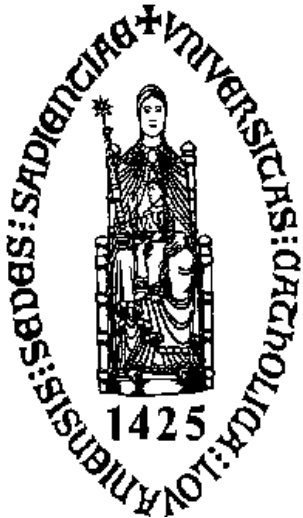


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# Switched-capacitor filters



**Willy Sansen**

**KULeuven, ESAT-MICAS**

**Leuven, Belgium**

[willy.sansen@esat.kuleuven.be](mailto:willy.sansen@esat.kuleuven.be)



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# Switched-Capacitor Filters

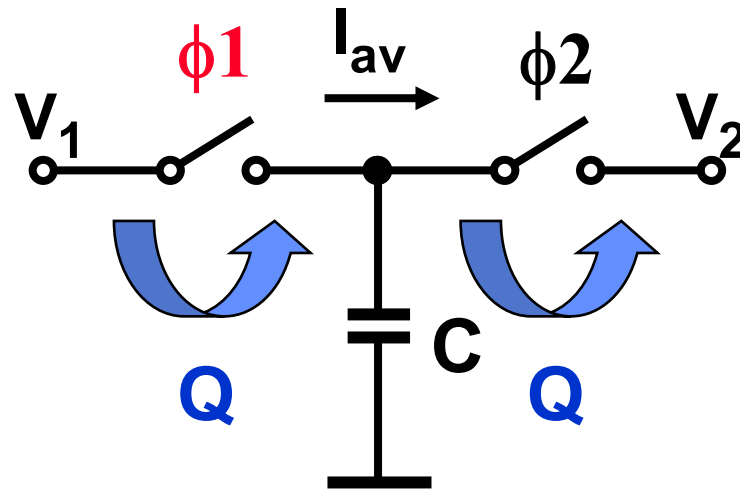
---

- **Introduction : principle**
- **Technology:**
  - MOS capacitors
  - MOST switches
- **SC Integrator**
  - SC integrator : Exact transfer function
  - Stray insensitive integrator
  - Basic SC-integrator building blocks
- **SC Filters : LC ladder / bi-quadratic section**
- **Opamp requirements**
  - Charge transfer accuracy
  - Noise
- **Switched-current filters**

McCreary, JSSC Dec 75, 371-379

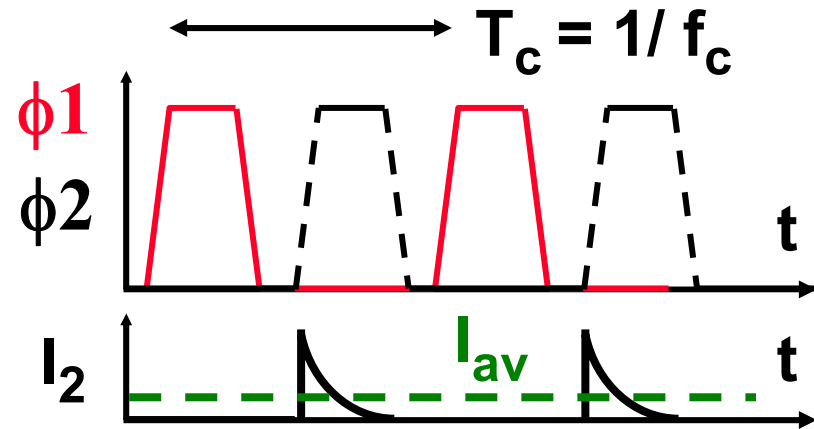
Gregorian, IEEE Proc. Aug 83, 941-986

# Principle



$$I_{av} = \frac{Q_{av}}{T_c} = \frac{C(V_1 - V_2)}{T_c}$$

$$I_{av} = \frac{(V_1 - V_2)}{R}$$



- Non overlapping clocks
- Switches are MOSTs

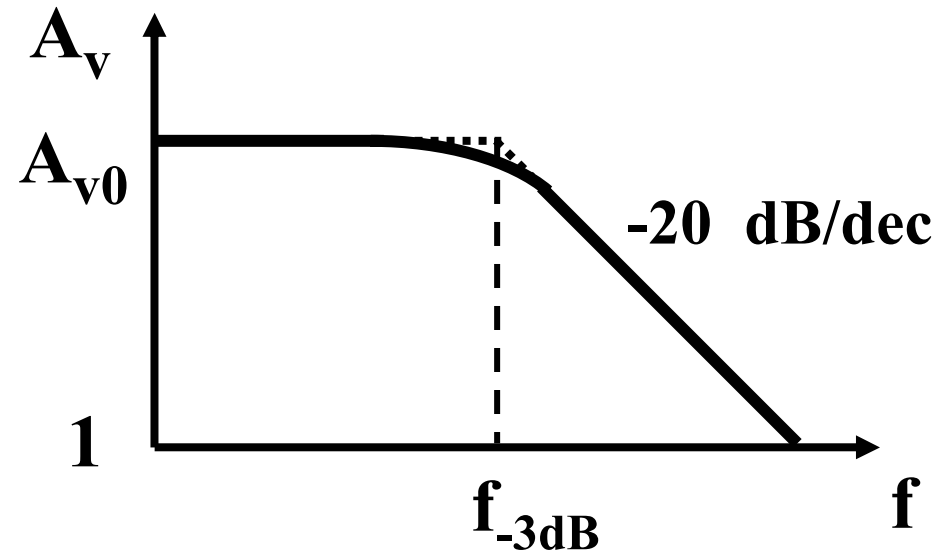
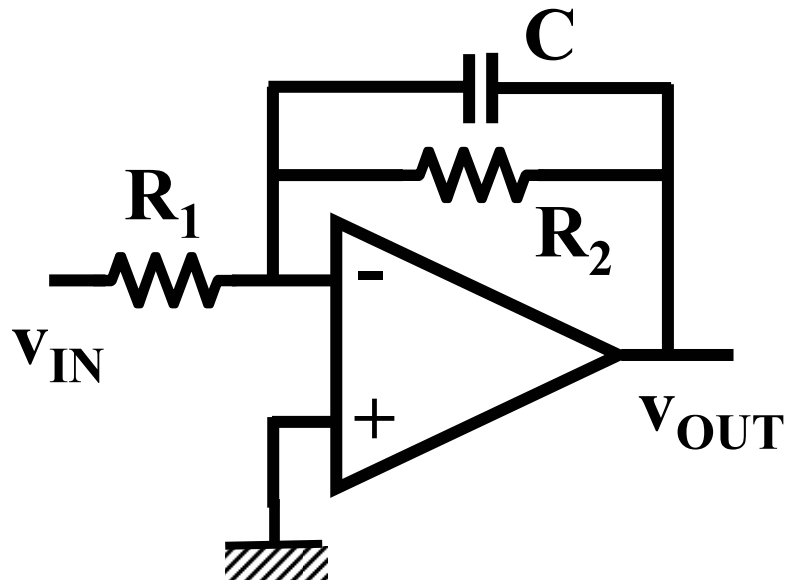
$$R = \frac{T_c}{C} = \frac{1}{f_c C}$$

For  $C = 1 \text{ pF}$  &  $f_c = 100 \text{ kHz}$   $R = 10 \text{ M}\Omega$

---

# Low-Pass Filter with R's and C

---



$$A_{v0} = \frac{R_2}{R_1}$$

**Ratio's of R: 0.5% accuracy**

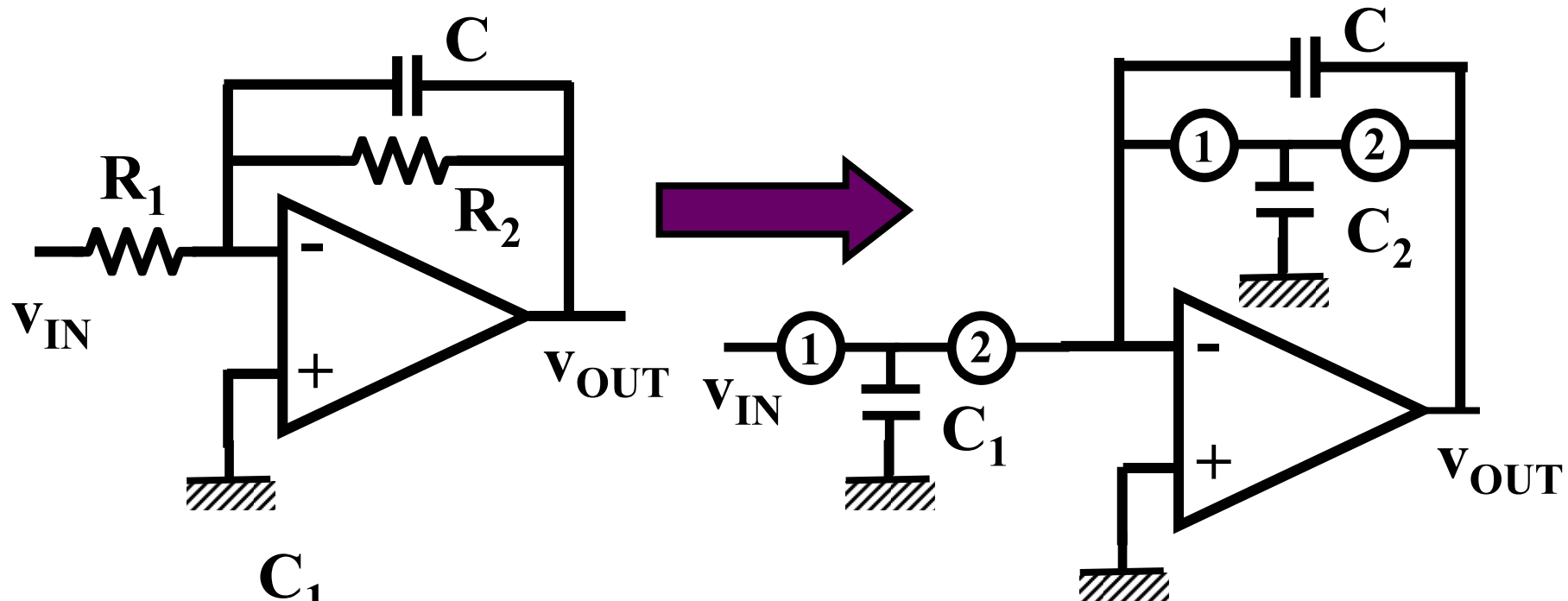
$$f_{-3db} = \frac{1}{2\pi R_2 C}$$

**Absolute value of RC : 20 % accuracy**

---

## Low-Pass Filter with switched C's

---

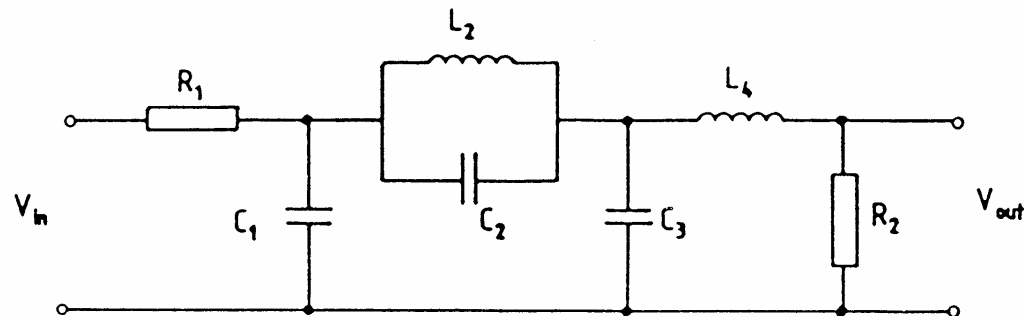


$$A_{v0} = \frac{C_1}{C_2}$$

$$f_{-3db} = \frac{f_c}{2\pi} \frac{C_2}{C}$$

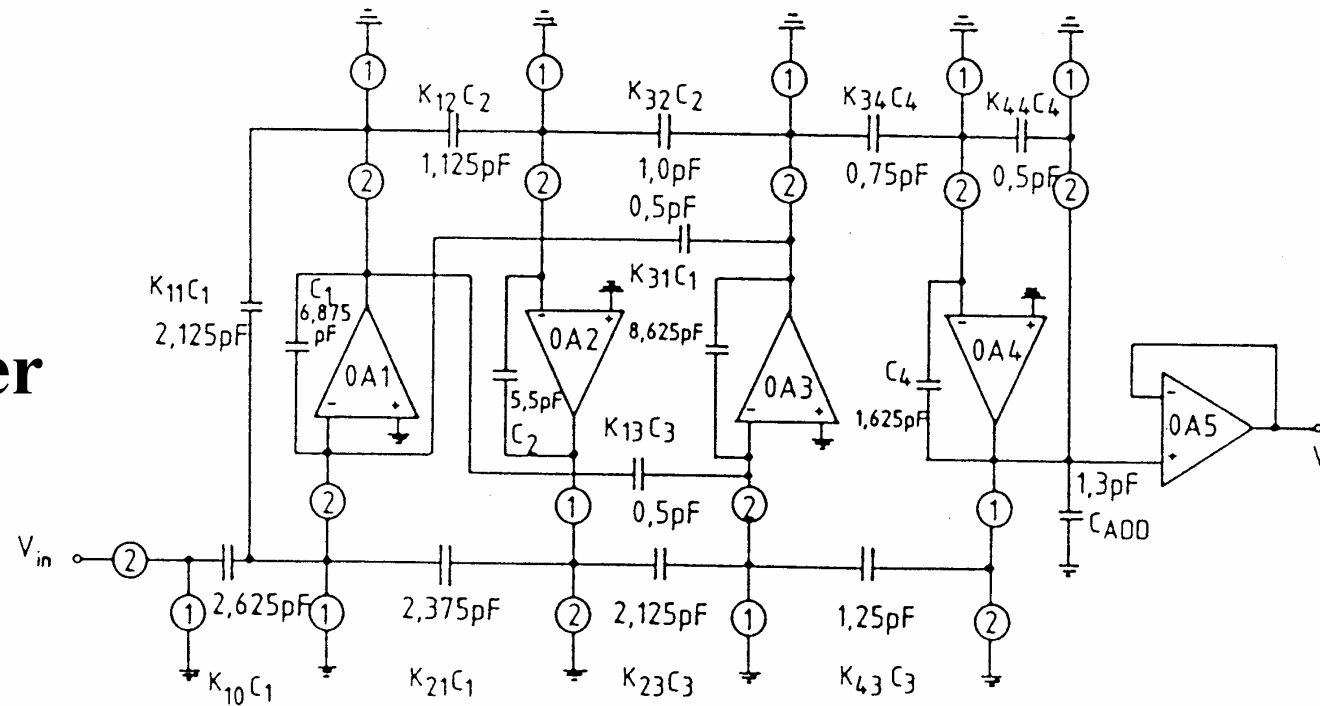
**High accuracy: only ratio's of C: 0.2%**  
**Only capacitors to drive : low power !**  
**Tunable & easy to integrate !**  
**But : only for frequencies  $\ll f_c$**

# Example of 4th-Order SC Low-Pass filter



**LC proto-type**

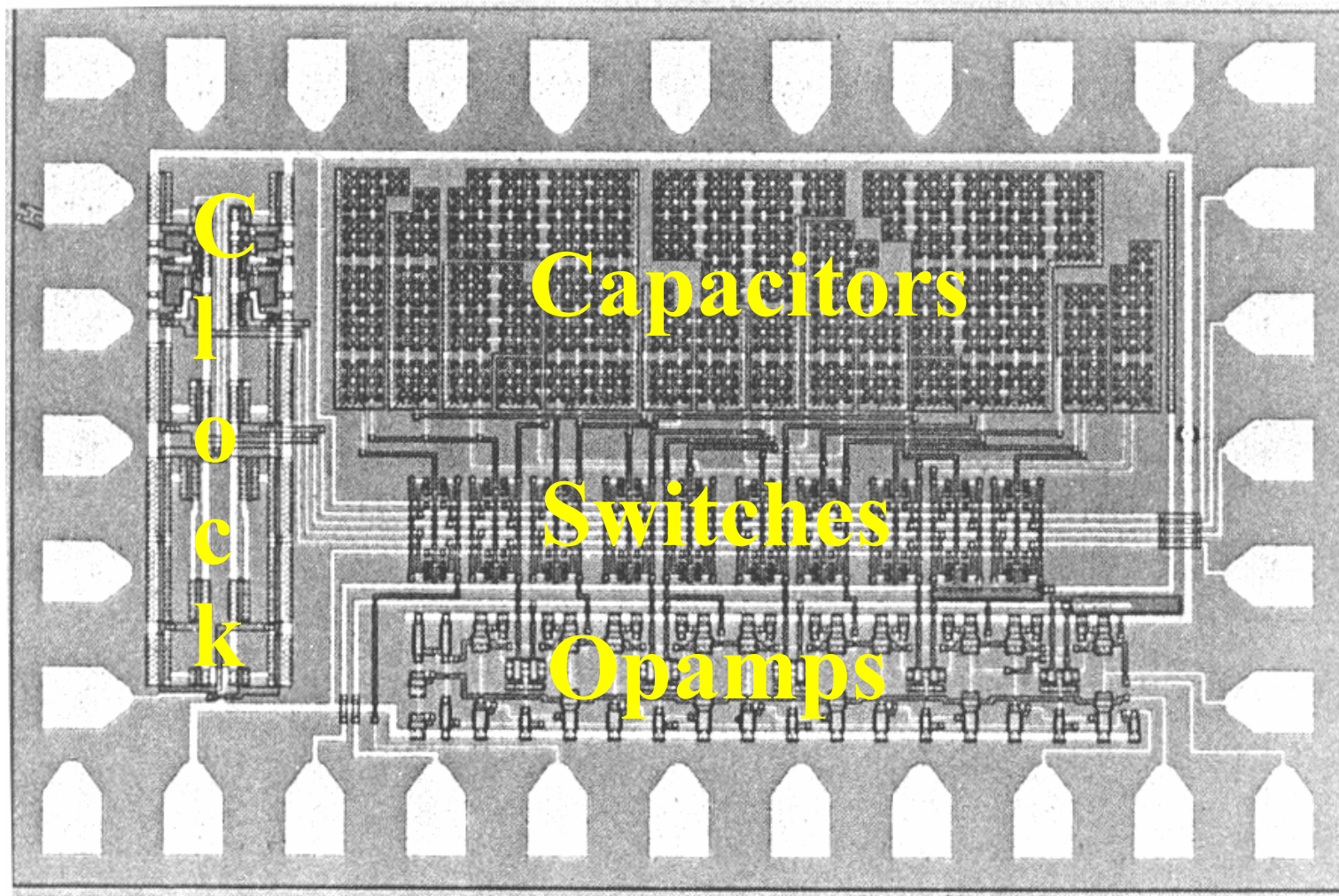
**SC ladder filter**



---

# 4th-Order SC Low-Pass filter

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# Switched-Capacitor Filters

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  - **MOS capacitors**
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  - Basic SC-integrator building blocks
- SC Filters : LC ladder / bi-quadratic section
- Opamp requirements
  - Charge transfer accuracy
  - Noise
- Switched-current filters

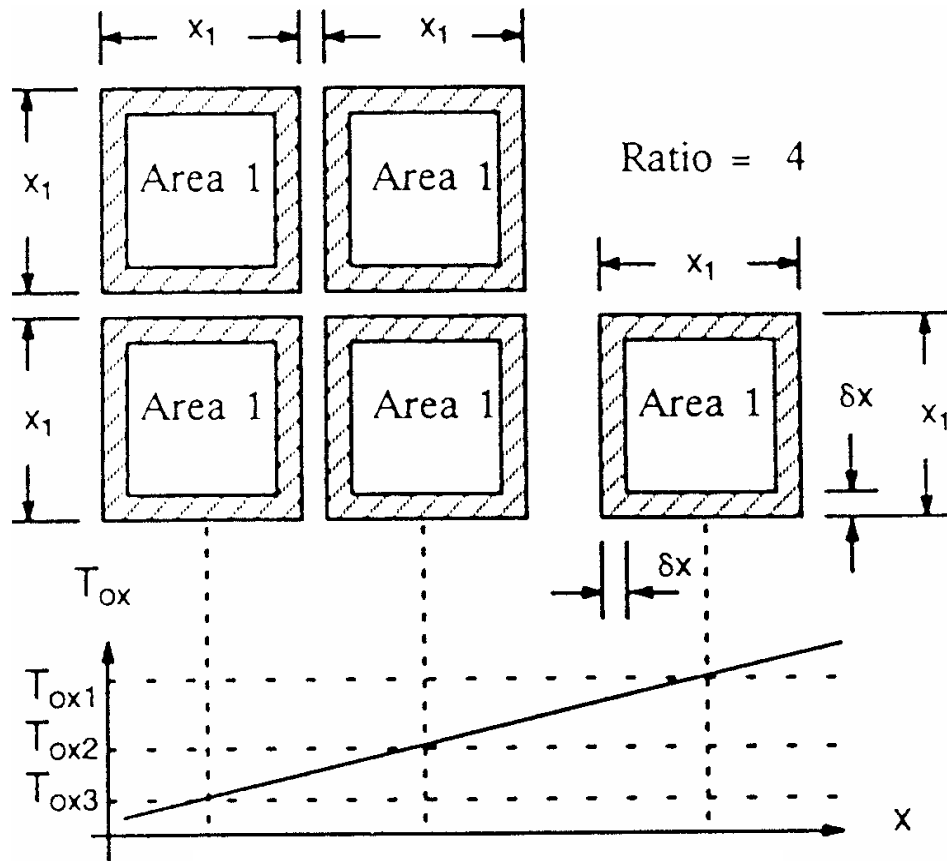
McCreary, JSSC Dec 75, 371-379

Gregorian, IEEE Proc. Aug 83, 941-986





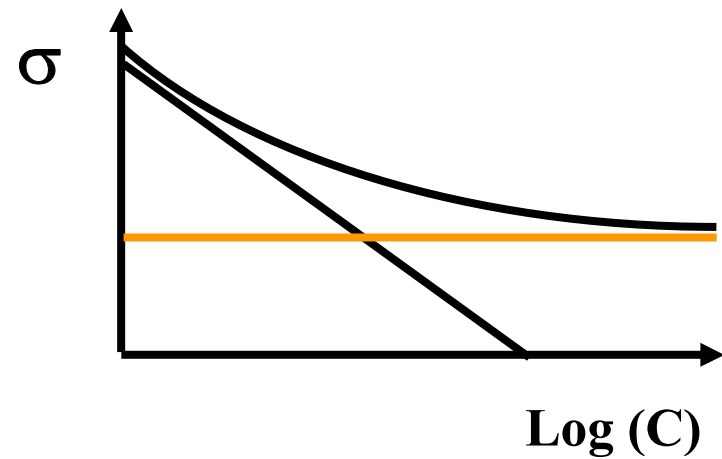
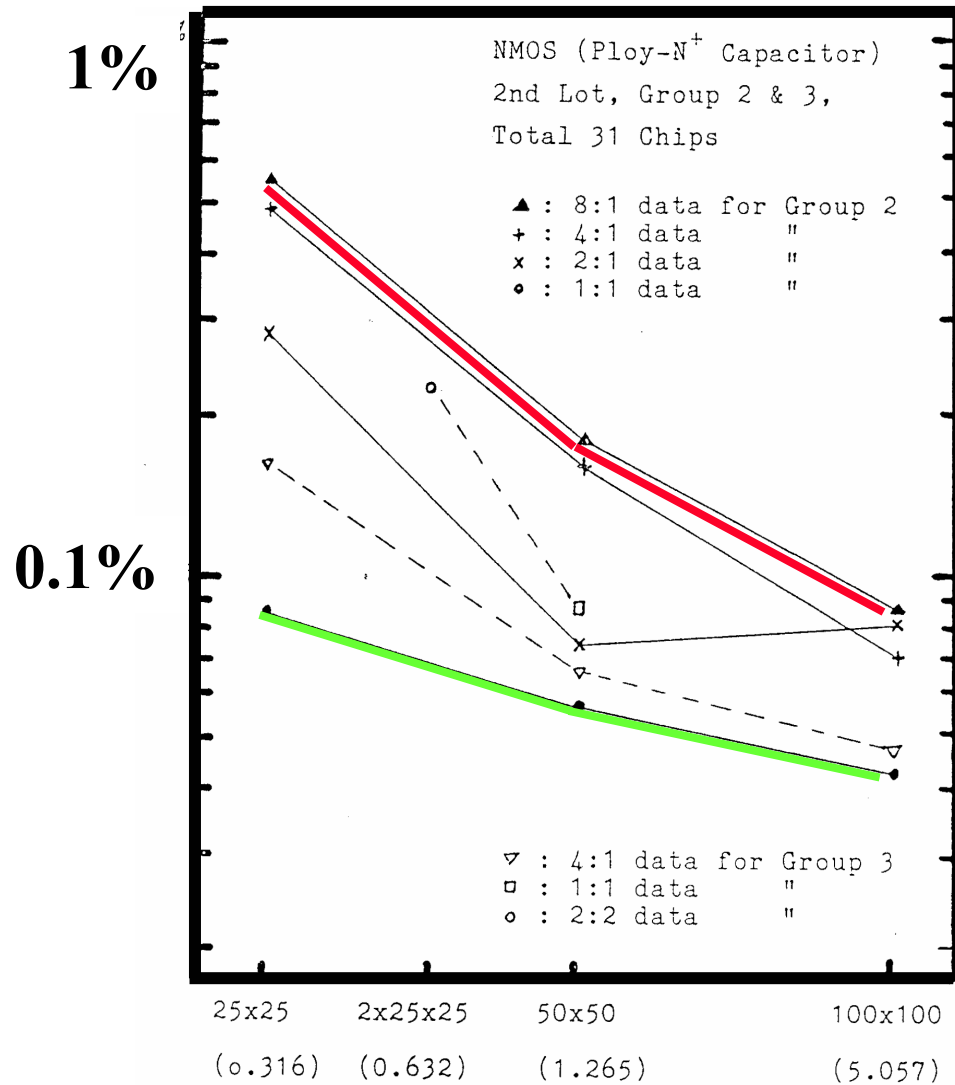
# Capacitor Matching



**Constant Area / Perimeter !**

**Common centroide lay-out !**

# Random Error ( $\sigma$ )

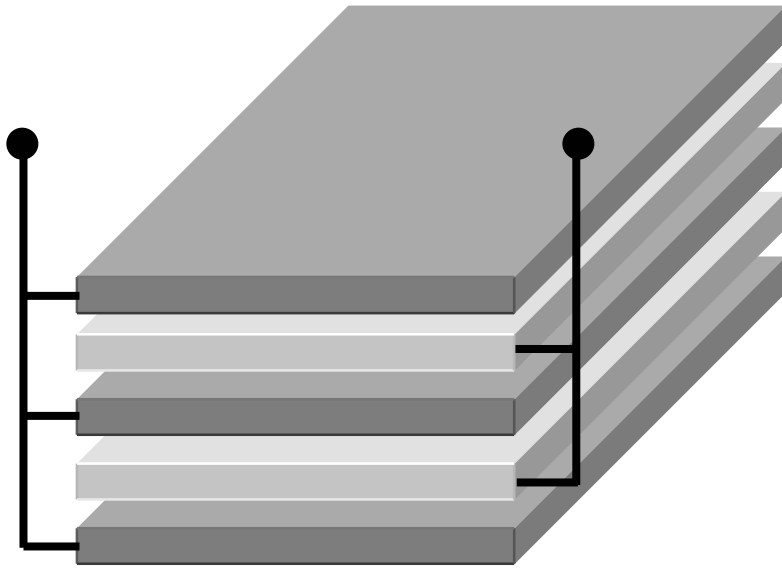


local vs **global**  $t_{ox}$  effects

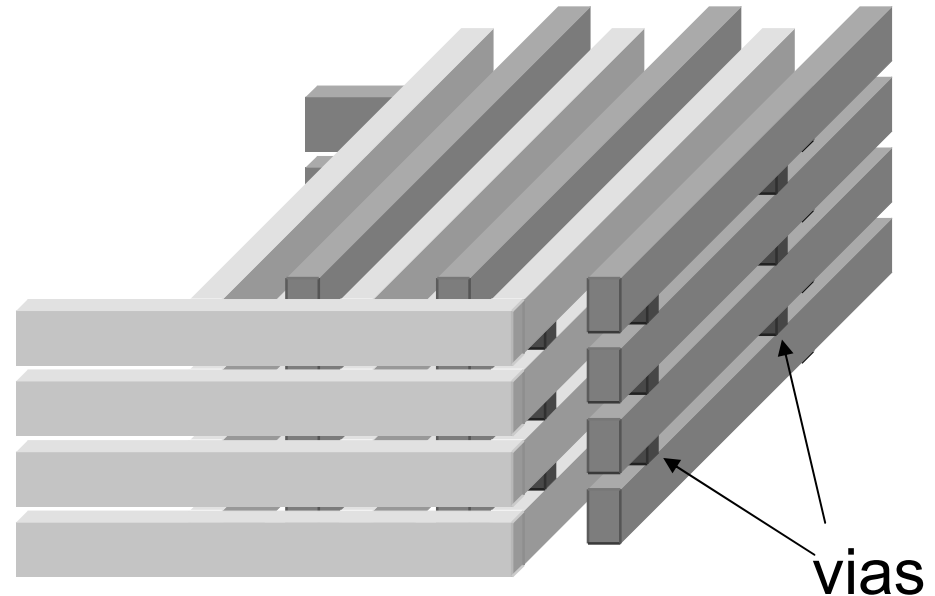
---

# Capacitances in nanometer CMOS

---



- MIM capacitors
- 5 metal layers,  $0.35 \text{ fF}/\mu\text{m}^2$
- Excellent matching



- Digital technology, no MIM cap.
- lateral metal-metal capacitance
- 8 metal layers,  $1.7 \text{ fF}/\mu\text{m}^2$
- Good matching

Aparicio, JSSC March 02, 384-393

---

# Switched-Capacitor Filters

---

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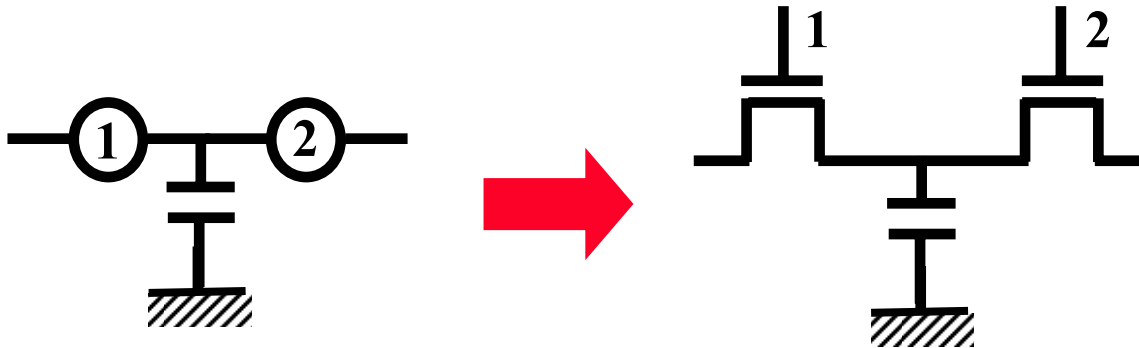
McCreary, JSSC Dec 75, 371-379

Gregorian, IEEE Proc. Aug 83, 941-986

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# A MOST as a switch

---



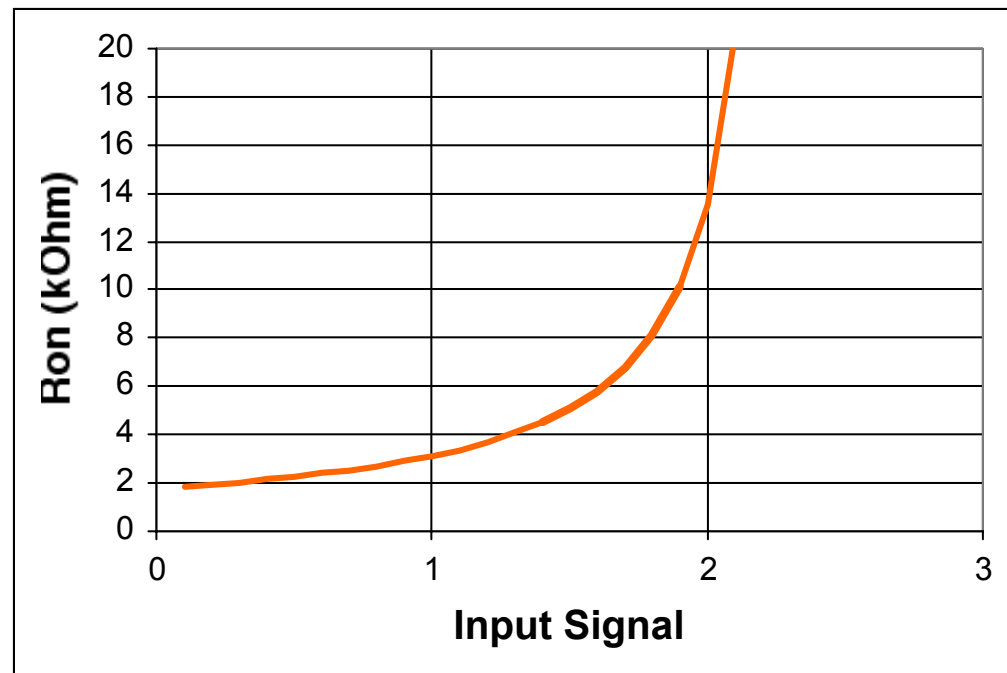
$$R_{on} = \frac{1}{K P_n \frac{W}{L} (V_h - V_T - V_{sign})}$$

$$W = 2 \mu\text{m} \quad L = 0.7 \mu\text{m}$$

$$K P_n = 80 \mu\text{A}/\text{V}^2$$

$$V_T = 0.7 \text{ V}$$

$$V_h = 3 \text{ V}$$

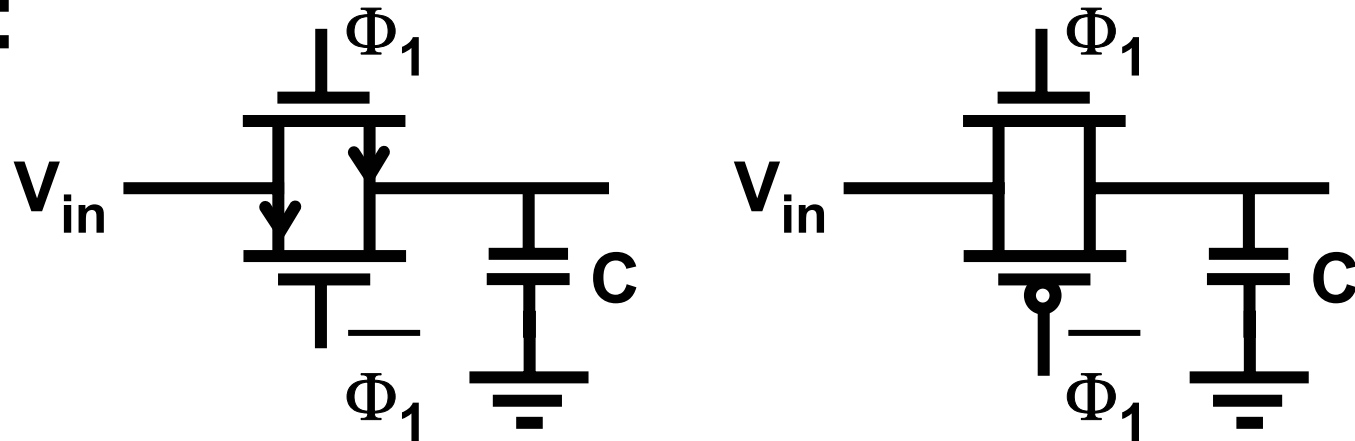


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# Double Switch or transmission gate

---

**Switch:**

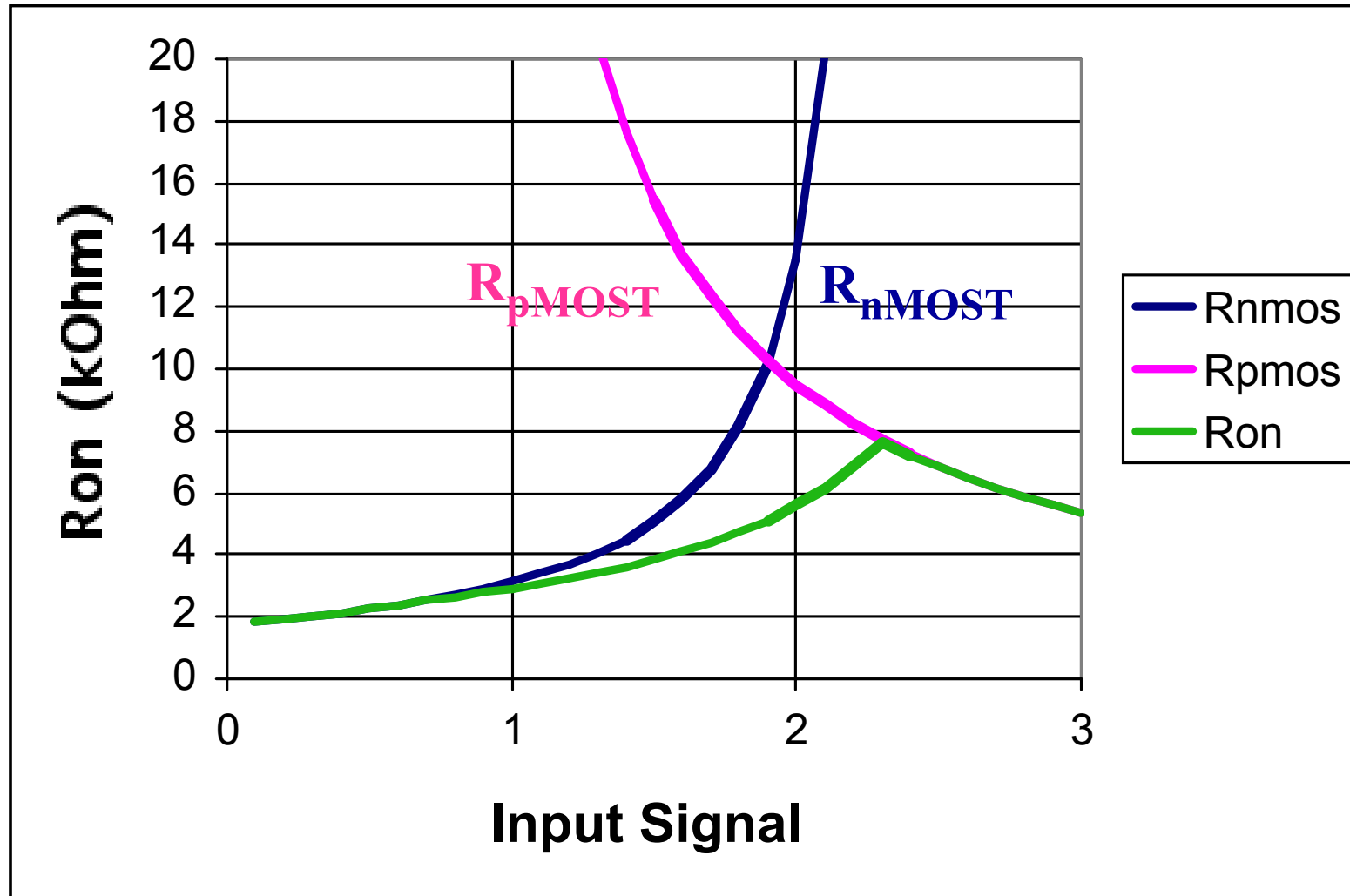


nMOST:  $V_{in} < V_{DD} - V_{GS,n} \approx V_{DD} - 0.7 \text{ V}$

pMOST:  $V_{in} > V_{GS,p} \approx 0.7 \text{ V}$

Minimum  $V_h = V_{DD}$ :  $V_{DD} - V_{GS,n} = V_{GS,p} \Rightarrow V_{DD} > 1.4 \text{ V}$

# Double Switch

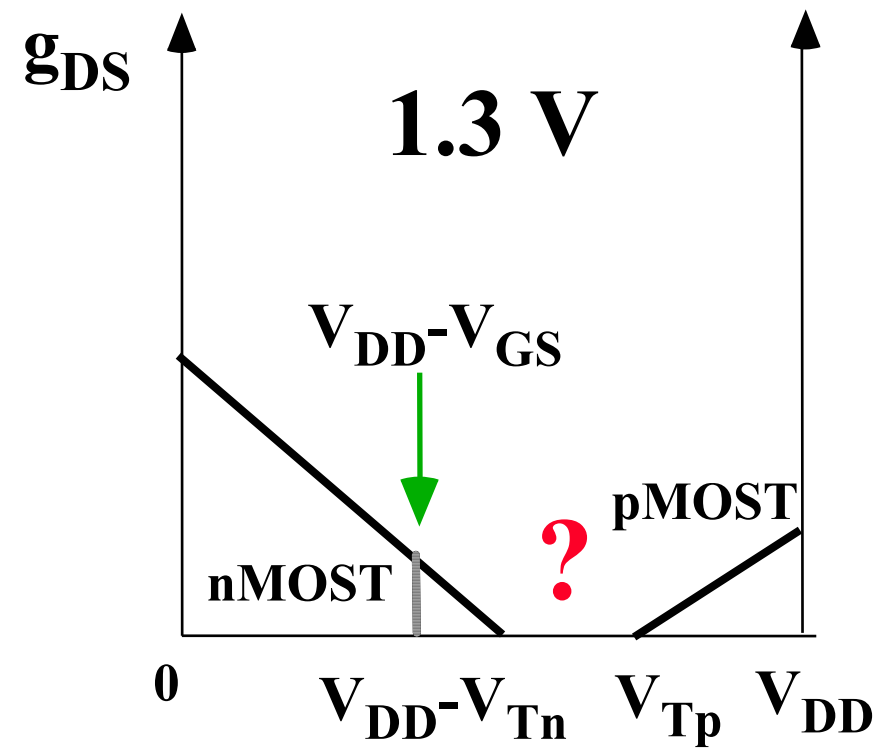
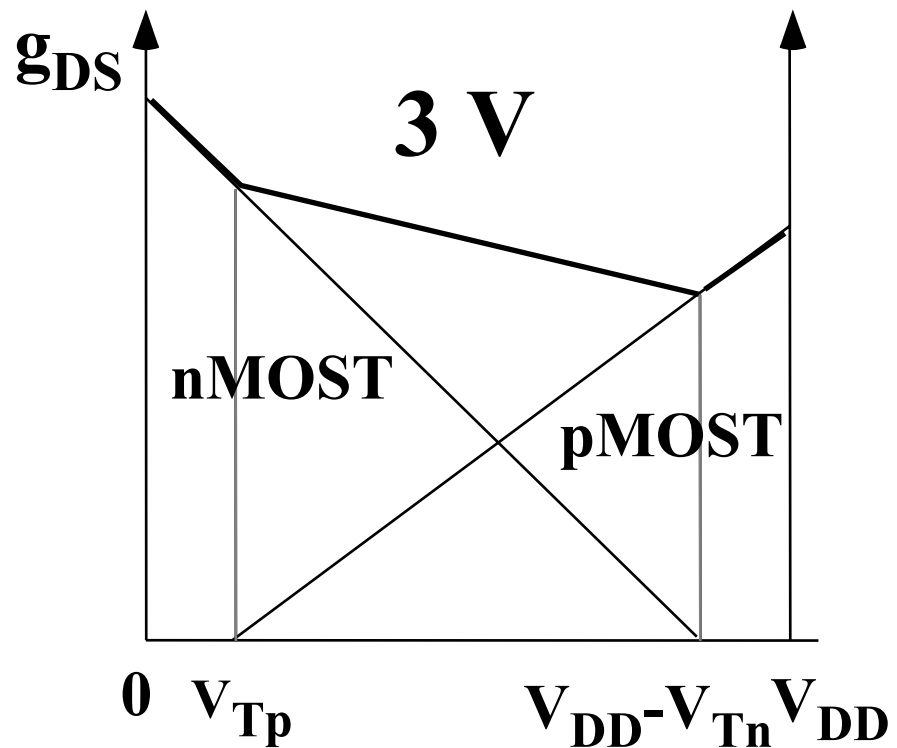


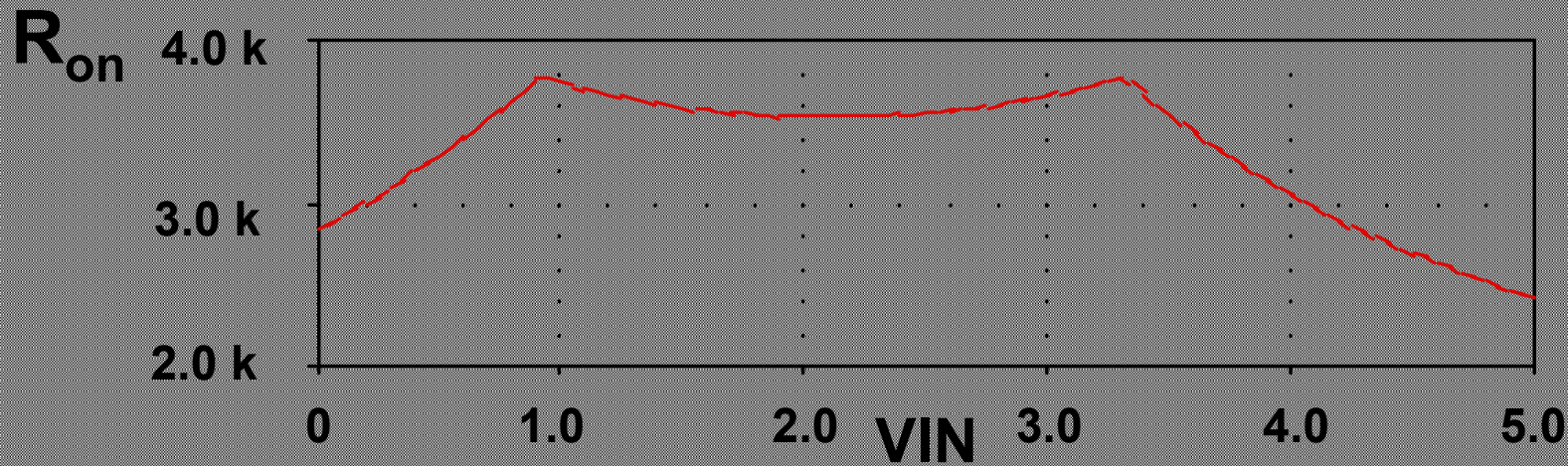


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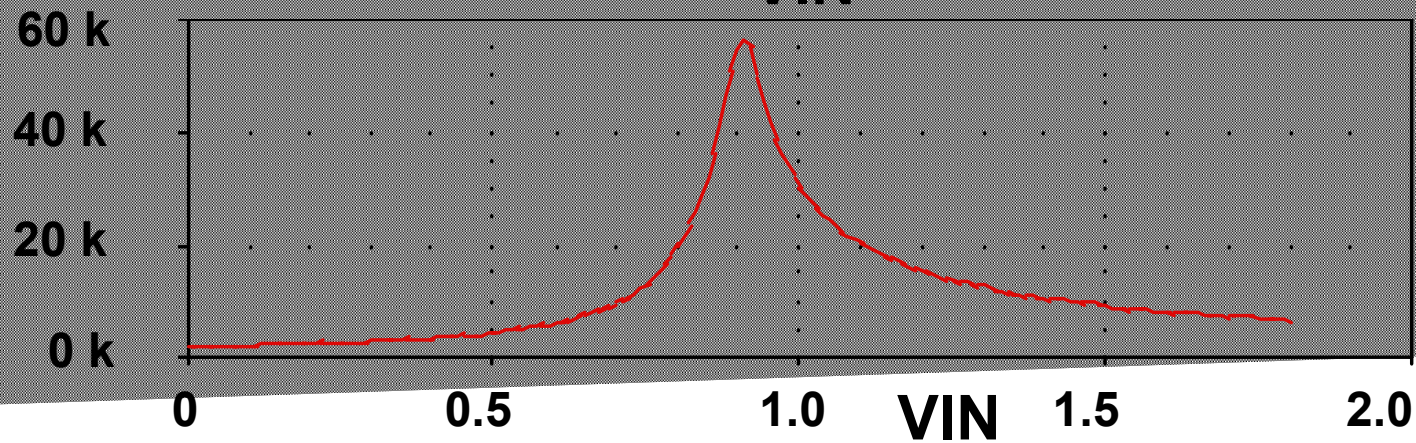
# Low Voltage SC : MOST-Switch

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**$V_{DD} =$   
5 V**

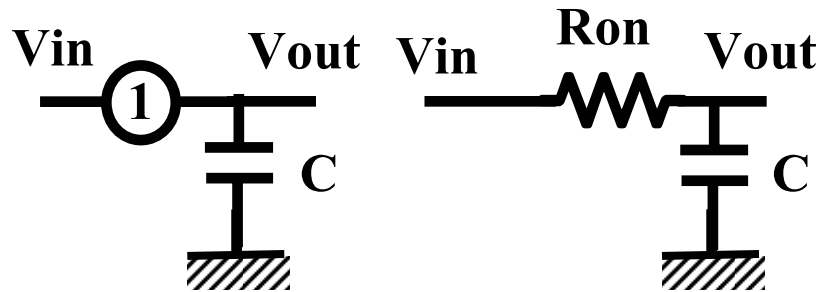


**$V_{DD} =$   
1.8 V**

---

# Time constant of $R_{on}$

---

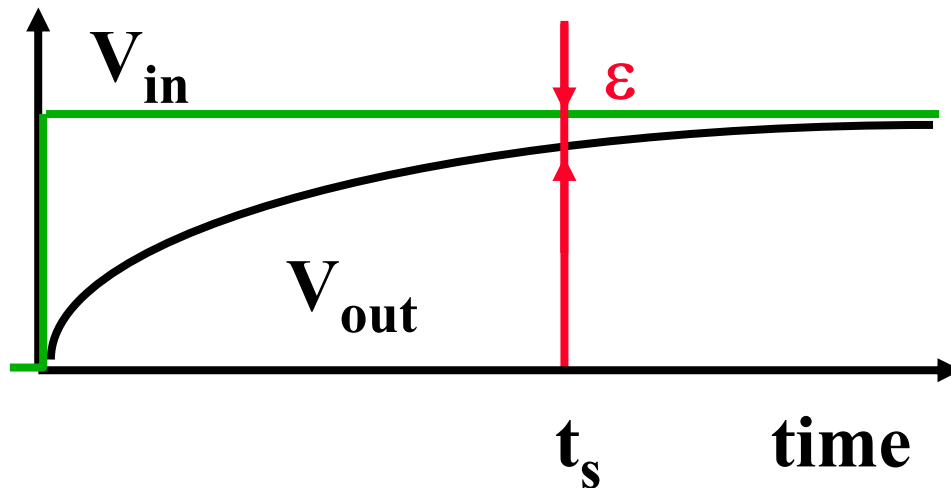


$$V_{out} = V_{in} (1 - \exp(-\frac{t}{RC}))$$

↓

$$t_s = RC \ln(1/\epsilon)$$

$$t_s \approx 7 RC \text{ for } \epsilon = 0.1 \%$$



Speed ↓ if  
large  $C$  (low noise)  
large  $R$  (small switch)

---

# Maximum frequency of operation

---

For  $W/L = 2$  and  $V_{GS} - V_T \approx 1 \text{ V}$

$R_{on} \approx 10 \text{ k}\Omega$

For  $C \approx 1 \text{ pF}$

For  $\varepsilon \approx 0.1\%$

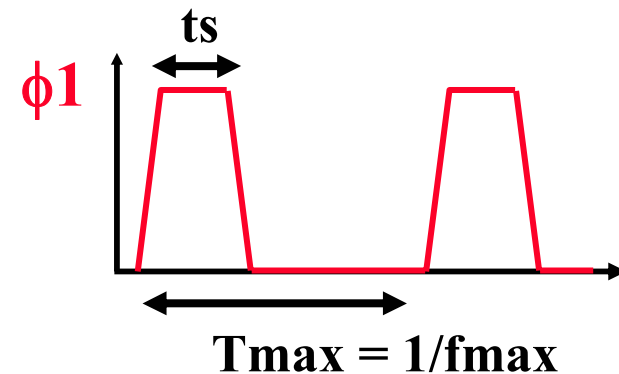
$t_s = 7 RC \approx 70 \text{ ns}$

$T_c = 140 \text{ ns} \Rightarrow f_{max} \approx 7 \text{ MHz}$

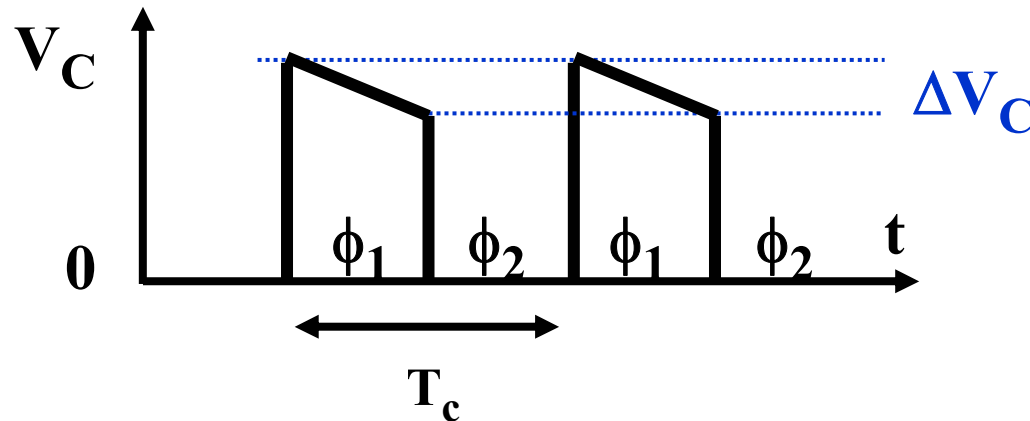
**Due to only one switch**

$\Rightarrow$  practical  $f_{max} : 1\text{-}10 \text{ MHz}$

$L \downarrow \Rightarrow R_{on} \downarrow$



# Minimum frequency of operation



$$\text{Leakage } i = C \frac{dV_C}{dt}$$

$i$  is 10 nA/cm<sup>2</sup> at 25°

is 10 μA/cm<sup>2</sup> at 125°

For  $C_{\min} \approx 0.25$  pF (mismatch)

For 10x1 μm: 2 fA (25°)

$\Delta V_c = 1\%$  of 0.1 V or  $\Delta V_c = 1$  mV

or 2 pA (125°)

$dt = T_c/2$  with  $T_c = 1/f_{\min}$

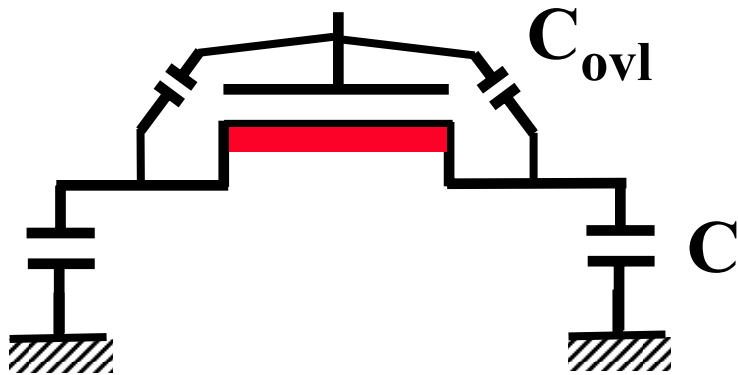
$$f_{\min} = \frac{i}{2 C_{\min} \Delta V_c} = 4 \text{ Hz or } 4 \text{ kHz (125°)}$$

---

# Clock Feed-Through

---

## Overlap Capacitors



$$C_{ovl} \approx W C_{ovlo}$$

$$W \uparrow \Rightarrow R \downarrow \text{ but } C_{ovl} \uparrow$$

$$\text{Example : } W = 3\mu\text{m} \quad L = 0.7\mu\text{m}$$

$$C_{ovlo} = 0.5 \text{ fF}/\mu\text{m}$$

$$\Rightarrow C_{ovl} \approx 1 \text{ fF}$$

$$\Delta V: Q = C_{ovl} (V_h - V_l) \approx 1\text{fF} \cdot 3\text{V} \approx 3\text{fC}$$

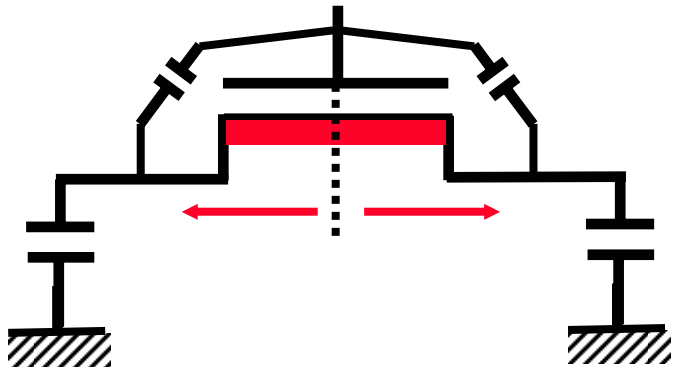
$$\Rightarrow \Delta V \approx \frac{Q}{C} \approx 3\text{fC}/1\text{pF} \approx 3 \text{ mV}$$

---

# Charge redistribution

---

## Inversion layer charge



$$Q_m \approx C_{ox} WL(V_h - V_{sign} - V_T)$$

$$\text{Ex. } W = 3\mu\text{m } L = 0.7\mu\text{m}$$

$$C_{ox} = 1.6 \text{ fF}/\mu\text{m}^2$$

$$V_T = 0.7\text{V } V_{sign} = 1.5\text{V}$$

$$\Rightarrow Q \approx 6 \text{ fC}$$

$\Delta V$ : Half is stored in each cap

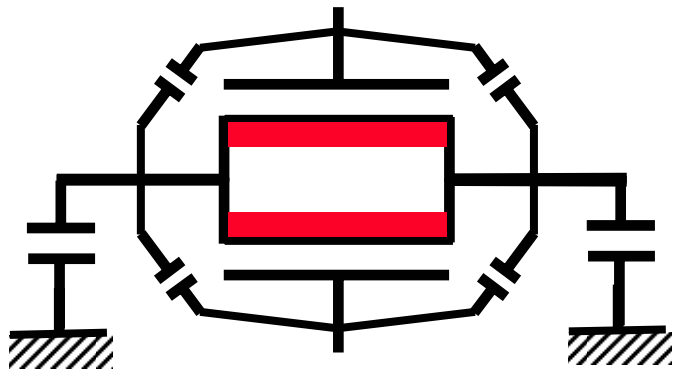
$$\Rightarrow \Delta V \approx Q/2C \approx 3 \text{ fC}/1\text{pF} \approx 3 \text{ mV}$$

**Total:  $\Delta V \approx 10 \text{ mV/pF}$**   $C \uparrow \Rightarrow CD \downarrow$  Speed  $\downarrow$  Power  $\uparrow$

---

# Clock injection & Charge redistribution

---

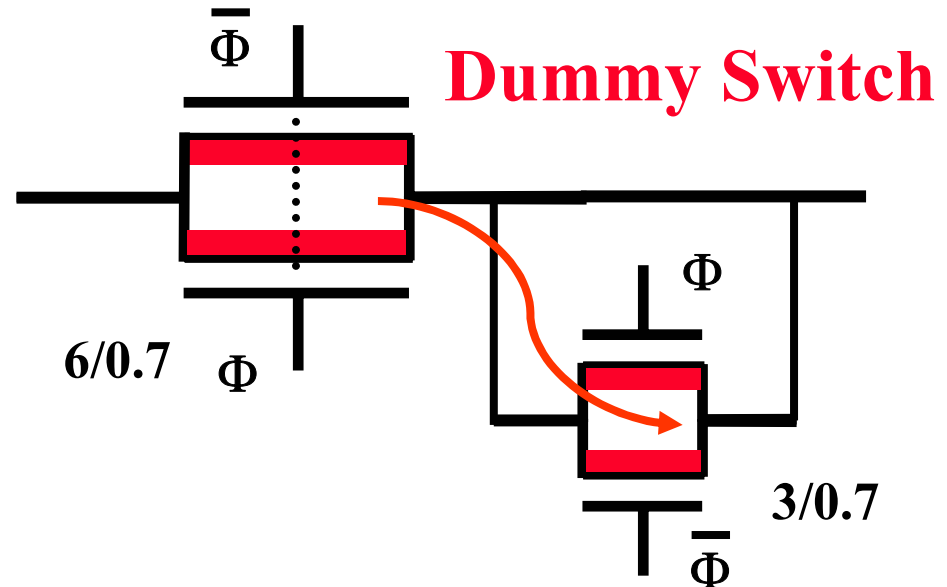


If

$$C_{\text{ovl},n} = C_{\text{ovl},p}$$

No Clock FT !

Problems: matching  
 $W_n = W_p$  ?



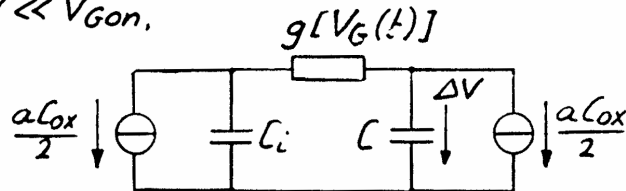
OK if Q is split equal 1/2

Problems: clock skew  
rise/fall time  
impedance



# Quantitative Charge Redistribution

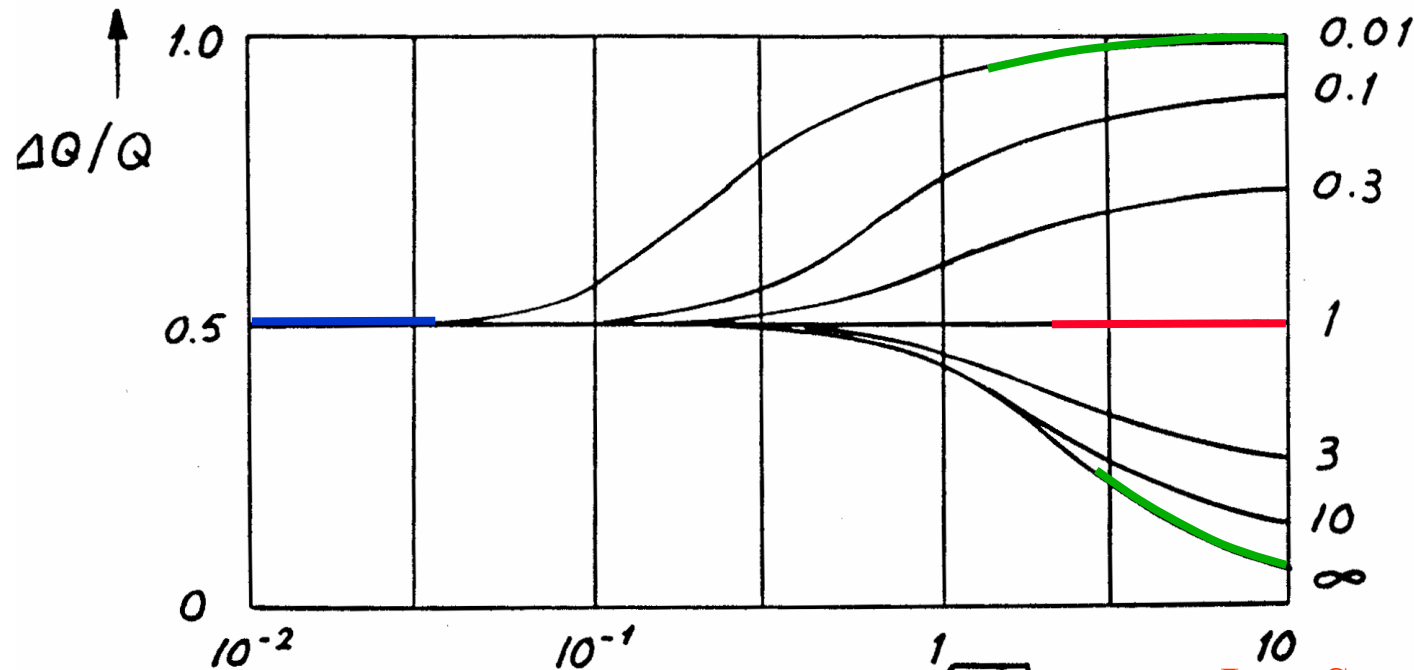
If  $\Delta V \ll V_{Gon}$ ,  
then:



$$\beta = \mu C_{ox} \cdot W/L$$

$$a = dV/dt$$

$V_{Te}$  = effective  $V_T$   
(with bulk effect)



$C_i \ll C, B \gg 1$

$\Delta Q \rightarrow Q$

$\Rightarrow$  Dummy

$C_i = C: \Delta Q = Q/2$

$\Rightarrow$  Dummy

$C_i \gg C, B \gg 1$

$\Delta Q \rightarrow 0$

NO dummy

High Speed  
Clocks

$$B = (V_{Gon} - V_{Te}) \sqrt{\frac{\beta}{aC}}$$

Low Speed  
Clocks

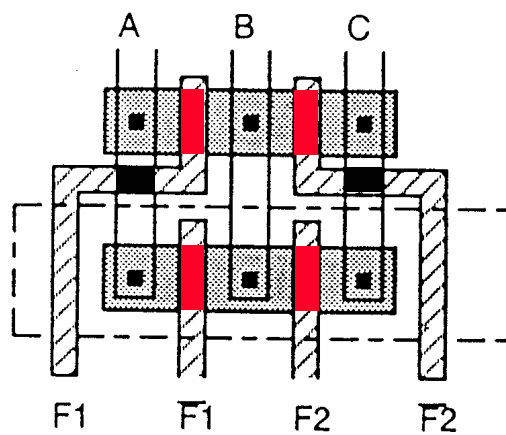
$B \ll 1: \Delta Q = Q/2 \Rightarrow$  Dummy

Ref. Wegmann, Vittoz,  
JSSC Dec.87, 1091-1097

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# Layout considerations

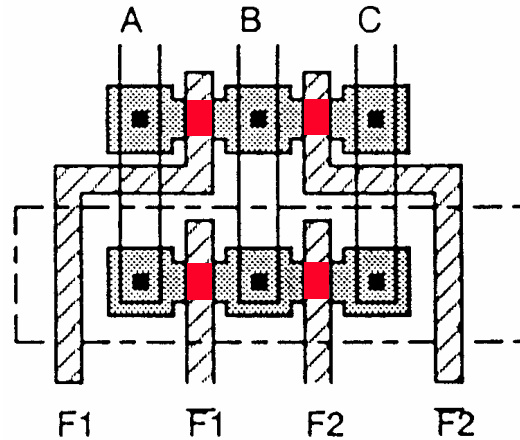
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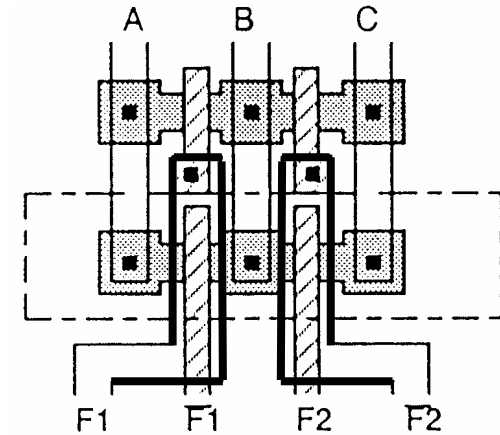
**Parasitic C**



**CFT**



**Reduce  $C_{ox}$  area**



**Use metal to  
'shield'  
clock lines**

---

# Switched-Capacitor Filters

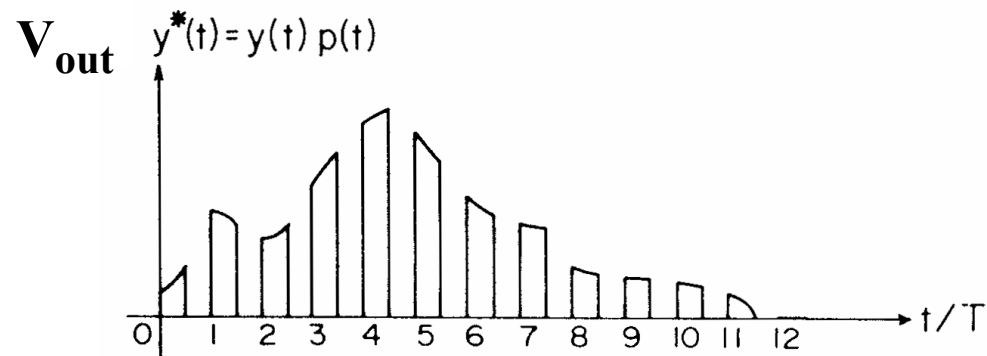
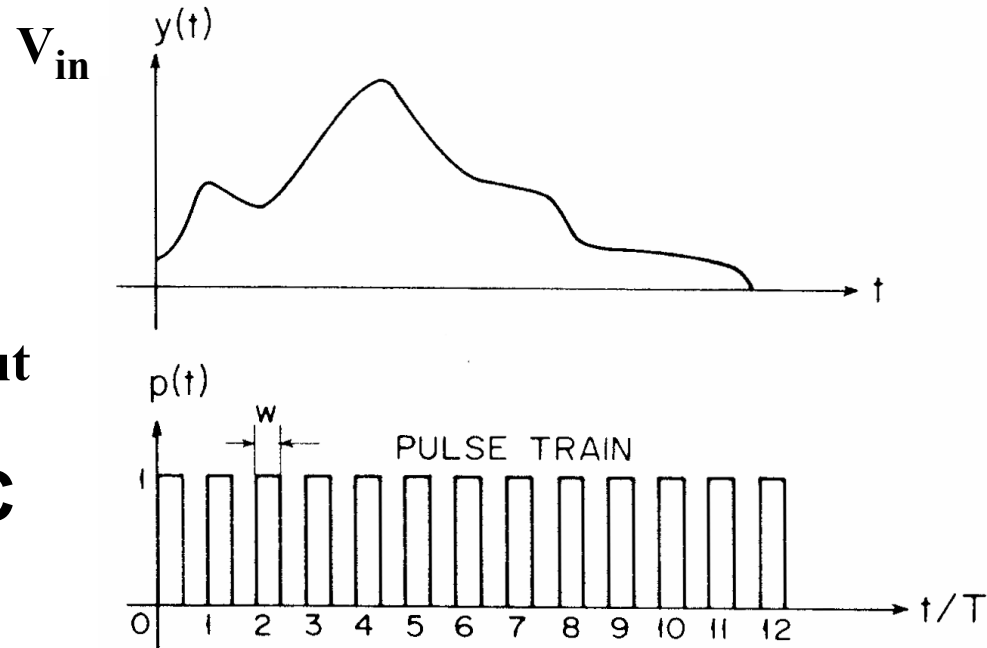
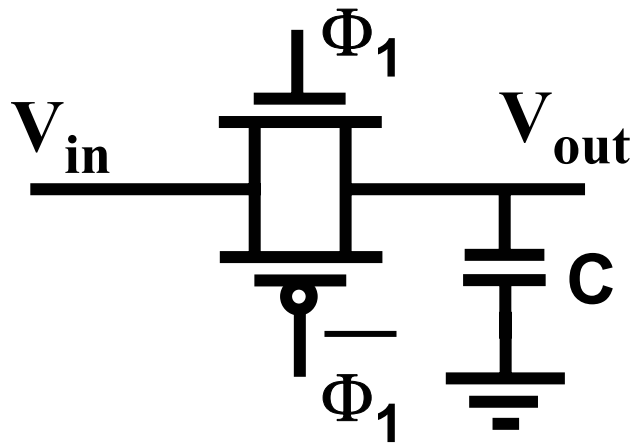
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McCreary, JSSC Dec 75, 371-379

Gregorian, IEEE Proc. Aug 83, 941-986

# Sampling analog signals

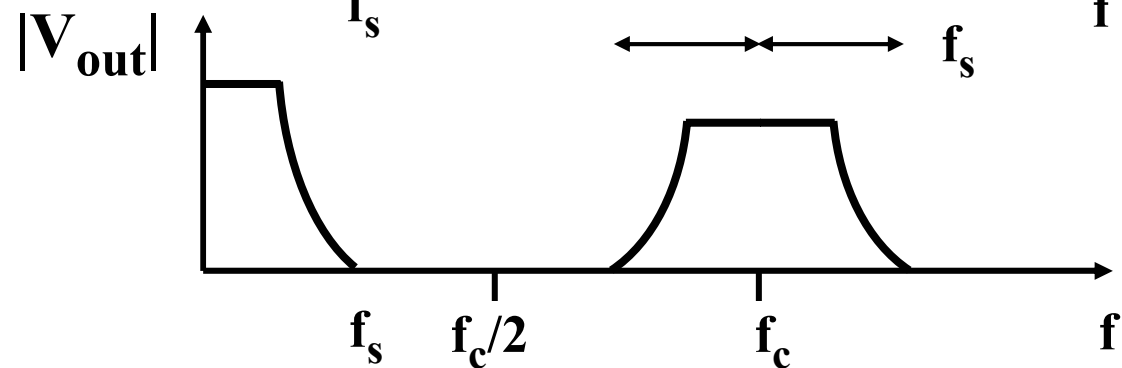


# Spectra

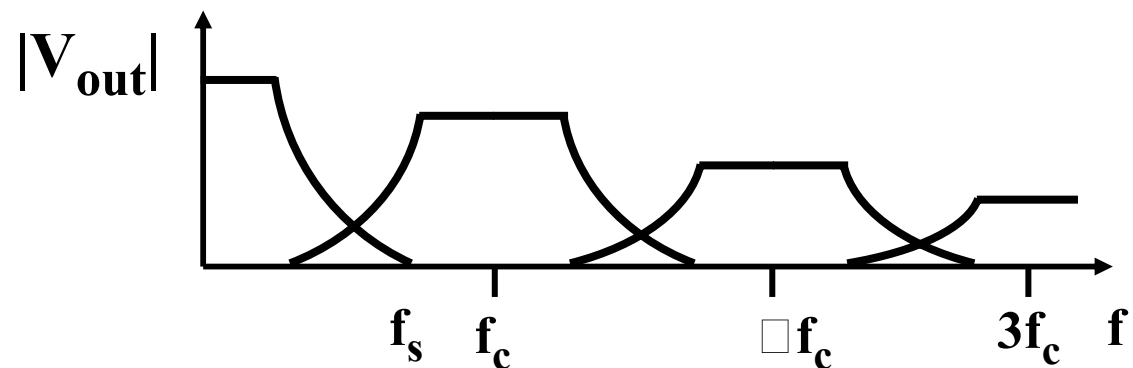
Input signal  $v_{in}$



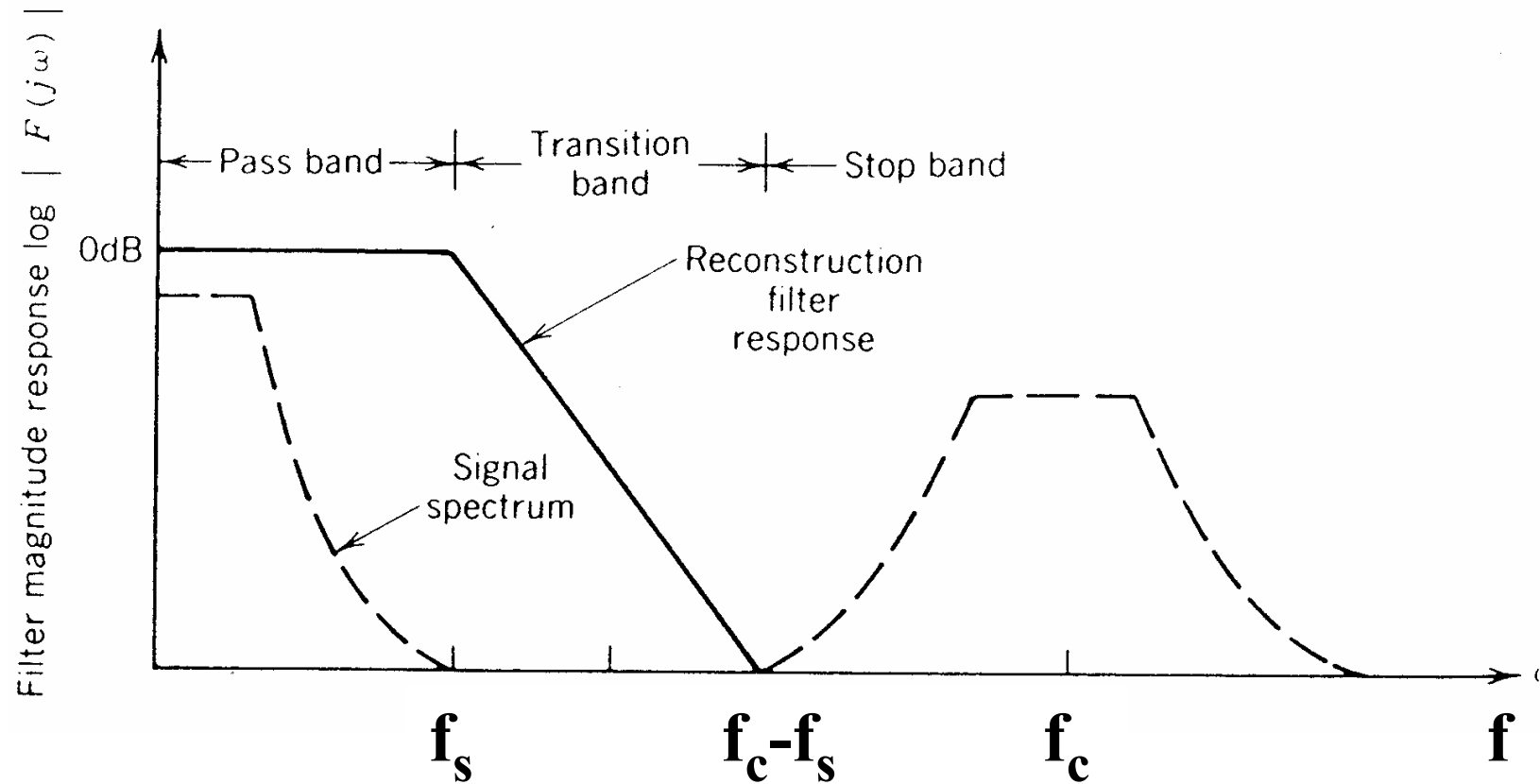
Sampled signal  
 $f_c/2 \gg f_{signal}$



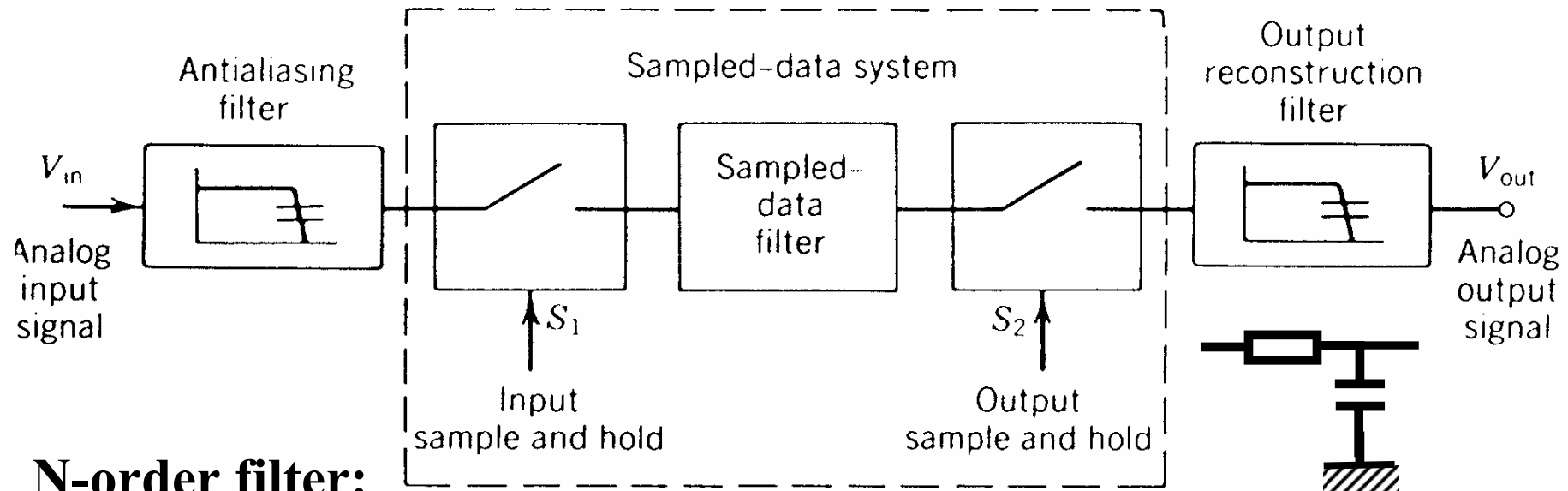
Sampled signal  
 $f_c/2 < f_{signal}$   
 Nyquist !



# Anti-Aliasing filter



# Anti-aliasing / Reconstruction



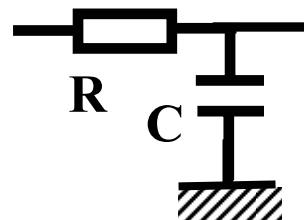
**N-order filter:**

$$\left[ \frac{f_s}{f_c - f_s} \right]^N = 10^{\frac{-\text{Attenuation}}{20}} \quad f_c = f_s \cdot 10^{\frac{\text{Attenuation}}{20 \cdot N}}$$

**Ex. Attenuation = 40 dB;  $f_s = 10$  kHz ;  $N = 1 \Rightarrow f_c = 1$  MHz**

# Sampled Data Basics : z-transform

Analog System:  $s = j\omega$



$$\frac{V_{out}}{V_{in}} = \frac{1}{1 + sRC}$$

Sampled data: z-transforms

1 delay is  $z^{-1}$

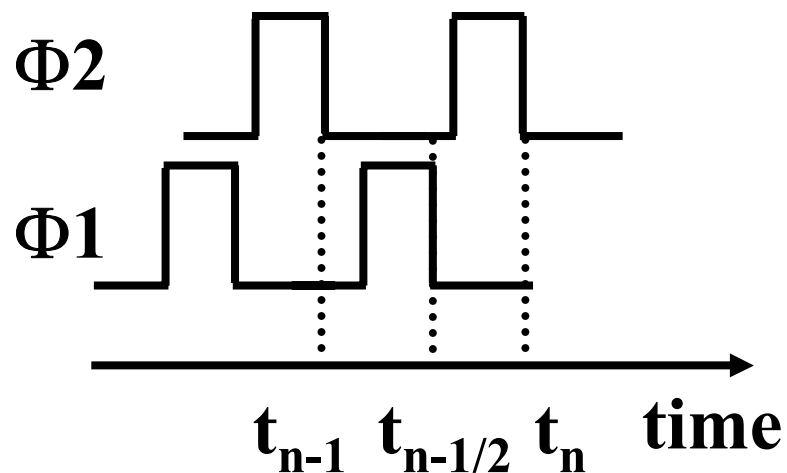
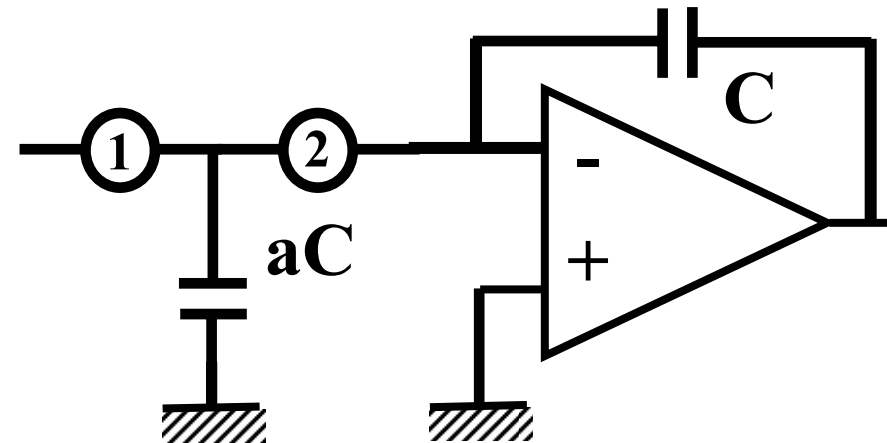
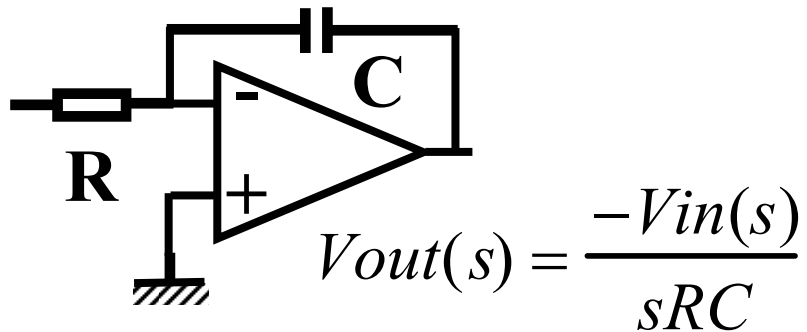
$$z = e^{j\omega T_c} = e^{j \frac{2\pi f}{f_c}}$$

$$e^{j\omega T_c} = 1 + j\omega T_c + \frac{(j\omega T_c)^2}{2} + \dots \quad \text{if } \omega T_c \ll 1$$

z-TRANSFORM	SEQUENCE
$a X(z) + b V(z)$	$ax(n) + bv(n)$
$z^{-n_1} Y(z)$	$y(n - n_1)$
$Y(z/b)$	$b^n y(n)$
$-z \frac{dY(z)}{dz}$	$n y(n)$
$Y(z^{-1})$	$y(-n)$
$X(z) V(z)$	$x(n) * v(n)$

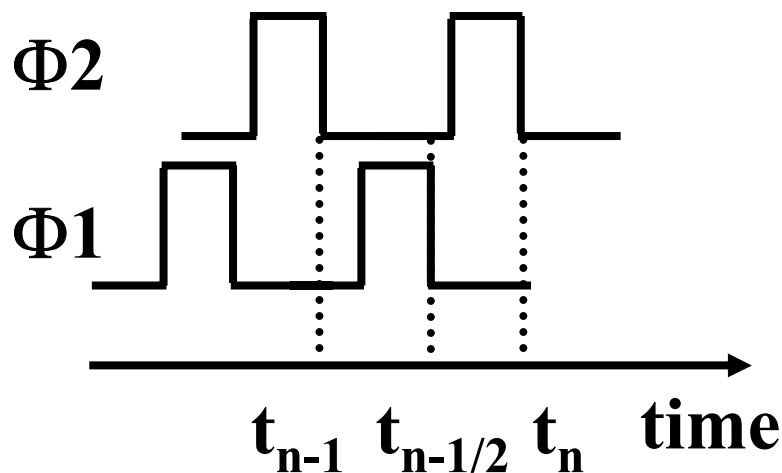
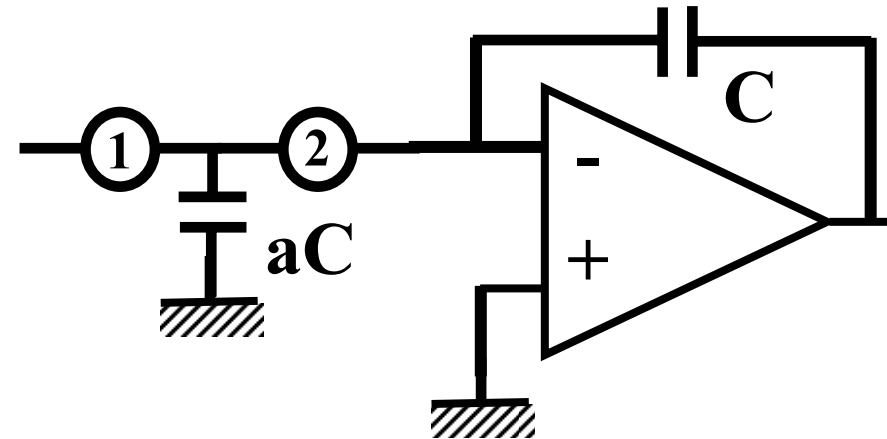
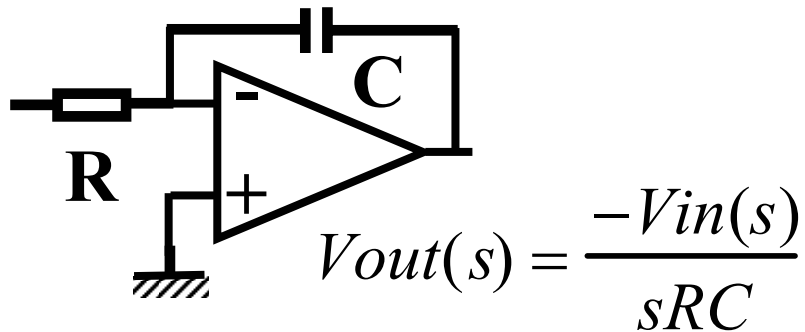


# SC-Integrator in phase 1



$$\begin{aligned} \Phi1 \quad Q_{aC1} &= aC V_{in}(n-1/2) \\ Q_{C1} &= -C V_{out}(n-1) \\ V_{out}(n-1/2) &= V_{out}(n-1) \end{aligned}$$

# SC-Integrator in phase 2 : charge conservation



$$\Phi 2 \quad Q_{aC2} = 0$$

$$Q_{C2} = -C V_{out}(n)$$

$$Q_{aC2} + Q_{C2} = Q_{aC1} + Q_{C1}$$

$$-C V_{out}(n) = aC V_{in}(n-1/2) - C V_{out}(n-1)$$

---

## SC-Integrator : approximate transfer function

---

$$- C V_{\text{out}}(n) = aC V_{\text{in}}(n-1/2) - C V_{\text{out}}(n-1)$$

$$V_{\text{out}}(n-1) = z^{-1} V_{\text{out}}$$

$$\Rightarrow C \cdot V_{\text{out}} = z^{-1} C V_{\text{out}} - z^{-1/2} aC V_{\text{in}}$$

$$\frac{V_{\text{out}}}{V_{\text{in}}} = -a \frac{z^{-1/2}}{1 - z^{-1}}$$

$$z^{-1} = e^{-j\omega T_c} \approx 1 - j\omega T_c$$

$$\Rightarrow \frac{V_{\text{out}}}{V_{\text{in}}} \approx -\frac{a(1 - \cancel{j\omega T_c/2})}{j\omega T_c} \approx -\frac{a}{j\omega T_c}$$

**Integrator**  
 $RC = \frac{T_c}{a}$

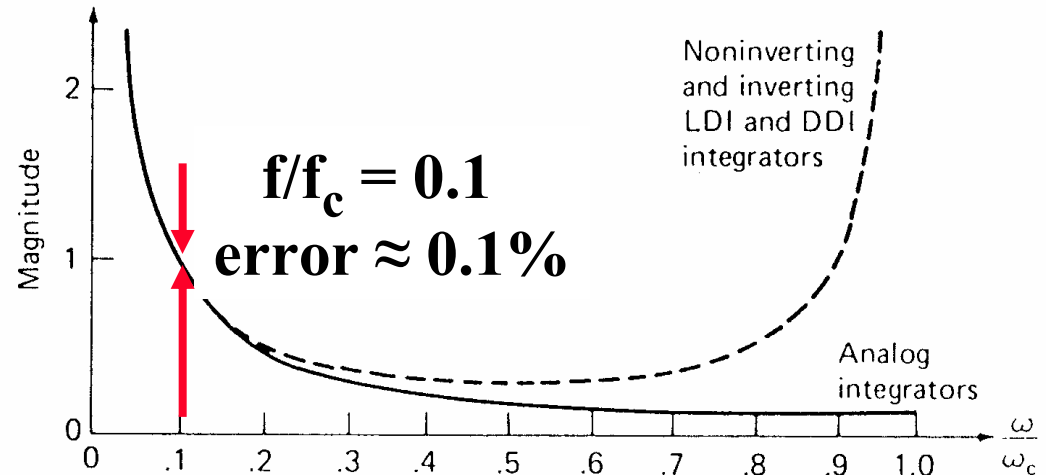
# Exact Transfer function

$$H(z) = - \frac{az^{-1/2}}{1 - z^{-1}}$$

$$H(e^{j\omega T_c}) = - \frac{ae^{-j\omega T_c/2}}{1 - e^{-j\omega T_c}}$$

$$H(e^{j\omega T_c}) = - \frac{a}{e^{j\omega T_c/2} - e^{-j\omega T_c/2}}$$

$$H(e^{j\omega T_c}) = - \frac{a}{j\omega T_c} \frac{\omega T_c/2}{\sin(\omega T_c/2)}$$



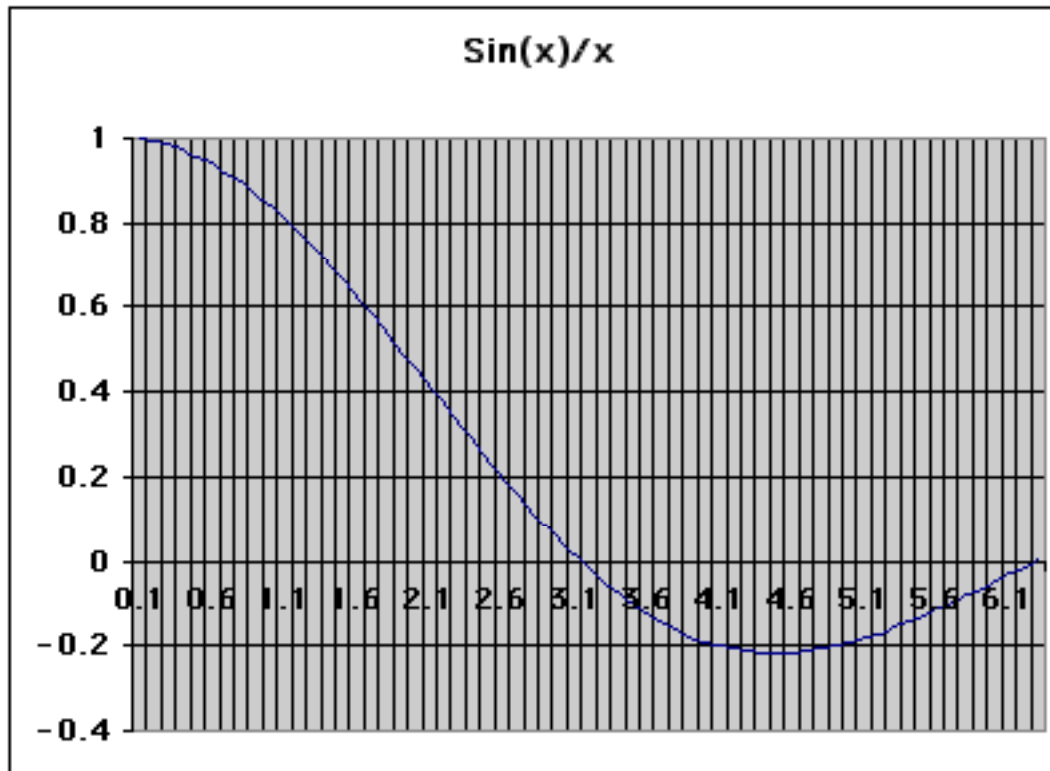
**Euler's relationship:**

$$\sin(x) = \frac{e^{+jx} - e^{-jx}}{2j}$$

---

# The $\sin(x)/x$ function

---



$$\sin(x) \approx x - \frac{x^3}{3} + ..$$

$$\frac{\sin(x)}{x} \approx 1 - \frac{x^2}{3} + ..$$

**For  $x = 0.1$**

$$\sin(x)/x \approx 1 - 0.003$$

**For  $x = 0.05$**

$$\begin{aligned} \sin(x)/x &\approx 1 - 0.0008 \\ &\approx 1 - 0.001 \end{aligned}$$

---

# Switched-Capacitor Filters

---

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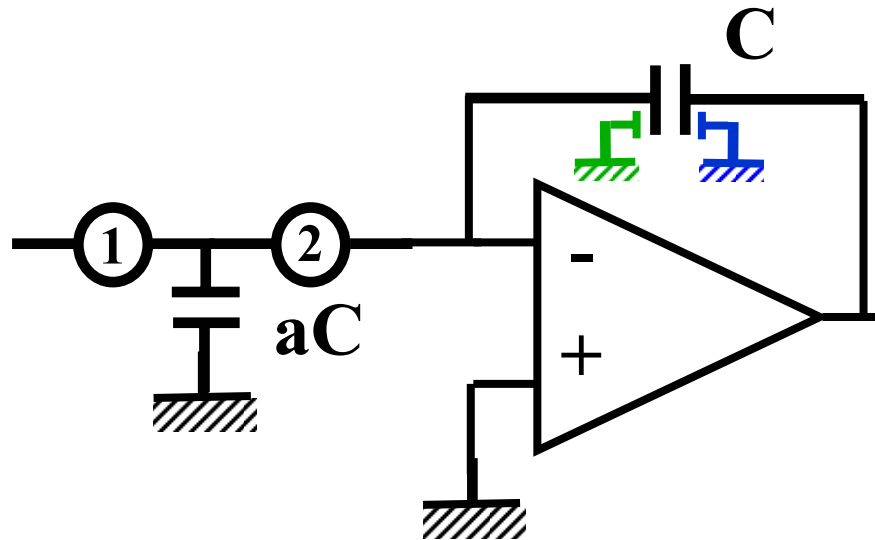
McCreary, JSSC Dec 75, 371-379

Gregorian, IEEE Proc. Aug 83, 941-986

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# Stray Capacitances

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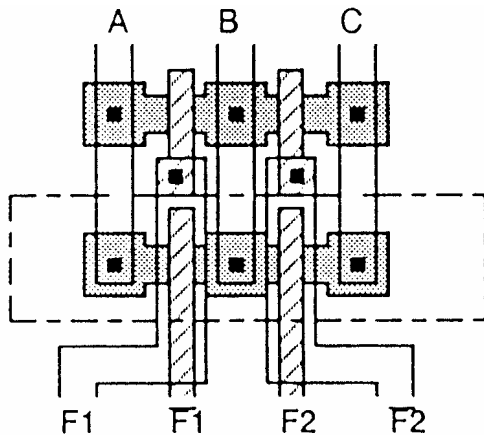
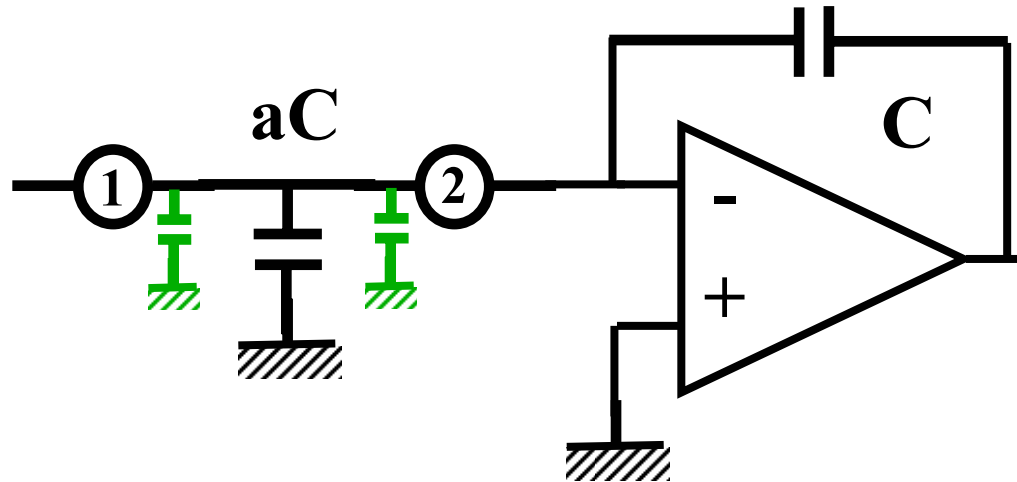
**Stray Cap at input:**

Substrate coupling  
Continuous time  
PSRR very bad

**Stray Cap at output:**

$C_p$  is extra load  
for opamp

# Stray Capacitances



$$C_p \approx 2 \cdot C_{js} \cdot \text{Area} \approx 20 \text{ fF}$$

$$\text{Gain} = \frac{aC + 2C_p}{C}$$

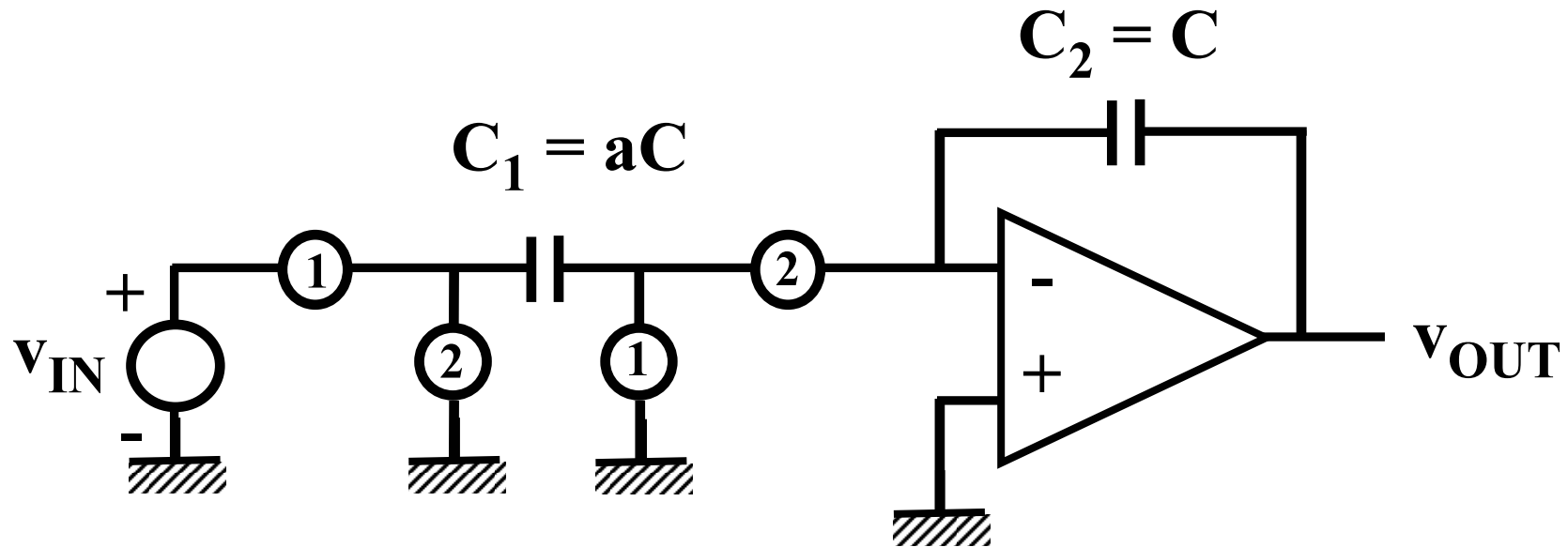
$$\text{error} \approx \frac{2C_p}{aC} \approx 5 - 10\%$$



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# Stray Insensitive SC integrator

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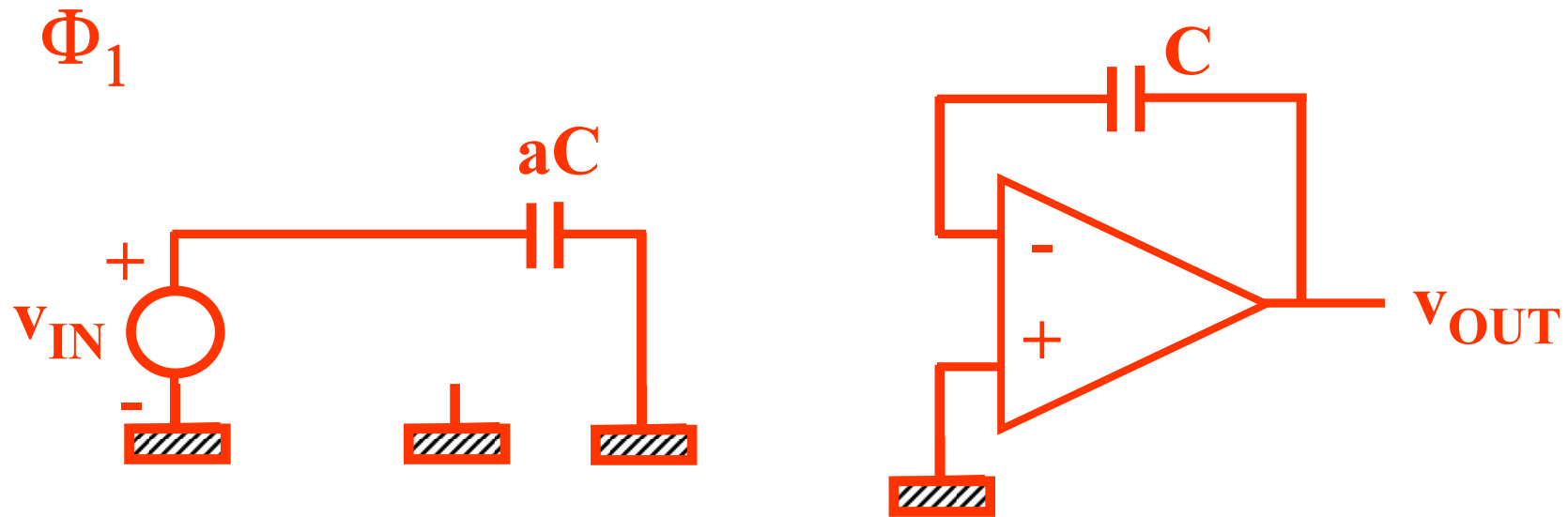


$$A_v = \frac{C_1}{C_2} = a$$

---

# Stray Insensitive SC integrator

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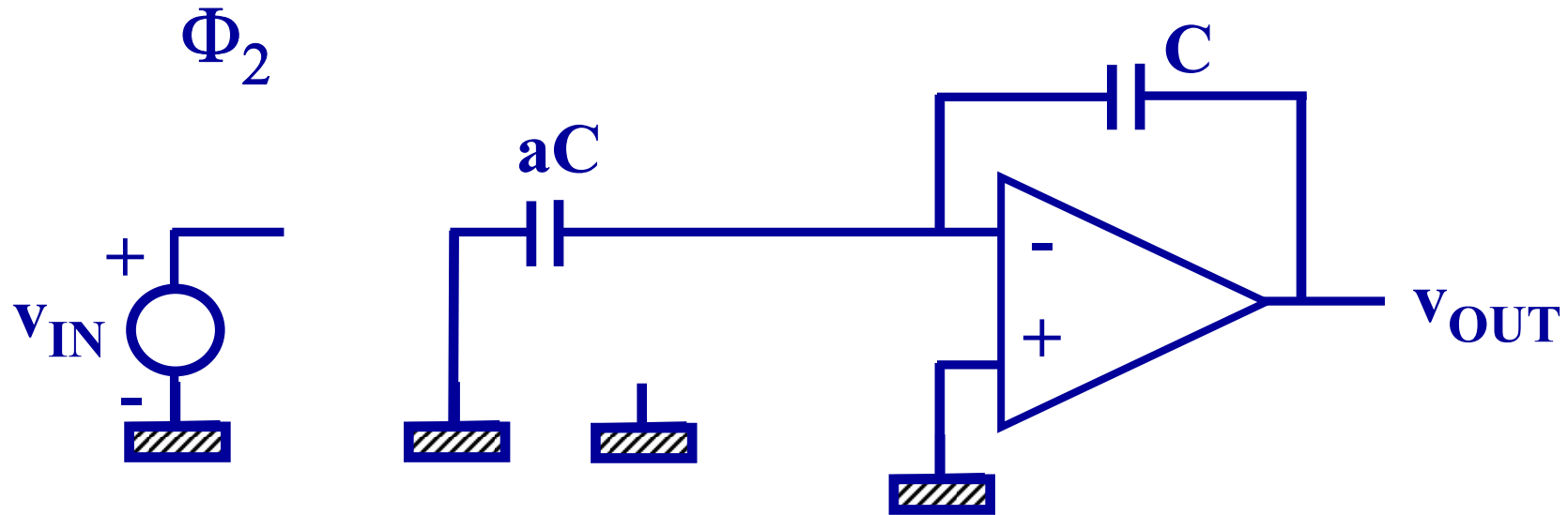


$$A_v = \frac{C_1}{C_2} = a$$

---

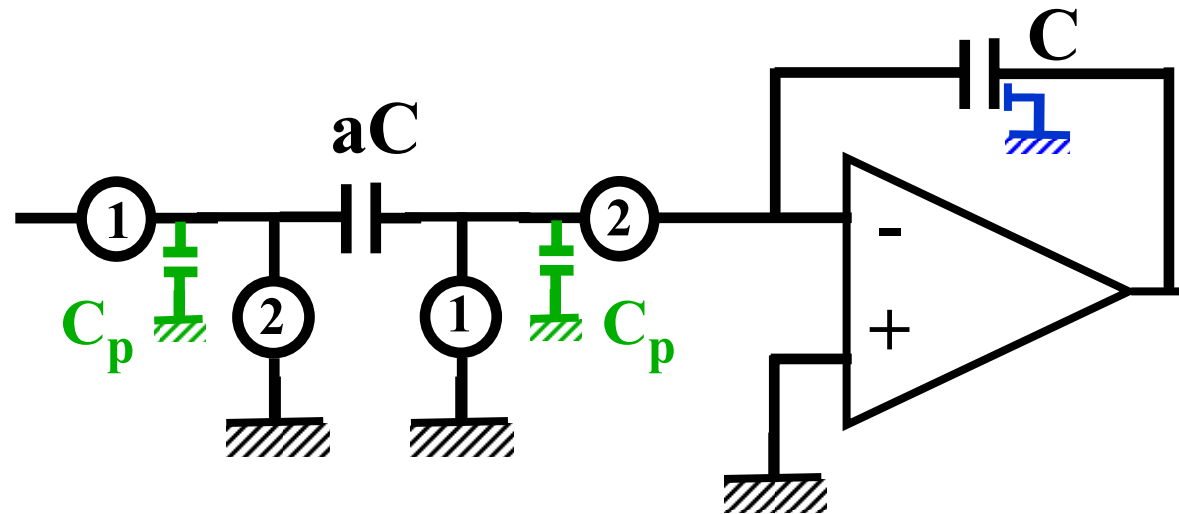
# Stray Insensitive SC integrator

---



$$A_v = \frac{C_1}{C_2} = a$$

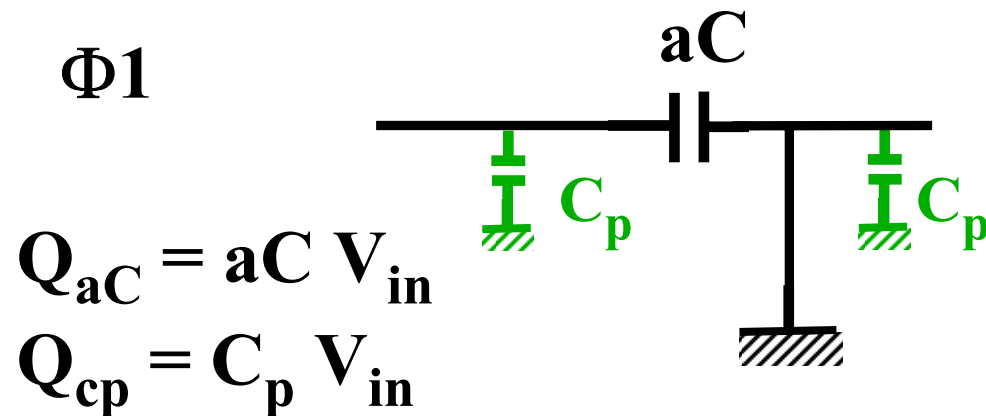
# Stray Insensitive Integrator during phase 1



$$A_v = \frac{C_1}{C_2} = a$$

$$\tau = \frac{1}{af_c}$$

$\Phi 1$



$$Q_{aC} = aC V_{in}$$

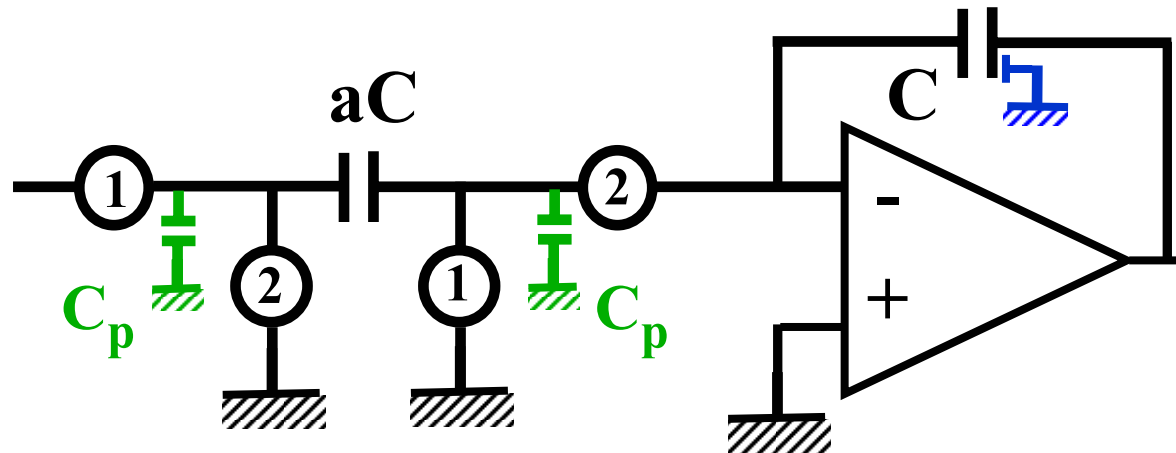
$$Q_{cp} = C_p V_{in}$$

$$Q = 0 \Rightarrow \text{no effect}$$

---

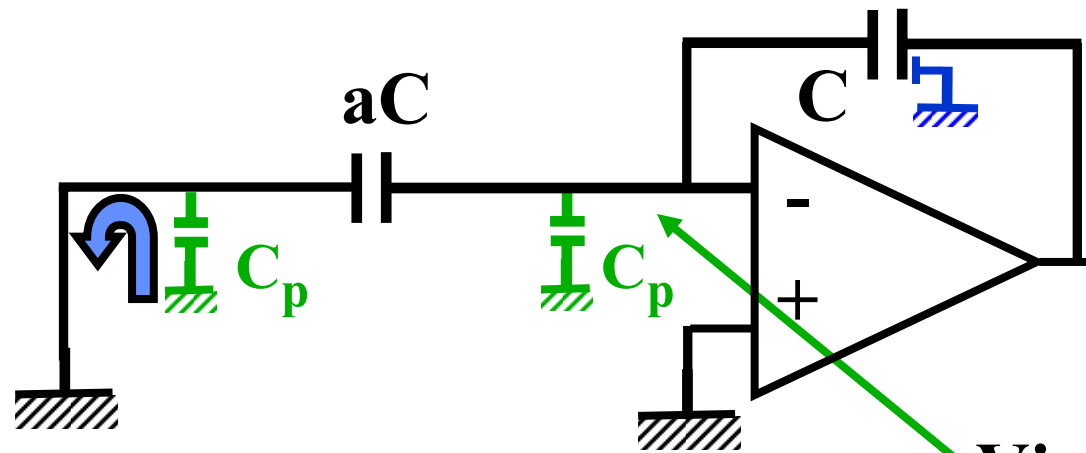
## Stray Insensitive Integrator during phase 2

---



$\Phi 2$

$Q_{cp}$  is  
discharged  
to gnd



Only  $Q_{aC}$  is transferred

Virtual ground  
 $Q_{cp}$  remains 0

---

# Switched-Capacitor Filters

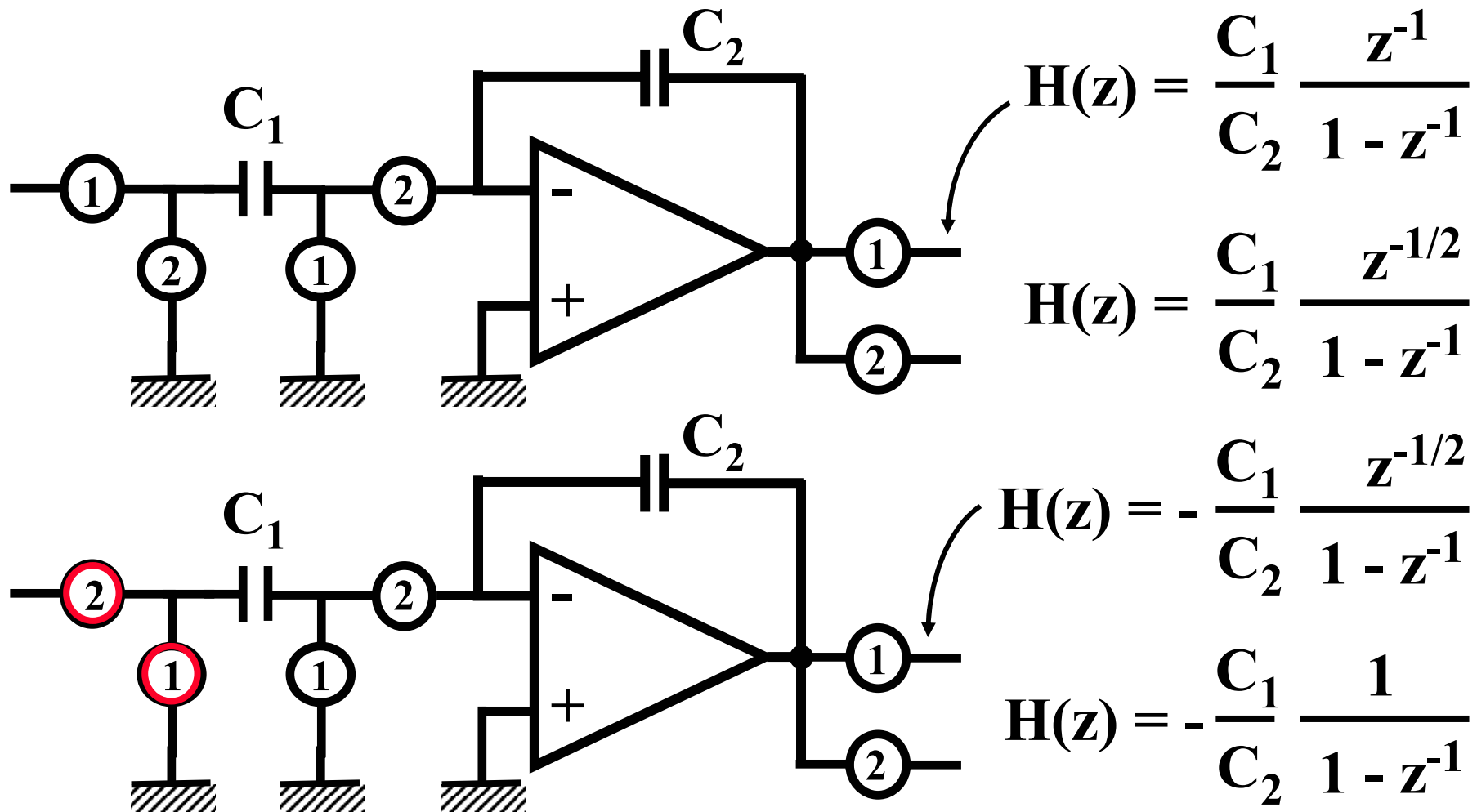
---

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McCreary, JSSC Dec 75, 371-379

Gregorian, IEEE Proc. Aug 83, 941-986

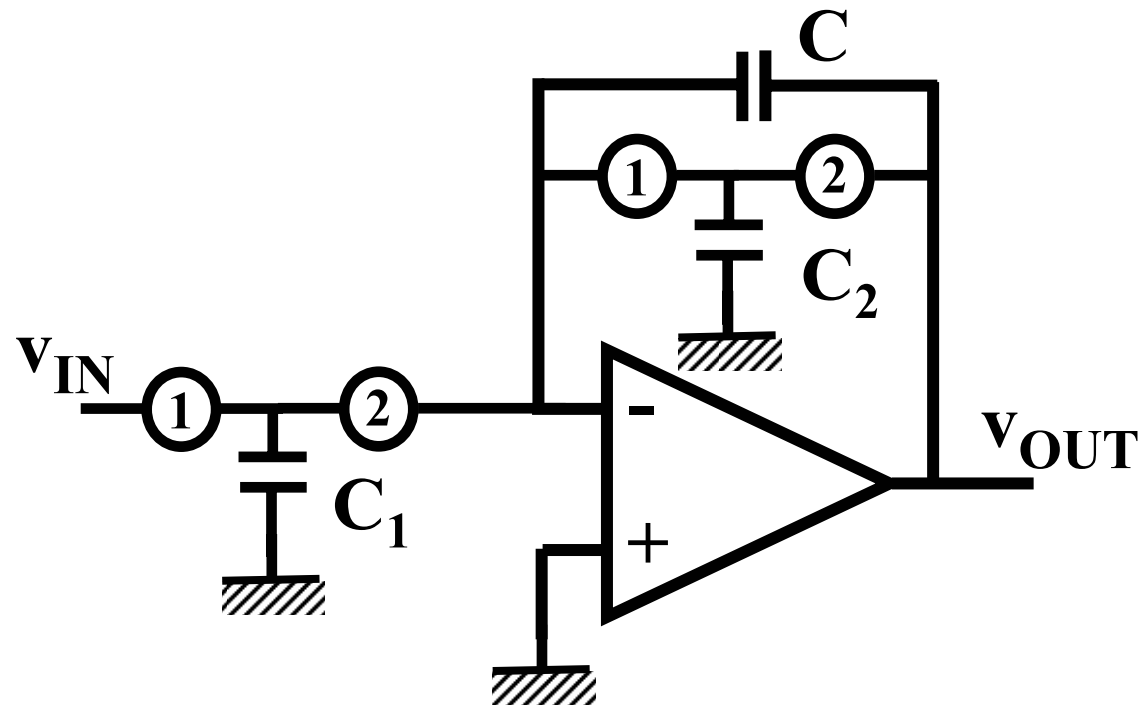
# Loss-less Integrators



---

# Low-pass filter of 1st order

---



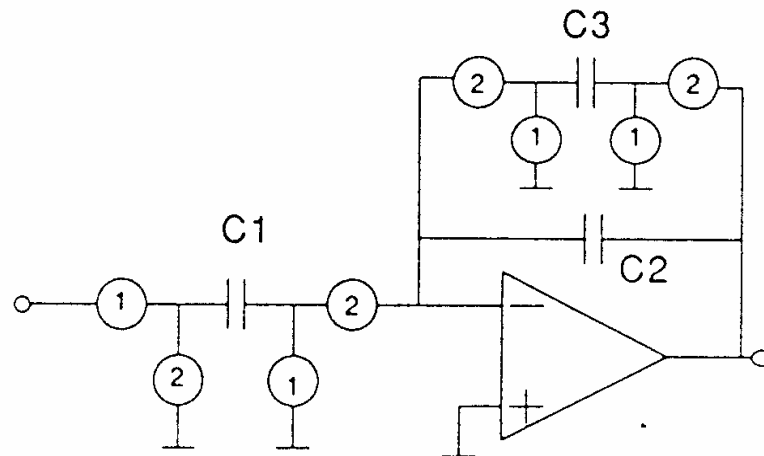
$$A_v = \frac{C_1}{C_2}$$

$$BW = \frac{f_c}{2\pi} \frac{C_2}{C}$$

**Damped because of  $R//C$  !**



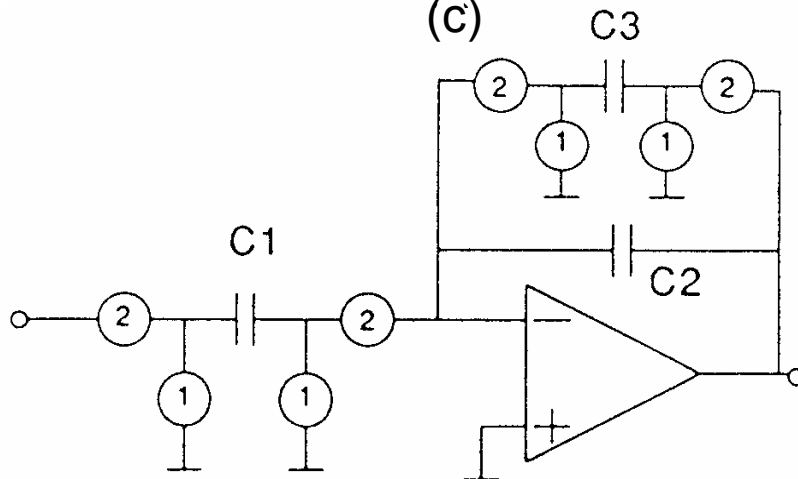
# Damped integrators



(c)

**Non-inverting  
damped integrator**

$$H(z) = \frac{z^{1/2} C_1 / C_2}{1 + C_3 / C_2 - z^{-1}}$$



(d)

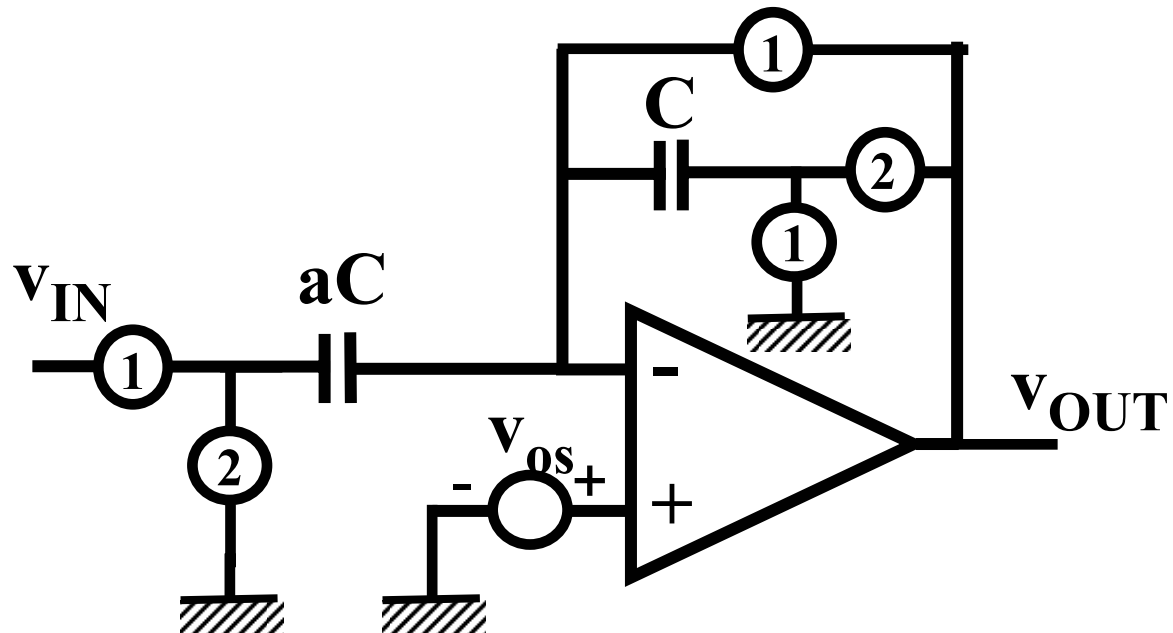
**Inverting  
Damped integrator**

$$H(z) = - \frac{z^{1/2} C_1 / C_2}{1 + C_3 / C_2 - z^{-1}}$$

---

# Offset compensation

---

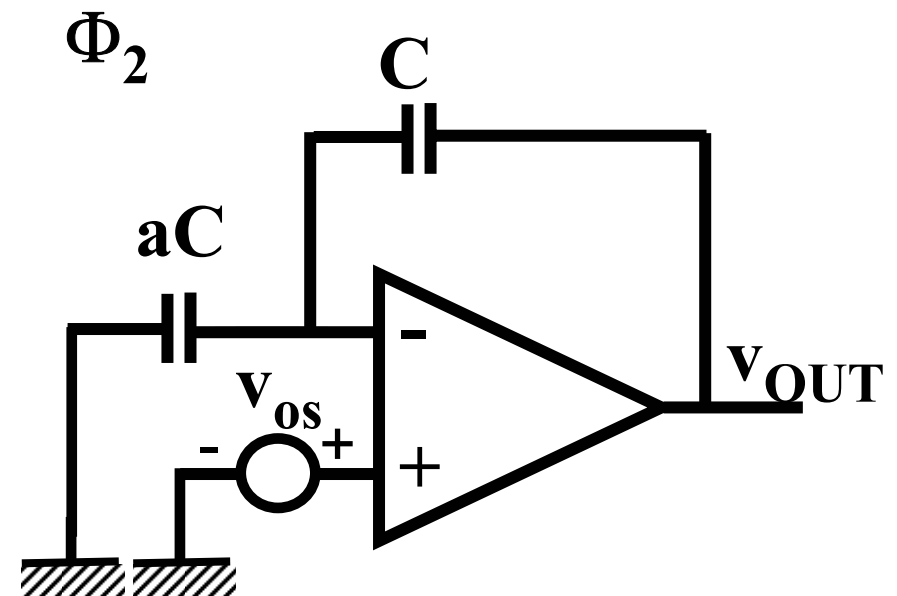
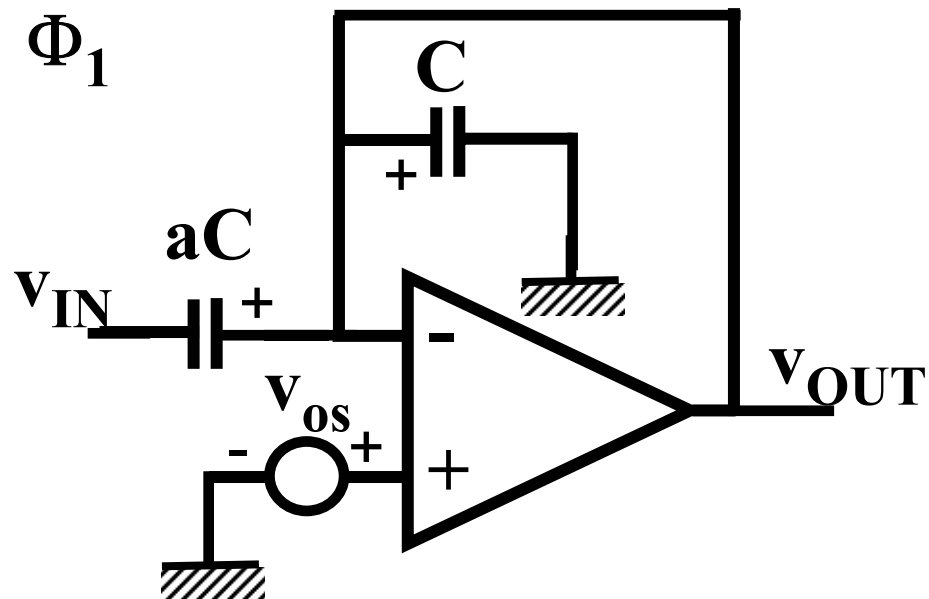


$$A_v = a z^{-1/2}$$

independent of  $v_{os}$

Gregorian, IEEE Proc. Aug 83, 941-986

# Offset compensation



$$Q_{aC1} = aC (v_{os} - v_{IN}(n-1/2))$$

$$Q_{C1} = C v_{os}$$

$$Q_{aC2} = aC v_{os}$$

$$Q_{C2} = C (v_{os} - v_{OUT}(n))$$

$$Q_{aC1} + Q_{C1} = Q_{aC2} + Q_{C2} \quad \Rightarrow \quad A_v = a z^{-1/2}$$

---

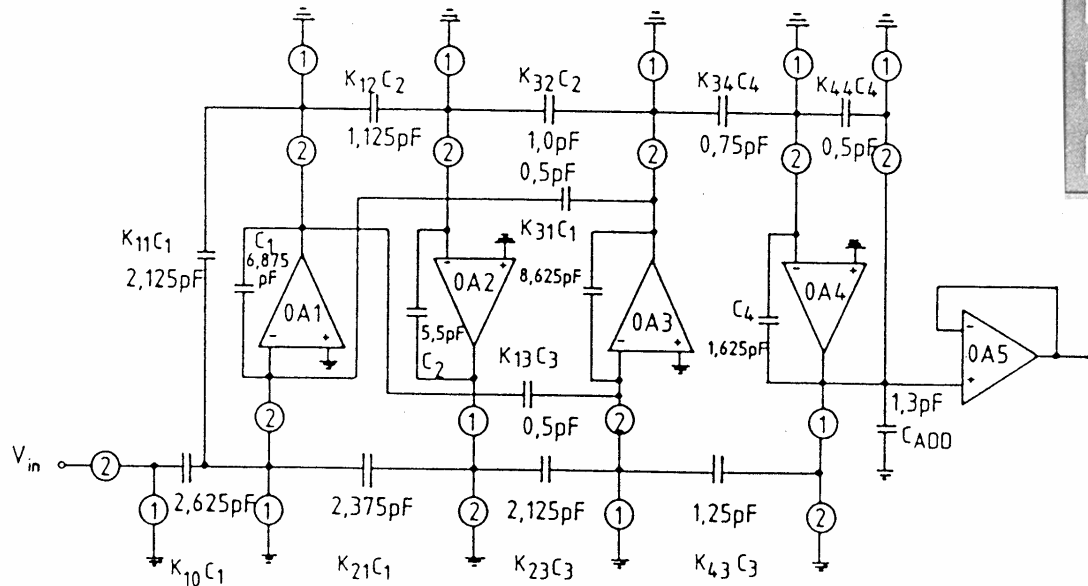
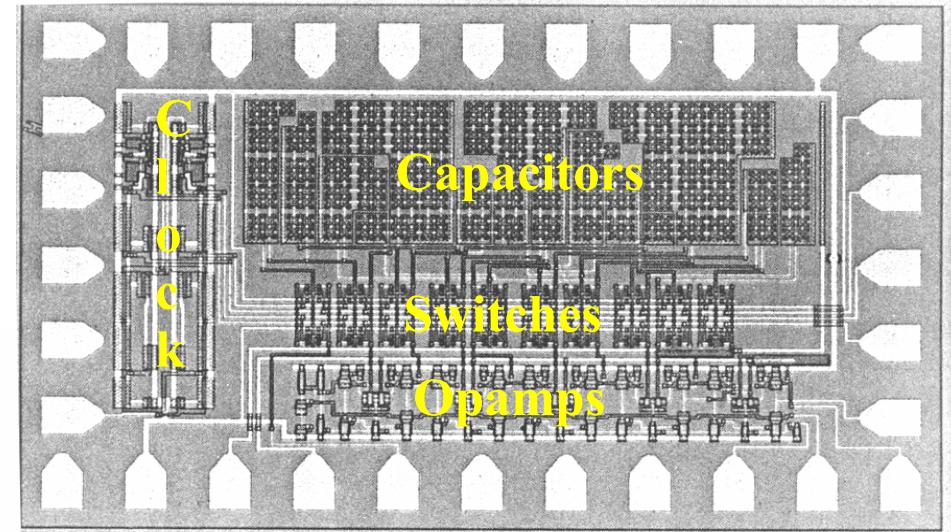
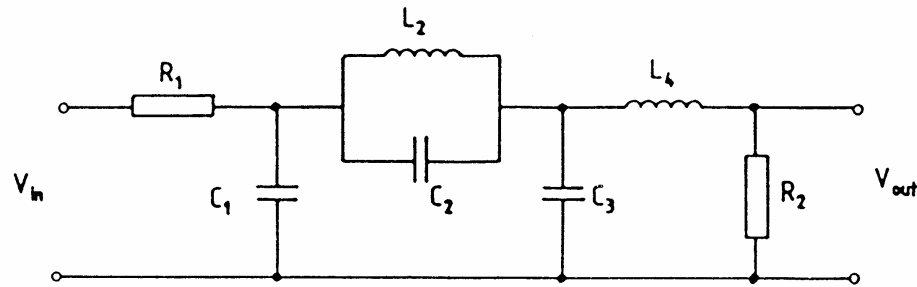
# Switched-Capacitor Filters

---

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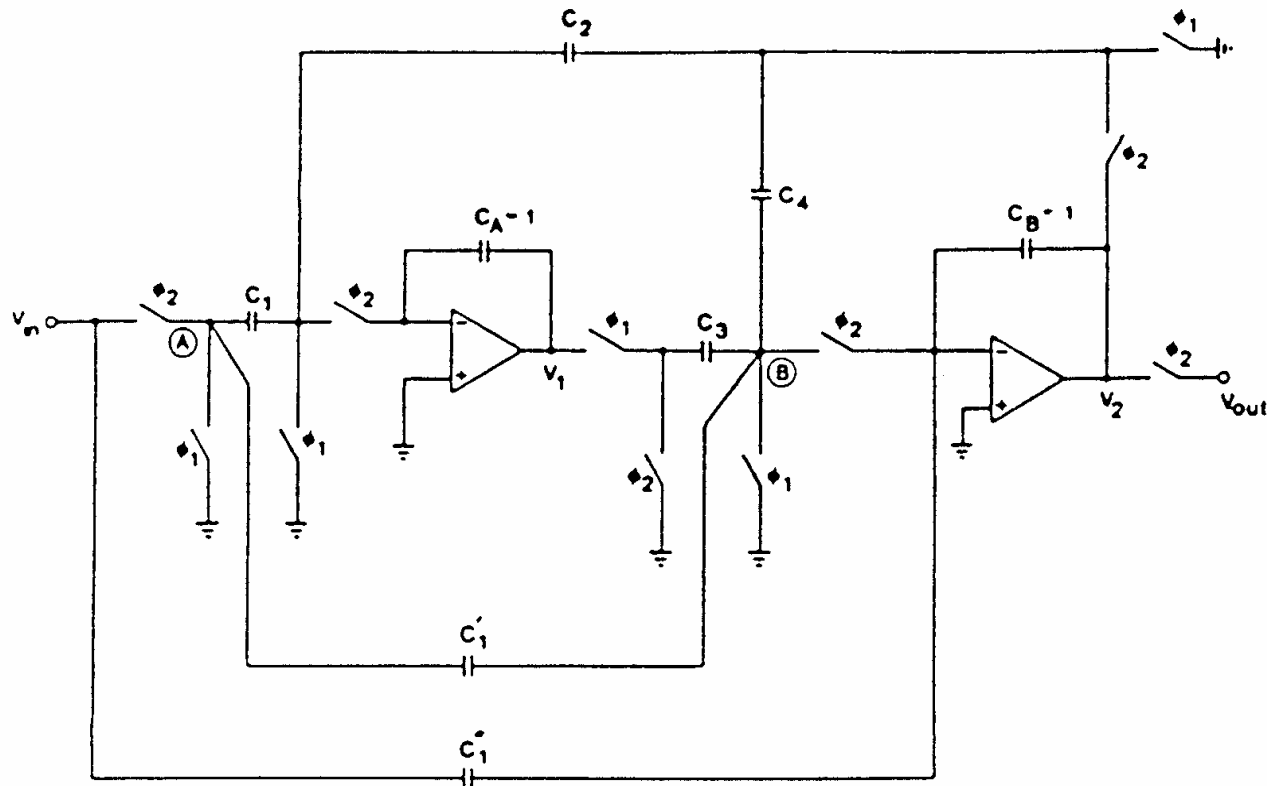
Gregorian, Temes, Analog MOS Integrated  
Circuits for Signal Processing, Wiley, 1986  
Laker, Sansen, Design of Analog Integrated  
Circuits and Systems, McGrawHill, 1994  
Johns, Martin, Analog Integrated Circuit  
Design, Wiley 1997

# 4th Order SC low-pass ladder filter



<b>Clock freq</b>	<b>100 kHz</b>
<b>Cut-off</b>	<b>5 kHz</b>
<b>Pass ripple</b>	<b>0.25dB</b>
<b>Stop reject</b>	<b>&gt;45 dB</b>
<b>Power</b>	<b>190<math>\mu</math>W (<math>\pm</math> 2.5V)</b>
<b>S/N</b>	<b>75 dB</b>
<b>Harm dist</b>	<b>0.25%</b>
<b>Area</b>	<b>0.9 mm<sup>2</sup></b>

# Biquadratic filter



$$H(z) = - \frac{a_2 z^2 + a_1 z + a_0}{b_2 z^2 + b_1 z + b_0} = - \frac{(C_1' + C_1'')z^2 + (C_1 C_3 - C_1' - 2C_1'')z + C_1''}{(1 + C_4)z^2 + (C_2 C_3 - C_4 - 2)z + 1}$$

---

# Switched-Capacitor Filters

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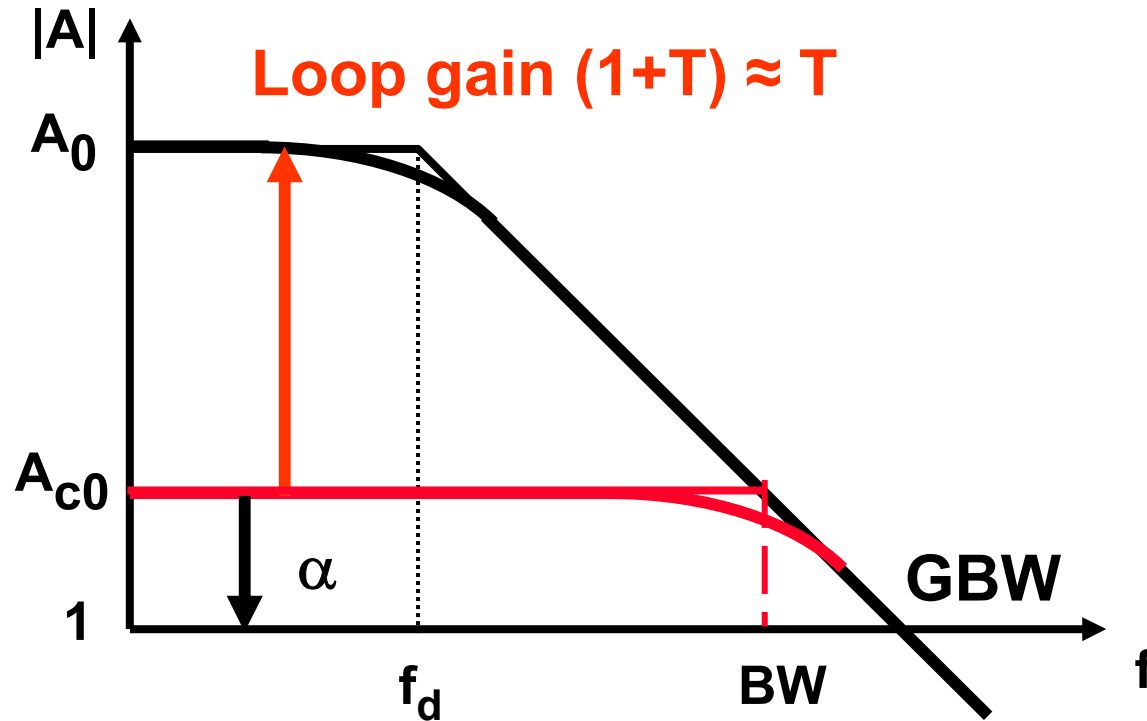
McCreary, JSSC Dec 75, 371-379

Gregorian, IEEE Proc. Aug 83, 941-986

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## Opamp parameters

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## Feedback factor $\alpha$

$$A_{c0} = 1/\alpha$$

$$T = A_0 / A_{c0} = \alpha A_0$$

$$\text{GBW} = \frac{g_m}{2\pi C_{\text{eff}}}$$

$$BW = \alpha \text{ GBW}$$



---

# Static error

---

$$V_{out, t = \infty} = -\frac{A_o.V_{step}}{1 + \alpha.A_o}$$

$$\varepsilon_s = \frac{V_{step} / \alpha - V_{out}}{V_{step} / \alpha} = 1 - \frac{A_o}{1 + A_o.\alpha} \approx \frac{1}{\alpha.A_o}$$

**Minimum Gain**

$$A_o > \frac{1}{\alpha.\varepsilon_s}$$

$$\varepsilon = 0.05\%$$



$$A_0 \approx 1-10k$$
$$\approx 60-80 \text{ dB}$$

---

## Dynamic error

---

$$\mathcal{E}_D = \text{EXP}\left(-\frac{\alpha \cdot gm \cdot ts}{C_{L,ef}}\right)$$

$$GBW = \frac{gm}{2\pi C_{L,ef}}$$

$$\mathcal{E}_D = \text{EXP}(-\alpha \cdot 2\pi \cdot GBW \cdot ts)$$
$$ts = \frac{1}{2f_c}$$

$$GBW = \frac{1}{\alpha \cdot 2\pi \cdot ts} \ln\left(\frac{1}{\mathcal{E}_D}\right) = \frac{2f_c}{2\pi \cdot \alpha} \ln\left(\frac{1}{\mathcal{E}_D}\right)$$

**Minimum GBW:**  $GBW > \frac{f_c}{\pi \cdot \alpha} \ln\left(\frac{1}{\mathcal{E}_D}\right)$

$\varepsilon = 0.05\%$   
 $\Downarrow$   
 $GBW \approx 2-3 \cdot f_c$

---

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McCreary, JSSC Dec 75, 371-379

Gregorian, IEEE Proc. Aug 83, 941-986

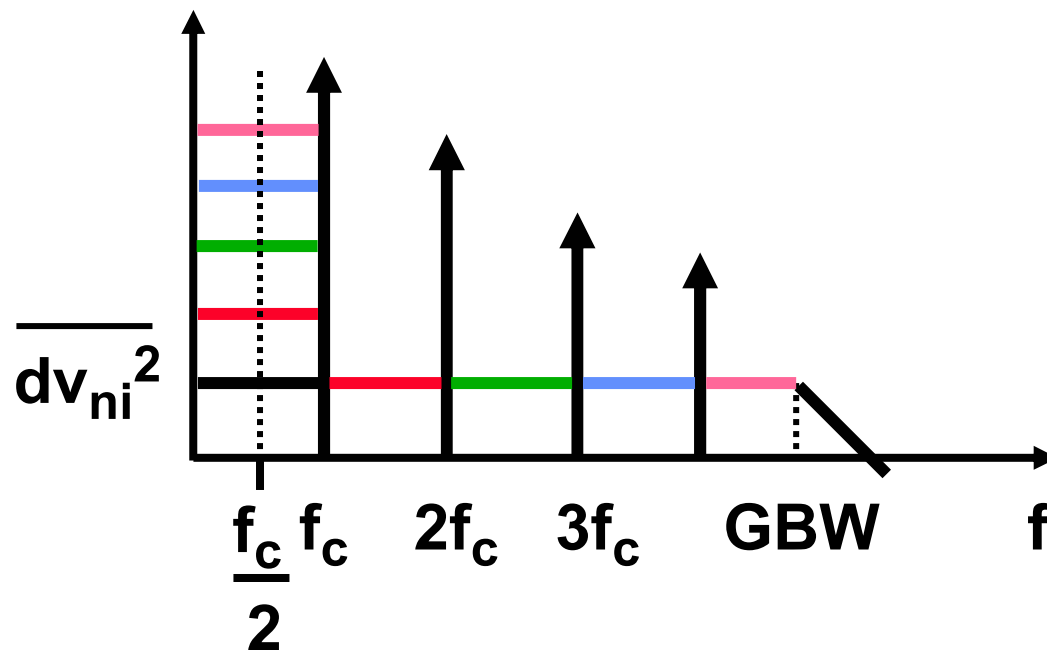
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## kT/C versus kTR noise

---

Narrow-band noise  $\gg$  noise density :  $\overline{dv_{ni}^2} = 4kT R df$

Wide-band noise  $\gg$  integrated noise :  $\overline{v_{ni}^2} = \frac{kT}{C}$



$$\overline{v_{ni}^2} = \frac{kT}{C} \frac{GBW}{f_c/2}$$

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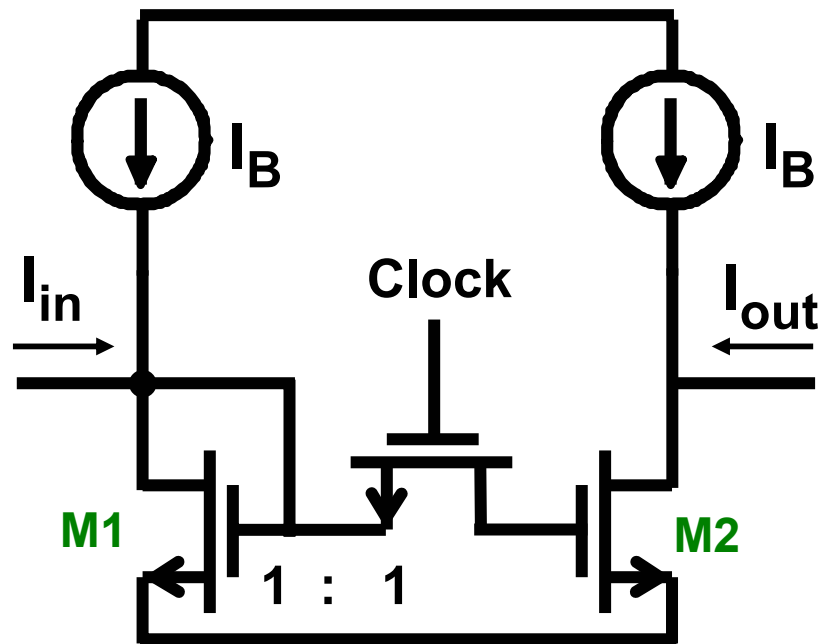
McCreary, JSSC Dec 75, 371-379

Gregorian, IEEE Proc. Aug 83, 941-986

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# Switched-current delay block

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Switch closed : track  $V_{GS}$

$$I_{out} = I_{in}$$

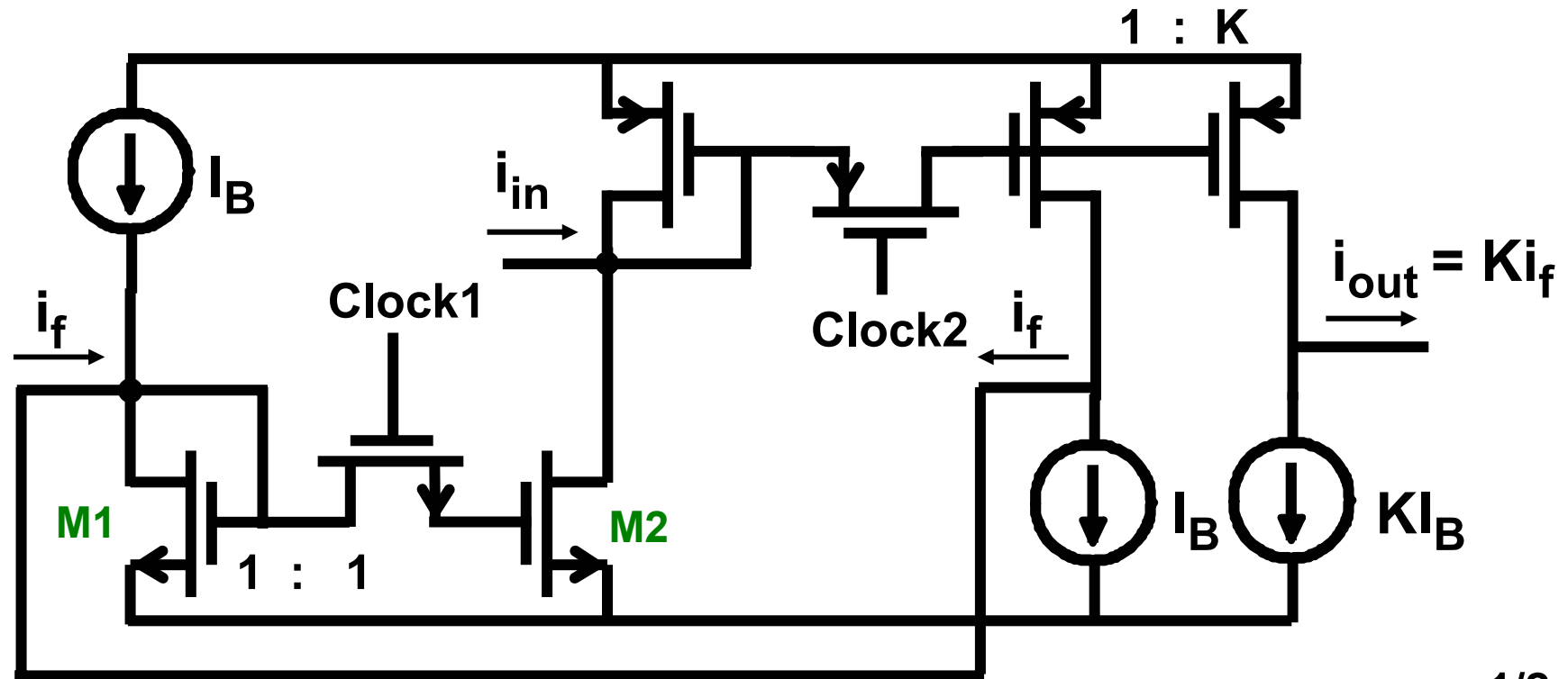
Switch open : hold  $V_{GS}$

$$I_{out} = I_{in} (\Delta T_c)$$

$$I_{out} = I_{in} z^{-1/2}$$

Ref. Zele JSSC Feb. 96, 157- 168

# Switched-current low-pass filter

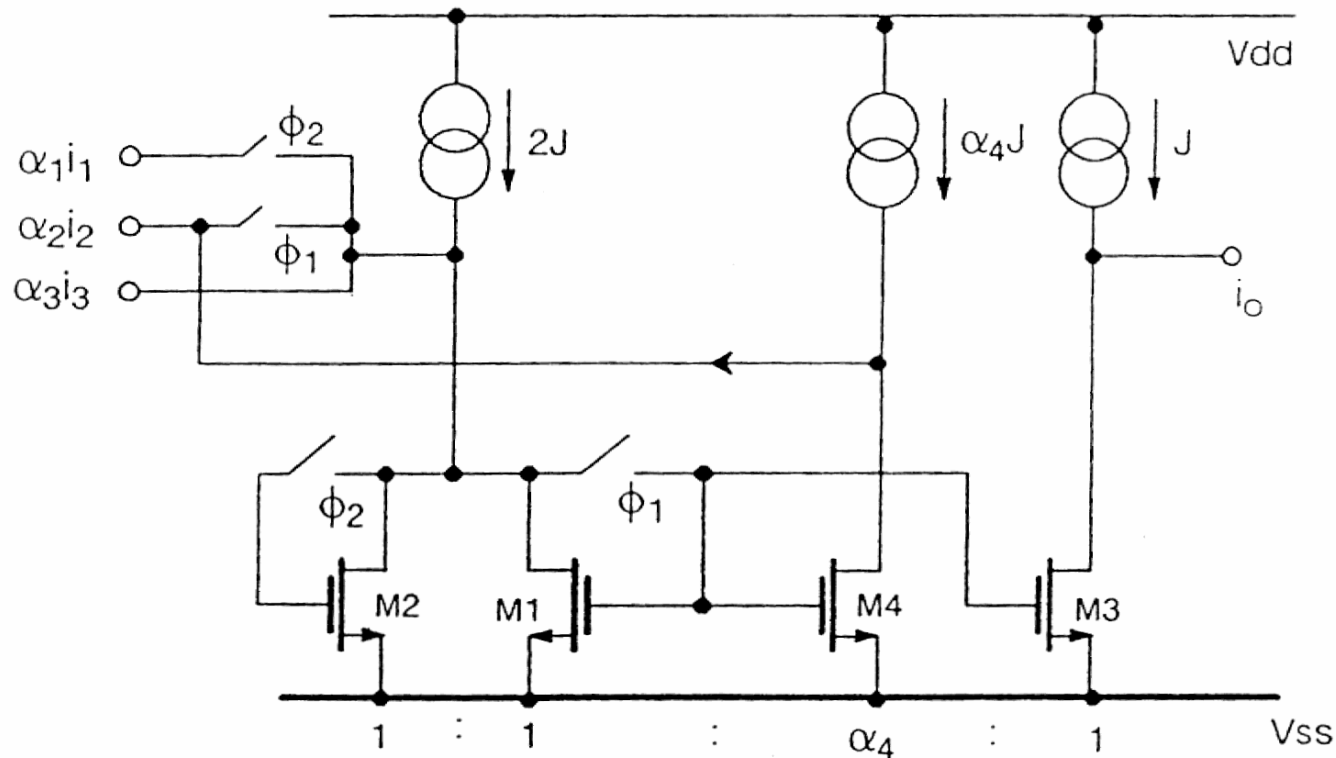


$$i_f = i_f z^{-1} - i_{in} z^{-1/2}$$

$$\frac{i_{out}}{i_{in}} = \frac{K z^{-1/2}}{1 - z^{-1}}$$

Ref. Zele JSSC Feb. 96, 157- 168

## 2nd-generation switched-current filter



$$A_1 = \frac{\alpha_1}{1 + \alpha_4}$$

$$A_2 = \frac{\alpha_2}{1 + \alpha_4}$$

$$A_3 = \frac{\alpha_3}{1 + \alpha_4}$$

$$\mathbf{B} = \frac{1}{1 + \alpha_4}$$

$$i_o(z) = \frac{A_1 z^{-1}}{1 - Bz^{-1}} i_1(z) - \frac{A_2 z^{-1}}{1 - Bz^{-1}} i_2(z) - \frac{A_3 (1 - z^{-1})}{1 - Bz^{-1}} i_3(z)$$



---

# Comparison SC - SI

---

**SC**

**SI**

**Signal : Voltage**

**Current**

**Charge on linear C**

**Charge on MOST  $C_{GS}$**

$$Q = C V$$

$$Q = I t$$

**Accuracy : Capacitor ratio**

**MOST area ratio**

**0.2 %**

**2 %**

**Amps : Opamps**

**Current mirrors**

**S/N+D 70 dB**

**50 dB**

---

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Gregorian, IEEE Proc. Aug 83, 941-986