

# Einführung in die Algebra

*Vorlesungsmitschriften im Wintersemester 2018/19*

## CONTENTS

---

<b>3 Basics - Fields</b>	<b>1</b>
3.1 Algebraic Field Extensions . . . . .	1
<b>A Insights from the exercise sheets</b>	<b>7</b>
A.1 Sheet 1 . . . . .	7
<b>Index</b>	<b>9</b>



## VORWORT

---

Diese Vorlesungsmitschriften werden in der Vorlesung *Einführung in die Algebra* von Prof. Jan Schröer im Wintersemester 2018/19 an der Universität Bonn angefertigt.

Wir versuchen, diese immer unter <https://pankratius.github.io> zu aktualisieren.

I will write them in English, as Prof. Schroer already provides a german version of his lecture notes. In addition, the first two lectures are omitted, as they were only motivational, but my motivation to draw a lot of pictures is fairly limited.



### 3. BASICS - FIELDS

---

#### 3.1 Algebraic Field Extensions

Let  $L/K$  be a field extension.

Recall from lecture 1:

**Definition 3.1.1.**  $L/K$  is called **extension by radicals**, if

- i) There are finitely many  $x_1, \dots, x_n \in L$ , such that

$$L = K(x_1, \dots, x_n);$$

- ii) there are  $r_1, \dots, r_n \geq 1$ , such that

$$x_1^{r_1} \in K \text{ and } x_i^{r_i} \in K(x_1, \dots, x_{i-1}) \text{ for } 2 \leq i \leq n.$$

**Definition 3.1.2.** An element  $x \in L$  is called **algebraic! element of a field extension** over  $K$ , if there is a non-zero polynomial  $0 \neq f \in K[X]$ , such that  $f(x) = 0$ . Otherwise,  $x$  is called **transcendental**.

**Example 3.1.3.** i) Consider  $\mathbb{C}/\mathbb{Q}$ . Then the  $n$ -th roots of unity  $\rho_n^k := \exp(2\pi i/n)^k$  are algebraic over  $\mathbb{Q}$ , as they are the roots of  $X^n - 1$ .

- ii)  $\sqrt[3]{2}$  is an algebraic over  $\mathbb{Q}$  (for  $\mathbb{C}/\mathbb{Q}$ ), as it is a root of  $X^3 - 2$ .

**Proposition 3.1.4.** Consider  $\mathbb{C}/\mathbb{Q}$ . Then there are only countably many  $x \in \mathbb{C}$  that are algebraic over  $\mathbb{Q}$ .

*Proof.* The rationals are countable, and hence so is  $\mathbb{Q}^n$ .

There is a bijection

$$\mathbb{Q}^n \Leftrightarrow \{\text{polynomials of degree } \leq n-1\},$$

so

$$\mathbb{Q}[x] = \bigcup_{n \in \mathbb{N}} \{\text{polynomials of degree } \leq n-1\}$$

is countable. Since any polynomial in  $\mathbb{Q}[X]$  has only finitely many roots, the assertion follows.  $\square$

**Proposition 3.1.5.** Let  $x \in L$  be algebraic over  $K$ . Then there is a uniquely determined irreducible and normed polynomial  $f$  in  $K[X]$ , such that  $f(x) = 0$ .

*Proof.* This is supposed to be the same as in LA2.  $\square$

This polynomial is called the **minimal polynomial of  $x$  over  $K$**  and is denoted by  $\mu_{x,K}$ . Its degree is denoted by

$$[x : K] := \deg \mu_{x,K}$$

and is called the **degree of  $x$  over  $K$** . For  $x \in L$  transcendental, we set

$$[x : K] := \infty \text{ and } 0 := \mu_{x,K}.$$

**Example 3.1.6.** i) For  $a \in L$ , the following are equivalent:

i)  $a \in K$

ii)  $[a, K] = 1$

iii)  $\mu_{x,K} = X - a$

ii) Since  $i \in \mathbb{C} \setminus \mathbb{R}$ , we have  $[i : \mathbb{R}] \geq 2$ . On the other hand, for  $f \in \mathbb{R}[X]$ ,  $f := X^2 + 1$ ,  $f(i) = 0$  holds. So  $[i, \mathbb{R}] = 2$  and  $\mu_{i,\mathbb{R}} = X^2 + 1$ .

**Definition 3.1.7.** A field extension  $L/K$  is called **algebraic**, if all  $x \in L$  are algebraic over  $K$ . Otherwise,  $L/K$  is called **transcendental**.

**Example 3.1.8.**  $\mathbb{C}/\mathbb{Q}$  and  $\mathbb{R}/\mathbb{Q}$  are both transcendental field extensions.

For a field extension  $L/K$ ,  $L$  has the structure of a  $K$ -vector space, given by the restriction of the multiplication. The dimension of  $L$  as a  $K$ -vector space is denoted by

$$[L : K] := \dim_K L.$$

We say that  $L/K$  is a **finite** field extension, if  $[L : K] < \infty$ .

**Lemma 3.1.9.** Let  $L/K$  be a finite. Then:

i)  $L/K$  is algebraic.

ii) For all  $x \in L$ ,  $[x : K] \leq [L : K]$ .

*Proof.* Let  $[L : K] := n$  and  $x \in L$  be arbitrary. Then the vector system

$$(1, x, \dots, x^n)$$

is linear dependent, so there are  $\lambda_0, \dots, \lambda_n \in K$ , such that

$$\lambda_0 + \lambda_1 x + \dots + \lambda_n x^n = 0.$$

Therefor, for the polynomial

$$p := \lambda_0 + \dots + \lambda_n X^n \in K[X]$$

the relation

$$p(x) = 0$$

holds. So  $L/K$  is algebraic, and  $[x : K] \leq [L : K]$ , as  $\deg \mu_{x,K} \leq \deg p$ . □

**Theorem 3.1.10.** *Let  $L = K(x)$  be a field extension. Then*

$$[x : K] = [L : K].$$

*Proof.* Assume that  $x$  is transcendental over  $K$ . Then lemma 3.1.9 implies that  $[L : K] = \infty$ , and by definition  $[x : K] = \infty$ .

Assume that  $x$  is algebraic over  $K$ , and set  $n := [x : K]$ ,  $f := \mu_{x,K}$ . Then the vector system

$$(1, \dots, x^{n-1})$$

is linearly independent (otherwise there would be a polynomial of degree  $n-1$  which annihilates  $x$ ). Set

$$\tilde{K} := K + Kx + \dots + Kx^{n-1},$$

which is a  $K$ -subspace of  $L$ . As  $(1, \dots, x^{n-1})$  is linearly independent, it is a basis of  $\tilde{K}$ , and hence  $\dim_K \tilde{K} = n$ . We now show that  $\tilde{K}$  is also a subfield of  $L$ : As  $\tilde{K}$  is a  $K$ -subspace of  $L$ , it is an additive subgroup of  $(L, +)$ .

*$\tilde{K}$  is closed under multiplication:* It suffices to show that for all  $1 \leq i, j \leq n-1$   $x^i \cdot x^j \in \tilde{K}$ , as elements in  $\tilde{K}$  are linear combinations of scalar multiples of  $x^i$  for  $0 \leq i \leq n-1$ . Consider now the polynomial  $X^{i+j} \in K[X]$ . Euclidean division gives polynomials  $q, r \in K[X]$ , such that

$$X^{i+j} = qf + r, \tag{*}$$

with  $\deg r \leq \deg f = n-1$ . So there are  $b_0, \dots, b_{n-1} \in K$ , such that

$$r = b_0 + b_1X + \dots + b_{n-1}X^{n-1} \in K[X].$$

Evaluating (\*) at  $x$ , we get

$$x^{i+j} = r(x) = b_0 + \dots + b_{n-1}x^{n-1},$$

since  $f(x) = 0$ . But this implies that  $x^{i+j} \in \tilde{K}$ .

*$\tilde{K}$  is closed under inversion:* Let  $0 \neq y \in \tilde{K}$ . As  $\dim_K \tilde{K} = n$ ,  $y$  is algebraic over  $K$ . Let

$$\mu_{y,K} = X^m + \dots + c_0.$$

Then  $c_0 \neq 0$ , as  $\mu_{y,K}$  is irreducible. Rearranging, we get

$$1 = y \left( \frac{-c_1}{c_0} + \frac{-c_2}{c_0}y + \dots + \frac{-c_{m-1}}{c_0}y^{m-1} \right),$$

so

$$y^{-1} = y \left( \frac{-c_1}{c_0} + \frac{-c_2}{c_0}y + \dots + \frac{-c_{m-1}}{c_0}y^{m-1} \right) \in \tilde{K},$$

as  $\tilde{K}$  is closed under addition and multiplication.

This shows that  $\tilde{K}$  is a subfield of  $K(x)$ . But as  $K(x)$  is the inclusion minimal field extension of  $K$ , this implies  $\tilde{K} = K(x)$ . But

$$\dim_K(\tilde{K}) = [x : K],$$

which concludes the proof. □

**Corollary 3.1.11.** *Let  $x \in L$ , such that  $[x : K] = n$ . Then*

- i) *Then  $K(x)/K$  is finite and algebraic.*
- ii)  $[K(x) : K] = n$
- iii)  $\{1, \dots, x^{n-1}\}$  *is a basis of  $\tilde{K}$ .*

*Proof.* Consider the field extension  $K(x)/K$ , then apply theorem 3.1.10, and i) follows from lemma 3.1.9.  $\square$

**Example 3.1.12.** i)  $[\mathbb{R}, \mathbb{Q}] = \infty$ .

ii)  $[\mathbb{Q}(\sqrt[3]{2}), \mathbb{Q}] \leq 3$ , as  $\mu_{\sqrt[3]{2}, \mathbb{Q}} \mid (X^3 - 2)$

iii) Let  $\rho$  be a  $n$ -th root of unity, then

$$[\mathbb{Q}(\rho), \mathbb{Q}] \leq n - 1,$$

as

$$X^n - 1 = (X - 1)(X^{n-1} + \dots + X + 1).$$

iv) Consider  $\mathbb{C}/\mathbb{R}$ . Then

$$[\mathbb{R}(x), \mathbb{R}] = \begin{cases} 1, & \text{if } x \in \mathbb{R} \\ 2, & \text{else} \end{cases}.$$

**Definition 3.1.13.** A subfield  $Z \subset L$  is called an **intermediate field** of  $L/K$ , if

$$K \subseteq Z \subseteq L.$$

**Theorem 3.1.14 (degree formular).** *Let  $Z$  be an intermediate field of  $L/K$ . Then*

$$[L : K] = [L : Z][Z : K].$$

*Proof.* Assume  $[L : Z] = r$  and  $[Z : K] = s$ , with  $r, s \in \mathbb{N}$ . Let  $(w_1, \dots, w_r)$  be a basis of  $L/Z$  and  $(v_1, \dots, v_s)$  a basis of  $Z/K$ . Now, let

$$y = \lambda_1 w_1 + \dots + \lambda_r w_r \in L \text{ with } \lambda_i \notin Z \text{ and } w_1, \dots, w_r \in L.$$

But since  $\lambda_i \in Z$ , there are  $\mu_{i,1}, \dots, \mu_{i,s} \in K$  such that

$$\lambda_i = \mu_{i,1} v_1 + \dots + \mu_{i,s} v_s.$$

So

$$y = \sum_{\substack{1 \leq i \leq r \\ 1 \leq j \leq s}} \mu_{ij} v_j w_i,$$

and hence

$$\{w_i v_j \mid 1 \leq i \leq r, 1 \leq j \leq s\}$$



is a system of generators of  $L/K$ . Assume that

$$0 = \sum_{\substack{1 \leq i \leq r \\ 1 \leq j \leq s}} \mu_{ij} v_j w_i \implies \sum_{j=1}^r \alpha_{i,j} v_j = 0 \implies \alpha_{i,j} = 0,$$

as both the  $w_i$  and the  $v_j$  are linearly independent. This shows that the  $w_i v_j$  are a basis of  $L/K$ .

Assume that  $[L : Z] = \infty$  or  $[Z : K] = \infty$ . This already implies  $[L : K] = \infty$ .

□

**Corollary 3.1.15.** *Let  $L/K$  be finite. Then  $[x : K]$  divides  $[L : K]$  for all  $x \in L$ .*

*Proof.* Use  $[x : K] = [K(x) : K]$  and  $[L : K] = [L : K(x)][K(x) : L]$ .

□

**Theorem 3.1.16.** *Let  $L/K$  be a field extension. The following are equivalent:*

i)  $L/K$  is finite.

ii)  $L/K$  is algebraic, and there are  $x_1, \dots, x_n \in L$ , such that

$$L = K(x_1, \dots, x_n)$$

iii) There are  $x_1, \dots, x_n \in L$  such that

$$L = K(x_1, \dots, x_n)$$

and  $x_1$  is algebraic over  $K$ ,  $x_i$  is algebraic over  $K(x_1, \dots, x_{i-1})$  for  $2 \leq i \leq n$ .

*Proof.* i)  $\implies$  ii): As  $[L : K] < \infty$ , theorem 3.1.14 implies  $[x : K] < \infty$ , for all  $x \in L$ , so  $L/K$  is algebraic. Assume now there is a  $x_1 \in L \setminus K$ . Then

$$n_1 := [K(x_1) : K] \geq 2$$

. If there is another  $x_2 \in L/K(x_1)$ , then

$$n_2 := [K(x_1, x_2) : K(x_1)] \implies n_1 n_2 > n_1.$$

Continuing inductively, this has to stop after finitely many  $x_i$ , as  $[L : K]$  is finite.

ii)  $\implies$  iii): clear.

iii)  $\implies$  i): Let  $L = K(x_1, \dots, x_n)$ . Set

$$K_0 := K, \dots, K_i := K(x_1, \dots, x_i).$$

As  $x_i$  is algebraic over  $K_{i-1}$ , this implies

$$[K_i : K_{i-1}] < \infty.$$

Continuing inductively, theorem 3.1.14 implies

$$[L : K] = \prod_{i=1}^n n_i < \infty.$$

□

---

End of Lecture 3

---

## A.1 Sheet 1

**Proposition A.1.1.** *Let  $\alpha : R \rightarrow S$  be a homomorphism of commutative rings, and  $s \in S$  arbitrary. Then there is a unique ring homomorphism  $\bar{\alpha} : R[x] \rightarrow S$  extending  $\alpha$  and sending  $x \rightarrow s$ :*

$$\begin{array}{ccc} R & \xrightarrow{\alpha} & S \\ \downarrow & \nearrow \bar{\alpha} & \\ R[x] & & \end{array}$$

**Corollary A.1.2.** *Let  $n \in \mathbb{N}$ . Then there is a ring homomorphism  $\mathbb{Z}[x] \rightarrow \mathbb{Z}/n\mathbb{Z}[x, y]$ :*

$$\begin{array}{ccccccc} \mathbb{Z} & \longrightarrow & \mathbb{Z}/n\mathbb{Z} & \hookrightarrow & \mathbb{Z}/n\mathbb{Z}[x] & \hookrightarrow & \mathbb{Z}/n\mathbb{Z}[x, y] \\ \downarrow & & & & & & \nearrow \\ \mathbb{Z}[x] & \dashrightarrow & & & & & \end{array} .$$



## INDEX

---

algebraic

  element of a field extension, [1](#)

  field extension, [2](#)

degree

  of an algebraic element, [2](#)

degree formula, [4](#)

extension

  by radicals, [1](#)

field

  intermediate, [4](#)

field extension

  algebraic, [2](#)

  finite, [2](#)

  transcendental, [2](#)

polynomial

  minimal, [2](#)

transcendental, [1](#)

  field extension, [2](#)