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**Scoping the Next Generation of Tuna Stock Assessment Software:
Progress Report and Outline of Options (Project 123)**

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Contents

1	Executive summary	3
2	Introduction	3
2.1	The need to migrate to new software	3
2.2	Project outline	4
2.3	Existing software	5
2.4	Ongoing and upcoming software development	6
3	Possible tasks for SPC to prioritize	7
3.1	Migrate assessments to existing software	7
3.2	Exploratory analyses using existing software	7
3.3	Software development	8
4	Timeline	8
4.1	International expert meeting 2024	8
4.2	Workshop activities in 2024–2026	11
4.3	Launching the main project	12
5	Required resources	12
5.1	Collaboration with other tRFMOs	12
5.2	SPC staff positions, consultants	12
6	Acknowledgements	13
7	References	13
A	Participants in the international expert meeting 2024	14

1 Executive summary

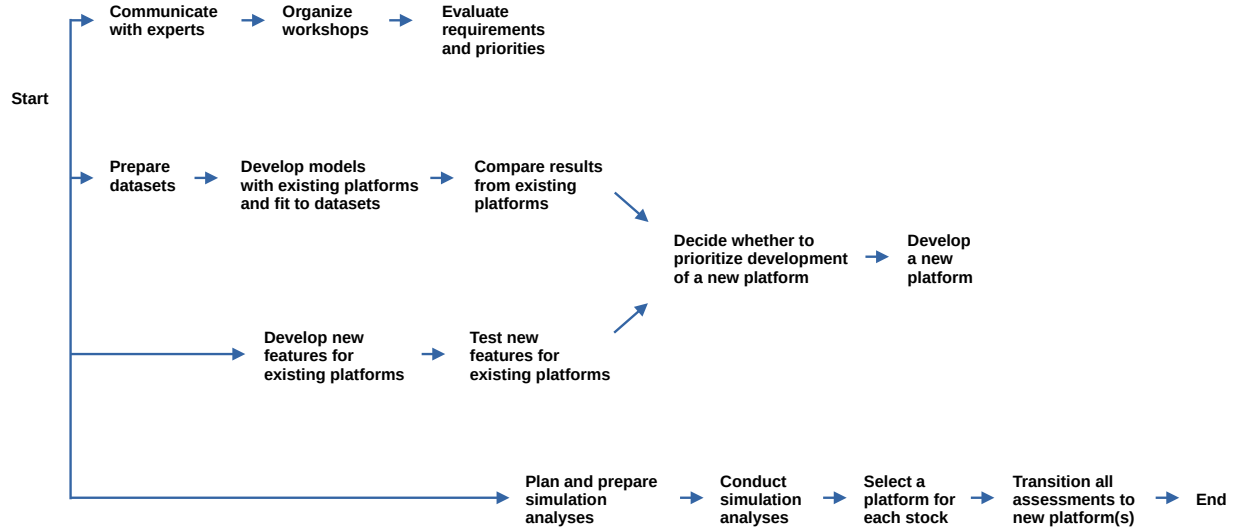


Figure 1: Overview of steps related to the next generation of tuna stock assessment software. Project 123 will focus on the first line of scoping tasks (communicate, organize, evaluate) and prepare the ground for subsequent lines of tasks (the main project), which will require additional funding and resources.

2 Introduction

2.1 The need to migrate to new software

Following the retirement of the lead developer of MULTIFAN-CL (MFCL), Dave Fournier, future advances to the MFCL software are not expected to be as mathematically innovative as they were in the past. While this does not render MFCL obsolete in the medium-term, it flags the need to plan and identify whether alternative existing software exists, or new software must be developed in the longer-term, to continue to support the specificities and future requirements of WCPFC tuna stock assessments.

While MFCL (Fournier et al. 1998) continues to be improved to service the WCPFC tuna assessment needs over at least the next 5+ years, it is important to start on a phased approach to its replacement. An initial scoping phase is required to assess what features and capabilities will be important in future assessment software for tunas. This scoping phase will benefit from input from stock assessment scientists across global tuna RFMOs. Once this scoping phase is conducted, consideration of available software packages in relation to the desired features and capabilities can be conducted. This may identify suitable existing software that has potential to provide the desired features and/or has potential to be developed further. Alternatively, it may indicate whether embarking on development of a new software package is recommended.

There has also been discussion around the need to explore, through modeling/simulation exercises, the benefits of applying alternative assessment structures (i.e., length-age structured versus the traditional length-based age-structured approach of MFCL and Stock Synthesis) before embarking on major software developments or changing methodology. Similar can be said about exploring benefits of state-space models and their use of random variables. Simulation exercises to explore the benefits or drawbacks of alternative model structures or approaches will also require collaboration across tuna RFMOs and practitioners experienced in using the alternative approaches and/or software.

An important outcome of this work would be to ultimately have a software package that has the desired functionality for tuna assessments, not only for WCPFC, but globally, thus creating a user community and ongoing development support capacity, so as to avoid the current situation we are facing with MFCL. Wider collaboration in this venture is essential to achieving this and is expected to be encouraged through this project.

2.2 Project outline

The project is divided into stages, as follows:

Year 2024

1. Review and identify a list of necessary and desired features for software to conduct tuna stock assessments.
2. Identify existing software platforms that have some or all these features or can be extended to add such features.
3. Reach out to and initiate collaboration with developers who are already exploring extensions to current age-structured catch-at-age and state-space models that would allow those models to fit to length data.
4. Conduct two workshops with selected experts from other tuna RFMOs and or with relevant expertise. The first workshop can be remote (prior to SC20) and the second one potentially in person (post SC20). The main goal will be to plan and document collaborative simulation studies to evaluate the performance of candidate software platforms for tuna stock assessments.

Years 2025 and 2026 (subject to SC advice and funding approvals by WCPFC)

5. Conduct the simulation studies outlined in stage 4, in collaboration with experts that are supported by other agencies/RFMOs.
6. Based on the results from simulation studies, determine which, if any, software platforms can be considered viable candidate platforms for WCPFC tuna assessments.
7. If a viable candidate platform has been identified, provide a plan to transition assessments to this software. Evaluate which transitioned assessments could replace old MFCL assessments

and build the transition into the WCPFC assessment schedule.

8. If no viable candidate platform is identified, further extensions to existing platforms may be required, or the development of a new assessment software platform. The design process for a new platform would require additional development workshops and involvement of software developers (additional funds likely required) and could include identification of MFCL features and algorithms that can be borrowed and ported across to a new framework.

2.3 Existing software

The CAPAM 2019 Workshop on Next Generation Assessment Models (Punt et al. 2020) provided an overview and discussion of existing software, as well as recommendations for further software development.

For the purposes of tuna assessments, the most relevant existing software (other than MFCL) includes:

- **Stock Synthesis** is used in tuna assessments by the IATTC, IOTC, and ICCAT. Migrating SPC assessments to Stock Synthesis would lose some of the features provided by MFCL and this would be transitioning to another platform that is expected to be phased out in the not-too-distant future. On the other hand, increasing the use of Stock Synthesis at SPC would facilitate collaboration between the tRFMOs, including development of future models. It would also shorten the training time for new SPC stock assessors and make their skills and experience more transferable to subsequent workplaces. Stock Synthesis assessments would allow closer comparisons of assessments conducted across RFMOs and also SPC and the ISC. Stock Synthesis has a large user community that is relevant for peer reviews and discussing technical decisions. It also comes with an exceptionally complete suite of tools useful for diagnostics, as well as automated plots and tables for assessment reports. A possible avenue of collaborative development is to explore the possibility of enhancing the tag module, see [Section 2.4](#).
- **sbt** is used in the assessment of southern bluefin tuna by CCSBT. This package, implemented in TMB, stands out as the primary stock assessment software that is built around close-kin mark-recapture (CKMR) which is a new and important data type in upcoming SPC tuna assessments. The **sbt** R package is designed for a single-region assessment and would require some additional development to be used for a multiregion assessment. A possible avenue of collaborative development is to explore the possibility of adding multiregion functionality to the software.
- **Gadget3** is the latest version of Gadget stock assessment platform, implemented in TMB. Porting the software to TMB has resulted in a significant performance gain in terms of computational time. Gadget has a wide range of features relevant for tuna assessments and a possible avenue of collaborative development is to test the use of Gadget for a tuna assessment.

- **Casal2** is the latest version of the Casal stock assessment platform, rewritten with an improved design and user interface. Casal has a wide range of features relevant for tuna assessments and a possible avenue of collaborative development is to test the use of Casal for a tuna assessment.
- **Stock Synthesis + CKMR** is an experimental add-on to Stock Synthesis (A.E. Punt, pers. comm), adding CKMR as a data type in Stock Synthesis. This was successful as a proof of concept but it is unlikely to be added to the core software.

2.4 Ongoing and upcoming software development

Some ongoing software development projects are of particular interest in the context of the next WCPFC tuna assessment models:

- **ALSCL + Fleets** is a recent model that has two important features: state-space formulation and age-length structure. It is implemented in TMB, but the software currently fits only to survey data and does not include commercial catches or fleets. A possible avenue of collaborative development is to explore the possibility of adding commercial catches and fleets as software features.
- **Stock Synthesis + Enhanced Tags** is a proposed software development of an enhanced tag module for Stock Synthesis. The current implementation of fitting to tagging data is somewhat limited, e.g., requiring the user to enter the age at release, which is not available in tuna assessments. The idea is to borrow some of the functionality and features from the tag module in MFCL, which has a long record of using tagging data as a primary data type in tuna assessments. A possible avenue of collaborative development is to explore the possibility of contributing an enhanced tag module to be incorporated into the core Stock Synthesis software.
- **WHAM + Length** is a recent software development (Correa et al. 2023) extending the state-space stock assessment software WHAM (Stock and Miller 2021) to fit to length data. A possible avenue of collaborative development is to experiment with fitting the WHAM + Length model to an SPC tuna assessment dataset.
- **SAM + Length** is an early exploration of extending the state-space stock assessment software SAM (Nielsen and Berg 2014) to fit to length data. A possible avenue of collaborative development is to continue this exploration.
- **FIMS** is a NOAA project that coordinates the development of a next-generation framework of stock assessment models based on TMB. So far, model development within FIMS has focused on models fitting to age data. It is not clear at this point whether the FIMS project will lead to stock assessment software that can be used in tuna assessments, but given the considerable resources and collaborative nature of the project, SPC will communicate and interact with the FIMS project to share development progress and ideas.

3 Possible tasks for SPC to prioritize

This section discusses core areas for ongoing work, and proposed activities to be considered in each area. We reiterate that progression from MFCL to an alternative software is not a ‘side project’ that can be subsumed within the current SSP resourcing. For this reason we suggest that a small informal working group could be convened by the SC to discuss and prioritise activities that would require additional resources, and develop TORs for priority activities.

3.1 Migrate assessments to existing software

1. Move the swordfish assessment to Stock Synthesis. The Southwest Pacific swordfish assessment model is relatively simple compared to other SPC assessments and would be a good candidate to be the first to migrate from MFCL. The 2025 swordfish assessment could be developed in a stepwise progression: previous MFCL diagnostic \Rightarrow catch-conditioned MFCL \Rightarrow Stock Synthesis.
2. Move the striped marlin assessment to Stock Synthesis. The striped marlin assessment model is also relatively simple and would be a good candidate to migrate from MFCL. The 2029 striped marlin assessment could be developed in a stepwise progression: previous catch-conditioned MFCL diagnostic \Rightarrow Stock Synthesis.

3.2 Exploratory analyses using existing software

3. Conduct exploratory analyses to fit Casal/Gadget/Stock Synthesis to albacore tuna. The South Pacific albacore assessment model is simpler than the other tuna species and therefore a candidate to be the first tuna stock assessment to consider for migration from MFCL. Also, for the next South Pacific albacore assesment there may be CKMR information available to incorporate in the assessment.
4. Conduct exploratory analyses to fit Casal/Gadget/Stock Synthesis to the original five-region yellowfin tuna dataset. The yellowfin assessment is a good candidate to test the capabilities of these software platforms for tuna assessments involving multiple regions, tags, and a large number of fisheries. The yellowfin tuna assessment is similar to bigeye tuna but runs slightly faster, thanks to the simpler five-region structure that was adopted in the 2023 assessment.
5. Conduct exploratory analyses to fit models using a variety of existing software to a simplified single-region yellowfin tuna dataset. Models of interest include ALSCL, Casal, Gadget, MFCL, sbt, Stock Synthesis, and WHAM+Length.

3.3 Software development

3.3.1 Extend existing software

The benefits and rationale of the following software extensions are described in [Section 2.4](#). The prioritization and duration of these tasks may depend on the initial findings of these and other tasks, available resources, and the availability of external scientists involved.

6. ALSCL+Fleets. Scientists involved could include Fan Zhang (Shanghai Ocean University) and Nick Davies (SPC consultant).
7. Stock Synthesis+Enhanced Tags. Scientists involved could include Nicholas Ducharme-Barth (NOAA), Matthew Vincent (NOAA), and Arni Magnusson (SPC).
8. WHAM+Length. Scientists involved could include Giancarlo Correa (AZTI) and Arni Magnusson (SPC).
9. SAM+Length. Scientists involved could include Anders Nielsen (DTU), Colin Millar (ICES), and Arni Magnusson (SPC).

3.3.2 Design and develop new software for tuna assessments

10. Initial explorations using RTMB, starting with simple model development and gradually adding complexity and tests. Scientists involved could include Nick Davies (SPC consultant) and Arni Magnusson (SPC).

4 Timeline

4.1 International expert meeting 2024

Two online meetings were held on 13 May and 18 June 2024, inviting stock assessment and software development experts from around the world. Around 40 participants represented the tuna RFMOs (CCSBT, IATTC, ICCAT, IOTC, WCPFC), stock assessment software projects (ALSCL, CASAL, FIMS, Gadget, MFCL, SAM, sbt, Stock Synthesis, WHAM), and relevant programming environments (ADMB, RTMB, TMB). See participant list in [Appendix A](#).

4.1.1 Objectives

The meeting objectives were:

1. Communicate SPC scoping project and upcoming explorations, decisions, and development.
2. Discuss succession plans for MULTIFAN-CL as well as Stock Synthesis.
3. Seek advice from the scientific community.
4. Seek collaboration with tuna RFMOs and various research labs.

4.1.2 Format

The two sessions had the same format and agenda, but one was centered on European time zones and the other on North American time zones. The meeting agenda covered the following discussion topics:

- Platforms currently used in tuna stock assessments
- Common challenges for all tuna RFMOs, longevity of Stock Synthesis and MULTIFAN-CL, succession plans
- SPC challenges and project plan
- Features of current and future platforms
- Discussion on platform features most relevant for tuna
- State-space models and latest developments
- What do you think is the best way forward for SPC?
- Summary of discussions, next steps, collaboration

4.1.3 Outcomes

The following recommendations emerged from the discussions at the international expert meeting:

1. **Tuna assessment software.** There was a consensus among the experts that the goal should be to design and develop a model specific for tuna assessments, rather than a general model for global usage and all species. The advice was to start with a lean design and get a simple model up and running before adding all the features required for an assessment. In general, the cost of adding features is much greater than the implementation cost, as each layer complexity makes long-term maintenance and future modifications of the software more difficult and costly.
2. **RTMB as a lean software development paradigm.** RTMB (Kristensen 2024) is a new alternative interface for developing TMB (Kristensen et al. 2017) models. RTMB provides a leaner development paradigm than TMB. The recommendation from the TMB/RTMB development team, articulated at the expert meeting, was to develop the next tuna stock assessment model in RTMB rather than TMB. Another recommendation from the TMB/RTMB development team, given the streamlined nature of RTMB model implementation is for SPC to consider writing specific models for each species, rather than a general platform for all species and tRFMOs. A specific RTMB model requires a very small codebase that is easy to maintain and modify. Parts of code can still be reused between species, either as code blocks or functions.
3. **State-space formulation.** State-space models are a statistically and computationally efficient way to allow time-varying processes in stock assessment models, such as time-varying selectivity. Other statistical approaches exist, but the successful track record of using state-space models

in production assessments, e.g., across a variety of Atlantic groundfish stocks, indicates that state-space formulation can be recommended for new model development.

4. **Age-length structure.** Gadget models explicitly track the population by age and length, accounting for the fact that fast-growing individuals are caught by the fishery and the slow-growing individuals in the cohort remain in the population. Casal and Stock Synthesis have optional model features with a similar aim. This leads to an improved level of realism, and simulations using a very basic model ALSCL (Zhang and Cadigan 2022) indicate improved estimation accuracy. It is worth noting that the ALSCL simulation study involved a single-area model with survey data and no commercial catch data or fleets, which is quite far from the model complexity of tuna assessments. An important drawback is that tracking the population structure in terms of age and length, instead of age only, comes at a considerable computational cost. The SPC tuna assessment models that are currently run in MFCL are already very computationally heavy, with models requiring to run overnight before results are available. The recommendation is to explore the feature of incorporating full age-length structure in the next tuna assessment models, considering estimation performance and the difference in the resulting management advice, as well as computational time, required software development, and maintenance cost.
5. **Simple models.** SPC challenges were outlined and discussed at the meeting, focusing on the upcoming paradigm change in how stock assessments are conducted at SPC. The MFCL Team (Dave Fournier, John Hampton, Nick Davies) will be retiring in the 2020s. Other stock assessment staff tend to have a relatively quick turnover rate, often consisting of young scientists with relatively few years of stock assessment experience before they start their job at SPC. It takes many years to become an expert in MFCL, with John Hampton typically making the main modeling decisions and guiding new staff, with the help of Nick Davies. Generally, it is preferable to have some long-term staff in the stock assessment team, but it is precautionary and prudent to prepare for an era where there might be no long-term staff, only short-term. In software design terms, this would favor not only a simple and intuitive user interface but also simpler assessment models than have been used in the past.
6. **Collaboration between tuna RFMOs.** While SPC uses MFCL for tuna assessments, other tuna RFMOs use primarily Stock Synthesis in assessments that fit to length composition data from multiple fisheries. Both software platforms are currently in a sunset phase, heading towards end of life in the coming years. Development of new features in MFCL and Stock Synthesis is slowing down, with resources being allocated to succession plans. For SPC, it would be preferable to avoid developing and maintaining major software products that are not used outside of SPC. The statistical challenges of analyzing tuna data are comparable between the tuna RFMOs, noting some differences like degree of tagging data, so it makes sense to coordinate and collaborate in succession plans and new software development.

The discussion at the international expert meeting covered several other topics, but the above

recommendations are particularly relevant for initial explorations and development. In addition to the discussion and recommendations, an important outcome of the international expert meeting was to establish collaboration with various research labs related to the development of new tuna assessment models. See next section.

4.2 Workshop activities in 2024–2026

The first steps in the scoping project 123 have involved a review of software needs and existing software, an evaluation of options, establishing collaborative ties with research labs, and reaching out to scientists from the other tRFMOs for coordination and consultation. We expect that presentation of the outcomes so far at SC20 will facilitate discussion and the feedback from SC will guide which of the tasks listed in [Section 3](#) will be prioritized. Discussions at SC should help guide TORs for each year’s successive work.

Hands-on technical workshops will be conducted in 2024–2026 to strengthen collaboration ties and make stepwise progress on tasks that have been selected as priorities. If prioritized, the work on migrating billfish assessments (Tasks 1–2 in the list of tasks) will be conducted by SPC scientists independent of project 123 workshops. Progress with exploratory analyses using alternative software (Tasks 3–5) and software development (Tasks 6–9), if prioritized, will depend on the resources and number of staff and consultants engaged in those tasks. Another factor is the availability and commitment of external scientists and other tRFMOs.

Project 123 includes a budget that can be used to invite selected external scientists to attend technical workshops to collaborate with SPC scientists on selected tasks. A workshop might be organized for a week, either at SPC headquarters or at another convenient venue, and collaborative work conducted before, during, and after a workshop.

The first hands-on technical workshop had been planned at SPC headquarters around October–November 2024, inviting two scientists. However, the unrest in New Caledonia in 2024 will prevent such a workshop being conducted at that time.

Instead, the first hands-on technical workshop will take place in New Zealand on 23–30 August 2024, with Nick Davies (SPC consultant) and Arni Magnusson (SPC) meeting directly after the SC meeting in Manila. The main objectives are to test the functionality and capabilities of RTMB on simple models and to conduct exploratory runs of the ALSCL model. The 2024 workshop will evaluate RTMB as a programming platform and paradigm for further software development, exploring technical aspects such as whether it would be practical to organize the majority of source code behind an assessment model as scripts, a package, or more than one package. This would determine how code is maintained and shared between assessments of different species.

The 2024 workshop corresponds to Task 10 listed in [Section 3](#). Focus topics for workshops in 2025 and 2026 will be selected based on which tasks will be prioritized by the WCPFC Scientific Committee and SPC.

4.3 Launching the main project

The current annual budget of project 123 is sufficient for scoping the needs, identifying current software platforms, reaching out to the scientific community for consultation, and to conduct occasional workshops to strengthen collaboration ties and initial explorations. This corresponds to the first line in [Figure 1](#) and objectives 1–4 in the project outline ([Section 2.2](#)).

The goal of the *main project*, which could either overlap or succeed the scoping project, is to test/develop tuna stock assessment software and transition all SPC assessments from MFCL to other platforms. To make significant progress on the steps required to complete the main project (see full diagram in [Figure 1](#)), thus achieving objectives 5–8 in the project outline ([Section 2.2](#)), a substantially larger commitment of resources will be required, see next section.

5 Required resources

5.1 Collaboration with other tRFMOs

There are significant potential benefits for the tuna RFMOs to coordinate together and collaborate on succession plans and new software development (expert meeting recommendation 6). Coordination and collaboration has already begun between tuna stock assessment scientists, with one-on-one consultation meetings before and after the 2024 international expert meeting.

The next step is for the tRFMOs to discuss financial and staff commitments to formalize and strengthen collaboration related to succession plans and new software development. Projects to accomplish the tasks laid out in [Figure 1](#) will require considerable resources. To achieve the desired rate of progress and scientific quality of the end result, each tRFMO could hire/assign one full-time person to the project for five years, or until assessments have been transitioned to the new software.

5.2 SPC staff positions, consultants

The research and development required to test, design and develop stock assessment software and successfully transition all SPC assessments from MFCL to other platforms is difficult to estimate precisely, in terms of budget and time. It is clear, however, that it will likely to take several years for a newly formed team consisting of SPC staff scientists and/or consultants, along with collaborators from other tRFMOs and research labs. To succeed, this team should be 100% assigned to this project and not committed to the traditional stock assessment related tasks and workflows. There is interaction between the roles of a *project coordinator*, *fisheries scientist*, and *software developer*, but it would be helpful for the project to define the role of each staff scientist and consultant as clearly as possible, to accurately measure the resources committed to the main project.

The resources committed to the main project will determine the scientific quality of the end result and the number of years it takes to transition all SPC assessments from MFCL to other platforms. Now that the first author of MFCL, David Fournier, has retired, it would be highly beneficial for

the project to move relatively fast, before the remaining MFCL team (John Hampton and Nick Davies) retire and will no longer be available for consultation and involvement regarding software design, testing and technical decisions.

Compared to the other tuna RFMOs, there is greater urgency for WCPFC to move this project forward. Independent of decisions and commitments of the other tuna RFMOs, the main project would probably require two full-time people on the project for five years, or until assessments have been transitioned to the new software.

6 Acknowledgements

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