# KPop: Unleash the full power of your k-mers!

KPop is an assembly-free and scalable method for the comparative analysis of microbial genomes. It is based on full k-mer spectra and dataset-specific transformations, and allows for the accurate comparison of hundreds of thousands of assembled or unassembled microbial genomes in a matter of hours. More details can be found in our bioRxiv preprint.

KPop is mostly implemented in OCaml, an industry-strength functional statically-typed programming language that offers remarkable concision, symbolic power, robustness, compiled speed, and portability. Due mostly to historical, prototyping reasons, a small part of KPOP is still in R, although we hope to eventually migrate everything to OCaml. All programs, both OCaml and R, are parallelised and will automatically use as many CPUs as are available on your machine, in order to reduce as much as possible the wallclock time taken by your tasks.

Depending on the problem at hand, KPop analysis can require a relatively large amount of resources, memory in particular. That is a conscious design choice — it would be impossible to explore and leverage the power of full k-mer spectra otherwise. If you would like to explore datasets with millions of sequences, we recommend that you do so on a robustly sized HPC node. However, not everything is expensive — for instance, and similar to what happens with other big-data frameworks, while the "training" phase needed to create KPop based classifiers is typically resource-intensive, in most cases the classifiers themselves can then be run on lower-end machines.

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## 1. Installation



🛕 Note that the only operating systems we officially support are Linux and MacOS. 🛕



Both OCaml and R are highly portable and you might be able to manually compile/install everything successfully on other platforms (for instance, Windows) but you will have to do it yourself.

There are several possible ways of installing the software on your machine: through conda; by downloading pre-compiled binaries (Linux and MacOS x86\_64 only); or manually.

### 1.1. Conda channel



Coming soon!



### 1.2. Pre-compiled binaries

You can download pre-compiled binaries for Linux and MacOS x86 64 from our releases.

### 1.3. Manual install

Alternatively, you can install KPop manually by cloning and compiling its sources. You'll need an up-to-date distribution of the OCaml compiler and the Dune package manager for that. Both can be installed through OPAM, the official OCaml distribution system. Once you have a working OPAM distribution you'll also have a working OCaml compiler, and Dune can be installed with the command

```
$ opam install dune
```

if it is not already present. Make sure that you install OCaml version 4.12 or later.

You'll also need a copy of the sources for the BiOCamLib library. We'll assume that you have cloned the repository in the directory .../BiOCamLib with respect to the KPop sources; you'll have to modify the file BUILD in the KPop directory if that is not the case.

Then go to the directory into which you have downloaded the latest KPop sources, and type

```
$ ./BUILD
```

That should generate all the executables you'll need (as of this writing, KPopCount, KPopCounterDB, kPopTwist, KPopTwist). Copy them to some favourite location in your PATH, for instance ~/.local/bin.

For the time being, due to the presence of some legacy code, you'll also need to install some R packages (and possibly R itself) by using your favourite R package manager. Those packages are:

data.table

### 2. Overview of commands

KPop comes as a number of different programs, which can be combined into complex workflows in a modular fashion. They are:

- KPopCount . It implements extraction of *k*-mer spectra from files containing sequences or sequencing reads (in FASTA format; and both single- and paired-end FASTQ format)
- KPopCountDB . It implements collection of *k*-mer spectra into binary databases, allowing the user to export the resulting objects as either binary files or text tables. Spectra can be transformed before export
- KPopTwist . It implements the unsupervised generation of coordinate transformations (or "twisters") from databases of *k*-mer spectra. Each transformation is optimised for the database at hand, and turns ("twists") *k*-mer spectra into numerical vectors of a typically very much reduced dimensionality. The transformation can be stored as a binary object for future use
- KPopTwistDB. It implements a number of operations on twisted spectra. It can: use an existing twister to twist *k*-mer spectra; generate databases of twisted spectra and output/input them as binary files or text tables; compute and summarise distances between twisted spectra; and more.

## 3. Command line syntax

### 3.1. KPopCount

This is the list of command line options available for the program KPopCount . You can visualise the list by typing

```
$ KPopCount -h
```

in your terminal. You will see a header containing information about the version:

```
This is the KPopCount program (version 0.5)
(c) 2017-2022 Paolo Ribeca, paolo.ribeca@gmail.com>
```

followed by detailed information. The general form(s) the command can be used is:

```
KPopCount -1|--label <output_vector_label> [OPTIONS]
```

## Algorithmic parameters

Option	Argument(s)	Effect	Note(s)
	•		• •

Option	Argument(s)	Effect	Note(s)
-k -Kk-mer- sizek-mer- length	<k_mer_length></k_mer_length>	<i>k</i> -mer length (must be positive, and <= 30 for DNA or <= 12 for protein)	default= <mark>12</mark>
-m -Mmax- results- size	<positive_integer></positive_integer>	maximum number of $k$ -mer signatures to be kept in memory at any given time. If more are present, the ones corresponding to the lowest cardinality will be removed from memory and printed out, and there will be repeated signatures in the output	<u>default=</u> 16777216

## Input/Output

Option	Argument(s)	Effect	Note(s)
-c -C content mode	'DNA' 'protein'	how file contents should be interpreted	default= <mark>DNA</mark>
-f -F fasta	<fasta_file_name></fasta_file_name>	FASTA input file containing sequences	
-s -S single-	<fastq_file_name></fastq_file_name>	FASTQ input file containing single-end sequencing reads	
-p -P paired- end	<fastq_file_name1> <fastq_file_name2></fastq_file_name2></fastq_file_name1>	FASTQ input files containing paired-end sequencing reads	
-label	<output_vector_label></output_vector_label>	label of the k-mer vector in the output file	(mandatory)
-o output	<output_file_name></output_file_name>	name of generated output file	default= <mark><stdout></stdout></mark>

## Miscellaneous

Option	Argument(s)	Effect	Note(s)
-v verbose		set verbose execution	default= <mark>false</mark>
-h help		print syntax and exit	

## 3.2. KPopCountDB

This is the list of command line options available for the program KPopCountDB . You can visualise the list by typing

## \$ KPopCountDB -h

in your terminal. You will see a header containing information about the version:

This is the KPopCountDB program (version 0.28)

(c) 2020-2022 Paolo Ribeca, <paolo.ribeca@gmail.com>

KPopCountDB [ACTIONS]

 $\ensuremath{\textbf{Actions.}}$  They are executed delayed and in order of specification.

Option	Argument(s)	Effect	Note(s)
-e -E empty		put an empty database into the register	
-i -I input	  dinary_file_prefix>	load to the register the database present in the specified file (which must have extension .KPopCounter)	
-m -M metadata add- metadata	<metadata_table_file_name></metadata_table_file_name>	add to the database present in the register metadata from the specified file	
-f -F files add-files	<k-mer_table_file_name>[','','<k- mer_table_file_name&gt;]</k- </k-mer_table_file_name>	add to the database present in the register $k$ -mers from the specified files	
summary		print a summary of the database present in the register	
-l -L labels selection- from-labels	<vector_label> [','','<vector_label>]</vector_label></vector_label>	put into the selection register the specified labels	
-r -R regexps selection- from-regexps	<metadata_field>'~'<regexp> [','','<metadata_field>'~'<regexp>]</regexp></metadata_field></regexp></metadata_field>	put into the selection register the labels of the vectors whose metadata fields match the specified regexps.  An empty metadata field matches the labels	
-a -A add-sum- selection selection- add-sum	<new_vector_label></new_vector_label>	add to the database present in the register (or replace if the new label exists) a linear combination of the vectors whose labels are in the selection register	
-d -D delete selection- remove		remove from the table the vectors whose labels are in the selection register	
-n -N selection- negate		negate the labels that are present in the selection register	
-p -P selection- print		print the labels that are present in the selection register	

Option	Argument(s)	Effect	Note(s)
-c -C selection- clear		purge the selection register	
-s -S selection- to-table- filter		filters out vectors whose labels are present in the selection register when writing the database as a tab-separated file	
table-emit- row-names	'true' 'false'	whether to emit row names for the database present in the register when writing it as a tab-separated file	default= <mark>true</mark>
table-emit- col-names	'true' ˈfalse'	whether to emit column names for the database present in the register when writing it as a tab- separated file	<u>default=<mark>true</mark></u>
table-emit- metadata	'true' ˈfalse'	whether to emit metadata for the database present in the register when writing it as a tab-separated file	default= <mark>false</mark>
table- transpose	'true' 'false'	whether to transpose the database present in the register before writing it as a tab-separated file (if 'true': rows are vector names, columns are (metadata and) <i>k</i> -mer names; if 'false': rows are (metadata and) <i>k</i> -mer names, columns are vector names)	default= <mark>false</mark>
table- threshold	<non_negative_integer></non_negative_integer>	set to zero all counts that are less than this threshold before transforming and outputting them	default= <mark>1</mark>
table-power	<non_negative_float></non_negative_float>	raise counts to this power before transforming and outputting them.  A power of 0 when the 'pseudocount' method is used performs a logarithmic transformation	default= <mark>1.</mark>
table- transform table- transformation	'none' 'normalize' 'pseudocount' 'clr'	transformation to apply to table elements before outputting them	default= <mark>normalize</mark>
table-emit- zero-rows	'true' 'false'	whether to emit rows whose elements are all zero when writing the database as a tab-separated file	default= <mark>false</mark>
table-set- precision	<pre><positive_integer></positive_integer></pre>	set the number of precision digits to be used when outputting counts	default= <mark>15</mark>
-t table	<file_prefix></file_prefix>	write the database present in the register as a tab- separated file (rows are <i>k</i> -mer names, columns are vector names; the file will be given extension .KPopCounter.txt)	
-0 -0 output	  dinary_file_prefix>	dump the database present in the register to the specified file (which will be given extension .KPopCounter)	

## $\label{eq:miscellaneous options.} \label{eq:miscellaneous options.} They are set immediately.$

Option	Argument(s)	Effect	Note(s)
-T  threads	<computing_threads></computing_threads>	number of concurrent computing threads to be spawned (default automatically detected from your configuration)	default= <mark>nproc</mark>

Option	Argument(s)	Effect	Note(s)
-v  verbose		set verbose execution	<u>default=<mark>false</mark></u>
-h help		print syntax and exit	

## 3.3. KPopTwist

This is the list of command line options available for the program KPopTwist . You can visualise the list by typing

```
$ KPopTwist -h
```

in your terminal. You will see a header containing information about the version:

## Usage:

```
kPopTwist -i|--input <input_table_prefix> [OPTIONS]
```

## Algorithmic parameters

Option	Argument(s)	Effect	Note(s)
-f -F -s -S fraction sampling sampling-fraction	<non_negative_float></non_negative_float>	fraction of the rows to be considered and resampled before twisting	<u>default=<mark>1.</mark></u>
threshold	<non_negative_integer></non_negative_integer>	set to zero all counts that are less than this threshold before transforming them	default= <mark>1</mark>
power	<non_negative_float></non_negative_float>	raise counts to this power before transforming them. A power of 0 when the 'pseudocount' method is used performs a logarithmic transformation	default= <mark>1.</mark>
transform transformation	'none' 'normalize' 'pseudocount' 'clr'	transformation to apply to table elements	default= <mark>normalize</mark>

## Input/Output

Option	Argument(s)	Effect	Note(s)
-i  input	<input_table_prefix></input_table_prefix>	load the specified <i>k</i> -mer database in the register and twist it.  File extension is automatically determined (will be .KPopCounter).  The prefix is then re-used for output (and the output file will be given prefix .KPopTwisted)	(mandatory)

## Miscellaneous

Option	Argument(s)	Effect	Note(s)
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Option	Argument(s)	Effect	Note(s)
-T  threads	<computing_threads></computing_threads>	number of concurrent computing threads to be spawned (default automatically detected from your configuration)	<u>default=<mark>nproc</mark></u>
-v  verbose		set verbose execution	default= <mark>false</mark>
-h help		print syntax and exit	

## 3.4. KPopTwistDB

This is the list of command line options available for the program KPopTwistDB . You can visualise the list by typing

```
$ KPopTwistDB -h
```

in your terminal. You will see a header containing information about the version:

```
This is the KPopTwistDB program (version 0.16) (c) 2022 Paolo Ribeca, paolo.ribeca@gmail.com>
```

followed by detailed information. The general form(s) the command can be used is:

```
KPopTwistDB [ACTIONS]
```

Actions. They are executed delayed and in order of specification.

Option	Argument(s)	Effect	Note(s)
-e empty	T t d	load an empty twisted database into the specified register (T=twister; t=twisted; d=distance)	
-i input	T t d <binary_file_prefix></binary_file_prefix>	load the specified binary database into the specified register (T=twister; t=twisted; d=distance).  File extension is automatically determined depending on database type (will be: .KPopTwister; .KPopTwisted; or .KPopDMatrix, respectively)	
-I Input	T t d <table_file_prefix></table_file_prefix>	load the specified tabular database(s) into the specified register (T=twister; t=twisted; d=distance).  File extension is automatically determined depending on database type (will be: .KPopTwister.txt and .KPopInertia.txt; .KPopTwisted.txt; or .KPopDMatrix.txt, respectively)	
-a add	t d <binary_file_prefix></binary_file_prefix>	add the contents of the specified binary database to the specified register (t=twisted; d=distance).  File extension is automatically determined depending on database type (will be: .KPopTwisted; or .KPopDMatrix, respectively)	

Option	Argument(s)	Effect	Note(s)
-A Add	t d <table_file_prefix></table_file_prefix>	add the contents of the specified tabular database to the specified register (t=twisted; d=distance).  File extension is automatically determined depending on database type (will be: .KPopTwisted.txt; or .KPopDMatrix.txt, respectively)	
-k -Kkmersadd- kmersadd- kmer- files	<k-mer_table_file_name>[','','<k- mer_table_file_name&gt;]</k- </k-mer_table_file_name>	twist $k$ -mers from the specified files through the transformation present in the twister register, and add the results to the database present in the twisted register	
distance distance- functionset- distanceset- distance- function	'euclidean'  'minkowski( <non_negative_float>)'</non_negative_float>	set the function to be used when computing distances.  The parameter for Minkowski is the power	<u>default=<mark>euclidean</mark></u>
-mmetricmetric- functionset- metricset- metric- function	'flat'  'power(' <non_negative_float>')'  'sigmoid('SIGMOID_PARAMETERS')'</non_negative_float>	where SIGMOID_PARAMETERS := <non_negative_float>','<non_negative_float>',' <non_negative_float>','<non_negative_float> : set the metric function to be used when computing distances. Parameters are: power; thresholding multiplier; left and right sigmoid tightnesses.</non_negative_float></non_negative_float></non_negative_float></non_negative_float>	default= <u>sigmoid(1,3,10,10)</u>
-d distances compute- distances compute- distances- twisted	<twisted_binary_file_prefix></twisted_binary_file_prefix>	compute distances between all the vectors present in the twisted register and all the vectors present in the specified twisted binary file (which must have extension .KPopTwisted). The result will be placed in the distance register	
-o output	T t d <binary_file_prefix></binary_file_prefix>	dump the database present in the specified register (T=twister; t=twisted; d=distance) to the specified binary file.  File extension is automatically determined depending on database type (will be: .KPopTwister; .KPopTwisted; or .KPopDMatrix, respectively)	

Option	Argument(s)	Effect	Note(s)
precisionset- precisionset- table- precision	<pre><positive_integer></positive_integer></pre>	set the number of precision digits to be used when outputting numbers	default= <mark>15</mark>
-0 Output	T t d m <table_file_prefix></table_file_prefix>	dump the database present in the specified register (T=twister; t=twisted; d=distance; m=metrics) to the specified tabular file(s). File extension is automatically determined depending on database type (will be: .KPopTwister.txt and .KPopInertia.txt; .KPopTwisted.txt; .KPopDMatrix; or .KPopMetrics, respectively)	
keep- at-most set- keep-at- most  summary- keep-at- most	<pre><positive_integer> all</positive_integer></pre>	set the maximum number of closest target sequences to be kept when summarizing distances	default= <mark>2</mark>
-s  summarize- distances	<summary_file_prefix></summary_file_prefix>	summarize the distances present in the distance register and write the result to the specified tabular file.  File extension will be automatically determined (will be .KPopSummary.txt)	

## Miscellaneous options. They are set immediately.

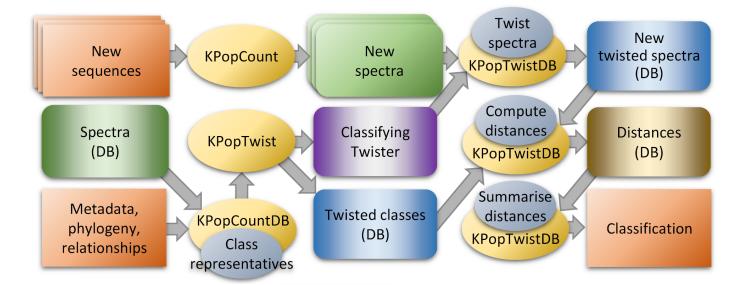
Option	Argument(s)	Effect	Note(s)
-T  threads	<computing_threads></computing_threads>	number of concurrent computing threads to be spawned (default automatically detected from your configuration)	<u>default=<mark>nproc</mark></u>
-v  verbose		set verbose execution	default= <mark>false</mark>
-h help		print syntax and exit	

# 4. Examples

By using the programs just described, it is possible to implement a number of interesting high-throughput workflows. We illustrate some examples here - for a more general description, please refer to our bioRxiv preprint.

## 4.1. Sequence classification

One can implement a sequence classifier, starting from either a set of genomic sequences or a deep-sequencing dataset containing multiple samples, by using the following strategy:



Shortly, one first generates a collection of spectra that describe the "classes" understood by the classifier; from the classes a "twister", i.e. a specialised transformation, is derived; the twister is then used to transform new sequences; and distances are computed and summarised between the twisted spectra for the classes and the twisted spectra for the sequences in order to understand what is the class closest to each sequence (possibly with some additional statistical refinement).

Note that the classifier should be generated according to the data type of the input, i.e., you should not use a classifier trained on genomic sequences to process NGS samples or vice-versa. Doing so might occasionally work if contaminations are low and the sequencing bias is reasonably flat along the sequence, but in order to get consistent results significant post-processing might be needed.

#### 4.1.1. Classifier for simulated M.tuberculosis sequencing reads

As described in our bioRxiv preprint, we simulated sequencing reads for 1,000 *M.tuberculosis* genomes. The data thus generated is large (~127 GB) and hence we are not making it directly available for download. However, the scripts used to (re-)generate it can be found in the test directory of this repository.

### 4.1.1.1. Data preparation

In the following, we assume that the input files derived from the simulation have been organised into directories relative to your current location, and their placement reflects the set (training/test) and sequence cluster each sample belongs to.

So, we'll have two directories,

```
Train/
Test/
```

and each directory will contain subdirectories, one for each sequence cluster:

```
Train/1
Train/2
...
Train/10
```

For instance, directory Train/8 will contain input files for all the samples used as training data for sequence class 8, and command

```
$ ls Train/8
```

## will return

```
683_1.fastq 691_1.fastq 699_1.fastq 707_1.fastq 715_1.fastq 723_1.fastq 731_1.fastq 739_1.fastq 747_1.fastq 755_1.fastq 763_1.fastq 771_1.fastq 779_1.fastq 683_2.fastq 691_2.fastq 699_2.fastq 707_2.fastq 715_2.fastq 723_2.fastq 731_2.fastq 739_2.fastq 747_2.fastq 755_2.fastq 763_2.fastq 771_2.fastq 779_2.fastq 685_1.fastq 693_1.fastq 701_1.fastq 709_1.fastq 717_1.fastq 725_1.fastq 733_1.fastq 741_1.fastq 749_1.fastq 757_1.fastq 765_1.fastq 773_1.fastq 781_1.fastq 685_2.fastq 693_2.fastq 701_2.fastq 709_2.fastq 717_2.fastq 725_2.fastq 733_2.fastq 741_2.fastq 741_2.fastq 757_2.fastq 765_2.fastq 773_2.fastq 781_2.fastq 695_1.fastq 703_1.fastq 711_1.fastq 711_1.fastq 727_1.fastq 735_1.fastq 743_1.fastq 751_1.fastq 759_1.fastq 767_1.fastq 775_1.fastq 783_1.fastq 783_1.fastq 783_1.fastq 783_1.fastq 783_1.fastq 783_1.fastq 783_1.fastq 783_1.fastq 783_1.fastq 783_2.fastq 793_2.fastq 793_2.fastq 793_2.fastq 799_2.fastq 759_1.fastq 767_1.fastq 775_1.fastq 783_1.fastq 783_1.fastq 783_1.fastq 793_2.fastq 793_2.fastq 793_2.fastq 793_2.fastq 799_2.fastq 799_2.
```

```
743_2.fastq 751_2.fastq 759_2.fastq 767_2.fastq 775_2.fastq 783_2.fastq 689_1.fastq 697_1.fastq 705_1.fastq 713_1.fastq 721_1.fastq 729_1.fastq 737_1.fastq 745_1.fastq 753_1.fastq 761_1.fastq 769_1.fastq 777_1.fastq 689_2.fastq 697_2.fastq 705_2.fastq 713_2.fastq 721_2.fastq 729_2.fastq 737_2.fastq 745_2.fastq 753_2.fastq 761_2.fastq 769_2.fastq 777_2.fastq
```

A similar structure will have been put in place for test data under the <code>Test/</code> directory, with files equally split under <code>Train/</code> and <code>Test/</code> for cross-validation purposes (however, different choices would be possible). This conventional arrangement will be assumed in the rest of this document for all the examples involving <code>KPop</code>-based classifiers.

#### 4.1.1.2. Data analysis

In order to analyse sequences in parallel fashion and decrease waiting times, we first prepare a short bash script named process\_classes, as follows:

```
#!/usr/bin/env bash
while read DIR; do
   CLASS=$(echo "$DIR" | awk '{l=split(gensub("[/]+$","",1),s,"/"); print s[1]}')
   echo "Processing class '${CLASS}'..." > /dev/stderr
   ls "$DIR"/*_1.fastq |
        Parallel --lines-per-block 1 -- awk '{l=split($0,s,"/"); system("KPopCount -k 12 -l "gensub("_1.fastq$","",1,s[1])"
        KPopCountDB -f /dev/stdin -r "~." -a "$CLASS" -p -l "$CLASS" -n -p -d --summary --table-transform none -t /dev/stdo
done
```

The program Parallel can be obtained from the BiOCamLib repository. on which the implementation of KPop depends.

The script takes as input a list of directories. For each directory, the script generates the 10-mer spectra for all the files contained in that directory, combines the spectra into an in-memory KPopCount database, generates their linear combination, discards the database, and writes the combination to standard output.

So, for instance,

```
$ echo Train/8 | ./process_classes
```

would process all files present in directory Train/8.

Note that the script is implicitly parallelised, in that both Parallel and KPopCountDB will automatically check for the number of available processors, and start an adequate number of computing threads to take full advantage of them. KPopCount is not by itself parallel, but multiple copies of it will be invoked through Parallel.

At this point, in order to perform the "training" phase, we need to issue the two commands

```
$ ls -d Train/*/ | Parallel --lines-per-block 1 -- ./process_classes | KPopCountDB -f /dev/stdin -o Classes
$ KPopTwist -i Classes
```

The first command will generate one combined, representative spectrum for each class in the training set, and subsequently, thanks to KPopCountDB, combine the spectra for all the representatives into a database having prefix Classes and full name Classes.KPopCounter.

The second command will "twist" the database, i.e., generate a dataset-specific transformation that turns k-mer spectra of the size chosen when generating them (10 in this case) into vectors embedded in a reduced-dimensionality space. The transformation is stored in the file Classes. KPopTwister. In addition, KPopTwist also produces a file containing the positions of the class representatives in twisted space (Classes.KPopTwisted). Such files will be reused in what follows.

Once that has been done, the command

```
$ ls Test/*/*_1.fastq | Parallel --lines-per-block 1 -- awk '{l=split($0,s,"/"); system("KPopCount -k 12 -l "gensub("_1
```

will generate separate *k*-mer spectra for each file in the test set, and twist them according to the "classifying" transformation stored in file Classes.KPopTwister. The results will be stored in an additional file, Test.KPopTwisted.

All the files generated so far are binary — their content is laid out according to the way OCaml data structures are stored in memory.

Should you be curious to see what the content looks like in human-readable format, you can always use KPopTwistDB to convert the file (or any other file generated by KPopTwist or KPopTwistDB) to a tab-separate textual table as those you can import into, or export from, R. For instance, the command

```
$ KPopTwistDB -i t Test -O t Test
```

will load a binary file (hence option -i) of the "twisted" type (hence option -i t) with prefix Test (hence option -i t Test), i.e., according to the automatic naming conventions enforced by KPop, it will load file Test.KPopTwisted into the "twisted" register of KPopTwistDB. After that, the command will output the content of the "twisted" register in tabular form (hence option -0 t) to a file with prefix Test, i.e., according to KPop's automatic naming conventions, to file Test.KPopTwisted.txt. You can see how such naming conventions free you from thinking about files extensions, and avoid name clashes between files having the same prefix but a different content.

The file Test.KPopTwisted.txt will contain a header

```
"" "Dim1" "Dim2" "Dim3" "Dim4" "Dim5" "Dim6" "Dim7" "Dim8" "Dim9"
```

followed by rows such as

```
"121" 0.461489766036905 0.568113255163704 -0.882699288338637 -0.0272667586657692 0.0581302393092
```

expressing the coordinates of twisted sequences or samples (in this case, sample 121) in twisted space.

We now have several files in our directory, namely

```
Train/
Test/
Classes.KPopCounter
Classes.KPopTwister
Classes.KPopTwisted
Test.KPopTwisted
Test.KPopTwisted.txt
```

What is left to do in order to classify the sequences in our test set is to compute the distance in twisted space between each sequence (rows of file Test.KPopTwisted) and the representative of each equivalence class of the training set (rows of file Classes.KPopTwisted); the classification for each given sequence will be the closest equivalence class (provided that the closest and second closest match are separated by some reasonable margin). Computing all pairwise distances in twisted space between sequences and classes is accomplished by the command

```
$ KPopTwistDB -i t Test -d Classes -0 d Test-vs-Classes
```

which reads "load twisted file Test.KPopTwisted, compute pairwise distances with the contents of Classes.KPopTwisted — the results will be placed in the "distance" register of KPopTwistDB —, and write results into tabular file Test-vs-Classes.KPopDMatrix.txt (we write to a text rather than binary file for illustration). File Test-vs-Classes.KPopDMatrix.txt will contain a header

```
"" "10" "1" "2" "3" "4" "5" "6" "7" "8" "9"
```

i.e., the list of the training classes, followed by rows of corresponding distances, such as

```
"121" 3.298234166382 3.33901585931896 1.85388698190156 3.35586331250312 3.35677089495605
```

In this case, for instance, sequence 121 has distance in twisted space of ~1.9 from class 2, while the distance from all other classes is >3.3. That classifies the sequence as belonging to class 2 (which is correct according to the truth table of the simulation).

In fact, an automated way of summarising distances and finding the n closest ones is implemented in the option -s of KPopTwistDB, and that is what one would probably use in real life. The command

```
KPopTwistDB -i d Test-vs-Classes -s Test-vs-Classes
```

will produce a file Test-vs-Classes. KPopSummary.txt made of tab-separated lines, one per sequence, such as

"121" 3.18187160005451 0.467075454492746 3.32894004017435 0.0217576481749768 "2" 1.85388

The first 5 fields have a fixed meaning, being:

- 1. Sequence name
- 2. Mean of distances from all classes for the sequence being considered
- 3. Standard deviation of distances from all classes for the sequence being considered
- 4. Median of distances from all classes for the sequence being considered
- 5. MAD of distances from all classes for the sequence being considered.

Those are followed by groups of 3 fields (how many groups depends on the parameters given when the summary was created), each field being:

- 1. Class name
- 2. Distance from this class for the sequence being considered
- 3. *z*-score of the distance from this class for the sequence being considered. The groups list the classes closest to the sequence (2 by default). They are sorted by increasing distance.

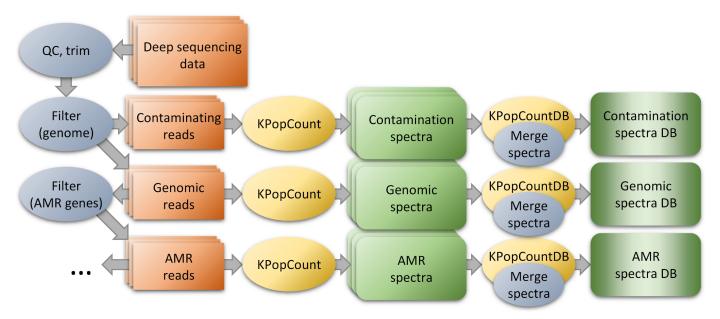
For instance, in the example line shown above the summary examines sequence 121, revealing that its mean distance from classes is 3.18; the closest class is 2, at a distance of 1.85 (corresponding to a z-score of -2.84) while the second closest class is 10, at a distance of 3.30 (corresponding to a z-score of 0.25). This line confirms in a statistically more sound way what we had glimpsed by eye above from the complete list of distances.

#### 4.1.2. Classifier for deep-sequencing M.tuberculosis samples

This example requires a large sequencing dataset available from the Short Read Archive (~1300 samples) to be downloaded and processed. As before, the data is too large to be stored for direct download; however, the lists of SRA identifiers used as a starting point for this experiment can be found in the test directory of this repository.

#### 4.1.2.1. Data preparation

As described in our bioRxiv preprint, one of the advantages offered by KPop is its versatility. In particular, by pre-processing reads one can easily have the method focus on particular regions or features of the genome of interest, as shown in the following figure:



For this example, we are mainly interested in performing a pre-processing step to discard contaminations — i.e., we want to only keep sequencing reads that are likely to originate from *Mycobacterium*. In order to do so, we process each set of sequencing reads through the following script (here we assume to be operating on sample ERR275184, which comes as the two paired-end FASTQ files ERR275184\_1.fastq.gz and ERR275184\_2.fastq.gz):

```
# First, trim
$ trim_galore -j 8 --paired ERR275184_1.fastq.gz ERR275184_2.fastq.gz
# Second, filter
```

```
$ FASTools e -p <(zcat ERR275184_1_val_1.fq.gz) <(zcat ERR275184_2_val_2.fq.gz) | FASTools -S | awk -F '\t' 'function r
# Third, merge
$ flash -m 20 -M 500 ERR275184_1.fastq ERR275184_2.fastq -o ERR275184
# Fourth, count k-mers
$ KPopCount -l ERR275184 -s ERR275184.extendedFrags.fastq -p ERR275184.notCombined_1.fastq ERR275184.notCombined_2.fast</pre>
```

The script requires several additional components, namely:

- . Trim Galore and dependencies. It is run at the very beginning of the script to do quality and adapter trimming on the reads
- FASTools . It is a Swiss-knife tool to manipulate FASTA/FASTQ files. It can be obtained from the BiOCamLib repository. on which the implementation of KPop depends
- The GEM mapper. It is a high-performance and very accurate aligner with an expressive native output format that we exploit in our script to quickly select matches
- FLASH. It is a fast program to merge partially overlapping FASTQ paired-end reads. While our approach is not very sensitive to their presence, merging them helps keeping the overall *k*-mer normalisation more even, and it is hence considered good practice.

The main idea behind the script is to split each read into small segments ( SEGM\_LEN , i.e., 25 nt in the code above), map each chunk into a *Mycobacterium* "pangenome", and keep the pair only if a sufficient number of segments ( MIN\_HITS , i.e, 3 in the code above) align to the pangenome. The sequences we used for the pangenome are listed in the test directory of this repository and can be downloaded from the SRA — we'll assume that they have all been concatenated into file Mycobacterium.CompleteGenomes.fasta . Once that has been done, an index for the pangenome can be generated with the command

```
gem3-indexer -i Mycobacterium.CompleteGenomes.fasta -o Mycobacterium.CompleteGenomes
```

That will generate the file Mycobacterium.CompleteGenomes.gem appearing in the script.

After each read has been split into chunks and aligned to the pangenome, the input of the first awk command executed after gem3-mapper will have FASTQ record in tabular format, with the number of mapping chunks coming before it:

```
ERR275184.16
                    NGCACTCGGGGGCATGCCCAAGGCAGTGGTCTGGGCGCTGCATGAGCACATCTTGGGCGCCCAATCCGGCGGTATGGATGTACGCCGGCG
4
2
      ERR275184.16
                    ACCCGAGGTAATGAACCGCTTGACGCCGTCGATGTGCCAGGACCCGTCGGCCTGTTGG
                                                                          B?<DA:D60+:<<DGIIBEIIIACGHDE:FG
4
      ERR275184.17
                    NACACCCCGACAATCTGCGGGCGCAGGTTGGGGTCGGGGAAGCCGCTGCGCAGCGCGCCCCGACGGGTCCTTGAGCCCGACGAAGTTGGCGAA
4
      ERR275184.18
1
      ERR275184.18
                    CAATTTGCAGGCGTTGGTCGTCGTCGTCAGCGCCCAGCGCGTGGACGTCACCG
                                                                   @@@DDFDDFFFDFG6)+29B8?18??)0?@*877<6(8;
3
      ERR275184.19
                    GTCGCAGCCGGGACGCTGCTATGGCTCGGACGTGTCGAAATTCGGGTCACCGCCGGCTCAGCGGATGGAGCC
                                                                                        CCCFFFFFHHHHHJJ
```

and the second awk commands counts the sum of aligned segments and decides whether or not to keep the pair.

However, equivalent techniques that discard extraneous reads using different approaches or programs would also be acceptable.

### 4.1.2.2. Data analysis

Once reads have been pre-processed and their *k*-mer spectrum generated for each sample, data analysis proceeds along the lines of the simulated *M.tuberculosis* example above — we assume that the spectra have been placed in a Train and Test directory and separated into subdirectory according to their class, as per the convention previously described. We then generate representative spectra for the classes; twist them; and use the resulting transformation to twist the test sequences, processing each directory in parallel. The results are then collected in the database Test.KPopTwisted.

```
$ ls -d Train/*/ | awk '{print substr(gensub("Train/","",1),1,length($0)-7)}' | Parallel -l 1 -t 4 -- awk '{CLASS=$0; s
$ cat M_*.KPopCounter.txt | KPopCountDB -f /dev/stdin -o Classes -v
$ KPopTwist -i Classes -v
$ ls -d Test/M_*/ | Parallel -l 1 -t 4 -- awk '{system("cat "$0"*.k12.txt | awk -F \047\\t\047\\fif ($1==\"\") print
$ KPopTwistDB $(ls Test/*.KPopTwisted | awk '{split($0,s,"[.]"); printf " -a t "s[1]}') -o t Test
```

Note that in this example we annotate the name of each test sequence with its class, by adding to it a non-printable character  $\$ 001 followed by the class (  $\$ 000 is fine with non-printable characters in labels, provided that they are not  $\$ 000 ). We'll do the same below for the training sequences below, so as to be able to count the majority class when we use a  $\$ 8-NN approach.

As detailed in our bioRxiv preprint, for this example we explored three different classifiers. Although they all provide very good performance, these alternative approaches are easy to implement using KPop tools and illustrate the versatility of our framework.

This approach works exactly as in the simulated *M.tuberculosis* example above:

```
$ KPopTwistDB -i t Test -d Classes -o d Test-vs-Classes -s Test-vs-Classes -v
$ cat Test-vs-Classes.KPopSummary.txt | awk '{print gensub("\001","\"\t\"","g")}' > RESULTS-2C.txt
```

Note the use of the distance capability provided by KPOpTwistDB, as in the previous example, which produces results with the format explained above. We also post-process such results to split the name of the test sequence and its annotated class into two separate columns — as mentioned before, we had joined them through a non-printable character \001 that we now turn into \t.

#### k-NN approach

In this case, we implement the classifier by using a k-NN approach, i.e. by performing a majority call among the k nearest neighbours found for each test sequence - the result is the class which is most represented in the k closest data points belonging to the training set. In order to be able to do so, we need to separately compute the twisted spectrum of each training sequence — we also collect them into a database named. Train:

```
$ ls -d Train/M_*/ | Parallel -l 1 -t 4 -- awk '{system("cat "$0"*.k12.txt | awk -F \047\\t\047 \047{if ($1==\"\") prin $ KPopTwistDB $(ls Train/*.KPopTwisted | awk '{split($0,s,"[.]"); printf " -a t "s[1]}') -o t Train
```

We then use the distance capability of KPopTwist as before. However, in this case we alter the default to output information about 5 nearest neighbours rather than 2:

```
$ KPopTwistDB $(ls Test/*.KPopTwisted | awk '{split($0,s,"[.]"); printf " -a t "s[1]}') -o t Test -d Train -o d Test-vs
```

This produces the usual digest of the distance matrix containing, in this case, the 5 training sequences that are closest to the test sequence (we adopt a 5-NN classification approach in this example, but of course the number of neighbours could be chosen to be different). At this point we are almost done, but we still have to parse the digest in order to compute how many of the nearest neighbours belong to the most frequent class, which translates into a slightly more complex script:

```
$ cat Test-vs-Train.KPopSummary.txt | awk '{print gensub("\001","\"\t\"","g")}' | awk '{delete c; delete o; n=0; for (i
```

Notably, we solve ties by selecting the class that appears first, i.e. which has a sequence that is closer to the test sequence.

### Random forest approach

This is a more sophisticated approach that uses random forests to implement the predictor. Note that this is possible because KPOP is capable of producing an explicit embedding of the sequences as a vector in twisted space. As we are going to use an external R library to implement the predictor, we first have to export to a tabular text file the coordinates of the sequences in twisted space — which we can do with KPOPTwist — and then, once more, de-mangle the class information into a separate column:

```
$ KPopTwistDB -i t Train -0 t Train -i t Test -0 t Test
$ cat Train.KPopTwisted.txt | awk '{print gensub("\001","\"\t\"","g")}' | awk -F '\t' 'BEGIN{0FS="\t"} {if (NR==1) {$1=}
$ cat Test.KPopTwisted.txt | awk '{print gensub("\001","\"\t\"","g")}' | awk -F '\t' 'BEGIN{0FS="\t"} {if (NR==1) {$1=}"}
```

The files Train.Truth.KPopTwisted.txt and Test.Truth.KPopTwisted.txt will now contain the coordinates of train and test sequences in twisted space, respectively. We then run the following R commands:

```
library(randomForest)
train<-read.table("Train.Truth.KPopTwisted.txt")
test<-read.table("Test.Truth.KPopTwisted.txt")
rf<-randomForest(Lineage~.,data=train,mtry=4)
write.table(as.data.frame(predict(rf,test)),"RF.txt",sep="\t")</pre>
```

which will produce a file RF.txt with the preliminary results of the predictor.

And finally, this command re-annotates the sequences with their original class for comparison purposes:

```
$ cat RF.txt | awk -F '\t' 'BEGIN{while (getline < "NGS-TB-Test.txt") t["\""$1"\""]="\""$2"\""} {if (NR>1) print $1"\t"
```

generating a final result RESULTS-RF.txt. The file NGS-TB-Test.txt contains the original annotation for each test sequence, and is available from the test directory of this repository.

It should be noted that the structure of RESULTS-RF.txt is simpler than that of the final files produced by the previous methods. It contains lines such as

```
"ERR046839"
              "M_tuberculosis_L4"
                                     "M_tuberculosis_L4"
"ERR046933"
               "M_tuberculosis_L5"
                                      "M_tuberculosis_L5"
"ERR072050"
               "M_tuberculosis_L4"
                                     "M_tuberculosis_L4"
"ERR1023314"
              "M_tuberculosis_L4"
                                     "M_tuberculosis_L4"
"ERR1023338"
              "M_tuberculosis_L3"
                                     "M_tuberculosis_L3"
"ERR1023449" "M_tuberculosis_L2"
                                     "M_tuberculosis_L2"
"ERR1034887" "M_tuberculosis_L4"
                                     "M_tuberculosis_L4"
```

each one reporting samples name, original class, and classification generated by random forest — there is no additional statistical information reported in the default output generated by randomForest.

### 4.1.3. Classifier for COVID-19 sequences (Hyena)

This is a rather more complex example, that showcases many of the good qualities of KPop (mainly its being high-throughput and accurate). It's also not for the faint of heart, in that it requires large amounts of disk space and computing time (at the time of this writing, the file containing all COVID-19 sequences made available on GISAID has an uncompressed size of ~303 GB, and counting). In fact, most of the needed preprocessing does not really have much to do with KPop, but we work out the complete example anyway for clarity's sake.

#### 4.1.3.1. Data preparation

We assume as a starting point that you have downloaded from GISAID and decompressed in your current directory the sequences.fasta file containing all the sequences available until that moment (note that the file is *not* publicly available — you'll have to obtain access to GISAID if you want to be able to download it). We'll also assume you have downloaded to your local directory the file lineages.csv containing the Pangolin designations of COVID-19 lineages. There are some ~1600 lineages — i.e., classes to recognise — that are currently in use.

So you'll have two files in your directory to start with,

```
sequences.fasta
lineages.csv
```

As a first step, we must extract the actual COVID-19 sequences that we need in order to train and test our classifier - the file lineages.csv does not contain the actual sequences, just their classification (which is assumed to be the ground truth). In order to do so, we run the following script:

```
$ CHUNK_BLOCKS=125; BLOCK_SIZE=$(( 1024 * 1024 )); rm -rf Split; mkdir Split; echo sequences.fasta | awk -v CHUNK_BLOCK
```

Although apparently daunting, the script is just performing a parallelised scan of the big file, in order to find the sequences specified in lineages.csv and write them as files — one per class — in the directory Split. In particular, the script is made of three parts, each one performing a specific task.

Indexing

```
CHUNK_BLOCKS=125
BLOCK_SIZE=$(( 1024 * 1024 ))
rm -rf Split
mkdir Split
echo sequences.fasta |
awk -v CHUNK_BLOCKS="$CHUNK_BLOCKS" -v BLOCK_SIZE="$BLOCK_SIZE" '

END{
get_size="ls -l \047"$0"\047 | awk \047{print $5}\047" |& getline size
close(get_size)
blocks=int((size+BLOCK_SIZE)/BLOCK_SIZE)
chunks=int((blocks+CHUNK_BLOCKS)/CHUNK_BLOCKS)
for (i=0;i<chunks;++i)
    print $0"\t"i*CHUNK_BLOCKS}
' | Parallel -l 1 -t $(( $(nproc) * 3 )) -- awk -F '\t' -v BLOCK_SIZE="$BLOCK_SIZE" '
{
```

```
get_chunk="dd bs="BLOCK_SIZE" if=\047"$1"\047 skip="$2" 2> /dev/null"
overhang=""
line=""
while (get_chunk |& getline line) {
   if (line==""||line="^>")
        break;
   overhang=overhang line"\n"
}
print $1"\t"($2*BLOCK_SIZE)+length(overhang)
close(get_chunk)
}' | awk -F '\t' '{if (NR>1) print $1"\t"old"\t"($2-old); old=$2}'
```

After creating an empty directory  $\mathtt{Split}$ , this script logically partitions the input file,  $\mathtt{sequences.fasta}$ , into blocks of 125 MB, or 125\*1024\*1024 B. As the sequences have variable length, however, before doing anything meaningful we have to locate the boundaries between blocks by locating where the first record after the logical boundary between each two blocks effectively starts. Notably, the script uses the Unix command  $\mathtt{dd}$  in order to stream the contents of the file starting from the logical boundaries between blocks rather than from the beginning — the operation is performed in parallel for many couples of blocks thanks to the use of  $\mathtt{Parallel}$ . The result is an index file in tabular form, with each line describing a block as a range, expressed as starting and ending position in bytes with respect to the beginning of the file. It should be noted that this technique is general and goes beyond this specific example — one could apply this script to any FASTA file, and even modify it to index other formats by suitably modifying the regular expression

```
line~"^>"
```

which located the beginning of the next FASTA record.

Parallel scan

```
Parallel -l 1 -- awk -F '\t' -v BLOCK_SIZE="$BLOCK_SIZE" '
 function remove_spaces(name,
                                  s) {
   split(name, s, "/")
   return gensub("[ \_]","","g",s[1])"/"s[2]"/"s[3]
 BEGIN {
   nr=0
   while (getline < "lineages.csv") {</pre>
     if (nr>1) {
       split($0,s,",")
        t[remove_spaces(gensub("^BHR/", "Bahrain/", 1, s[1]))]=s[2]
     }
   }
 function output_sequence() {
   ++counts[offset"/"class]
   print ">"name"\n"seq > "Split/"offset"/"class".fasta"
   return
 }
  {
   offset=$2
   system("mkdir -p Split/"offset)
   get_chunk="dd bs="BLOCK_SIZE" if=\047"$1"\047 iflag=\"skip_bytes,count_bytes\" skip="offset" count="$3" 2> /dev/nul
   first=0
   while (get_chunk |& getline) {
     if ($0~"^>") {
       if (first&&active)
         output_sequence();
       first=1
        split(substr($0,10),s,"[|]")
        res=remove spaces(s[1])
        if (res in t) {
         active=1
         name=res
         class=t[res]
          seq=""
       }
      } else {
        if (active)
          seq=seq $0
```

```
if (active)
   output_sequence()
}
END {
   for (i in counts)
     print i"\t"counts[i]
}
'
```

This is the core of the method, with several "worker" processes that are spawned and executed at the same time by Parallel. Each one operates on one of the chunks identified by the previous part, streaming it with dd and identifying the class each sequence belongs to by comparing it with the information contained in lineages.csv (some additional manipulations are needed in order to cope with several inconsistencies between the way metadata is represented in sequences.fasta and lineages.csv, but they are not really relevant to the general workflow).

Each worker outputs its results in a different directory named <code>split/<offset></code>, where <code><offset></code> is the position within <code>sequences.fasta</code> of the beginning of the chunk processed by the worker. The sequences seen by each worker are split into different sub-directories of <code>split/<offset></code>, named <code>split/<offset>/<lineage></code>, according to their class. Each worker also outputs a summary made of a series of records of the form

```
<offset> // eage> (Tab) <frequency>
```

that is subsequently fed to the next stage.

Sequential collection

```
awk -F '\t' '
{
    split($1,s,"/")
    offset=s[1]
    class=s[2]
    system("cat \"Split/"$1".fasta\" >> \"Split/"class".fasta\"; rm \"Split/"$1".fasta\"; rmdir --ignore-fail-on-non-em
    counts[class]+=$2
}
END{
    for (i in counts)
        print i"\t"counts[i]
}
' > Stats.txt
```

Implementing our usual convention, this last part accumulates the worker-specific results, which had been stored by each worker into a separate Split/<offset> directory, into global results that have the same structure (one sub-directory per lineage/class) but live in the split directory. Worker-specific files and temporary directories are then deleted. Finally, the script outputs a file named Stats.txt containing the number of sequences successfully found and written for each lineage.

Unfortunately this step cannot be easily parallelised, as a concurrent write to the same file by several processes would result in corruption of the file — so it turns out to be the bottleneck. We could implement some locking/mutexing mechanism, but, after all, we have to prepare data only once. So we sit down and wait a couple hours.

By the end of the script, the Split directory is populated by files names as COVID-19 lineages, such as

```
AY.4.2.fasta
BA.1.15.1.fasta
```

Each file contains (almost) all the sequences used as the ground truth for that lineage. Note that the procedure we used does not retreive all sequences because some of the ones used to train Pangolin are not in GISAID. In particular, the following command:

```
$ awk -F '\t' 'BEGIN{nr=0; while (getline < "lineages.csv") {++nr; if (nr>1&&$0~",") {split($0,s,","); found[s[2]]; ++l
```

first generates a table containing the number of sequences in each category both in the Pangolin set and returned by our procedure,

```
...
BA.1.13.1 1536 1536
AY.4.2.1 1289 1288
```

```
AY.4.2.2
              3241
                      3234
AY.4.2.3
              1237
                      1235
AY.4.2.4
              114
                      114
B.30 50
              50
     212
B.31
              166
B.1.36.10
              111
                      110
. . .
```

and then it only keeps categories for which our procedure has produced too much change or an insufficient number of sequences. Further inspection shows that the only really probelmatic categories are

```
B.60 74 2
AY.3.4 186 5
```

for which most of the sequences are unavailable in GISAID (and B.1.617, which only has 1 sequence in lineages.csv). We might find workarounds for that, but, as that would involve the downloading of more large datasets, we keep things simple and just exclude B.60 and AY.3.4 from the rest of the analysis.

At this point we just have to split each of the files containing lineage-specific sequences into training and test set, as usual. The following command does it:

```
$ rm -rf Train Test; mkdir Train Test; for FILE in Split/*.fasta; do BASE=$(basename $FILE); echo $BASE; done | Paralle
```

suitably populating the subdirectories Test and Train. As in most of the examples so far, we use Parallel to perform the splitting on many files in parallel and hence reduce the overall wallclock time taken by the command.

### 4.1.3.2. Data analysis

Once the preparation stage has been completed, the analysis proceeds exactly as in the M.tuberculosis example above.

First, we compute the spectra for the class representatives using KPopCount and KPopCountDB:

```
$ ls Train/*.fasta | Parallel -l 1 -- awk '{l=split($0,s,"/"); class=substr(s[l],1,length(s[l])-6); system("KPopCount --
```

This produces a classes. KPopCounter file which is ~2.0 GB. The command

```
$ KPopCountDB -i Classes --summary
```

confirms that indeed this database contains the spectra for all classes:

```
[Vector labels (1636)]: 'A.11' 'A.12' 'A.15' 'A.16' 'A.17' 'A.18' 'A.19' 'A.1' 'A.21' 'A.2.2' 'A.22' 'A.23.1' 'A.2.3' '
[Meta-data fields (0)]:
```

According to the usual procedure, we then twist the class representatives with KPopTwist:

```
$ KPopTwist -i Classes -v
```

and we use the resulting classifying twister to twist and accumulate the test sequences into file Test.KPopTwisted with KPopTwistDB:

```
$ cat Test/*.fasta | awk 'BEGIN{ok=1} {if ($0~"^>") {ok=!($0 in t); t[$0]} if (ok) print}' | Parallel -l 2 -- awk '{if
```

Note that right at the beginning of the script, with the part

```
awk 'BEGIN{ok=1} {if (0^{-^{^{^{^{^{^{^{^{0}}}}}}}}) {ok=!(0^{^{^{^{^{^{^{^{^{0}}}}}}}}) if (ok) print}'
```

we are removing repeated sequences (yes, apparently there are repeated sequences in GISAID ; ) as KPopTwistDB is unhappy with them and will bail out if it finds some in the input.

Also, as there are ~650K sequences to be classified, doing so will take long (~14h on the node I'm using for these tests). So, you might wish to further parallelise the process, as I did, by splitting the input into smaller files and processing them separately on different nodes. After that, you can merge together all the pieces with a command such as

```
$ KPopTwistDB -a t Test.aa -a t Test.ab ... -o t Test -v
```

The final size of the file Test. KPopTwisted containing all the ~650K twisted COVID-19 sequences in the test set is ~8.4 GB.

At this point, the analysis proceeds exactly as in the case of the previous section, with a command such as

```
$ KPopTwistDB -i t Test -d Classes -o d Test-vs-Classes -v
```

that allows us to compute all the distances of each test sequence from each of the "training" classes. However, given that in this case there is a very large number of distances to be computed, another and perhaps more appropriate strategy would have been not to merge the twisted test files into a single Test.KPopTwisted file, but rather to compute the distances from the twisted classes for each of the chunks with commands such as

```
$ KPopTwistDB -i t Test.aa -d Classes -o d Test-vs-Classes.aa
```

and then merge together all the distance files, as in

```
$ KPopTwistDB -a d Test-vs-Classes.aa -a d Test-vs-Classes.ab ... -o d Test-vs-Classes -v
```

One way or another, once a file Test-vs-Classes. KPopDMatrix containing all the distances has been generated, we can run

```
$ KPopTwistDB -i d Test-vs-Classes -s Test-vs-Classes -v
```

in order to produce the usual textual summary of the distances. The resulting file will be ~118 MB in size.

And quite likely, one would also wish to run something like the following commands:

```
$ cat Test-vs-Classes.KPopSummary.txt | awk 'function remove_spaces(name, s){split(name, s, "/"); return gensub("[ _]
$ cat Test-vs-Classes.KPopSummary.Truth.txt | tawk 'function strip_quotes(s){return substr(s,2,length(s)-2)} {one=strip}
```

to, first, annotate the summary with the original "true" classification of the sequences, and, second, generate a one-line summary of the results. The last command will produce something like:

```
641847 611770 95.3% 30077 4.69%
```

meaning that, of the 641,847 sequences present in the Test set, 611,770 (95.3%) were correctly classified, while 30,077 (4.69%) were not.

Note that as not all the classes describing lineages are disjoint, here we consider a classification correct if it is the same as, or a sublineage of, the original one (for instance, B.1.1 would be accepted if the initial category is B.1).

Finally, as discussed in more detail in our bioRxiv preprint, for this example you might wish to tune the definition of the distance by modifying the metric used. In order to do so, you would replace the command to compute distances we used previously with something like the following:

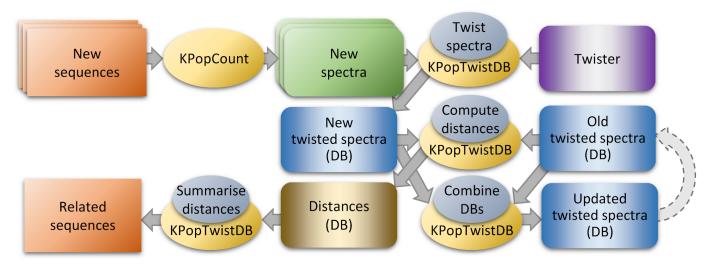
```
$ KPopTwistDB -m "sigmoid(1,1,5,5)" -i T Classes -i t Test -d Classes -o d Test-vs-Classes.sigmoid_1_1_5_5 -v
```

That takes into account in a different way the estimated importance of the different directions in twisted space, resulting in slightly better overall predictions.

### 4.2. Relatedness engine

The previous examples have all showcased KPop 's capability to encode sequences as vectors in twisted space and find the closest neighbours there. This correctly suggests that by using KPop one can also easily generate a "relatedness engine" out of a classification — i.e., a system finding the most similar sequences in a large database.

The overall strategy is illustrated in the following figure, which assumes that we have already generated a classifying twister (purple box) and a database of twisted sequences (second box from the top on the right) to start with:



Briefly, we can generate and twist new spectra (top left), find out their nearest neighbours in the database of twisted sequences (central line and bottom left), and add the new twisted spectra to the database (bottom right).

Here we illustrate the approach taking the previous exercise on COVID-19 as a starting point. For the sake of simplicity, we'll take as existing database the twisted test sequences (half of the GISAID database) in the file <code>Test.KPopTwisted</code>. The classifier will be the one we generated in the previous section and contained in the file <code>Classes.KPopTwister</code>. The command

```
cat Train/C.36.3.fasta | fasta-tabular | shuf | head -1 | tawk '{print $1 > "/dev/stderr"; print ">"$1"\n"$2}' | KPopCo
```

will then randomly select one sequence from the c.36.3 lineage and find the 300 sequences closest to it. A summary in the usual format will be output to file Related.KPopSummary.txt. Note that loading this specific database in memory is going to take long as the file is ~8.4 G, but one only needs to do it once when sequences are processed in batches.

## 4.3. Pseudo-phylogenetic trees

