

SECTION II - PERFORMANCE SUMMARY AND RATING

Name: DUY DANG (74509)

Art Unit: 2662

ITEM 1: Instructions

1. List each element in the performance plan; indicate whether it is critical/non-critical and what weight has been assigned to it.
2. Assign a rating level for each element: (5)Outstanding (4)Commendable(3)Fully Successful (2)Marginal/Minimal Satisfactory(SES) (1)Unacceptable/Unsatisfactory (SES)
3. Score each element by multiplying the weight by the rating level.
4. After each element has been scored,compute the total score by summing all individual scores.Total score can range from 100 to 500.

Performance Element	Critical or Non-critical (C or NC)	MBO	Individual Weights (sum must total 100)	Element Rating (1-5)	Score
I. Quality	C		30%	5	150
II. Production	C		30%	4	120
III. Docket Management	C		30%	5	150
IV. Professionalism and Stakeholder Interaction	C		10%	4	40
				TOTAL SCORE	460
For SES: Turn to reverse side and continue with Item 3.					

ITEM 2. PERFORMANCE RATING (Based on total score **except** that if any critical element is less than fully successful the rating can be no higher than the lowest critical element rating)

<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Outstanding	Commendable	Fully Successful	Marginal	Unacceptable
(460 - 500)	(380 - 459)	(290 - 379)	(200 - 289)	(100 - 199)

Rating Official's Signature	Title SPE	Date
Approving Official's Signature	Title Director	Date
Employee's Signature (Indicates appraisal meeting held)	Comments Attached <input type="checkbox"/> Yes <input type="checkbox"/> No	Date

Section III. - PERFORMANCE RECOGNITION (General Workforce only)

Award Type	Amount	Award %	Period Covered From:	Period Covered To:	Prorated?	Award Hours	Examining Related Hours Credited For Award Purposes	Prod/DM %	Salary
Gainsharing 849/C023_401PPEAAM0000100000	\$1683.09	1.00%	10/01/2022	09/30/2023	No	1742	46	103.00%	\$168309
Docket Management 849/C023_401PPEAAM0000100007	\$1262.32	0.75%	06/18/2023	09/30/2023	No	Full Time		166.00%	\$168309

Total Amount: **\$2945.41**

If the award period is less than full period (i.e., less than 4 full quarters for SAA), indicate reason below:

Rating Official's Signature	Title SPE	Date
Approving Official's Signature	Title Director	Date
Final Approving Authority's Signature		Date
Payment Authorized by Personnel Office		Date

Form CD-516 (REV 1-94)

Performance Summary and Rating for DUY DANG (74509), Patent Examiner (all grades), Art Unit 2662

Quality	5-Outstanding 4-Commendable 3-Fully Successful 2-Marginal 1-Unacceptable																																																																																																												
Item 5. Element Rating & Justification	Rating: 5																																																																																																												
<ul style="list-style-type: none"> With no preliminary instructions, Examiner Dang formulates or recommends appropriate action with respect to major activities 1-19 as set forth in Quality Tables 1 & 2 of the Examiner PAP. The error rate is calculated as follows: Error Rate = (Total # of Errors in evaluation period) / (Total # of actions submitted in final form during evaluation period) <ul style="list-style-type: none"> Total actions submitted in final form for evaluation period = 133 Total # of errors in evaluation period = 0 OVERALL ERROR RATE = 0/133 = 0% Examiner Dang's error rate is less than 6.49%, and the quality of the examiner's work substantially exceeds fully successful standards. Office actions include all three indicia, as identified within the PAP, except for rare occurrences. The following table specifies action numbers along with the type of indicia Examiner Dang demonstrated for each action number. Details of how each of the indicia were met were specified in Quality Tracker with emails sent to Examiner Dang. <table border="1"> <thead> <tr> <th>Review No.</th> <th>Application No.</th> <th>Post Date</th> <th>Initiating Reviewer Name</th> <th>Action Type</th> <th>Finalized</th> <th>Emailed</th> <th>Indicia 1 Met (Search and Prior Art)</th> <th>Indicia 2 Met (Clarity of the Record)</th> <th>Indicia 3 Met (Compact Prosecution)</th> <th>Summary</th> <th>Error Charged</th> </tr> </thead> <tbody> <tr> <td>404979</td> <td>17869164</td> <td>10/21/22</td> <td>BELLA, MATTHEW (...)</td> <td>CNTA</td> <td>Y</td> <td>Y</td> <td>Y</td> <td>Y</td> <td>Y</td> <td>All Indicia Met</td> <td>N</td> </tr> <tr> <td>404981</td> <td>17816113</td> <td>11/19/22</td> <td>BELLA, MATTHEW (...)</td> <td>CNTA</td> <td>Y</td> <td>Y</td> <td>Y</td> <td>Y</td> <td>Y</td> <td>All Indicia Met</td> <td>N</td> </tr> <tr> <td>431389</td> <td>16912519</td> <td>01/28/23</td> <td>BELLA, MATTHEW (...)</td> <td>CNTA</td> <td>Y</td> <td>Y</td> <td>Y</td> <td>Y</td> <td>Y</td> <td>All Indicia Met</td> <td>N</td> </tr> <tr> <td>431390</td> <td>17039837</td> <td>02/11/23</td> <td>BELLA, MATTHEW (...)</td> <td>CNTA</td> <td>Y</td> <td>Y</td> <td>Y</td> <td>Y</td> <td>Y</td> <td>All Indicia Met</td> <td>N</td> </tr> <tr> <td>453259</td> <td>16962908</td> <td>05/20/23</td> <td>SAINI, AMANDEEP (...)</td> <td>CTNF</td> <td>Y</td> <td>Y</td> <td>Y</td> <td>Y</td> <td>Y</td> <td>All Indicia Met</td> <td>N</td> </tr> <tr> <td>453283</td> <td>17515374</td> <td>05/20/23</td> <td>SAINI, AMANDEEP (...)</td> <td>CNTA</td> <td>Y</td> <td>Y</td> <td>Y</td> <td>Y</td> <td>Y</td> <td>All Indicia Met</td> <td>N</td> </tr> <tr> <td>475064</td> <td>18189776</td> <td>08/26/23</td> <td>SAINI, AMANDEEP (...)</td> <td>CNTA</td> <td>Y</td> <td>Y</td> <td>Y</td> <td>Y</td> <td>Y</td> <td>All Indicia Met</td> <td>N</td> </tr> <tr> <td>486565</td> <td>17090793</td> <td>09/09/23</td> <td>SAINI, AMANDEEP (...)</td> <td>CTNF</td> <td>Y</td> <td>N</td> <td>Y</td> <td>Y</td> <td>Y</td> <td>All Indicia Met</td> <td>N</td> </tr> </tbody> </table> <ul style="list-style-type: none"> Examiner Dang is encouraged to continue to search of all pertinent CPC symbols; make compliant 101, 102, 103, and 112 rejections; and respond thoroughly to all arguments provided by the applicant. Examiner Dang's performance is OUTSTANDING. 		Review No.	Application No.	Post Date	Initiating Reviewer Name	Action Type	Finalized	Emailed	Indicia 1 Met (Search and Prior Art)	Indicia 2 Met (Clarity of the Record)	Indicia 3 Met (Compact Prosecution)	Summary	Error Charged	404979	17869164	10/21/22	BELLA, MATTHEW (...)	CNTA	Y	Y	Y	Y	Y	All Indicia Met	N	404981	17816113	11/19/22	BELLA, MATTHEW (...)	CNTA	Y	Y	Y	Y	Y	All Indicia Met	N	431389	16912519	01/28/23	BELLA, MATTHEW (...)	CNTA	Y	Y	Y	Y	Y	All Indicia Met	N	431390	17039837	02/11/23	BELLA, MATTHEW (...)	CNTA	Y	Y	Y	Y	Y	All Indicia Met	N	453259	16962908	05/20/23	SAINI, AMANDEEP (...)	CTNF	Y	Y	Y	Y	Y	All Indicia Met	N	453283	17515374	05/20/23	SAINI, AMANDEEP (...)	CNTA	Y	Y	Y	Y	Y	All Indicia Met	N	475064	18189776	08/26/23	SAINI, AMANDEEP (...)	CNTA	Y	Y	Y	Y	Y	All Indicia Met	N	486565	17090793	09/09/23	SAINI, AMANDEEP (...)	CTNF	Y	N	Y	Y	Y	All Indicia Met	N
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Production	5-Outstanding 4-Commendable 3-Fully Successful 2-Marginal 1-Unacceptable
Item 5. Element Rating & Justification	Rating: 4
<ul style="list-style-type: none"> Examiner Dang's production at End of Year is 103%. Examiner Dang 's performance during the rating period is COMMENDABLE. 	
Item 5a. Approving/Appointing Authority Comments and Signature Required only if approving/appointing authority changes rating Official's element rating in Item 5.	

Docket Management	5-Outstanding 4-Commendable 3-Fully Successful 2-Marginal 1-Unacceptable
Item 5. Element Rating & Justification	Rating: 5
<ul style="list-style-type: none"> Examiner Dang 's docket management score at End of Year is 165%. Examiner Dang 's performance during the rating period is OUTSTANDING. 	
Item 5a. Approving/Appointing Authority Comments and Signature Required only if approving/appointing authority changes rating Official's element rating in Item 5.	

Professionalism and Stakeholder Interaction	5-Outstanding 4-Commendable 3-Fully Successful 2-Marginal 1-Unacceptable
Item 5. Element Rating & Justification	Rating: 4
<ul style="list-style-type: none"> Except for rare exceptions, all major activities identified are performed in a timely and courteous manner. The examiner timely conducts interviews with external stakeholders as scheduled with adequate preparation in a courteous manner, assists with appeal brief conferences for colleagues, and search consultation and other assistance to the public and peers. Examiner Dang is reminded to submit work consistently with respect to examining hours on a bi-weekly basis throughout the fiscal year. Examiner Dang 's performance during the rating period is COMMENDABLE. 	
Item 5a. Approving/Appointing Authority Comments and Signature Required only if approving/appointing authority changes rating Official's element rating in Item 5.	

Acknowledgement that Appraisal Meeting was held.			
Employee Initials	Date	Supervisor Initials	Date