

# Statistical Learning for Halide Perovskite Discovery

Panayotis Manganaris<sup>1</sup>

<sup>1</sup>Purdue Materials Science and Engineering Mannodi Group

June 20, 2022

# Outline

- 1 AI Background
- 2 Chemistry Background
- 3 Pipeline
- 4 Feature Engineering
- 5 Supervised Architectures

# Artificial Intelligence

## The Four Approached to AI

### Thinking Humanly

- Turing test approach (The Six Fields of AI)<sup>1</sup>
- NLP
- Knowledge Representation
- automated reasoning
- Machine Learning
- computer vision
- robotics

### Thinking Rationally

- Laws of Thought
- logical positing
- proven algorithms
- correct inference
- syllogistic reason

---

### Acting Humanly

- cognitive modeling approach
- neuromorphic algorithms

---

### Acting Rationally

- The rational agent
- inference + reflex
- inference vs deduction

---

<sup>a</sup>Stuart Russell and Peter Norvig. *Artificial intelligence : a modern approach*. Upper Saddle River, New Jersey: Prentice Hall, 2010. ISBN: 9780136042594

# Machine Learning I

## ML Contributes to AI

- Adaptable **agent**
  - Contextual judgment of **percept** relevance
  - Autonomous utilization of **percept sequence**
- Learning
  - **function** performance improves with exposure to more percepts

## Definition (Artificial Agency)

**agent** self-contained sensor->function->action pipeline

**function** Set of all possible responses for all possible percepts

**percept** sensory input

**percept sequence** history of sensory input

# Machine Learning II

## Supervised Training

Encourage the agent to behave "correctly"

- 1 Minimize Loss
- 2 Maximize Score

## Unsupervised Training

The agent determines something principally true about its environment using mathematical/logical characterization methods.

- find eigenvectors and eigenvalues
- differentially calculate optima

# Inverse Design

## A Type of AI Implementation

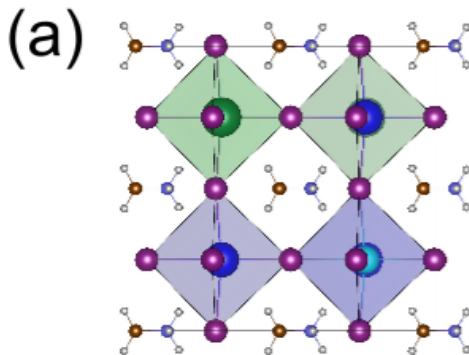
**senses** maps points in many dimensions

**function** reliably navigates it's environment searching for optima

**action** returns its findings to human interpreters

# Perovskite Structure and Chemistry

Example<sup>2</sup> of hybrid organic-inorganic MAPbI<sub>3</sub>



---

<sup>2</sup>Arun Mannodi-Kanakkithodi and Maria K. Y. Chan. "Data-Driven Design of Novel Halide Perovskite Alloys". In: *Energy Environ. Sci.* 15 (5 2022), pp. 1930–1949.

DOI: 10.1039/D1EE02971A. URL: <http://dx.doi.org/10.1039/D1EE02971A>

# Our Dataset

## DFT Simulations

- 1 geometry optimization
- 2 Static band structure and optical absorption

## Levels of Theory

- PBE
- HSE06
- PBE+HSE06(SOC)
- Experimental

Formula	$b_{\text{g, eV}}$	$\eta$	LoT
MAPbCl <sub>3</sub>	3.03	0.002	EXP
CsPbI <sub>0.375</sub> Br <sub>2.625</sub>	1.68	0.153	PBE
RbSnBr <sub>2.625</sub> Cl <sub>0.375</sub>	1.44	NaN	HSE
CsGeCl <sub>3</sub>	1.05	0.176	PBE
MASr <sub>0.5</sub> Pb <sub>0.5</sub> Cl <sub>3</sub>	5.31	NaN	HSE
MABa <sub>0.25</sub> Pb <sub>0.75</sub> I <sub>3</sub>	1.99	0.015	PBE
MASnI <sub>3</sub>	2.57	NaN	HSE
MACa <sub>0.5</sub> Pb <sub>0.5</sub> Cl <sub>3</sub>	5.32	NaN	HSE
...	...	...	...



# Band Gap Fidelity I

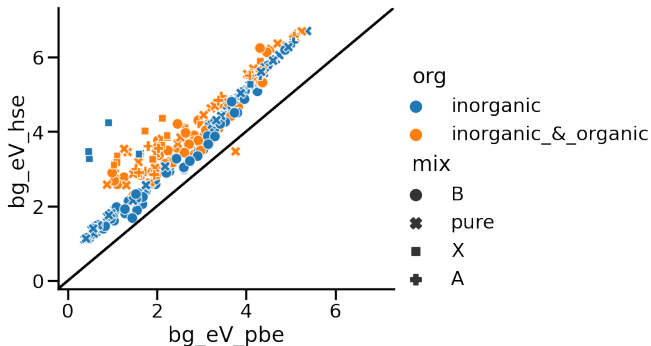


Figure 1: PBE vs HSE Band Gaps

# Band Gap Fidelity II

Comparing computational with experimental<sup>3</sup> band gaps

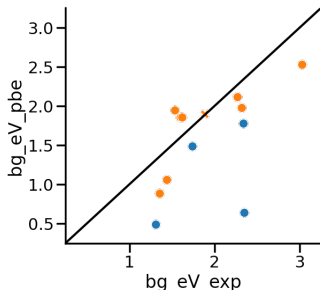


Figure 2: PBE vs Almora BG

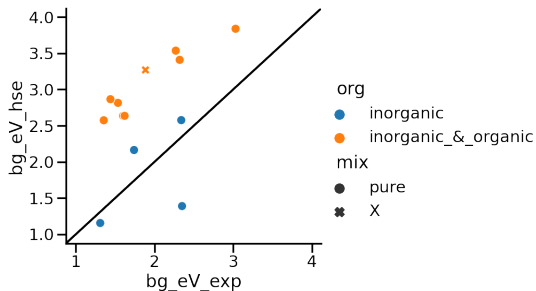


Figure 3: HSE vs Almora BG

<sup>3</sup>Osbel Almora et al. "Device Performance of Emerging Photovoltaic Materials (Version 1)". In: *Advanced Energy Materials* 11.11 (2020), p. 2002774. DOI: 10.1002/aenm.202002774. URL: <http://dx.doi.org/10.1002/aenm.202002774>

# Data Pre-Processing

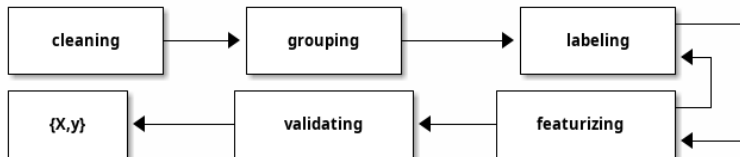


Figure 4: Data Preprocessing Workflow to Implement with Python Pandas

# Machine Learning Pipeline

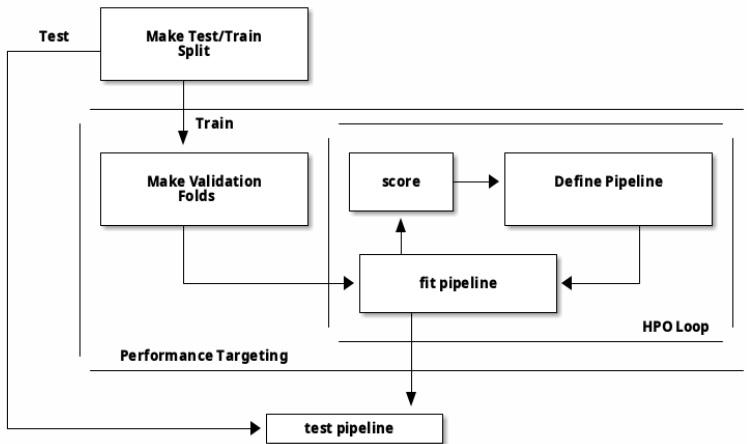


Figure 5: Machine Learning Pipeline to Implement with Python SciKit-Learn

# Implementation in Jupyter Python I

```
import sys, os
sys.path.append(os.path.expanduser("~/src/cmcl"))
sys.path.append(os.path.expanduser("~/src/spyglass"))
import pandas as pd
import numpy as np
import cmcl
from spyglass.model_imaging import parityplot
from sklearn.pipeline import make_pipeline
from sklearn.compose import ColumnTransformer
from sklearn.<module> import NumPreProcessor1
from sklearn.<module> import CatPreProcessor1
from sklearn.<module> import NumPreProcessor2
from sklearn.<module> import CatPreProcessor2
from sklearn.<module> import Estimator

df = pd.read_<data>('./ file.<data>')
df = df.groupby('Formula', as_index=False).agg(
    {'bg_eV': 'median', 'efficiency': 'median'})
```

# Implementation in Jupyter Python II

```
dc = df.ft.comp()
dc = dc.assign(label='label')

numeric_features = dc
.select_dtypes(np.number)
.columns
.to_list()
numeric_pipeline = make_pipeline(NumPreProcessor1(),
                                  NumPreProcessor2())

categorical_features = mc
.select_dtypes('object')
.columns
.to_list()
catagorical_pipeline = make_pipeline(CatPreProcessor1(),
                                     CatPreProcessor2())
```

# Implementation in Jupyter Python III

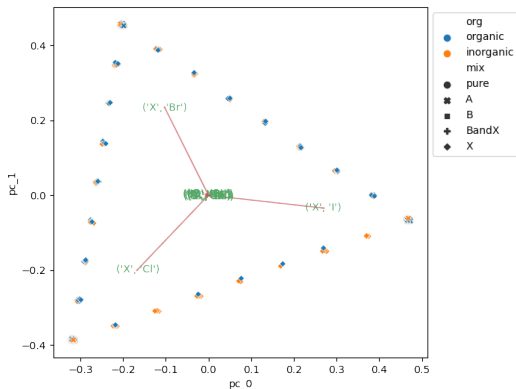
```
preprocessor = ColumnTransformer(  
    transformers=[  
        ("num", numeric_pipeline, numeric_features),  
        ("cat", categorical_pipeline, categorical_features),  
    ]  
)  
  
ss = ShuffleSplit(n_splits=1, train_size=0.8,  
                 random_state=None)  
train_idx, test_idx = next(ss.split(dc))  
dc_tr, dc_ts = dc.iloc[train_idx], dc.iloc[test_idx]  
df_tr, df_ts = df.iloc[train_idx], df.iloc[test_idx]  
  
pipe = make_pipeline(preprocessor, Estimator())  
  
pipe.fit(dc_tr, df_tr.<target>)
```

# Implementation in Jupyter Python IV

```
p, data = parityplot(pipe,
                      dc_ts, df_ts.<target>.to_frame(),
                      aspect=1.0)
p.figure.show()
```



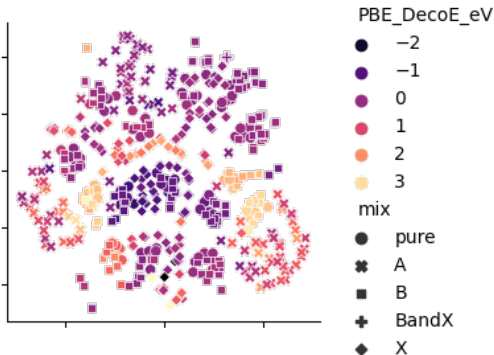
## PCA



$$UAU^\dagger = Q^{-1}SQ$$

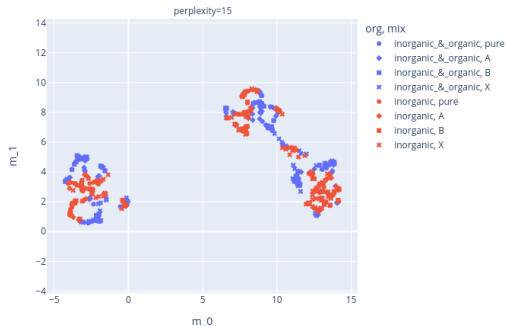
**Figure 6:** Learn transformation matrix  $U$  to diagonalizes the matrix  $A$ . The Principal Components in  $Q$  corresponding to the largest two Singular Values in  $S$  contain the majority of the variance in the data.

## tSNE



**Figure 7:** Learn a low-dimensional (2 or 3D) embedding space in which statistical similarity governs the proximity of high-dimensional data points

## UMAP



**Figure 8:** Learn a manifold embedding space in which nearest neighbors form clusters

# Linear regressions on BG I

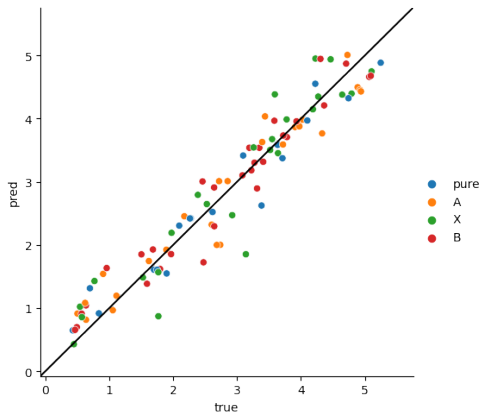


Figure 9: OLS determines  $\vec{w}$  so that  $f(x) = \vec{x}^T \vec{w}$ ,  $y_i = f(x_i) + \epsilon_i$  and all  $\epsilon_i$  are as small as possible

# Linear regressions on BG II

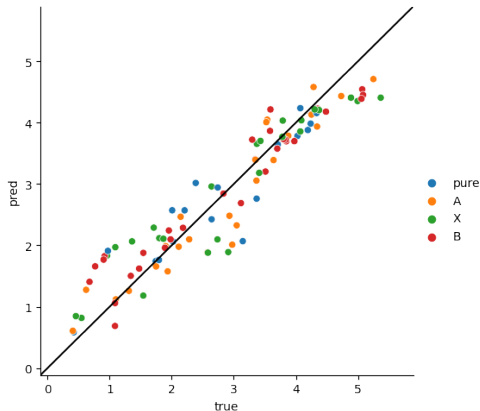


Figure 10: elasticnet determines  $\vec{w}$  as before, but also works to sparsify the model

# OLS weights

site	element	
A	Cs	23.771206
A	FA	25.794831
A	K	22.774475
A	MA	25.452629
A	Rb	23.282988
B	Ba	-32.603053
B	Ca	-31.378385
B	Ge	-45.001044
B	Pb	-42.526511
B	Sn	-46.868114
B	Sr	-32.068490
X	Br	0.939374
X	Cl	1.769032
X	I	0.140658

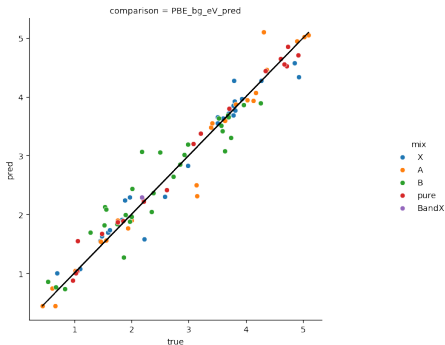
	RSS
A	54.213044
B	95.426246
X	2.007905

# elasticnet weights

site	element	
A	Cs	-0.191057
A	FA	1.589015
A	K	-1.081903
A	MA	1.214167
A	Rb	-0.530437
B	Ba	5.139688
B	Ca	6.424156
B	Ge	-5.879154
B	Pb	-3.673012
B	Sn	-7.689152
B	Sr	5.678253
X	Br	0.000000
X	Cl	0.819669
X	I	-0.786942

	RSS
A	2.342552
B	14.391222
X	1.136281

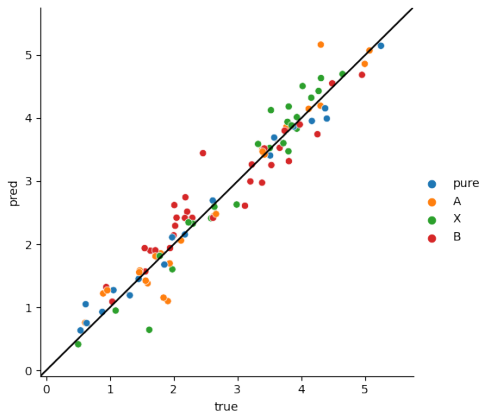
# Random Forest Regression on BG I



**Figure 11:** RFR initializes an ensemble of Decision Trees and averages their results to return its prediction. This leverages the DT's ability to strongly bias itself to the data and relies on randomness to explain variance in the underlying process



# Gaussian Process or BG I



**Figure 12:** GPR picks functions from a distribution derived from the data covariance. The functions that satisfy the data form the fit.

# Gaussian Process or BG II

## Regularization with Priors

Conditional Probability  $P(x|y) = \frac{P(x)P(y|x)}{P(x)}$

Conditional Odds  $O(x|y) = O(x) \frac{P(x|y)}{P(x|\neg y)}$

Isolated Bayesian Prior  $B = \frac{P(x|y)}{P(x|\neg y)}$



Almora, Osbel et al. “Device Performance of Emerging Photovoltaic Materials (Version 1)”. In: *Advanced Energy Materials* 11.11 (2020), p. 2002774. DOI: 10.1002/aenm.202002774. URL: <http://dx.doi.org/10.1002/aenm.202002774> (cit. on p. 10).



Mannodi-Kanakkithodi, Arun and Maria K. Y. Chan. “Data-Driven Design of Novel Halide Perovskite Alloys”. In: *Energy Environ. Sci.* 15 (5 2022), pp. 1930–1949. DOI: 10.1039/D1EE02971A. URL: <http://dx.doi.org/10.1039/D1EE02971A> (cit. on p. 7).



Russell, Stuart and Peter Norvig. *Artificial intelligence : a modern approach*. Upper Saddle River, New Jersey: Prentice Hall, 2010. ISBN: 9780136042594 (cit. on p. 3).