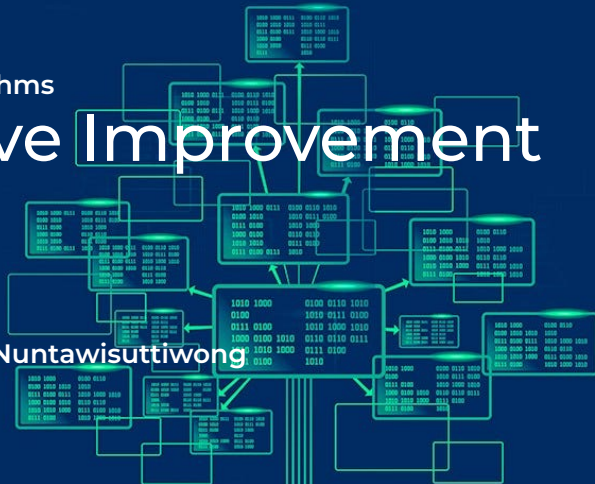


CPE 231 Algorithms

# Iterative Improvement



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## 1 Iterative Improvement

## 2 The Maximum Matching in Bipartite Graphs

## 3 The Stable Marriage Problem

1. Greedy Method  $\rightarrow$  Local optimal  $\rightarrow$  no trace back  $\rightarrow$  near optimal

2. Dynamic Programming  $\rightarrow$  trace back to find the best solution

old step   $\rightarrow$  best solution  $\rightarrow$  optimal

3. Iterative improvement  $\rightarrow$  initial solution  $\rightarrow$  improve  $\rightarrow$  optimal

4. Genetic Algorithm (Evolutionary method)

Recap Find exact answer

- Brute Force
- Decrease-and-Conquer
- Divide-and-Conquer
- Transforms-and-Conquer
- Space and Time Trade off

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Recap Optimization Problem

- |                         |                                    |
|-------------------------|------------------------------------|
| - Dynamic Programming   | - Objective fn.<br>(Find max, min) |
| - Greedy Method         | - constraints                      |
| - Iterative Improvement | - Feasible solution                |

# Iterative Improvement

# Iterative Improvement

- A technique for optimization problems that starts with a feasible solution and improves it step-by-step.
- Repeatedly apply a simple change to improve the objective function.
- When no further improvement is possible, the last solution is considered optimal.

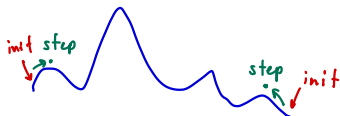
## Comparison to Greedy Strategy

- **Greedy Strategy:** Builds a solution piece-by-piece, choosing locally optimal choices.
- **Iterative Improvement:** Starts with a complete feasible solution and enhances it iteratively.
- **Key Difference:** Iterative improvement modifies a complete solution, unlike greedy methods that construct one from scratch.

# Challenges in Iterative Improvement

- 1 **Finding an Initial Solution:** Requires an initial feasible solution, which can sometimes be as challenging as solving the problem.
- 2 **Efficient Modification:** Must efficiently identify and apply beneficial changes.
- 3 **Local vs. Global Optimum:** Risk of stopping at a local optimum rather than a global one.
  - Example: Hiking in a hilly area with fog.
  - Climbing to the highest local point doesn't guarantee finding the global maximum.
  - Iterative improvement may converge to a local optimum, especially without knowledge of the global landscape.

Exploitation



# Application

## ① Linear Programming and the Simplex Method

- Developed by George Dantzig (1947), it's a classic algorithm that iteratively improves solutions for linear programming problems.

## ② Network Flow and the Ford-Fulkerson Algorithm

- Maximizing flow through a network with limited capacities.

## ③ Bipartite Matching

- Pair elements from two disjoint sets, maximizing matched pairs or ensuring stability.

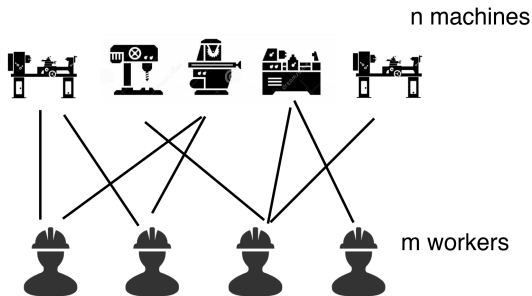
## ④ Other Applications

- Traveling Salesman and Knapsack Problems
- Heuristic Search Methods

# The Maximum Matching in Bipartite Graphs

# Maximum Matching

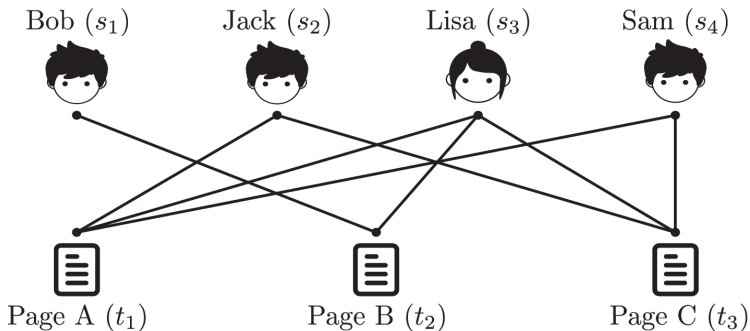
- A matching in a graph is a subset of its edges with the property that no two edges share a vertex.
- A maximum matching (maximum cardinality matching) is a matching with the largest number of edges.
- Applications: pairing workers with jobs, students with schools, etc.





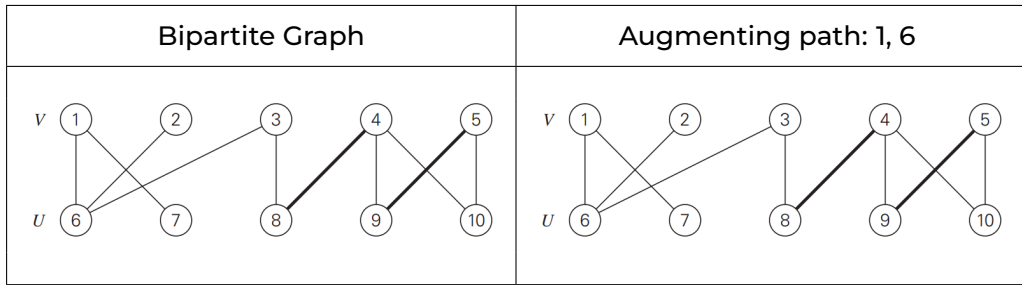
# Bipartite Graphs

- A graph whose vertices can be split into two disjoint sets  $V$  and  $U$ .
- Every edge connects a vertex in  $V$  to one in  $U$ .
- Example: Pairing two distinct groups such as applicants and job openings.



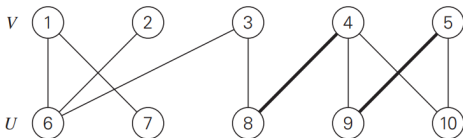
# Augmenting paths

- A path that starts and ends with unmatched vertices and alternates between edges in and out of the matching.
- Allows us to increase the size of a matching by swapping edges along the path.

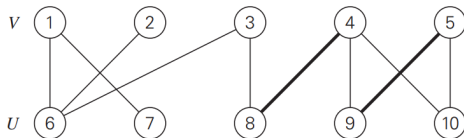


# Augmenting paths

Augmenting path: 2, 6, 1, 7



Augmenting path: 3, 8, 4, 9, 5, 10



## Theorem

*A matching  $M$  is a maximum matching if and only if there exists no augmenting path with respect to  $M$ .*

# Maximum Matching Algorithm

- 1 **Initialize** with an empty matching.
- 2 **Find Augmenting Path:** Use a BFS-like traversal starting from free vertices.
- 3 **Augment Matching:** Adjust the matching along the discovered path.
- 4 **Repeat Until No Augmenting Path Exists:** When no more paths can be found, the current matching is maximum.

# Maximum Matching Algorithm

## ALGORITHM *MaximumBipartiteMatching*( $G$ )

//Finds a maximum matching in a bipartite graph by a BFS-like traversal

//Input: A bipartite graph  $G = \langle V, U, E \rangle$

//Output: A maximum-cardinality matching  $M$  in the input graph

initialize set  $M$  of edges with some valid matching (e.g., the empty set)

initialize queue  $Q$  with all the free vertices in  $V$  (in any order)

**while not** *Empty*( $Q$ ) **do**

$w \leftarrow \text{Front}(Q)$ ; *Dequeue*( $Q$ )

**if**  $w \in V$

**for every** vertex  $u$  adjacent to  $w$  **do**

**if**  $u$  is free

                //augment

$M \leftarrow M \cup (w, u)$  } add augment matching

$v \leftarrow w$

**while**  $v$  is labeled **do**

$u \leftarrow$  vertex indicated by  $v$ 's label;  $M \leftarrow M - (v, u)$  } remove old matching

$v \leftarrow$  vertex indicated by  $u$ 's label;  $M \leftarrow M \cup (v, u)$  } Improve

                remove all vertex labels

                reinitialize  $Q$  with all free vertices in  $V$

**break** //exit the for loop

**else** //  $u$  is matched

**if**  $(w, u) \notin M$  **and**  $u$  is unlabeled

                    label  $u$  with  $w$

$\text{Enqueue}(Q, u)$

} add augmenting matching

**else** //  $w \in U$  (and matched)

            label the mate  $v$  of  $w$  with  $w$

$\text{Enqueue}(Q, v)$

} add augmenting matching

**return**  $M$  //current matching is maximum



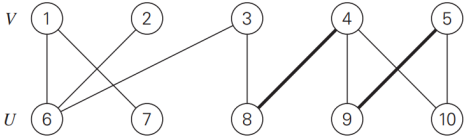
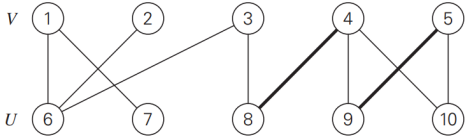
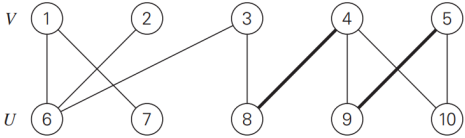
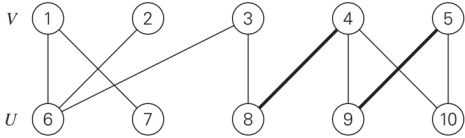
Label  $\rightarrow$  augmented path

remove old matching

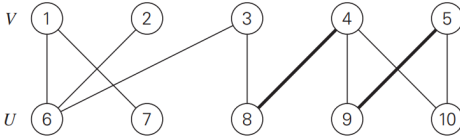
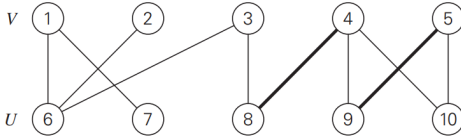
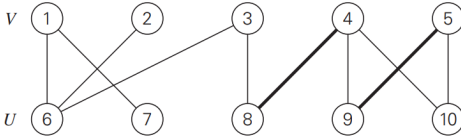
Improve

add augmenting matching

# Maximum Matching Algorithm

	
Queue:	Queue:
	
Queue:	Queue:

# Maximum Matching Algorithm

	
Queue:	Queue:
	
Queue:	

# Algorithm Efficiency

- Let  $n = |V| + |U|$  represent the total number of vertices in the bipartite graph.
- Let  $m = |E|$  represent the total number of edges in the graph.
- In the worst case, there are  $\lfloor n/2 \rfloor$  augmenting paths (i.e., half of the vertices need to be matched), so the algorithm requires up to  $\lfloor n/2 \rfloor + 1$  iterations.
- Time complexity per iteration is  $O(n + M)$ .
- Since the maximum number of iterations is  $O(n)$ , and each iteration takes  $O(n + m)$  time, the total complexity of the algorithm is  $O(n(n + m))$ .



# The Stable Marriage Problem

# The Stable Marriage Problem

- A problem of finding a stable matching between two sets, e.g., men and women.
- Each person in one set is paired with one in the other set.
- **Stability Condition:** No pair prefers each other over their assigned partners (i.e., no "blocking pairs").
- Each participant ranks the other set in order of preference, with no ties.
- **Blocking Pair:** A man and woman not matched to each other but who both prefer each other over their current partners.
- **Stable Matching:** A matching where no blocking pairs exist.

# The Stable Marriage Problem

## men's preferences

	1st	2nd	3rd
Bob:	Lea	Ann	Sue
Jim:	Lea	Sue	Ann
Tom:	Sue	Lea	Ann

## women's preferences

	1st	2nd	3rd
Ann:	Jim	Tom	Bob
Lea:	Tom	Bob	Jim
Sue:	Jim	Tom	Bob

## ranking matrix

	Ann	Lea	Sue
Bob			
Jim			
Tom			

# Stable Marriage Algorithm

## Stable marriage algorithm

Input: A set of  $n$  men and a set of  $n$  women along with rankings of the women by each man and rankings of the men by each woman with no ties allowed in the rankings

Output: A stable marriage matching

**Step 0** Start with all the men and women being free.

**Step 1** While there are free men, arbitrarily select one of them and do the following:

*Proposal* The selected free man  $m$  proposes to  $w$ , the next woman on his preference list (who is the highest-ranked woman who has not rejected him before).

*Response* If  $w$  is free, she accepts the proposal to be matched with  $m$ . If she is not free, she compares  $m$  with her current mate. If she prefers  $m$  to him, she accepts  $m$ 's proposal, making her former mate free; otherwise, she simply rejects  $m$ 's proposal, leaving  $m$  free.

**Step 2** Return the set of  $n$  matched pairs.

# Stable Marriage Algorithm

## men's preferences

	1st	2nd	3rd
Bob:	Lea	Ann	Sue
Jim:	Lea	Sue	Ann
Tom:	Sue	Lea	Ann

## women's preferences

	1st	2nd	3rd
Ann:	Jim	Tom	Bob
Lea:	Tom	Bob	Jim
Sue:	Jim	Tom	Bob

		Ann	Lea	Sue
Free men:	Bob	2, 3	1, 2	3, 3
	Jim	3, 1	1, 3	2, 1
	Tom	3, 2	2, 1	1, 2

# Stable Marriage Algorithm

Free men:		Ann	Lea	Sue
	Bob	2, 3	1, 2	3, 3
	Jim	3, 1	1, 3	2, 1
	Tom	3, 2	2, 1	1, 2

Free men:		Ann	Lea	Sue
	Bob	2, 3	1, 2	3, 3
	Jim	3, 1	1, 3	2, 1
	Tom	3, 2	2, 1	1, 2

# Stable Marriage Algorithm

Free men:		Ann	Lea	Sue
	Bob	2, 3	1, 2	3, 3
	Jim	3, 1	1, 3	2, 1
	Tom	3, 2	2, 1	1, 2

Free men:		Ann	Lea	Sue
	Bob	2, 3	1, 2	3, 3
	Jim	3, 1	1, 3	2, 1
	Tom	3, 2	2, 1	1, 2

# Stable Marriage Algorithm

		Ann	Lea	Sue
Free men:	Bob	2, 3	1, 2	3, 3
	Jim	3, 1	1, 3	2, 1
	Tom	3, 2	2, 1	1, 2



# Termination, Stability, and Characteristics

## Theorem

*The stable marriage algorithm terminates after no more than  $n^2$  iterations with a stable marriage output.*

- Stability: By construction, no unmatched man and woman will both prefer each other over their current partners.
- Man-Optimal Matching: The solution favors the proposing group (men in this case).
  - Each man is paired with the best partner he could have in any stable matching.
- Gender Bias: Reversing roles (letting women propose) would create a woman-optimal solution.