Observing the Sun from start to finish: TuMag's design, calibration and data reduction.

PhD dissertation by

Pablo Santamarina Guerrero

Instituto de Astrofísica de Andalucia (IAA-CSIC)

Programa de Doctorado en Física y Matemáticas (FisyMat) Universidad de Granada

> A thesis submitted in fulfillment of the requirements of the degree of Doctor of Philosophy

> > August 27, 2024

PhD thesis supervised by

Dr. David Orozco Suárez Dr. Julián Blanco Rodríguez







Contents

1	Introduction				
	1.1	Backg	round	. 1	
	1.2	.2 Motivation of our work			
	1.3				
	1.4	A brief introduction to spectropolarimeters			
		1.4.1	Spectroscopy	. 4	
		1.4.2	Polarimetry	. 6	
		1.4.3	Imaging	. 7	
		1.4.4	What do spectrpolarimeters tell us about the Sun?		
2	TuMag's design and calibration.				
	2.1	The Tunable magnetograph: TuMag			
		2.1.1	TuMag's design	. 12	
		2.1.2	Imaging performance.	. 13	

iv Contents

CHAPTER 1

Introduction

1.1 Background

In June 2009, the first Sunrise observatory (Barthol et al., 2011) was launched from Kiruna, Sweden, aboard a stratospheric ballon. Equipped with a 1-m aperture telescope, a multi-wavelength UV filter imager, and IMaX, a Fabry-Pérot-based magnetograph, Sunrise was the most complex payload carried by a solar stratospheric balloon to date. Aimed at studying the magnetic fields of the Sun and the dynamics of solar plasma convective flows, the mission was an outstanding success. It resulted in the publication of over a hundred peer-reviewed scientific articles in numerous high-impact journals, including Astronomy and Astrophysics (A&A), The Astrophysical Journal (APJ), and Solar Physics, among others.

Following the success of its inaugural flight, Sunrise embarked on a second journey (Solanki et al., 2017) on June 13, 2013. The primary objective of this subsequent flight was to investigate the active regions of the Sun, as it remained completely *quiet* throughout the entirety of the initial flight. Despite minimal alterations to the instrumentation aboard the observatory, the variance in solar activity during this second flight yielded fresh perspectives and valuable data, ultimately securing the mission success, despite encountering some technical challenges.

Given the success of the first two flights, a third iteration of the Sunrise mission was planned, featuring an updated design. For this third edition, the telescope was equipped with three post-focal instruments: SUSI, a UV spectrograph; SCIP, an infrared spectrograph; and TuMag, the evolution of the IMaX magnetograph. Sunrise III was initially scheduled to fly during the summer of 2020 but was postponed to 2022.

The third launch of Sunrise plays a crucial role in this dissertation. This thesis, initiated in 2020, was centered on the development of the data reduction pipeline for the TuMag instrument, which was entirely developed by the Spanish solar physics consortium. According to the original plan, the first half of the thesis was dedicated to the calibration of the instrument and the preparation of the data pipeline. This way, once the mission was launched, the second half of the thesis could focus on the correction and scientific analysis of the data produced during this third flight. However, this plan (and thus the scope of the thesis) encountered a setback on July 10, 2022, when the third flight of the Sunrise observatory had to be aborted just a few hours after the launch due to a mechanical failure during

the ascent phase.

The observatory was recovered days later after a brief stay in the Scandinavian Alps. Both the telescope and the instruments were found to be in good condition, allowing for the recovery of the observatory and providing hope for a second attempt. However, the process of retrieving the instruments, disassembling, calibrating, and verifying their condition before relaunching the mission is lengthy, and it was not until this year, 2024, that a second attempt became feasible.

In the absence of data produced by Sunrise to process, analyze, and exploit, the scientific work conducted within the framework of this thesis has been compelled to slightly shift its focus. Over these years, we have focused on delving deeper into image correction techniques for data obtained from Fabry-Pérot interferometers, such as TuMag and IMaX. As well as conducting several studies using data products from other instruments, such as the Polarimetric and Helioseismic Imager aboard Solar Orbiter (SO/PHI) and HMI.

It wasn't until the 10th of July of 2024 that Sunrise III got its second chance to fly, and this time, the opportunity was not wasted. After a very successful flight that lasted 6 days, the observatory landed in the northern region of Canadá on the XX of July. Figure XX shows the trajectory our favourite solar observcatory followed over this days. The recovery process started immediately after landing, and we were able to lay hands on the data for the first time on September 2024.

In the following chapters, we will present the work undertaken during the calibration and commissioning of TuMag, conducted in 2021, 2022, and 2024. Additionally, the research carried out between the first and second flights of Sunrise III, which has resulted in the publication of two articles as the main author — one published in APJ and the other in A&A — will also be detailed in this manuscript, as well as other studies that have not yet been published in any scientific journal.

1.2 Motivation of our work

In experimental sciences, there is a very strong relation between technological and scientific advances due to the simple fact that we cannot draw conclussions from what we cannot see. We believe it is important for experimental scientists, and more specifically, for observational astronomers, to know the limitations and capabilities and understand the functioning of the instruments we use.

This philosophy is one of the pillars of this thesis, which covers topics ranging from the design and calibration of scientific instruments to the exploitation of the data they produce. With this thesis, we aim to provide a broad, yet detailed, view of the various stages of a scientific mission, from its conception and objectives through its design and calibration, data reduction and preparation for scientific exploitation, and finally, the studies and conclusions derived from it.

In particular, we will detail this process within the framework of solar physics through the development of TuMag, the magnetograph aboard Sunrise III. We will present the scientific objectives of the mission and attempt to link the design concepts with the scientific questions we aim to answerr. We will address the challenges encountered in data correction due to the technical or instrumental limitations, a subject of ongoing debate within the community and of current relevance. And finally, we also aim to offer a brief dip into the scientific explotation that can be carried out with the final data product.

With this thesis we aim to clarify the following points:

- * Scientific objectives of TuMag.
- * Instrumental ways of achieving the scientific purposes
- ☀ Open problems for data reduction. Flat-fields, etalon effects in the data.
- ★ Offering an example of data exploitation with aa study case. Persistent Homology.

1.3 Introduction

Astronomy is one of the broadest fields of knowledge. It studies everything from the smallest (astronomically speaking) objects, such as the small asteroids that inhabit our solar system, to the global structure and evolution of the universe, including the study of planetary systems, stars, black holes and the galaxies in which they are found. However, despite the diversity of disciplines—ranging from stellar astronomy, radio astronomy, and cosmology, to extragalactic astronomy, astrobiology, and solar physics—they all share a common tool for studying the cosmos: light. Since the very beginning of astronomy, the astronomer's work has been to learn how to modify and measure the properties of the photons that reach us in order to infer the characteristics of the observed object. Although recent advancements have provided astronomers with new lenses to see the cosmos, like gravitational waves (REFERENCIA) or neutrinos (REFERENCIA), among others, light remains as our main resource. Our understanding of the cosmos has always gone hand-in-hand with our ability to design and develop new ways (or more efficient ways) to disect the light, spanning from the first solar clocks or Newton's first telescope to the modern-day spaceborne telescopes like the Hubble, James Webb or Solar Orbiter.

Solar physics is no different from other astronomical disciplines in this regard. Our main tool to *see* the Sun is through light. Contrary to what one may think, solar physicists are as photon-starved as any other astronomer. Even though our star is closer and (apparently) brighter than any other astronomical object, our requirements regarding resolution and sensitivity are so high we are as dependent on extremely optimized instrumentation as any other discipline. Thus, the development of instrumentation employing state-of-the-art technology and techniques plays an important role in modern solar physics.

1.4 A brief introduction to spectropolarimeters.

Spectropolarimeters, as suggested by the name, are devices that measure the spectral and polarimetric properties of light, or in other words, that measure the polarization state of light as a function of wavelength. Their use is widely extended in astrophysics due to the huge amout of information about the light source we can infer from these properties.

In solar physics, it is common to encounter two distinct types of spectropolarimeters, distinguished by their approach to spectroscopy: slit-based spectrographs, such as SUSI and SCIP, and narrow-band tunable filtergraphs, like TuMag. The latter preserve spatial resolution by capturing two-dimensional images of the solar scene at the expense of sacrificing spectral resolution. Conversely, slit-based spectrographs provide excellent spectral resolution but have a limited spatial resolution.

Regardless of how spectroscopy is carried out, spectropolarimeters must be able to measure the polarization state of light. That is, they must be capable of determining the Stokes parameters of the incident light. These four parameters, usually grouped in a pseudovector: [I, Q, U, V], were defined by Stokes in Stokes (1851) as a mathematical formalism to completely define to polarization state of light. The first parameter, I, represents the total intensity; Q and U provide information about the intensity of linearly-polarized light, at 0° and 90° , respectively; and lastly, V, accounts for the intensity of circularly polarized light.

Excellent polarimetric sensitivity and spectral resolution are wasted if the optical capabilities of the instrument are not up to par. The design of these instruments must achieve diffraction-limited imaging, with a signal-to-noise ratio ensuring a polarimetric sensitivity of 1000 (typically), and the best spatial resolution the telescope allows, all without sacrificing spectral resolution and accomplishing this in the shortest possible time.

When designing the instrument, one must balance these three properties: spectral, optical, and polarimetric capabilities, trying to improve the performance in all of them without sacrificing too much. In the following sections, we will delve into each of these aspects in more detail.

1.4.1 Spectroscopy

Narrow-band tunable spectrographs play a significant role in this thesis. They will be extensively discussed in this chapter, particularly in relation to the design and calibration of TuMag, and again in Chapters ?? and ?? when addressing TuMag's pipeline and the correction of data produced by these instruments. Therefore, for the sake of simplicity, we will focus exclusively on this type of spectrographs from this point onward.

CAMBIAR ESTO.

Fabry-Pérot Interferometers (FPIs), also known as etalons (used interchangeably), represent one of the most prevalent forms of narrow-band tunable spectrographs. Composed by a resonant optical cavity formed by two distinct optical media, these devices allow only the passage of light with wavelengths corresponding to constructive interference within the cavity.

The transmission profile of an etalon, being produced by an interference phenomenon,

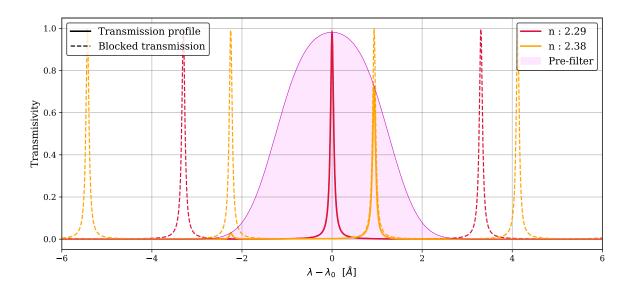


Figure 1.1 Transmission profiles of the same etalon with varying refractive indices (n). The dashed lines represent the original transmission profile, while the solid lines indicate the portion of the transmission profile that passes through the order-sorting pre-filter (shaded purple area).

is characterized by a series of narrow and periodic transmission peaks. The wavelengths at which this resonance peaks are located, their width, and their separation are determined solely by the physical properties of the etalon. In fact, it is not difficult to demonstrate (Bailén et al., 2019) that a resonant cavity produces a periodic transmission profile, with maxima occurring at a wavelength λ such that:

REVISAR -> VÁLIDO PARA TELECENTRIC??

$$\lambda = \frac{2nd\cos\theta}{m} \,, \tag{1.1}$$

where n is the refractive index of the medium inside the cavity, d is the distance between the mirrors, θ is the angle of incidence of the incoming light ray and m is the interferential order ($m \in \mathbb{Z}$).

With Eq. (1.1) in mind, it is clear that an etalon allows for tuning the wavelengths of the transmission peaks by either changing the distance between the mirrors or by altering the refractive index. Although changing the angle of incidence also results in a wavelength shift, it introduces other issues, such as ghost images or profile broadening in telecentric configurations, among other effects. Consequently, the angle is not used for wavelength tuning.

To tune to a single wavelength (or a very narrow band around it), it is necessary to isolate one transmission peak (main order). This is typically achieved by using a pre-filter that only allows light with wavelengths near the desired measurement region to pass through. This ensures that no light reaches the etalon that could pass through it due to interference orders other than the main one (secondary orders).

Figure 1.1 shows a simulation of the spectral behavior of this optical setup. The order-sorting pre-filter is shown with a shaded purple area and the unaltered transmission profile of the etalon is shown in dahsed lines for different values of the refractive index. In solid lines, the resulting transmission profile is shown, that is, the transmission allowed through both the pre-filter and etalon at the same time.

1.4.2 Polarimetry

As previously noted, determining the polarization state of light requires the determination of the components of the Stokes vector. However, these parameters cannot be measured directly since we only know how to measure intensities. Since they are Thus, measuring the polarization of light always involves multiple measurements at once. Specifically, a number equal to the number of elements to be determineed: four for the complete Stokes vector, or two, if only the circular polarization and total intensity are to be measured. This is the root of the difficulties in measuring polarization, as the need for multiple measurements makes them much more susceptible to spurious effects compared to individual measurements. Cambiar que es un jaleo.

Mathematically, the effect on polarization of a linear and finite system can be treated as a combination of linear transformations on the Stokes vector and, therefore, can be represented by a matrix in \mathbb{R}^4 , known as the *Mueller Matrix*. Let **M** be the matrix that describes these transformations, then the polarization state that reaches the detector follows:

$$\mathbf{I}_{out} = \mathbf{M}\mathbf{I}_{in},\tag{1.2}$$

where \mathbf{I}_{in} and \mathbf{I}_{out} are the Stokes vectors of the light that reaches the instrument, and the detector, respectively. However, since we only know how to measure intensities, the actual quantity measured by our CCD is:

$$I_{obs} = m_{00}I_{in} + m_{01}Q_{in} + m_{02}U_{in} + m_{03}V_{in} , \qquad (1.3)$$

where m_{0i} is the i-th element of the first row of the Mueller Matrix. This means that the intensity we measure is a linear combination of the different polarization states of the incoming light. To determine the values of the individual parameters I_{in} , Q_{in} , U_{in} , and V_{in} , further independent measurements are necessary, which can be achieved by modifying the Mueller matrix. In particular, it is easy to see that four independent measurements are required in order to construct a system of equations that allows us to determine the full Stokes vector. This process is known as modulation, and the four independent measurements are referred to as modulations.

If we denote each of the modulations by I_j with $j \in \{1, 2, 3, 4\}$, we can construct the following system of equations:

$$\begin{pmatrix}
I_{1} \\
I_{2} \\
I_{3} \\
I_{4}
\end{pmatrix} = \begin{pmatrix}
m_{01}^{1} & m_{02}^{1} & m_{03}^{1} & m_{04}^{1} \\
m_{01}^{2} & m_{02}^{2} & m_{03}^{2} & m_{04}^{2} \\
m_{01}^{3} & m_{02}^{3} & m_{03}^{3} & m_{04}^{3} \\
m_{01}^{4} & m_{02}^{4} & m_{03}^{4} & m_{04}^{4}
\end{pmatrix} \begin{pmatrix}
I_{in} \\
U_{in} \\
Q_{in} \\
V_{in}
\end{pmatrix}$$
(1.4)

where the superindex in m_{oi}^J denotes the values of the Mueller Matrix for each modulation. Through straightforward algebra, it is easy to see that the stokes vector of the incoming light can be determined by $\mathbf{I}_{in} = \mathbf{D}\mathbf{I}_{obs}$, where \mathbf{D} is the demodulation matrix, the inverse of the modulation matrix, \mathbf{O} , and \mathbf{I}_{obs} is the vector containing the 4 measured modulations. Accurately determining \mathbf{O} during the instrument calibration process is crucial, as the determination of the Stokes components depends entirely upon it.

1.4.3 Imaging

The high-resolution imaging that etalon-based instruments are capable of is one of the pivotal reasons for their extended use. The ability to capture a two-dimensional scene of the solar surface makes them ideal for studying solar plasma structures, which require resolutions close to 100 km on the solar surface. However, it is essential to achieve these resolutions while maintaining a sufficiently high signal-to-noise ratio to ensure the required polarimetric sensitivity.

Spectropolarimeters ultimately combine measurements in polarization, spectral, and spatial (image) domains. Consequently, the final observed intensity depends on all three properties simultaneously. By integrating the spectral behavior of the etalon and pre-filter with the polarimetric measurements, and taking into account the spatial dependence of these measurements, the observed intensity for a modulation j at any point of the focal plane η , ξ when the etalon is tuned at a wavelength λ_s is determined by:

$$I_{j}\left(\xi,\eta;\lambda_{s}\right) = g(\xi,\eta) \int_{0}^{\infty} T(\lambda) \iint O_{j}\left(\xi_{0},\eta_{0};\lambda\right) \mathcal{S}\left(\xi_{0},\eta_{0};\xi,\eta;\lambda-\lambda_{s}\right) d\xi_{0} d\eta_{0} d\lambda, \tag{1.5}$$

where $T(\lambda)$ accounts for the presence of the order-sorting pre-filter, $S\left(\xi_0, \eta_0; \xi, \eta; \lambda - \lambda_s\right)$ accounts for the imaging response of the instrument when tuned at the wavelength λ_s , $g(\xi, \eta)$ represents a spatial gain factor that accounts for any wavelength independent pixel-to-pixel intensity fluctuations occurring in the focal plane, and $O_j(\xi_0, \eta_0; \lambda)$ is the intensity distribution of the incoming light for a modulation j and is given by:

$$O_{j}(\xi_{0}, \eta_{0}; \lambda) = m_{00}^{j} I_{in}(\xi_{0}, \eta_{0}; \lambda) + m_{01}^{j} Q_{in}(\xi_{0}, \eta_{0}; \lambda) + m_{02}^{j} U_{in}(\xi_{0}, \eta_{0}; \lambda) + m_{03}^{j} V_{in}(\xi_{0}, \eta_{0}; \lambda)$$
(1.6)

Determining the imaging response of the instrument can be quite complex, as it is influenced not only by their physical characteristics but also by their optical configuration,

whether collimated or telecentric. In Chapter 2, we provide a detailed overview of the properties of each configuration, their differences, and the challenges involved in using these devices for data correction.

Strehl ratio and Wfront error. Wilson, R. N. (2004). Reflecting Telescope Optics I: Basic Design Theory and its Historical Development. Springer. Schroeder, D. J. (2000). Astronomical Optics. Academic Press. Beckers, J. M. (1993). "Adaptive Optics for Astronomy: Principles, Performance, and Applications". Annual Review of Astronomy and Astrophysics, 31, 13-62.

SPEAK ABOUT PD.

ADD noise Discussion?

1.4.4 What do spectroolarimeters tell us about the Sun?

Spectropolarimeters are often referred to as magnetographs (*e.g.*, TuMag), suggesting they measure magnetic fields directly. However, this is not entirely accurate. In astrophysics, the physical properties of the light source are inferred by correlating them with the observed properties of the light, rather than measuring them directly. By evaluating the polarization of sunlight at different wavelengths, spectropolarimeters enable us to infer the magnetic field and estimate plasma velocities on the solar surface.

The simplest calculation we can carry out that provides us with physical quantities of the Sun is that of the line-of-sight (LOS) velocities. Given the spectral shift of a specific absorption or emission spectral line, $\Delta\lambda$, with respect to its rest position, λ_0 , the LOS velocities can be computed with the Doppler formula:

$$v_{LOS} = \frac{\Delta \lambda}{\lambda_0} c \quad , \tag{1.7}$$

where *c* stands for the speed of light in vacuum.

The polarization properties of light come into play when determining the magnetic fields. Due to Zeeman and Hanle effects, the polarity and spetcroscopy of spectral lines can be altered when formed in the presence of magnetic fields. Due to the Zeeman effect, the spectral lines widen or split into different polarized components when a strong magnetic field is present (del Toro Iniesta, 2003), such as in the surroundings of sunspots and active regions. In the other hand, the Hanle effect is sensitive to weaker fields, and can be used to study the magnetic structure of solar prominences or turbulent fields in the solar photosphere (Bianda et al., 1998).

One simple strategy to employ polarization and spectral data to derive the magnetic fields is through the center-of-gravity method. According to Uitenbroek (2003), the LOS strength of the magnetic field can be obtained through:

$$B_{LOS} = \frac{\lambda_+ - \lambda_-}{2} \frac{4\pi mc}{e g_L \lambda_0^2} , \qquad (1.8)$$

where m and e are the electron mass and charge respectively, g_L stands for the Landé factor and λ_+ and λ_- are the centroids of the right and left circularly polarized line components,

respectively, and are computed by:

$$\lambda_{\pm} = \frac{\int \lambda \left[I_{cont} - (I \pm V) \right] d\lambda}{\int \left[I_{cont} - (I \pm V) \right] d\lambda} , \qquad (1.9)$$

where the subindex "cont" stands for the wavelength at the continuum.

The vector magnetic field (*i.e.*, strength, azimuth and inclination), and not only the LOS strength can also be derived. However, the derivation of these quantities has to be achieved through inversions of the radiative transfer equation (RTE). The applicability of the different methods to carry out this inversion is an extensive topic as there are some assumptions that can be applied in some cases but not in others, such as the weak-field or Milne-Eddington approximations, among others. For an extended discussion of this topic, we refer the interested reader to del Toro Iniesta & Ruiz Cobo (2016).

CHAPTER 2

TuMag's design and calibration.

In this chapter we take the first steps of the journey of developing an instrument to observe the Sun. We will define...

Fabry-Pérot interferometers (FPIs) are widely employed in the field of solar physics. Their spectroscopic and tunability properties make them especially suitable for selecting a narrow spectral band of incoming light. They also offer a two-dimensional view of the solar scene, hence allowing for the implementation of powerful and widespread image post-processing reconstruction techniques, such as phase diversity (Gonsalves, 1982) and multi-object multi-frame blind deconvolution (MOMFBD; Van Noort et al. 2005), which are difficult to implement in slit-based spectrographs (Noda et al. 2015, van Noort 2017). Many state-of-the-art instruments use FPIs as narrowband tunable filters. Among others, these instruments include the spaceborne Polarimetric and Helioseismic Imager (Solanki et al., 2020) aboard the Solar Orbiter mission (Müller et al., 2020) (SO/PHI); the Imaging Magnetograph Experiment (IMaX) instrument (Martínez Pillet et al., 2011), which flew on the first two flights of the balloon-born SUNRISE observatory (Barthol et al. 2011, Solanki et al. 2017); and the Tunable Magnetograph (TuMag) instrument for its third edition. These instruments are based on solid LiNbO₃ etalons. Regarding ground-based instruments, some examples include the Crisp Imaging Spectro-Polarimeter (CRISP) at the Swedish 1-m Solar telescope (Scharmer et al., 2008) at the Observatorio del Roque de los Muchachos in La Palma, Canary Islands; the GREGOR Fabry-Perot Interferometer (GFPI; Puschmann et al. 2013, Schmidt et al. 2012) at the Observatorio del Teide in Tenerife, Canary Islands; the Visible Tunable Filter (VTF; Schmidt et al., 2016) developed for the Daniel K. Inouye Solar Telescope (DKIST; Rimmele et al., 2020) of the Haleakalā Observatory in Hawaii; and the future Tunable Imaging Spectropolarimeter (TIS) of the European Solar Telescope (Noda et al., 2022), all of which are based on air-gapped etalons.

The SUNRISE III mission aims to study and stablish the relations and couplings between the phenomena ocurring at different layers of the Sun's surface. With this purpose in mind, three different post-focal instruments were included in the design, each of them responsible of observing at different regions of the spectrum. The SUNRISE UV Spectropolarimeter and Imager (SUSI, **REFERENCIA**), which will observe the spectra between 309 nm and 417 nm; The Sunrise Chromospheric Infrared spectroPolarimeter (SCIP, **REFERENCIA**), which will observe the near-infrared; and lastly, the Tunable Magnetograph (TuMag), which will ob-

Requirements	Value
Field of view	63" x 63"
RMS wavefront error	$W \sim \lambda/14$
Spatial sampling	3×3 pixels
Plate scale	0.0378" / pixel
Polarimetric efficiencies	$\epsilon_{1,2,3} \lessapprox \frac{1}{\sqrt{3}}$
SNR ratio	$\left(\frac{S}{N}\right)_0 \gtrapprox 1700$
Spectral resolution	< 9 pm
Spectral lines	Fe I 5250.2 Å, Fe I 5250.6 Å and Mg I b_2 5172.7 Å.
Time for a two-line observation	< 90 s

Table 2.1 Tumag scientific requirements.

serve three spectral lines in the visible, at 525.02 nm, 525.06 nm and 517 nm.

The design from scratch of an instrument such as this is very complex. There are many things that have to be meticulously designed and tested which span many fields of expertise, like optics, electronics, software, hardware, or thermal design. To avoid undue extension of this thesis, we will focus on the aspects of the design directly related to the optical properties, that is, regarding the spectral, imaging and polarimetric capabilities of the instrument.

2.1 The Tunable magnetograph: TuMag

The Tunable Magnetograph (TuMag) is a tunable imaging spectropolarimeter designed to deliver high spatial resolution images across multiple spectral lines in four distinct polarization states. Consequently, TuMag is capable of measuring the four Stokes parameters, thus enabling the inference of the three components of the magnetic field and the LOS velocities at all the selected spectral lines. Moreover, this data must be acquired following a series of strict requiremets regarding optical quality, polarimetric efficiencies, required SNR, spectral performance and time limitations. A summary of these requirements is provided in Table 2.1.

2.1.1 TuMag's design.

Light is delivered to TuMag by the ISLiD system and subsequently re-imaged onto two cameras where the images are recorded. Before reaching the cameras, the light passes through all the different subsystems of the optical unit. The first components encountered by the light are a blocking prefilter and a the filter wheels. The blocking prefilter, with a wide bandpass centered at 520 nm, is employed to eliminate unwanted spectral ranges. The filter wheels are comprised by a double-disk system (Sánchez et al., 2022) that houses the prefilters for selecting specific spectral lines and a series of calibration modules. Specifically, three prefilters are mounted on the second disk of the filter wheel, corresponding to the

spectral lines Fe I 5250.2 Å, Fe I 5250.6 Å, and Mg I b_2 5172.7 Å. A detailed overview of the spectral properties of these prefilters will be provided in Section XX. Additionally, the filter wheel includes a PD plate, which is used to introduce a known defocus into the final image to facilitate image reconstruction techniques, along with a linear polarizer, a plate of micropolarizers, and a pinhole set.

After passing through the filter wheels, the light is directed into the Polarization Modulation Package (PMP), a subsystem derived from the SO/PHI instrument (Álvarez-Herrero et al. 2018, Solanki et al. 2020). The PMP's primary function is to modulate the light to produce the different polarization states required to deduce the Stokes components. This is achieved using two liquid crystal variable retarders (LCVRs), which are oriented with their fast axes at 45° relative to each other. These LCVRs induce a retardance on the transmitted light that varies with the voltage applied across the crystals. The system can operate in two distinct modulation schemes: a vector modulation scheme, which generates four independent linear combinations of equally-weighted Stokes components across consecutive observations, allowing for the retrieval of the full Stokes vector after demodulation; and a longitudinal modulation scheme, which generates only two modulations, providing information solely on the intensity and circular polarization.

After the modulation, the light reaches a $LiNbO_3$ Fabry-Pérot etalon in a double pass configuration, that is, once light has trasversed the etalon, a pair of mirrors redirects the light beam into the etalon a second time. This configuratio

2.1.2 Imaging performance.

TuMag captures photons using two custom-made cameras (Orozco Suárez et al., 2023) equipped with GPIXEL back-illuminated GSENSE400BSI detectors, each featuring a $2k \times 2k$ pixel array. The use of two cameras, rather than a single one, is required by the dual-beam polarimetric scheme, in which each camera records two opposed orthogonal states of polarization. These cameras provide a broad FoV of $63'' \times 63''$, sufficient to encompass an entire medium-sized active region, with a plate scale of 0.0378''/pixel.

In order to fulfill the requirement of the wavefront error of $W \sim \lambda/14$, the instrument must have means to correct for the additional aberrations introduced by the telescope, the image stabilization and light distribution (ISLiD) system and uncorrected jittering. For this purpose, TuMag is equipped with PD plates that allow to aply image reconstruction techniques to the final images.

BIBLIOGRAPHY

- Álvarez-Herrero, A., Parejo, P. G., & Silva-López, M. Fine tuning method for optimization of liquid crystal based polarimeters. *Optics Express* **26**, 12038 (2018).
- Bailén, F. J., Suárez, D. O., & del Toro Iniesta, J. On fabry–pérot etalon-based instruments. i. the isotropic case. *The Astrophysical Journal Supplement Series* **241**, 9 (2019).
- Barthol, P., Gandorfer, A., Solanki, S. K., et al. The sunrise mission. *Solar Physics* **268**, 1 (2011).
- Bianda, M., Solanki, S., & Stenflo, J. Hanle depolarisation in the solar chromosphere. *Astronomy and Astrophysics*, v. 331, p. 760-770 (1998) 331, 760 (1998).
- del Toro Iniesta, J. C. (2003), Introduction to spectropolarimetry (Cambridge university press).
- del Toro Iniesta, J. C., & Ruiz Cobo, B. Inversion of the radiative transfer equation for polarized light. *Living Reviews in Solar Physics* **13**, 4 (2016).
- Gonsalves, R. A. Phase retrieval and diversity in adaptive optics. *Optical Engineering* **21**, 829 (1982).
- Martínez Pillet, V., Del Toro Iniesta, J., Álvarez-Herrero, A., et al. The imaging magnetograph experiment (imax) for the sunrise balloon-borne solar observatory. *Solar Physics* **268**, 57 (2011).
- Müller, D., Cyr, O. S., Zouganelis, I., et al. The solar orbiter mission-science overview. *Astronomy & Astrophysics* **642**, A1 (2020).
- Noda, C. Q., Ramos, A. A., Suárez, D. O., & Cobo, B. R. Spatial deconvolution of spectropolarimetric data: an application to quiet sun magnetic elements. *Astronomy & Astrophysics* **579**, A3 (2015).
- Noda, C. Q., Schlichenmaier, R., Rubio, L. B., et al. The european solar telescope. *Astronomy & Astrophysics* **666**, A21 (2022).
- Orozco Suárez, D., Álvarez García, D., López Jiménez, A. C., et al. Spgcam: A specifically tailored camera for solar observations. *Frontiers in Astronomy and Space Sciences* **10**, 1167540 (2023).

16 Bibliography

Puschmann, K. G., Denker, C. J., Balthasar, H., et al. Gregor fabry-pérot interferometer and its companion the blue imaging solar spectrometer. *Optical Engineering* **52**, 081606 (2013).

- Rimmele, T. R., Warner, M., Keil, S. L., et al. The daniel k. inouye solar telescope—observatory overview. *Solar Physics* **295**, 1 (2020).
- Sánchez, A., Gonzalo, A., Garranzo, D., et al. High precision and thermally controlled filter wheel. *Advances in Optical and Mechanical Technologies for Telescopes and Instrumentation V*, SPIE, 1120–1135 (2022).
- Scharmer, G. B., Narayan, G., Hillberg, T., et al. Crisp spectropolarimetric imaging of penumbral fine structure. *The Astrophysical Journal* **689**, L69 (2008).
- Schmidt, W., Von der Lühe, O., Volkmer, R., et al. (2012), The 1.5 meter solar telescope gregor, Wiley Online Library.
- Schmidt, W., Schubert, M., Ellwarth, M., et al. End-to-end simulations of the visible tunable filter for the daniel k. inouye solar telescope. *Ground-based and airborne instrumentation for astronomy VI*, SPIE, 1473–1483 (2016).
- Solanki, S., Riethmüller, T., Barthol, P., et al. The second flight of the sunrise balloon-borne solar observatory: overview of instrument updates, the flight, the data, and first results. *The Astrophysical Journal Supplement Series* **229**, 2 (2017).
- Solanki, S. K., del Toro Iniesta, J., Woch, J., et al. The polarimetric and helioseismic imager on solar orbiter. *Astronomy & Astrophysics* **642**, A11 (2020).
- Stokes, G. G. On the composition and resolution of streams of polarized light from different sources. *Transactions of the Cambridge Philosophical Society* **9**, 399 (1851).
- Uitenbroek, H. The accuracy of the center-of-gravity method for measuring velocity and magnetic field strength in the solar photosphere. *The Astrophysical Journal* **592**, 1225 (2003).
- van Noort, M. Image restoration of solar spectra. Astronomy & Astrophysics 608, A76 (2017).
- Van Noort, M., Der Voort, L. R. V., & Löfdahl, M. G. Solar image restoration by use of multi-frame blind de-convolution with multiple objects and phase diversity. *Solar Physics* **228**, 191 (2005).



- one inch + \hoffset \oddsidemargin = -1pt
- 5 \headheight = 14pt
- 7 \textheight = 631pt
- \marginparsep = 7pt
- \footskip = 30pt 11 \paperwidth = 597pt
- one inch + \voffset
- 4 \forall topmargin = -7pt
- $\headsep = 19pt$ 6
- 8 \textwidth = 441pt
- 10 \marginparwidth = 88pt

\marginparpush = 7pt (not shown)

 $\voffset = 0pt$

\paperheight = 845pt



- one inch + \hoffset
- 3 \evensidemargin = 13pt
- $\headheight = 14pt$
- 7 \textheight = 631pt
- 9 \marginparsep = 7pt
- \footskip = 30pt 11 \hoffset = Opt \paperwidth = 597pt
- one inch + \voffset \topmargin = -7pt
- \headsep = 19pt 6
- 8 \textwidth = 441pt
- 10 \marginparwidth = 88pt \marginparpush = 7pt (not shown) $\voffset = 0pt$ \paperheight = 845pt