Task 1

The main objective of the Task 1 is to apply **neural operators** the a task related to the preliminary design of a *thermal energy storage*.

The device is used in solar power plants to store thermal energy during the *charging phase* and release it for production of electricity during the *discharging phase*. The thermal energy is stored due to the interaction of a fluid and a solid phase. During the charging state the fluid is injected at high temperature from one end of the storage and heats the solid up. In contrast, during the discharging phase the reverse process occurs: cold fluid flows from the opposite end and absorbs heat from the solid. Between charging and discharging *idle phases* take place, where no fluid enters the thermal storage.

Therefore, at any instant of time the thermal storage can be in one of the following states:

- 1. Charging;
- Idle between charging and discharging;
- 3. Discharging;
- 4. Idle between discharging and charging;

Together the four states establish a *cycle* and the same process is repeated for several cycles until the thermal storage reaches a periodic or stationary regime.

Mathematical Model

The thermal storage is modeled by a cylinder with length L and diameter D and it is assumed that temperature variation occurs only along the axis of the cylinder (see Figure 1 for a schematic representation of the thermal storage).

The temperature evolution of the solid and fluid phases, T_s and T_f , is described by a system of two linear reaction-convection-diffusion equations:

$$\varepsilon \rho_f C_f \frac{\partial T_f}{\partial t} + \varepsilon \rho_f C_f u_f(t) \frac{\partial T_f}{\partial x} = \lambda_f \frac{\partial^2 T_f}{\partial x^2} - h_v(T_f - T_s) \quad x \in [0, L], \ t \in [0, T],$$

$$(1 - \varepsilon) \rho_s C_s \frac{\partial T_s}{\partial t} = \lambda_s \frac{\partial^2 T_s}{\partial x^2} + h_v(T_f - T_s) \quad x \in [0, L], \ t \in [0, T],$$

$$(1)$$

with ρ being the density of the phases, C the specific heat, λ the diffusivity, ε the solid porosity, u_f the fluid velocity entering the thermal storage and h_v the heat exchange coefficient between solid and fluid.

The fluid velocity is assumed to be uniform along the cylinder and varying only in time: $u_f = u$ during charging, u = 0 during idle and $u_f = -u$ during discharging, with u being a positive constant.

The system of equations has to be augmented with suitable initial and boundary conditions:

$$T_f(x,t=0) = T_s(x,t=0) = T_0, \ x \in [0,L]$$
 (2)

$$\frac{\partial T_s(x,t)}{\partial x}\Big|_{x=0} = \frac{\partial T_s(x,t)}{\partial x}\Big|_{x=L} = 0, \ t \in [0,T]$$
(3)

The boundary conditions for the fluid instead will be different according to the current state of the thermal storage:

• Charging State:

$$T_f(0,t) = T_{hot}, \qquad \frac{\partial T_f(x,t)}{\partial x}\Big|_{x=L} = 0, \qquad t \in [0,T]$$
 (4)

• Discharging State:

$$\frac{\partial T_f(x,t)}{\partial x}\Big|_{x=0} = 0, \qquad T_f(L,t) = T_{cold}, \qquad t \in [0,T]$$
 (5)

• Idle Phase:

$$\frac{\partial T_f(x,t)}{\partial x}\Big|_{x=0} = 0, \qquad \frac{\partial T_f(x,t)}{\partial x}\Big|_{x=L} = 0, \qquad t \in [0,T]$$
(6)

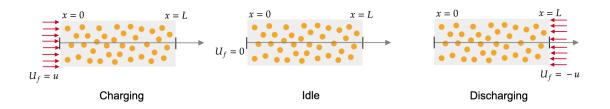


Figure 1: Schematic representation of the thermal storage

Task 1: Time Series Forecasting with Neural Operators

Let us assume that **noiseless** measurements of the fluid and solid temperature $T_{f,i}^0, T_{s,i}^0, i = 0, ..., 210$, are taken at the top end of the storage x = 0 during the entire process.

In this task we are interested in **future** (or **out of samples**) predictions of the fluid and solid temperature. In other words, the training data consists of time measurements t_i registered in a frame [0, T], T = 520000s and we would like to forecast the fluid and solid temperature in the frame $[T, T_{end}]$, $T_{end} = 602168s$.

Train a learning model **based on Neural Operators** with the data given in **Task3/TrainingData.txt** and make predictions on the test set **Task3/TestingData.txt**. The first column of the training data contains the time frames t_i , i = 0, ..., 210, the second and the third column the fluid and solid temperatures $T_{f,i}^0$, $T_{s,i}^0$, i = 0, ..., 210, respectively. See Figure 2 for a schematic representation of the training data.

Afterwards, save your predictions in the file your first name_your second name_your leginumber/Task1.txt. The format of the file has to be the same as the file Task1/SubExample.txt.

Hint: observe that $t_{i+1} - t_i = \Delta t = const$, for all, i = 0, ..., 209.

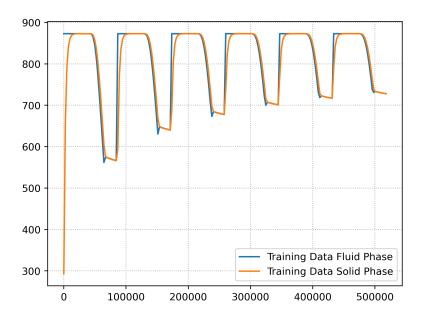


Figure 2: Task 1 training data

Task 2: Modeling Water Flow on the Sphere with FNO

The Spherical Fourier Neural Operator (SFNO) presents a promising approach for solving geophysical fluid dynamics problems, particularly the Shallow Water Equations (SWE), which are fundamental for modeling atmospheric and oceanic dynamics. SFNO leverages the spherical geometry of Earth's surface, allowing for more accurate and efficient predictions compared to traditional methods.

Specifications

- 1. **SFNO Implementation**: You will develop an implementation of SFNO tailored specifically for solving the Shallow Water Equations.
- 2. Dataset Creation and Loading: To facilitate experimentation, you can use pre-existing code for creating and loading the dataset. The load_spherical_swe function from https://neuraloperator.github.io/neuraloperator/dev/auto_examples/plot_SFNO_swe.html can be used for this purpose.
- 3. **Restricted Package Usage**: While students can utilize the dataset utilities, they are prohibited from using the SFNO implementation available in the provided package.
- 4. Spherical Convolutional Layer: SFNO requires a specialized convolutional layer that operates on the spherical harmonics decomposition of the data. You will implement this layer using the RealSHT and InverseRealSHT modules from https://github.com/NVIDIA/torch-harmonics.
- 5. **Resolution Experimentation**: Experimentation will involve training SFNO on datasets at different resolutions, starting with (32,64). The results obtained will be compared against those of a baseline neural operator (e.g. FNO) trained on the same dataset but without considering the spherical geometry.
- Baseline Implementation: For the baseline neural operator, you are encouraged to explore
 existing code available online. However, you must perform the training yourselves using the
 provided dataset.

Deliverables

- 1. **SFNO Implementation**: A fully functional implementation of SFNO tailored for solving the Shallow Water Equations.
- 2. **Experimental Results**: Detailed experimentation results comparing the performance of SFNO with the baseline neural operator at different resolutions.
- 3. **Documentation**: Latex typeset document, detailing the implementation process, experimental setup, and results analysis 3 page max.

Resources

- https://neuraloperator.github.io/neuraloperator/dev/auto_examples/plot_SFNO_swe.
- https://github.com/NVIDIA/torch-harmonics
- $\bullet \ \text{https://developer.nvidia.com/blog/modeling-earths-atmosphere-with-spherical-fourier-neural-operation} \\$

Task 3 - Universal Approximation for CNO (Optional):

Attention: We do not expect fully-fleshed out maths here, even though that's welcome if you feel like you can do it – we're only looking for you to be as quantitative as possible in your line of thought.

Attention: You can write up to 2 pages for this task.

Problem Setup:

Consider the following abstract PDE in the 2d torus $D = \mathbb{T}^2$,

$$\mathcal{L}(u) = 0, \quad \mathcal{B}(u) = 0, \tag{7}$$

with \mathcal{L} being a differential operator and \mathcal{B} a boundary operator. We assume that the differential operator \mathcal{L} only depends on the coordinate x through a coefficient function $a \in H^r(D)$. The corresponding solution operator is denoted by $\mathcal{G}^{\dagger}: \mathcal{X}^* \subset H^r(D) \to H^r(D): a \mapsto u$, with u being the solution of the PDE (7). Note that $H^r(D)$ is Sobolev space of order r.

The goal of this task is to outline the proof of **universality theorem** for CNO. The theorem states that for any $\epsilon > 0$ and any **sufficiently regular** operator \mathcal{G}^{\dagger} , as defined above, there exists a CNO \mathcal{G} such that for every $a \in \mathcal{X}^*$ with $||a||_{H^r(D)} \leq B$ it holds,

$$\|\mathcal{G}^{\dagger}(a) - \mathcal{G}(a)\|_{L^{p}(D)} < \epsilon. \tag{8}$$

Questions

- Explain briefly how the CNO \mathcal{G} is defined. (Hint: CNO is a compositional mapping between function spaces)
- Explain briefly what the universality theorem means in practice.
- Note that we stated that universality theorem holds for sufficiently regular solution operators \mathcal{G}^{\dagger} . What additional regularization constraints would you impose on \mathcal{G}^{\dagger} , so that the universality theorem holds?
- Make a rough sketch of the universality theorem proof. Please explain the steps. The sketch does not need to be rigorous.

Resources

- https://arxiv.org/pdf/2302.01178.pdf
- https://arxiv.org/abs/2107.07562
- https://arxiv.org/abs/2108.08481