



Formation

# Introduction au Deep Learning

Séquence 13

“Generative Adversarial Networks (GAN)...  
Please draw me a sheep ! ”



FIDLE



UGA  
Université  
Grenoble Alpes



MIAI  
Grenoble Alpes  
EFELIA

GENCI

ANITI  
DEVLOG

ResInfo Sapi SIMAP IDRIS

v2.15



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# Introduction au Deep Learning

<https://fidle.cnrs.fr>

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-  Course materials (pdf)
-  Practical work environment
-  Corrected notebooks
-  Videos (YouTube)



(\*) Procedure via Docket or pip  
Remember to get the latest version !



# Formation Introduction au Deep Learning

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The screenshot shows the FIDLE website. On the left, the homepage features a large banner with the text "L'IA accessible !" and "Découvrez le Deep Learning en 20 séquences :-) 100% Libre 100% Gratuit 3 parcours, de novembre à mai, de la découverte à l'Expertise !". It includes two buttons: "En savoir plus" and "What's up ?". On the right, a mobile view of the course page for "Introduction au Deep Learning" is shown, featuring the FIDLE logo, the course title, and the tagline "Make intelligence great again :-)". The mobile view also includes navigation links for "A propos", "MIAI", "UGA", "CNRS", and "Mentions légales". A red starburst badge with the word "New!" is overlaid on the mobile view.



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# Introduction au Deep Learning

Questions and answers :

<https://fidle.cnrs.fr/q2a>



**Accompanied by :**

IA Support (dream) Team of IDRIS

**Directed by :**

Agathe, Baptiste et Yanis - UGA/DAPI  
Thibaut, Kamel - IDRIS



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# Introduction au Deep Learning

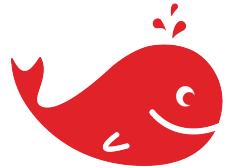


<https://fidle.cnrs.fr/listeinfo>  
Fidle information list

**Agoria**

<http://fidle.cnrs.fr/agoria>  
AI exchange list

[agoria@grenoble.cnrs.fr](mailto:agoria@grenoble.cnrs.fr)



FIDLE

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# Introduction au Deep Learning



<https://listes.services.cnrs.fr/wws/info/devlog>  
List of ESR\* « Software developers » group



GROUPECALCUL

<https://listes.math.cnrs.fr/wws/info/calcul>  
List of ESR\* « Calcul » group

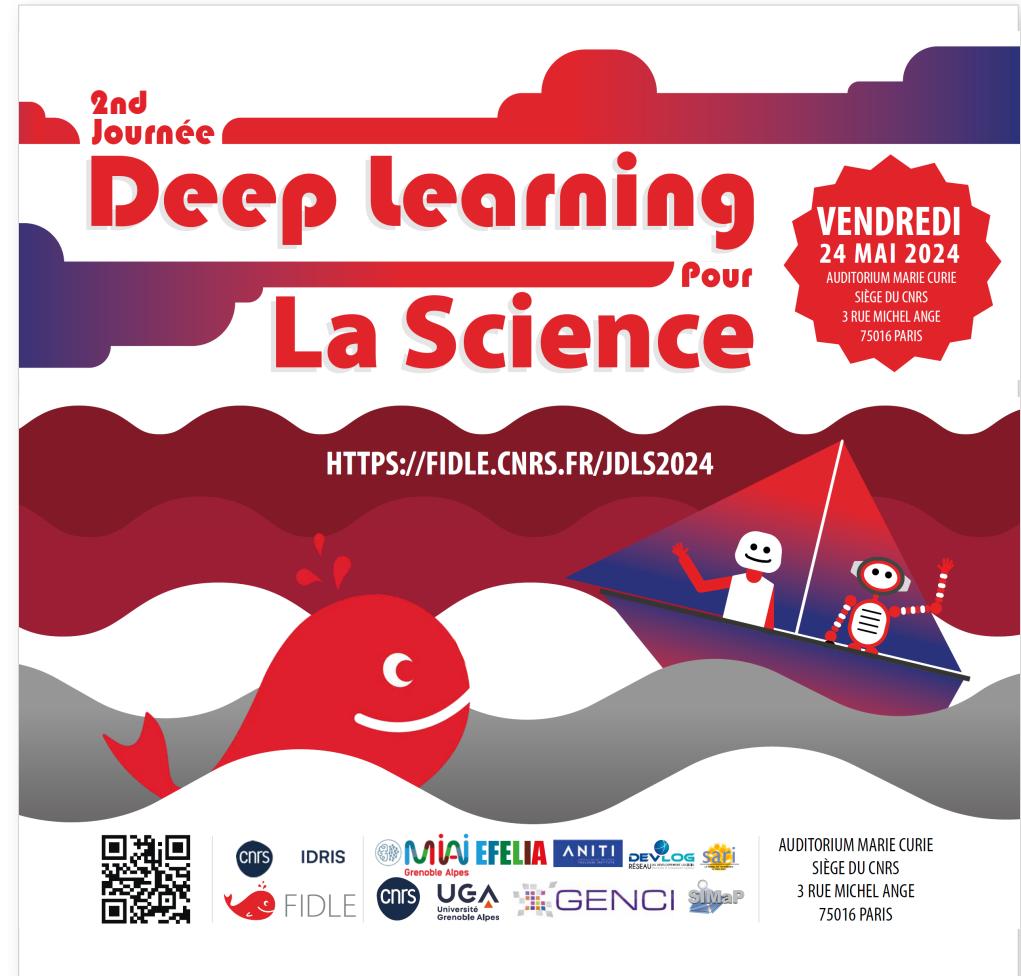
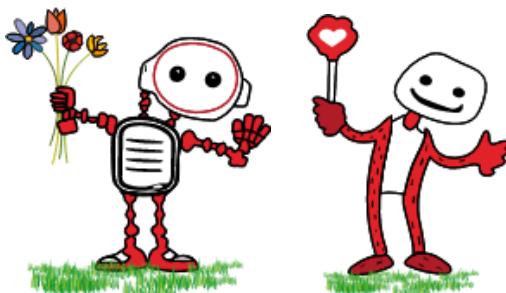
# Save the dates !



## Magazine de l'actualité IA

Chaine Youtube de l'IDRIS

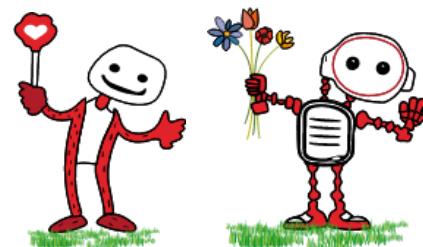
→ Vendredi 5 avril



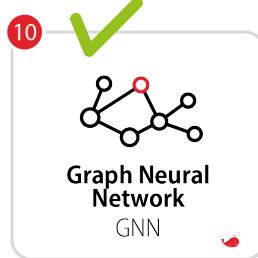
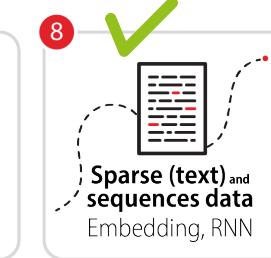
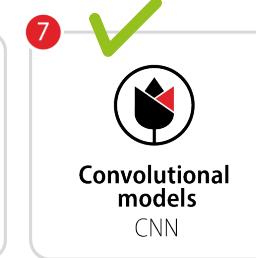
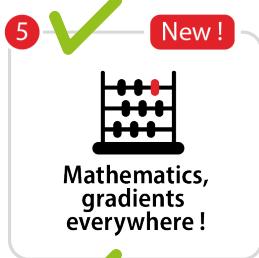
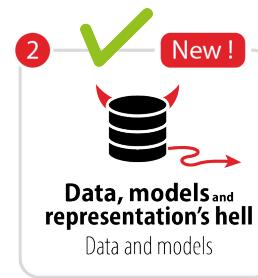
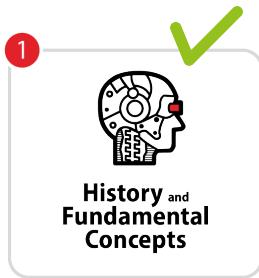
→ Vendredi 24 mai, Paris Michel Ange



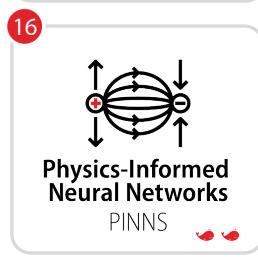
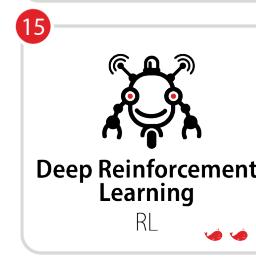
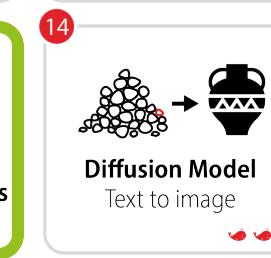
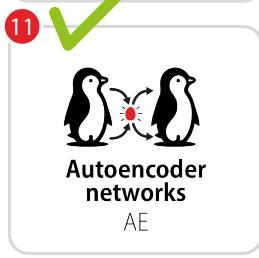
# Présentations « Flash » et/ou posters ?



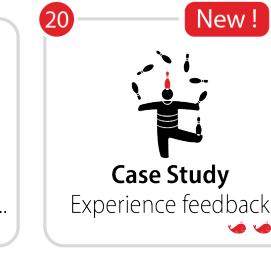
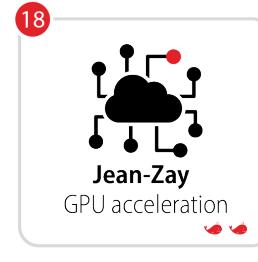
## Bases, Concepts et Enjeux



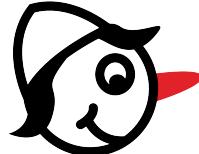
## L'IA comme un outil,



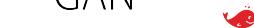
## Acteur de l'IA



13



Generative  
Adversarial Networks  
GAN



1

## About GAN

- Principles
- Training

2

## Example 1

- Draw me a sheep !

3

## From GANs to \*GANs

- Wasserstein and Earth Moving Distance
- From GAN to WGAN
- From WGAN to WGAN-GP

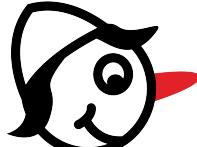
4

## Example 2

- Again, but with a WGAN-GP :-)



13



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GAN



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## About GAN

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## From GANs to \*GANs

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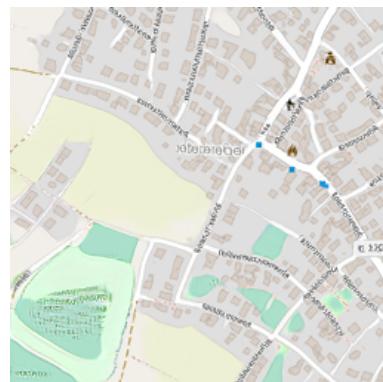
4

## Example 2

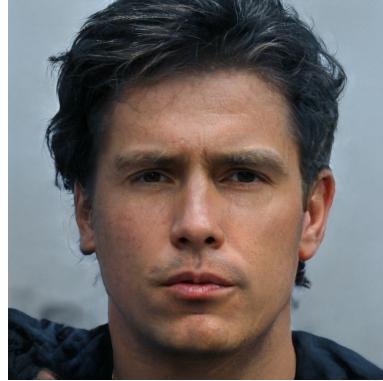
- Again, but with a WGAN-GP :-)



# About GAN



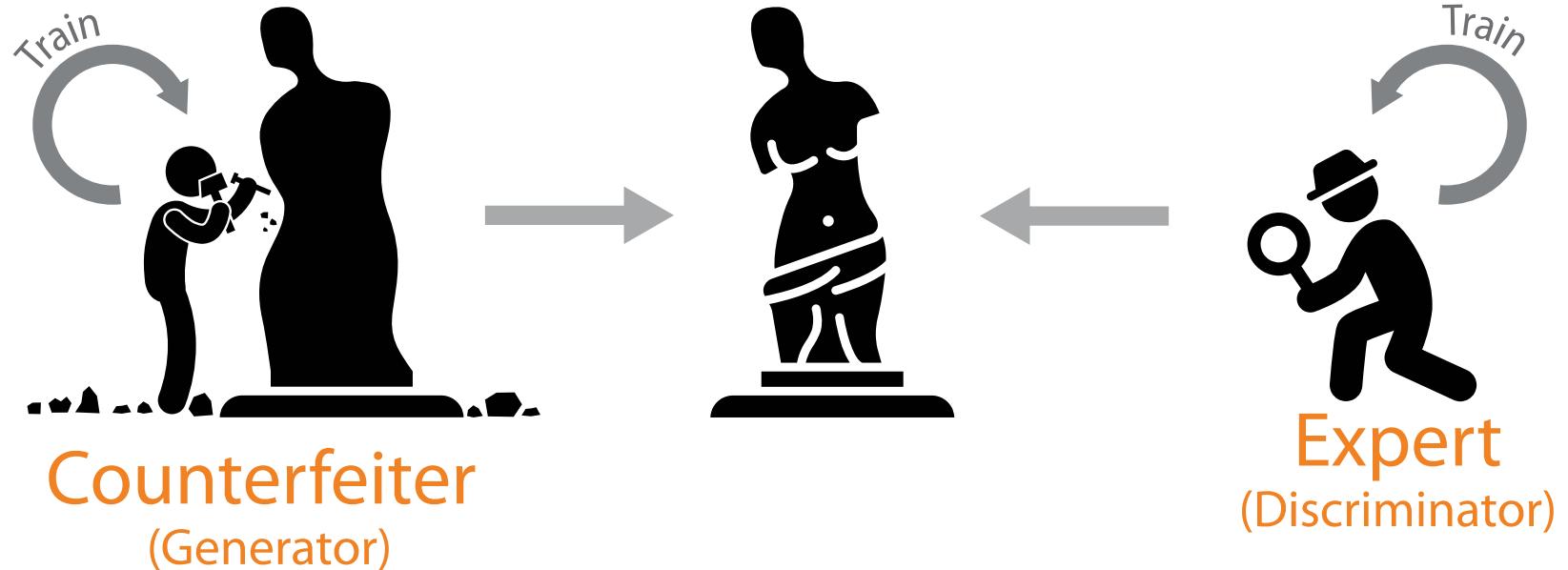
# Which Face Is Real ?



<https://www.whichfaceisreal.com>

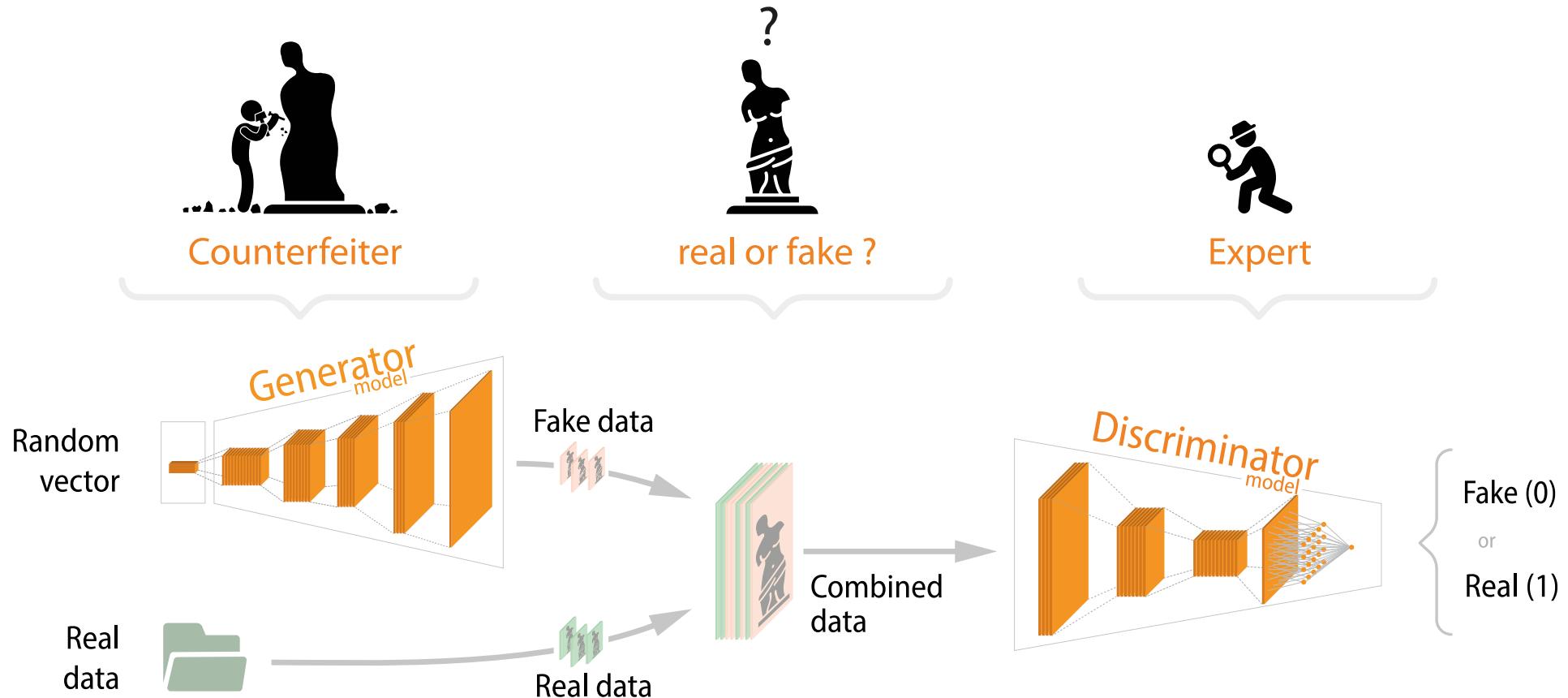
Sophie J. Nightingale and Hany Farid, « AI-synthesized faces are indistinguishable from real faces and more trustworthy », PNAS, <https://doi.org/10.1073/pnas.2120481119>, February 2022

{ « (...) synthetically generated faces are more trustworthy than real faces. This may be because synthesized faces tend to look more like average faces which themselves are deemed more trustworthy. (...) »

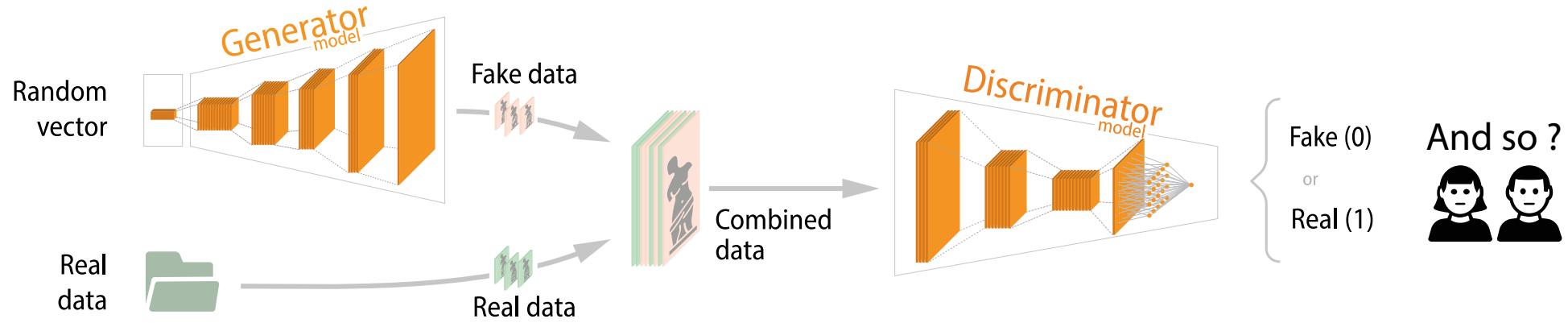


Ian J. Goodfellow, Jean Pouget-Abadie, Mehdi Mirza, Bing Xu, David Warde-Farley, Sherjil Ozair, Aaron Courville et Yoshua Bengio, « Generative Adversarial Networks », in Advances in Neural Information Processing Systems 27, 2014  
<https://arxiv.org/abs/1406.2661>

# Principle



# How to train a GAN ?



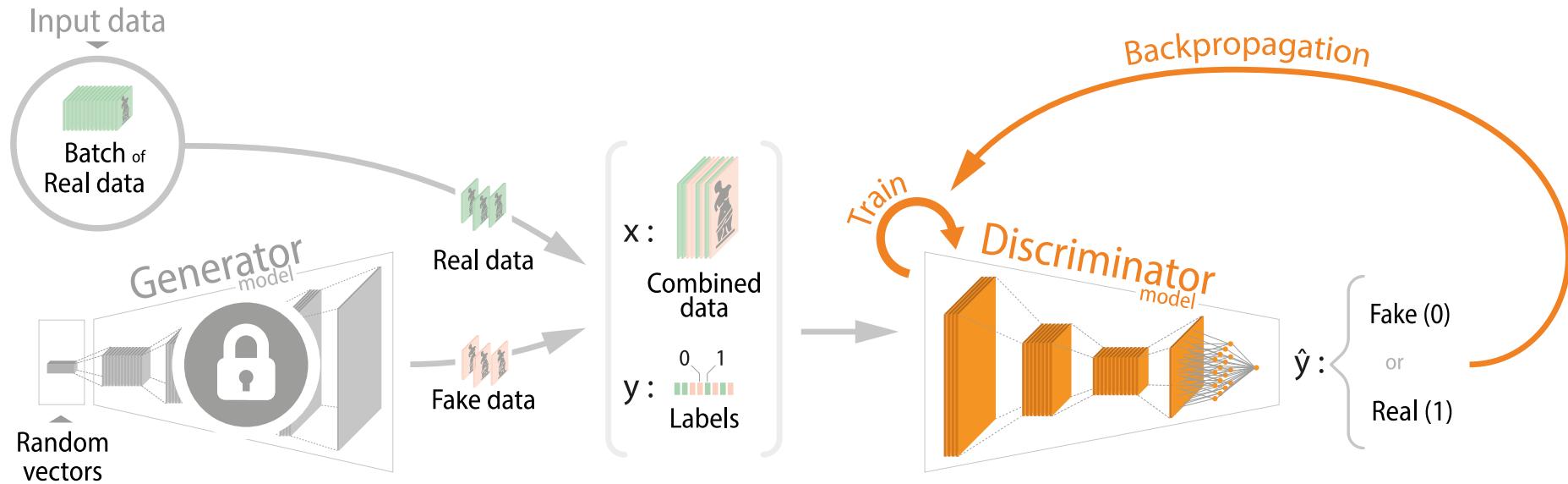
A two-step learning process will be necessary :

- Step 1 - Update Discriminator
- Step 2 - Update Generator

n epochs

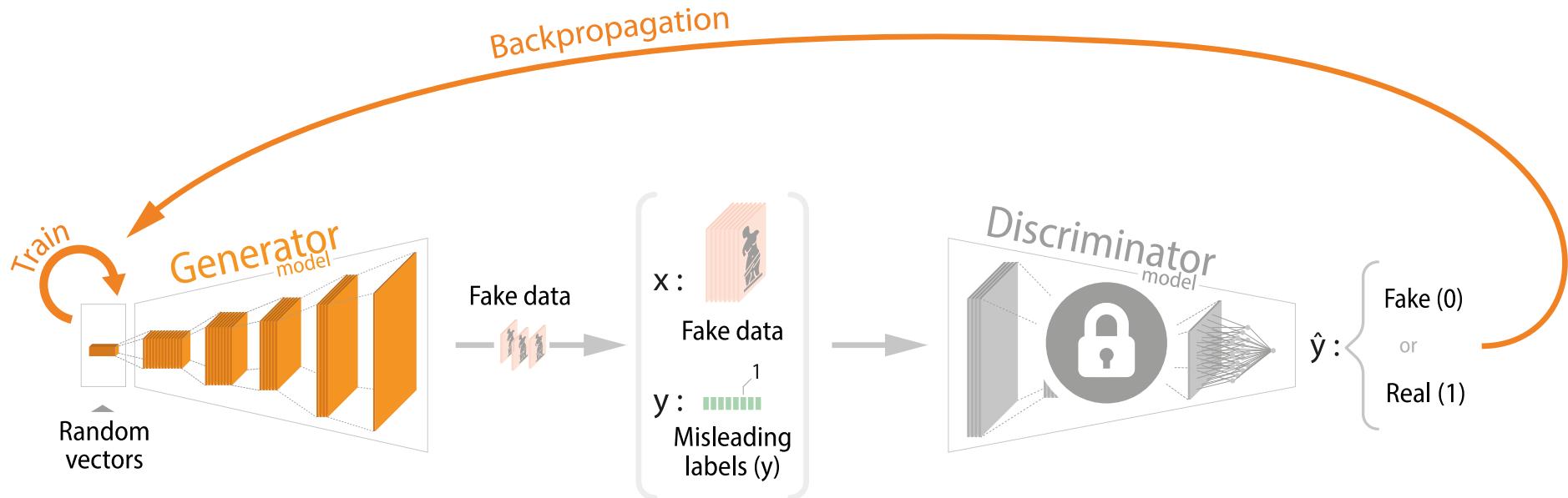
## Step1 - Update Discriminator

Generator is locked

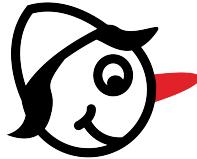


## Step2 - Update Generator

Discriminator is locked



13



Generative  
Adversarial Networks  
GAN



## 1 About GAN

- Principles
- Training

## 2 Example 1

- Draw me a sheep !

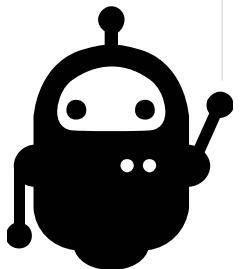
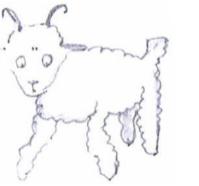
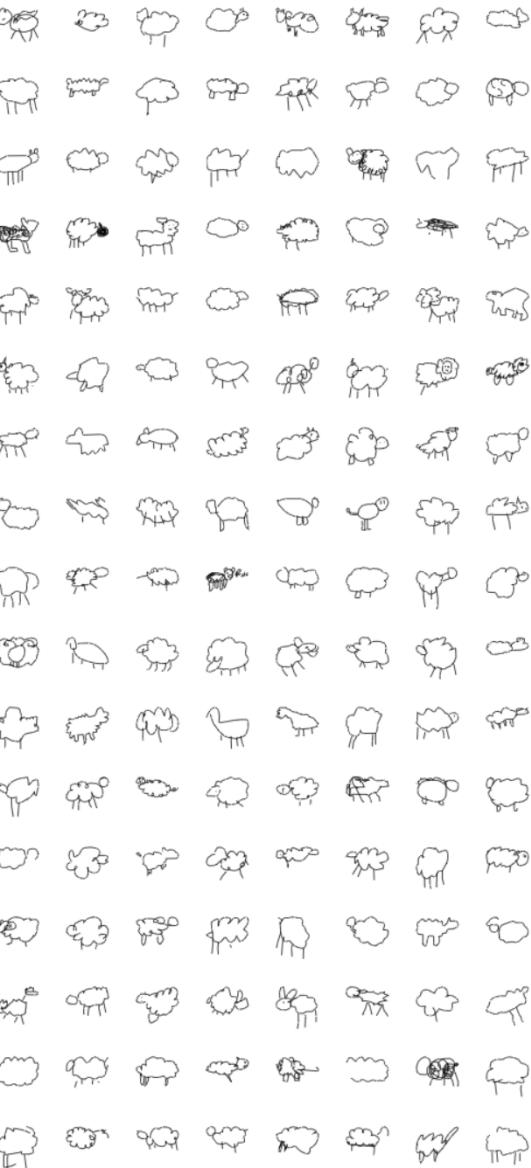
## 3 From GANs to \*GANs

- Wasserstein and Earth Moving Distance
- From GAN to WGAN
- From WGAN to WGAN-GP

## 4 Example 2

- Again, but with a WGAN-GP :-)







6m on a V100 !  
(10 epochs)

Import  
and init

START

1

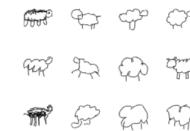
Parameters

```
latent_dim = 128
Scale      = 0.01
```

Objectives :

Learn to  
draw  
sheep !

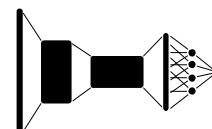
Load dataset  
& have a look !



Create  
Generator

5

Create  
Discriminator



Build, compile  
& train

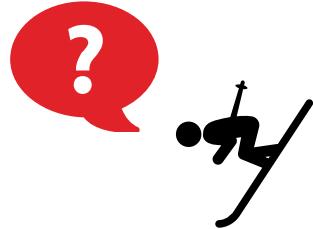


History

7

Drawing new  
sheep !





Fine, but...  
How to calculate a  
gradient with Keras 3  
and **PyTorch** ?

```
import numpy as np

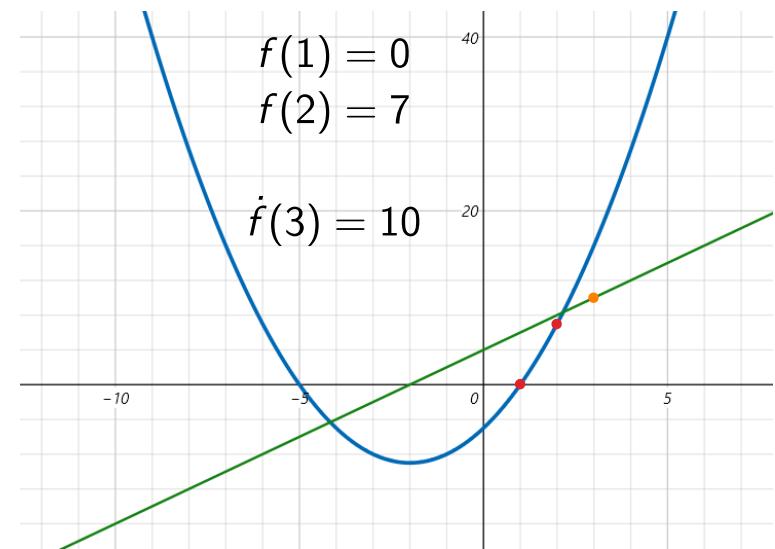
# ---- My function f
def f(x):
    y = x**2 + 4*x - 5
    return y

# ---- Examples :
print('f(1) is : ', f(1))
print('f(2) is : ', f(2))
```

f(1) is : 0  
f(2) is : 7

$$\left\{ \begin{array}{l} f(x) = x^2 + 4x - 5 \\ \dot{f}(x) = \frac{\delta f}{\delta x} = 2x + 4 \end{array} \right.$$

We have :



## Gradients using PyTorch

```
Import torch
```

```
x = torch.tensor(3.0, requires_grad = True)  
print("x:", x)
```

```
x: tensor(3., requires_grad=True)
```

```
y = x*x + 4*x + - 5
```

```
y.backward()
```

```
dx=x.grad  
print('dx=' ,dx)
```

```
dx= tensor(10.)
```

$$\left\{ \begin{array}{l} f(x) = x^2 + 4x - 5 \\ \dot{f}(x) = \frac{\delta f}{\delta x} = 2x + 4 \end{array} \right.$$

We have :

$$\begin{aligned} f(1) &= 0 \\ f(2) &= 7 \end{aligned}$$

$$\dot{f}(3) = 10$$



6m on a V100 !  
(10 epochs)

Import  
and init

START

1

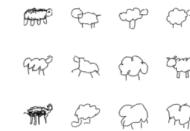
Parameters

```
latent_dim = 128
Scale      = 0.01
```

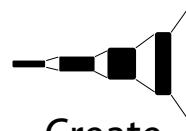
Objectives :

Learn to  
draw  
sheep !

Load dataset  
& have a look !



Create  
Generator



History



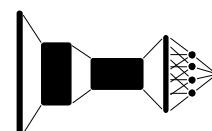
Drawing new  
sheep !



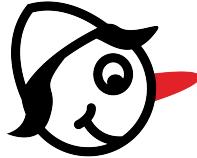
Build, compile  
& train



Create  
Discriminator



13



Generative  
Adversarial Networks  
GAN



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## From GANs to \*GANs

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## Example 2

- Again, but with a WGAN-GP :-)



Categorical crossentropy :  $H(y, \hat{y}) = -\frac{1}{n_{\text{obs}}} \sum_{i=1}^{n_{\text{obs}}} \sum_{c=1}^{n_{\text{class}}} y_c \cdot \log \hat{y}_c$

$$L_D = \frac{1}{m} \sum_{i=1}^m \left[ \log(D(x^{(i)})) + \log(1 - D(G(z^{(i)}))) \right]$$

$$L_G = \frac{1}{m} \sum_{i=1}^m \left[ \log(1 - D(G(z^{(i)}))) \right]$$

GAN loss functions

 $x^{(i)}$ 

is a real data from a set of m values

 $z^{(i)}$ 

is a latent vector from a set of m values

 $D(x)$ Output of the discriminator for a real image x  
ie : probability that a real image is considered as real. $G(z^{(i)})$ Output of the generator from an input z, from latent space  
so,  $G(z)$  look like an X image, but is really fake... $D(G(z^{(i)}))$ Output of the discriminator for a fake image.  
ie: probability that a fake image is considered as real.

Discriminator will try to **maximize**  $L_D$  :

$\forall x \in X, D(x) \approx 1$

$\forall z \in Z, D(G(z)) \approx 0$

Generator will try to **minimize**  $L_G$  :

$\forall z \in Z, D(G(z)) \approx 1$

Where :  $\begin{cases} \text{fake} : 0 \\ \text{real} : 1 \end{cases}$

Categorical crossentropy :  $H(y, \hat{y}) = -\frac{1}{n_{\text{obs}}} \sum_{i=1}^{n_{\text{obs}}} \sum_{c=1}^{n_{\text{class}}} y_c \cdot \log \hat{y}_c$

$$L_D = \frac{1}{m} \sum_{i=1}^m \left[ \log(D(x^{(i)})) + \log(1 - D(G(z^{(i)}))) \right]$$

$$L_G = \frac{1}{m} \sum_{i=1}^m \left[ \log(1 - D(G(z^{(i)}))) \right]$$

GAN loss functions

**Limitations :**

Gradient vanishing problem.  
Risk of blocking in the early stages of  
GAN learning, when the discriminator  
work is very easy.

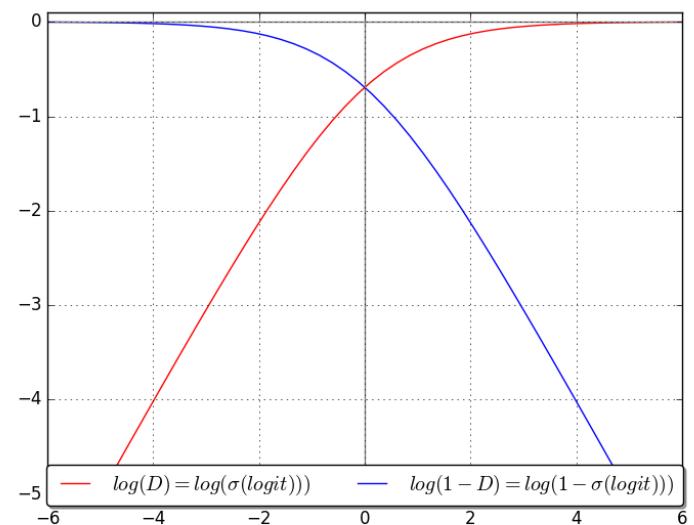


Figure 6: Illustration of vanishing gradient in the negative quadrant when using the original loss formulation  $\log(1-D)$  (blue curve). The x-axis is D's logit (output of last layer before sigmoid activation). Minimising  $\log(1-D)$  yields the same solution as maximising  $\log(D)$  (red curve) but the red curve exhibits stronger gradients.

<https://developer.nvidia.com/blog/photo-editing-generative-adversarial-networks-1/>

→ Proposition of a more theoretical approach to the learning strategy [1]:



What is the best way to measure the distance between two distributions ?

Optimization problem [2]

Total Variation distance  
Kullback-Leibler divergence  
Jensen-Shannon divergence  
**Earth-Mover Distance 2] / Wasserstein-1**

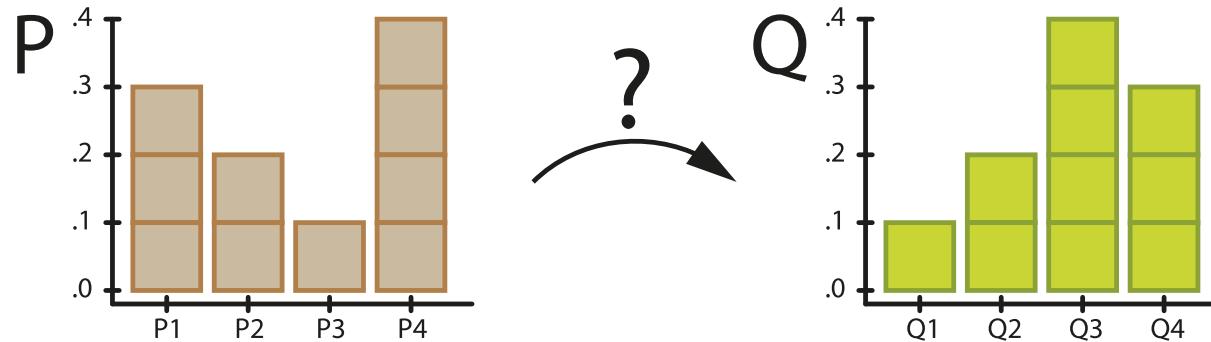
Distance  
du terrassier

[1] Martin Arjovsky, Soumith Chintala, Léon Bottou, « Wasserstein GAN »,  
<https://arxiv.org/abs/1701.07875>, 2017

[2] Cédric Villani, « Optimal transport, old and new », Springer, 2008  
[https://cedricvillani.org/sites/dev/files/old\\_images/2012/08/preprint-1.pdf](https://cedricvillani.org/sites/dev/files/old_images/2012/08/preprint-1.pdf)  
<https://www.youtube.com/watch?v=zo46TEp6FB8>

Objective is to measuring the distance between 2 distributions

This distance can be interpreted by the minimal energy needed to move from one box distribution to another:



This energy can be calculated as:

$$W = \frac{\text{Number of boxes moved}}{\text{Moving distance of the boxes}}$$

We can write :

$$W = \sum_i^{\text{class}} |\delta_i|$$

with  $\begin{cases} \delta_0 = 0 \\ \delta_{i+1} = \delta_i + P_i - Q_i \end{cases}$

$$\delta_0 = 0$$

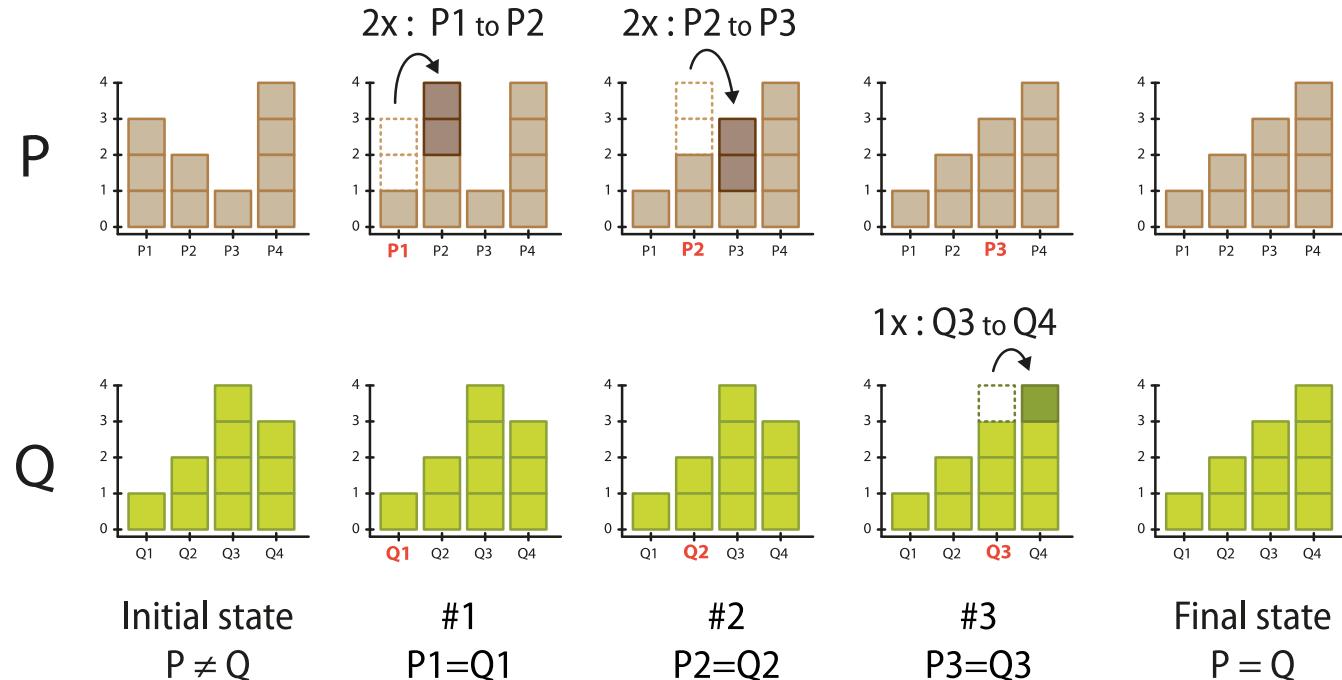
$$\delta_1 = 0 + 3 - 1 = 2$$

$$\delta_2 = 2 + 2 - 2 = 2$$

$$\delta_3 = 2 + 1 - 4 = -1$$

$$\delta_4 = -1 + 4 - 3 = 0$$

$$W = \sum_{i=1}^4 |\delta_i| = 5$$



With a continuous probability domain,  
the formula can be written :

$$\text{EMD}(P_r, P_g) = \inf_{\gamma \in \Pi(P_r, P_g)} \sum_{x,y} \gamma(x, y) \|x - y\| = \inf_{\gamma \in \Pi} \mathbb{E}_{(x,y) \sim \gamma} \|x - y\|$$

Earth Mover Distance

With :  $\Pi(P_r, P_g)$  Set of all possible joint probability distributions between  $P_r$  and  $P_g$

$\gamma(x, y)$  Percentage of « sand » that must be transported from point  $x$  to point  $y$  so that  $x$  follows the same probability distribution as  $y$ .

$\|x - y\|$  Distance between  $x$  and  $y$

More on the subject:

Lilian Weng, « From GAN to WGAN », <https://arxiv.org/abs/1904.08994>, 2019

Ludovic Platon , « GAN : Vers une meilleure estimation des distributions » (fr),  
<https://www.aquiladata.fr/insights/gan-vers-une-meilleure-estimation-des-distributions/>

## → Wasserstein GAN :

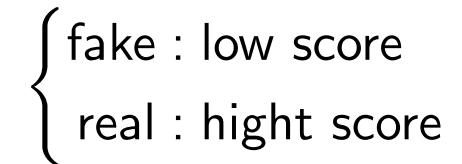
$$L_{\text{critic}} = \frac{1}{m} \sum_{i=1}^m [D(x^{(i)}) - D(G(z^{(i)}))]$$

$$L_{\text{generator}} = \frac{1}{m} \sum_{i=1}^m [D(G(z^{(i)}))] \quad \text{WGAN loss functions}$$

- $x^{(i)}$  is a real data from a set of m values
- $z^{(i)}$  is a latent vector from a set of m values
- $D(x)$  Output of the discriminator for a real image x  
ie : probability that a real image is considered as real.
- $G(z^{(i)})$  Output of the generator from an input z, from latent space  
so,  $G(z)$  look like an X image, but is really fake...
- $D(G(z^{(i)}))$  Output of the discriminator for a fake image.  
ie: probability that a fake image is considered as real.


 Critic / Discriminator try to **maximize**  $L_{\text{critic}}$   
 $\forall x \in X, \forall z \in Z$   
 $D(x) \gg D(G(z))$

**Generator**  
 try to **maximize**  $L_{\text{generator}}$

Note : 
 fake : low score  
 real : hight score

## → Wasserstein GAN :

$$L_{\text{critic}} = \frac{1}{m} \sum_{i=1}^m [D(x^{(i)}) - D(G(z^{(i)}))]$$

$$L_{\text{generator}} = \frac{1}{m} \sum_{i=1}^m [D(G(z^{(i)}))] \quad \text{WGAN loss functions}$$

Critic / Discriminator try to **maximize**  $L_{\text{critic}}$   
 $\forall x \in X, \forall z \in Z$   
 $D(x) \gg D(G(z))$

Generator try to **maximize**  $L_{\text{generator}}$



According to the authors, the discriminator should no longer be considered as a classifier but more as a critic. The output of the discriminator will be greater for true instances than for false instances.

Concretely, a critic has **no sigmoid** function at its output

Note :  $\begin{cases} \text{fake} : \text{low score} \\ \text{real} : \text{high score} \end{cases}$

k-Lipschitz function :

$$\forall (x, y) \in E^2, |f(x) - f(y)| \leq k |x - y|$$

## → Wasserstein GAN :

$$L_{\text{critic}} = \frac{1}{m} \sum_{i=1}^m [D(x^{(i)}) - D(G(z^{(i)}))]$$

$$L_{\text{generator}} = \frac{1}{m} \sum_{i=1}^m [D(G(z^{(i)}))] \quad \text{WGAN loss functions}$$

Critic / Discriminator  
 try to **maximize**  $L_{\text{critic}}$   
 $\forall x \in X, \forall z \in Z$   
 $D(x) \gg D(G(z))$

Generator  
 try to **maximize**  $L_{\text{generator}}$



The calculation of the EMD distance is complex. A simplified version is however possible if the discriminator is a **k-Lipschitz function**, implying a constraint on it.

The proposed solution is to clip the weights to contain them in a **limited interval**  $[-c, c]$ , with  $c=0.01$

Note :  $\begin{cases} \text{fake} : \text{low score} \\ \text{real} : \text{high score} \end{cases}$

## → Wasserstein GAN :

$$L_{\text{critic}} = \frac{1}{m} \sum_{i=1}^m [D(x^{(i)}) - D(G(z^{(i)}))]$$

$$L_{\text{generator}} = \frac{1}{m} \sum_{i=1}^m [D(G(z^{(i)}))] \quad \text{WGAN loss functions}$$



## Limitations :

« Weight clipping is a clearly terrible way to enforce a Lipschitz constraint (...) and we actively encourage interested researchers to improve on this method. » [2]



The calculation of the EMD distance is complex. A simplified version is however possible if the discriminator is a **k-Lipschitz function**, implying a constraint on it.

The proposed solution is to clip the weights to contain them in a limited interval  $[-c, c]$ , with  $c=0.01$

## → Wasserstein GAN with **Gradient Penalty**:

$$L_{\text{critic}} = \mathbb{E}_{\tilde{x} \sim \mathbb{P}_g} [D(\tilde{x})] - \mathbb{E}_{x \sim \mathbb{P}_r} [D(x)] + \lambda \mathbb{E}_{\hat{x} \sim \mathbb{P}_{\hat{x}}} [(\|\nabla_{\hat{x}} D(\hat{x})\|_2 - 1)^2]$$

$$L_{\text{generator}} = \frac{1}{m} \sum_{i=1}^m [D(G(z^{(i)}))]$$

Here is  
the gradient  
penalty

Critic / Discriminator  
try to **minimize**  $L_{\text{critic}}$

$$\forall x \in X, \forall z \in Z$$

$$D(x) \gg D(G(z))$$

Generator  
try to **maximize**  $L_{\text{generator}}$

WGAN-GP  
loss functions

$x$  is a real data from a set of real values

$\tilde{x} = G(z)$  is a fake data, from the generator

$\hat{x}$  mix fake / real data

$\lambda$  penalty coefficient, proposed as  $\lambda=10$

$z^{(i)}$  is a latent vector from a set of m values

$D(x)$  Output of the discriminator for a real image x

$G(z^{(i)})$  Output of the generator from an input z, from latent space

$D(G(z^{(i)}))$  Output of the discriminator for a fake image.

$$\hat{x} = \varepsilon x + (1 - \varepsilon)\tilde{x}$$

with  $t \sim U[0, 1]$

The paper  
demonstrates that  
the addition of the  
penalty gradient  
guarantees that the  
loss function is 1-  
Lipschitz.

---

**Algorithm 1** WGAN with gradient penalty. We use default values of  $\lambda = 10$ ,  $n_{\text{critic}} = 5$ ,  $\alpha = 0.0001$ ,  $\beta_1 = 0$ ,  $\beta_2 = 0.9$ .

---

**Require:** The gradient penalty coefficient  $\lambda$ , the number of critic iterations per generator iteration  $n_{\text{critic}}$ , the batch size  $m$ , Adam hyperparameters  $\alpha, \beta_1, \beta_2$ .

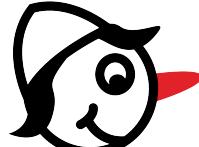
**Require:** initial critic parameters  $w_0$ , initial generator parameters  $\theta_0$ .

```

1: while  $\theta$  has not converged do
2:   for  $t = 1, \dots, n_{\text{critic}}$  do
3:     for  $i = 1, \dots, m$  do
4:       Sample real data  $\mathbf{x} \sim \mathbb{P}_r$ , latent variable  $\mathbf{z} \sim p(\mathbf{z})$ , a random number  $\epsilon \sim U[0, 1]$ .
5:        $\tilde{\mathbf{x}} \leftarrow G_\theta(\mathbf{z})$ 
6:        $\hat{\mathbf{x}} \leftarrow \epsilon \mathbf{x} + (1 - \epsilon) \tilde{\mathbf{x}}$ 
7:        $L^{(i)} \leftarrow D_w(\tilde{\mathbf{x}}) - D_w(\mathbf{x}) + \lambda(\|\nabla_{\hat{\mathbf{x}}} D_w(\hat{\mathbf{x}})\|_2 - 1)^2$ 
8:     end for
9:      $w \leftarrow \text{Adam}(\nabla_w \frac{1}{m} \sum_{i=1}^m L^{(i)}, w, \alpha, \beta_1, \beta_2)$ 
10:   end for
11:   Sample a batch of latent variables  $\{\mathbf{z}^{(i)}\}_{i=1}^m \sim p(\mathbf{z})$ .
12:    $\theta \leftarrow \text{Adam}(\nabla_\theta \frac{1}{m} \sum_{i=1}^m -D_w(G_\theta(\mathbf{z})), \theta, \alpha, \beta_1, \beta_2)$ 
13: end while
```

---

13



Generative  
Adversarial Networks  
GAN



## 1 About GAN

- Principles
- Training

## 2 Example 1

- Draw me a sheep !

## 3 From GANs to \*GANs

- Wasserstein and Earth Moving Distance
- From GAN to WGAN
- From WGAN to WGAN-GP

## 4 Example 2

- Again, but with a WGAN-GP :-)





6m on a V100 !  
(10 epochs)

Import  
and init

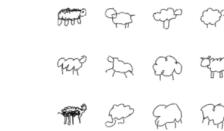
START



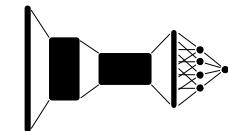
Parameters

```
latent_dim = 128
Scale       = 0.01
...
n_critic   = 2
```

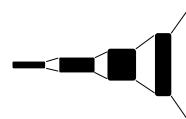
Load dataset  
& have a look !



Create  
Discriminator



Create  
Generator



Build, compile  
& train



History



Drawing new  
sheep !



END

**Objectives :**  
Still learning  
to draw a  
sheep, but  
with a  
WGAN-GP !

**...and what else ?**

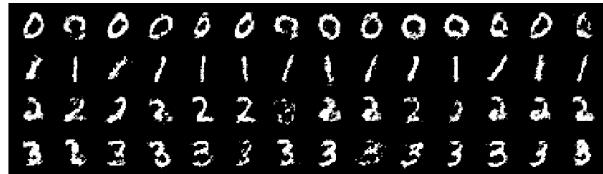
It is time to conclude ! ;-)



# GANs, GANs, more GANs...

## Conditional GANs

Mehdi Mirza, Simon Osindero,  
« Conditional Generative Adversarial Nets »,  
<https://arxiv.org/abs/1411.1784>, 2014



## Progressive GANs

Tero Karras, Timo Aila, Samuli Laine, Jaakko Lehtinen, « Progressive Growing of GANs for Improved Quality, Stability, and Variation »,  
<https://arxiv.org/abs/1710.10196>, 2017



## Image-to-Image Translation

Phillip Isola, Jun-Yan Zhu, Tinghui Zhou, Alexei A. Efros,  
« Image-to-Image Translation with Conditional Adversarial Networks »,  
<https://arxiv.org/abs/1611.07004>, 2016



## CycleGAN

Jun-Yan Zhu Taesung Park Phillip Isola Alexei A. Efros  
« Unpaired Image-to-Image Translation using Cycle-Consistent Adversarial Networks »,  
<https://arxiv.org/abs/1703.10593>, 2017



## Text-to-Image Synthesis

Han Zhang, Tao Xu, Hongsheng Li, Shaoting Zhang, Xiaogang Wang, Xiaolei Huang, Dimitris Metaxas,  
« StackGAN: Text to Photo-realistic Image Synthesis with Stacked Generative Adversarial Networks »,  
<https://arxiv.org/abs/1612.03242>, 2016

« This smaller brown bird has white stripes on the coverts, wingbars and secondaries »



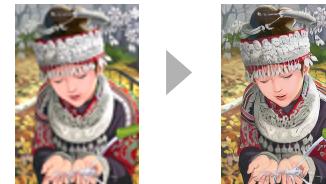
## Semantic Image Inpainting

Raymond A. Yeh, Chen Chen, Teck Yian Lim, Alexander G. Schwing, Mark Hasegawa-Johnson, Minh N. Do,  
« Semantic Image Inpainting with Deep Generative Models »,  
<https://arxiv.org/abs/1607.07539>, 2016



## Super-Resolution

Christian Ledig, Lucas Theis, Ferenc Huszar, Jose Caballero, Andrew Cunningham, Alejandro Acosta, Andrew Aitken, Alykhan Tejani, Johannes Totz, Zehan Wang, Wenzhe Shi,  
« Photo-Realistic Single Image Super-Resolution Using a Generative Adversarial Network »,  
<https://arxiv.org/abs/1609.04802>, 2016



## Face Frontal View Generation

Rui Huang, Shu Zhang, Tianyu Li, Ran He,  
« Beyond Face Rotation: Global and Local Perception GAN for Photorealistic and Identity Preserving Frontal View Synthesis »,  
<https://arxiv.org/abs/1704.04086>, 2017



# ...and then ?



2014



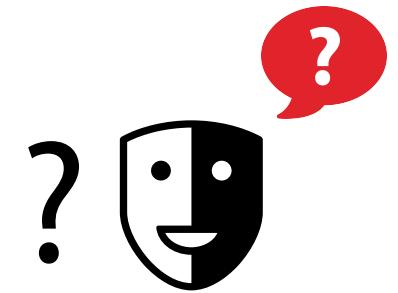
2015



2016



2017



Brundage, M., Avin, S., Clark, J., Toner, H., Eckersley, P., Garfinkel, B., Dafoe, A., et al.  
« The Malicious Use of Artificial Intelligence: Forecasting, Prevention, and Mitigation. »  
<https://doi.org/10.17863/CAM.22520>, 2018

...and then ?

2023



...and then ?

2023



AE → VAE → GAN → Diffusion Model





# FIDLE

<https://youtube.com/@CNRS-FIDLE>

<https://fidle.cnrs.fr>

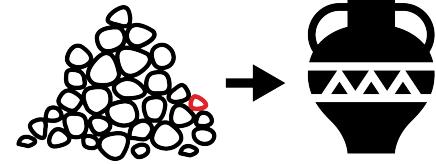


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<https://creativecommons.org/licenses/by-nc-nd/4.0/>

Next, on Fidle :



14



**Diffusion Model**  
Text to image



Jeudi  
**28**  
Mars  
à 14h00



# Présentations « Flash » et/ou posters ?

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