



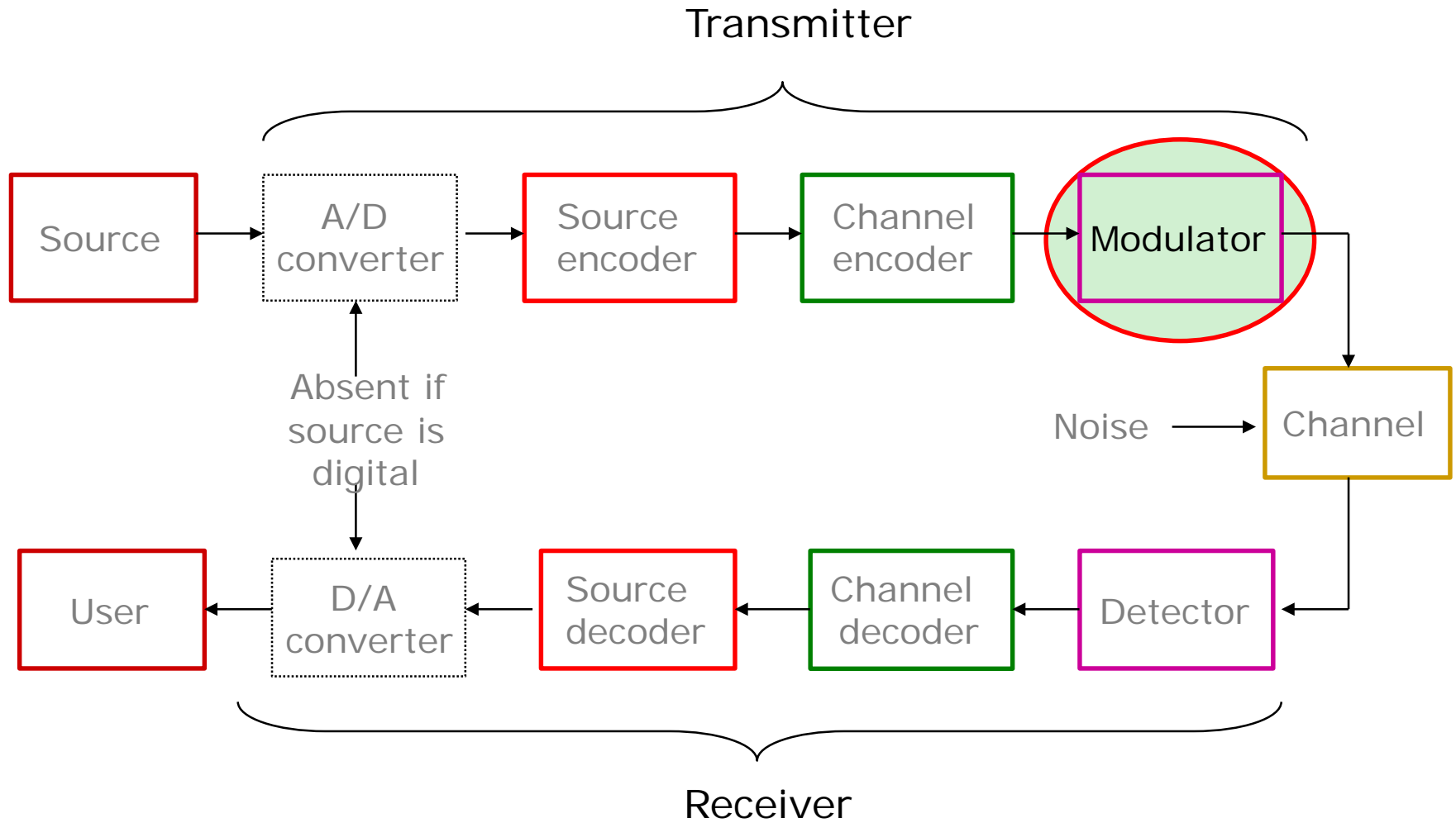
上海科技大学
ShanghaiTech University

EE140 Introduction to Communication Systems

Lecture 6

Instructor: Prof. Lixiang Lian
ShanghaiTech University, Fall 2022

Architecture of a (Digital) Communication System



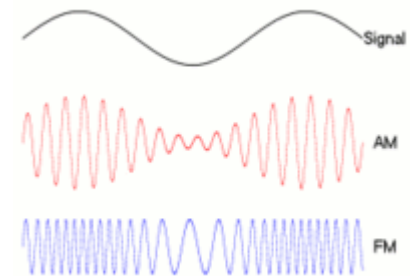
Examples of Analog Modulation



Telephony,
Analog TV, radio
broadcasting

Modulation

- What is modulation?
 - Transform a message into another signal to facilitate transmission over a communication channel
 - Generate a carrier signal at the transmitter
 - Modify some characteristics of the carrier with the information to be transmitted
 - Detect the modifications at the receiver
- Why modulation?
 - Frequency translation
 - Frequency-division multiplexing
 - Noise performance improvement



Modulation

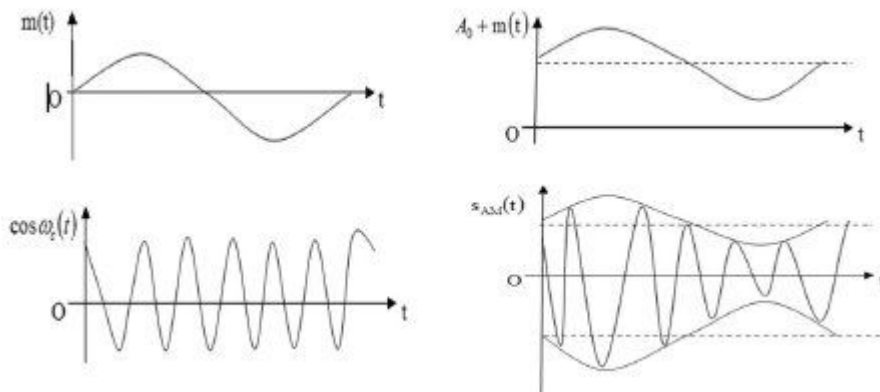
Analog Continuous-Wave Modulation

- Characteristics that can be modified in the carrier

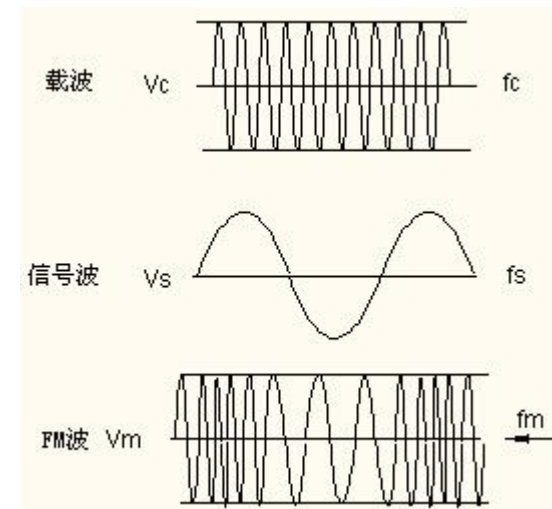
$$C(t) = A(t) \cos(2\pi f(t)t + \theta(t))$$

– Amplitude \Rightarrow Amplitude modulation

– Frequency
– Phase $\} \Rightarrow$ Angle modulation



AM



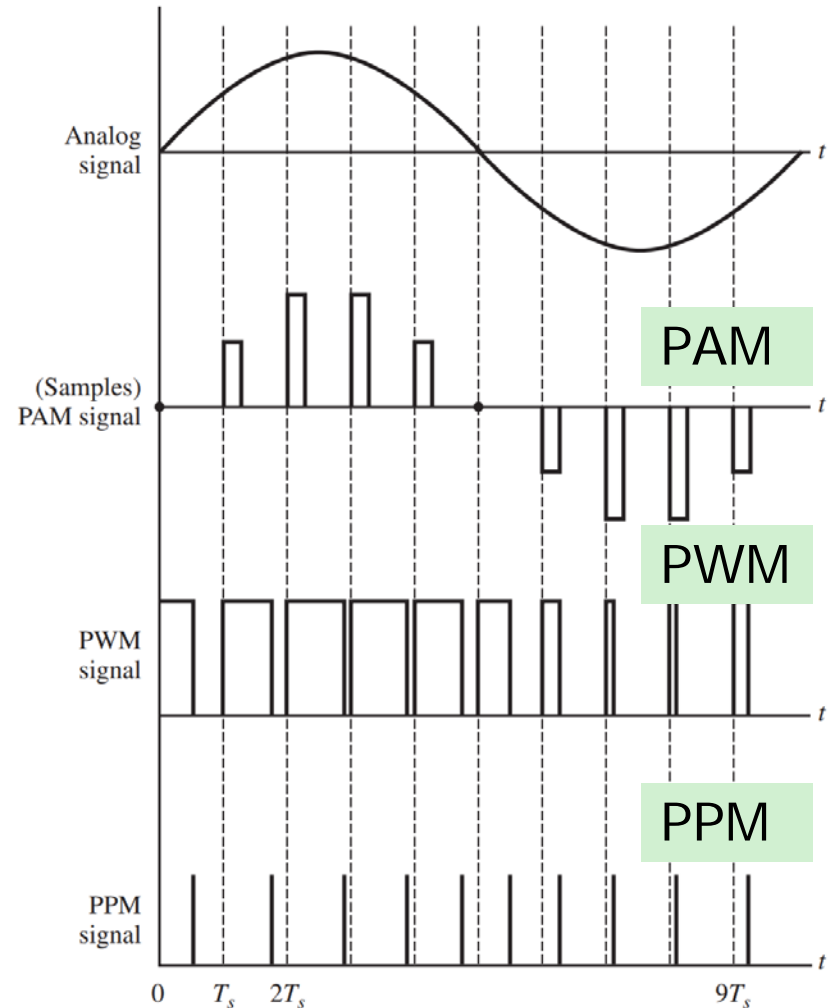
PM

Analog Pulse Modulation

- Characteristics that can be modified in the carrier

$$C(t) = \sum_n \Pi\left(\frac{t - nT_s + \frac{1}{2}\tau}{\tau}\right)$$

- Amplitude \Rightarrow Amplitude modulation
- Width $\left. \vphantom{\begin{matrix} \text{– Width} \\ \text{– Position} \end{matrix}} \right\} \Rightarrow$ Angle Modulation
- Position



Outline



Continuous-wave modulation:
amplitude modulation



Pulse modulation:
amplitude modulation



Linear
Modulation



Continuous-wave modulation:
angle modulation



Pulse modulation:
angle modulation



Non-linear
Modulation

Contents

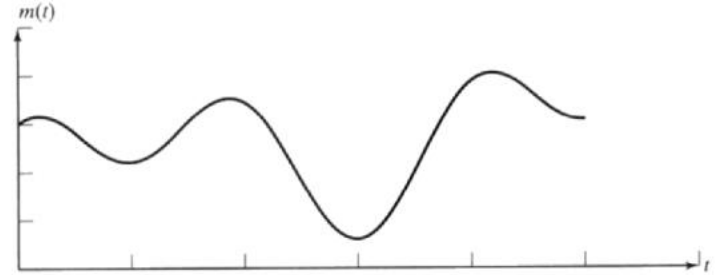
- Analog Modulation
 - Continuous-Wave Amplitude Modulation
 - DSB
 - SSB
 - VSB
 - Pulse Amplitude Modulation
 - Angle Modulation (phase/frequency)

Amplitude Modulation

- Double-sideband suppressed-carrier AM (DSB-SC)

- Baseband signal (modulating wave):

$$m(t)$$

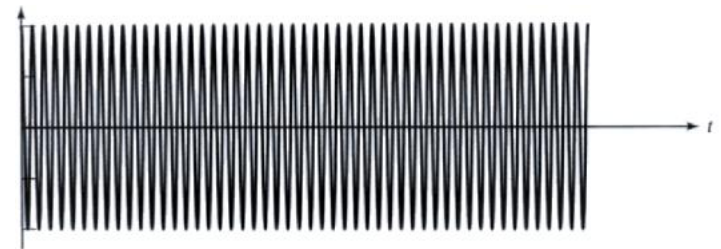


- Carrier wave

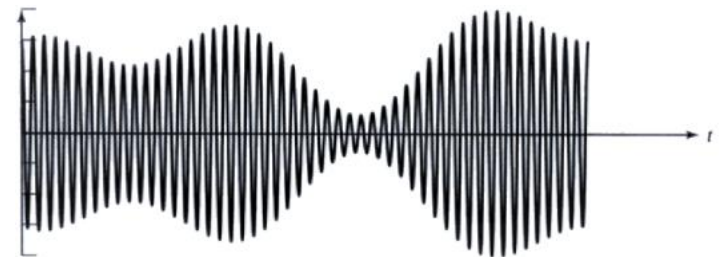
$$C(t) = A_c \cos(2\pi f_c t)$$

- Modulated wave

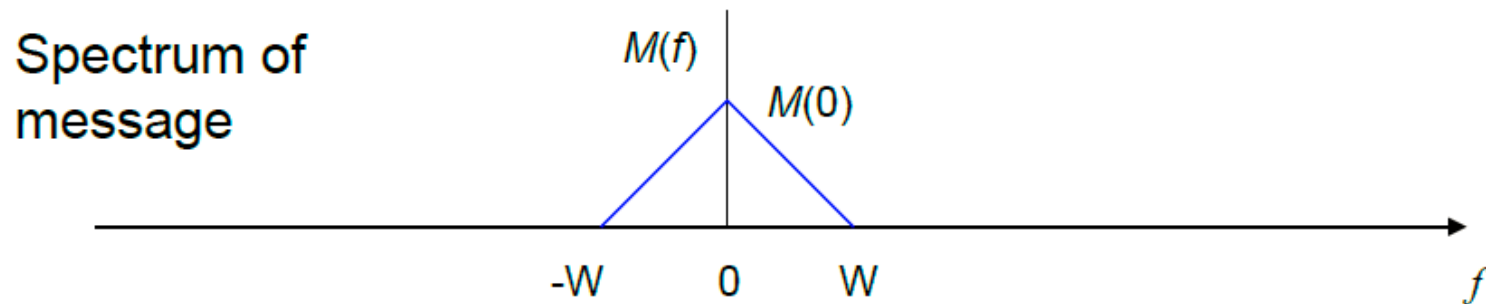
$$\begin{aligned} x_c(t) &= m(t)C(t) \\ &= A_c m(t) \cos(2\pi f_c t) \end{aligned}$$



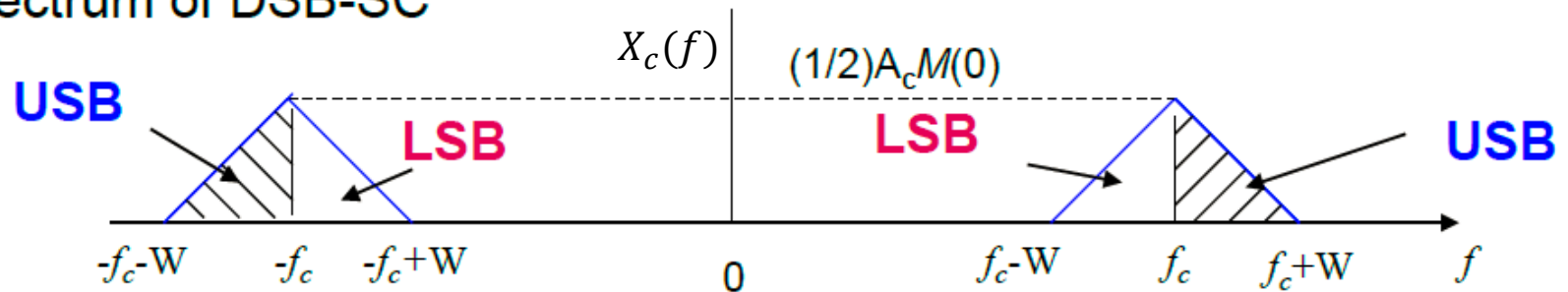
$$\begin{aligned} X_c(f) &= \frac{1}{2} A_c M(f + f_c) + \frac{1}{2} A_c M(f - f_c) \end{aligned}$$



DSB-SC Spectrum



Spectrum of DSB-SC

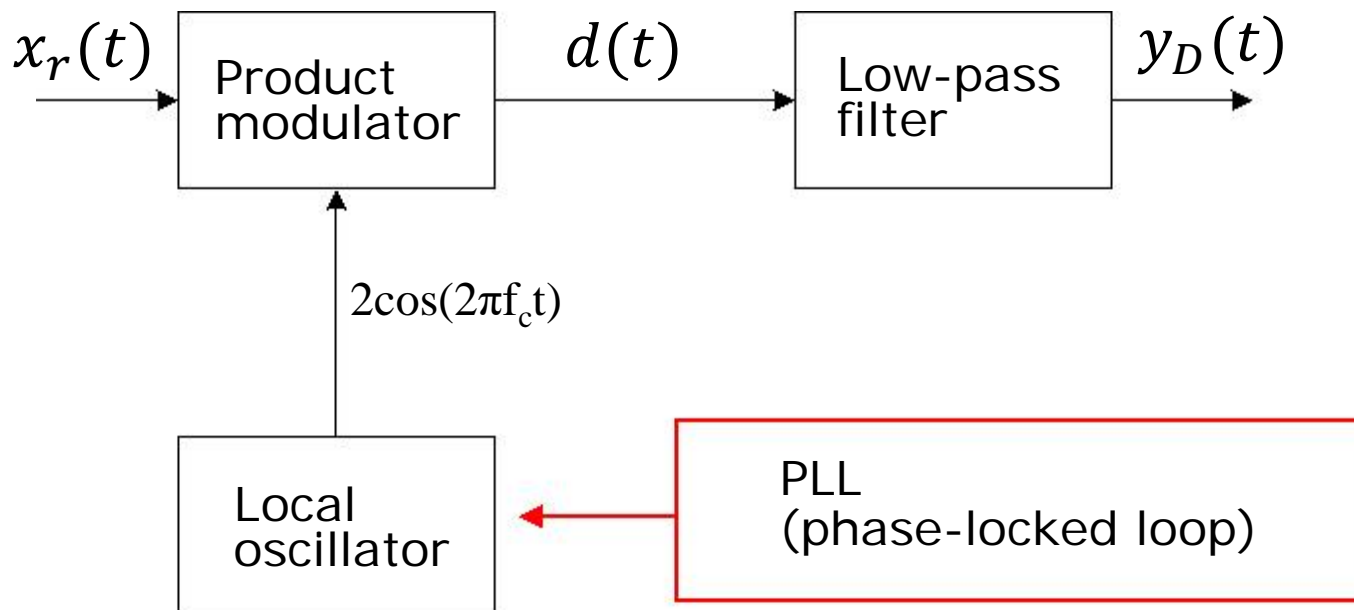


$$X_c(f) = \frac{1}{2}A_c[M(f - f_c) + M(f + f_c)]$$

Demodulation of DSB-SC Signals

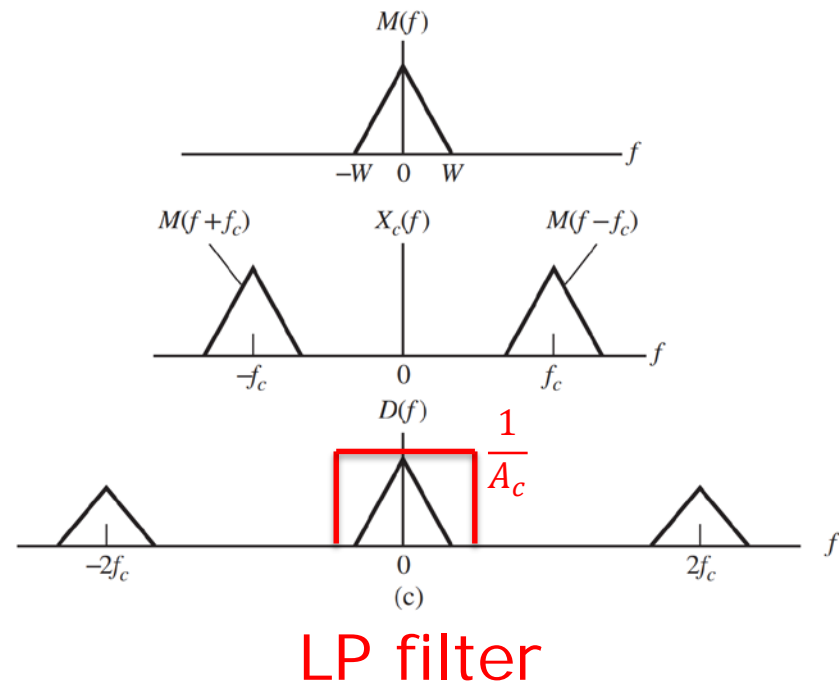
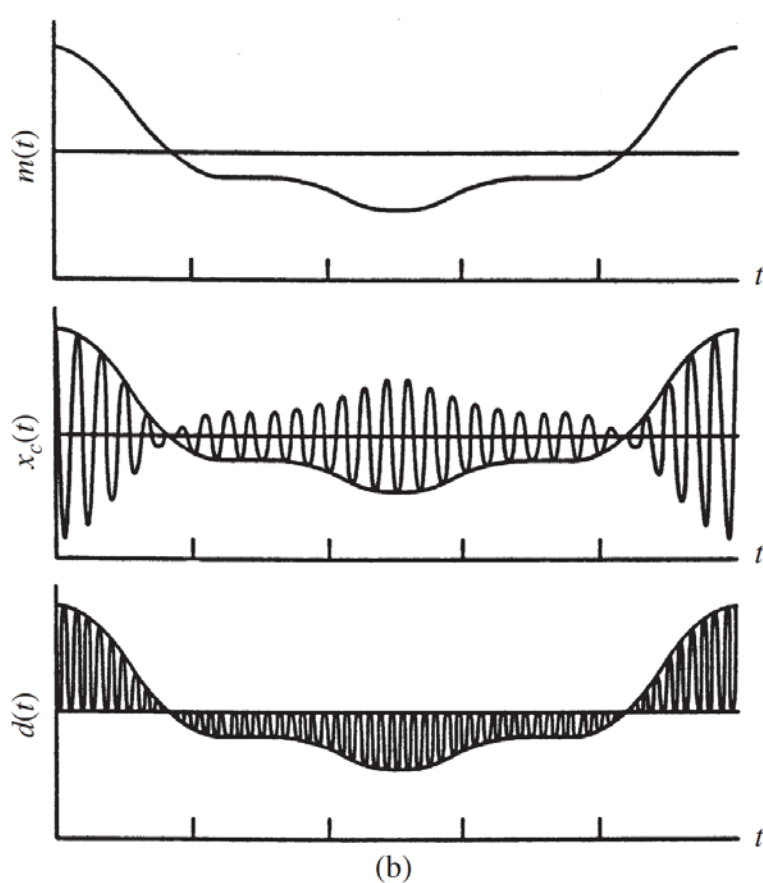
- Phase-coherent demodulation

$$\begin{aligned} d(t) &= x_c(t) 2 \cos 2 \pi f_c t = A_c m(t) 2 \cos^2 2 \pi f_c t \\ &= A_c m(t) + A_c m(t) \cos 4 \pi f_c t \end{aligned}$$



Demodulation of DSB-SC Signals

- DSB-SC demodulation: graphic interpretation



Comment on DSB-SC

- Good:
 - 100% power efficient
- Bad:
 - High transmission bandwidth: $B=2W$
 - Demodulation is difficult: Phase Coherent

- **Phase error** → serious distortion

Time-varying
phase error

$$\begin{aligned} d(t) &= x_c(t) 2 \cos(2\pi f_c t + \theta(t)) \\ &= A_c \cos \theta(t) m(t) + A_c m(t) \cos(4\pi f_c t + \theta(t)) \end{aligned}$$

$$y_D(t) = m(t) \cos \theta(t) \quad \text{Serious distortion}$$

- How to generate phase coherent demodulation carrier
 - Sol 1: Costas phase-locked loop: Complicate the receiver design
 - Sol 2: Transmit the carrier component with the DSB signal, simplify the demodulation design → **Double-sideband, Large-carrier (DSB-LC)**

Double-sideband, Large-carrier (DSB-LC)

- DSB-LC signal (conventional AM signal) = DSB-SC signal + a carrier term

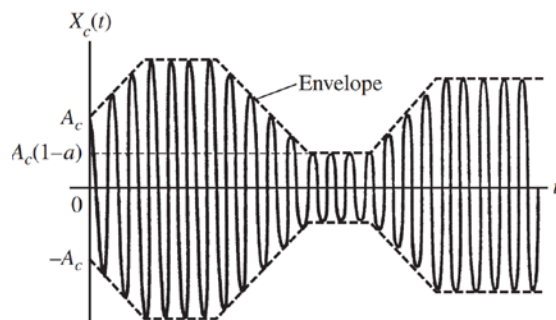
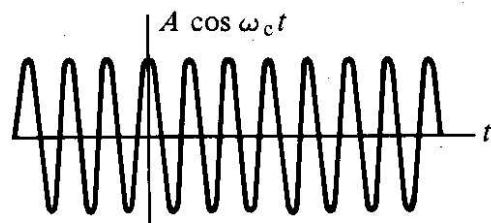
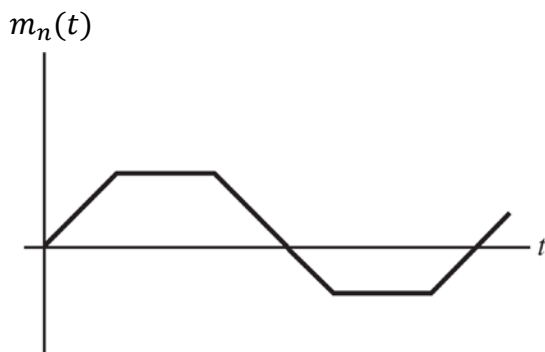
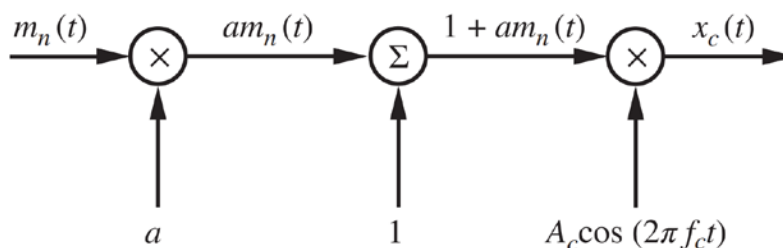
$$x_c(t) = A_c m(t) \cos 2\pi f_c t + A_c \cos 2\pi f_c t$$

$$x_c(t) = A_c [1 + am_n(t)] \cos 2\pi f_c t$$

- a : modulation index, $0 < a \leq 1$
- $m_n(t) = \frac{m(t)}{|\min[m(t)]|} \geq -1$
- Envelope of the AM signal $A_c [1 + am_n(t)]$ is nonnegative for all t

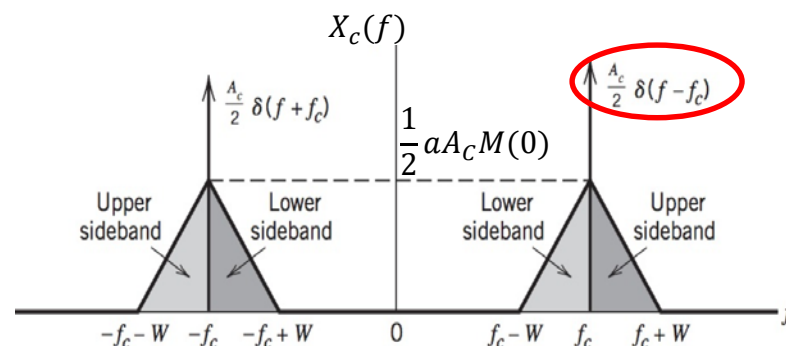
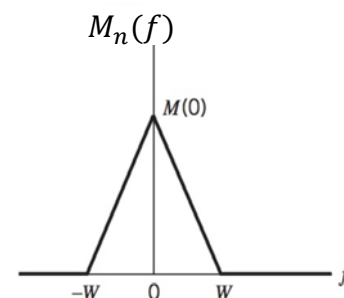
Graphic Interpretation

- DSB-LC signal



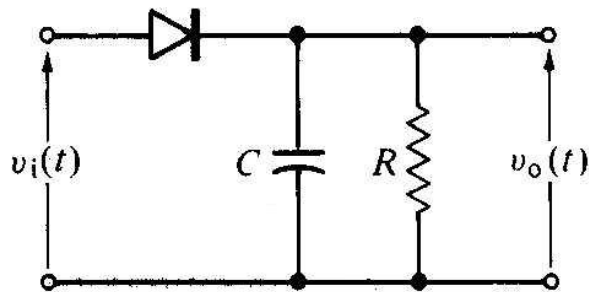
Time domain

Frequency domain



Demodulation of DSB-LC Signals

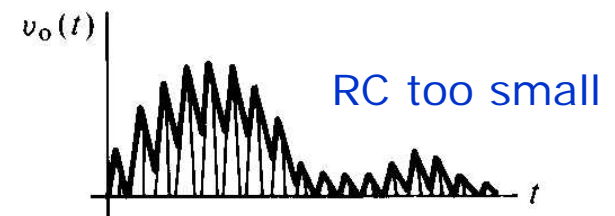
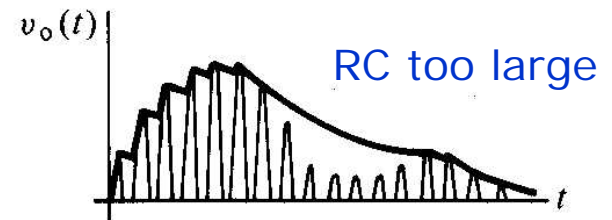
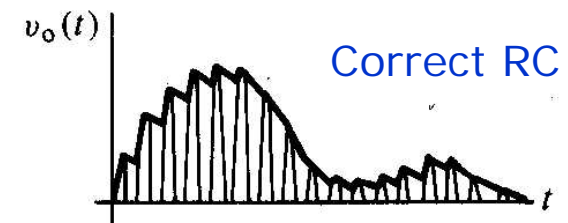
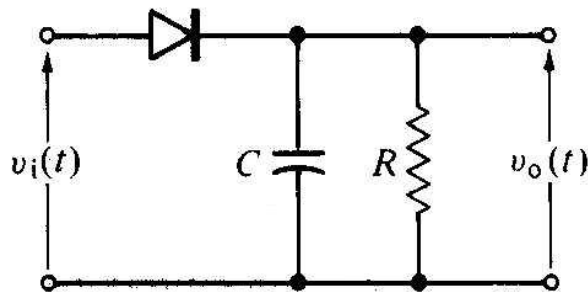
- Coherent demod: possible, but not easy.
 - Phase and frequency synchronizations are required;
- Noncoherent demod: envelope detection
 - The RC circuit can perform low pass filtering
 - Condition: $1 + a m_n(t) \geq 0 \iff 0 < a \leq 1, m_n(t) \geq -1$
 $2. f_c \gg W$



The simplicity of envelop detector has made Conventional AM a practical choice for AM-radio broadcasting

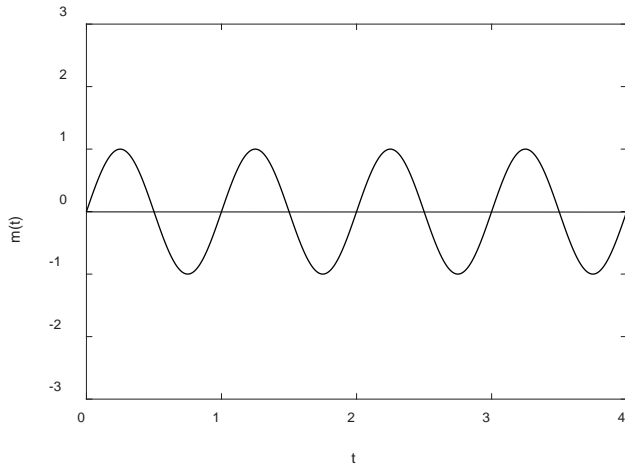
Demodulation of DSB-LC Signals

- Coherent demod: possible, but not easy.
 - Phase and frequency synchronizations are required;
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 - The RC circuit can perform low pass filtering
 - Condition: $0 < a \leq 1, m_n(t) \geq -1$ & $f_c \gg W$

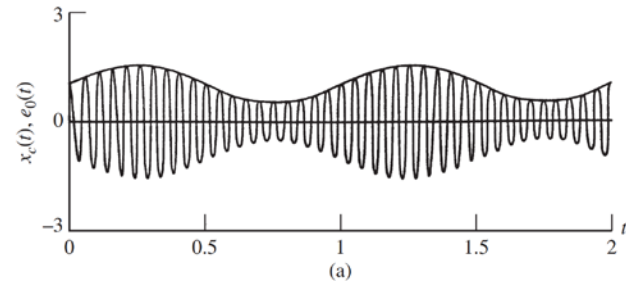


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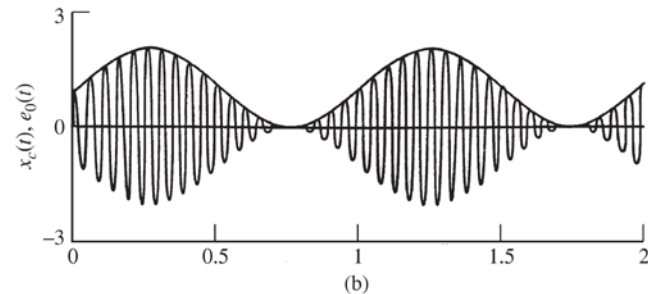
DSB-LC Properties



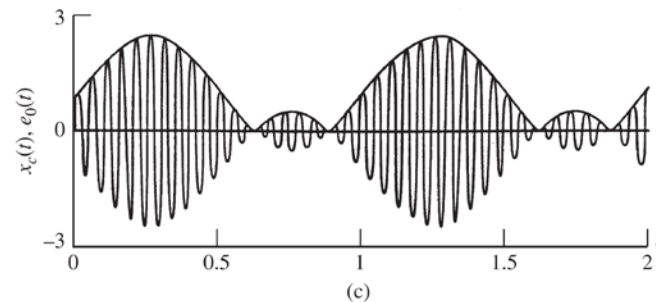
- The role played by modulation index a
 - $a < 1$: the envelope is always positive.
 - $a = 1$: minimum value of envelope is zero
 - $a > 1$: envelope detection output is badly distorted.



$$a = 0.5$$



$$a = 1$$



$$a = 1.5$$

Transmission Efficiency

- Transmission (modulation, power) efficiency:

$$\begin{aligned}
 \langle x_c^2(t) \rangle &= \langle A_c^2 [1 + am_n(t)]^2 \cos^2(2\pi f_c t) \rangle \\
 &= \left\langle \frac{1}{2} A_c^2 [1 + am_n(t)]^2 (1 + \cos(4\pi f_c t)) \right\rangle \\
 &= \left\langle \frac{1}{2} A_c^2 [1 + am_n(t)]^2 \right\rangle \\
 &= \frac{1}{2} A_c^2 + \frac{1}{2} A_c^2 a^2 \langle m_n^2(t) \rangle
 \end{aligned}$$

$m_n(t)$ is slowly varying w.r.t. carrier
 $m_n(t)$: zero-average

- The transmission efficiency

$$\mu = \frac{P_s}{P_t} = \frac{\frac{1}{2} A_c^2 a^2 \langle m_n^2(t) \rangle}{\frac{1}{2} A_c^2 a^2 \langle m_n^2(t) \rangle + \frac{1}{2} A_c^2} = \frac{a^2 \langle m_n^2(t) \rangle}{a^2 \langle m_n^2(t) \rangle + 1}$$

- If $|\min m(t)| = |\max m(t)|$, the maximum efficiency is 50% for $a=1$.
 - $\langle m_n(t) \rangle^2 \leq 1$
 - Square-wave-type message signal

Transmission Efficiency

- The transmission efficiency

$$\mu = \frac{P_s}{P_t} = \frac{\frac{1}{2}A_c^2 a^2 \langle m_n^2(t) \rangle}{\frac{1}{2}A_c^2 a^2 \langle m_n^2(t) \rangle + \frac{1}{2}A_c^2} = \frac{a^2 \langle m_n^2(t) \rangle}{a^2 \langle m_n^2(t) \rangle + 1}$$

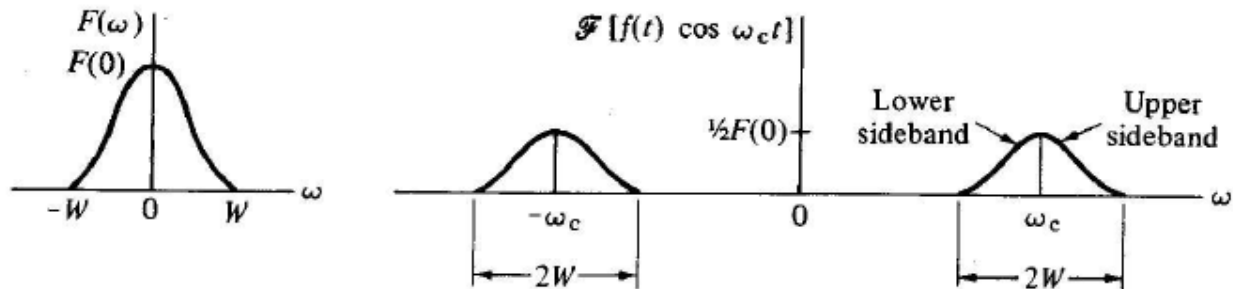
- If $|\min m(t)| = |\max m(t)|$, the maximum efficiency is 50% for $a=1$.
- If $m(t) = \cos(2\pi t)$, $\langle m^2(t) \rangle = \frac{1}{2} \rightarrow \mu = 33\%$ for $a=1$.
- For comparison, the transmission efficiency of a DSB-SC system is 100%.

Comment on DSB-LC (AM)

- Good:
 - Demodulation is simple and inexpensive: envelope detection
- Bad:
 - Low power efficiency
 - High transmission bandwidth: $B=2W$

Single-sideband (SSB) Modulation

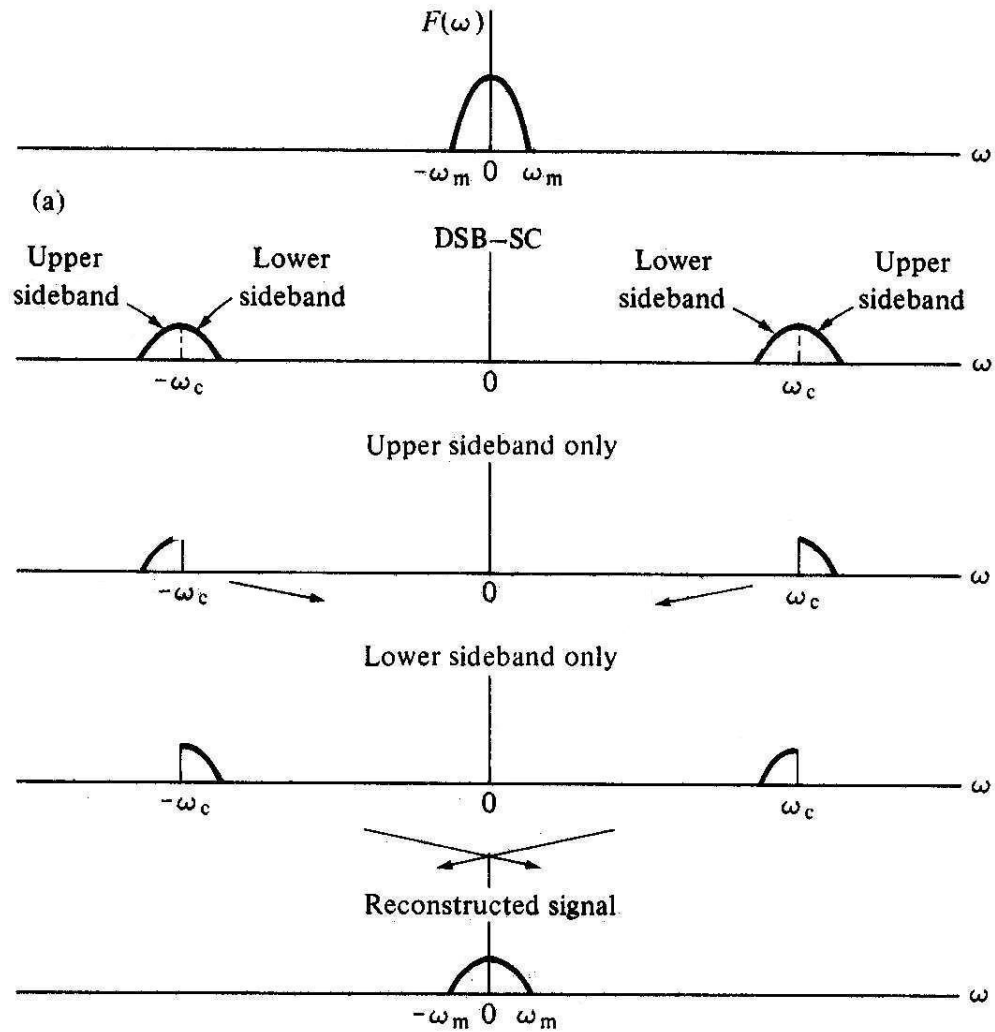
- DSB modulation results in a doubling of the bandwidth of a given signal.



- Each pair of sidebands (i.e. upper or lower) contains the complete information of the original signal.
- The original signal can be recovered again from either the upper or lower pair of sidebands by an appropriate frequency translation. ➔ single-sideband modulation

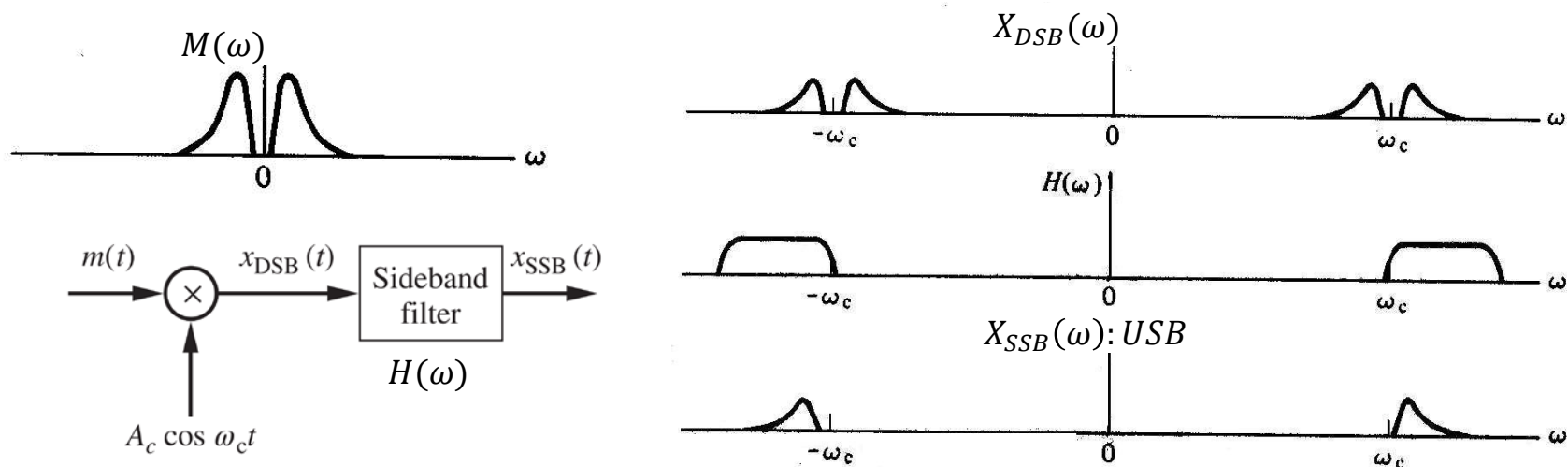
SSB

- Advantage: SSB modulation is efficient because it requires no more bandwidth than that of the original signal and only half that of the corresponding DSB signal.



Generation of SSB Signals

- Generation of SSB signals
- Method 1: sideband filtering
 - generate a DSB-SC signal;
 - filter out one pair of sidebands (upper or lower).
- Requirement of method 1:
 - does not contain significant low-frequency components;
 - Ideal filter if low-freq is contained in $m(t)$.

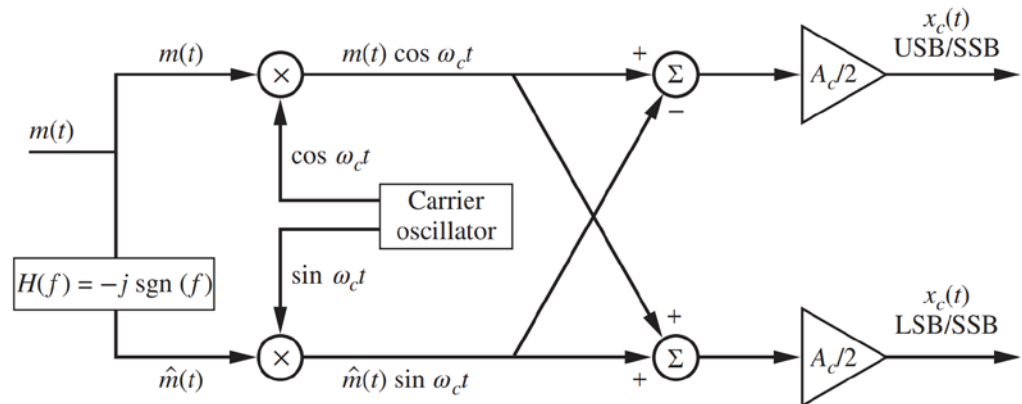


Generation of SSB Signals (Cont'd)

- Method 2: phase-shift
 - generate the quadrature function $\hat{m}(t)$ by shifting the phase of $m(t)$ by 90 degrees at each frequency component.
 - Upper (SSB+) sideband and lower (SSB-) sideband are given by

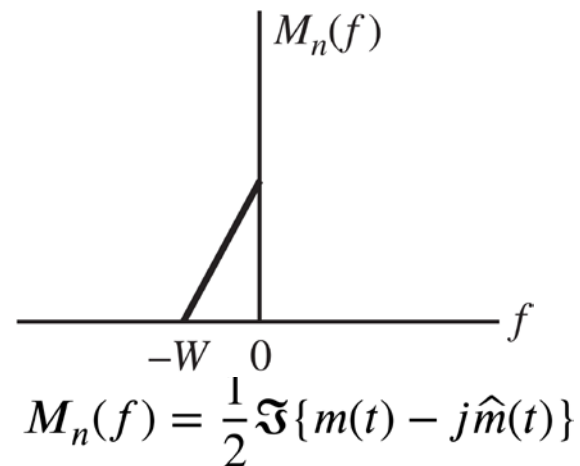
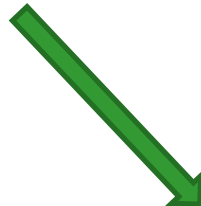
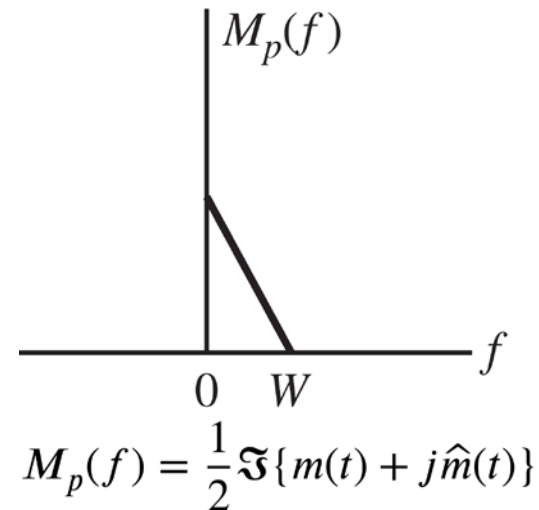
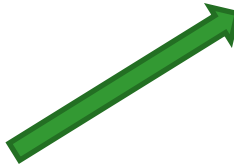
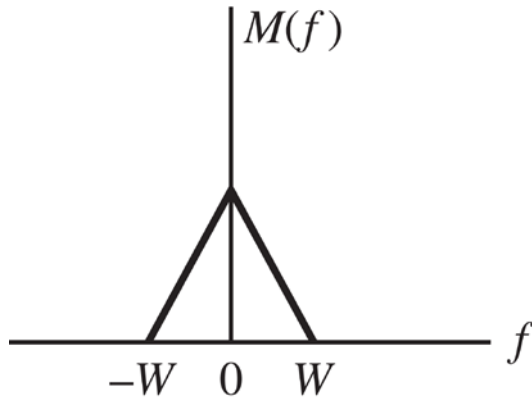
$$x_c(t) = x_{SSB\mp}(t) = \frac{1}{2}A_c m(t) \cos 2\pi f_c t \pm \frac{1}{2}A_c \hat{m}(t) \sin 2\pi f_c t$$

- Requirement:
 - phase shifted by exactly 90 degrees.
 - Ideal wideband phase shifter



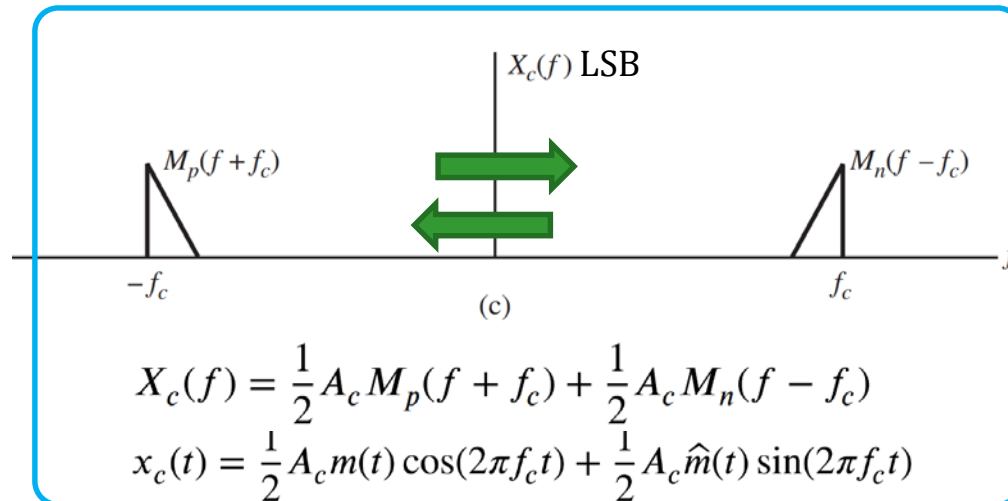
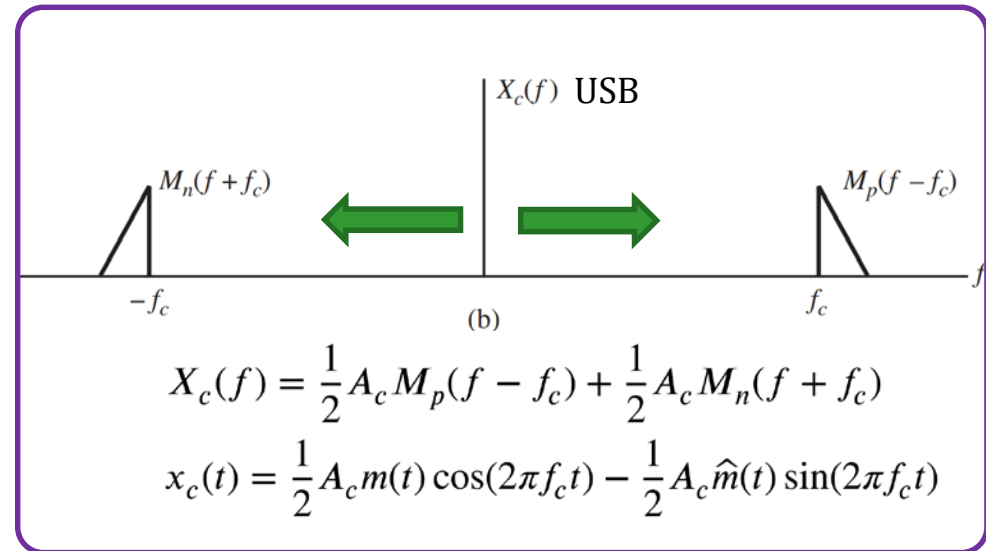
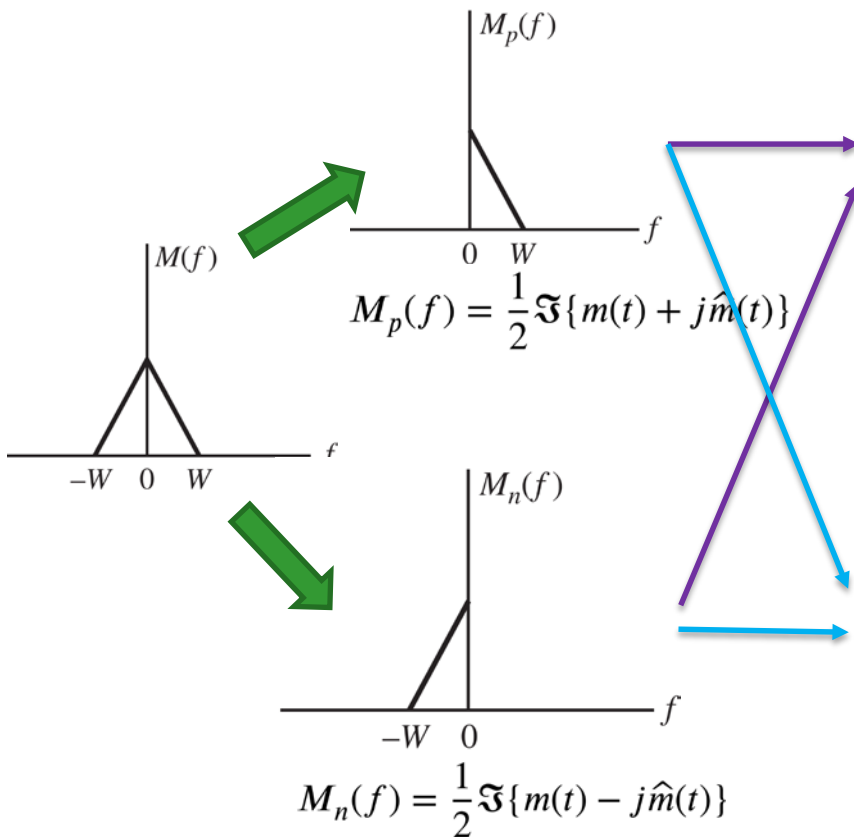
Generation of SSB Signals (Cont'd)

- Method 2: phase-shift



Generation of SSB Signals (Cont'd)

- Method 2: phase-shift



SSB Demodulation

- Synchronous detection

- Received SSB signal:

$$x_c(t) = \frac{1}{2} A_c m(t) \cos 2\pi f_c t \pm \frac{1}{2} A_c \hat{m}(t) \sin 2\pi f_c t$$

- Local generated carrier signal:

$$C(t) = 4 \cos[2\pi f_c t + \theta(t)]$$

Time-varying
phase error

$$\begin{aligned} x_c(t)C(t) &= \left[\frac{1}{2} A_c m(t) \cos 2\pi f_c t \pm \frac{1}{2} A_c \hat{m}(t) \sin 2\pi f_c t \right] 4 \cos[2\pi f_c t + \theta(t)] \\ &= A_c m(t) \{ \cos[\theta(t)] + \cos[4\pi f_c t + \theta(t)] \} \mp A_c \hat{m}(t) \{ \sin[\theta(t)] - \sin[4\pi f_c t + \theta(t)] \} \end{aligned}$$

- Through a low-pass filter (LPF), the output is given by:

$$y_D(t) = m(t) \cos \theta(t) \mp \hat{m}(t) \sin \theta(t)$$

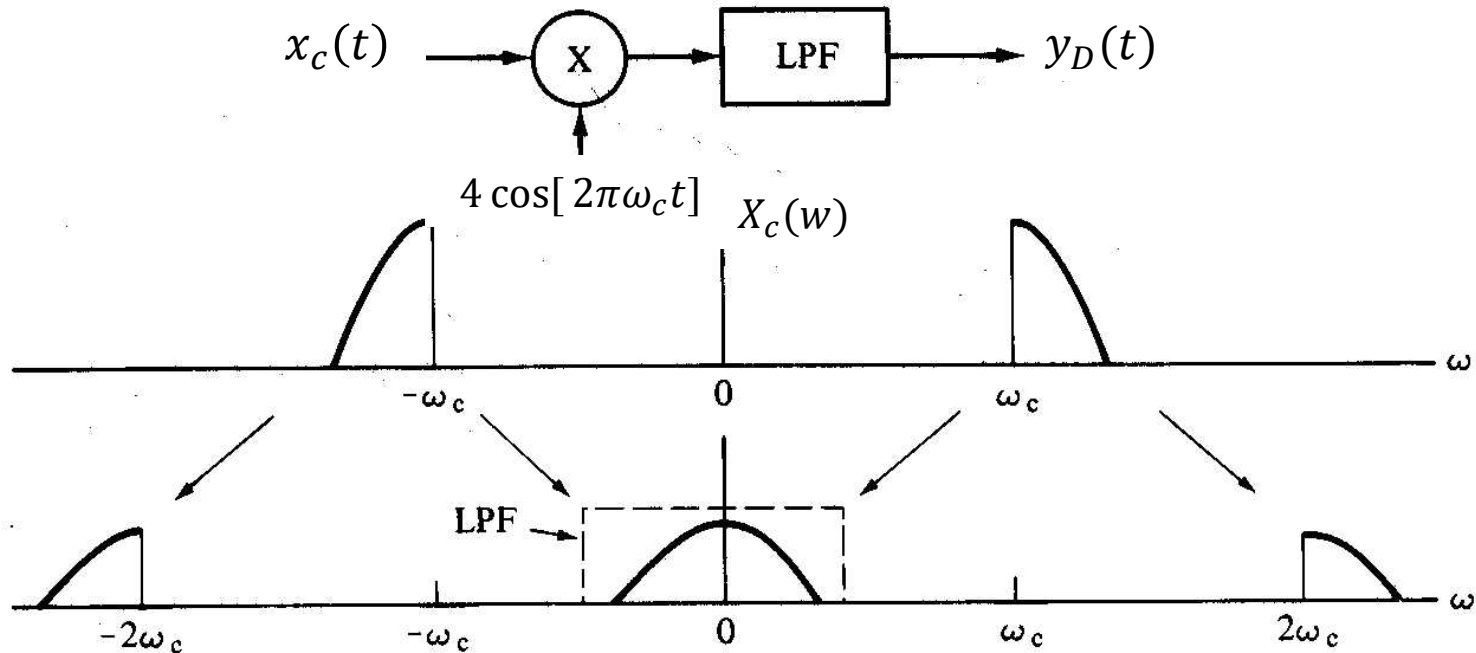
Serious distortion

- If no error

$$y_D(t) = m(t)$$

SSB Demodulation (Cont'd)

- Frequency domain graphic interpretation

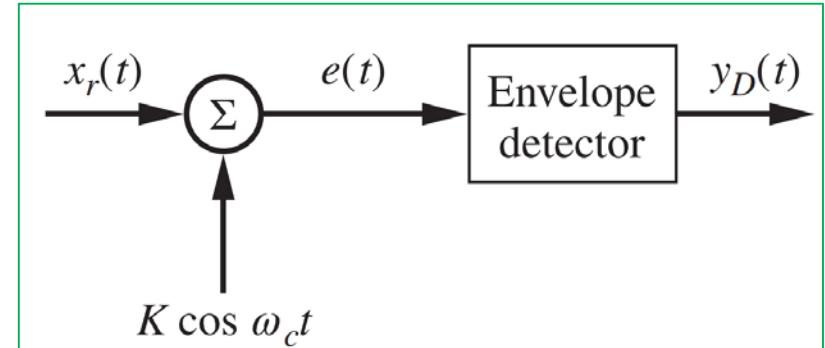


SSB Demodulation

- Carrier Reinsertion

- After carrier reinsertion

$$e(t) = \left[\frac{1}{2} A_c m(t) + K \right] \cos 2\pi f_c t \pm \frac{1}{2} A_c \hat{m}(t) \sin 2\pi f_c t$$



- Envelope detection, not straightforward

$$y_D(t) = \sqrt{\left[\frac{1}{2} A_c m(t) + K \right]^2 + \left[\frac{1}{2} A_c \hat{m}(t) \right]^2}$$

$\left[\frac{1}{2} A_c m(t) + K \right]^2 \gg \left[\frac{1}{2} A_c \hat{m}(t) \right]^2$

$$\approx \frac{1}{2} A_c m(t) + K$$

A green curved arrow points from the inequality to the approximation, indicating the simplification step.

- Requirement of envelope detection

- The carrier is much larger than the SSB envelope
- Phase coherent with original modulation carrier

Comments on SSB

- Good:
 - Save spectrum
 - Save energy
- Bad:
 - Complex implementation (modulation and demodulation)

Vestigial-sideband (VSB) Modulation

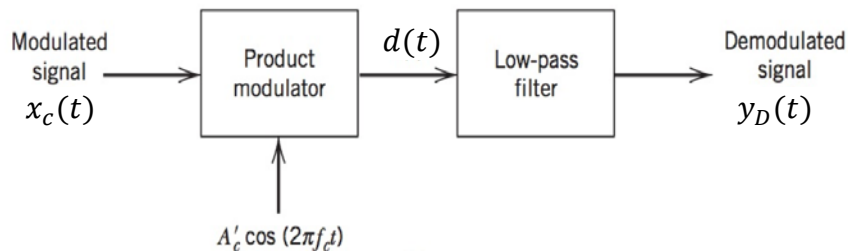
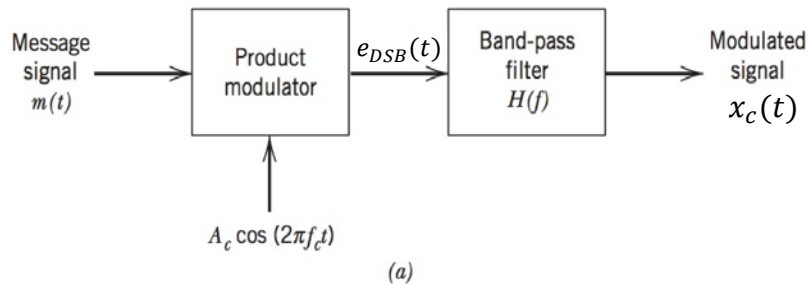
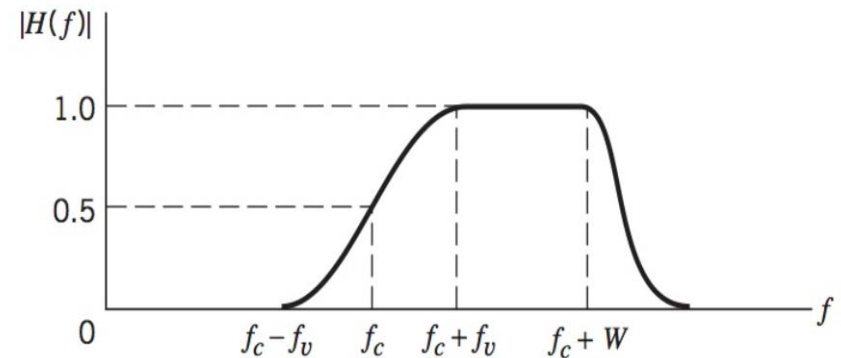
- The generation of SSB signals may be quite difficult when the modulating signal bandwidth is wide or where one cannot disregard the low-frequency components.
- In Vestigial-sideband (VSB) modulation, a portion of one sideband is transmitted.
- VSB is a compromise between SSB and DSB.
- Generation of VSB-SC signals: in frequency domain

$$X_{VSB-SC}(f) = \frac{A_c}{2} [M(f + f_c) + M(f - f_c)]H(f)$$

- Where filter $H(f)$ passes some of the lower (or upper) sideband and most of the upper (or lower) sideband.

Vestigial-sideband (VSB) Modulation

- The requirement on the filter
- Consider coherent detection



$$X_c(f) = \frac{A_c}{2} [M(f + f_c) + M(f - f_c)]H(f)$$

$$d(t) = A'_c x_c(t) \cos 2\pi f_c t$$

$$\begin{aligned} D(f) &= \frac{A'_c}{2} [X_c(f + f_c) + X_c(f - f_c)] = \\ &= \frac{A_c A'_c}{4} \{ [H(f - f_c) + H(f + f_c)] M(f) \\ &\quad + M(f + 2f_c) H(f + f_c) \} \end{aligned}$$

$$Y_D(f) = \frac{A_c A'_c}{4} M(f) [H(f - f_c) + H(f + f_c)]$$

Vestigial-sideband (VSB) Modulation

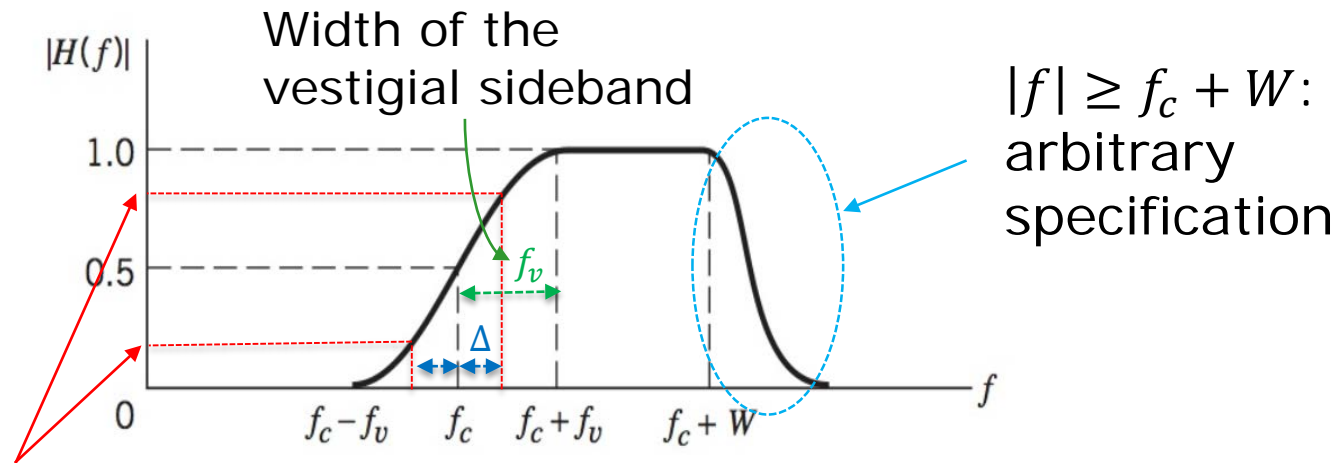
- The requirement on the filter

$$Y_D(f) = \frac{A_c A'_c}{4} M(f) [H(f - f_c) + H(f + f_c)]$$

- Recover the $m(t)$ without distortion

$$H(f - f_c) + H(f + f_c) = 2H(f_c), -W \leq f \leq W$$

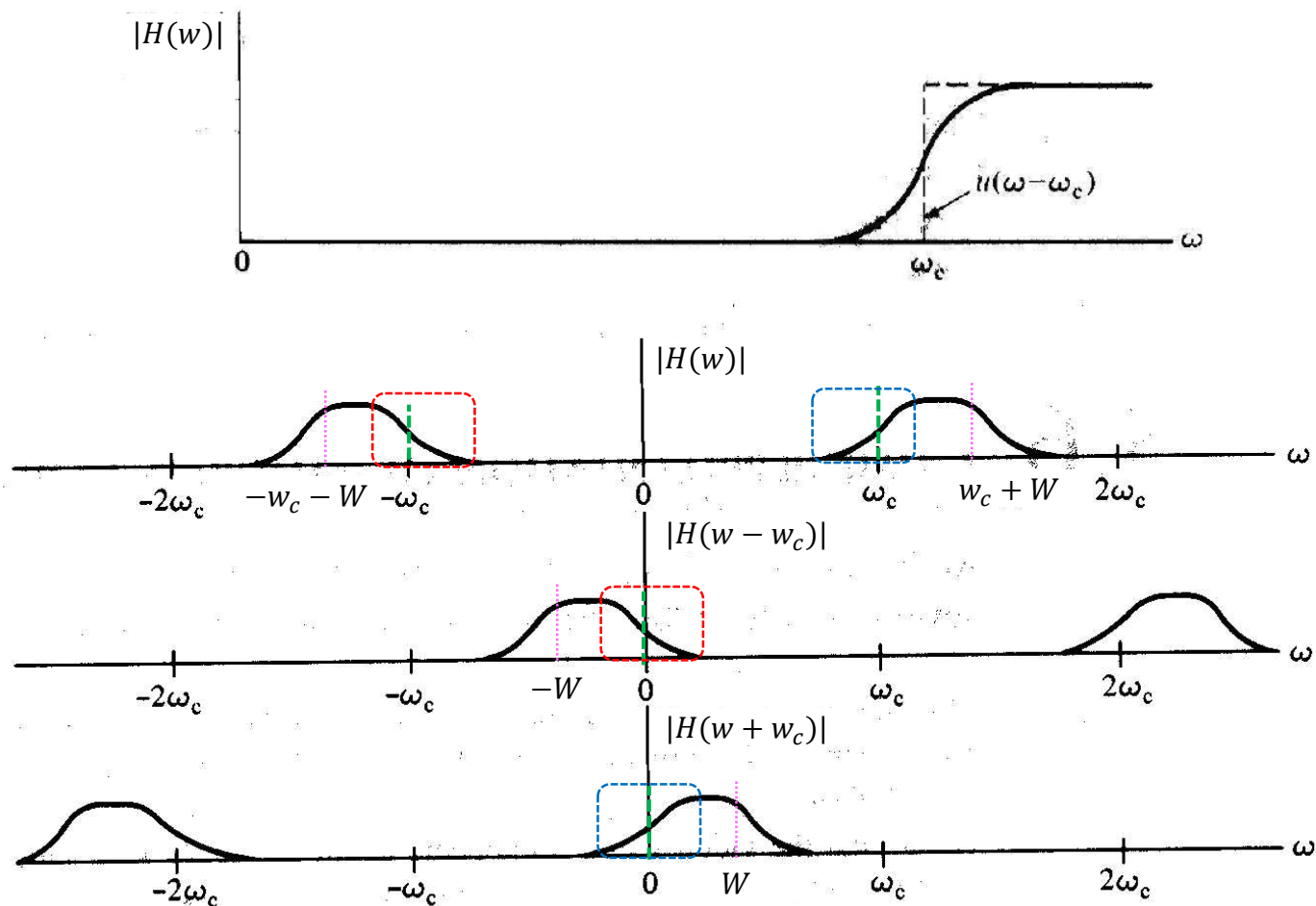
- Cutoff portion of $H(f)$ is odd symmetric around f_c .



Odd symmetry: $H(f_c + \Delta) + H(f_c - \Delta) = 2H(f_c), |\Delta| \leq f_v$

VSB Modulation (Cont'd)

- Frequency domain graphic interpretation



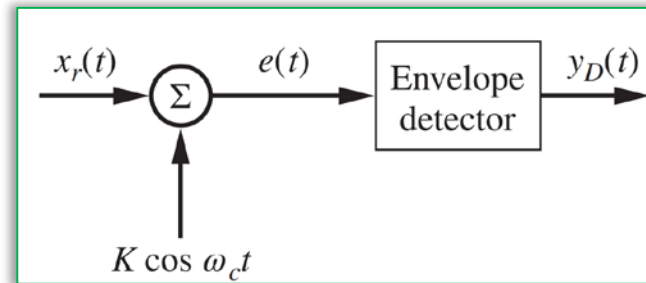
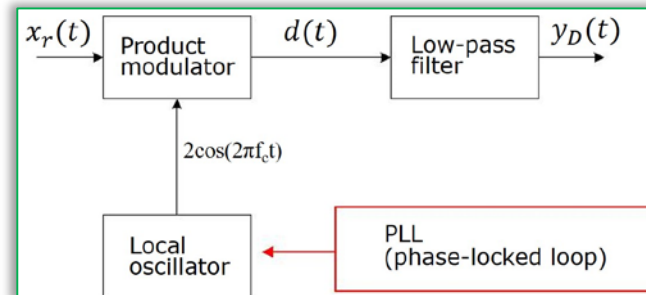
Comparison of AM Techniques

- DSB-SC:
 - more power efficient. Seldom used
- DSB-LC (AM):
 - simple envelop detector
 - Example: AM radio broadcast
- SSB:
 - requires minimum transmitter power and bandwidth. Suitable for point-to-point and over long distances
- VSB:
 - bandwidth requirement between SSB and DSBSC.
 - Example: TV transmission



Comparison of AM Techniques

- Demodulation
 - Coherent Demodulation
 - All linear modulation
 - Envelope Detection
 - DSB-LC(AM)
 - SSB + Carrier reinjection
 - VSB + Carrier reinjection

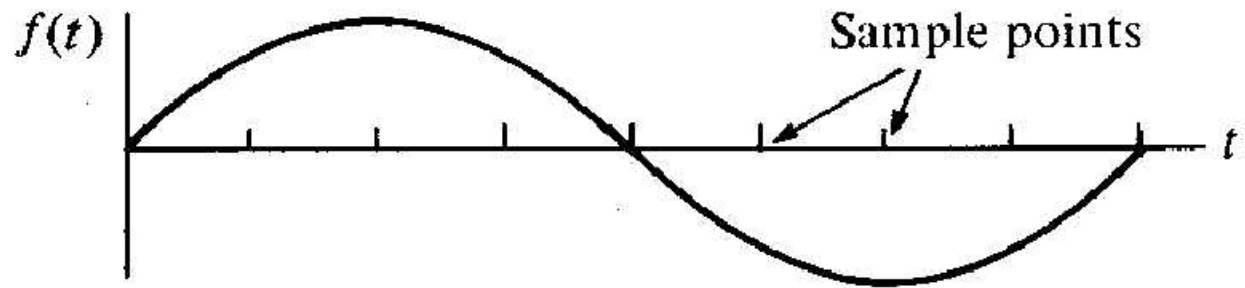


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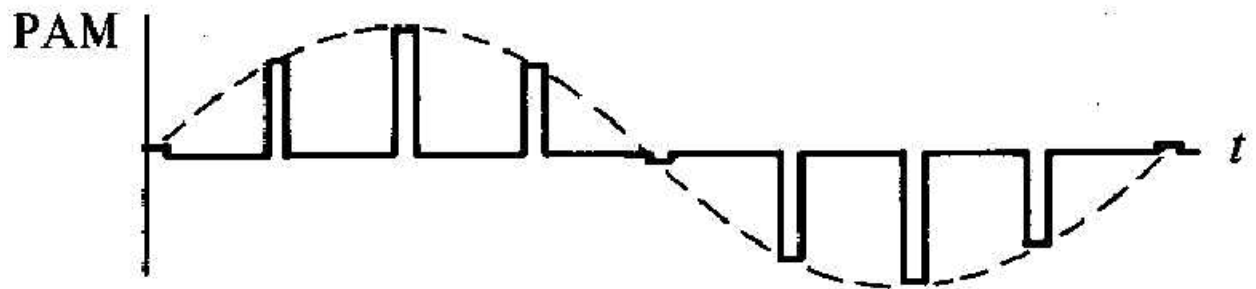
- Analog Modulation
 - Amplitude modulation
 - Pulse amplitude modulation
 - Angle modulation (phase/frequency)

Analog Pulse Modulation

Modulating
Signal



Pulse-Amplitude
Modulation (PAM)



Pulse-Width
Modulation (PWM)



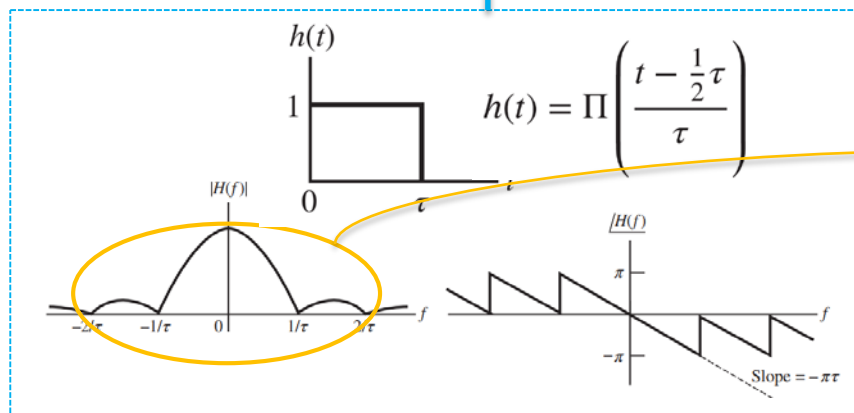
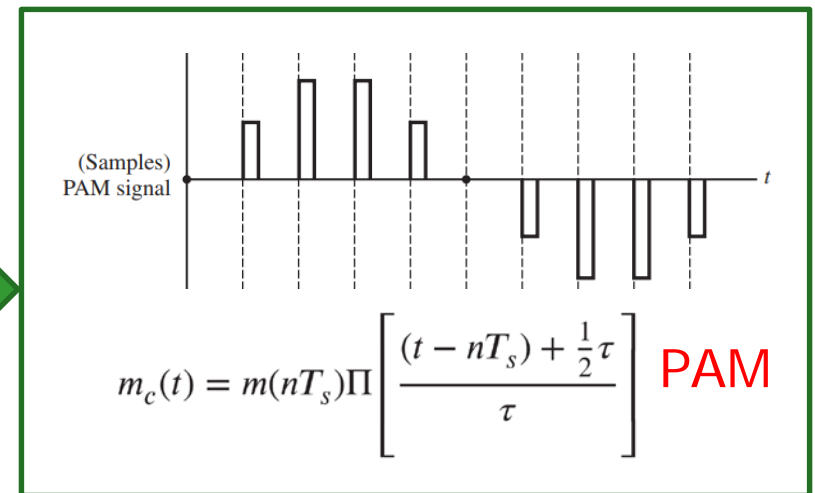
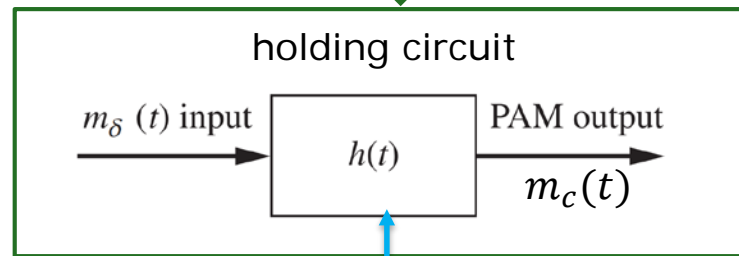
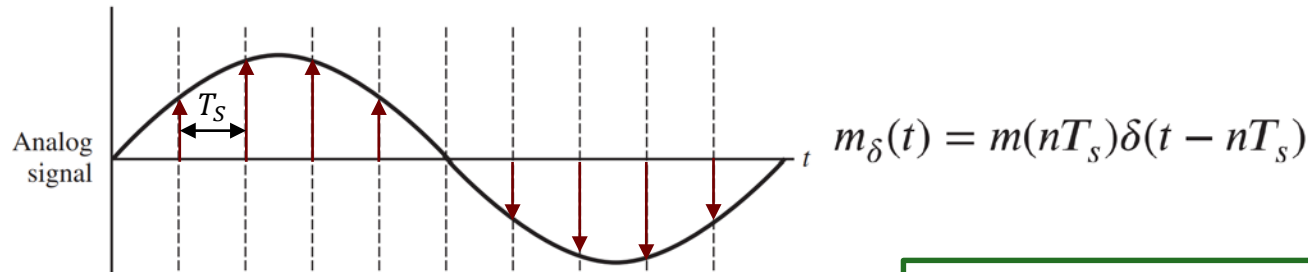
Pulse-Position
Modulation (PPM)



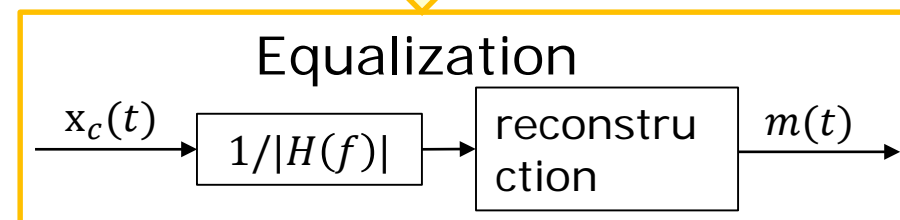
Analog Pulse Modulation (cont'd)

- PAM: constant-width, uniformly spaced pulses whose amplitude is proportional to the values of the input at the sampling instants.
- PWM: constant-amplitude pulses whose width is proportional to the values of the input at the sampling instants.
- PPM: constant-width, constant-amplitude pulses whose position is proportional to the values of the input at the sampling instants.

Pulse Amplitude Modulation (PAM)



Amplitude distortion





Thanks for your kind attention!

Questions?