# Representation Theory of Compact Lie Groups

Pan Jing Bin

MA5211 Lie Theory Presentation

### Outline

- Why Compact Groups
- 2 Character Theory
- Representations of SU(2)
- Maximal Torus Subgroups
- 5 Lie Algebra Representations of Lie Groups

# Representation Theory of Groups

### The general setting:

A **representation** of a group G is a pair  $(V, \phi)$ , where V is a vector space over a field  $\mathbb{F}$  and  $\phi: G \to \operatorname{GL}_{\mathbb{F}}(V)$  is a group homomorphism.

### Our setting:

A Lie group representation of a compact Lie group G is a pair  $(V,\phi)$ , where V is a finite-dimensional vector space over  $\mathbb C$  and  $\phi:G\to \mathrm{GL}_{\mathbb C}(V)$  is a Lie group homomorphism.

# Some Simple Examples

• Adjoint representation:

$$\mathsf{Ad}: G \to \mathsf{GL}_\mathbb{C}(\mathsf{Lie}(G)), \qquad g \mapsto \mathit{dc}(g)|_e;$$

Finite group representations:

$$\chi: \mathbb{Z}/n\mathbb{Z} \to \mathbb{C}^*, \qquad k \mapsto \exp\left(\frac{2\pi i k}{n}\right);$$

Standard representations:

$$\iota: \mathsf{O}(n), \mathsf{SO}(n), \mathsf{U}(n), \mathsf{SU}(n) \hookrightarrow \mathsf{GL}_{\mathbb{C}}(\mathbb{C}^n);$$

• Rotations in  $\mathbb{R}^2$ :

$$R: S^1 o \mathsf{SO}(2), \qquad \mathsf{exp}(it) \mapsto \begin{pmatrix} \cos t & -\sin t \\ \sin t & \cos t \end{pmatrix}.$$

# Origins of Representation Theory

### Finite representation theory:

A representation of a finite group G is a pair  $(V, \phi)$ , where V is a finite-dimensional vector space over  $\mathbb C$  and  $\phi: V \to \operatorname{GL}_{\mathbb C}(V)$  is a group homomorphism.

### Maschke's Theorem (1898)

Every representation V of a finite group G over  $\mathbb C$  is a direct sum of irreducible representations.

The irreducible representations of *G* do not **interact**.

Guiding principle: To understand the representations of G, it suffices to understand the **irreducible representations**.

## Key Idea Behind Maschke's Theorem

### Maschke's Theorem (1898)

Every representation V of a finite group G over  $\mathbb C$  is a direct sum of irreducible representations.

**Step 1:** Let  $U \subseteq V$  be a subrepresentation. We seek to find a **complementary** subrepresentation W such that  $V = U \oplus W$ .

**Step 2:** A Hermitian inner product  $\langle -, - \rangle$  on V is **G-invariant** if for all  $g \in G$  and  $v_1, v_2 \in V$ , we have

$$\langle gv_1, gv_2 \rangle = \langle v_1, v_2 \rangle.$$

If V has a G-invariant inner product, then choose  $W = U^{\perp}$ .

**Step 3:** Starting with any inner product  $\langle -, - \rangle$ , a *G*-invariant inner product is given by

$$\langle v, w \rangle_G := \frac{1}{|G|} \sum_{g \in G} \langle gv, gw \rangle.$$

The entire proof **breaks down** if |G| is infinite or if the characteristic of  $\mathbb{F}$  divides |G|.

# Integration on Compact Groups

### Are there any other ways to perform "averaging" on groups?

### Approach 1: Invariant integral

Lie groups are **orientable manifolds**. Any volume form defines an integral. But we can do even better:

### Hurwitz (1897)

Every compact Lie group G has a unique integral  $\int: C(G) \to \mathbb{R}$  satisfying

- **1** Normalised:  $\int_G 1 \ dg = 1$ ;
- **2 Left-invariance:**  $\int_G f \circ \ell_h \ dg = \int_G f \ dg$  for any  $h \in G$ .

Analogue of left-invariance in the finite group setting:

$$\sum_{g \in G} f(g) = \sum_{g \in G} f(hg).$$

# Integration on Compact Lie Groups

### Approach 2: Haar measure

First define a **measure** on the group G and then use this **measure** to define the integral.

### Haar (1933)

Every compact group G has a unique regular measure  $\mu$  satisfying

- **1** Normalised:  $\mu(G) = 1$ ;
- **Q** Left-invariance:  $\mu(h \cdot E) = \mu(E)$  for any  $h \in G$  and measurable subset E of G.

In both cases, the **compactness** of G is required to ensure that the integral is finite.

# Semisimplicity of Compact Lie Groups

Finite groups: 
$$\frac{1}{|G|} \sum_{g \in G} f(g)$$
, Unit circle  $S^1$ :  $\frac{1}{2\pi} \int_0^{2\pi} f(\theta) \ d\theta$ 

If V is a Lie group representation of G, then a G-invariant inner product is given by

$$ig\langle {f v},{f w}ig
angle_{f G}:=\int_{f G}ig\langle {f g}{f v},{f g}{f w}ig
angle\,\,d\mu({f g}).$$

### Weyl (1925)

Any Lie group representation of a compact Lie group G is a direct sum of irreducible representations.

# **Character Theory**

If  $(V,\phi)$  is a representation of G, the **character** of the representation is the map  $\chi_V:G\to\mathbb{C}$  defined by

$$\chi_V(g) := \operatorname{Tr}_V(g).$$

In the theory of finite groups, characters are powerful tools to **simplify computations** without **sacrificing vital information**.

How much can be generalised to compact Lie groups?

$$\langle \chi_V, \chi_W \rangle_{L^2(G)} := \int_G \chi_V(g) \overline{\chi_W(g)} \ d\mu(g).$$

### Schur Orthogonality Relations

If V and W are irreducible Lie group representations,

$$\left\langle \chi_V, \chi_W \right\rangle_{L^2(G)} = \begin{cases} 1 & \text{if } V \cong W \\ 0 & \text{otherwise.} \end{cases}$$

Hence a representation is determined up to isomorphism by its character.

## Character Theory

Characters are **class functions**, i.e. functions satisfying

$$\psi(g)=\psi(hgh^{-1})\quad\text{ for all }h\in G.$$

#### Finite Groups:

### Schur Orthogonality Relations

The set of irreducible characters  $\{\chi_i\}_{i\in I}$  form an orthonormal basis for the space of class functions on G.

### Corollary

The number of irreducible representations of G is equal to the number of conjugacy classes of G.

# The Bridge to Harmonic Analysis

The inner product naturally defines a **Hilbert space structure** on the set of square-integrable  $(L^2)$  class functions on G.

$$\langle \chi_V, \chi_W \rangle_{L^2(G)} := \int_G \chi_V(g) \overline{\chi_W(g)} \ d\mu(g).$$

#### Compact groups:

### Peter-Weyl Theorem (1927)

The set of irreducible characters  $\{\chi_i\}_{i\in I}$  form an orthonormal (Hilbert) basis for the space of square-integrable (i.e.  $L^2$ ) class functions on G.

### Corollary

There is a (at most) countable number of irreducible representations of G.

# Representations of SU(2)

$$\mathsf{SU}(2) := \Big\{ \textbf{\textit{A}} \in \mathsf{Mat}_{2 \times 2}(\mathbb{C}) \ : \ \textbf{\textit{A}}^\dagger \textbf{\textit{A}} = \textbf{\textit{I}}_{\textbf{2}}, \ \det(\textbf{\textit{A}}) = 1 \Big\}.$$

What are its irreducible representations?

For any  $n \in \mathbb{Z}_{\geq 0}$ , let  $V_n$  be the  $\mathbb{C}$ -vector space of homogeneous polynomials in two variables x and y of degree n. A basis for  $V_n$  is

$$\{x^n, x^{n-1}y, \cdots, xy^{n-1}, y^n\}.$$

Each polynomial in  $V_n$  defines a **polynomial function**  $P: \mathbb{C}^2 \to \mathbb{C}$ . The group SU(2) acts on P by

$$(\mathbf{A} \cdot P)(\mathbf{v}) := P(\mathbf{v}\mathbf{A}).$$

**Goal:** Show that  $\{V_n\}_{n=0}^{\infty}$  forms a complete set of irreducible representations of SU(2).

**First step:** Show that each  $V_n$  is irreducible i.e. the only SU(2)-equivariant map  $T: V_n \to V_n$  is of the form  $\lambda \cdot \mathbf{Id}$ .

# Irreducibility of $V_n$

Let  $P_k = x^k y^{n-k}$ . The corresponding basis is  $\{P_n, P_{n-1}, \dots, P_0\}$ .

$$(\mathbf{A} \cdot P)(\mathbf{v}) := P(\mathbf{v}\mathbf{A}).$$

The action of the subgroup  $D:=\left\{ \boldsymbol{A}\in\mathsf{SU}(2):\;\boldsymbol{A}=\mathsf{diag}\left(a\quad a^{-1}\right)\right\}$  is:

$$\mathbf{A} \cdot P_k = a^{2k-n} P_k$$

for  $P_k = x^k y^{n-k}$ . Thus

$$V_n = \mathbb{C} \cdot P_n \oplus \mathbb{C} \cdot P_{n-1} \oplus \cdots \oplus \mathbb{C} \cdot P_0$$

is an eigenspace decomposition for D. Every SU(2)-equivariant map  $T: V_n \to V_n$  satisfies

$$\mathbf{A} \cdot T(P_k) = T(\mathbf{A} \cdot P_k) = a^{2k-n}T(P_k).$$

Hence T must preserve each eigenspace.

# Irreducibility of $V_n$

#### What we have shown:

$$T(P_k) = \lambda_k P_k$$
 for some  $\lambda_k \in \mathbb{C}$ .

Actual computations are now much more **tractable**.

By considering the rotations  $r_t = \begin{pmatrix} \cos t & -\sin t \\ \sin t & \cos t \end{pmatrix} \in SU(2)$ , we get

$$\mathbf{A}(r_t \cdot P_n) = \sum_{k=0}^{n} \binom{n}{k} \cos^k t \cdot \sin^{n-k} t \cdot \lambda_k P_k$$

and

$$r_t \cdot \mathbf{A}(P_n) = \sum_{k=0}^n \binom{n}{k} \cos^k t \cdot \sin^{n-k} t \cdot \lambda_n P_k.$$

Hence  $\lambda_k = \lambda_n$  for all n.

# Completeness of the $V_n$ 's

To show completeness, we turn to **character theory**.

Every matrix in SU(2) is **conjugate** to a diagonal matrix. Let's analyse the diagonal matrices more closely:

$$oldsymbol{\mathcal{D}}( heta) := egin{pmatrix} e^{i heta} & 0 \ 0 & e^{-i heta} \end{pmatrix}, \quad heta \in [-\pi,\pi].$$

Two diagonal matrices  $\boldsymbol{D}(\theta)$  and  $\boldsymbol{D}(\psi)$  are conjugate iff  $\theta = -\psi$ .

The conjugacy classes of SU(2) is in bijection with  $[0, \pi]$ . The class functions of SU(2) can be identified with the **even functions** on  $[-\pi, \pi]$ .

The eigenvalues of  $m{D}(\theta)$  are  $\left\{e^{in\theta},e^{i(n-2)\theta},\cdots,e^{-in\theta}\right\}$ . After some computation:

$$\chi_{V_n}(\theta) = \cos n\theta + \chi_{V_{n-1}}(\theta) \cos \theta$$

hence

$$\operatorname{span}_{\mathbb{C}} \{ \chi_{V_0}(\theta), \cdots, \chi_{V_n}(\theta) \} = \operatorname{span}_{\mathbb{C}} \{ 1, \cos \theta, \cdots, \cos n\theta \}.$$

# Completeness of the $V_n$ 's

#### In conclusion:

$$\operatorname{span}_{\mathbb{C}}\big\{\chi_{V_n}(\theta)\ :\ n\in\mathbb{Z}_{\geq 0}\big\}=\operatorname{span}_{\mathbb{C}}\big\{\cos n\theta\ :\ n\in\mathbb{Z}_{\geq 0}\big\}.$$

**From harmonic analysis:** The cosine functions are **dense** in the space of **even**  $L^2$  functions on  $[-\pi, \pi]$ .

If W is another irreducible representation of SU(2), then a density argument forces

$$\left\langle \chi_W, \chi_{V_k} \right\rangle_{L^2(G)} = 1$$

for some  $k \in \mathbb{Z}_{\geq 0}$ .

**Even deeper connection:** The matrix coefficients of the irreducible representations are precisely the spherical harmonics on  $S^3 \cong SU(2)$ .

# Maximal Torus Subgroups

We used the diagonal subgroup

$$D := \left\{ \begin{pmatrix} e^{i\theta} & 0 \\ 0 & e^{-i\theta} \end{pmatrix} : \theta \in [-\pi, \pi] \right\}$$

of SU(2) in our computations. The diagonal action is **simple to understand** yet still encodes **key information**.

This is a maximal connected abelian Lie subgroup of SU(2). Subgroups with these properties are known as maximal torus subgroups.

### Conjugacy Theorem (Weyl 1925)

Let G be a compact connected Lie group and let  $\mathcal{T}$  be a maximal torus subgroup of G. Then

$$G = \bigcup_{g \in G} gTg^{-1}.$$

## Lie Algebra Representations of Lie Groups

#### How does this relate to what we have covered in this course?

If  $\phi: \mathsf{SU}(2) \to \mathsf{GL}_\mathbb{C}(V)$  is a Lie group representation, then the Jacobian

$$d\phi|_e: \mathsf{Lie}(\mathsf{SU}(2)) o \mathsf{End}(V)$$

is a Lie algebra representation.

#### Lie Group-Lie Algebra Correspondence

Let G and H be Lie groups, with G simply connected. If  $\phi: \text{Lie}(G) \to \text{Lie}(H)$  is a Lie algebra homomorphism, then there exists a unique Lie group homomorphism f such that  $\phi = df|_e$ .

$$\mathsf{Lie}(\mathsf{SU}(2)) = \mathfrak{su}(2) := \big\{ \boldsymbol{X} \in \mathsf{Mat}_{2 \times 2}(\mathbb{C}) \mid \boldsymbol{X}^\dagger = -\boldsymbol{X}, \ \mathsf{Tr}(\boldsymbol{X}) = 0 \big\}.$$

We use the passageway:

$$\mathsf{SU}(2) \xrightarrow{\mathsf{differentiation}} \mathfrak{su}(2) \xrightarrow{\mathsf{complexification}} \mathfrak{sl}(2,\mathbb{C})$$

to obtain a bijective correspondence

$$SU(2)$$
 action on  $V_k \longleftrightarrow \mathfrak{sl}(2,\mathbb{C})$  action on  $V_k$ .

### The End

Thank you for your attention.

