we'll obtain a percentage of how good is our classifier.

In order to reduce the bias with the division of the dataset (in training and test), we'll execute the k-fold-cross-validation [8]. In this case, the dataset is partitioned into k folds. Each fold is left out of the design process and used as a testing set. The estimate of the error is the overall proportion of the errors committed on all folds.

We've used several paradigms (classifiers) to see which could be fitted more to our data. In the next subsections, they are going to be explained.

1) *Naive Bayes*: It is built upon the assumption of conditional independence of the predictive variables given the class.

$$c^* = \arg \max_c p(C = c) \prod_{i=1}^n p(X_i = x_i | C = c) \quad (1)$$

Which is the reduced formula of this one:

$$\gamma(x) = \arg \min_k \sum_{c=1}^{r_0} co(k, c) p(c | x_1, \dots, x_n) \quad (2)$$

, in which every variable depends on all other and the complexity of the algorithm is too complicated. Naive Bayes (Equation:2) gives an approximate result, by reducing the dependencies between each variable, and it comes, also, with a time-relaxed version of the algorithm.

2) *KNN, K-nearest neighbours (IBk)*: Imagine a classification problem, in which you have to divide in (known) classes, seeing only a surface with points and crosses. A way to do that, is starting in one of them and going through all of them and classify each one by assigning it to the label most frequently represented among the k nearest samples. And you'll have solved the problem by k-nearest neighbours.

3) *Decision tree: C4.5 (j48)*: The decision tree is as simple as get a tree in which all the leaf nodes are classes and the inner nodes are decision parameters that will help us to determinate whether is one class or another (in the case, there are just two classes). The particularity of C4.5[10] is that the decision parameters are obtained via information gained ratio:

$$I(X_i, C) / H(X_i) \quad (3)$$

4) *Logistic regression*: It is based on the logistic function:  $f(z) = 1 / (1 + e^{-z})$ . So the equation used here is:

$$P(C = 1 | x) = \frac{1}{1 + e^{-(\beta_0 + \sum_{i=1}^n \beta_i x_i)}} \quad (4)$$

where  $x$  represents an instance to be classified, and  $\beta_0, \beta_1, \dots, \beta_n$  are the parameters of the model. These factor should be estimated from the data in order to obtain a concrete model.

5) *Bayesian Networks, TAN*: TAN is based on the mutual information of each variable (or group of variables) with everyone and trying to maximize this number choosing the right variables. So, the mutual information between two variables is given by:

$$I(X, Y) = \sum_{i=1}^{r_x} \sum_{j=1}^{r_y} p(x_i, y_j) \log \frac{p(x_i, y_j)}{p(x_i)p(y_j)} \quad (5)$$

it measures the reduction of uncertainty of one variable knowing the other one. So, the algorithm consists in building the tree of the variables or group of variables, order by the knowledge gained, which are maximum at this mutual information, until all of the next combinations of variables would not increase the mutual information gained by the last group.

## B. Complementary

1) *Random forest [11]*: Random forests are a combination of tree predictors such that each tree depends on the values of a random vector sampled independently and with the same distribution for all trees in the forest. The generalization error for forests converges a.s. to a limit as the number of trees in the forest becomes large. The generalization error of a forest of tree classifiers depends on the strength of the individual trees in the forest and the correlation between them.

2) *Adaboost.M1 [13]*: It is a boosting algorithm that can be used to significantly reduce the error of any learning algorithm that consistently generates classifiers whose performance is a little better than random guessing. It consists in obtain the algorithm of a "weak learner", such as a random line to divide two classes, and combined their outputs into a weighted sum that represents the final output of the boosted classifier.

3) *Multilayer perceptron*: If the data can be separated perfectly into two groups using a hyperplane, it is said to be linearly separable [12]. It turns out that if the data is linearly separable, there is a very simple algorithm for finding a separating hyperplane. This formula is the perceptron. And in this case the multilayer perceptron, it's an specific case of a neural net. It uses the sigmoid function instead of another one. The sigmoid function is defined by:

$$\frac{1}{1 + e^{-x}} \quad (6)$$

4) *RBF Network*: Instead of using the sigmoid function, the RBF network uses a radial basis function (RBF). So it is a neural net, but using a RBF kernel. The parameters that such a network learns are the centers and widths of the RBFs and the weights used to form the linear combination of the outputs obtained from the hidden layer. Another difference with the multilayer perceptron is that this network doesn't look for an hyperplane to divide linearly the subjects. The RBF network looks for a hypersphere or hyper ellipsoid.

### C. Feature subset selection (FSS)

One of the main problems of the machine learning algorithms is the complexity problem. You usually get stacked because the algorithms require time and resources, and, very frequently, a lot of each one. So, one of the solution that could be provided is the FSS (feature subset selection). Mainly, FSS reduces the number of variables (or parameters) of our dataset, and the dimensionality associated. Which transform our dataset into a easy one or easier, at least. There three approaches, and we're going to explain each one, in the few next sections.

1) *Univariate*: It is based in evaluate each feature separately, thereby ignoring feature dependencies [14]. In our case we use the gain ratio, evaluating the worth of an attribute by measuring the gain ratio with respect to the class. Which is defined by:

$$G(Class, Attribute) = \frac{(H(Class) - H(Class|Attribute))}{H(Attribute)} \quad (7)$$

where  $H$  means the mutual information.

2) *Multivariate*: We use CFS (Correlation-based feature selection [15]), it differs from univariate FSS in the part of the redundancy. It also looks for redundant features and ignore them because they will be highly correlated with one or more of the other features. CFS uses a search algorithm along with a function to evaluate the merit of feature subsets like *genetic* algorithm or just a *best first*.

3) *Wrapper* [16]: To achieve the best possible performance with a particular learning algorithm on a specific training set, a feature subset selection method should consider how the algorithm and the training set interact. We explore the relation between optimal feature subset selection and relevance. Our wrapper method searches for an optimal feature subset tailored to a particular algorithm and a domain.

## III. RESULTS

In this section we'll show all the results we get from the expression set used. The software used to get all of those results is weka [17]. And all of the results are compared by the ROC curve [18], which is a trusty measure.

### A. GSE43696

TABLE I

\*: THE X VALUES MEANS NO RESULTS COULD BE OBTAINED DUE TO COMPUTATIONAL PROBLEMS. \*\*THE K USED HERE IS EQUAL TO ONE.

Method	No filter	FSS		
		Univariate	Multivariate	Wrapper
Naive Bayes	0.436	0.626	0.623	0.731
KNN**	0.594	0.644	0.67	0.781
Logistic	X*	0.731	0.7	0.793
C4.5	0.486	0.639	0.698	0.641
Bayesian Net	X*	0.657	0.663	X*

We had these problems mentioned before because the initial dataset had, approximately, 43300 variables. One of the first

approaches to solve this, was a first univariate filter in which we selected the information gain ratio with respect to their own class. After this filter, all of these classify problems became more time-relaxed, due to the reduction of the number of variables (43300 to 32).

TABLE II

\*: K EQUALS TO 4; \*\* K EQUALS TO 1.

Method	Multivariate		
	CFS Best first	CFS Genetic	Wrapper
Naive Bayes	0.623	0.599	0.74
KNN	0.773*	0.674*	0.687**
Logistic	0.699	0.675	0.824
C4.5	0.668	0.76	0.641
Bayesian Net	0.667	0.674	0.678

TABLE III

RESULTS DEPENDING THE K VALUE ON THE KNN CLASSIFIER

K	Univariate	Multivariate
1	0.644	0.67
2	0.735	0.72
3	0.73	0.745
4	0.744	0.773
5	0.762	0.807
8	0.77	0.771

TABLE IV

BEST RESULTS ON THE COMPLEMENTARY METHODS. \*: SEARCH METHOD: BEST FIRST; †: SEARCH METHOD: GENETIC; ‡: WITH RANDOM FOREST

Method	None	FSS		Filtered by gain ratio		
		Univariate	CFS*	CFS*	CFS†	Wrapper
Adaboost‡	0.573	0.801	0.795	0.798	0.829	0.835
Adaboost(J48)	0.544	0.758	0.736	0.736	0.8	X
MultilayerPerceptron	X	0.788	0.792	0.778	0.697	0.83
RBF Network	0.393	0.675	0.726	0.726	0.693	X
Random forest	0.569	0.811	0.783	0.803	0.809	0.843

Another important thing which showed up with the output of the results was the classification between one class and the other ones.

TABLE V

SOME EXAMPLES OF THE DIFFERENCE BETWEEN CLASSES. MEASURED BY THE ROC CURVE.

Method - Filter	Classes			Overall
	Control	MMA	SA	
KNN (k=1) - Gain ratio	0.642	0.589	0.717	0.644
Bayesian Net - Gain ratio & CFS	0.802	0.591	0.697	0.667
Logistic - Wrapper	0.82	0.755	0.829	0.793
C4.5 - No filter	0.55	0.473	0.471	0.486
Naive Bayes - CFS Best first	0.766	0.534	0.664	0.623

### B. GSE63142

In order to confirm our results, we replicate our operations and tests in another dataset of the same type: bronchial epithelial cells, used by Modena et. al. [19].

TABLE VI

\*: THE X VALUES MEANS NO RESULTS COULD BE OBTAINED DUE TO COMPUTATIONAL PROBLEMS. \*\*:THE K USED HERE IS EQUAL TO ONE. †: WITH RANDOM FOREST.

Method	No filter	FSS		
		Univariate	Multivariate	Wrapper
KNN**	0.577	0.631	0.607	0.77
Naive bayes	0.508	0.661	0.729	0.694
Logistic	X*	0.739	0.768	0.843
C4.5	0.588	0.619	0.709	0.67
Bayesian Net	X*	0.657	0.663	X*
Random forest	0.575	0.841	0.808	X*
Multilayer perceptron	X*	0.857	0.824	X*
Adaboost†	0.521	0.835	0.821	X*

TABLE VII

ALL THIS RESULTS ARE CALCULATE BY A PREVIOUSLY FILTERED WITH INFO GAIN RATIO METHOD OF WEKA.

Method	Multivariate		Wrapper
	CFS Best first	CFS Genetic	
KNN (K=1)	0.607	0.665	0.727
Naive bayes	0.729	0.692	0.815
Logistic	0.768	0.69	0.834
C4.5	0.714	0.656	0.708
Bayesian Net	0.792	0.796	0.787
Random forest	0.829	0.791	0.813
Multilayer perceptron	0.821	0.85	0.764
Adaboost†	0.82	0.82	0.799

As we can see in Table VI and VII, the results and performance obtained are approximately the same of the another dataset. So, with all of this results, and seen the performance done after the FSS. We can say that the genes obtained with this filter could be interesting of study. In the later sections, we'll discuss about this.

### C. Important genes

The probes obtained by FSS are, as seen by the results, at least, important to discriminate between the several classes in each dataset. But, we do different fss in which every of them, we get diverse probes. Of course, not every probe is associated with a gene.

In order to find these genes we'll get the probes from the ARFF files received by the FSS results. When we have the genes, we compare all the genes from each operation of filter (Cfs, information gain ratio, wrapper). If a gene is obtained in various filters from different datasets, we'll add to a list of relevant genes.

After obtained all the important genes from our datasets and classifiers, we'll look for information about them.

This could be confirmed seeing the table VIII.

We could see there is so much noise, and this could logical, thinking of how the diseases works: there are not only a few genes that works out to produce the disease, it's a bunch of them, but not all of them. There are important genes and irrelevant ones. Therefore, as seen before with the results of with and without, these FSS's are biological consistent, in those particular datasets.

TABLE VIII

AN OVERALL VIEW OF WHICH FILTER IS BETTER AND THE AVERAGE WITH THE RESULTS OF THE TWO DATASETS

	Filter	Average
	No filter	0.544
Filtered by info gain ratio	Info gain ratio	0.7284
	CFS Best First	0.738
	Wrapper	0.74
	CFS Best First	0.7435
	CFS Genetic	0.7325
	Wrapper	0.769

## IV. DISCUSSION

We showed every result related to the classify problem we are trying to solve. So, the next step, is select the best classifiers. In the table IX, we reveal the best of them.

### A. Which are the best classifiers?

Our best classifier seems to be random forest, but, multilayer perceptron and adaboost are quite similar. But that's not the best part. We obtain several 0.82's or more in various classifications with those classifiers. An area under the curve percentage of 0.85 is a great performance (seen at table VI). In average the best are shown in the table IX.

TABLE IX

AVERAGE RESULTS OF THE CLASSIFIERS

Method	Average
KNN (K=1)	0.6719
Naive Bayes	0.657
Logistic regression	0.726
C4.5	0.65
Bayesian Net	0.69
Adaboost RF	0.77
Multilayer perceptron	0.757
Random forest	0.772

### B. Where are my genes?

Once we obtain good performance, we'll look for the genes associated with these good results. We put together all the genes obtained with the filters and ranked with their occurrences.

Almost each member of the table X are related to asthma, like: TFF3 [25], SPP1[24], SCGB1A1 [23], WN4 [27], FCER1A [26] and CPA3 [28], highly important here. The last one of the list, CPA3 gene, encodes a form which is obtained as a binary complex of a procarboxypeptidase A with proproteinase E and functions as a secretory granule metalloexopeptidase. (provided by RefSeq, Jan 2009). Also, when CPA3 increase his expression implies that mast cells are present in the epithelial compartment of patients with mild asthma [29].

We also proceed to make functional single enrichment with Babelomics and Fatigo [20]. And came up, with coherent results. It shows one significant GO cellular component: secretory granule(GO:0030141) involving the genes in our list of

TABLE X  
RANKED GENES WITH A THRESHOLD OF 6

Occurrences	Genes
14	CPA3
13	SCGB1A1
12	SPP1
12	C12orf39
10	WNK4
10	TFF3
10	FCER1A
9	TDRD5
8	KIAA1875
8	IL1R2
8	FKBP5
8	C20orf46
7	VEGFA
7	PMEP1
7	COL1A1
7	CEACAM7
7	CEACAM5
6	USP29
6	SYT13
6	LRRC71
6	CIRBP
6	C7orf26

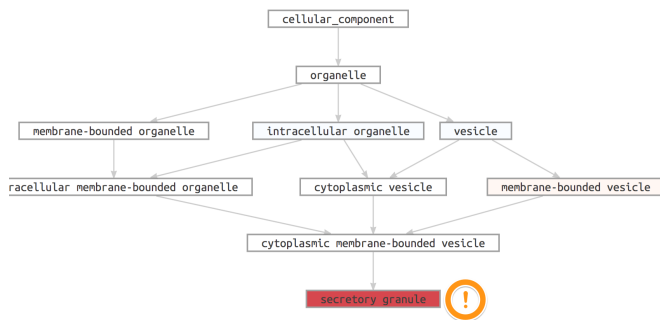


Fig. 1. This figure show how important is the secretory granule measure by the adjusted p-value (FDR). The more red, the better FDR.

VEGFA, SCGB1A1, CPA3, COL1A1, TFF3 and LTF. This result with an adjusted p-value of  $4.3e-7$  (Fig. 1), very convincing.

The synaptotagmin family is associated with vesicles liberation that can contain factors involved in inflammatory processes [21], like asthma. Melicoff *et al.* [22], introduce another member of his family related to inflammatory processes of mast cells, whose were among the first cells associated the pathogenesis of asthma and allergies through type I IgE-mediated hypersensitivity reactions.

As a conclusion, we propose Synaptotagmin XIII (SYT13) as a gen that could be related to asthma. Or, at least, it is relevant expressed in bronchial epithelial cells.

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