#### Design and Analysis of Algorithms

#### Presented by Dr. Li Ning

Shenzhen Institutes of Advanced Technology, Chinese Academy of Science Shenzhen, China



#### Divide and Conquer

- 1 Revisit: Merge Sort
- 2 Example: The Maximum-Subarray Problem
- 3 The Paradigm of Divide and Conquer
- 4 Find The Lost Number
- 5 Matrix Multiplication
- 6 Find The Medians
- 7 The Fast Fourier Transform

Revisit: Merge Sort

```
m = \lfloor (|A| - 1)/2 \rfloor;

B = A[0, ..., m];

C = A[m + 1, ..., |A| - 1];

B = MergeSort(B);

C = MergeSort(C);

A = Merge(B, C);
```

```
0 1 2 3 0 1 2 3 4
m = |(|A| - 1)/2|;
B = A[0, ..., m];
C = A[m+1,...,|A|-1];
B = MergeSort(B);
C = MergeSort(C);
A = Merge(B, C);
```

$$m = \lfloor (|A| - 1)/2 \rfloor;$$

$$0 \ 1 \ 2 \ 3 \ 0 \ 1 \ 2 \ 3 \ 4$$

$$m = \lfloor (|A| - 1)/2 \rfloor;$$

$$B = A[0, ..., m];$$

$$C = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

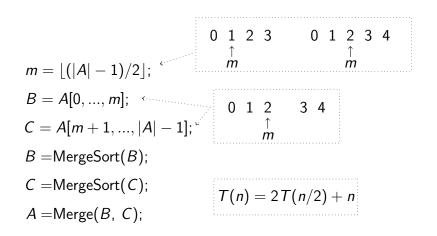
$$D = A[m + 1, ..., |A| - 1];$$

$$D = A[m + 1, ..., |A| - 1];$$

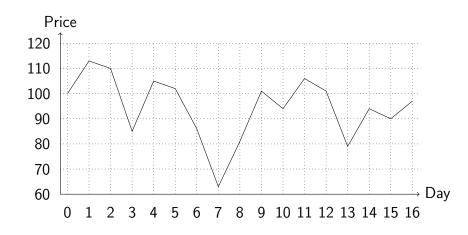
$$D = A[m + 1, ..., |A| - 1];$$

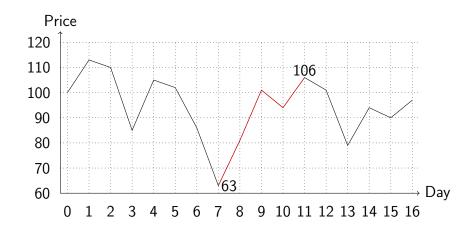
$$D = A[m + 1, ..., |A| - 1];$$

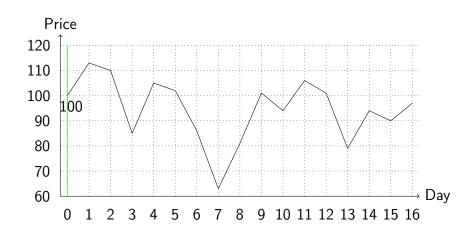
$$D = A[m + 1, ..., |A|$$

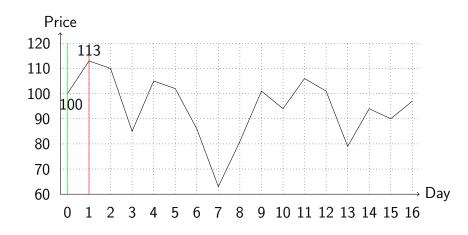


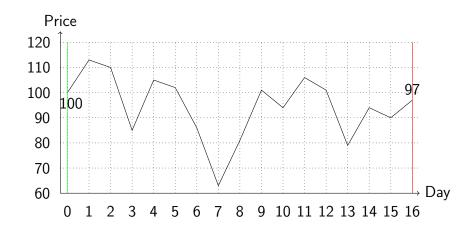
Example: The Maximum-Subarray Problem

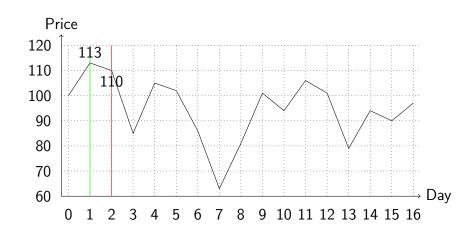


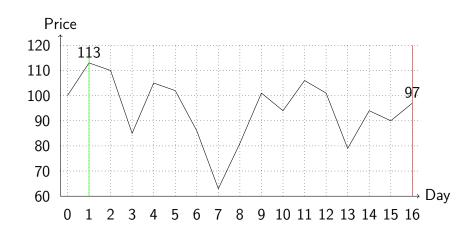












## Brute Force: $O(n^2)$

```
Algorithm: BuySell(P)
n = |P|;
b = s = -1:
max = 0:
for i = 0 to n - 2 do
    for j = i + 1 to n - 1 do
         p = P[i] - P[i];
         if p > max then
              max = p;
             b = i;
             s = i;
         end
    end
end
Return b, s;
```

Day Price

0	1	2	3	4	5	6	7
100	110	90	103	115	108	95	101

Day	0	1	2	3	4	5	6	7
Price	100	110	90	103	115	108	95	101

Day	0	1	2	3	4	5	6	7
Price	100	110	90	103	115	108	95	101

$$-90 + 108 = -90 + 103 - 103 + 115 - 115 + 108$$
$$= (103 - 90) + (115 - 103) + (108 - 115)$$

Day	0	1	2	3	4	5	6	7
Price	100	110	90	103	115	108	95	101
Change	0	10	-20	13	12	-7	-13	6

$$-90 + 108 = -90 + 103 - 103 + 115 - 115 + 108$$
  
=  $(103 - 90) + (115 - 103) + (108 - 115)$ 

Day	0	1	2	3	4	5	6	7
Price	100	110	90	103	115	108	95	101
Change	0	10	-20	13	12	-7	-13	6

$$-90 + 108 = -90 + 103 - 103 + 115 - 115 + 108$$
  
=  $(103 - 90) + (115 - 103) + (108 - 115)$ 

$$\arg \max_{(i,j)} P[j] - P[i] \iff \arg \max_{(i,j)} \sum_{k=i+1}^{j} C[k]$$

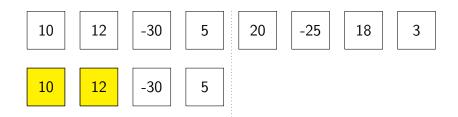
**Problem**: Given an array, find the continuous subarray of the maximum sum.

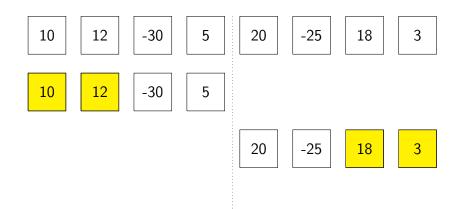
$$L = [0, -2, 3, 1, -2, 5, -6, 2]$$
  
maximum-subarray:  
 $3 + 1 - 2 + 5 = 7$ 

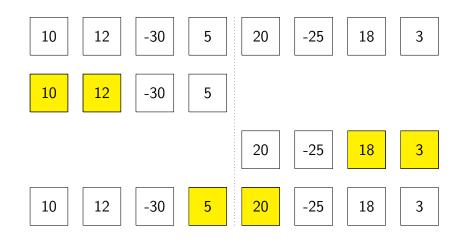
```
Algorithm: MaxSub(C)
b = s = -1:
max = 0;
for i, j do
     sum = \sum_{k=i+1}^{j} C[k];
     if sum > max then
          max = p;
         b = i;
s = j;
     end
end
Return b, s;
```

10 12 -30 5 20 -25 18 3

10 12 -30 5 20 -25 18 3







#### Maximum-Subarray Crossing The Separator

```
Algorithm: MaxCross(C, m)
s = 0:
if m < |C| - 1 then
    a = 0:
    for i = m to 0 do
       a = max(a, sum(C[i, m]));
    end
    b = 0:
    for i = m + 1 to |C| - 1 do
         b = max(b, sum(C[m+1, i));
    end
    s = a + b:
end
Return s;
```

- Divide *C* into two parts:
  - A: the first half
  - B: the second half
- Find the maximum subarray in each part: s1 and s2.
- Find the maximum subarray crossing the separator: s3.
- Compare s1, s2 and s3 to find the maximum one.

#### **Algorithm:** MaxSub2(C)

#### end Return s:

## Find The Maximum-Subarray: $O(n \log n)$

$$T(n) = O(n \log n).$$

### The Paradigm of Divide and Conquer

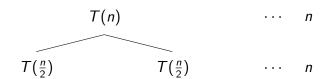
#### Divide and Conquer

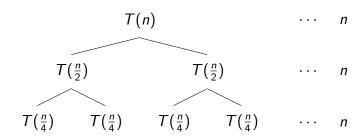
- Divide the problem instance into two/several smaller instances of the same problem.
- 2 Conquer the smaller problems.
- Combine the results of the smaller problems to get the result of the original (large) instance.

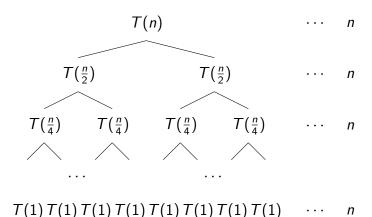
#### Recall that in MergeSort,

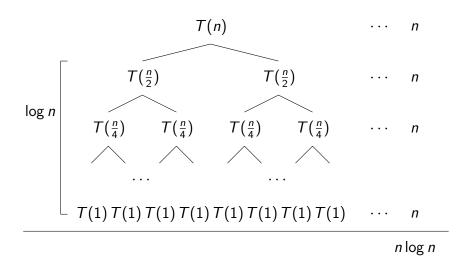
- **1 Divide** the numbers into two subsets.
- Conquer the sorting problem on each of the subsets.
- **3 Combine** the results, by Merge.

T(n) ...

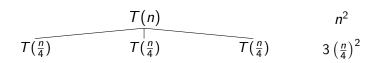


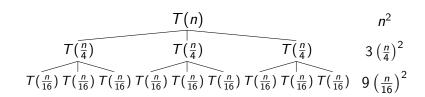


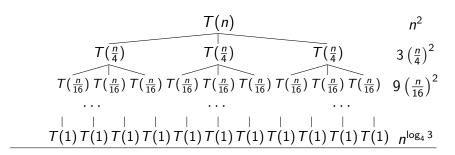


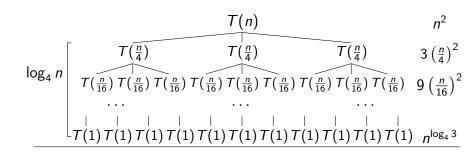


$$T(n)$$
  $n^2$ 









$$1 + \frac{3}{16} + \left(\frac{3}{16}\right)^2 + \dots = \Theta(1)$$

$$1 + \frac{3}{16} + \left(\frac{3}{16}\right)^2 + \dots = \Theta(1)$$

$$T(n) = aT(n/b) + f(n)$$
, where  $a \ge 1$  and  $b > 1$ 

$$T(n)=aT(n/b)+f(n)$$
, where  $a\geq 1$  and  $b>1$ 
• if  $af(n/b)=Kf(n)$  for some constant  $K>1$ , then 
$$T(n)=\Theta(n^{\log_b a})$$

$$T(n) = aT(n/b) + f(n)$$
, where  $a \ge 1$  and  $b > 1$ 

• if af(n/b) = Kf(n) for some constant K > 1, then

$$T(n) = \Theta(n^{\log_b a})$$

• if af(n/b) = f(n), then

$$T(n) = \Theta(f(n) \log n)$$

$$T(n) = aT(n/b) + f(n)$$
, where  $a \ge 1$  and  $b > 1$ 

• if af(n/b) = Kf(n) for some constant K > 1, then

$$T(n) = \Theta(n^{\log_b a})$$

• if af(n/b) = f(n), then

$$T(n) = \Theta(f(n) \log n)$$

• if  $af(n/b) = \kappa f(n)$  for some constant  $\kappa < 1$ , then

$$T(n) = \Theta(f(n))$$

## Master Theorem for $f(n) = O(n^d)$

$$T(n) = aT(n/b) + O(n^d)$$
, where  $a \ge 1$ ,  $b > 1$  and  $d \ge 0$ ,

- if  $d < log_b a$  then  $T(n) = O(n^{\log_b a})$ ;
- if  $d = log_b a$ , then  $T(n) = O(n^d \log n)$ ;
- if  $d > log_b a$ , then  $T(n) = O(n^d)$ .

# Master Theorem for $f(n) = O(n^d)$

$$T(n) = aT(n/b) + O(n^d)$$
, where  $a \ge 1$ ,  $b > 1$  and  $d \ge 0$ ,

- if  $d < log_b a$  then  $T(n) = O(n^{\log_b a})$ ;
- if  $d = log_b a$ , then  $T(n) = O(n^d \log n)$ ;
- if  $d > log_b a$ , then  $T(n) = O(n^d)$ .

Recall that  $af(n/b) = af(n)/b^d$ . Then

- $d < log_b a \Leftrightarrow b^d < b^{\log_b a} = a \Leftrightarrow a/b^d > 1;$
- $d = log_b a \Leftrightarrow b^d = b^{log_b a} = a \Leftrightarrow a/b^d = 1;$
- $d > log_b a \Leftrightarrow b^d > b^{log_b a} = a \Leftrightarrow a/b^d < 1$ .

$$T(n) = T(3n/4) + n$$

$$T(n) = T(3n/4) + n$$
  
•  $a = 1$ ,  $b = 4/3$ ,  $d = 1$ 

$$T(n) = T(3n/4) + n$$
  
•  $a = 1$ ,  $b = 4/3$ ,  $d = 1$   
•  $d = 1 > 0 = \log_{4/3} 1 = \log_b a \Rightarrow T(n) = O(n)$ 

$$T(n) = 3T(n/2) + n$$

$$T(n) = 3T(n/2) + n$$
  
•  $a = 3, b = 2, d = 1$ 

$$T(n) = 3T(n/2) + n$$

- a = 3, b = 2, d = 1
- $d = 1 < \log_2 3 = \log_b a \Rightarrow T(n) = O(n^{\log_2 3})$

$$T(n) = 4T(n/2) + n \log n$$

$$T(n) = 4T(n/2) + n \log n$$
  
•  $a = 4, b = 2$ 

$$T(n) = 4T(n/2) + n \log n$$

- a = 4, b = 2
- $f(n) = n \log n$ .

$$T(n) = 4T(n/2) + n \log n$$

- a = 4, b = 2
- $f(n) = n \log n$ .
- $af(n/b) = 4(n/2)\log(n/2) = 2n\log n 2n$ .

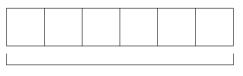
$$T(n) = 4T(n/2) + n \log n$$

- a = 4, b = 2
- $\bullet \ f(n) = n \log n.$
- $af(n/b) = 4(n/2)\log(n/2) = 2n\log n 2n$ .
- 2f(n) > af(n/b) > 1.9f(n) for sufficient large n.

$$T(n) = 4T(n/2) + n \log n$$

- a = 4, b = 2
- $\bullet \ f(n) = n \log n.$
- $af(n/b) = 4(n/2)\log(n/2) = 2n\log n 2n$ .
- 2f(n) > af(n/b) > 1.9f(n) for sufficient large n.
- $T(n) = \Theta(n^{\log_b a}) = \Theta(n^2)$

**Problem**: Given  $2^d - 1$  distinct numbers from  $[0, 1, \dots, 2^d - 1]$ , find the lost one.



d bits

 $2^d$  numbers represented by d bits

- 1 | 1 | 1
- 1 1 0

- 1 0 0
- 0 1 1
- 0 1 0
- 0 0 1
- 0 0 0

1 1 1

1 1

1 1 0

1 0

1 0 0

0 0

0 1 1

0 1 0

0 0 1

0 0 0

1 1 1

1 1

1 1 0

1 0

1 0 0

0 0

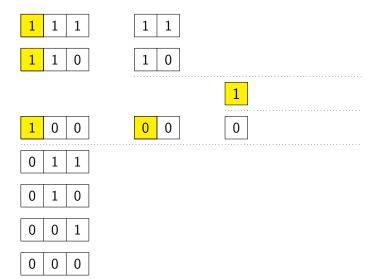
0

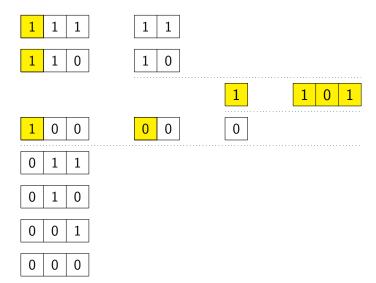
0 1 1

0 1 0

0 0 1

0 0 0





# Find The Lost Number: O(n)

$$n = 2^d - 1$$

$$T(n) = T(n/2) + n$$
  
=  $T(n/4) + n/2 + n$   
=  $\dots + n/4 + n/2 + n$   
=  $O(n)$ 

# Matrix Multiplication

### Matrix Multiplication

A  $n \times m$  matrix

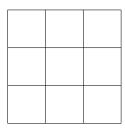
$$A = \begin{bmatrix} a_{0,0} & a_{0,1} & \cdots & a_{0,m-1} \\ a_{1,0} & a_{1,1} & \cdots & a_{0,m-1} \\ \cdots & \cdots & \cdots & \cdots \\ a_{n-1,0} & a_{n-1,1} & \cdots & a_{n-1,m-1} \end{bmatrix}$$

When n=m, A is called a square matrix. For two  $n\times n$  matrices A and B, the multiplication is defined as  $C=A\times B$ , where C is also a  $n\times n$  matrix, and

$$c_{i,j}=\sum_{k=0}^{n-1}a_{i,k}b_{k,j}$$

a <sub>0,0</sub>	a <sub>0,1</sub>	a <sub>0,2</sub>
a <sub>1,0</sub>	$a_{1,1}$	a <sub>1,2</sub>
a <sub>2,0</sub>	a <sub>2,1</sub>	a <sub>2,2</sub>

b <sub>0,0</sub>	$b_{0,1}$	b <sub>0,2</sub>
b <sub>1,0</sub>	$b_{1,1}$	$b_{1,2}$
b <sub>2,0</sub>	$b_{2,1}$	b <sub>2,2</sub>



a <sub>0,0</sub>	<i>a</i> <sub>0,1</sub>	<i>a</i> <sub>0,2</sub>
a <sub>1,0</sub>	<i>a</i> <sub>1,1</sub>	a <sub>1,2</sub>
a <sub>2,0</sub>	a <sub>2,1</sub>	a <sub>2,2</sub>

b <sub>0,0</sub>	$b_{0,1}$	b <sub>0,2</sub>
b <sub>1,0</sub>	$b_{1,1}$	b <sub>1,2</sub>
b <sub>2,0</sub>	$b_{2,1}$	b <sub>2,2</sub>

c <sub>0,0</sub>	

$$c_{0,0} = \sum_{k=0}^{2} a_{0,k} b_{k,0}$$

<i>a</i> <sub>0,0</sub>	a <sub>0,1</sub>	a <sub>0,2</sub>
a <sub>1,0</sub>	$a_{1,1}$	a <sub>1,2</sub>
a <sub>2,0</sub>	a <sub>2,1</sub>	a <sub>2,2</sub>

b <sub>0,0</sub>	$b_{0,1}$	b <sub>0,2</sub>
$b_{1,0}$	$b_{1,1}$	$b_{1,2}$
b <sub>2,0</sub>	b <sub>2,1</sub>	b <sub>2,2</sub>

c <sub>0,0</sub>	c <sub>0,1</sub>	

$$c_{0,0} = \sum_{k=0}^2 a_{0,k} b_{k,0}$$
  $c_{0,1} = \sum_{k=0}^2 a_{0,k} b_{k,1}$ 

a <sub>0,0</sub>	a <sub>0,1</sub>	a <sub>0,2</sub>
a <sub>1,0</sub>	a <sub>1,1</sub>	a <sub>1,2</sub>
a <sub>2,0</sub>	a <sub>2,1</sub>	a <sub>2,2</sub>

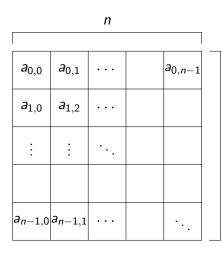
b <sub>0,0</sub>	$b_{0,1}$	b <sub>0,2</sub>
b <sub>1,0</sub>	$b_{1,1}$	b <sub>1,2</sub>
b <sub>2,0</sub>	$b_{2,1}$	b <sub>2,2</sub>

$$egin{array}{cccc} c_{0,0} & c_{0,1} & c_{0,2} \ c_{1,0} & c_{1,1} & c_{1,2} \ c_{2,0} & c_{2,1} & c_{2,2} \ \end{array}$$

$$c_{0,0} = \sum_{k=0}^{2} a_{0,k} b_{k,0}$$
  $c_{0,1} = \sum_{k=0}^{2} a_{0,k} b_{k,1}$   $c_{2,2} = \sum_{k=0}^{2} a_{2,k} b_{k,2}$ 

# Follow The Definition: $O(n^3)$

```
Algorithm: MatrixMul(A, B)
C = \mathbf{0}^{n \times n}:
for i = 0 to n - 1 do
     for j = 0 to n - 1 do
          for k = 0 to n - 1 do
           c_{i,i} = c_{i,i} + a_{i,k}b_{k,i};
           end
     end
end
Return C;
```



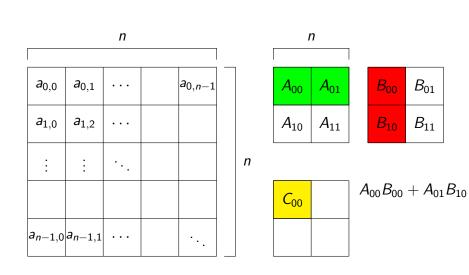
n

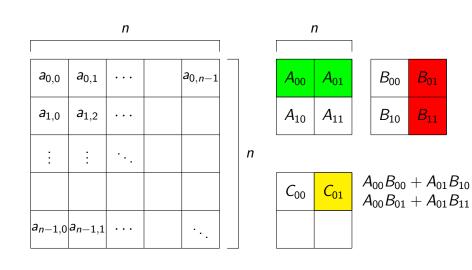
A <sub>00</sub>	A <sub>01</sub>
A <sub>10</sub>	$A_{11}$

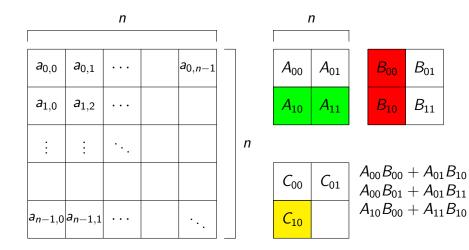
 $B_{01}$  $B_{00}$  $B_{10}$  $B_{11}$ 

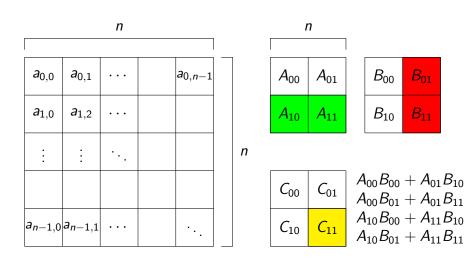
n











• 
$$T(n) = 8T(n/2) + n^2$$

Algorithm: MatrixMul2( $A$ ,  $B$ )

 $C = \mathbf{0}^{n \times n}$ ;

for  $i, j = 0, 1$  do

 $M_0 = MatrixMul2(A_{i0}, B_{0j})$ ;

 $M_1 = MatrixMul2(A_{i1}, B_{1j})$ ;

 $C_{ij} = M_0 + M_1$ ;

end

Return  $C$ ;

• 
$$T(n) = 8T(n/2) + n^2$$
 Alg  
•  $a = 8$   $C = 6$   
•  $b = 2$  for  
•  $d = 2$ 

```
Algorithm: MatrixMul2(A, B)
C = \mathbf{0}^{n \times n};
for i, j = 0, 1 do
M_0 = MatrixMul2(A_{i0}, B_{0j});
M_1 = MatrixMul2(A_{i1}, B_{1j});
C_{ij} = M_0 + M_1;
end
Return C:
```

• 
$$T(n) = 8T(n/2) + n^2$$

- a = 8
- b = 2
- d = 2
- $\log_b a = 3 > d$

### **Algorithm:** MatrixMul2(A, B)

```
C = \mathbf{0}^{n \times n};

for i, j = 0, 1 do

M_0 = MatrixMul2(A_{i0}, B_{0j});

M_1 = MatrixMul2(A_{i1}, B_{1j});

C_{ij} = M_0 + M_1;
```

end

**Return** C;

• 
$$T(n) = 8T(n/2) + n^2$$
  
•  $a = 8$   
•  $b = 2$   
•  $d = 2$   
•  $\log_b a = 3 > d$ 

•  $T(n) = O(n^{\log_b a})$ 

### **Algorithm:** MatrixMul2(A, B)

```
C = \mathbf{0}^{n \times n};

for i, j = 0, 1 do

M_0 = MatrixMul2(A_{i0}, B_{0j});

M_1 = MatrixMul2(A_{i1}, B_{1j});

C_{ij} = M_0 + M_1;
```

end

**Return** C;

$$T(n) = 8T(n/2) + n^2$$
  

$$\Rightarrow T(n) = O(n^3)$$

• 
$$T(n) = {7 \over 7} T(n/2) + n^2$$

$$T(n) = 8T(n/2) + n^2$$
  

$$\Rightarrow T(n) = O(n^3)$$

- $T(n) = {7 \over 7} T(n/2) + n^2$
- a = 7
- b = 2
- d = 2

$$T(n) = 8T(n/2) + n^2$$
  

$$\Rightarrow T(n) = O(n^3)$$

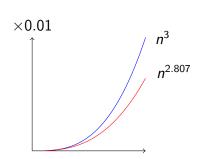
- $T(n) = {7 \over 7} T(n/2) + n^2$
- a = 7
- b = 2
- d = 2
- $\log_b a \approx 2.807 > d$

$$T(n) = 8T(n/2) + n^2$$
  

$$\Rightarrow T(n) = O(n^3)$$

• 
$$T(n) = {7 \over 7} T(n/2) + n^2$$

- a = 7
- b = 2
- d = 2
- $\log_b a \approx 2.807 > d$
- $T(n) = O(n^{\log_b a}) = O(n^{2.807})$



$$C_{00} = A_{00}B_{00} + A_{01}B_{10}$$

$$C_{01} = A_{00}B_{01} + A_{01}B_{11}$$

$$C_{10} = A_{10}B_{00} + A_{11}B_{10}$$

$$C_{11} = A_{10}B_{01} + A_{11}B_{11}$$

- 8 multiplications; each cost  $O(n^3)$  operations
- 4 additions; each cost  $O(n^2)$  operations

# Better Divide and Conquer Algorithm: $O(n^{2.807})$

$$C_{00} = P_5 + P_4 - P_2 + P_6$$
  $\bullet$   $T(n) = 7T(n/2) + O(n^2)$   
 $C_{01} = P_1 + P_2$   
 $C_{10} = P_3 + P_4$   
 $C_{11} = P_5 + P_1 - P_3 - P_7$ 

- S: 10 additions
- P: 7 multiplications
- C: 8 additions

# Better Divide and Conquer Algorithm: $O(n^{2.807})$

$$C_{00} = P_5 + P_4 - P_2 + P_6$$

$$C_{01} = P_1 + P_2$$

$$C_{10} = P_3 + P_4$$

$$C_{11} = P_5 + P_1 - P_3 - P_7$$

• 
$$T(n) = 7T(n/2) + O(n^2)$$

- *a* = 7
- b = 2
- d = 2

- S: 10 additions
- P: 7 multiplications
- C: 8 additions

# Better Divide and Conquer Algorithm: $O(n^{2.807})$

$$C_{00} = P_5 + P_4 - P_2 + P_6$$

$$C_{01} = P_1 + P_2$$

$$C_{10} = P_3 + P_4$$

$$C_{11} = P_5 + P_1 - P_3 - P_7$$

• 
$$T(n) = 7T(n/2) + O(n^2)$$

- *a* = 7
- *b* = 2
- d = 2
- $\log_b a = 2.807$
- $T(n) = n^{2.807}$

• S: 10 additions

P: 7 multiplications

• C: 8 additions

### Fibonacci Number

The *n*-th Fibonacci number is defined by

$$F_n = \begin{cases} F_{n-1} + F_{n-2}, & n \ge 2\\ 1, & n = 1\\ 0, & n = 0 \end{cases}$$

$$\begin{bmatrix} F_{n+2} & F_{n+1} \\ F_{n+1} & F_n \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}^n$$

### Find The Medians

### Median of An Array

**Problem**: Given an array  $A = [a_0, a_1, \dots, a_{n-1}]$  of n numbers, find the  $(\lfloor n/2 \rfloor)$ -th smallest element.

**Problem**: Given an array  $A = [a_0, a_1, \dots, a_{n-1}]$  of n numbers and  $1 \le i \le n$ , find the i-th smallest element.

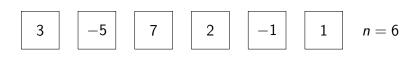




 $-5 \mid -1 \mid 1 \mid 2 \mid 3 \mid 7 \mid n=6$ 



$$-5$$
  $-1$   $1$   $2$   $3$   $7$   $i=3$ 



$$\begin{vmatrix} -5 & | & -1 & | & 1 & | & 2 & | & 3 & | & 7 & | & i = 3 \end{vmatrix}$$

-5 -1 1 2 3 7 i=5



$$-5$$
  $-1$   $1$   $2$   $3$   $7$   $i=5$ 

# Sort at First: $O(n \log n)$

Finding the *i*-th smallest element is trivial if *A* is sorted.

- Sort A in the increasing order;
- Return the *i*-th number.

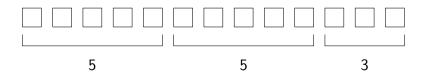
### Special Cases

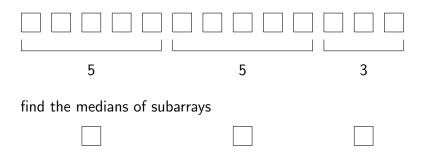
- The smallest element (i = 1) can be found in O(n) comparisons.
- The largest element (i = n) can be found in O(n) comparisons.

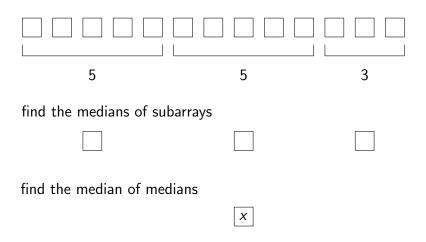
There is a lower bound on the number of necessary comparisons to find the i-th smallest element:  $\Omega(n)$ .

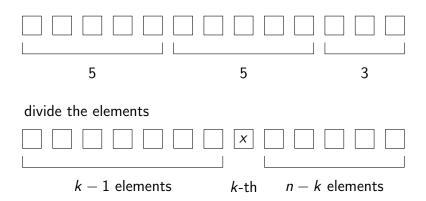
What is the upper bound?

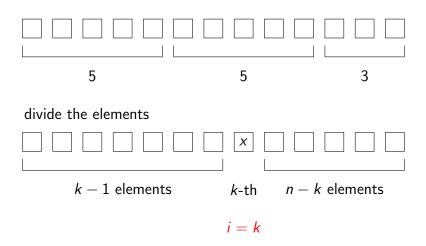


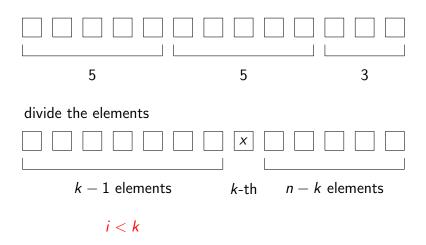


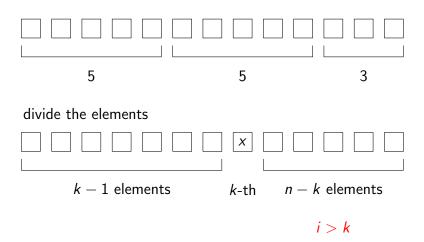








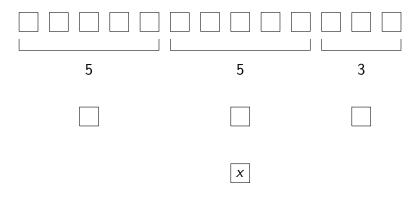




```
Algorithm: Median(A, i)
if |A| < 5 then return The i-th smallest element of A;
Divide A into groups of size 5;
M = \text{medians of the groups};
x = Median(M, \lceil |M|/2 \rceil);
B = elements in A smaller than x;
C = elements in A larger than x;
k = |B| + 1;
if i < k then return Median(B, i);
else if i > k then return Median(C, i - k);
else return x;
```

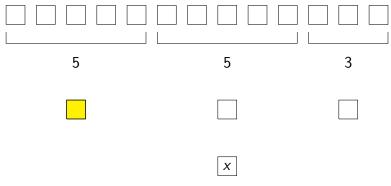
$$T(n) \leq T(\max(k-1,n-k)) + T(\lceil n/5 \rceil) + O(n)$$

## Efficiency of The Pivot



$$max(k-1, n-k) \le 7n/10$$

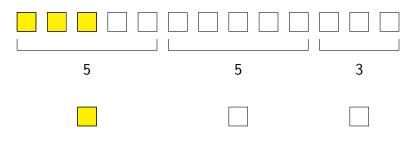
#### Efficiency of The Pivot



at least half of the group medians  $\leq x$ : n/10

$$max(k-1, n-k) \le 7n/10$$

### Efficiency of The Pivot



X

at least half of the group medians  $\leq x$ : n/10

3 numbers inside each group  $\leq$  the median: 3n/10

$$max(k-1, n-k) \le 7n/10$$

$$T(n) \leq T(7n/10) + T(n/5) + O(n)$$

If 
$$T(n) \le T(a \cdot n) + T(b \cdot n) + c \cdot n$$
 and  $a + b < 1$ , then  $T(n) = O(n)$ .

$$T(n) \leq T(7n/10) + T(n/5) + O(n)$$

If 
$$T(n) \le T(a \cdot n) + T(b \cdot n) + c \cdot n$$
 and  $a + b < 1$ , then  $T(n) = O(n)$ .

• Induction on n:  $T(n) \le C \cdot n$  for some C > 1.

$$T(n) \leq T(7n/10) + T(n/5) + O(n)$$

- Induction on n:  $T(n) \le C \cdot n$  for some C > 1.
- Base case n = 1: T(1) = 1 < C.

$$T(n) \leq T(7n/10) + T(n/5) + O(n)$$

- Induction on n:  $T(n) \le C \cdot n$  for some C > 1.
- Base case n = 1: T(1) = 1 < C.
- Assume  $T(k) \le C \cdot k$  for all k < n.

$$T(n) \leq T(7n/10) + T(n/5) + O(n)$$

- Induction on n:  $T(n) \le C \cdot n$  for some C > 1.
- Base case n = 1: T(1) = 1 < C.
- Assume  $T(k) \le C \cdot k$  for all k < n.
- $T(n) \leq C \cdot a \cdot n + C \cdot b \cdot n + c \cdot n = (C(a+b)+c) \cdot n$ .

$$T(n) \leq T(7n/10) + T(n/5) + O(n)$$

- Induction on n:  $T(n) \le C \cdot n$  for some C > 1.
- Base case n = 1: T(1) = 1 < C.
- Assume  $T(k) \le C \cdot k$  for all k < n.
- $T(n) \leq C \cdot a \cdot n + C \cdot b \cdot n + c \cdot n = (C(a+b)+c) \cdot n$ .
- $C(a+b)+c \le C$  as long as  $C \ge c/(1-a-b)$ .

$$k = 5 \Rightarrow O(n)$$
. What about  $k = 3$ ?

$$k = 5 \Rightarrow O(n)$$
. What about  $k = 3$ ?

• n elements are divided into n/3 groups, each of size 3.

$$k = 5 \Rightarrow O(n)$$
. What about  $k = 3$ ?

- n elements are divided into n/3 groups, each of size 3.
- x be the median of the medians.

$$k = 5 \Rightarrow O(n)$$
. What about  $k = 3$ ?

- n elements are divided into n/3 groups, each of size 3.
- x be the median of the medians.
- half of the medians  $\leq$  than x: n/6.

$$k = 5 \Rightarrow O(n)$$
. What about  $k = 3$ ?

- n elements are divided into n/3 groups, each of size 3.
- x be the median of the medians.
- half of the medians  $\leq$  than x: n/6.
- 2 numbers  $\leq$  than the median: n/3.

$$k = 5 \Rightarrow O(n)$$
. What about  $k = 3$ ?

- n elements are divided into n/3 groups, each of size 3.
- x be the median of the medians.
- half of the medians  $\leq$  than x: n/6.
- 2 numbers  $\leq$  than the median: n/3.
- $T(n) \leq T(2n/3) + T(n/3) + O(n)$ .

$$k = 5 \Rightarrow O(n)$$
. What about  $k = 3$ ?

- n elements are divided into n/3 groups, each of size 3.
- x be the median of the medians.
- half of the medians  $\leq$  than x: n/6.
- 2 numbers  $\leq$  than the median: n/3.
- $T(n) \leq T(2n/3) + T(n/3) + O(n)$ .
- $\bullet \ T(n) = O(n \log n).$

$$k = 5 \Rightarrow O(n)$$
. What about  $k = 3$ ?

- n elements are divided into n/3 groups, each of size 3.
- x be the median of the medians.
- half of the medians  $\leq$  than x: n/6.
- 2 numbers  $\leq$  than the median: n/3.
- $T(n) \leq T(2n/3) + T(n/3) + O(n)$ .
- $\bullet \ T(n) = O(n \log n).$
- Other *k*?

## Random Pivot: O(n) in Expectation

```
Algorithm: RandMedian(A, i)

if |A| is small then return The i-th smallest element of A; x = \text{random element in } A;

B = \text{elements in } A \text{ smaller than } x;

C = \text{elements in } A \text{ larger than } x;

k = |B| + 1;

if i < k then return RandMedian(B, i);

else if i > k then return RandMedian(C, i - k);

else return X;
```

#### The Fast Fourier Transform

#### Value Representation of Polynomials

Fact: A degree-d polynomial

$$A(x) = a_0 + a_1 x + \cdots + a_d x^d$$

is uniquely characterized by its values at d+1 distinct points.

### Value Representation of Polynomials

Fact: A degree-d polynomial

$$A(x) = a_0 + a_1 x + \cdots + a_d x^d$$

is uniquely characterized by its values at d+1 distinct points.

The polynomial given by the coefficients  $a_0, a_1, \ldots, a_d$  can be alternatively represented by values

$$A(x_0), A(x_1), \ldots, A(x_d)$$

at points  $x_0, x_1, \ldots, x_d$ .

## Value Representation of Polynomials

Why the value representations? Consider the multiplication of two polynomials:  $C(x) = A(x) \times B(x)$ .

## Value Representation of Polynomials

Why the value representations? Consider the multiplication of two polynomials:  $C(x) = A(x) \times B(x)$ .

• If 
$$A(x) = \sum_{i=0}^d a_i x^i$$
 and  $B(x) = \sum_{i=0}^d b_i x^i$ , then

$$C(x) = \sum_{i=0}^{2d} c_i x^i \text{ and } c_i = \sum_{k=0}^{i} a_k b_{i-k}$$

## Value Representation of Polynomials

Why the value representations? Consider the multiplication of two polynomials:  $C(x) = A(x) \times B(x)$ .

• If  $A(x) = \sum_{i=0}^d a_i x^i$  and  $B(x) = \sum_{i=0}^d b_i x^i$ , then

$$C(x) = \sum_{i=0}^{2d} c_i x^i \text{ and } c_i = \sum_{k=0}^{i} a_k b_{i-k}$$

• Given A(x) as  $A(x_0)$ ,  $A(x_1)$ , ...,  $A(x_{2d})$ , and B(x) is given by  $B(x_0)$ ,  $B(x_1)$ , ...,  $B(x_{2d})$ , then C(x) can be represented by

$$C(x_0) = A(x_0)B(x_0), \ldots, C(x_{2d}) = A(x_{2d})B(x_{2d}).$$

## Transform between Representations

$$a_0, a_1, \ldots, a_d \Rightarrow A(x_0), A(x_1), \ldots, A(x_d)$$

For each point  $x_i$ , calculate

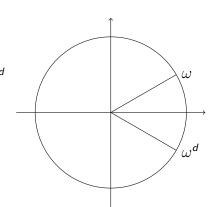
$$A(x_i) = \sum_{j=0}^d a_i x_i^j$$

It requires  $O(d \times d)$  operations.

## Careful Selection of The Points

$$x_0 = 1, x_1 = \omega, x_2 = \omega^2, \dots, x_d = \omega^d$$
  
 $\omega$ :  $(d+1)$ -th (complex) root of 1

$$\omega = e^{i\theta} = \cos\theta + i\sin\theta$$
$$\theta = 2\pi/(d+1)$$



#### Divide The Calculations

For 
$$x_i=\omega^i$$
,  $i=0,1,\ldots,d$  with  $d=2k+1$ ,

$$\sum_{j=0}^{d} a_i x_i^j = \sum_{j=0}^{k} a_{2j} x_i^{2j} + \sum_{j=0}^{k} a_{2j+1} x_i^{2j+1}$$

where 
$$A_e(x) = \sum_{j=0}^k a_{2j} x^j$$
,  $A_o(x) = \sum_{j=0}^k a_{2j+1} x^j$ .

#### Divide The Calculations

For 
$$x_i = \omega^i$$
,  $i = 0, 1, ..., d$  with  $d = 2k + 1$ ,
$$\sum_{j=0}^d a_i x_i^j = \sum_{j=0}^k a_{2j} x_i^{2j} + \sum_{j=0}^k a_{2j+1} x_i^{2j+1}$$

$$= \sum_{i=0}^k a_{2j} x_i^{2j} + x_i \sum_{i=0}^k a_{2j+1} x_i^{2j}$$

where 
$$A_e(x) = \sum_{j=0}^k a_{2j} x^j$$
,  $A_o(x) = \sum_{j=0}^k a_{2j+1} x^j$ .

#### Divide The Calculations

For 
$$x_i = \omega^i$$
,  $i = 0, 1, ..., d$  with  $d = 2k + 1$ ,
$$\sum_{j=0}^d a_i x_i^j = \sum_{j=0}^k a_{2j} x_i^{2j} + \sum_{j=0}^k a_{2j+1} x_i^{2j+1}$$

$$= \sum_{j=0}^k a_{2j} x_i^{2j} + x_i \sum_{j=0}^k a_{2j+1} x_i^{2j}$$

$$= A_e(x_i^2) + x_i A_o(x_i^2).$$

where  $A_e(x) = \sum_{i=0}^k a_{2i} x^i$ ,  $A_o(x) = \sum_{i=0}^k a_{2i+1} x^i$ .

152 / 158

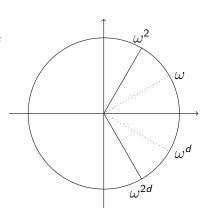
## Careful Selection of The Points

$$(k+1) = (d+1)/2$$
. Thus the  $x_0, x_2, x_4, \dots, x_{2k}$  are the  $(k+1)$ -th roots of 1.

$$x_0 = 1, x_1 = \omega, x_2 = \omega^2, \dots, x_d = \omega^d$$

$$\omega^d = e^{-i\cdot\theta}$$

$$\omega^{2d} = e^{-i \cdot 2\theta}$$



#### The Fast Fourier Transform

- $a = [a_0, a_1, \ldots, a_d]$
- d = 2k + 1
- (d+1)-th roots:  $1, \omega, \omega^2, \ldots, \omega^d$
- (k+1)-th roots:  $1, \omega^2, \omega^4, \dots, \omega^{2k}$

#### **Algorithm:** FFT(a, $\omega$ )

#### The Fast Fourier Transform

• 
$$a = [a_0, a_1, \ldots, a_d]$$

- d = 2k + 1
- (d+1)-th roots:  $1, \omega, \omega^2, \ldots, \omega^d$
- (k+1)-th roots:  $1, \omega^2, \omega^4, \dots, \omega^{2k}$
- T(d) = 2T(d/2) + O(d)
- $T(d) = O(d \log d)$

#### **Algorithm:** FFT(a, $\omega$ )

## Transform between The Representations

$$x_0 = 1, x_1 = \omega, x_2 = \omega^2, \dots, x_d = \omega^d$$

$$\begin{bmatrix} A(1) \\ A(\omega) \\ \vdots \\ A(\omega^d) \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 & \cdots & 1 \\ 1 & \omega & \omega^2 & \cdots & \omega^d \\ & \vdots & & & \\ 1 & \omega^d & \omega^{2d} & \cdots & \omega^{d^2} \end{bmatrix} \begin{bmatrix} a_0 \\ a_1 \\ \vdots \\ a_d \end{bmatrix}$$

$$M(\omega)^{-1} = \frac{1}{n}M(\omega^{-1})$$

# Multiplication of Polynomials

Given 
$$A(x) = \sum_{i=0}^d a_i x^i$$
 and  $B(x) = \sum_{i=0}^d b_i x^i$ ,

- calculate the value representation of A(x) and B(x):  $O(d \log d)$
- calculate the value representation of C(x) = A(x)B(x): O(d)
- calculate the  $c_i$ 's for  $C(x) = \sum_{i=0}^{d} c_i x^i$ :  $O(d \log d)$  In total, it costs  $O(d \log d)$ .

# **THANK YOU**

