



Computer Graphics

# Curve and Surface Modeling

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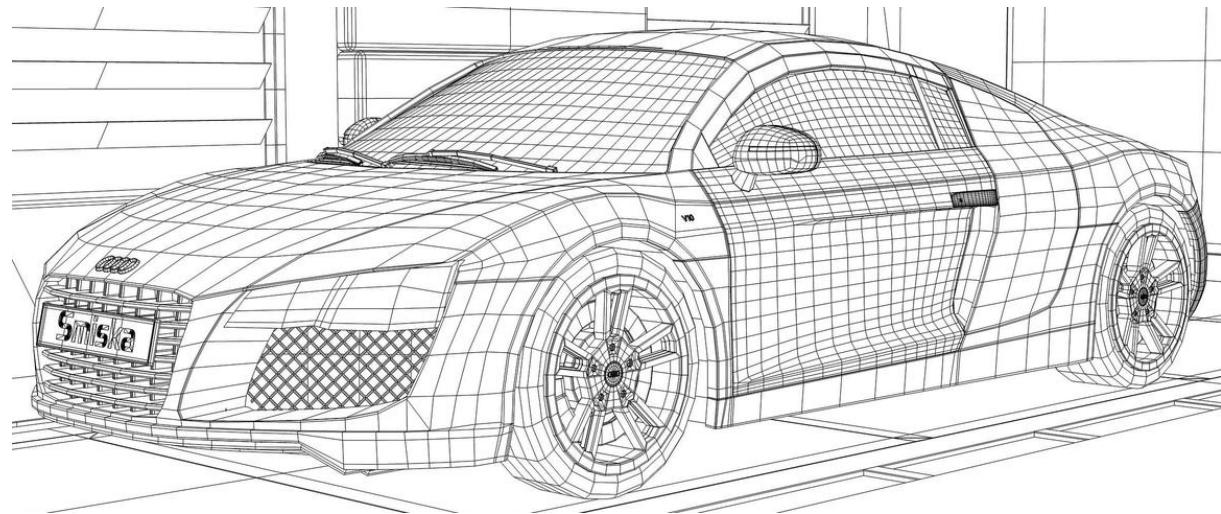
School of Data and Computer Science



# Outline

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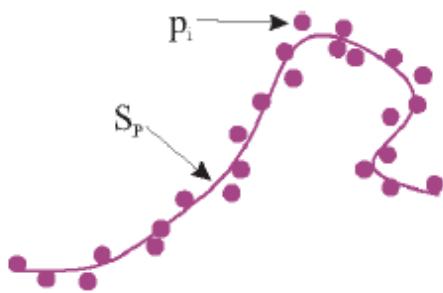
- **Interpolation and Approximation**
- **Curve Modeling**
  - Parametric curve
  - Cubic Hermite interpolation
  - Bézier curve
  - B-Spline
- **Surface Modeling**
  - Bézier surface



# Introduction

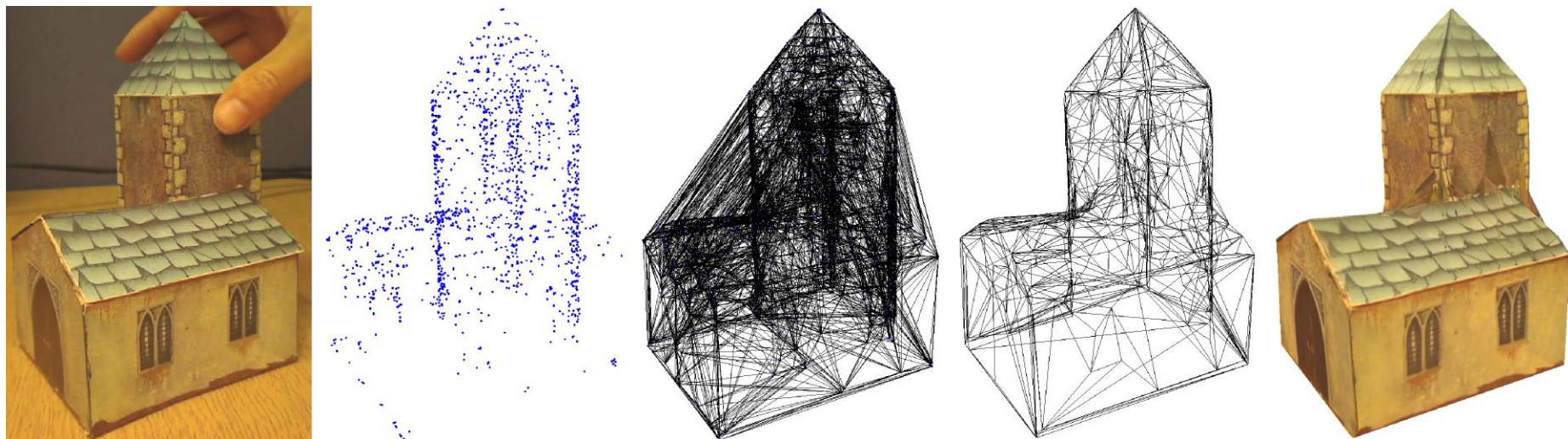
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- Raw data is very popular in many experimental study and usually it need fitting before it can be understand well.



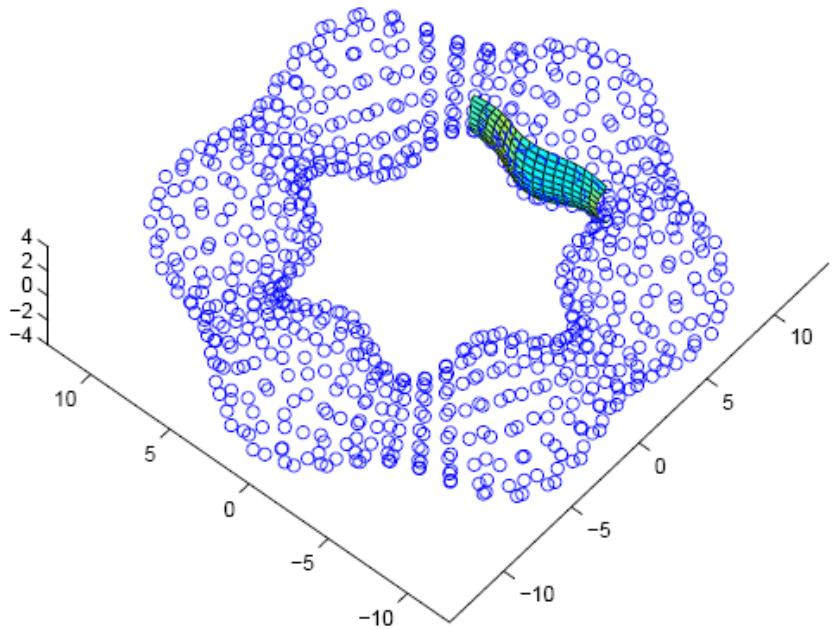
# Introduction

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# Introduction

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Surface fitting to 3D points

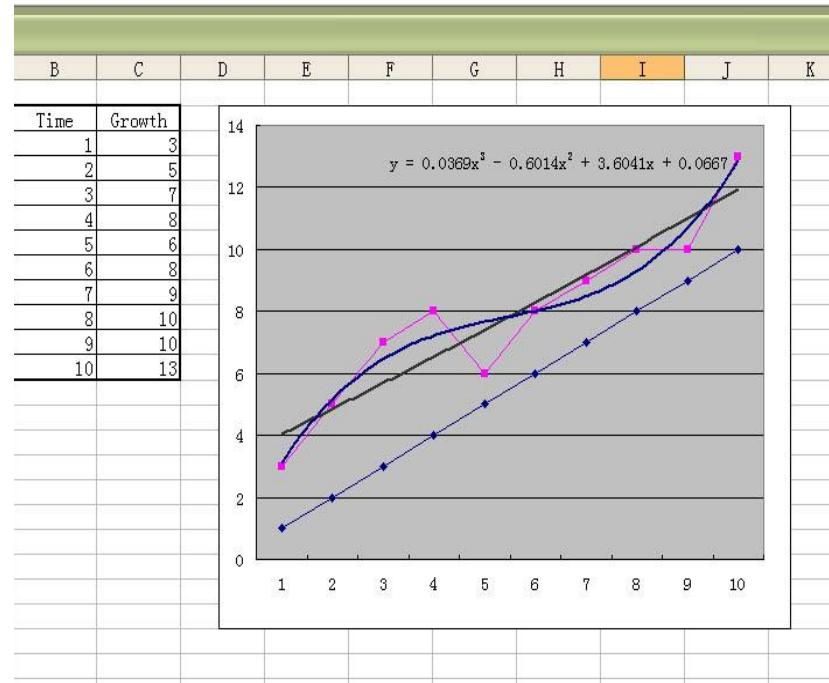


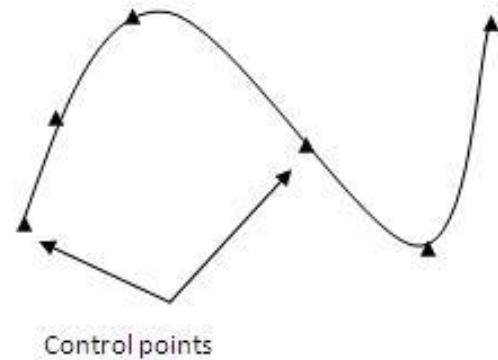
Chart by Microsoft Excel



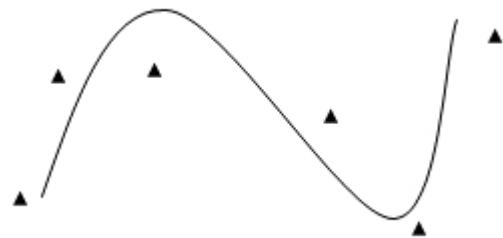
# Interpolation and approximation

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- Interpolation: When the curve **passes** through all the control points then it is called as Interpolation.



- Approximation: When the curve **does not pass** through the control points then it is called as approximation.

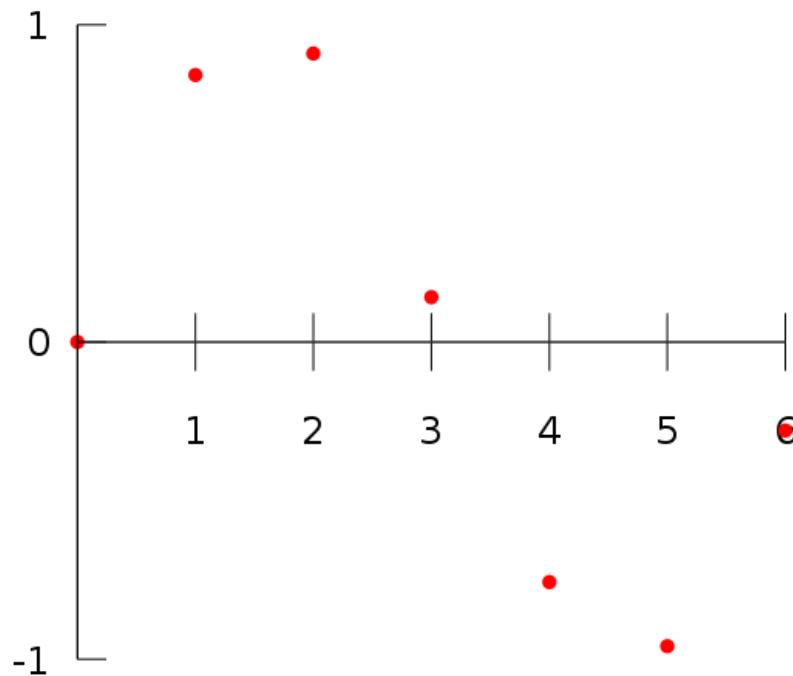


# Interpolation case

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- For example, suppose we have a table like this, which gives some values of an unknown function  $f$ .

$x$	$f(x)$
0	0
1	0.8415
2	0.9093
3	0.1411
4	-0.7568
5	-0.9589
6	-0.2794



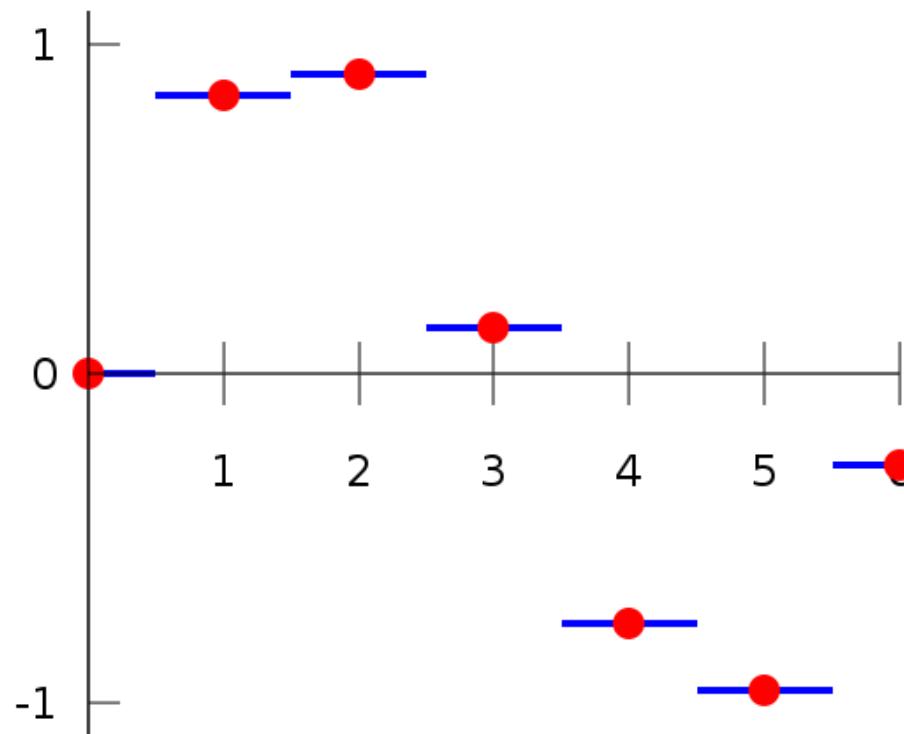
Interpolation provides a means of estimating the function at **intermediate** points, such as  $x = 2.5$ .



# Piecewise constant interpolation

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- The simplest interpolation method is to locate the nearest data value, and assign the same value.



# Linear interpolation

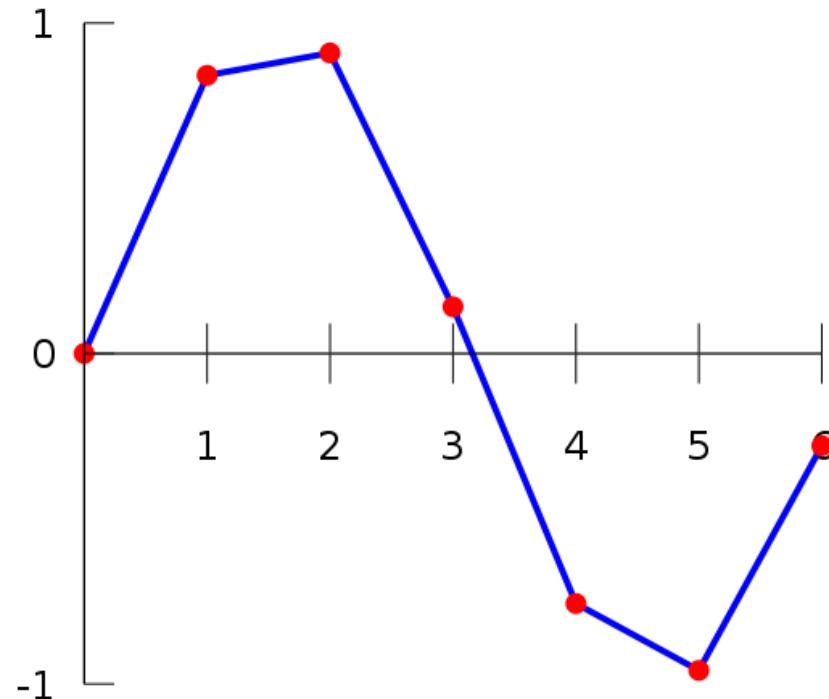
- Generally, linear interpolation takes two data points, say  $(x_a, y_a)$  and  $(x_b, y_b)$ , and the interpolant is given by:

$$y = y_a + (y_b - y_a) \frac{x - x_a}{x_b - x_a} \text{ at the point } (x, y)$$

$$\frac{y - y_a}{y_b - y_a} = \frac{x - x_a}{x_b - x_a}$$

$$\frac{y - y_a}{x - x_a} = \frac{y_b - y_a}{x_b - x_a}$$

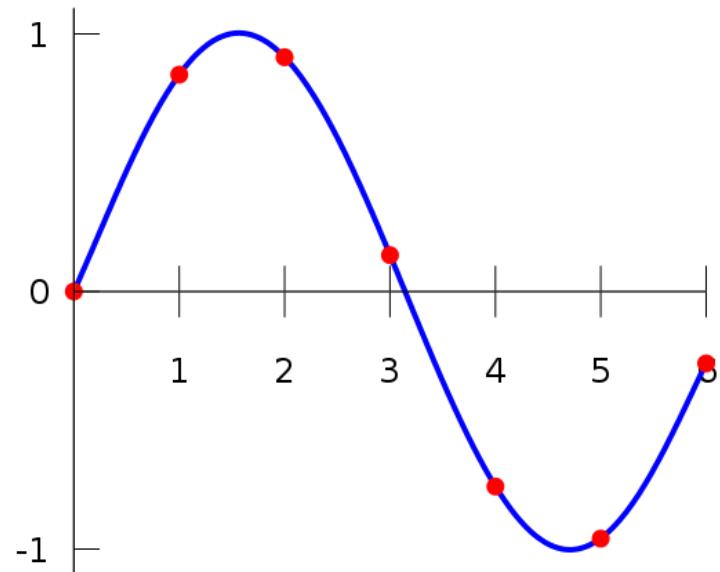
$$\frac{y - y_a}{x - x_a} = \frac{y_b - y_a}{x_b - x_a}$$



# Polynomial interpolation

- Polynomial interpolation is a generalization of linear interpolation.  
Note that the linear interpolation is a linear function. We now replace this interpolation with a polynomial of higher degree.
- The following sixth degree polynomial goes through all the seven points:

$$\begin{aligned}f(x) = & -0.0001521x^6 + 0.003130x^5 \\& + 0.07321x^4 - 0.3577x^3 \\& + 0.2255x^2 + 0.9038x\end{aligned}$$



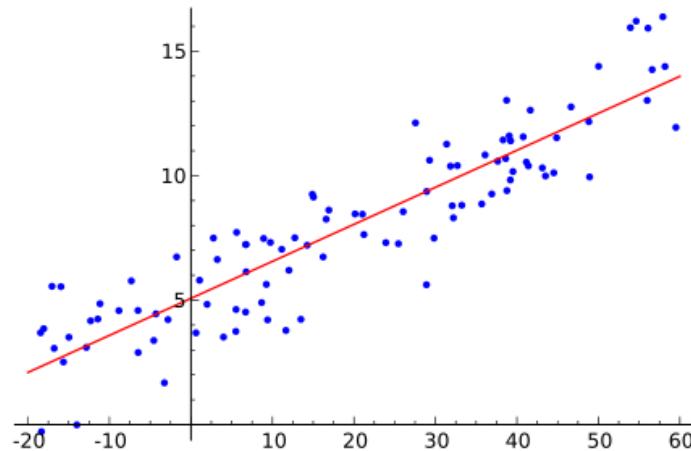
# Approximation – Least squares fitting

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- Linear least squares
  - A fitting model is a linear one when the model comprises a linear combination of the parameters, i.e.,

$$f(x, \beta) = \sum_{j=1}^m \beta_j \phi_j(x),$$

where the function  $\phi_j$  is a function of  $x$ .



# Least squares fitting example

- As a result of an experiment, four  $(x, y)$  data points were obtained,  $(1, 6)$ ,  $(2, 5)$ ,  $(3, 7)$ , and  $(4, 10)$ .
- We hope to find a line  $y = \beta_1 + \beta_2 x$  that best fits these four points. In other words, we would like to find the numbers  $\beta_1$  and  $\beta_2$  that approximately solve the **over-determined linear system** (超定方程)

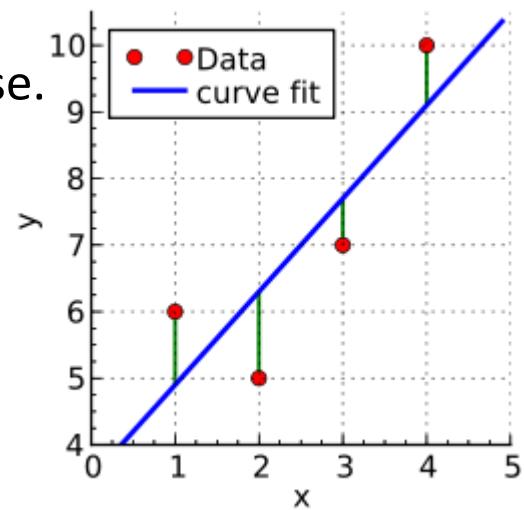
$$\beta_1 + 1\beta_2 = 6, \quad \beta_1 + 2\beta_2 = 5,$$

$$\beta_1 + 3\beta_2 = 7, \quad \beta_1 + 4\beta_2 = 10.$$

of four equations in two unknowns in some "best" sense.

- A **residual** is defined as the difference between the actual value of the dependent variable and the value predicted by the model.

$$r_i = y_i - f(x_i, \beta).$$



# Least squares fitting example

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- The "error", at each point, between the curve fit and the data is the difference between the right- and left-hand sides of the equations above. The least squares approach to solving this problem is to try to make the sum of the squares of these errors as small as possible; that is, to find the minimum of the function

$$\begin{aligned} S(\beta_1, \beta_2) &= [6 - (\beta_1 + 1\beta_2)]^2 + [5 - (\beta_1 + 2\beta_2)]^2 \\ &\quad + [7 - (\beta_1 + 3\beta_2)]^2 + [10 - (\beta_1 + 4\beta_2)]^2 \\ &= 4\beta_1^2 + 30\beta_2^2 + 20\beta_1\beta_2 - 56\beta_1 - 154\beta_2 + 210 \end{aligned}$$

- The minimum is determined by calculating the **partial derivatives** of  $S(\beta_1, \beta_2)$  with respect to  $\beta_1$  and  $\beta_2$  and setting them to zero

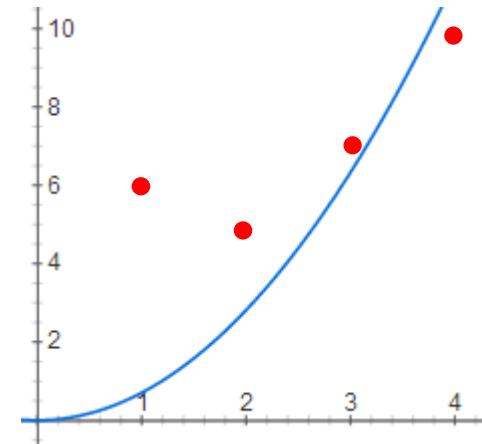
$$\frac{\partial S}{\partial \beta_1} = 0 = 8\beta_1 + 20\beta_2 - 56, \quad \frac{\partial S}{\partial \beta_2} = 0 = 20\beta_1 + 60\beta_2 - 154.$$

- This results in a system of two equations in two unknowns, called the normal equations, which give, when solved  $\beta_1 = 3.5, \beta_2 = 1.4$ ,  
and the equation  $y = 3.5 + 1.4x$  of the line of best fit.



# Approximation – Quadratic least squares fitting

- Importantly, in linear least squares, we are not restricted to using a line as the model as in the above example. For instance, we could have chosen the restricted quadratic model  $y = \beta_1 x^2$ . This model is still linear in the  $\beta_1$  parameter, so we can still perform the same analysis, constructing a system of equations from the data points:  
 $6 = \beta_1(1)^2, 5 = \beta_1(2)^2$   
 $7 = \beta_1(3)^2, 10 = \beta_1(4)^2$
  - The partial derivatives with respect to the parameters (this time there is only one) are again computed and set to 0:
- $$\frac{\partial S}{\partial \beta_1} = 0 = 708\beta_1 - 498$$
- and solved  $\beta_1 = 0.703x^2$
- leading to the resulting best fit model  $y = 0.703x^2$



# General - Least Square Methods

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- How to draw a curve approximately fitting to raw data?
  - Raw data usually has noise. The values of dependent variables vary even though all the independent variables are constant. Therefore, the estimation of the trend the dependent variables is needed. This process is called **regression** or **curve fitting**.
  - The estimated equation (matrix) satisfy the raw data. However, the equation is not usually unique, and the equation or curve with a minimal deviation from all data points is desirable.
  - This desirable **best-fitting equation** can be obtained by least square method which uses the minimal sum of the deviations squared (**偏差的平方和**) from a given set of data.



# Least square formulation

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- If you have a data set  $(x_1, y_1), (x_2, y_2), \dots, (x_n, y_n)$  and the best curve  $f(x)$  should be with the property as follows

Minimum Least  
Square error

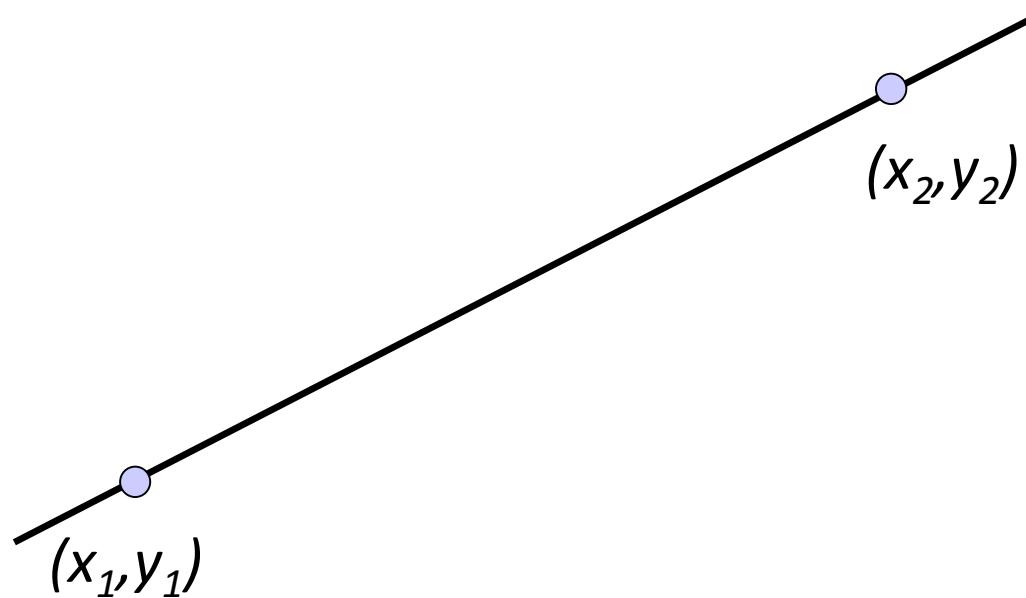
$$E = \sum_{i=1}^n (f(x_i) - y_i)^2$$



# Least square line

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- When  $n = 2, E = 0$

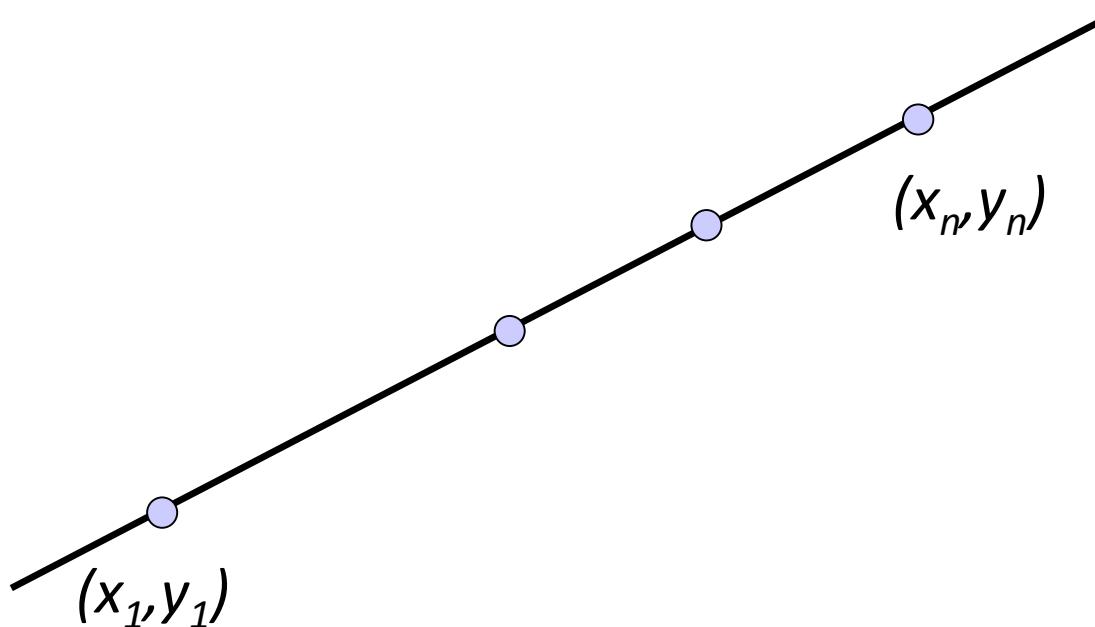


# Least square line

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- When  $n > 2$ , if  $(x_1, y_1), (x_2, y_2), \dots, (x_n, y_n)$  are **collinear**,

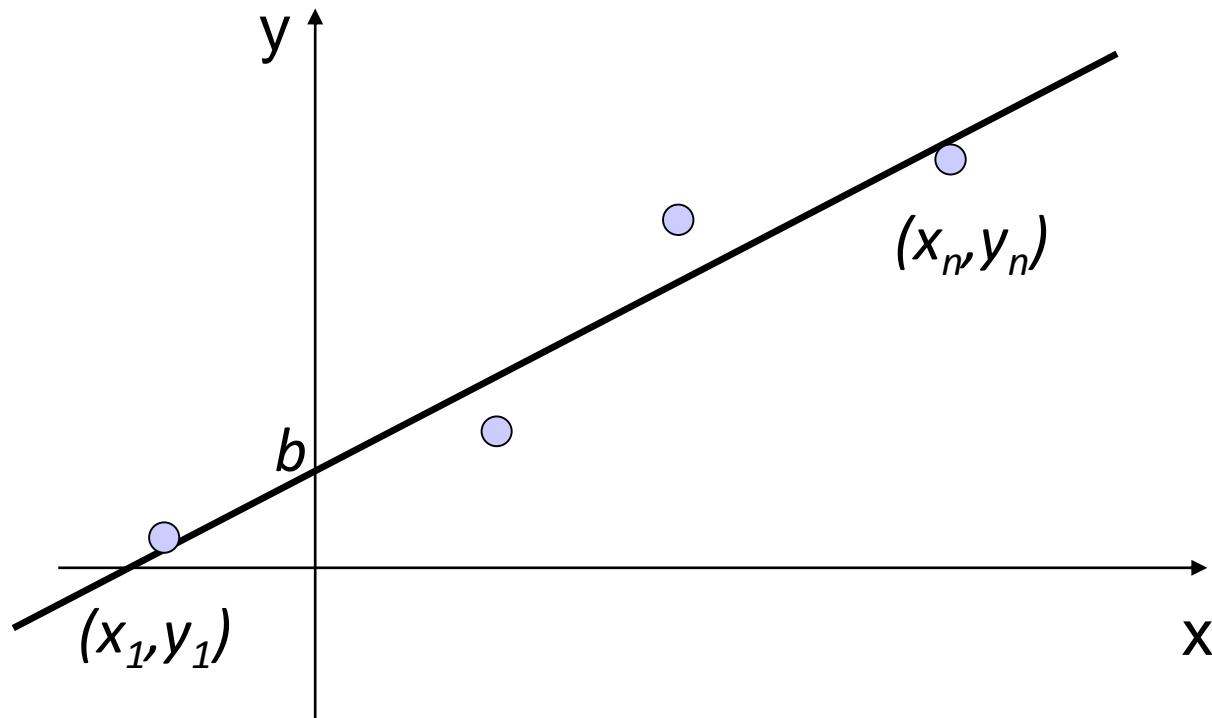
$$E = 0$$



# Least square line

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- Line equation  $y = kx + b$



# Least square line

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- How to get  $k$  and  $b$  ?

$$\begin{cases} kx_1 + b = y_1 \\ kx_2 + b = y_2 \\ \dots \\ kx_n + b = y_n \end{cases}$$

or

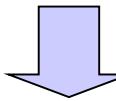
$$\begin{bmatrix} x_1 & 1 \\ x_2 & 1 \\ \vdots & 1 \\ x_n & 1 \end{bmatrix} \begin{bmatrix} k \\ b \end{bmatrix} = \begin{bmatrix} y_1 \\ y_2 \\ \vdots \\ y_n \end{bmatrix}$$

Mostly an approximation solution can exist, when the rank of the coefficient matrix is 2, which is the column number.



# Least square line

- How to get  $k$  and  $b$  ?

$$\begin{bmatrix} x_1 & x_2 & \cdots & x_n \\ 1 & 1 & \cdots & 1 \end{bmatrix} \begin{bmatrix} x_1 & 1 \\ x_2 & 1 \\ \vdots & \vdots \\ x_n & 1 \end{bmatrix} \begin{bmatrix} k \\ b \end{bmatrix} = \begin{bmatrix} x_1 & x_2 & \cdots & x_n \\ 1 & 1 & \cdots & 1 \end{bmatrix} \begin{bmatrix} y_1 \\ y_2 \\ \vdots \\ y_n \end{bmatrix}$$


$$\begin{bmatrix} \sum_{i=1}^n x_i^2 & \sum_{i=1}^n x_i \\ \sum_{i=1}^n x_i & n \end{bmatrix} \begin{bmatrix} k \\ b \end{bmatrix} = \begin{bmatrix} \sum_{i=1}^n x_i y_i \\ \sum_{i=1}^n y_i \end{bmatrix}$$



# Least square line

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- How to get  $k$  and  $b$  ?

$$\begin{bmatrix} \sum_{i=1}^n x_i^2 & \sum_{i=1}^n x_i \\ \sum_{i=1}^n x_i & n \end{bmatrix} \begin{bmatrix} k \\ b \end{bmatrix} = \begin{bmatrix} \sum_{i=1}^n x_i y_i \\ \sum_{i=1}^n y_i \end{bmatrix}$$

The unique solution of this system  $k$  and  $b$  can satisfy the following condition and a least square line is obtained.

$$\text{Minimum } E = \sum_{i=1}^n (f(x_i) - y_i)^2$$



# Least square line

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- For **parametric definition**, the least square line problem is now to find  $a_0, a_1, b_0, b_1$  satisfying

$$\text{Minimum } E = \sum_{i=1}^n [(x(t_i) - x_i)^2 + (y(t_i) - y_i)^2]$$

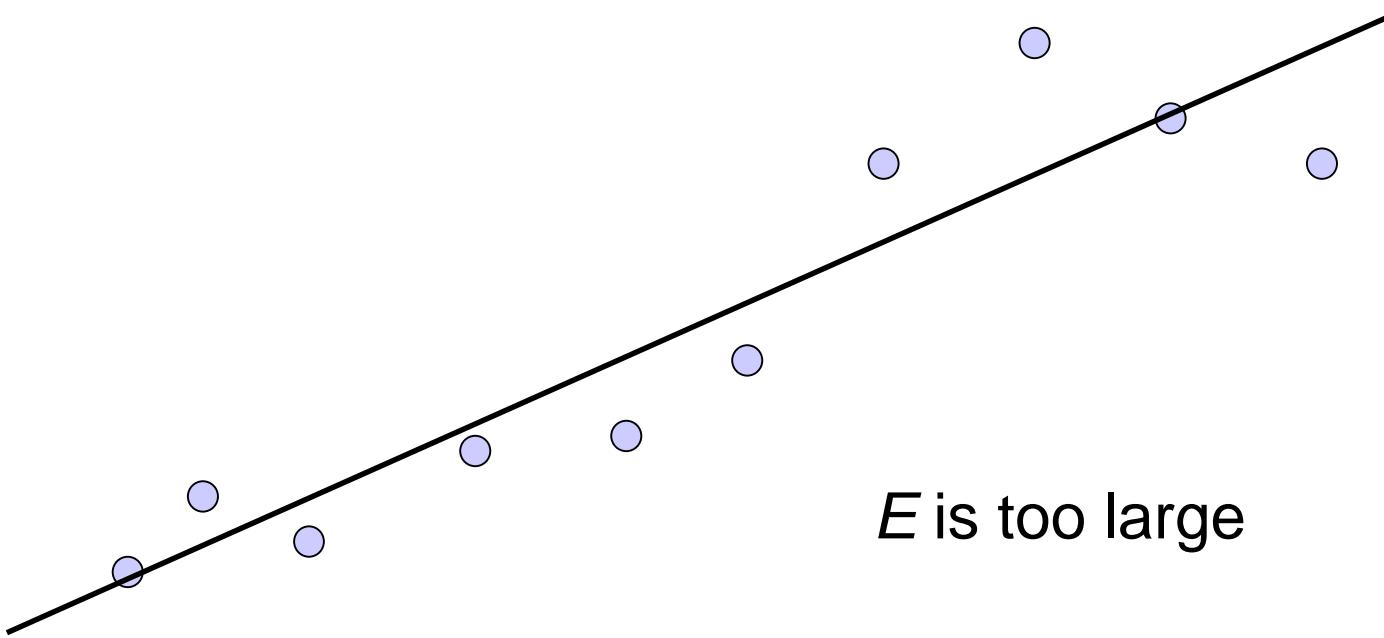
- Ways to choose  $t_1, t_2, \dots, t_n$  will affect the result.



# Least square curve

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- Why we need least square curve? When raw data is too complicated, **least square line is not good enough.**



# Least square curve

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- In more general,  $f(x) \in P_k$  can be a polynomial of degree  $k$

$$f(x) = a_0 + a_1x + a_2x^2 + \cdots + a_kx^k$$

- The problem becomes now to find  $a_0, a_1, \dots, a_k$  satisfying the following

$$\text{Minimum } E = \sum_{i=1}^n (f(x_i) - y_i)^2$$



# Least square curve

- Systems to be solved are

$$a_0 + a_1 x_1 + a_2 x_1^2 + \cdots + a_k x_1^k = y_1$$

$$a_0 + a_1 x_2 + a_2 x_2^2 + \cdots + a_k x_2^k = y_2$$

... ...

$$a_0 + a_1 x_n + a_2 x_n^2 + \cdots + a_k x_n^k = y_n$$

And

$$\begin{bmatrix} 1 & x_1 & x_1^2 & \cdots & x_1^k \\ 1 & x_2 & x_2^2 & \cdots & x_2^k \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & x_n & x_n^2 & \cdots & x_n^k \end{bmatrix} \begin{bmatrix} a_0 \\ a_1 \\ \vdots \\ a_k \end{bmatrix} = \begin{bmatrix} y_1 \\ y_2 \\ \vdots \\ y_n \end{bmatrix}$$



The coefficient matrix  $M$  :  $n \times (k + 1)$



# Least square curve

- When  $n > k$  and rank of  $M$  is  $k + 1$ , we solve the following system to get the least square curve

$$\begin{bmatrix} 1 & 1 & 1 & \cdots & 1 \\ x_1 & x_2 & x_3 & \cdots & x_n \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ x_1^k & x_2^k & x_3^k & \cdots & x_n^k \end{bmatrix} \begin{bmatrix} 1 & x_1 & x_1^2 & \cdots & x_1^k \\ 1 & x_2 & x_2^2 & \cdots & x_2^k \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & x_n & x_n^2 & \cdots & x_n^k \end{bmatrix} \begin{bmatrix} a_0 \\ a_1 \\ \vdots \\ a_k \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 & \cdots & 1 \\ x_1 & x_2 & x_3 & \cdots & x_n \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ x_1^k & x_2^k & x_3^k & \cdots & x_n^k \end{bmatrix} \begin{bmatrix} y_1 \\ y_2 \\ \vdots \\ y_n \end{bmatrix}$$

$$(M^T M)X = M^T D$$

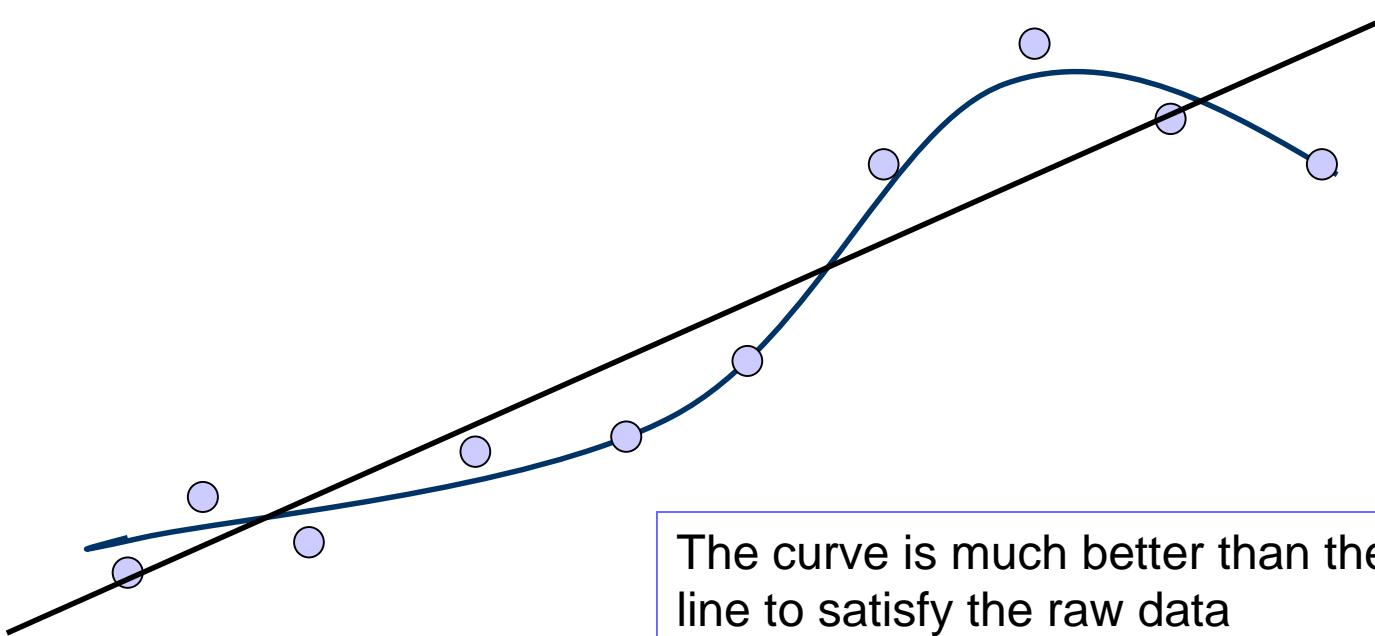


The coefficient matrix  $M^T M : (k + 1) \times (k + 1)$



# Least square curve

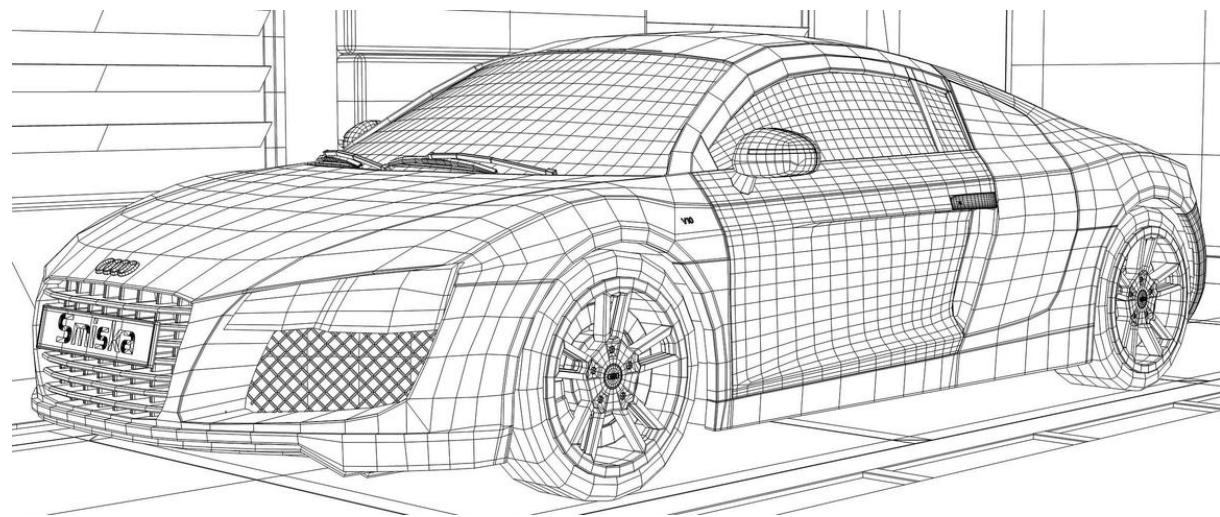
- If  $x_1 \neq x_2 \neq \dots \neq x_n$  and  $n > k$ , we can always find the unique solution of the system, and it will be the least square solution to the original system.



# Outline

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- Interpolation and Approximation
- Curve Modeling
  - Parametric curve
  - Cubic Hermite interpolation
  - Bézier curve
  - B-Spline
- Surface Modeling
  - Bézier surface



# Classification of curves

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$$y = x^2 + 5x + 3$$



$$y = f(x)$$

**(explicit curve)**

$$(x - x_c)^2 + (y - y_c)^2 - r^2 = 0 \longrightarrow g(x, y) = 0$$

**(implicit curve)**

$$x = x_c + r \cdot \cos \theta$$

$$y = y_c + r \cdot \sin \theta$$

$$\longrightarrow \begin{cases} x = x(t) \\ y = y(t) \end{cases}$$

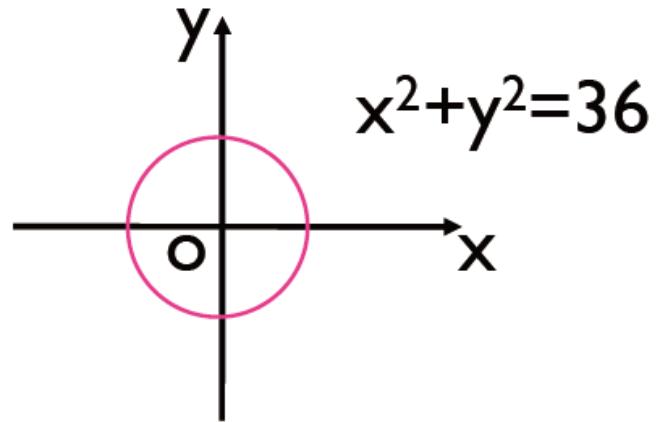
**(parametric curve)**



# Classification of curves

## implicit curve

- planar:  $f(x,y)=0$ :  
 $x^2+y^2-36=0$
- 3D curves

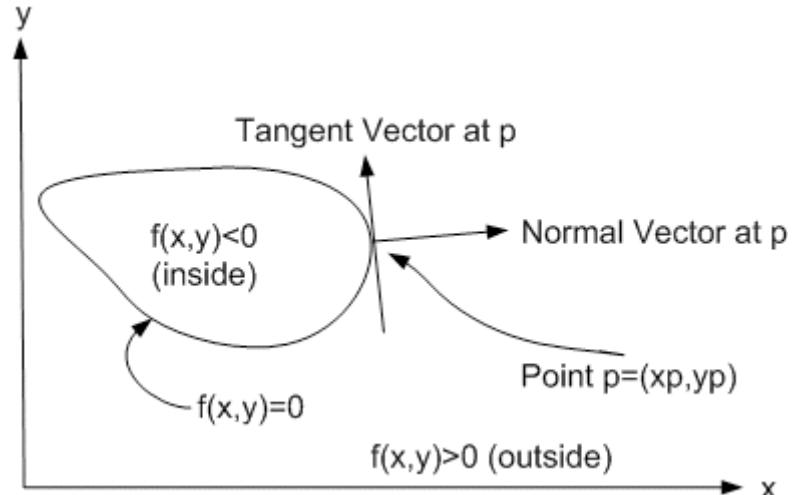
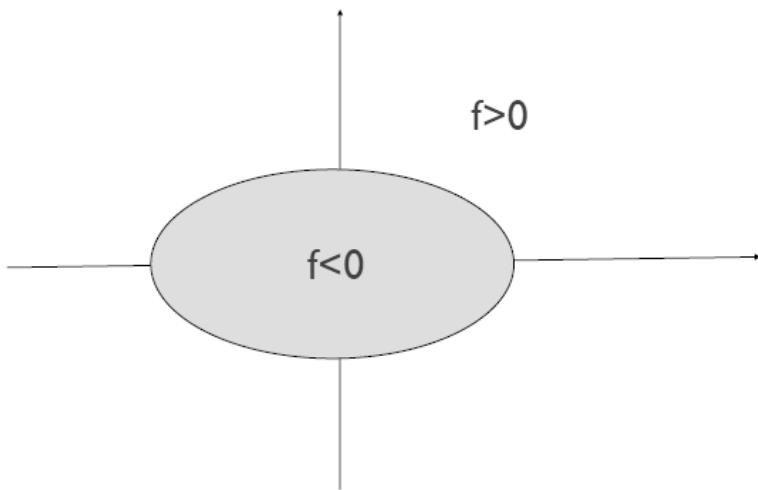


$$\begin{cases} f(x, y, z) = 0, \\ g(x, y, z) = 0. \end{cases}$$



# Implicit curves

- **Advantage** of implicit curve:
  - To a point  $(x,y)$ , it is easy to detect whether  $f(x,y)$  is  $>0$  , $<0$  or  $=0$ .
- **Disadvantage** of implicit curve:
  - To a curve  $f(x,y) = 0$ , it is difficult to find the point on it.



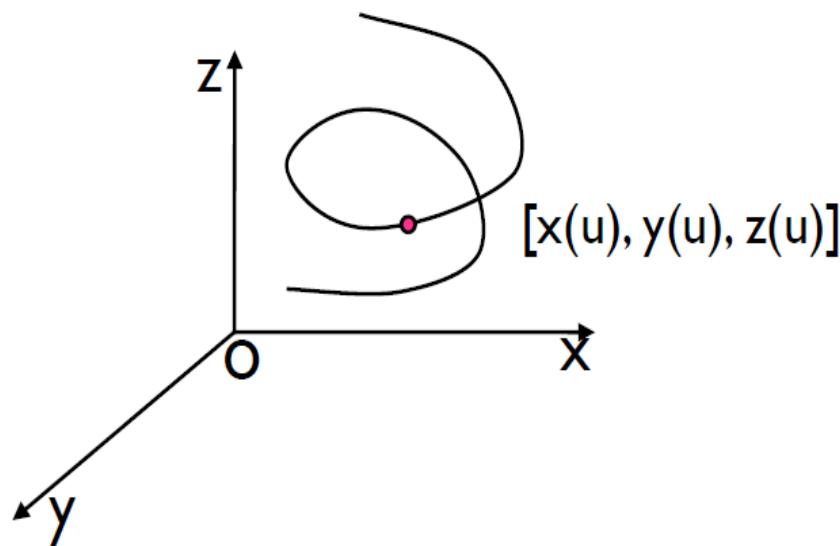
# Parametric curves

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- Variable is a scalar, and function is a vector:

$$C=C(u)=[x(u), y(u), z(u)],$$

- Every element of the vector is a function of the variable (the parameter)



# Least square parametric curve

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- Parametric definition of the curve (3D)

$$\begin{cases} x(t) = a_0 + a_1 t + a_2 t^2 + \cdots + a_k t^k \\ y(t) = b_0 + b_1 t + b_2 t^2 + \cdots + b_k t^k \\ z(t) = c_0 + c_1 t + c_2 t^2 + \cdots + c_k t^k \end{cases}$$

- Square Error

$$E = \sum_{i=1}^n \left[ (x(t_i) - x_i)^2 + (y(t_i) - y_i)^2 + (z(t_i) - z_i)^2 \right]$$



# Least square curve – general case

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- General method to solve the problem is based on the following

$$\frac{\partial E}{\partial a_i} = 0, \frac{\partial E}{\partial b_i} = 0, \frac{\partial E}{\partial c_i} = 0, i = 0, \dots, k$$

- The least square solution can be got by solving a related linear system



# Advantages of Parametric curves

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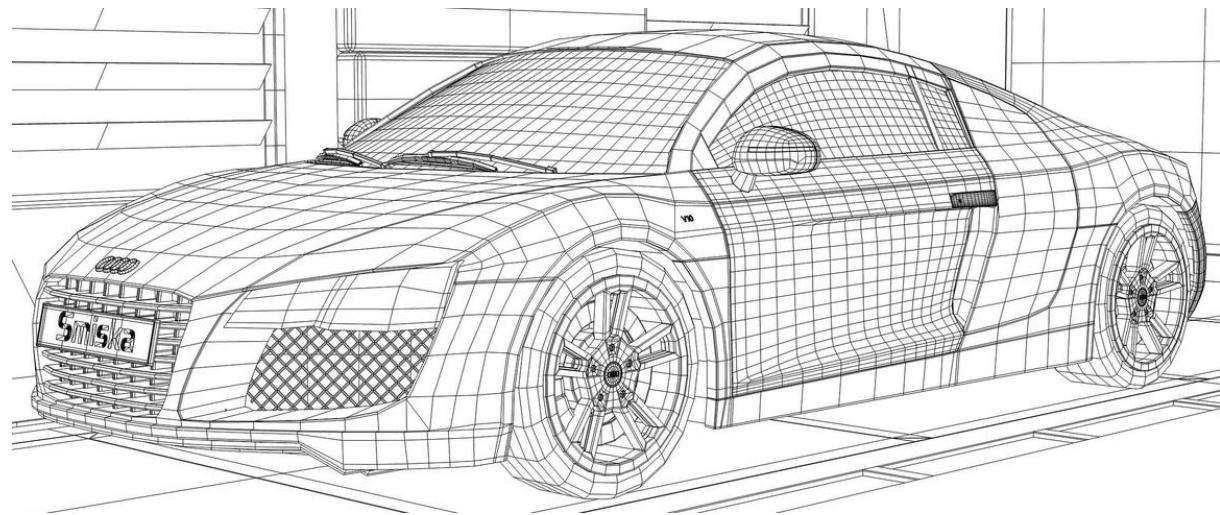
1. 满足几何不变性的要求。
2. 对曲线、曲面进行变换，可直接对其参数方程进行几何变换。
3. 便于处理斜率无穷大的情形，不会因此而中断计算。
4. 便于用户把低维空间中曲线、曲面扩展到高维空间。
6. 规格化的参数变量t属于[0,1]，使其相应的几何分量是有界的，而不必另外的参数去定义边界。
7. 易于用矢量和矩阵表示几何分量，简化了计算。



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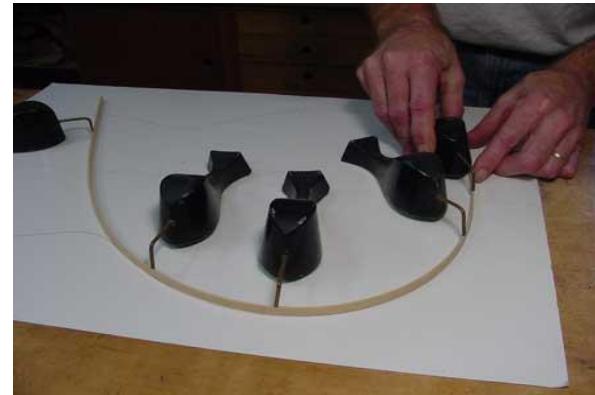


# Splines - History

- Draftsman use ‘ducks’ and strips of wood (splines) to draw curves
- Wood splines have **second-order** continuity
- And pass through the control points



A Duck (weight)

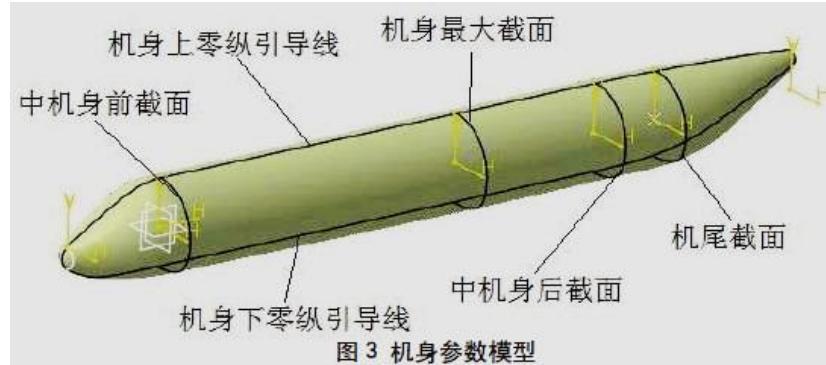
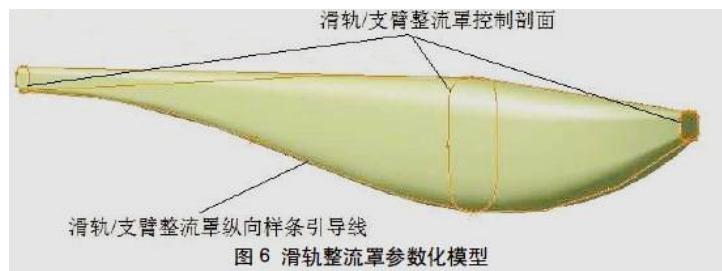
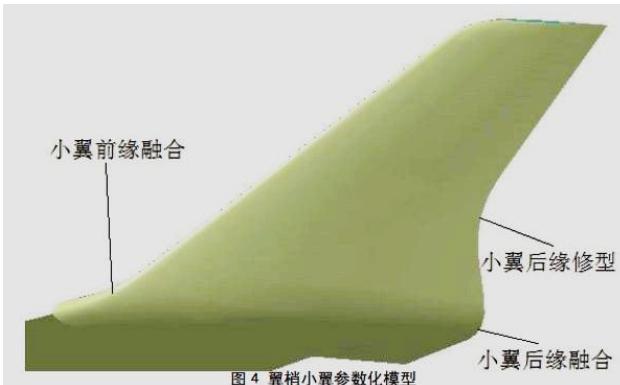
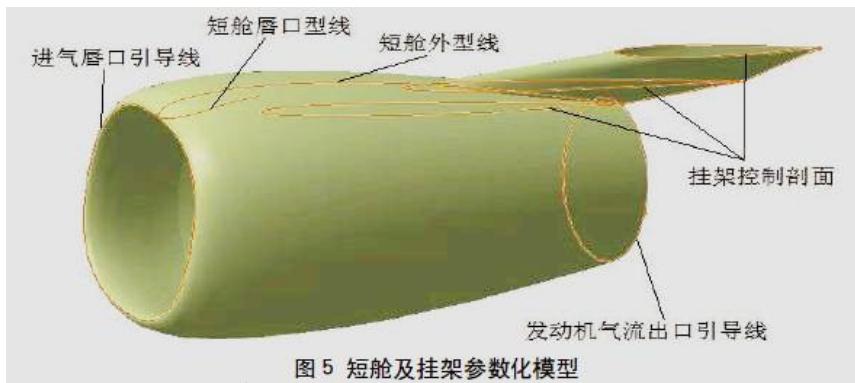


Ducks trace out curve

样条曲线是经过一系列给定点的光滑曲线。



# Spline in industry



# Interpolation

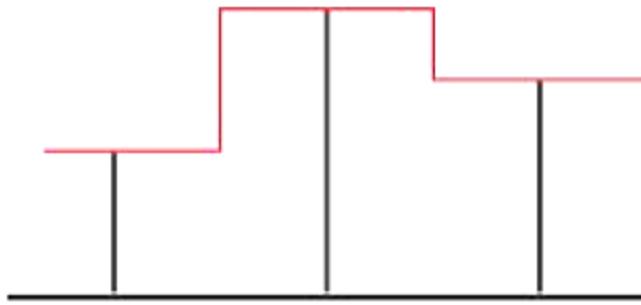
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- Goal: interpolate values



# Nearest neighbor interpolation

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Problem: values not continuous



# Linear interpolation

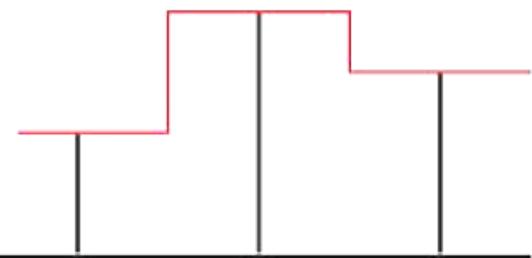
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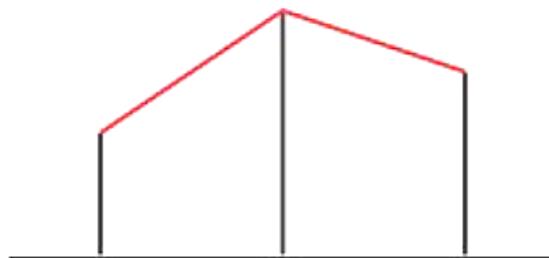
Problem: derivatives not continuous



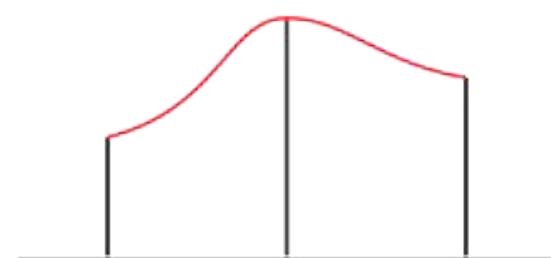
# Smooth interpolation?



NN interpolation



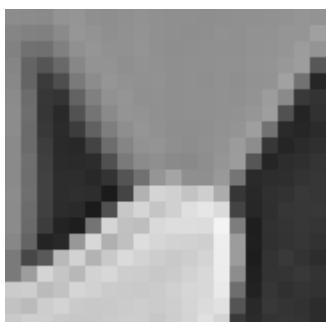
Linear interpolation



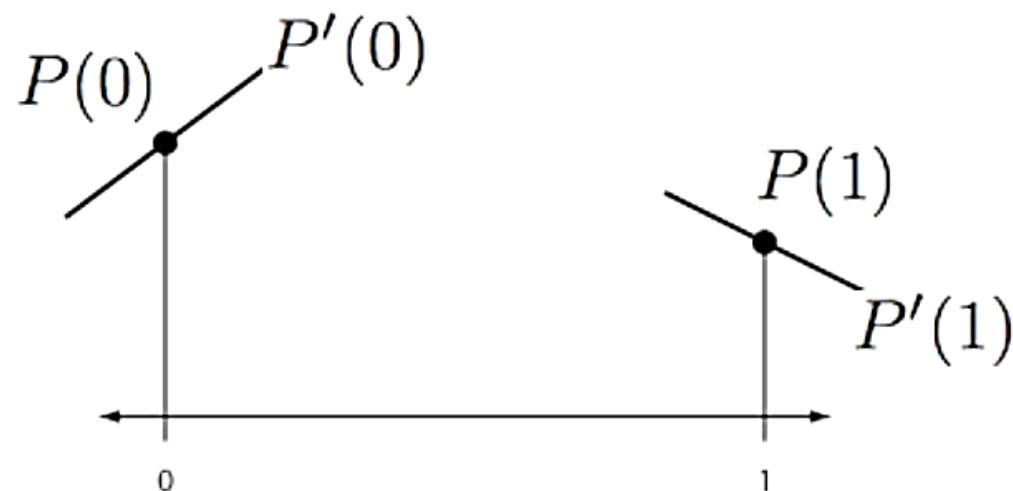
Smooth curve



20 x 20 pixel



# Cubic Hermite Interpolation



Given: value and derivatives at 2 points

Hermite 曲线是通过给定曲线的两个端点的**位置矢量**  $P(0)$ 、 $P(1)$  以及两个端点处的**切线矢量**  $P'(0)$ 、 $P'(1)$  来描述曲线。



# Cubic Hermite Interpolation

---

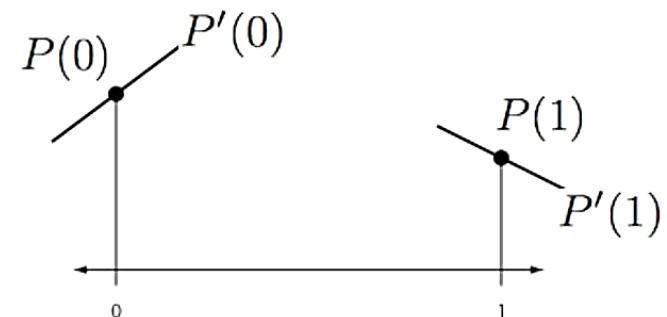
- Assume Cubic polynomial

$$P(t) = a t^3 + b t^2 + c t + d$$

- Solve for coefficients:

$$P(0) = h_0 = d$$

$$P(1) = h_1 = a + b + c + d$$



# Cubic Hermite Interpolation

---

- Cubic polynomial

$$P(t) = a t^3 + b t^2 + c t + d$$

$$P'(t) = 3a t^2 + 2b t + c$$

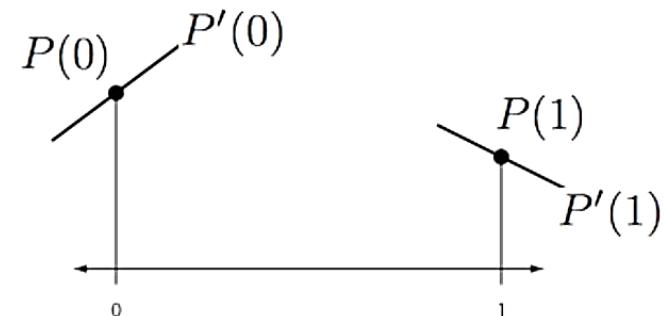
- Solve for coefficients:

$$P(0) = h_0 = d$$

$$P(1) = h_1 = a + b + c + d$$

$$P'(0) = h_2 = c$$

$$P'(1) = h_3 = 3a + 2b + c$$



# Matrix Representation of Solution

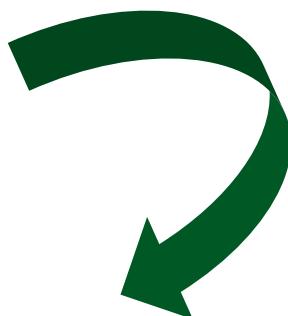
---

$$h_0 = d$$

$$h_1 = a + b + c + d$$

$$h_2 = c$$

$$h_3 = 3a + 2b + c$$



$$\begin{bmatrix} h_0 \\ h_1 \\ h_2 \\ h_3 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 \\ 3 & 2 & 1 & 0 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix}$$



# Solve for a, b, c, d

---

- Matrix Inverse

$$\begin{bmatrix} h_0 \\ h_1 \\ h_2 \\ h_3 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 \\ 3 & 2 & 1 & 0 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix}$$



$$\begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix} = \begin{bmatrix} 2 & -2 & 1 & 1 \\ -3 & 3 & -2 & -1 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} h_0 \\ h_1 \\ h_2 \\ h_3 \end{bmatrix}$$



# Matrix Representation of Polynomials

---

- Cubic polynomial

$$P(t) = a t^3 + b t^2 + c t + d$$

$$t^0=1$$



$$P(t) = [ \begin{array}{cccc} a & b & c & d \end{array} ] \begin{bmatrix} t^3 \\ t^2 \\ t \\ 1 \end{bmatrix}$$



# Insert identity matrix

$$\begin{bmatrix} h_0 \\ h_1 \\ h_2 \\ h_3 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 \\ 3 & 2 & 1 & 0 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix} \quad P(t) = [a \ b \ c \ d] \begin{bmatrix} t^3 \\ t^2 \\ t \\ 1 \end{bmatrix}$$

$$\begin{bmatrix} h_0 & h_1 & h_2 & h_3 \end{bmatrix} = \underbrace{\begin{bmatrix} a & b & c & d \end{bmatrix}}_{\text{Original Matrix}} \underbrace{\begin{bmatrix} 0 & 1 & 0 & 3 \\ 0 & 1 & 0 & 2 \\ 0 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} 2 & -3 & 0 & 1 \\ -2 & 3 & 0 & 0 \\ 1 & -2 & 1 & 0 \\ 1 & -1 & 0 & 0 \end{bmatrix}}_{\text{Augmented Matrix}} \underbrace{\begin{bmatrix} t^3 \\ t^2 \\ t \\ 1 \end{bmatrix}}_{\text{Identity Matrix}}$$
$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



# Change Basis

---

$$[a \ b \ c \ d] \begin{bmatrix} 0 & 1 & 0 & 3 \\ 0 & 1 & 0 & 2 \\ 0 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} 2 & -3 & 0 & 1 \\ -2 & 3 & 0 & 0 \\ 1 & -2 & 1 & 0 \\ 1 & -1 & 0 & 0 \end{bmatrix} \begin{bmatrix} t^3 \\ t^2 \\ t \\ 1 \end{bmatrix}$$

$\underbrace{\hspace{10em}}_{[h_0 \ h_1 \ h_2 \ h_3]} \quad \underbrace{\hspace{10em}}_{\begin{bmatrix} H_0(t) \\ H_1(t) \\ H_2(t) \\ H_3(t) \end{bmatrix}}$



# Hermite Basis Functions

---

$$\begin{bmatrix} H_0(t) \\ H_1(t) \\ H_2(t) \\ H_3(t) \end{bmatrix} = \begin{bmatrix} 2 & -3 & 0 & 1 \\ -2 & 3 & 0 & 0 \\ 1 & -2 & 1 & 0 \\ 1 & -1 & 0 & 0 \end{bmatrix} \begin{bmatrix} t^3 \\ t^2 \\ t \\ 1 \end{bmatrix}$$



$$H_0(t) = 2t^3 - 3t^2 + 1$$

$$H_1(t) = -2t^3 + 3t^2$$

$$H_2(t) = t^3 - 2t^2 + t$$

$$H_3(t) = t^3 - t^2$$



# Hermite Basis Functions

---

$$[ \begin{array}{cccc} a & b & c & d \end{array} ] \begin{bmatrix} t^3 \\ t^2 \\ t \\ 1 \end{bmatrix} = [ \begin{array}{cccc} h_0 & h_1 & h_2 & h_3 \end{array} ] \begin{bmatrix} H_0(t) \\ H_1(t) \\ H_2(t) \\ H_3(t) \end{bmatrix}$$



$$P(t) = \sum_{i=0}^3 h_i H_i(t)$$

$$P(0) = h_0 = d$$

$$P(1) = h_1 = a + b + c + d$$

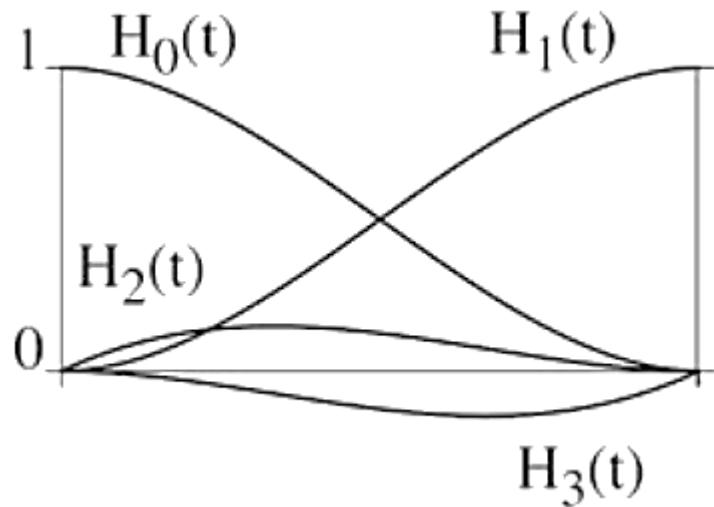
$$P'(0) = h_2 = c$$

$$P'(1) = h_3 = 3a + 2b + c$$



# Hermite Basis Functions

---



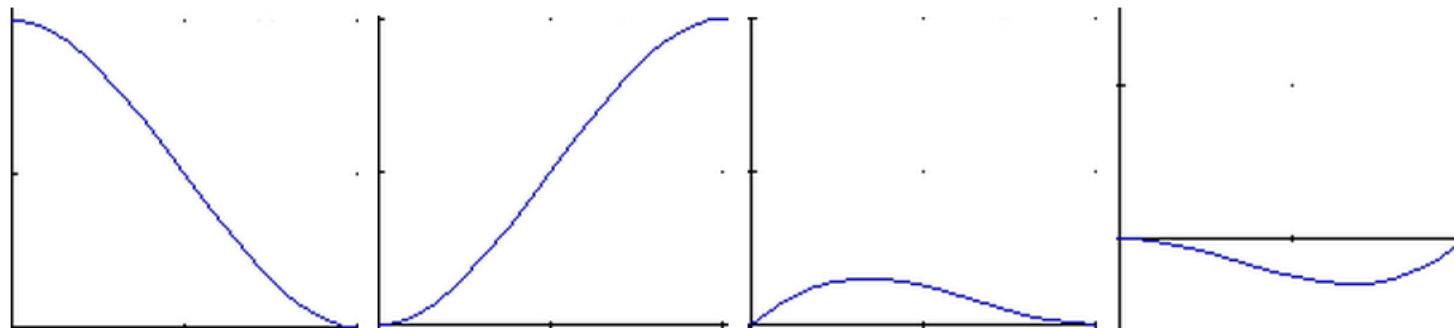
$$H_0(t) = 2t^3 - 3t^2 + 1$$

$$H_1(t) = -2t^3 + 3t^2$$

$$H_2(t) = t^3 - 2t^2 + t$$

$$H_3(t) = t^3 - t^2$$

Below are the 4 graphs of the 4 functions



(all graphs except the 4th have been plotted from 0,0 to 1,1)



# Case

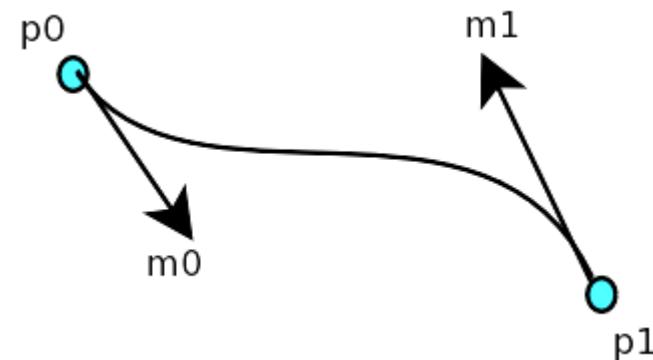
---

- $\mathbf{P}(t) = (2t^3 - 3t^2 + 1) \mathbf{P}_0$

- $+ (t^3 - 2t^2 + t) \mathbf{m}_0$

- $+ (-2t^3 + 3t^2) \mathbf{P}_1$

- $+ (t^3 - t^2) \mathbf{m}_1$



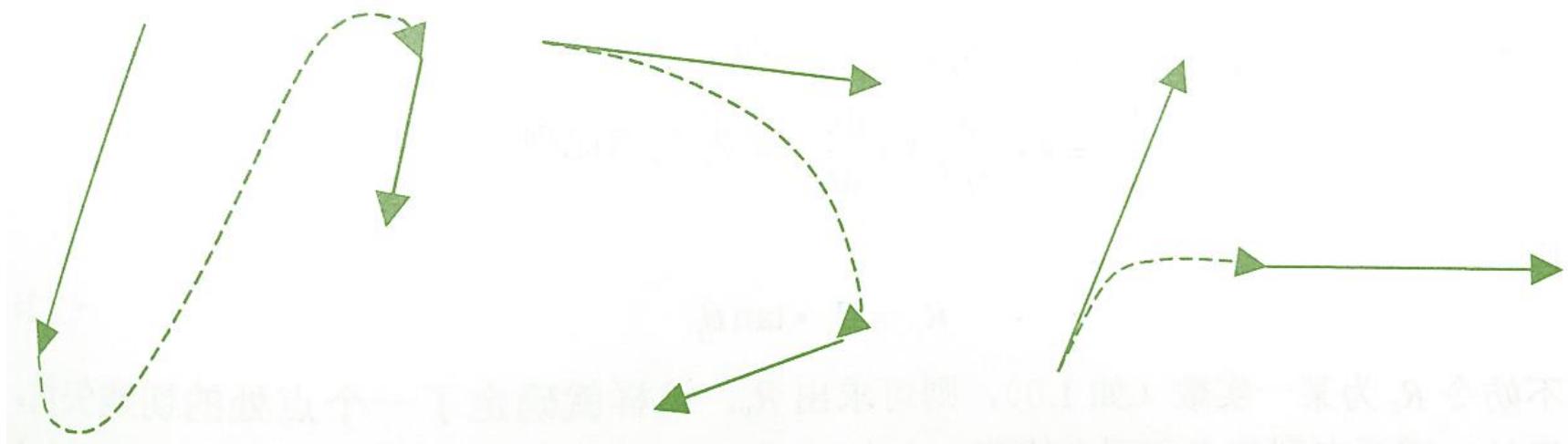
$$t \in [0, 1]$$



# Case

---

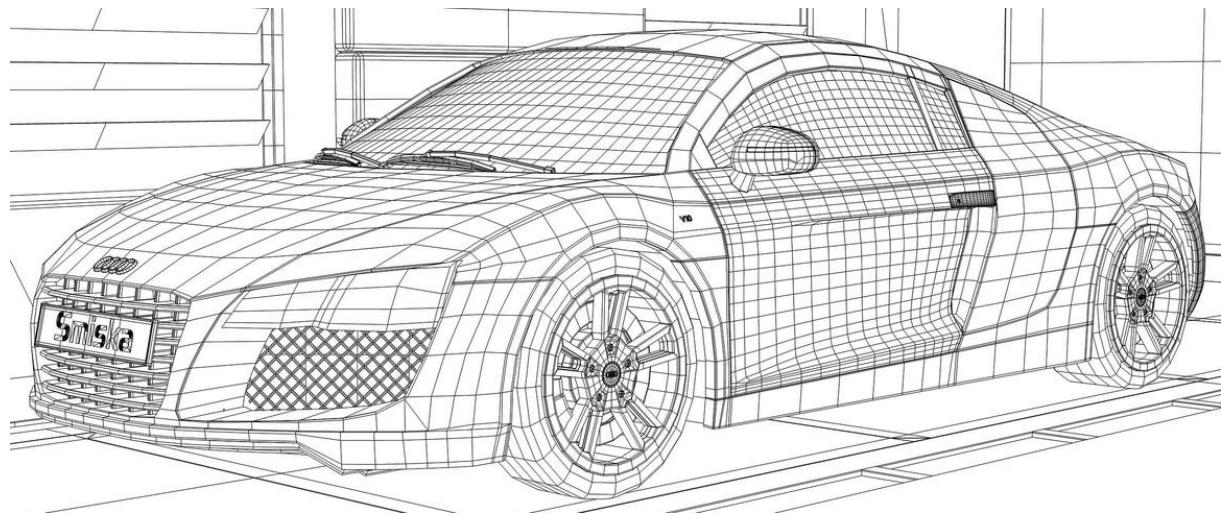
- The derivatives and the shape of Hermite curves



# Outline

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- Interpolation and Approximation
- Curve Modeling
  - Parametric curve
  - Cubic Hermite interpolation
  - **Bézier curve**
  - B-Spline
- Surface Modeling
  - Bézier surface

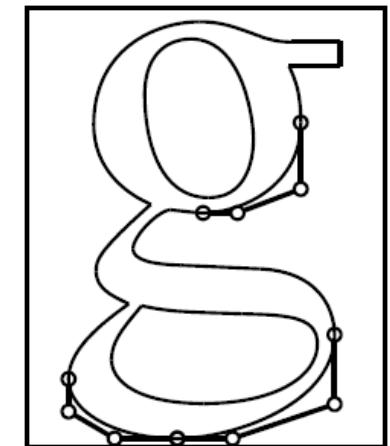


# Bézier curve

- A Bézier curve is a parametric curve frequently used in computer graphics and related fields.
- In 1962, Pierre Bézier, an engineer of French Renault Car company and finally developed a system UNISURF for car surface design in 1972



Pierre Bézier



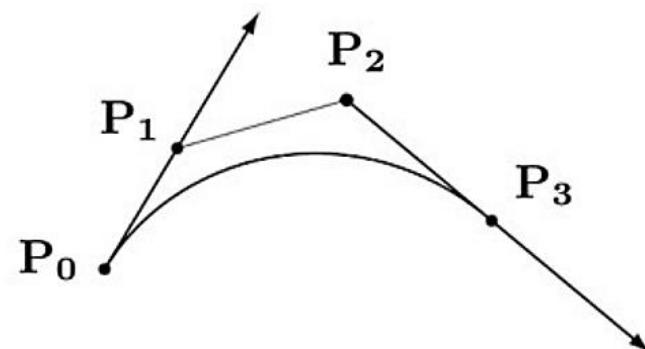
# The definition of Bézier curve

- Bézier curve本质上是由**调和函数 (Harmonic functions)**根据**控制点 (Control points)**插值生成。其参数方程如下：

$$Q(t) = \sum_{i=0}^n P_i B_{i,n}(t), \quad t \in [0,1]$$

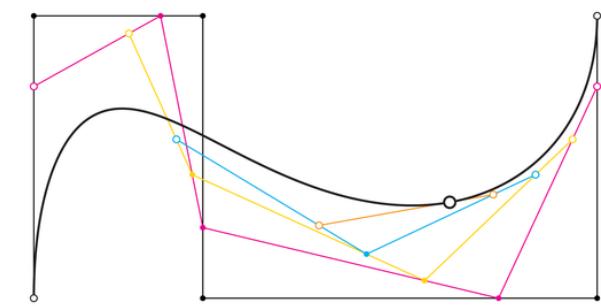
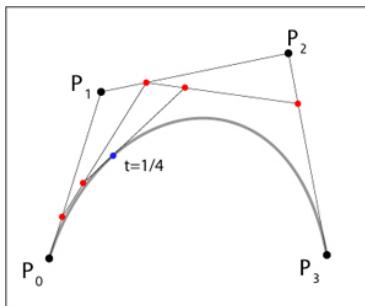
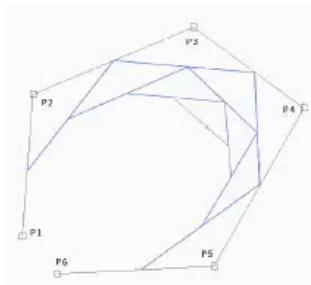
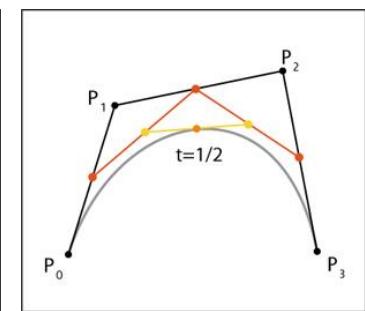
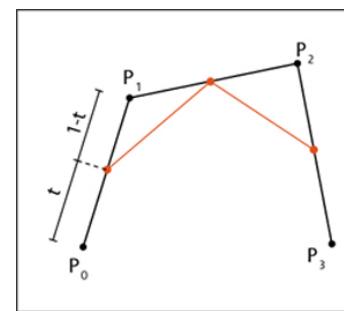
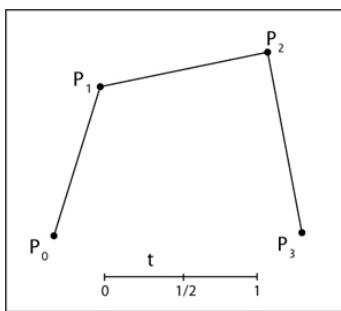
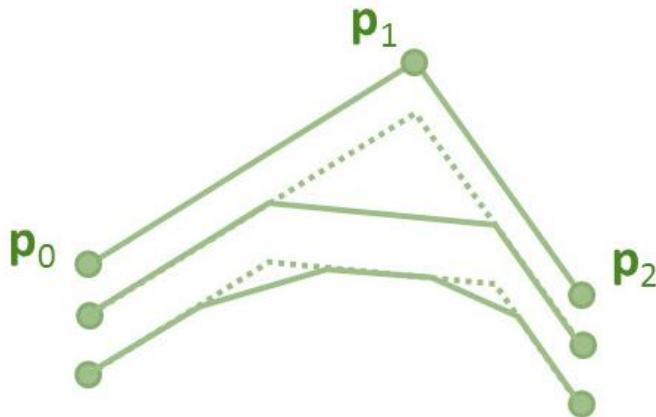
- 上式为 $n$ 次多项式，具有 $n + 1$ 项。其中， $P_i (i = 0, 1 \dots n)$ 表示特征多边形的 $n + 1$ 个顶点向量； $B_{i,n}(t)$ 为**伯恩斯坦 (Bernstein) 基函数**，其多项式表示为：

$$B_{i,n}(t) = \frac{n!}{i!(n-i)!} t^i (1-t)^{n-i}, \quad i=0, 1\dots n$$



# Intuition for Bezier curves

- Keep on cutting corners to make a “smoother” curve
- In the limit, the curve becomes smooth

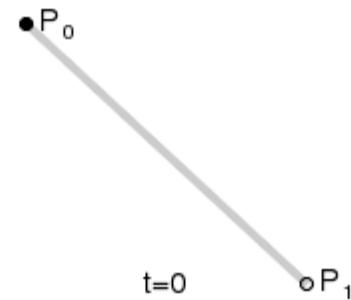


# Linear Bézier curve

- Linear polynomial (一次多项式) has two control points, the matrix representation is in the following:

$$\begin{aligned} Q(t) &= \sum_i^1 P_i B_{i,1}(t) = P_0 B_{0,1}(t) + P_1 B_{1,1}(t) \\ &= (1-t)P_0 + tP_1, \quad t \in [0,1] \\ &= [t \quad 1] \begin{bmatrix} -1 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} P_0 \\ P_1 \end{bmatrix} \end{aligned}$$

- Actually, it is a line.



$$B_{i,n}(t) = \frac{n!}{i!(n-i)!} t^i (1-t)^{n-i}, \quad i=0, 1\dots n$$



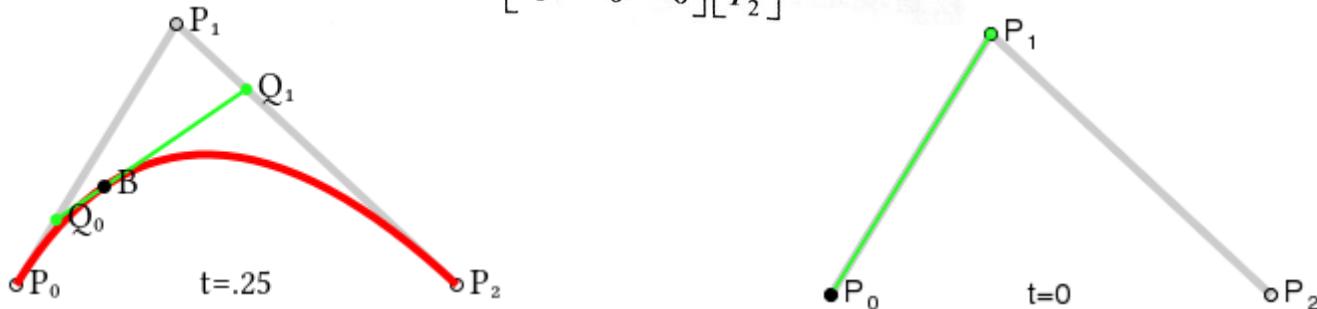
# Quadratic Bézier curve

- Quadratic polynomial (二次多项式) has 3 control points, the math formula is as follows:

$$\begin{aligned} Q(t) &= \sum_i^2 P_i B_{i,2}(t) = P_0 B_{0,2}(t) + P_1 B_{1,2}(t) + P_2 B_{2,2}(t) \\ &= (1-t)^2 P_0 + 2t(1-t)P_1 + t^2 P_2 \quad , \quad t \in [0,1] \\ &= (P_2 - 2P_1 + P_0)t^2 + 2(P_1 - P_0)t + P_0 \end{aligned}$$
$$B_{i,n}(t) = \frac{n!}{i!(n-i)!} t^i (1-t)^{n-i}, \quad i=0, 1\dots n$$

- Quadric Bézier curve is parabola , It's matrix representation:

$$Q(t) = [t^2 \quad t \quad 1] \begin{bmatrix} 1 & -2 & 1 \\ -2 & 2 & 0 \\ 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} P_0 \\ P_1 \\ P_2 \end{bmatrix}, \quad t \in [0,1]$$



# Cubic Bézier curve

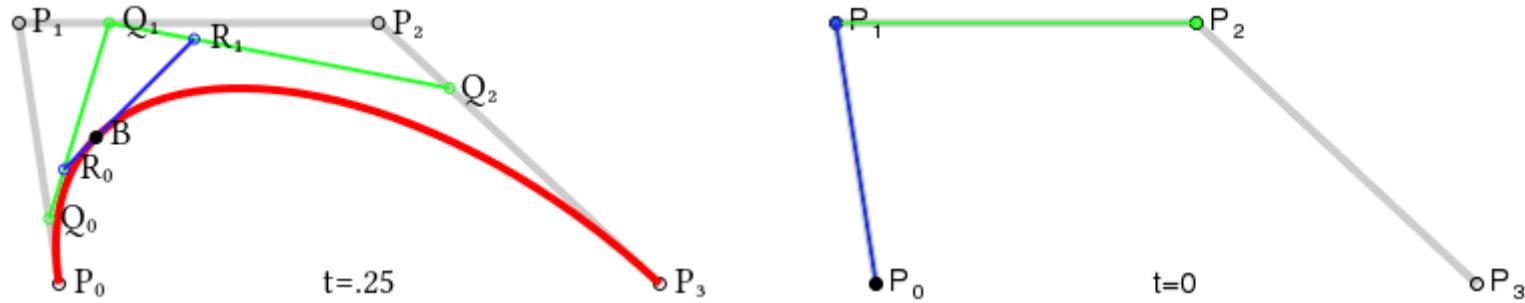
- Cubic polynomial (三次多项式) has 4 control points, the math formula is as follows:

$$B_{i,n}(t) = \frac{n!}{i!(n-i)!} t^i (1-t)^{n-i}, \quad i=0, 1\dots n$$

$$\begin{aligned} Q(t) &= \sum_i^3 P_i B_{i,3}(t) = P_0 B_{0,3}(t) + P_1 B_{1,3}(t) + P_2 B_{2,3}(t) + P_3 B_{3,3}(t), \quad t \in [0,1] \\ &= (1-t)^3 P_0 + 3t(1-t)^2 P_1 + 3t^2(1-t)P_2 + t^3 P_3 \end{aligned}$$

- The matrix representation:

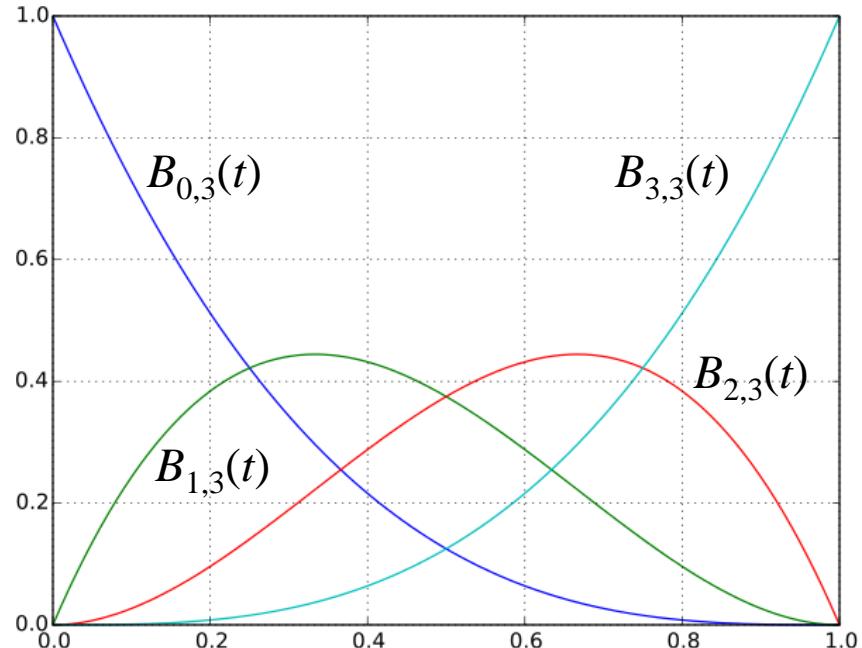
$$Q(t) = [t^3 \quad t^2 \quad t \quad 1] \begin{bmatrix} -1 & 3 & -3 & 1 \\ 3 & -6 & 3 & 0 \\ -3 & 3 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} P_0 \\ P_1 \\ P_2 \\ P_3 \end{bmatrix}, \quad t \in [0,1]$$



# Bernstein Basis Functions

- 根据Bernstein多项式构成了三次Bézier曲线的一组基，或称为三次Bézier曲线的调和函数，即：

$$\begin{cases} B_{0,3}(t) = (1-t)^3 \\ B_{1,3}(t) = 3t(1-t)^2 \\ B_{2,3}(t) = 3t^2(1-t) \\ B_{3,3}(t) = t^3 \end{cases}$$



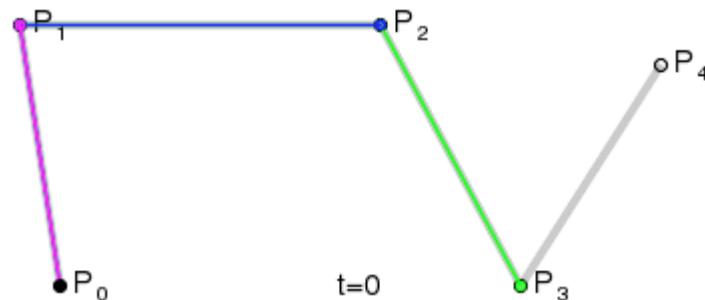
$$B_{i,n}(t) = \frac{n!}{i!(n-i)!} t^i (1-t)^{n-i}, \quad i=0, 1\dots n$$

The basis functions of cubic Bézier curve  
on the range t in [0,1]

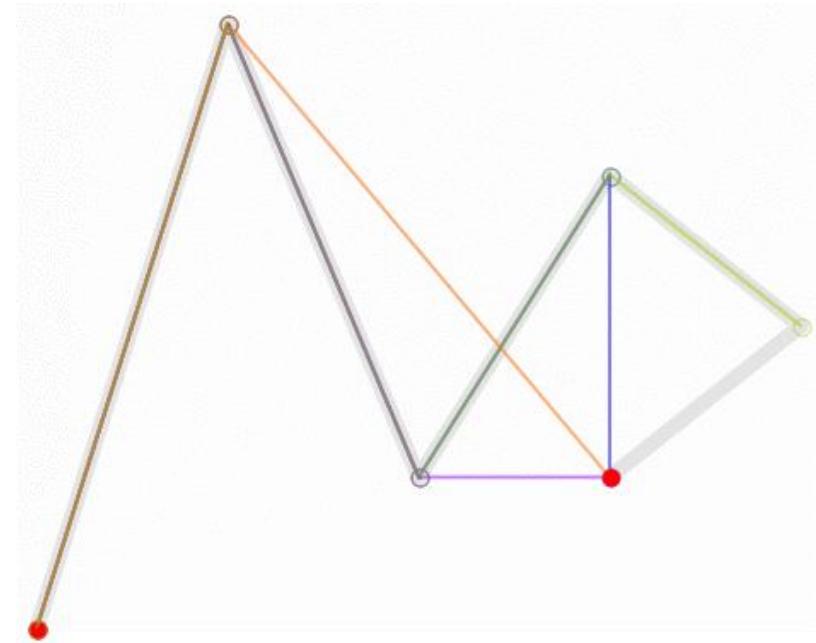


# High-order Bézier curve

- For fifth-order curves, one can construct similar intermediate points. fifth-order curves



four-order curves



fifth-order curves



# The properties of Bernstein polynomial

---

- Non-negative(非负性) :

$$B_{i,n}(t) = \begin{cases} = 0 & t = 0, 1 \\ > 0 & t \in (0, 1), i = 1, 2, \dots, n-1; \end{cases}$$

- End-point ( 端点性 )

$$B_{i,n}(0) = \begin{cases} 1 & (i = 0) \\ 0 & otherwise \end{cases}$$

$$B_{i,n}(1) = \begin{cases} 1 & (i = n) \\ 0 & otherwise \end{cases}$$



# The properties of Bernstein polynomial

---

- Unity(一致性) :

$$\sum_{i=0}^n B_{i,n}(t) \equiv 1 \quad t \in (0,1)$$

– Proof: According to *Binomial Theorem*(二项式定理), we have

$$\sum_{i=0}^n B_{i,n}(t) = \sum_{i=0}^n C_n^i t^i (1-t)^{n-i} = [(1-t)+t]^n \equiv 1$$



# The properties of Bernstein polynomial

---

- Symmetry(一致性) :

$$B_{i,n}(t) = B_{n-i,n}(1-t)$$

$$\begin{aligned} B_{n-i,n}(1-t) &= C_n^{n-i} [1 - (1-t)]^{n-(n-i)} \cdot (1-t)^{n-i} \\ &= C_n^i t^i (1-t)^{n-i} = B_{i,n}(t) \end{aligned}$$



# The properties of Bernstein polynomial

---

- Recursive(递归性) :

$$B_{i,n}(t) = (1-t)B_{i,n-1}(t) + tB_{i-1,n-1}(t), \quad (i = 0, 1, \dots, n)$$

- This means that *Bernstein Polynomial* of degree  $n$  is a linear combination of two *Bernstein Polynomial* of degree  $n-1$ .

$$\begin{aligned} B_{i,n}(t) &= C_n^i t^i (1-t)^{n-i} = (C_{n-1}^i + C_{n-1}^{i-1}) t^i (1-t)^{n-i} \\ &= (1-t)C_{n-1}^i t^i (1-t)^{(n-1)-i} + tC_{n-1}^{i-1} t^{i-1} (1-t)^{(n-1)-(i-1)} \\ &= (1-t)B_{i,n-1}(t) + tB_{i-1,n-1}(t) \end{aligned}$$



# The properties of Bernstein polynomial

---

- Derivation (导数)

$$B'_{i,n}(t) = n[B_{i-1,n-1}(t) - B_{i,n-1}(t)],$$
$$i = 0, 1, \dots, n;$$

- Maximum
  - $B_{i,n}(t)$  has a unique local maximum on the interval  $[0,1]$  at  $x = i/n$



# The properties of Bézier curve

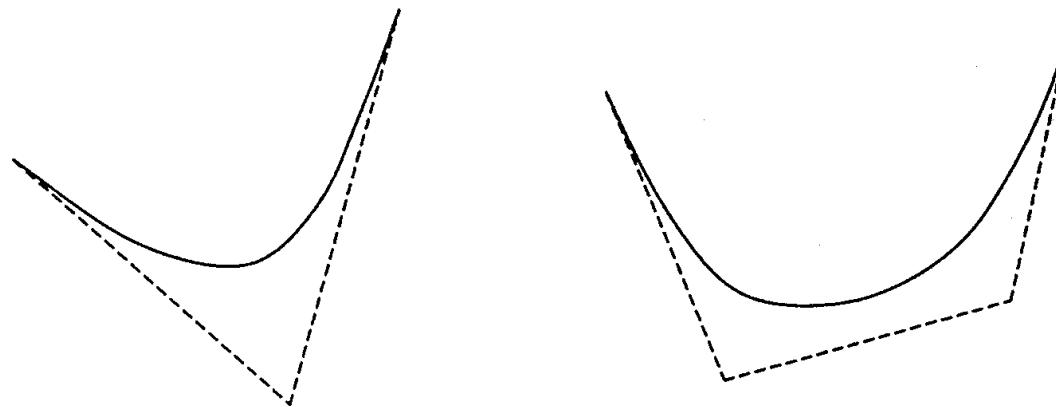
- 端点性质：当  $t = 0$  和  $t = 1$  时，有：

$$B_{i,n}(t) = \frac{n!}{i!(n-i)!} t^i (1-t)^{n-i}, \quad i=0, 1\dots n$$

$$Q(0) = \sum_{i=0}^n P_i B_{i,n}(0) = P_0 B_{0,n}(0) + P_1 B_{1,n}(0) + \dots + P_n B_{n,n}(0) = P_0$$

$$Q(1) = \sum_{i=0}^n P_i B_{i,n}(1) = P_0 B_{0,n}(1) + P_1 B_{1,n}(1) + \dots + P_n B_{n,n}(1) = P_n$$

- 这说明，Bézier曲线通过特征多边形的起点和终点。



# The properties of Bézier curve

---

- 切向量性质：

- Since

$$P'(t) = n \sum_{i=0}^{n-1} P_i [B_{i-1,n-1}(t) - B_{i,n-1}(t)]$$

- We have

$$P'(0) = n(P_1 - P_0), \quad P'(1) = n(P_n - P_{n-1})$$

- This implies the tangent vector of the curve at the start and end points is the same with the direction of the first and last edges of the control polygon



# The properties of Bézier curve

- 二阶导数性质：

$$P''(t) = n(n-1) \sum_{i=0}^{n-2} (P_{i+2} - 2P_{i+1} + P_i) B_{i,n-2}(t)$$

So we have

$$P''(0) = n(n-1)(P_2 - 2P_1 + P_0)$$

$$P''(1) = n(n-1)(P_n - 2P_{n-1} + P_{n-2})$$

By the Curvature formula, we have

$$k(0) = \frac{n-1}{n} \cdot \frac{|(P_1 - P_0) \times (P_2 - P_1)|}{|P_1 - P_0|^3} \quad k(1) = \frac{n-1}{n} \cdot \frac{|(P_{n-1} - P_{n-2}) \times (P_n - P_{n-1})|}{|P_n - P_{n-1}|^3}$$

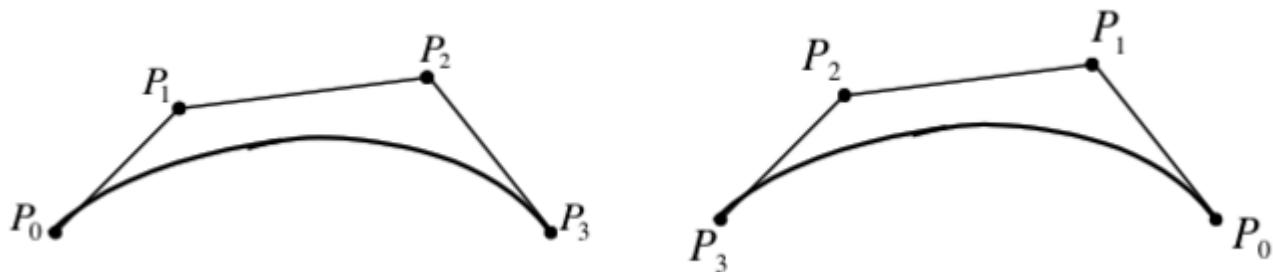


# The properties of Bézier curve

- 对称性：由于 $B_{i,n}(t) = B_{n-i,n}(1-t)$ ，如果将控制点的顺序颠倒过来，记 $P_i^* = P_{n-i}$ ，则根据Bézier曲线的定义可推出：

$$\begin{aligned} Q^*(t) &= \sum_{i=0}^n P_i^* B_{i,n}(t) = \sum_{i=0}^n P_{n-i} B_{i,n}(t) = \sum_{i=n}^0 P_k B_{n-k,n}(t) \\ &= \sum_{i=n}^0 P_k B_{k,n}(1-t) \\ &= Q(1-t) \end{aligned}$$

- 这说明，只要保持特征多边形的顶点位置不变，但顺序颠倒，所得的新的Bézier曲线形状不变，只是参数变化的方向相反。

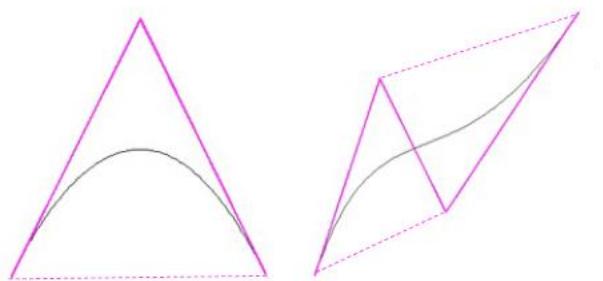


# The properties of Bézier curve

- 凸包性：由于当  $t \in [0,1]$  时，Bernstein 多项式之和为：

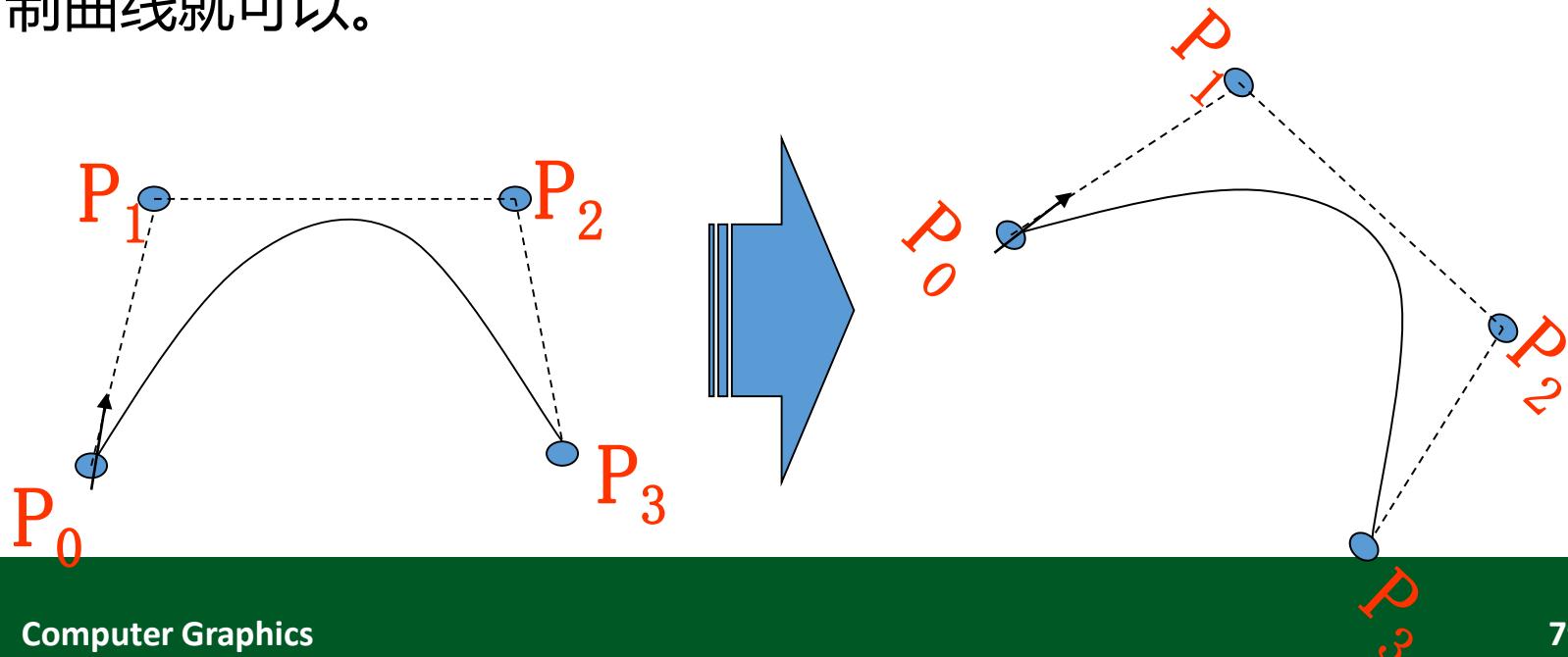
$$\begin{aligned}\sum_{i=0}^n B_{i,n}(t) &= \sum_{i=0}^n \frac{n!}{i!(n-i)!} t^i (1-t)^{n-i} \\ &= [(1-t) + t] \equiv 1\end{aligned}$$

- 且有  $B_{i,n}(t) = \frac{n!}{i!(n-i)!} t^i (1-t)^{n-i} \geq 0$
- 则说明  $B_{i,n}(t)$  构成了 Bézier 曲线的一组权函数，所以 Bézier 曲线一定落在其控制多边形的凸包之中。



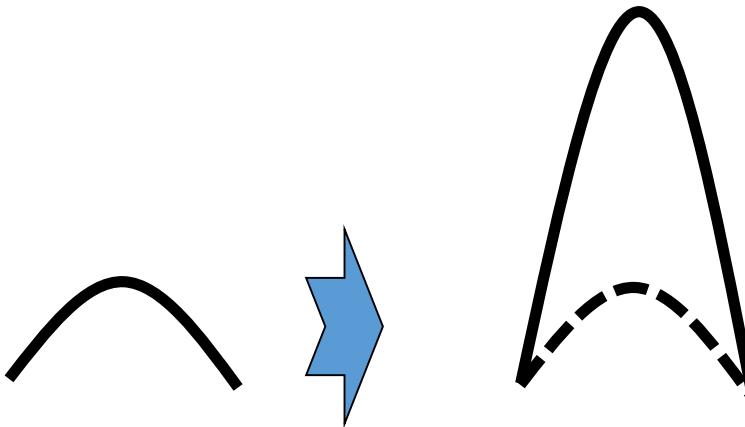
# The properties of Bézier curve

- 几何不变形 ( Geometric Invariant ) : 指Bézier曲线的形状不随坐标变换而变化的特性。 Bézier曲线的形状只与各控制顶点的相对位置有关。
- 因此，在对Bézier曲线进行几何变换时，不需要对曲线上的所有点都进行处理，只需要先对控制顶点进行几何变换，然后重新绘制曲线就可以。

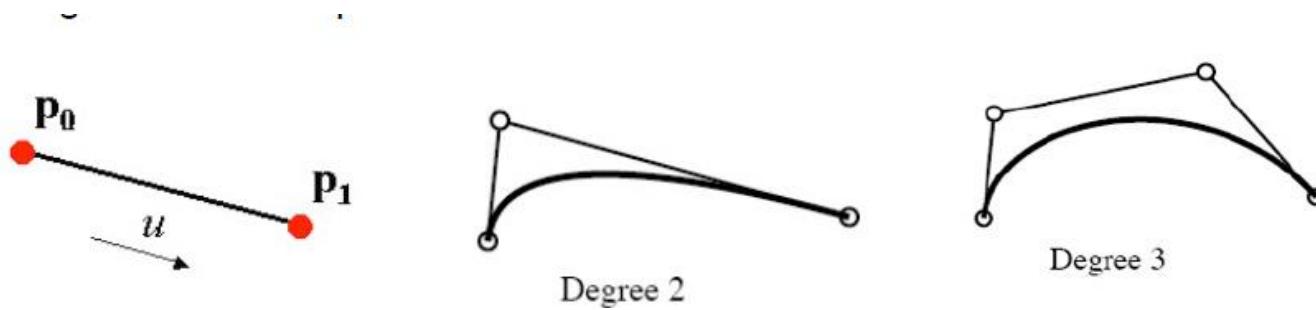


# Disadvantage of Bézier curves

- 全局性 : the whole shape will change when a control point moves



- Control points determine the degree of the curve. many control points means high degree.



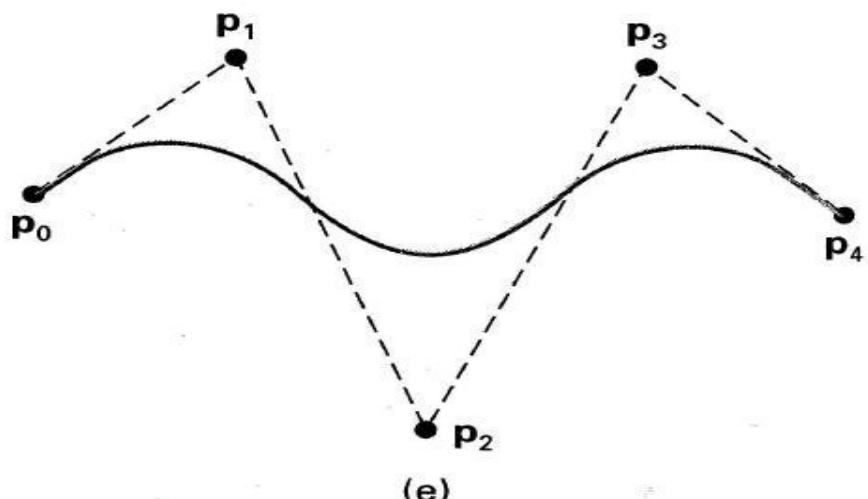
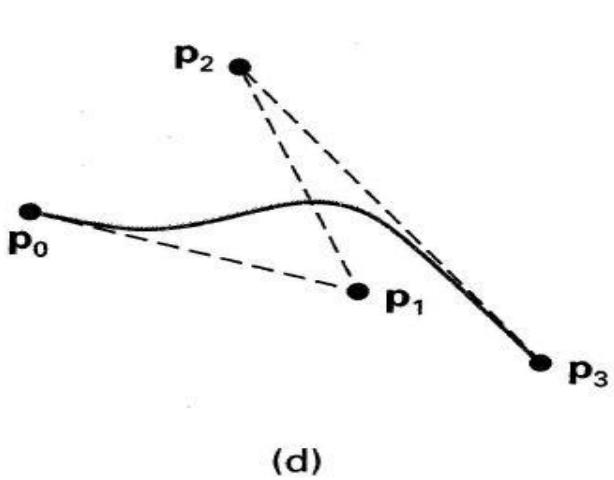
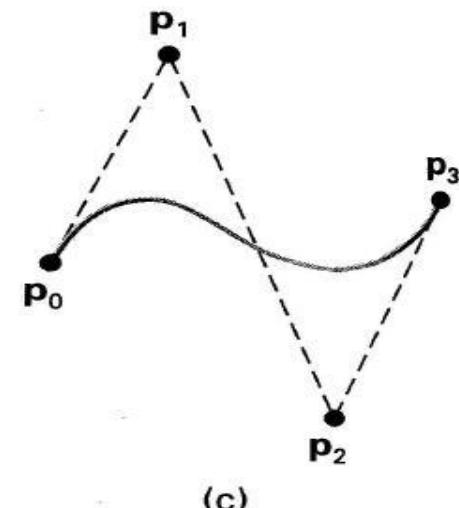
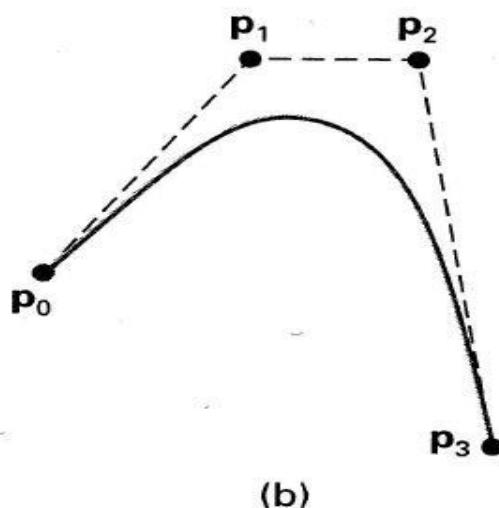
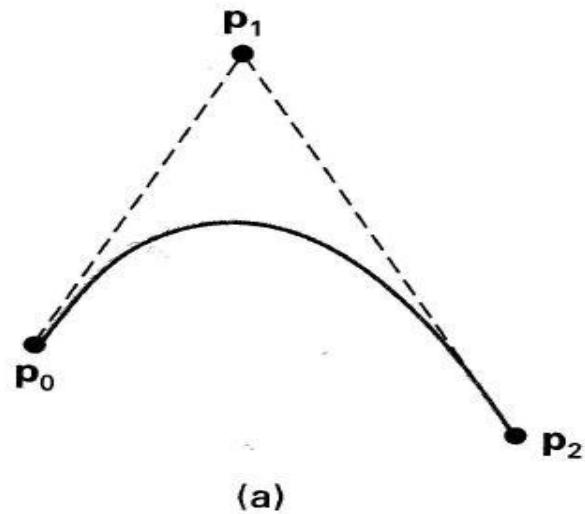


Figure 10-34

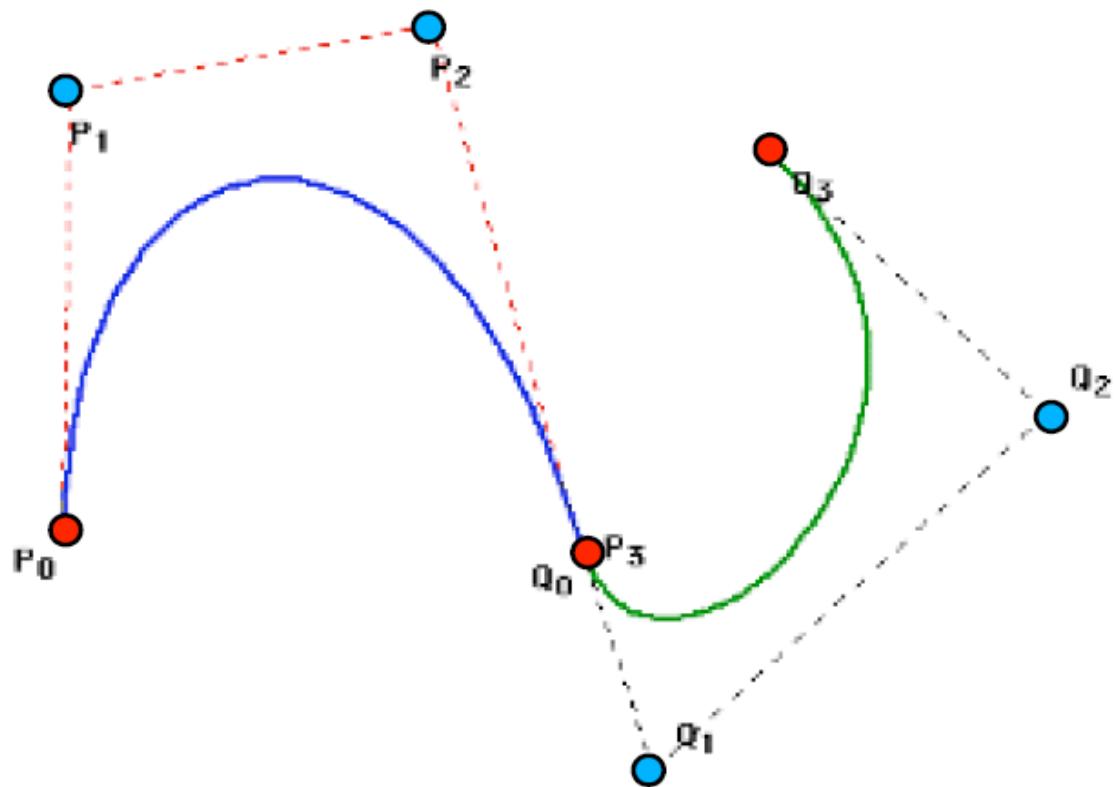
Examples of two-dimensional Bézier curves generated from three, four, and five control points. Dashed lines connect the control-point positions.

# Bézier Splines -Multiple Segement

---

- To build more complex curves we can piece together different Bézier curves to make Bézier splines
  - ✓  $C_0$ : Match endpoints of curve segments
  - ✓  $C_1$ : Match tangents;  $P_4 - P_3 = Q_2 - Q_1$   
Align the last two points of the first curve with the first two points of the second curve.
  - ✓  $C_2$ : Hard; constrains next three control points



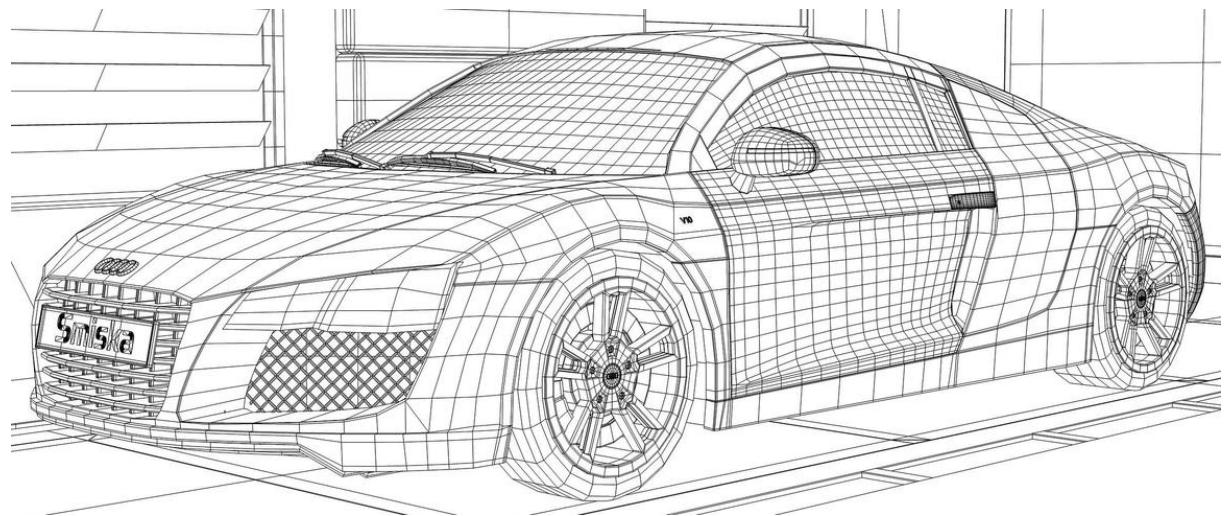


If we ensure that blue points on two sides of each red point are on one line, the whole curve is  $G^1$ , that is have tangent everywhere

# Outline

---

- Interpolation and Approximation
- Curve Modeling
  - Parametric curve
  - Cubic Hermite interpolation
  - Bézier curve
  - B-Spline
- Surface Modeling
  - Bézier surface



# Why to introduce B-Spline?

---

- Bezier curve has many advantages, but they have two main shortcomings:
  - The number of control points determines the degree of the curve. many control points means high degree.
  - It's global. A control point influences the whole curve.
- B-spline curves do not suffer these drawbacks.

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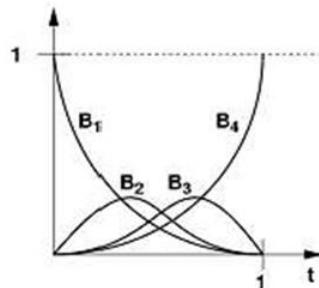
de Boor et al. replaced Bernstein basis with B-spline basis to generate B-spline curve.



# B-Spline Curve

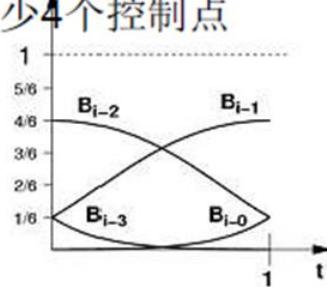
- 我们先来实际体会一下**B样条曲线**和**Bezier**曲线的差别，看看下面例子：

Bézier

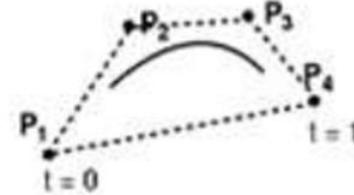
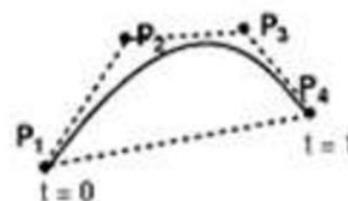


3次曲线为例，基函数不同  
3次曲线都需要至少4个控制点

BSpline

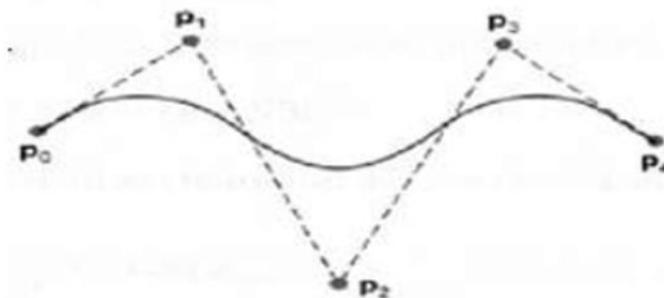


B样条也是逼近曲线，不一定过控制点，甚至不过起控制点和终控制点

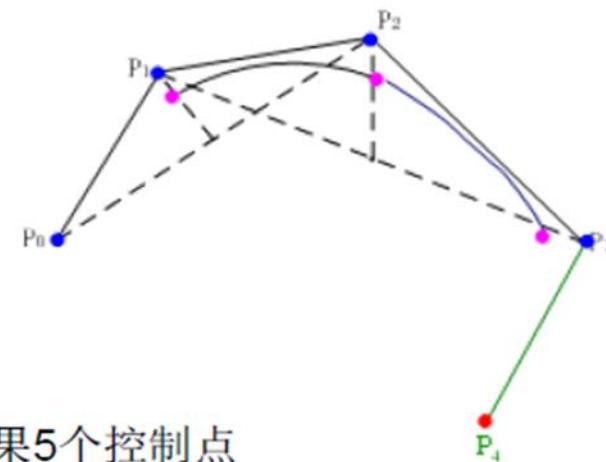


# B-Spline Curve

- 我们先来实际体会一下**B样条曲线**和**Bezier曲线**的差别，看看下面例子：



Bezier曲线如果5个控制点  
那么只能是4次曲线  
条件任何一个控制点，  
会影响整个4次曲线



B样条曲线如果5个控制点  
可以使用3次曲线，也可以使用4次曲线来构  
造整个曲线  
使用4次曲线那么就是1段曲线  
使用3次曲线那么就构造2段曲线，并且这2  
段曲线可以自然拼接起来，调节P4点位置  
只会影响第二段曲线



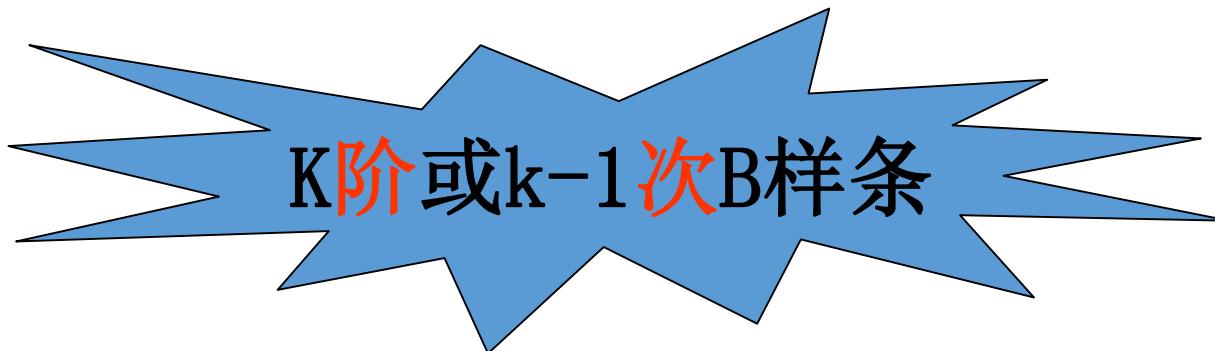
# Definition of B-splines

- B-spline curve is **piecewise** polynomial curve
- Given **knot vector**(节点向量) :

$$t = \{t_0, t_1, \dots, t_i, \dots, t_{n+k+1}\}$$

A B-spline of order  $k$  ( degree  $k-1$  ) with  $n+1$  control points is defined as

$$P(t) = \sum_{i=0}^n P_i N_{i,k}(t) \quad t \in [t_0, t_{n+k+1}]$$



# Definition of B-splines

---

- $P_i$ : control points,  $\{P_i\}_{i=0,1,\dots,n}$ : control polygon
- $N_{i,k}(t)$  ( $i=0,1,\dots,n$ ) are the  $i$ -th B-spline basis function of order  $k$ . B-spline basis function is a order  $k$ (degree  $k-1$ ) piecewise polynomial(分段多项式) determined by the knot vector.
  - de Boor-Cox recursion formula:

$$N_{i,1}(t) = \begin{cases} 1 & t_i < t < t_{i+1} \\ 0 & Otherwise \end{cases}$$

$$N_{i,k}(t) = \frac{t - t_i}{t_{i+k-1} - t_i} N_{i,k-1}(t) + \frac{t_{i+k} - t}{t_{i+k} - t_{i+1}} N_{i+1,k-1}(t)$$

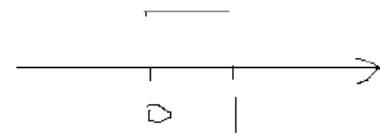
- Knot Vector: a sequence of non-decreasing number

$$t_0, t_1, \dots, t_{k-1}, t_k, \dots, t_n, t_{n+1}, \dots, t_{n+k-1}, t_{n+k}$$



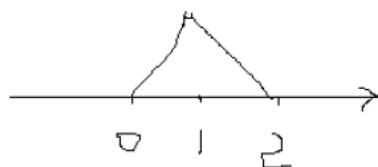
# B-splines Basis

- $k = 1, i = 0$

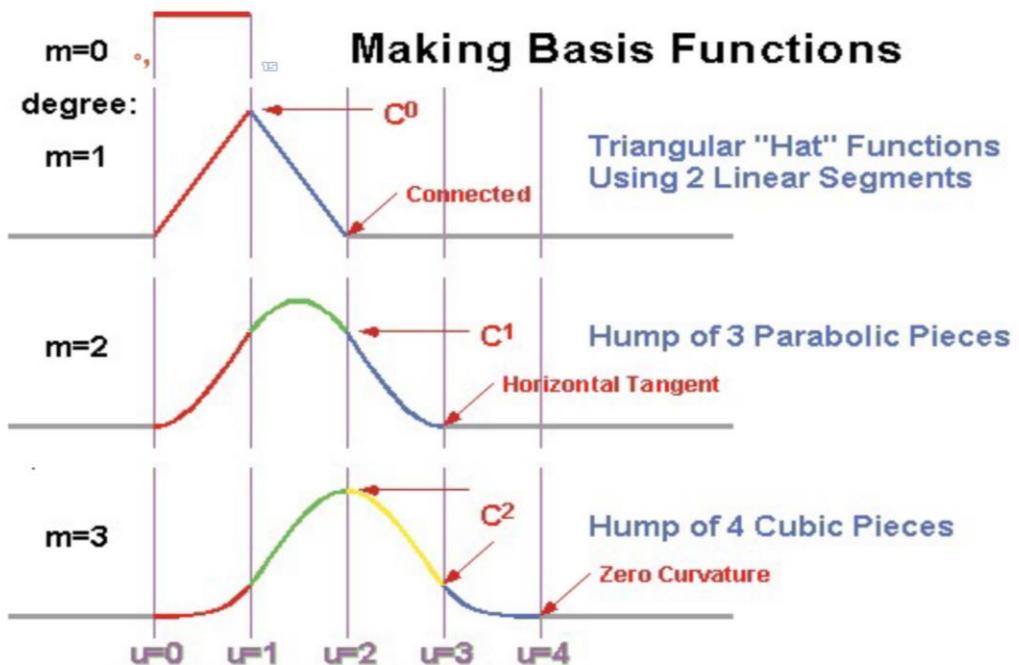


$$N_{i,1}(t) = \begin{cases} 1 & t_i < x < t_{i+1} \\ 0 & \text{Otherwise} \end{cases}$$

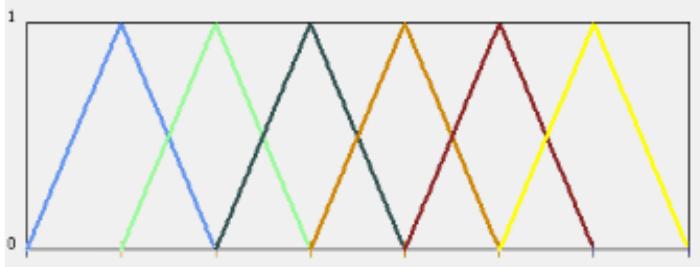
- $k = 2, i = 0$



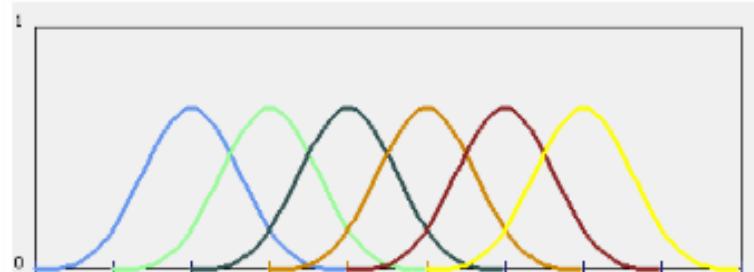
$$N_{i,k}(t) = \frac{t - t_i}{t_{i+k-1} - t_i} N_{i,k-1}(t) + \frac{t_{i+k} - t}{t_{i+k} - t_{i+1}} N_{i+1,k-1}(t)$$



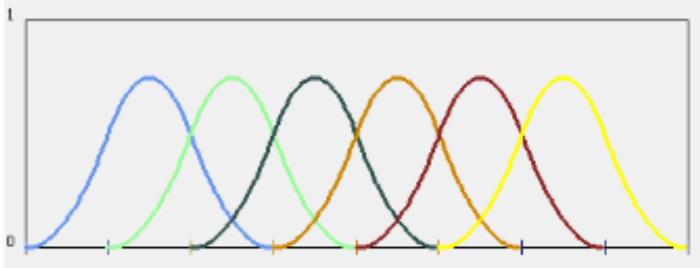
# B-splines Basis



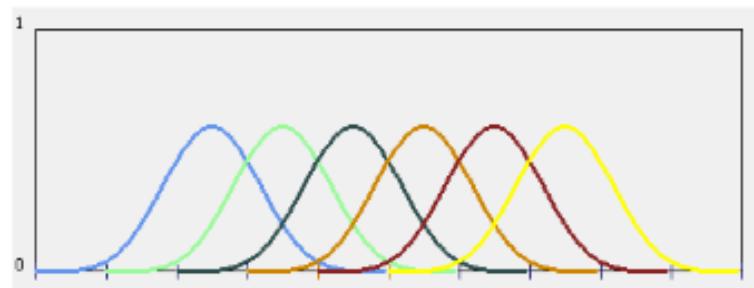
一次B样条曲线的基函数



三次B样条曲线的基函数



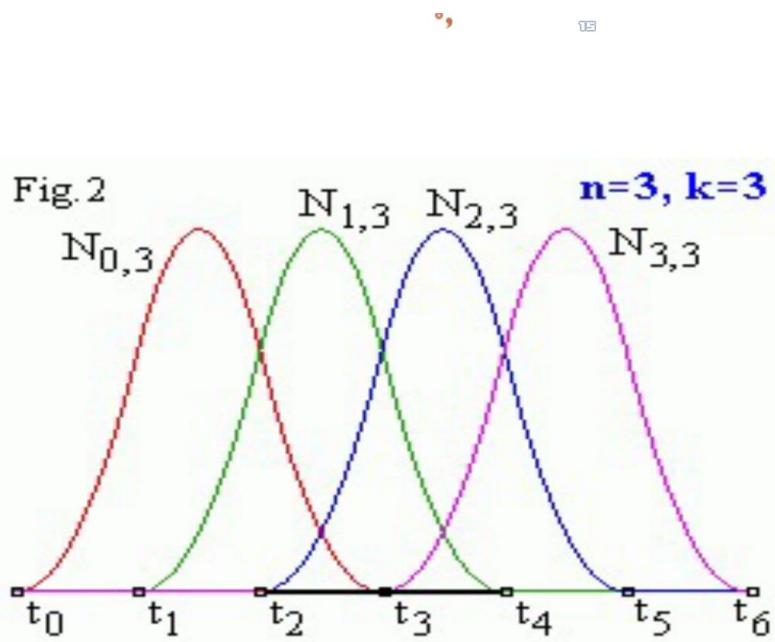
二次B样条曲线的基函数



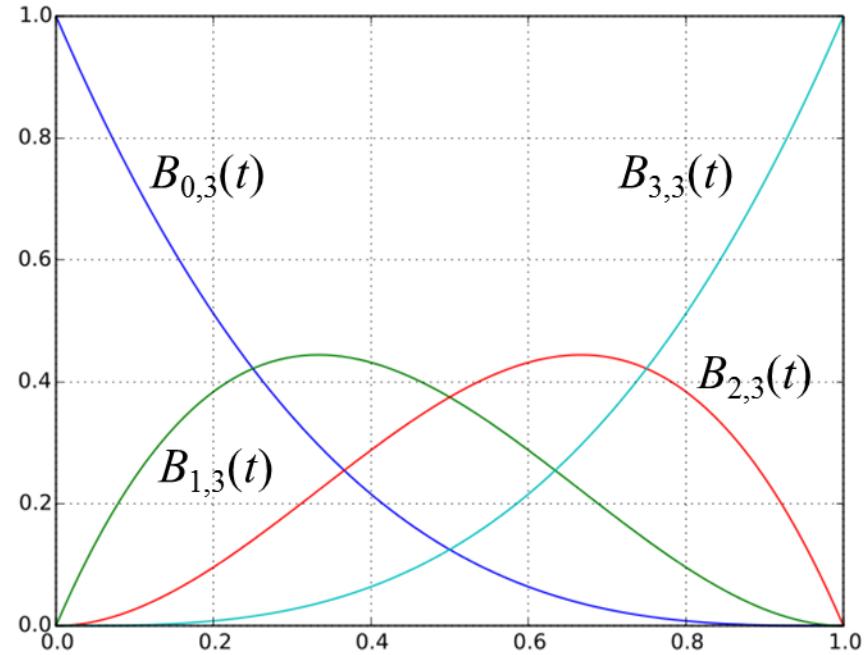
四次B样条曲线的基函数



# B-splines Basis function Vs. Bezier Base function



三次B样条基函数



三次Bezier基函数



# B-splines Basis

---

- Questions:
  - What is nonzero domain(非零区间) of B-Spline basis function  $N_{i,k}(t)$  ?
  - How many knots does it need?
  - What is the definition domain(定义区间) of the curves?

$$P(t) = \sum_{i=0}^4 P_i N_{i,4}(t)$$

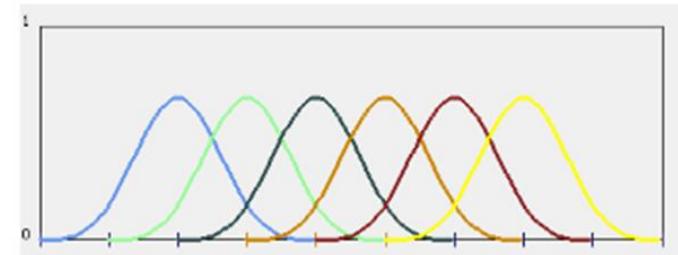
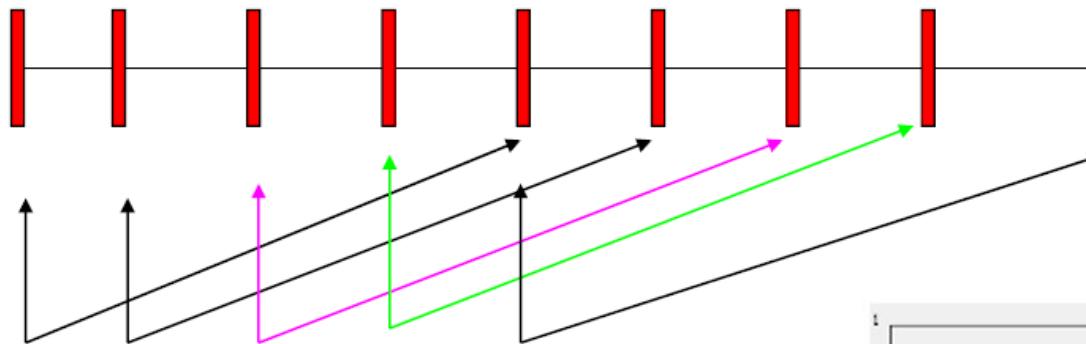


# B-splines Basis

- take  $k=4, n=4$  as example

$$P(t) = \sum_{i=0}^4 P_i N_{i,4}(t)$$

$$t_0, t_1, t_2, t_3, t_4, t_5, t_6, t_7, t_8$$



三次B样条曲线的基函数



# Properties of B-splines

---

- Properties of B-Spline curves
  - Local(局部性)
    - The curve in interval  $t \in [t_i, t_{i+1}]$  is only affected by at most  $k$  control points  $P_j (j = i - k + 1, \dots, i)$  and is independent of other control points.
    - Changing the position of control point  $P_i$  will only affect the curve on interval  $(t_i, t_{i+k})$

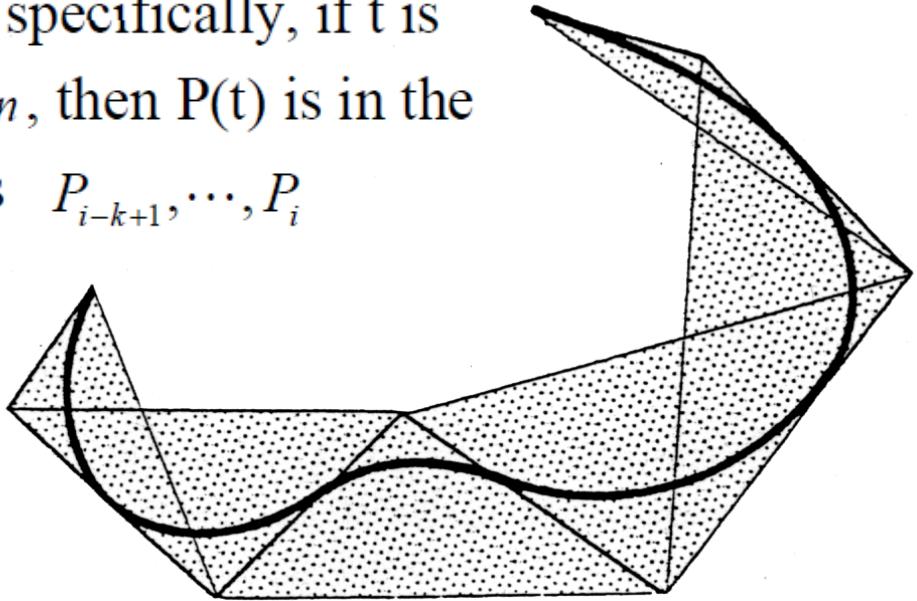
$$P(t) = \sum_{i=0}^n P_i N_{i,k}(t)$$



# Properties of B-splines

---

- Continuity(连续性)
  - $P(t)$  is  $C^{k-1-r}$  continuous at a node of repetitiveness  $r$ .
- Convex hull(凸包性)
  - A B-spline curve is contained in the convex hull of its control polygon. More specifically, if  $t$  is in knot span  $(t_i, t_{i+1}), k-1 \leq i \leq n$ , then  $P(t)$  is in the convex hull of control points  $P_{i-k+1}, \dots, P_i$



# Properties of B-splines

---

- Variation Diminishing Property(变差缩减性)
  - this means no straight line intersects a B-spline curve more times than it intersects the curve's control polygons.
- Geometry invariability(几何不变性)
  - The shape and position of curve are independent with the choosing of coordinate system(坐标系).



# Properties of B-splines

---

- Affine invariability(仿射不变性)

$$A[P(t)] = \sum_{i=0}^n A[P_i] N_{i,k}(t), \quad t \in [t_{k-1}, t_{n+1}]$$

- It means that the form of equation is invariable under the affine transformation.

- Line holding(直线保持性)

- It means that if the control polygon degenerates to a line, the B-Spline curve also degenerates to a line.

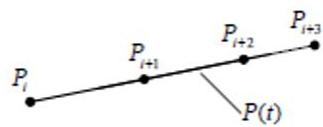
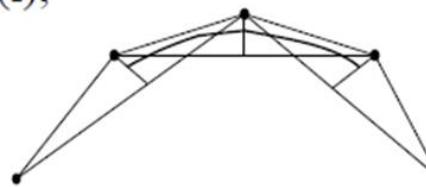


# Properties of B-splines

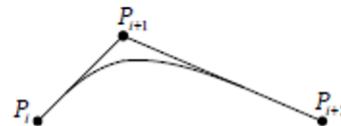
## – Flexibility(灵活性)

- Using B-Spline Curve we can easily construct special cases such as line segment(线段), cusp(尖点), tangent line(切线).
- For example, for B-Spline of order 4 (degree 3), if you want to construct a line segment, you only need to specify  $P_i, P_{i+1}, P_{i+2}, P_{i+3}$  collinear (共线).
- If you want the curve pass point  $P(i)$ , you only need to let

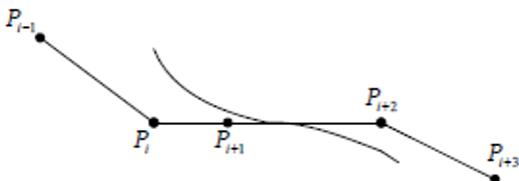
$$P_i = P_{i+1} = P_{i+2}$$



(a) 四顶点共线



(c) 二重节点和三重节点



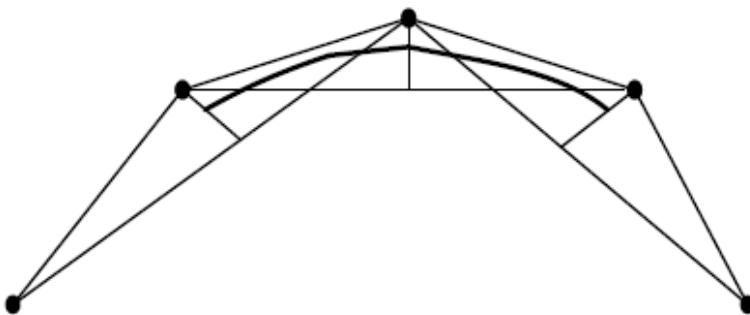
(d) 三顶点共线

# Kinds of B-Spline

---

## – (1) Uniform B-Spline

- The knots are uniformly distributed, like 0,1,2,3,4,5,6,7
- This kind of knot vector defines uniform B-Spline basis function



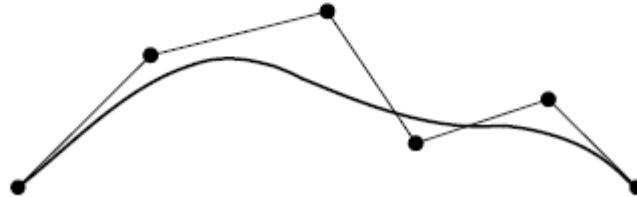
uniform B-Spline of Degree 3



# Kinds of B-Spline

---

- (2) Quasi-Uniform B-Spline
  - Different from uniform B-Spline, it has:
    - the start-knot and end-knot have repetitiveness(重复度) of k
    - Uniform B-Spline does not retain the “end point” property of Bezier Curve, which means the start point and end point of uniform B-Spline are no-longer the same as the start point and end point of the control points. However, quasi-Uniform B-Spline retains this “end point” property



**Quasi-uniform B-Spline curve of degree 3**

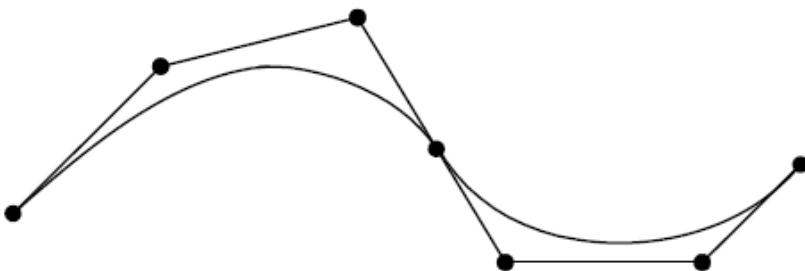


# Kinds of B-Spline

---

## – (3) Piecewise Bezier Curve

- the start-knot and end-knot have repetitiveness(重复度) of  $k$
- all other knots have repetitiveness of  $k-1$
- Then each curve segment will be Bezier curves



Piecewise B-Spline Curve of degree 3

For piecewise Bezier curve, the different pieces of the curve are relatively independent. Moving the control point will only influence the corresponding piece of curve, while other pieces of curves will be not change. Furthermore, the algorithms for Bezier could also be used for piecewise Bezier Curve.

# Kinds of B-Spline

---

- (4) Non-uniform B-Spline
  - The knot vectors  $T = [t_0, t_1, \dots, t_{n+k}]$  satisfy conditions that the sequence of knots is non-decreasing(非递减).
    - repetitiveness of two end knots,  $\leq k$
    - repetitiveness of other knots,  $\leq k-1$
  - This kind of knot vector defines the non-uniform B-Spline.



# Cubic B-Splines Curve

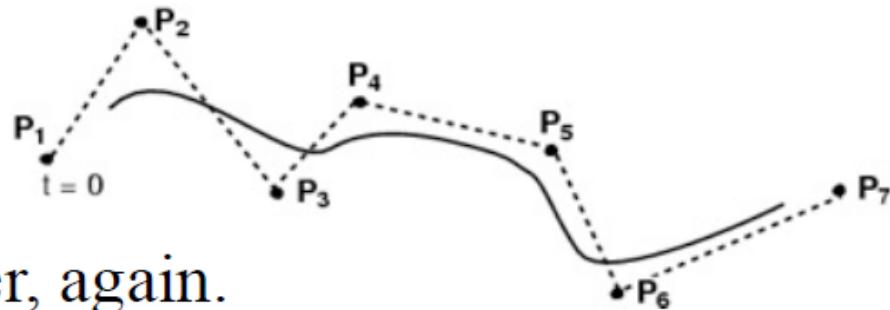
如果给定 $n+1$ 个控制点 $P_k (k = 0, 1, \dots, n; n \geq 3)$ ，使用三次B样条函数生成整体B样条曲线需要计算 $(n-2)$ 次。

第一次计算使用0~3四个控制点生成第一段B样条曲线；

然后向前移动一个控制点，使用1~4四个控制点计算生成第二段B样条曲线；

两段B样条曲线会自然形成平滑连接，这也是B样条曲线的主要优点之一。

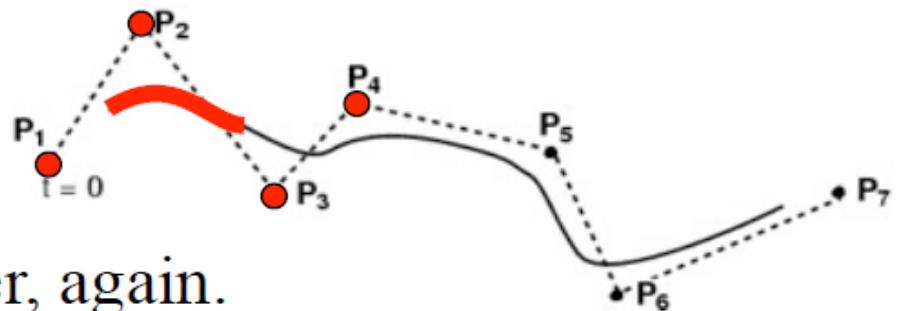
- $\geq 4$  control points
- Locally cubic
  - Cubics chained together, again.



# Cubic B-Splines Curve

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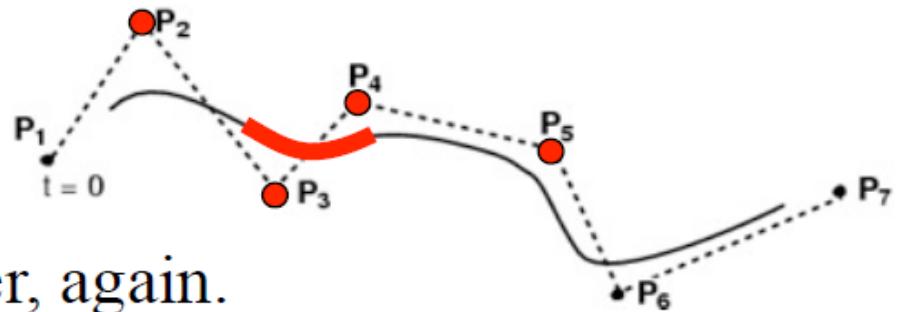
- $\geq 4$  control points
- Locally cubic
  - Cubics chained together, again.



# Cubic B-Splines Curve

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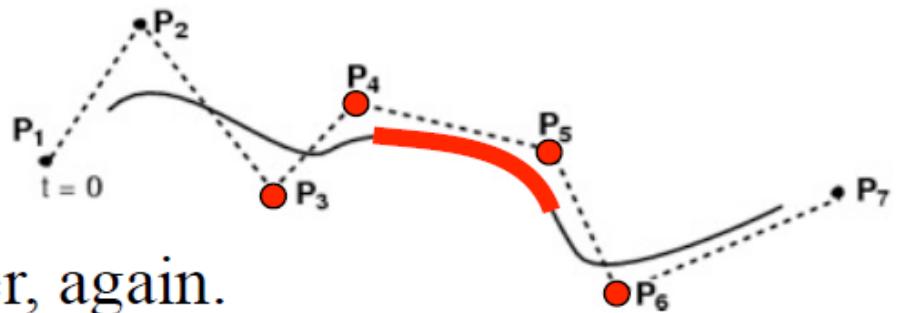
- $\geq 4$  control points
- Locally cubic
  - Cubics chained together, again.



# Cubic B-Splines Curve

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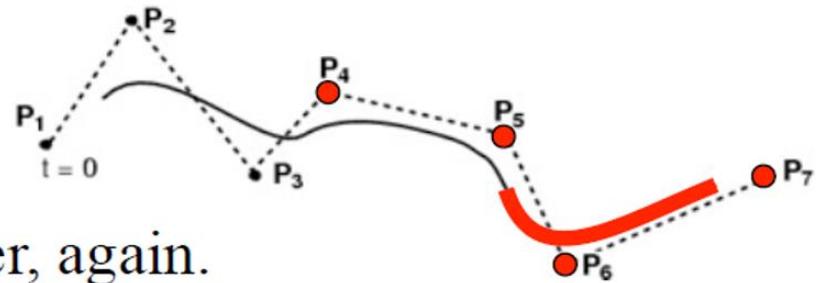
- $\geq 4$  control points
- Locally cubic
  - Cubics chained together, again.



# Cubic B-Splines Curve

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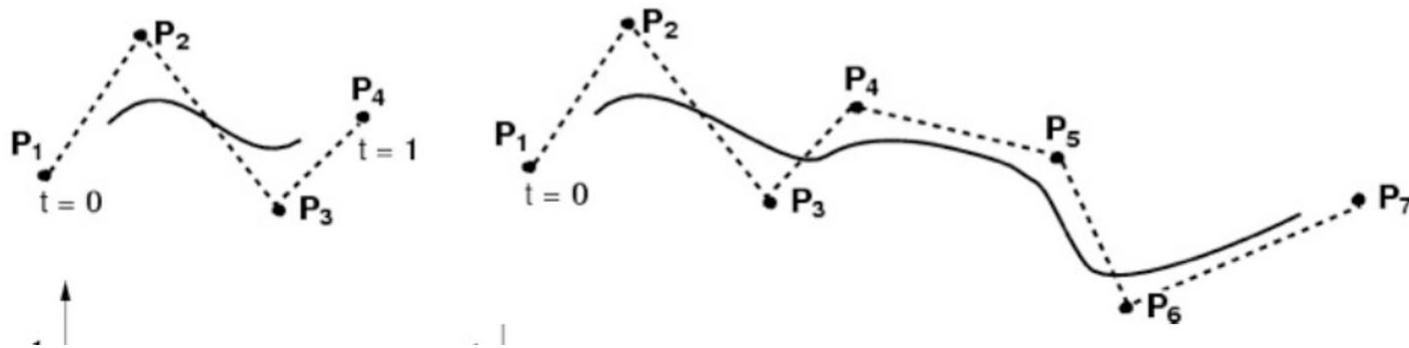
- $\geq 4$  control points
- Locally cubic
  - Cubics chained together, again.



# Cubic B-Splines Curve

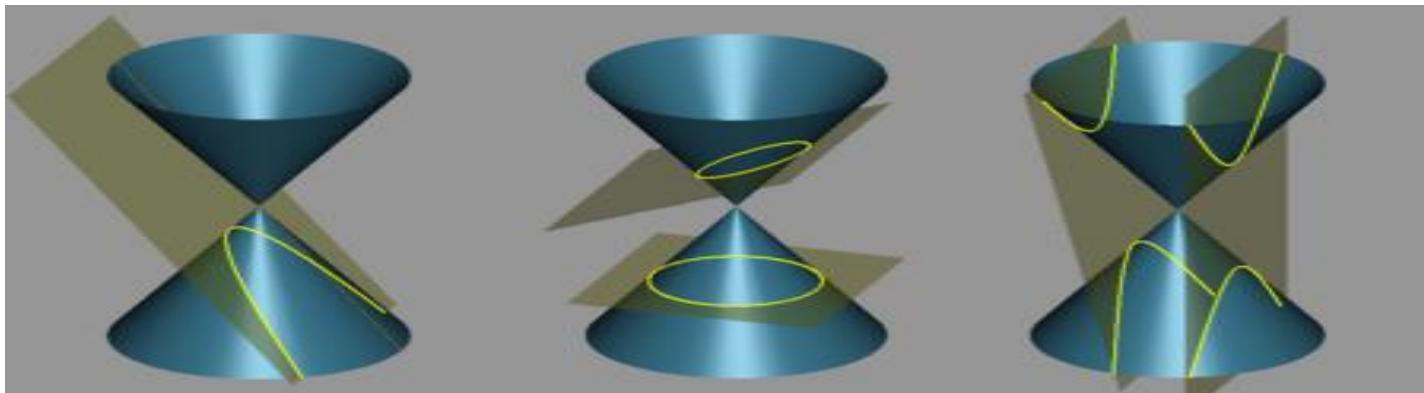
---

- Local control (windowing)
- Automatically  $C^2$ , and no need to match tangents!



# Why Introduces to NURBS?

- Disadvantage of B-Spline curve and Bezier Curve:
  - can't accurately represent conic(圆锥曲线) curve except parabola(抛物线),
- NURBS (Non-Uniform Rational B-Spline)  
(非均匀有理B样条)
  - In order to find a mathematical method that could represent conic and conicoid(二次曲面) accurately.



抛物线

椭圆(上)与圆(下)

双曲线



# Definition of NURBS Curve

---

- Definition of NURBS curve.
  - NURBS Curves are defined by piecewise rational B-Spline polynomial basis function  
(分段有理B样条多项式基函数):

$$P(t) = \frac{\sum_{i=0}^n \omega_i P_i N_{i,k}(t)}{\sum_{i=0}^n \omega_i N_{i,k}(t)} = \sum_{i=0}^n P_i R_{i,k}(t)$$
$$R_{i,k}(t) = \frac{\omega_i N_{i,k}(t)}{\sum_{j=0}^n \omega_j N_{j,k}(t)}$$



# Propertise of NURBS Curve

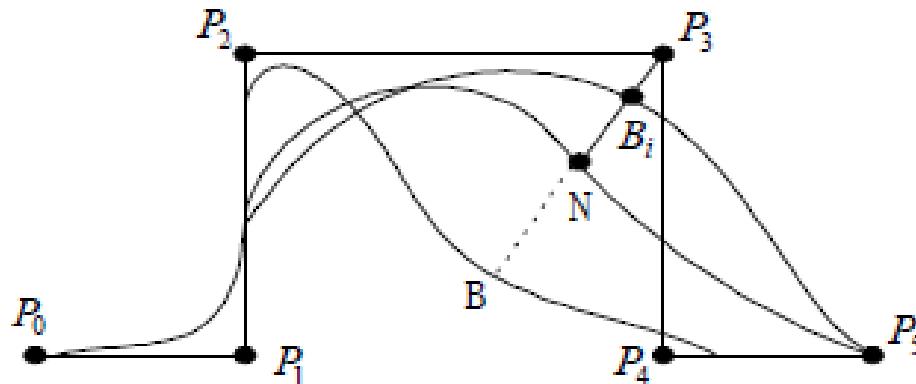
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- NURBS curve has similar geometric properties as the B-Spline Curve:
  - Local support (局部支持性).
  - Variation Diminishing Property(变差缩减性)
  - Strong Convex hull(凸包性)
  - Affine invariability(仿射不变性)
  - Differentiability(可微性)
  - If the weight of a control point is 0, then corresponding control point doesn't affect the curve.
  - If  $\omega_i \rightarrow \infty$ , and  $t \in [t_i, t_{i+k}]$ , then  $P(t) = P_i$
  - Non-rational/rational Bezier curves and non-rational B-Spline curves are special cases of NURBS curve.



# Geometric meaning of weights

- If  $\omega_i$  increases/decreases,  $\beta$  also increases/decreases, and the curve is like pulling to/pushing away from point  $P_i$ .



# NURBS describe a circle: example

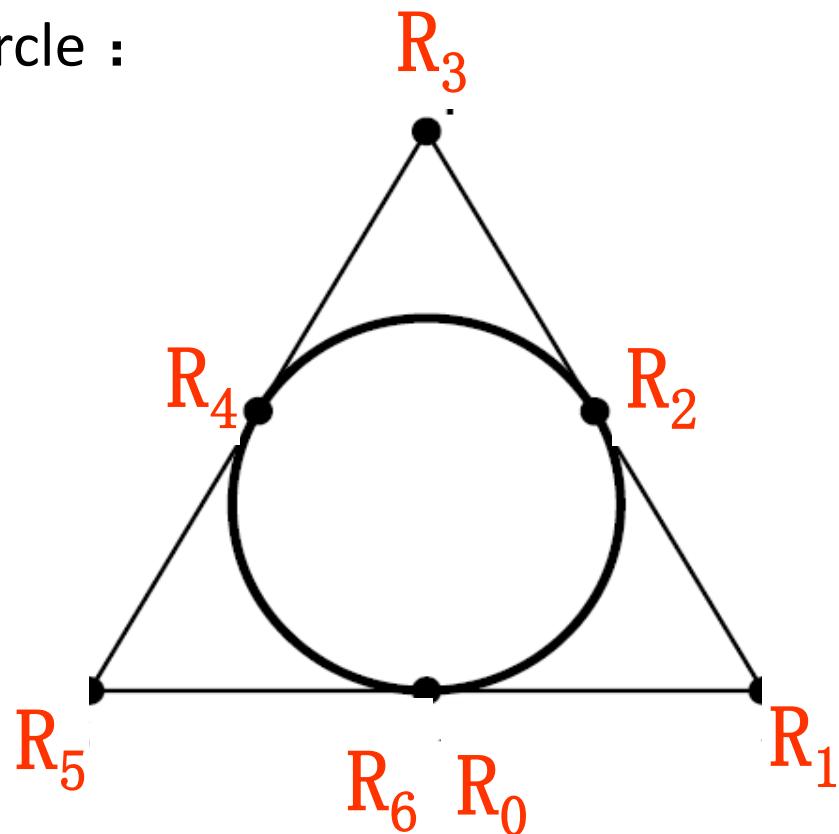
Three  $120^\circ$  circular arc describe circle :

$$u = [0 \ 0 \ 0 \ 1 \ 1 \ 2 \ 2 \ 3 \ 3 \ 3]$$

$$k = 3$$

$$[\omega_i] = [1, \frac{1}{2}, 1, \frac{1}{2}, 1, \frac{1}{2}, 1]$$

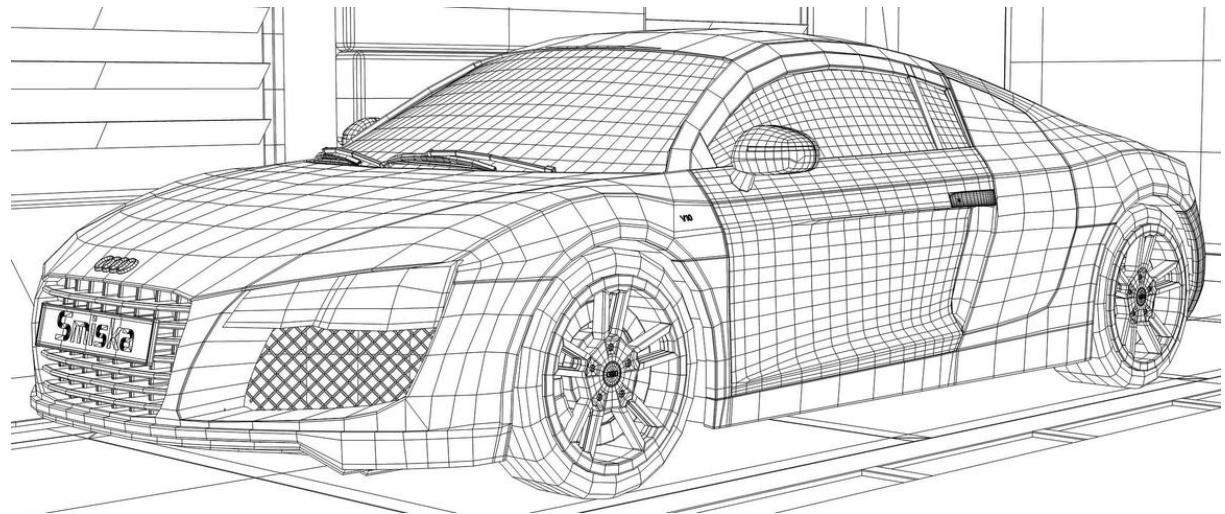
控制顶点分布如右图所示



# Outline

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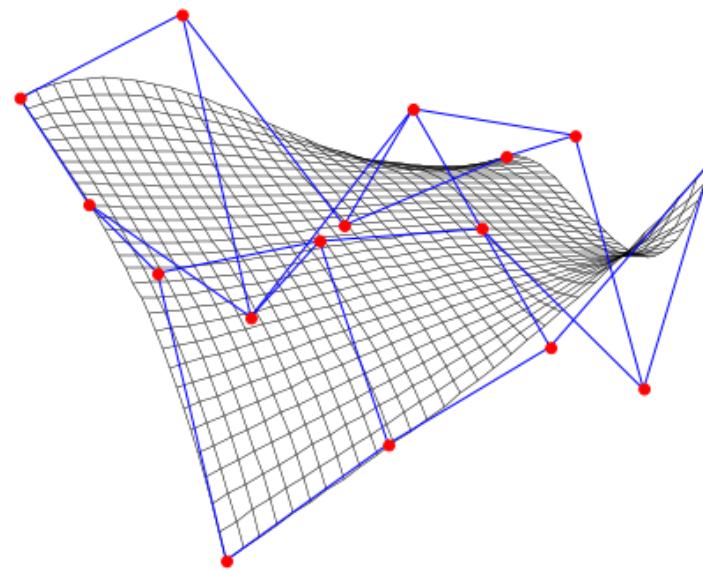
- Interpolation and Approximation
- Curve Modeling
  - Parametric curve
  - Cubic Hermite interpolation
  - Bézier curve
  - B-Spline
- Surface Modeling
  - Bézier surface



# Bézier surface

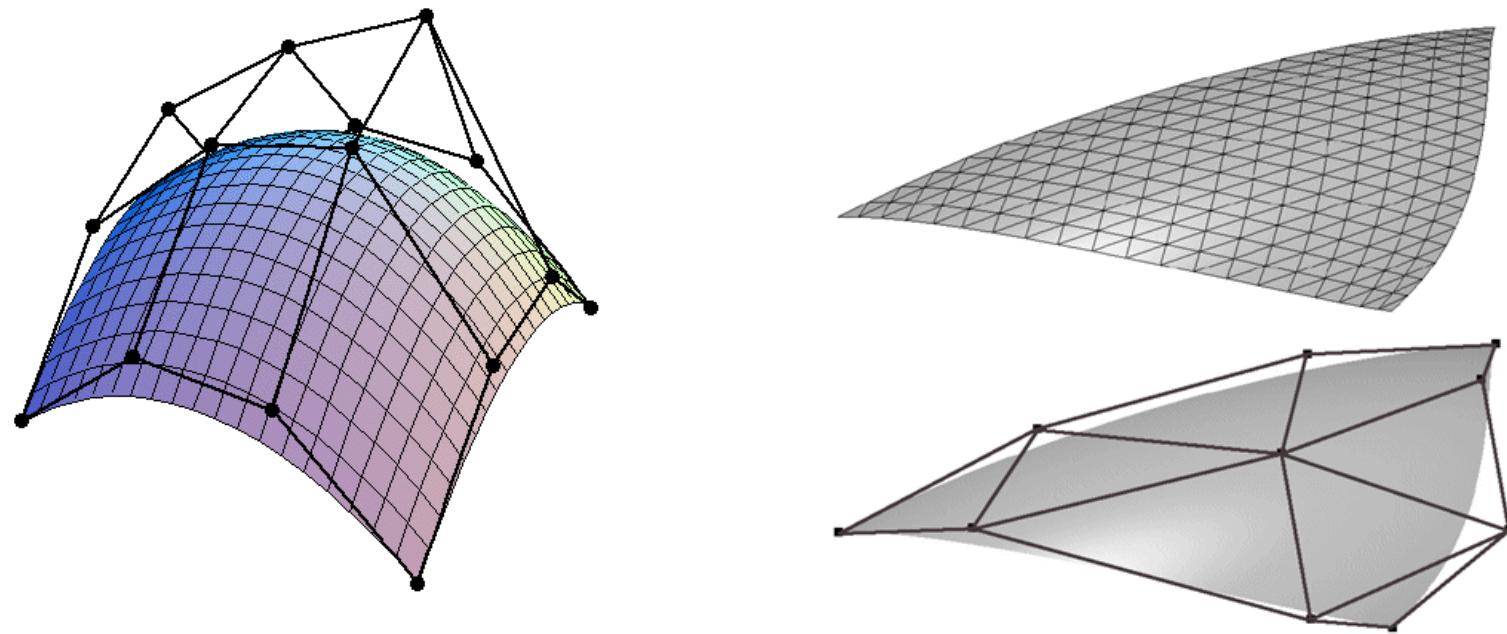
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- Bézier surfaces are a species of mathematical spline used in computer graphics, computer-aided design, and finite element modeling.
- As with the Bézier curve, a Bézier surface is defined by a set of control points.



# Bézier surface

- 曲面表示方法与参数区域的选择有着密切的关系，若选择矩形参数区域，一般采用张量积或布尔和形式来构造曲面；若选择三角形（即单纯形）参数区域，则要采用直接升阶构造方法来表示曲面。



# The definition of Bézier surface in rectangular domain

- 当选定矩形参数区域 $[0, 1] \times [0, 1]$ 后，则采用张量积（tensor product）方法把 Bézier curve 推广成 Bézier surface。
- 给定了 $(n + 1)(m + 1)$ 个空间上顶点 $P_{ij}$  ( $i = 0, 1, \dots, n; j = 0, 1, \dots, m$ )，则称 $n \times m$ 次参数曲面为 $n \times m$ 次Bézier曲面，有：

$$P(u, v) = \sum_{i=0}^n \sum_{j=0}^m B_{i,n}(u) B_{j,m}(v) P_{ij} \quad (u, v \in [0, 1])$$

- 这里 $B_{i,n}(u)$ 和 $B_{j,m}(u)$ 为Bernstein基函数，依次用线段连接顶点 $P_{ij}$  ( $i = 0, 1, \dots, n; j = 0, 1, \dots, m$ )中相邻两顶点所形成的空间网格，称为 Bézier曲面的特征网格。

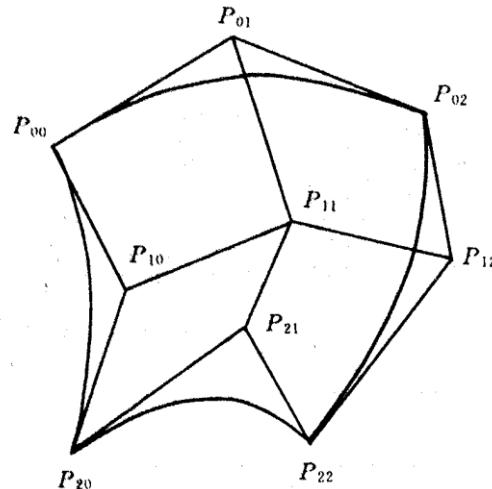


# Biquadratic Bézier surface

- 当 $n=m=2$ 时，有biquadratic Bézier surface (双二次曲面)：

$$P(u, v) = \sum_{i=0}^2 \sum_{j=0}^2 B_{i,2}(u) B_{j,2}(v) P_{ij} \quad (u, v \in [0, 1])$$

- 该曲面的4条边界曲线都是抛物线，实际上其特征网格上的9个顶点，其中有8个边界顶点来确定4条边界曲线。
- 只有一个顶点 $P_{11}$ 可以用来控制曲面的形状，因此曲面的凹凸可以通过控制 $P_{11}$ 来直观控制。



# Bicubic Bézier surface

- 当  $n=m=3$  时，有 bicubic Bézier surface (双三次曲面)：

$$P(u, v) = \sum_{i=0}^3 \sum_{j=0}^3 B_{i,3}(u) B_{j,3}(v) P_{ij} \quad (u, v \in [0, 1])$$

- 有矩阵表示： $P(u, v) = [B_{0,3}(u), B_{1,3}(u), B_{2,3}(u), B_{3,3}(u)]$

$$\times \begin{bmatrix} P_{00} & P_{01} & P_{02} & P_{03} \\ P_{10} & P_{11} & P_{12} & P_{13} \\ P_{20} & P_{21} & P_{22} & P_{23} \\ P_{30} & P_{31} & P_{32} & P_{33} \end{bmatrix} \begin{bmatrix} B_{0,3}(v) \\ B_{1,3}(v) \\ B_{2,3}(v) \\ B_{3,3}(v) \end{bmatrix} \quad (u, v \in [0, 1])$$

- 进一步简化： $P(u, v) = UBPB^T V^T$

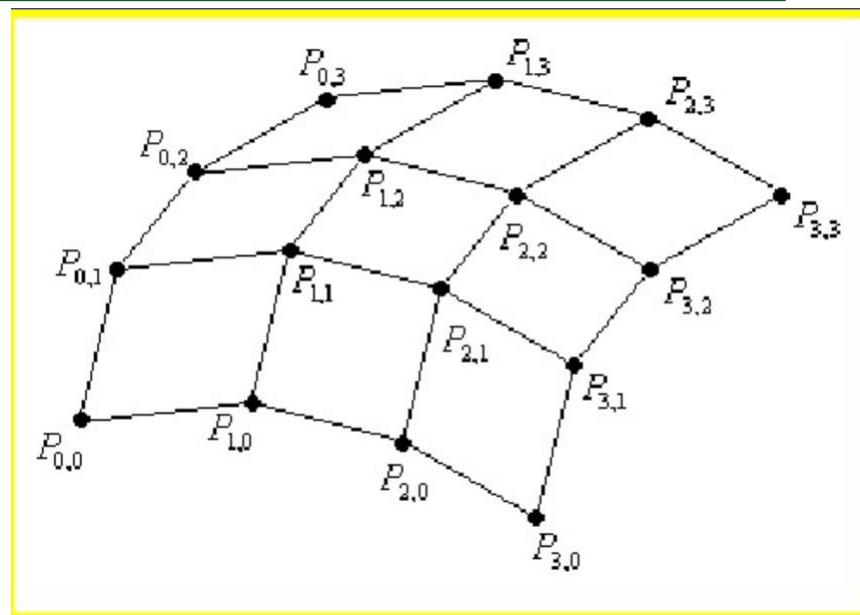
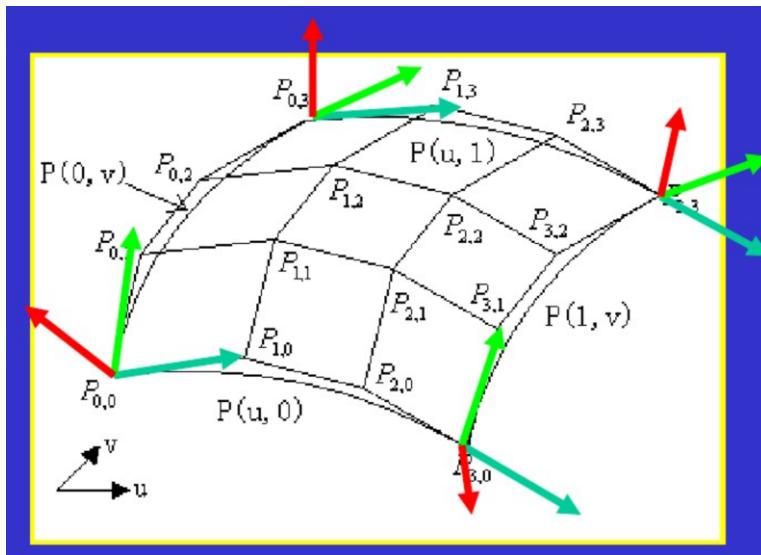
- 其中：

$$U^T = \begin{bmatrix} 1 \\ u \\ u^2 \\ u^3 \end{bmatrix}, \quad B = \begin{bmatrix} 1 & 0 & 0 & 0 \\ -3 & 3 & 0 & 0 \\ 3 & -6 & 3 & 0 \\ -1 & 3 & -3 & 1 \end{bmatrix}, \quad P = \begin{bmatrix} P_{00} & P_{01} & P_{02} & P_{03} \\ P_{10} & P_{11} & P_{12} & P_{13} \\ P_{20} & P_{21} & P_{22} & P_{23} \\ P_{30} & P_{31} & P_{32} & P_{33} \end{bmatrix}$$



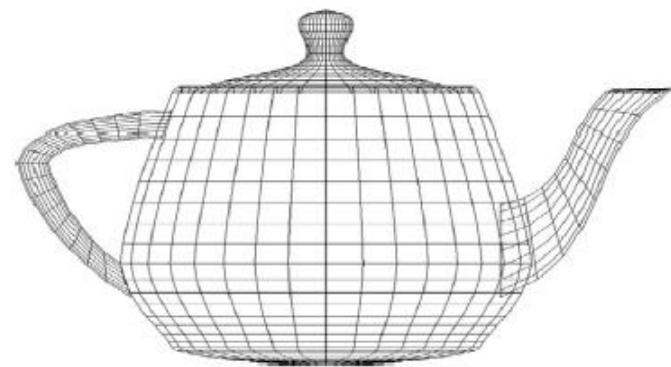
# Bicubic Bézier surface

- 曲面的4条边界都是三次Bézier曲线，由周边12个特征网格的顶点来确定。可通过调整内部4个顶点 $P_{11}$ ,  $P_{12}$ ,  $P_{21}$ ,  $P_{22}$ 的位置来控制曲面内部的形状。



# Utah Teapot

- 计算机图形学中最著名的数据集之一
- 通常用到的是由363个三维顶点定义的32张Bézier曲面片



# Bézier surface in triangular domain

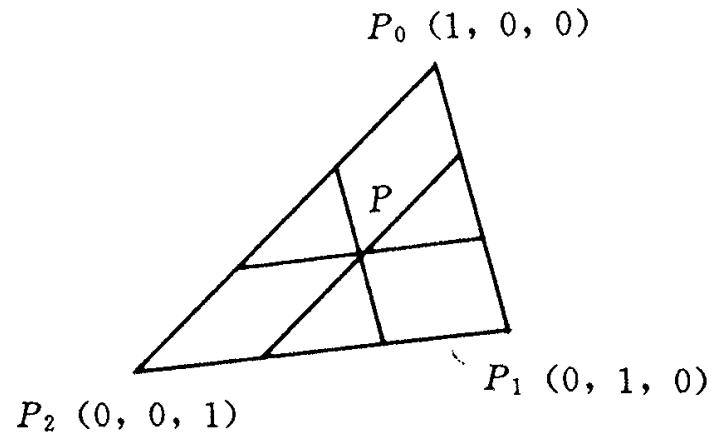
- 当采用三角形参数区域 $\{(u, v, w) | u, v, w \geq 0, u + v + w = 1\}$ 后，需要选择B-网的方法来构造Bézier曲面。
- 对于不共线的三个顶点 $P_0, P_1, P_2$ 就可以形成一个三角形，因此三角形组成的平面上任意一点 $P$ 可以表示成：

$$P(u, v, w) = uP_0 + vP_1 + wP_2 \quad (u + v + w = 1)$$

- 其中： $(u, v, w)$ 称为 $P(u, v, w)$ 关于 $P_0, P_1, P_2$ 的**重心坐标**。

- 当 $0 \leq u + v + w \leq 1$ 时， $P(u, v, w)$ 位于

$P_0, P_1, P_2$ 组成的三角形之内。



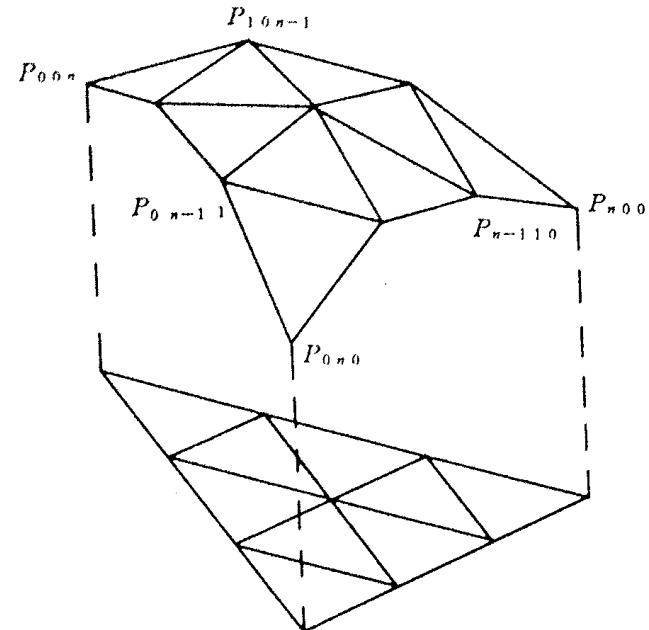
# The definition of Bézier surface in triangular domain

- 定义：参数曲面为三角域上的 $n$ 次Bézier曲面：

$$P(u, v, w) = \sum_{i+j+k=n} P_{ijk} B_{ijk}^n(u, v, w)$$
$$(u, v, w \geq 0; u + v + w = 1)$$

- 其中：

$$B_{ijk}^n(u, v, w) = \frac{n!}{i! j! k!} u^i v^j w^k$$
$$(i + j + k = n; u + v + w = 1)$$

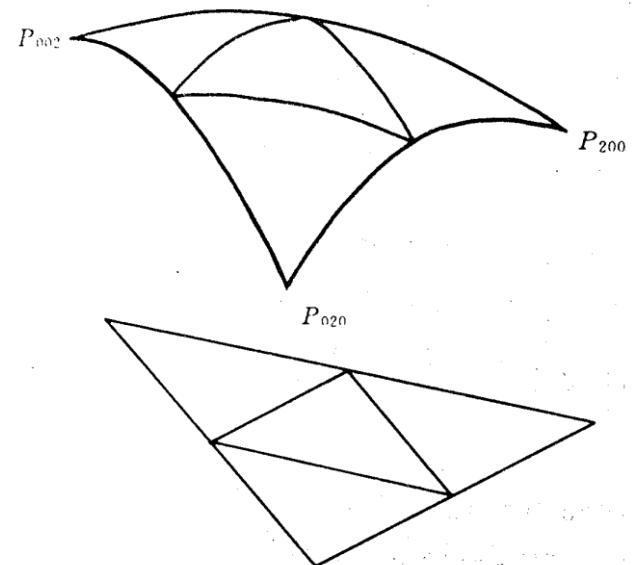


# Quadratic Bézier surface

- 当n=2时，可以直接写出二次Bézier曲面

$$\begin{aligned} P(u, v, w) &= \sum_{i+j+k=n} P_{ijk} B_{ijk}^2(u, v, w) \\ &= u^2 P_{200} + v^2 P_{020} + w^2 P_{002} + 2uvP_{110} + 2uwP_{101} + 2vwP_{011} \end{aligned}$$

- 从上式中可以看出，二次Bézier曲面完全由6个边界顶点确定，因此，其形状完全由边界曲线所控制。

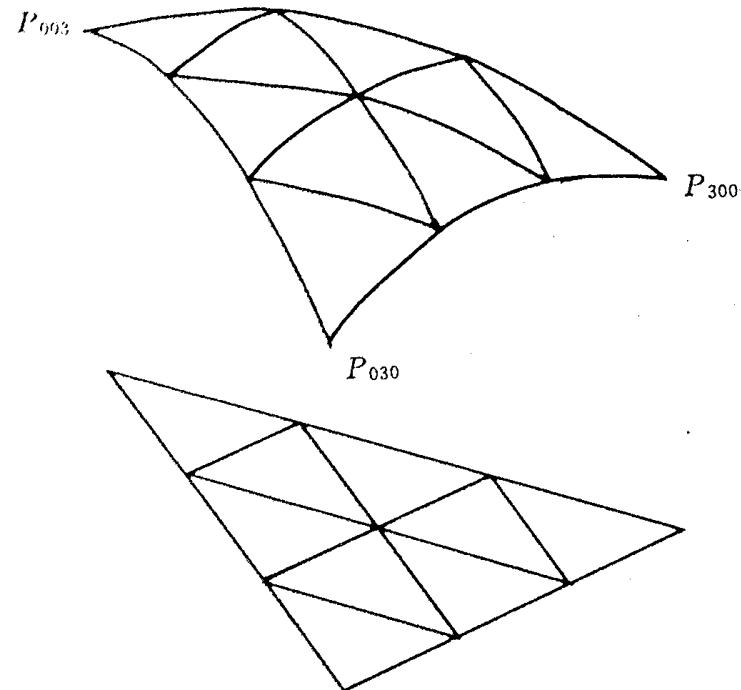


# Cubic Bézier surface

- 三次Bézier曲面为：

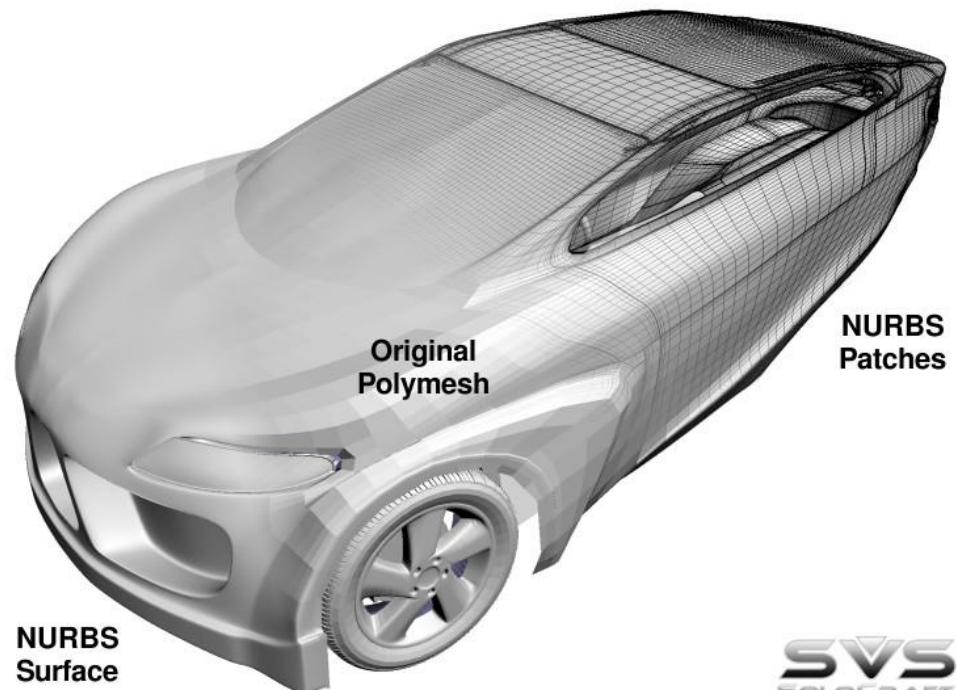
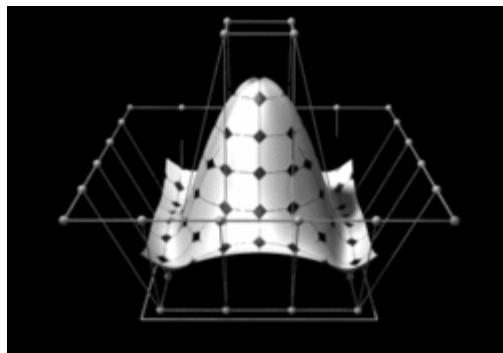
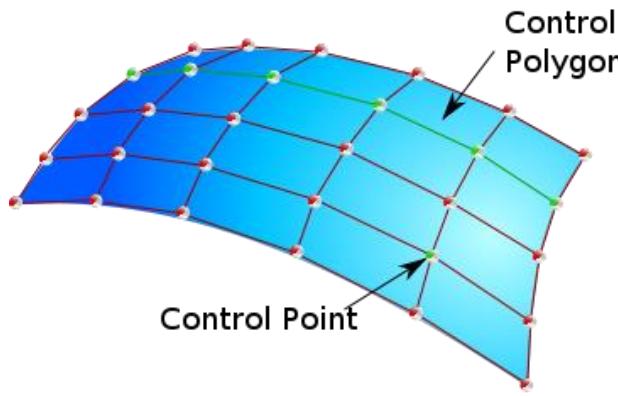
$$\begin{aligned} P(u, v, w) &= \sum_{i+j+k=3} P_{ijk} B_{ijk}^3(u, v, w) \\ &= u^3 P_{300} + v^3 P_{030} + w^3 P_{003} + 3u^2 v P_{210} + 3uv^2 P_{120} \\ &\quad + 3u^2 w P_{201} + 3uw^2 P_{102} + 3v^2 w P_{021} + 3vw^2 P_{012} + 6uvw P_{111} \end{aligned}$$

- 若选定了三次Bézier曲面的9个边界顶点，其内部形状就可由一个控制顶点 $P_{111}$ 来交互改变。



# Non-uniform rational B-spline (NURBS) surface

- Non-uniform rational basis spline (NURBS) is a mathematical model commonly used in computer graphics for generating and representing curves and surfaces.



**SVS**  
SOLOCRAFT  
VEHICLE SYSTEMS

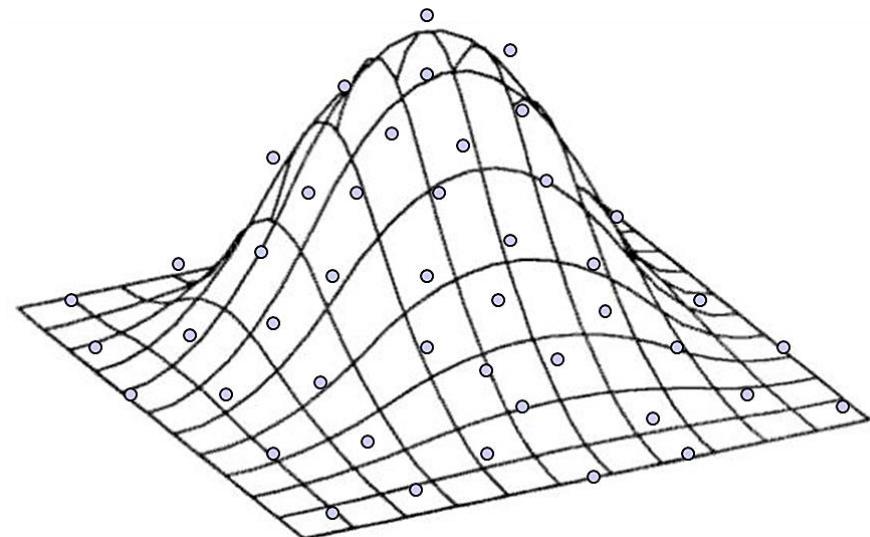
# Least square surface

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- If you have a data set  $(x_1, y_1, z_1), (x_2, y_2, z_2), \dots, (x_n, y_n, z_n)$  and the best surface  $z = f(x, y)$  should be with the property as follows

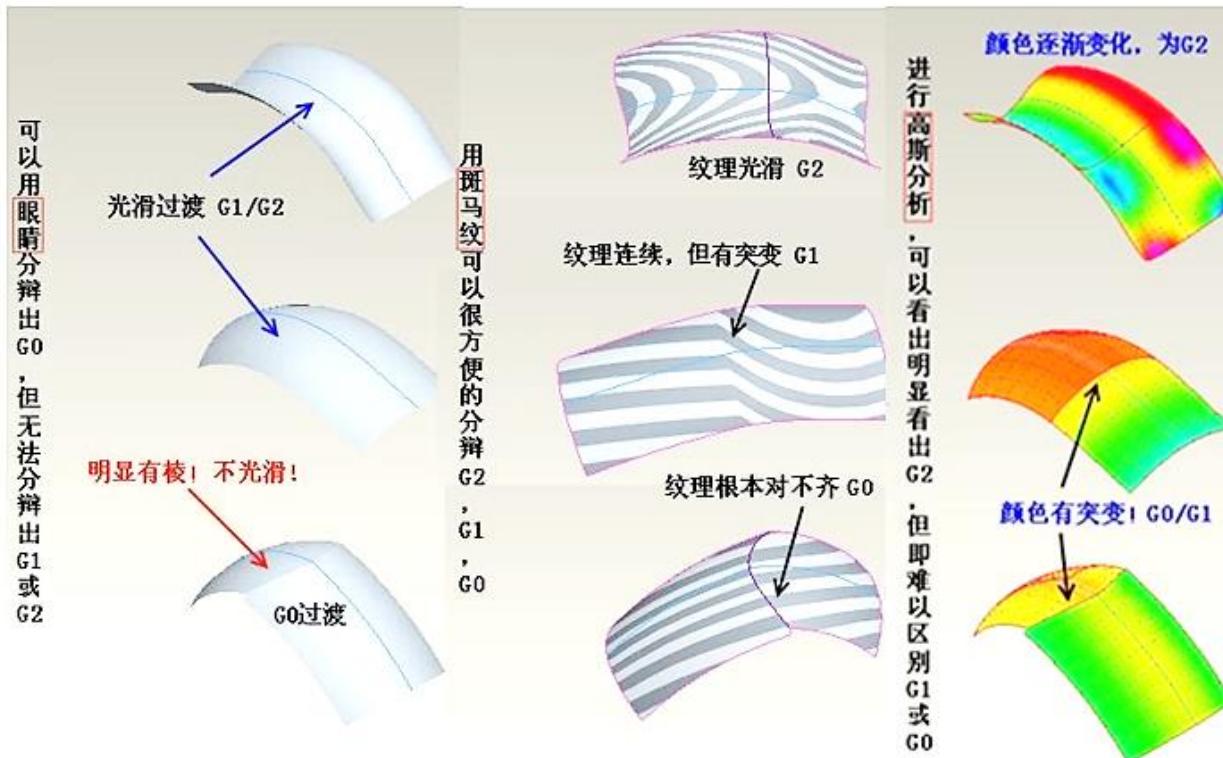
Minimum Least Square error

$$E = \sum_{i=1}^n (f(x_i, y_i) - z_i)^2$$



# The continuity of the curve and surface

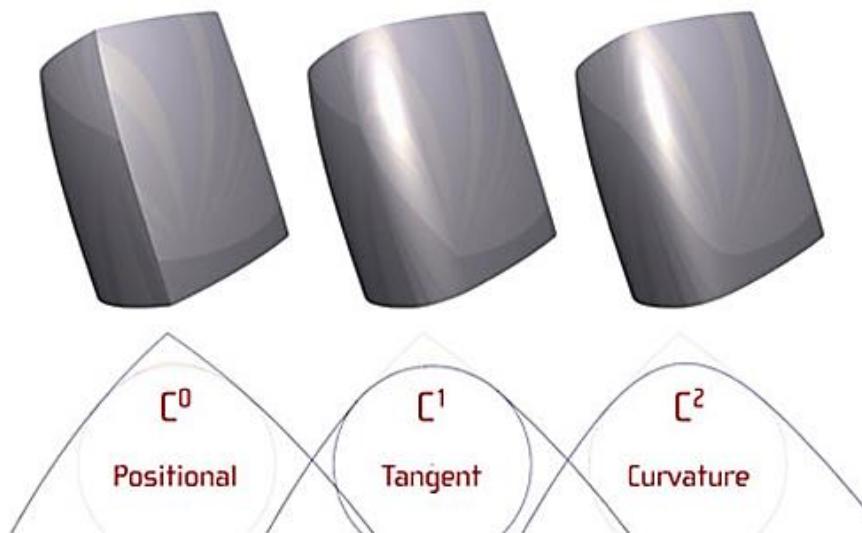
- $G^n$  是两个几何对象间的实际连续程度，用于表示实际物理连续性；而  $C^n$  是实际物理连续性的数学表达，**几何连续性  $G^n$  没有数学（参数）连续性  $C^n$  严格。**  $G^n$  的连续性是独立于表示（参数化）的。



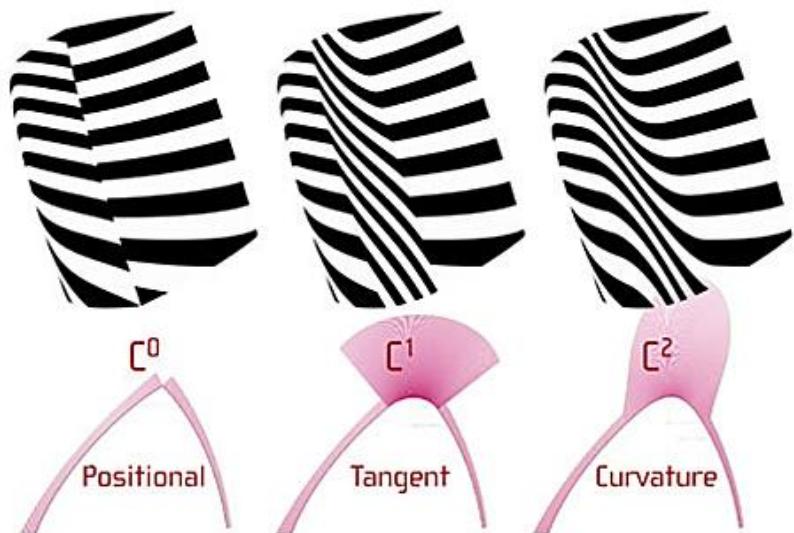
# The continuity of the curve and surface

- $C^0$  – 意味着两个相邻段间存在一个公共点（即两个段相连）。
- $C^1$  – 对应于曲线方程的1阶导数，即意味着有一个公共点，并且多项式的一阶导数（即切向矢量）是相同的。
- $C^2$  – 对应于曲线方程的2阶导数，意味着一阶导数和二阶导数都相同。

Quality of Surface Transition



Technical Continuity Evaluation



# The continuity in real world

Continuity in Nature



Positional



Tangent

Curvature

Continuity in Transportation Design



Positional

Tangent

Curvature

Continuity in Product Design



Positional

Tangent

Curvature



# Simplified cases

The Periodic Table  
of Form

