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ECSE 420 - Assignment 3 Report

Question 1:

1.1) L' represents the cache size/4 since we assume a cache line size of 4 words.

Time t_0 represents the cache hit time.

- **1.2)** When L is larger than L' then we have more words than the amount we can store in the cache which implies that we will have a cache miss. Hence time t_1 represents the average time to access the array when an element is not in the cache.
- **1.3)** Part 1 of the graph is when the entire array fits within the cache which implies a constant access time.

Part 2 of the graph is when access can result in either a cache hit or a cache miss therefore we do not have a constant access time. We can see that as the stride increases, the access time increases which makes sense as we will more likely have a cache miss.

Part 3 of the graph is when every access will result in a cache miss hence we get a constant access time but it is much larger than part 1 where every access is a cache hit.

1.4) The padding technique used in Anderson Lock can degrade the overall performance of the lock by causing more cache misses since we are adding more elements to the array. This would undo any performance benefit we get from using Anderson Lock.

Question 2:

2.1)

The code for the *contains()* function can be found in the Appendix. The method is similar to

how *add()* and *remove()* methods were implemented in chapter 9 of the textbook. The function simply iterates over the nodes and verifies if the current node is the appropriate key. If we've found the node we return True if not we return False.

2.2)

The code for testing the *contains()* function can be found in the Appendix. The test first creates X amount of threads. Then add items to the Linked List using add(). Once it has added them, the thread sleeps for some time. We then verify if the element was added using contains(), and remove that node from the Linked List using remove(). Finally, we print the LinkedList. If our implementation and test are correct, we should print an empty LinkedList like so:



If any of the functions do not succeed we print an error message. These error message are for the add, remove and contains function. We ran this implementation with various numbers of threads and items and it always returned a successful result.

Question 3:

- **3.1)** Please see the code under LockBasedQueue Appendix for our implementation.
- 3.2) When transforming our algorithm to be lock-free we ran into difficulty where the value of the head, tail, and size variables would be incorrect due to race conditions. In order to fix this, we changed these variables from regular integers to atomic integers. This ensured we had accurate values for head, tail, and size and that the queue was not empty during dequeuing and not full during enqueuing.

Question 4:

4.1)

The algorithm for sequential multiplication has a similar approach to that done in Assignment 1

when sequentially multiplying two matrices together. We first create a random matrix using code from assignment 1 and a random vector that is based on assignment 1. We then compute the dot product on rows of the matrix with the vector.

4.2)

The algorithm for parallel multiplication splits the problem into multiple tasks where each task was in charge of calculating one entry of the resulting vector. That is multiplying one row of the matrix by one column of the vector. Similar to 4.1 where we multiply every row by the vector. We do this in parallel.

4.3)

We recorded the execution time of the sequential multiplication and parallel multiplication using a 2000x2000 dimension matrix multiplicated by a 2000x1 dimension vector. The matrix and vector were generated using random numbers from 0 to 10 using methods created in assignment 1. The execution time for the sequential multiplication was 0.023 seconds and the parallel multiplication was 0.005 seconds using 3 threads. We ran the parallel multiplication experiment with 10 different threads and recorded their results and returned the number of threads that were the least time-consuming. We plotted the number of threads vs. execution time in figure 1. The speedup on the processor is the time taken by one processor divided by the time taken for x number of processors. Hence the speedup using 3 processors was 0.023 / 0.005 =4.6.

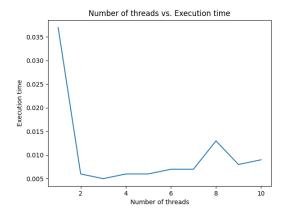


Figure 1: Number of threads vs. Execution time(s)

4.4)

Each of the subtasks performs n multiplications and n-l additions where n is the number of entries in the matrix. There are n subtasks meaning the work is n * (n + n - l) which is $O(n^2 + n^2 - n) = O(n^2)$. Since the critical path can be executed in parallel, the critical path would be the cost of one subtask being O(n). The parallelism is the work divided by the critical path which is $O(n^2) / O(n) = O(n)$.

Appendix

Figure 2 - Fine Grain:

To run this file, simply run the following commands from the src folder

- javac ca/mcgill/ecse420/a2/FineGarin.java ca/mcgill/ecse420/a2/Node.java ca/mcgill/ecse420/a2/FineGarin.java
- java ca/mcgill/ecse420/a2/TestFineGrain

FineGrain.java

```
You, 4 minutes ago | 1 author (You)
package ca.mcgill.ecse420.a3;
import ca.mcgill.ecse420.a3.Node;
You, 4 minutes ago | 1 author (You)
public class FineGrain<T> {
  private static Node head;
  public FineGrain() {
    head = new Node<>(Integer.MAX_VALUE);
    head.next = new Node<>(Integer.MAX_VALUE);
  // Code taken from chapter 9
  public boolean add(T item) {
    int key = item.hashCode();
    head.lock();
    Node prev = head;
    try {
      Node curr = prev.next;
      curr.lock();
      try {
        while (curr.key < key) {</pre>
          prev.unlock();
          prev = curr;
          curr = curr.next;
          curr.lock();
        if (curr.key == key) {
        Node newNode = new Node<T>(item);
        newNode.next = curr;
        prev.next = newNode;
      } finally {
        curr.unlock();
    } finally {
      prev.unlock();
```

```
// Code taken from chapter 9
47
        public boolean remove(T item) {
48
          Node prev = null;
49
          Node curr = null;
50
51
52
          int key = item.hashCode();
          head.lock();
53
54
          try {
55
56
            prev = head;
57
            curr = prev.next;
            curr.lock();
58
59
            try {
              while (curr.key < key) {</pre>
60
61
                prev.unlock();
62
                prev = curr;
63
                curr = curr.next;
64
                curr.lock();
65
              if (curr.key == key) {
66
                prev.next = curr.next;
67
68
                return true;
69
70
              return false;
            } finally {
71
              curr.unlock();
72
73
          } finally {
74
            prev.unlock();
75
          }
76
77
78
```

```
// Question 2.1
        public boolean contains(T item) {
          Node prev = null;
          Node curr = null;
           int key = item.hashCode();
          head.lock();
87
          try {
            prev = head;
            curr = prev.next;
            curr.lock();
            try {
              while(curr.key < key) {</pre>
                prev.unlock();
                 prev = curr;
                 curr = curr.next;
                 curr.lock();
              Only modification to add and remove.
              If we've found the node, we return true
              if (curr.key == key){
             } finally {
              curr.unlock();
          } finally {
            prev.unlock();
112
        public static void printLinkedList() {
          Node curr = head.next;
          String linkedList = "";
          while(curr.item != null) {
            linkedList += "[ " + curr.item.toString() + " ]";
            curr = curr.next;
            if (curr.next != null){
              linkedList += " -> ";
          // If we have no nodes, add an empty one to make it look nice nice
           if (linkedList == ""){
            linkedList = "[]";
          System.out.println(linkedList);
           if (linkedList == "[]") {
            System.out.println("Successfully 1. added, 2. found and 3. removed every node \( \nabla^{\pi} \);
```

```
You, last week | 1 author (You)
      package ca.mcgill.ecse420.a3;
      import java.util.concurrent.locks.Lock;
      import java.util.concurrent.locks.ReentrantLock;
     You, last week | 1 author (You)
      public class Node<T> {
       T item;
       int key;
       Node next;
10
       Lock lock;
11
12
13
       Node(int key) {
14
          this.item = null;
15
          this.key = key;
          this.lock = new ReentrantLock();
17
       Node(T item) {
          this.item = item;
21
          this.key = item.hashCode();
         this.lock = new ReentrantLock();
22
23
25
        public void lock() {
        this.lock.lock();
27
28
29
30
        public void unlock() {
        this.lock.unlock();
32
33
34
```

TestFineGrain.java

```
package ca.mcgill.ecse420.a3;
import java.util.concurrent.ExecutorService;
import java.util.concurrent.Executors;
import java.util.concurrent.TimeUnit;
import ca.mcgill.ecse420.a3.FineGrain;
import ca.mcgill.ecse420.a3.Node;
You, 2 minutes ago | 1 author (You)
public class TestFineGrain {
  public static int NUM_THREADS = 3;
 public static int NUM_ITEMS = 10;
  public static int THREAD_ITEMS = NUM_ITEMS / NUM_THREADS;
 public static FineGrain<Integer> fineGrain= new FineGrain<>();
  public static void main(String[] args) {
   ExecutorService executorService = Executors.newFixedThreadPool(NUM_THREADS);
    for (int i = 0; i < NUM_THREADS; i++){</pre>
     executorService.execute(new NodeRunnable(i));
   executorService.shutdown();
   try {
     executorService.awaitTermination(10, TimeUnit.SECONDS);
   } catch (InterruptedException e) {
     e.printStackTrace();
    fineGrain.printLinkedList();
```

```
You, 2 minutes ago | 1 author (You)
34
        public static class NodeRunnable implements Runnable {
          int thread_num;
36
         public NodeRunnable(int t_num) {
38
           this.thread_num = t_num;
40
         @Override
         public void run() {
           // Each thread adds THREAD_ITEMS to the linked list
            for (int thread_item = 0; thread_item < THREAD_ITEMS; thread_item++) {</pre>
              int item = thread_num + THREAD_ITEMS * thread_item;
              if (!fineGrain.add(item)) {
               System.out.println("Failed to add " + item);
              try {
53
               Thread.sleep(10);
              } catch (InterruptedException e) {
                e.printStackTrace();
              if (fineGrain.contains(item)) {
               if (!fineGrain.remove(item)) {
60
                  System.out.println("Failed to Remove " + item);
              } else {
               System.out.println("Failed to Find " + item);
```

Figure 4 - Bounded Lock-Based Queue:

To run this file, simply run the following commands from the src folder

- javac ca/mcgill/ecse420/a3/LockBasedQueue.java ca/mcgill/ecse420/a3/LockFreeQueue.java ca/mcgill/ecse420/a3/TestQueue.java
- ca.mcgill.ecse420.a3.TestQueue

LockBasedQueue.java

```
package ca.mcgill.ecse420.a3;
     import java.util.concurrent.atomic.AtomicInteger;
     import java.util.concurrent.locks.Condition;
     import java.util.concurrent.locks.ReentrantLock;
     // Bounded lock-based blocking queue
     public class LockBasedQueue<T> {
         public ReentrantLock enqLock, deqLock; // Locks for enqueue and dequeue
         public Condition notEmptyCondition, notFullCondition; // Conditions whether queue is empty or not
         public AtomicInteger size;
                                                            // Amount of used slots in queue
         public int head;
                                                 // Head entry
         public int tail;
         public int capacity;
         public Object[] queue;
         public LockBasedQueue(int capacity) {
19
             this.queue = new Object[capacity];
             this.head = 0;
             this tail = this head;
             this.capacity = capacity;
             this.size = new AtomicInteger(0);
             this.enqLock = new ReentrantLock();
             this.deqLock = new ReentrantLock();
             this.notFullCondition = enqLock.newCondition();
             this.notEmptyCondition = deqLock.newCondition();
         // Add object to the end of the queue
         public void enqueue(T value) {
             if (value == null) {
                 throw new NullPointerException();
             }
             boolean isQueueEmpty = false;
             enqLock.lock();
             try {
                 // ensure queue is not full when enqueuing, otherwise wait until not full
                 while (this.size.get() == this.capacity) {
                        this.notFullCondition.await();
                     } catch (InterruptedException e) {}
                 add(value);
                 if (this.size.getAndIncrement() == 0) {
                     isQueueEmpty = true;
             } finally {
                 this.enqLock.unlock();
```

```
// set the dequeue locks to prevent a dequeue in an empty queue
              if (isQueueEmpty) {
                  this.deqLock.lock();
                  try {
                      this.notEmptyCondition.signalAll();
                  } finally {
64
                      this.deqLock.unlock();
          public T dequeue() {
              T value:
              boolean isQueueFull = false;
              this.deqLock.lock();
              try {
                  // ensure queue is not empty when dequeuing, otherwise wait until not empty
80
                  while (this.size.get() == 0) {
                          this.notEmptyCondition.await();
84
                      } catch (InterruptedException e) {}
                  value = remove();
                  if (this.size.getAndDecrement() == this.capacity) {
                      isQueueFull = true;
              } finally {
94
                  this.deqLock.unlock();
              // set the enqueue locks to prevent a enqueue in a full queue
              if (isQueueFull) {
                  this.enqLock.lock();
100
                  try {
                      this.notFullCondition.signalAll();
                  } finally {
                      this.enqLock.unlock();
              return value;
```

```
// add element to tail of queue
109
110
          public void add(T element) {
              final Object[] items = this.queue;
111
              items[this.tail] = element;
112
              // set tail to first element if reach capacity
113
              if (++this.tail == items.length) {
114
               this.tail = 0;
115
              }
116
117
118
119
          // remove element in the head of the queue
120
          public T remove() {
              final Object[] items = this.queue;
121
122
              T element = (T) items[this.head];
123
              items[this.head] = null;
              // set head to first element if reach capacity
124
              if (++this.head == items.length)
125
                  this.head = 0;
126
127
              return element;
128
129
```

LockFreeQueue.java

```
Nicholas Nikas, 18 hours ago | 1 author (Nicholas Nikas)
package ca.mcgill.ecse420.a3;
import java.util.concurrent.atomic.AtomicInteger;
import java.util.concurrent.atomic.AtomicReferenceArray;
Nicholas Nikas, 18 hours ago | 1 author (Nicholas Nikas)
// bounded lock-free blocking queue
public class LockFreeQueue<T> {
    public AtomicReferenceArray<T> queue;
    public AtomicInteger head, tail, size;
    public int capacity;
    public LockFreeQueue(int maxSize) {
        this.capacity = maxSize;
        this.queue = new AtomicReferenceArray<>(maxSize);
        this.head = new AtomicInteger(0);
        this.tail = new AtomicInteger(0);
        this.size = new AtomicInteger(0);
    // add object to the end of the queue
    public void enqueue(T value) {
        int size = this.size.get();
        while (size == this.capacity || !this.size.compareAndSet(size, size + 1)) {
            size = this.size.get();
        this.queue.set(this.tail.getAndIncrement(), value);
        if (this.tail.get() == this.capacity) {
            this.tail.set(0);
    // remove and return the head of the queue
    public T dequeue() {
        int size = this.size.get();
        while (size == 0 || !this.size.compareAndSet(size, size - 1)) {
            size = this.size.get();
        T value = this.queue.getAndSet(this.head.getAndIncrement(), null);
        if (this.head.get() == this.capacity) {
            this.head.set(0);
        return value;
```

TestQueue.java

```
You, 7 minutes ago | 2 authors (Nicholas Nikas and others)
     package ca.mcgill.ecse420.a3;
     You, 7 minutes ago | 2 authors (Nicholas Nikas and others)
     public class TestOueue {
          public static void main(String[] args) {
              LockBasedQueue<Integer> lbq = new LockBasedQueue<>(4);
              lbq.enqueue(1);
              lbq.enqueue(2);
              lbq.enqueue(3);
              lbq.enqueue(4);
             System.out.println(lbq.queue[lbq.head]); // Should output 1
             System.out.println(lbq.queue[lbq.tail]); // Should output 1
              lbq.dequeue();
              lbq.enqueue(5);
              lbq.dequeue();
18
              lbq.enqueue(6);
              System.out.println(lbq.queue[lbq.head]); // Should output 3
             System.out.println(lbq.queue[lbq.tail]); // Should output 3
              lbq.dequeue();
              lbq.dequeue();
              lbq.dequeue();
              lbq.dequeue();
              System.out.println(lbq.queue[lbq.head]); // Should output null
             System.out.println(lbq.queue[lbq.tail]); // Should output null
              LockFreeQueue<Integer> lfq = new LockFreeQueue<>(4);
              lfq.enqueue(1);
              lfq.enqueue(2);
              lfq.enqueue(3);
              lfq.enqueue(4);
              System.out.println(lfq.queue.get(lfq.head.get())); // Should output 1
             System.out.println(lfq.queue.get(lfq.tail.get())); // Should output 1
              lfq.dequeue();
             lfq.enqueue(5);
              lfq.dequeue();
             lfq.enqueue(6);
             System.out.println(lfq.queue.get(lfq.head.get())); // Should output 3
             System.out.println(lfq.queue.get(lfq.tail.get())); // Should output 3
              lfq.dequeue();
              lfq.dequeue();
              lfq.dequeue();
              lfq.dequeue();
             System.out.println(lfq.queue.get(lfq.head.get())); // Should output null
              System.out.println(lfq.queue.get(lfq.tail.get())); // Should output null
```

Figure 5 - Sequential and Parallel Multiplication:

To run this file, simply run the following commands from the src folder

- javac javac ca/mcgill/ecse420/a3/Vector.java ca/mcgill/ecse420/a3/Matrix.java ca/mcgill/ecse420/a3/ParallelMatrixVectorMulti.java ca/mcgill/ecse420/a3/TestMatrixVectorMultiply.java ca/mcgill/ecse420/a3/ParallelMatrixVectorMulti.java
- java ca.mcgill.ecse420.a3.TestMatrixVectorMulitply

Matrix.java

```
src > ca > mcgill > ecse420 > a3 > 👙 Matrix.java > 😭 Matrix > 😯 Matrix(double[][], int, int, int)
      package ca.mcgill.ecse420.a3;
      public class Matrix {
        int dimension;
        double[][] data;
        int rowDisplace, colDisplace;
        public Matrix(int d) {
          dimension = d;
          rowDisplace = 0;
          colDisplace = 0;
          data = new double[d][d];
        Matrix(double[][] matrix, int x, int y, int d) {
          data = matrix;
          rowDisplace = x;
          colDisplace = y;
          dimension = d;
        public double get(int row, int col) {
         return data[row + rowDisplace][col + colDisplace];
        public void set(int row, int col, double val) {
          data[row + rowDisplace][col + colDisplace] = val;
        public int getDim() {
         return dimension;
        public Matrix[][] split() {
          Matrix[][] result = new Matrix[2][2];
          int newDimension = dimension / 2;
          result[0][0] = new Matrix(data, rowDisplace, colDisplace, newDimension);
          result[0][1] = new Matrix(data, rowDisplace, colDisplace + newDimension, newDimension);
          result[1][0] = new Matrix(data, rowDisplace + newDimension, colDisplace, newDimension);
          result[1][1] = new Matrix(data, rowDisplace + newDimension, colDisplace + newDimension, newDimension);
          return result;
         * @param numRows number of rows
          * @param numCols number of cols
         * @return matrix
        public void generateRandomMatrix() {
           for (int row = 0 ; row < dimension ; row++ ) {</pre>
            for (int col = 0; col < dimension; col++) {
              data[row][col] = (double) ((Math.random() * 10.0));
```

```
58
59
        /**
60
        * Prints a matrix
61
        * @params None
62
        * @return None
63
        */
64
        public void printMatrix() {
65
          for (int row = 0 ; row < dimension ; row++ ) {</pre>
            for (int col = 0 ; col < dimension ; col++ ) {</pre>
66
              System.out.print(data[row][col] + " ");
67
68
           System.out.println();
69
70
71
72
73
```

Vector.java

```
int dimension;
int rowDisplace;
  dimension = d;
   rowDisplace = 0;
  rowDisplace = x;
  dimension = d;
return data[row + rowDisplace];
public void set(int row, double value) {
  data[row + rowDisplace] = value;
return dimension;
 public int getDim() {
 public Vector[] split() {
 Vector[] result = new Vector[2];
int newDimension = dimension / 2;
result[0] = new Vector(data, rowDisplace, newDimension);
  result[1] = new Vector(data, rowDisplace + newDimension, newDimension);
 * Code taken from Assignment 1
* Populates a matrix of given size with randomly generated integers between 0-10.
* @param numRows number of rows
 * @param numCols number of cols
 public void generateRandomVector() {
 public void printVector() {
  for (int row = 0 ; row < dimension ; row++ ) {</pre>
      System.out.println(data[row]);
   System.out.println();
```

```
64
       public boolean isSame(Vector v) {
65
         if (v.dimension != dimension) {
66
         return false;
69
         for (int row = 0; row < v.dimension; row ++) {</pre>
           if (v.get(row) != data[row]) {
70
            return false;
71
72
73
74
         return true;
76
77
```

SeqMatrixVectorMultiplic.java

```
You, 1 hour ago | 1 author (You)
     package ca.mcgill.ecse420.a3;
     You, 1 hour ago | 1 author (You)
     public class SeqMatrixVectorMultiplic {
       public static void main(String[] args) {}
        * Returns the result of a sequential matrix and vector multiplication
        * The Matrix and vector are randomly generated
        * @param matrix is the matrix
        * @param vector is the vector
        * @return the result of the multiplication
       public static Vector multiply(Matrix matrix, Vector vector) {
14
         int rows = matrix.dimension;
         int cols = vector.dimension;
18
         Vector vector_res = new Vector(rows);
          if (rows != cols) {
           throw new ArithmeticException("Invalid Matrix dimensions");
          for (int row = 0; row < rows; row++) {</pre>
           for (int col = 0; col < cols; col++) {</pre>
            vector_res.set(row, vector_res.get(row) + matrix.get(row, col) * vector.get(col));
          return vector_res;
```

ParallelMatrixMulti.java

```
src > ca > mcgill > ecse420 > a3 > 👙 ParallelMatrixVectorMulti.java > 😭 ParallelMatrixVectorMulti > 😚 multiply(Matrix, Vector, int)
       You, 1 hour ago | 1 author (You)
      package ca.mcgill.ecse420.a3;
      import java.util.concurrent.ExecutorService;
      import java.util.concurrent.Executors;
       import java.util.concurrent.TimeUnit;
       You, 1 hour ago | 1 author (You)
      public class ParallelMatrixVectorMulti {
        public int NUM_THREADS;
        public Matrix matrix;
        public Vector vector;
        public ParallelMatrixVectorMulti(Matrix matrix, Vector vector, int NUM_THREADS) {
          this.matrix = matrix;
           this.vector = vector;
           this.NUM_THREADS = NUM_THREADS;
 18
         public static Vector multiply(Matrix a, Vector b, int num_threads) {
          Vector c = new Vector(b.dimension);
           int m_size = a.dimension;
          ExecutorService exec = Executors.newFixedThreadPool(num_threads);
 22
           for (int col = 0; col < m_size; col++) {</pre>
            exec.execute(new OneEntryMultiply(a, b, c, col));
          exec.shutdown();
          try {
            exec.awaitTermination(10, TimeUnit.SECONDS);
         } catch (InterruptedException e) {
            e.printStackTrace();
          return c;
        static class OneEntryMultiply implements Runnable {
          private Matrix a;
          private int MATRIX_SIZE, col;
           public OneEntryMultiply(Matrix a, Vector b, Vector c, int col){
            this.a = a;
            this.b = b;
             this.c = c;
             this.MATRIX_SIZE = a.dimension;
             this.col = col;
          @Override
           public void run() {
            for (int row = 0; row < MATRIX_SIZE; row++) {
               c.set(col, c.get(col) + a.get(col, row) * b.get(row));
```

TestMatrixVectorMultiply.java

```
src > ca > mcgiii > ecse420 > a3 >
                                TestMatrixvectorMulitply.java > ≒ TestMatrixvectorMulitply > ♥ main(String[])
      package ca.mcgill.ecse420.a3;
      import java.util.concurrent.ExecutionException;
      import java.util.Arrays;
 5
      public class TestMatrixVectorMulitply {
       private static final int MAX_NUM_THREADS = 10;
 8
       private static final int MATRIX_SIZE = 2000;
        public static void main(String [] args) throws InterruptedException, ExecutionException {
         Matrix m = new Matrix(MATRIX_SIZE);
         m.generateRandomMatrix();
         // if (MATRIX_SIZE < 15) {
         Vector v = new Vector(MATRIX_SIZE);
          v.generateRandomVector();
          // if (MATRIX_SIZE < 15) {
          // System.out.println("The vector to multiply");
          System.out.println();
          double start = System.currentTimeMillis();
          Vector res_seq = SeqMatrixVectorMultiplic.multiply(m, v);
32
          double end = System.currentTimeMillis();
          // if (MATRIX_SIZE < 15) {
          System.out.println("[TIME] Sequential time: " + (end - start) / 1000.0 + " seconds");
40
          System.out.println("----");
          double[] parallelMultiplyTimes = new double[MAX_NUM_THREADS];
          Vector res_parallel = new Vector(10);
          for (int i = 1; i < MAX_NUM_THREADS+1; i++) {
           start = System.currentTimeMillis();
           res_parallel = ParallelMatrixVectorMulti.multiply(m, v, i);
           end = System.currentTimeMillis();
            // if (MATRIX_SIZE < 15) {</pre>
               res_parallel.printVector();
56
            parallelMultiplyTimes[i - 1] = (end - start) / 1000.0; You, 20 minutes ago * Uncommitted changes
```