## **Diversity Profile**

**Firmwide** 

| Job Categories   | Total | M |   | Black or African<br>American |   |   | Asian |   |    | Native Hawaiian or<br>Other Pacific<br>Islander |   |   | American Indian or<br>Alaska Native |     |        | Two or More Races |   |   | White |   |   |   |        |                                       |
|--|-------|---|---|------------------------------|---|---|-------|---|----|---|---|---|-------------------------------------|-----|--------|-------------------|---|---|-------|---|---|---|--------|---------------------------------------|
|  |       |   | F | Total                        | м | F | Total | M | F  | Total   | M | F | Total                               | · M | k.F.s. | Total             | М | F | Total | M | E | Male                                    | Female | Unknown                               |
| Board of Directors and CEO, CFO & COO                        |       |   |   |                              |   |   |       |   |    |   |   |   |                                     |     |        |                   |   |   |       |   |   |   |        |                                       |
| Investment<br>Professionals <sup>1</sup>                     |       |   |   |                              |   |   |       |   |    |   |   |   |                                     |     |        |                   |   |   |       |   |   |   |        |                                       |
| Total Compensation,<br>including Profit Sharing <sup>2</sup> |       |   |   |                              | 1 |   |       |   | -2 | 73.1.1  |   |   |                                     |     |        |                   |   |   |       |   |   | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |        | · · · · · · · · · · · · · · · · · · · |

Note: You may provide other diversity information on personnel. Use additional sheets if necessary. Please ensure each individual is counted only once for each category.

1: "Investment Professionals" includes all professionals who have a role in investment decision-making at the firm including portfolio managers, analysts, traders and others.
2: Report for Investment Professionals only and report only percentages of compensation, not actual compensation amounts, for Investment Professionals in each category.

Individual responses will be kept confidential to the fullest extent permitted by law.