



# Series and Recurrences

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# Topics

- Arithmetic Progression
- Geometric Progression
- Harmonic Series and Approximation
- Recurrences
- Master Theorem
  - Statement
  - Examples
  - Proof

- **Example:** A coffee shop in a city usually has 200 visitors between 10:00 a.m. and 6:00 p.m. After a while, its customers kept increasing by 5 every day for 10 days in a row.

200, 205, 210, 215, 220, 225, 230, 235, 240, 245

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- **An Arithmetic Progression (AP)** is a sequence of numbers such that the difference between the consecutive terms is constant.

$$a_n = a_1 + (n - 1)d,$$

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- **Sum of AP:**  $S_n = \frac{n}{2} [2a_1 + (n - 1)d].$

- **Example:** Suppose you watch a movie on its first day of releases, and recommend it to five friends, who watch it on the second day, and recommend it 5 friends each, ..... for 7 days

1, 5, 25, 125, 625, 3125, 15625



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- **Sum of GP:** 
$$\sum_{k=1}^n ar^{k-1} = \frac{a(1 - r^n)}{1 - r}.$$

Answer:  $(1-5^7)/(1-5) = 78124/4 = \underline{\underline{19531}}$  ( $r = 5, a = 1, n = 7$ )

- **Example:** On day 1 a beggar finds a house that donates 1Re a day. On day 2 another beggar joins him and the Re is split. Third day another beggar joins so on..... so how does the first beggars share progress  
1,  $1/2$ ,  $1/3$ ,  $1/4$ ,  $1/5$ ,  $1/6$ .....

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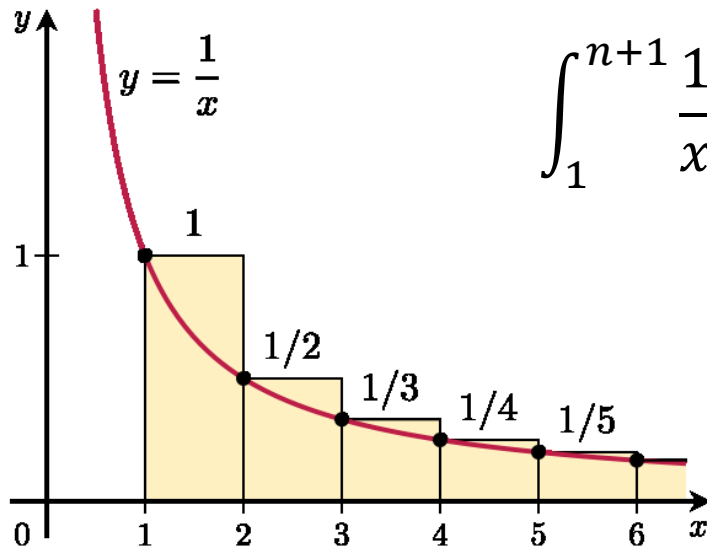
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$$\int_1^{n+1} \frac{1}{x} dx = \ln(n+1) < H_n < \ln(n+1) + 1$$

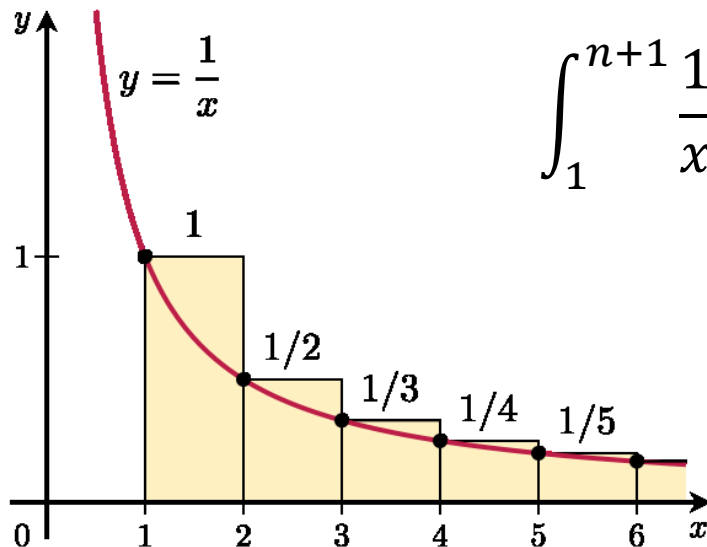
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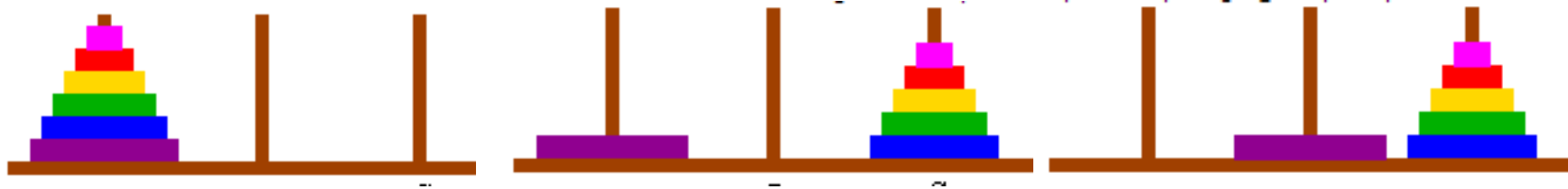
$$\int_1^{n+1} \frac{1}{x} dx = \ln(n+1) < H_n < \ln(n+1) + 1$$

So the beggar can make potentially make an unbounded amount of money but the net income grows rather slowly.

1yr ~ 5.9 Re  
10yrs ~ 8.2Re  
100yrs ~ 10.5Re

# Example: Analysis Of Recursive Algorithms

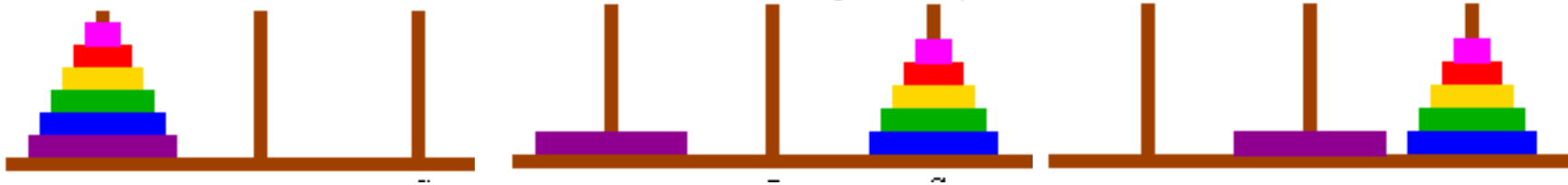
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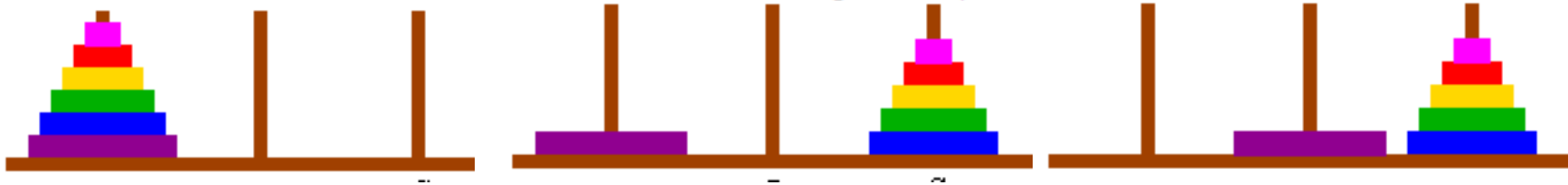
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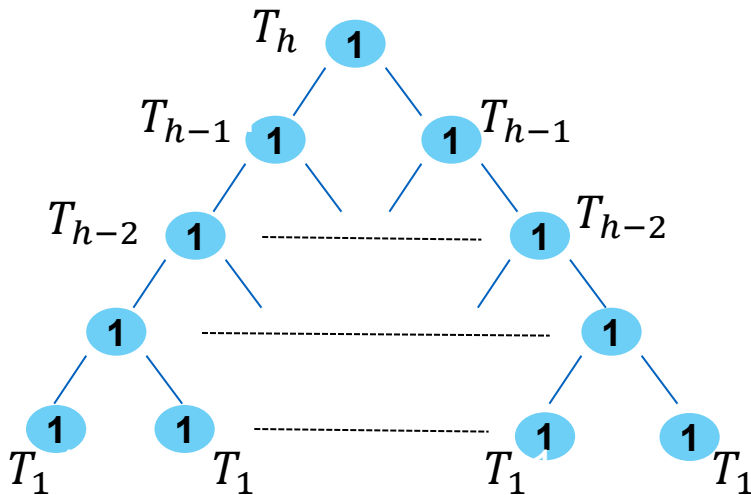
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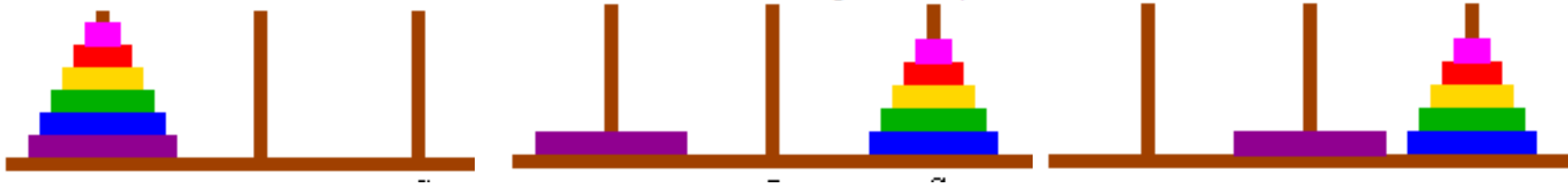


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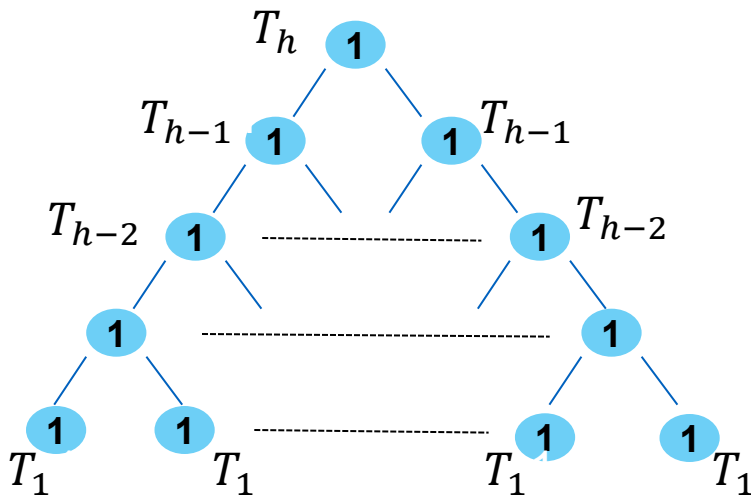


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- Example: Tower of Hanoi



- Recursion:  $T_h = 2T_{h-1} + 1$



Counting the number of leaves gives us the solution. The number of leaves at each level form a **GP**

$$1 + 2 + 4 + \dots + 2^{k-1} + \dots + 2^{h-1}$$

$$T_h = 2^h - 1$$

# Merge Sort

- Sort the list of  $n$  elements recursively
  - Split list into two lists of size  $n/2$
  - Sort the two lists
  - Merge the sorted lists

input

A	L	G	O	R	I	T	H	M	S
---	---	---	---	---	---	---	---	---	---

sort left half

A	G	L	O	R
---	---	---	---	---

I	T	H	M	S
---	---	---	---	---

sort right half

A	G	L	O	R
---	---	---	---	---

H	I	M	S	T
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merge results

A	G	H	I	L	M	O	R	S	T
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$T(n)$  = Complexity of Sorting  
a list of size  $n$

$M\left(\frac{n}{2}\right)$  = Complexity of Merging  
sorted lists of size  $n/2$

$$T(n) = 2T\left(\frac{n}{2}\right) + M\left(\frac{n}{2}\right)$$

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A	L	G	O	R	I	T	H	M	S
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sort left half

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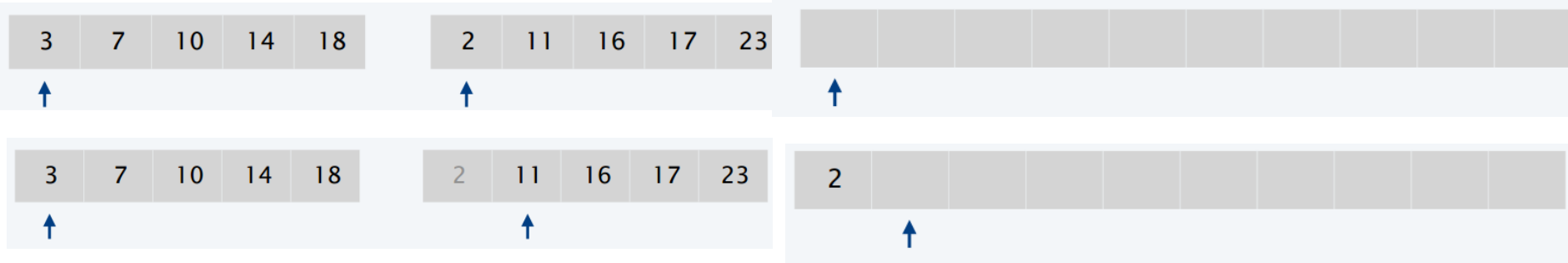
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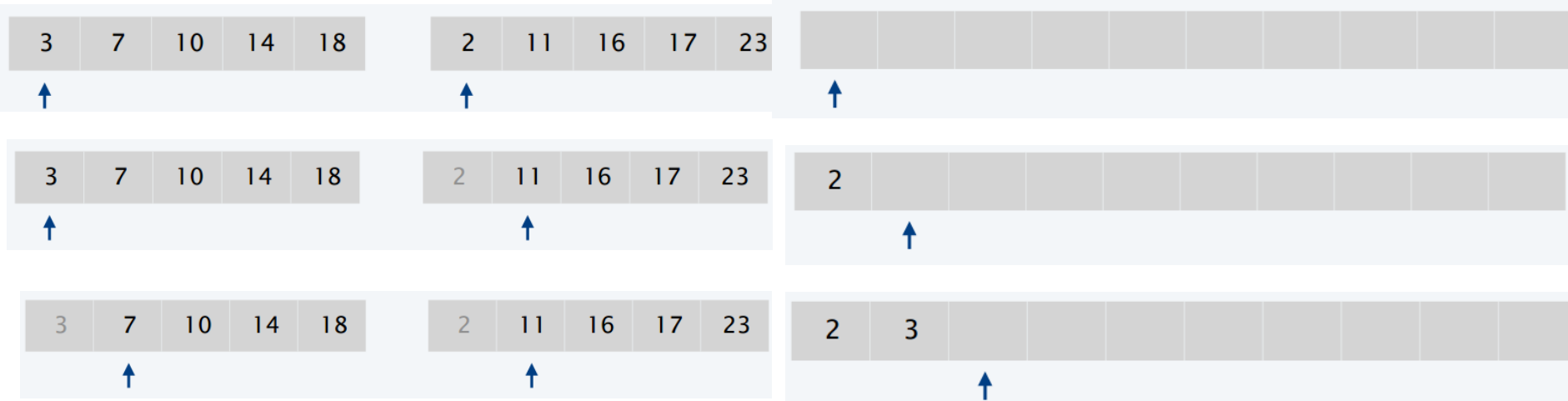
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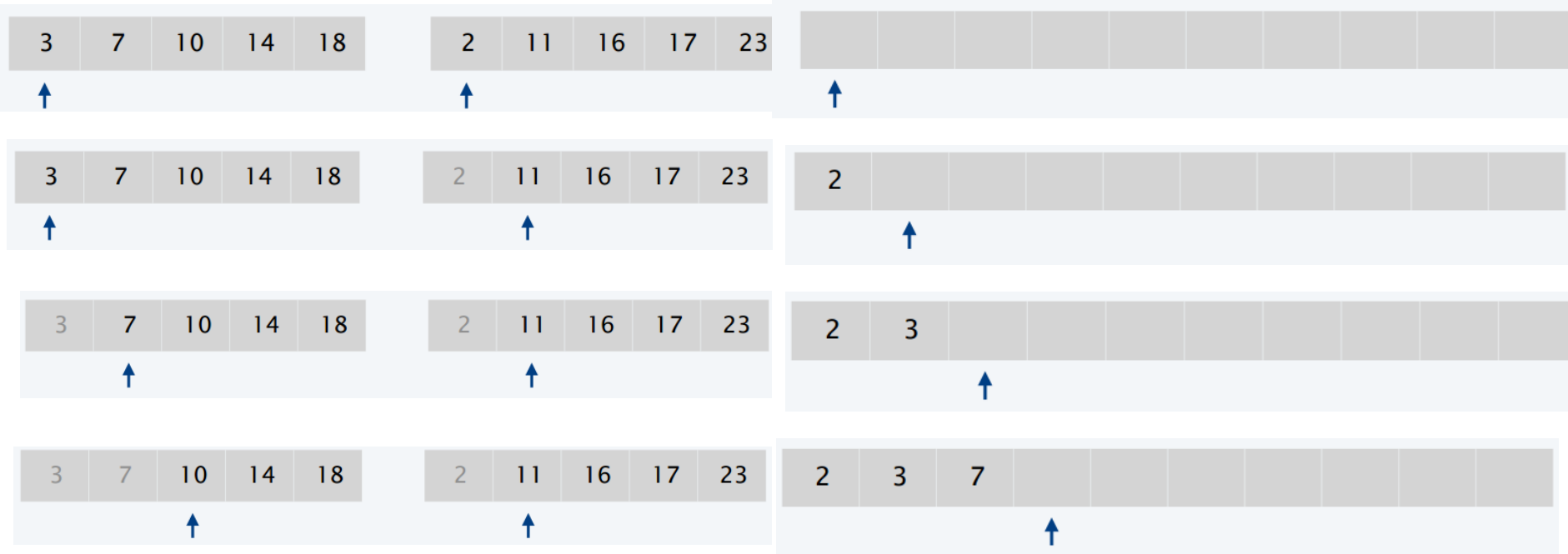


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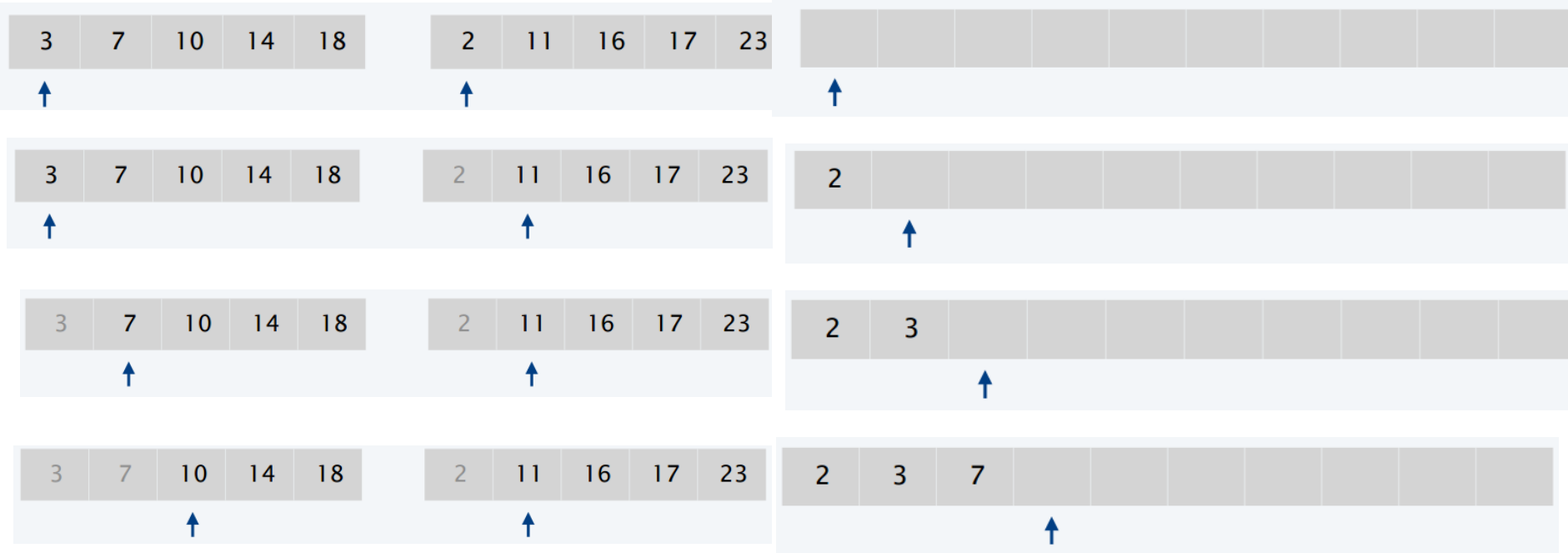




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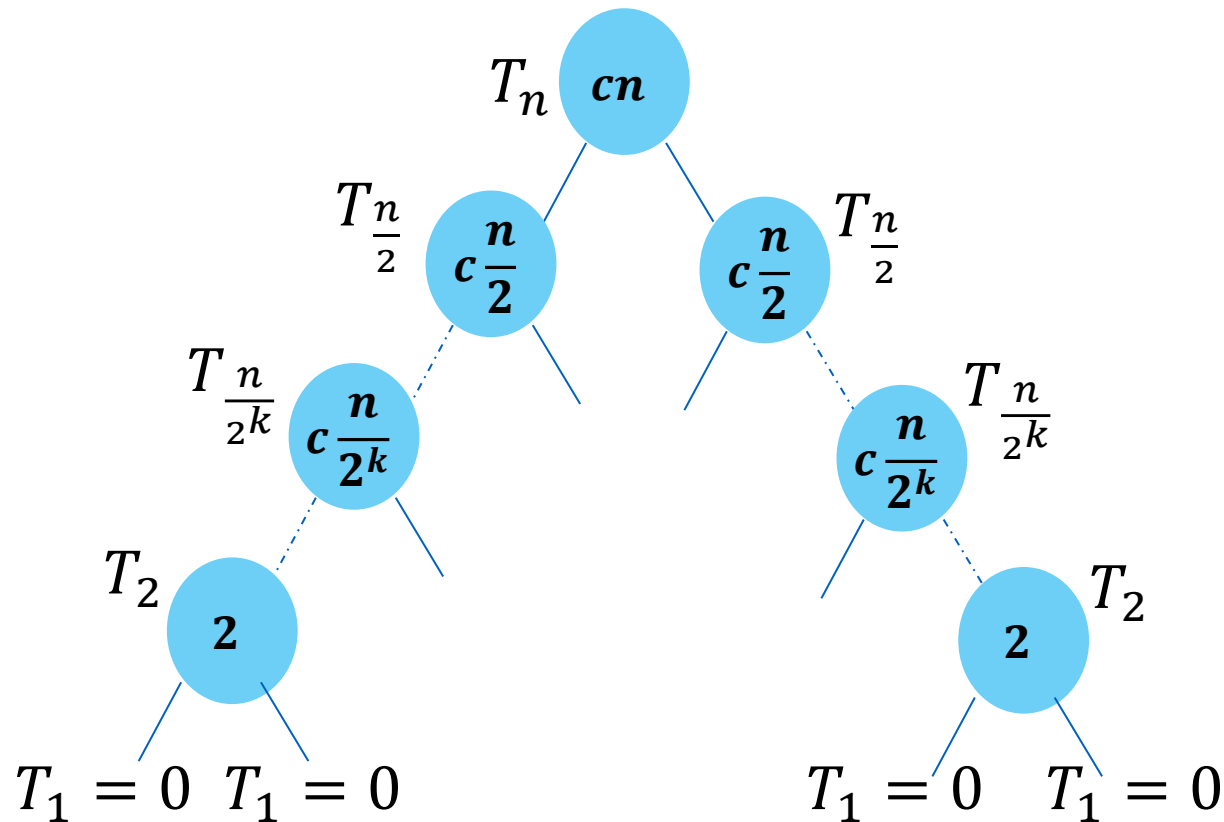


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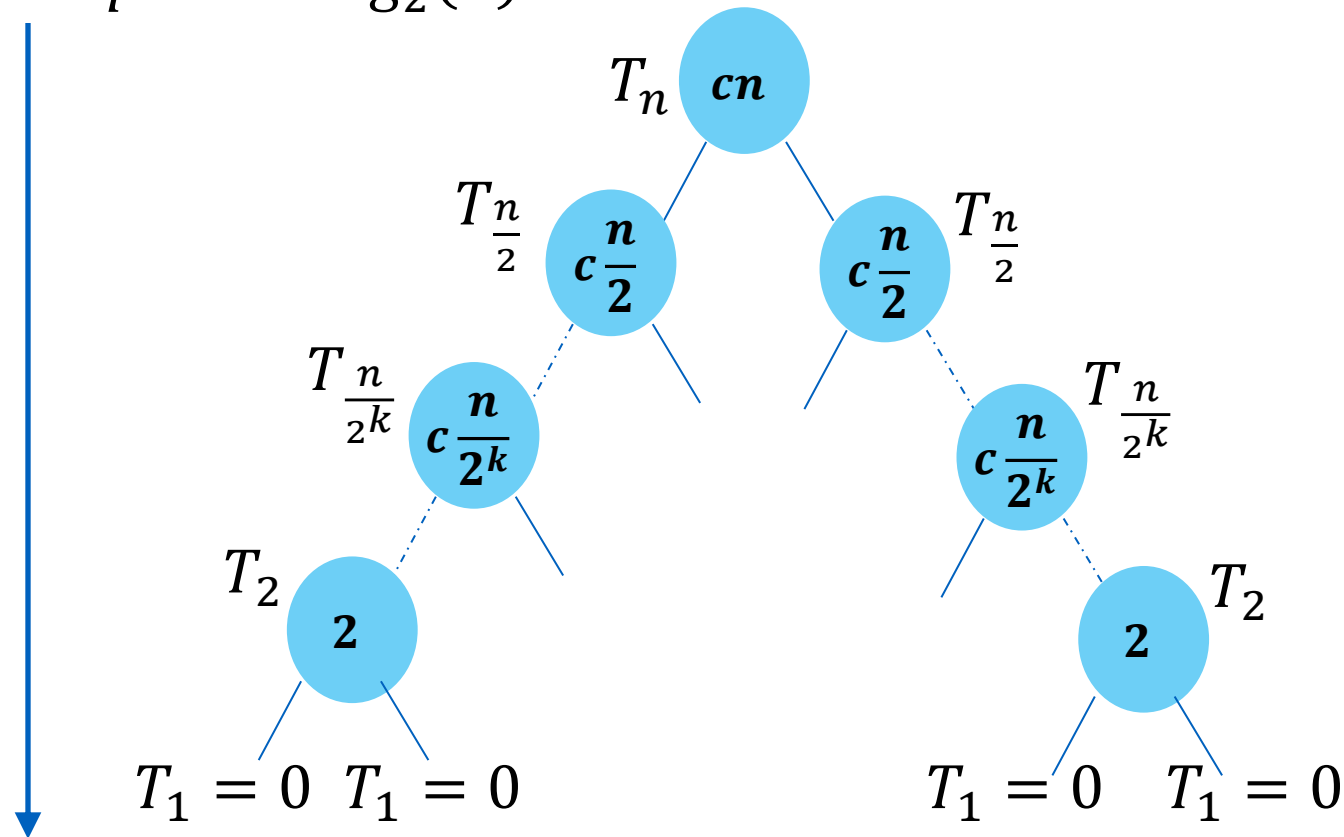
- One of the list pointer moves ahead in each step
- Maximum  $n$  comparisons to merge two lists of size  $n/2$
- **Complexity of merging two list is  $\theta(n)$ , i.e.  $M(n/2) \sim cn$**

# Analysis of Merge Sort Recursion



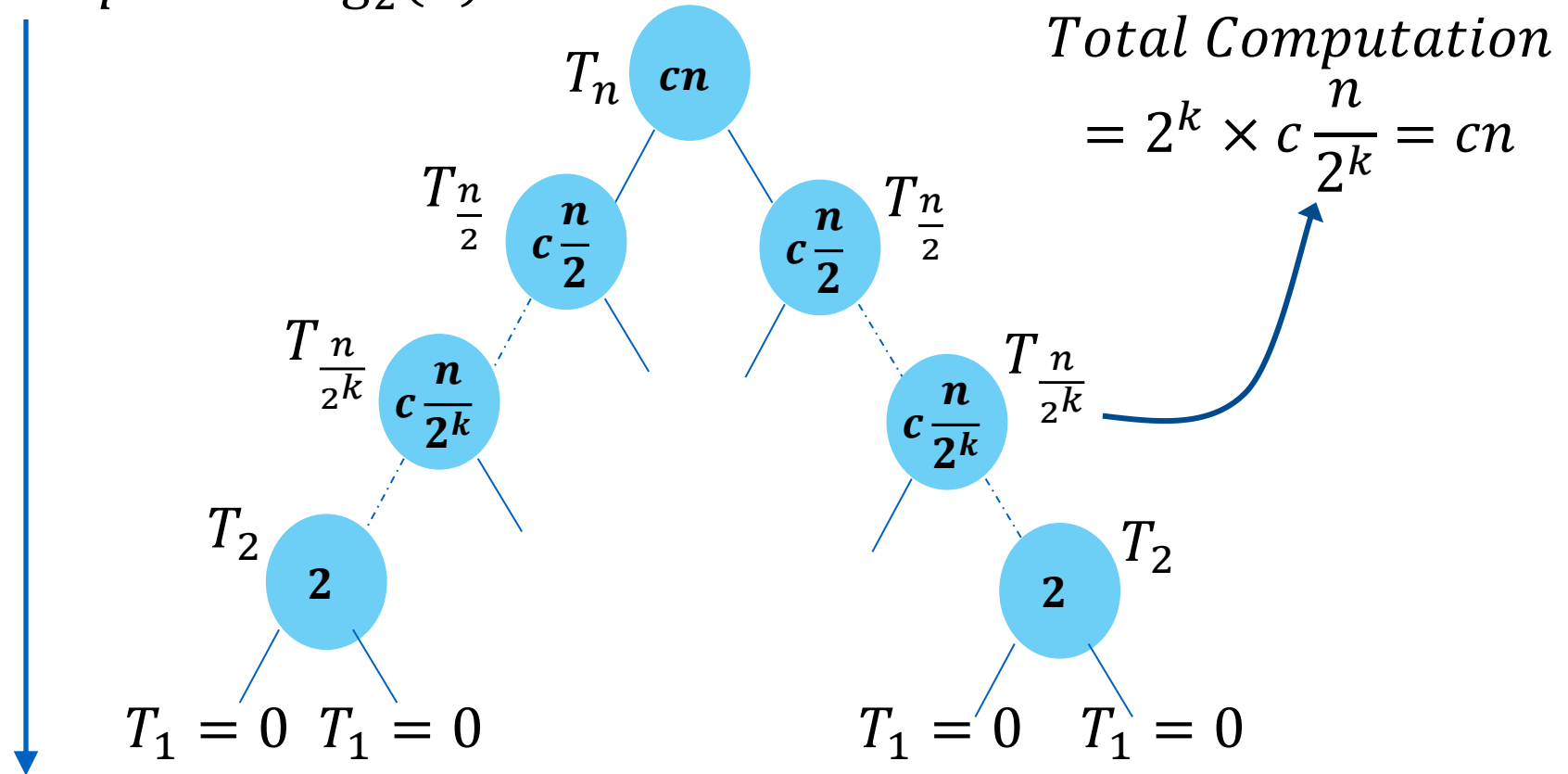
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$$\text{Tree Depth} = \log_2(n)$$



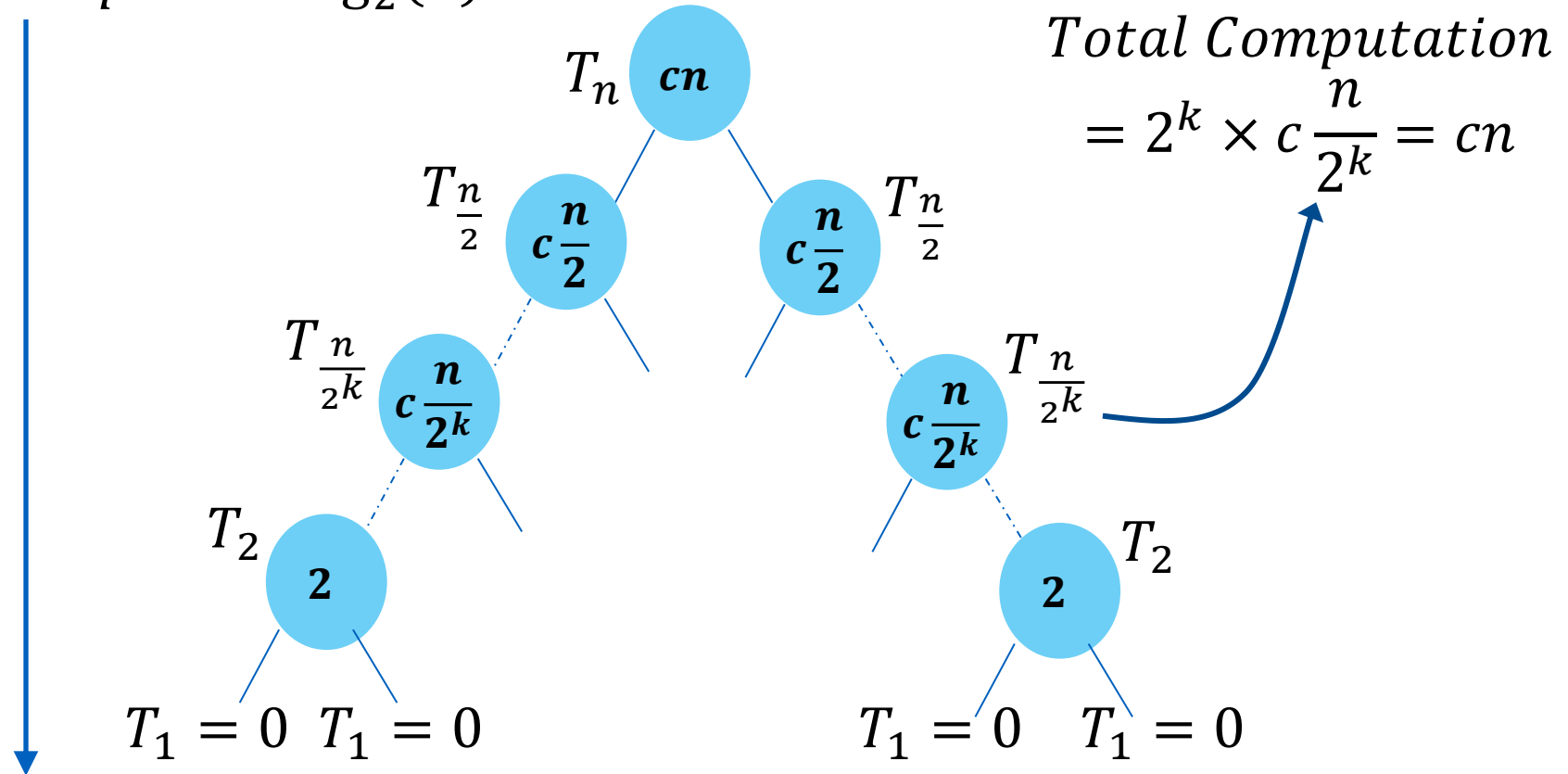
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$$T(n) = \theta(n \log(n))$$

# General Analysis Of Recursive Algorithms

```
procedure T( n : size of problem ) defined as:  
  if n < 1 then exit  
  
  Do work of amount f(n)  
  
  T(n/b)  
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Recursion :

$$T(n) = a T\left(\frac{n}{b}\right) + f(n) \quad \text{where } a \geq 1, b > 1$$

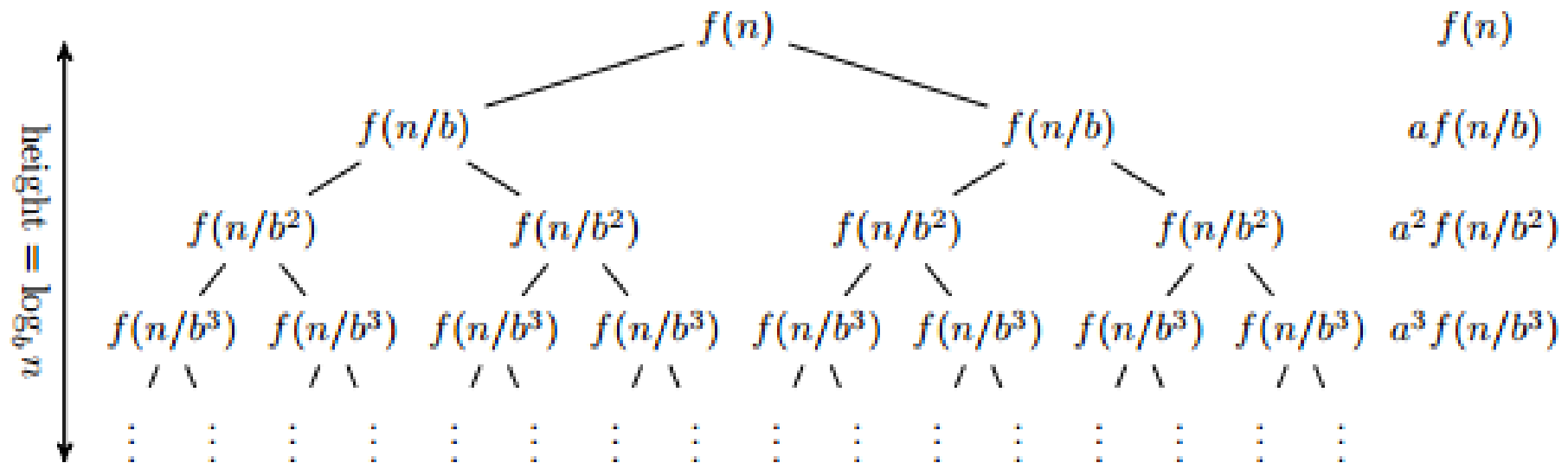


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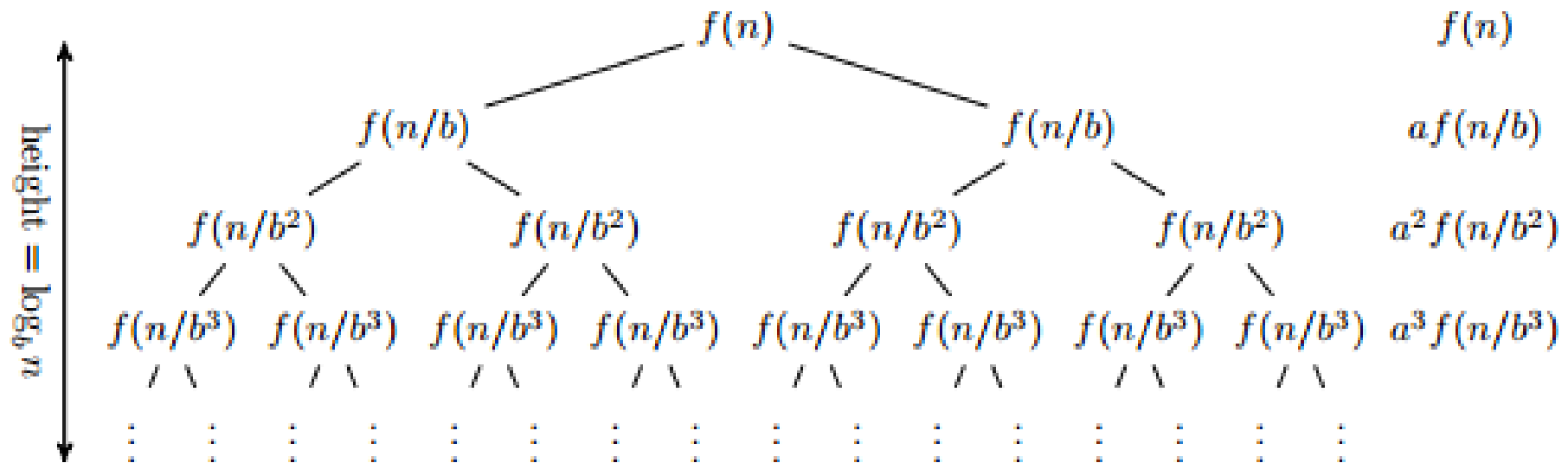
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$$T(n) = \sum_{i=0}^{\log_b n} a^i f(n/b^i) + O(n^{\log_b a})$$



# Statement of Master Theorem

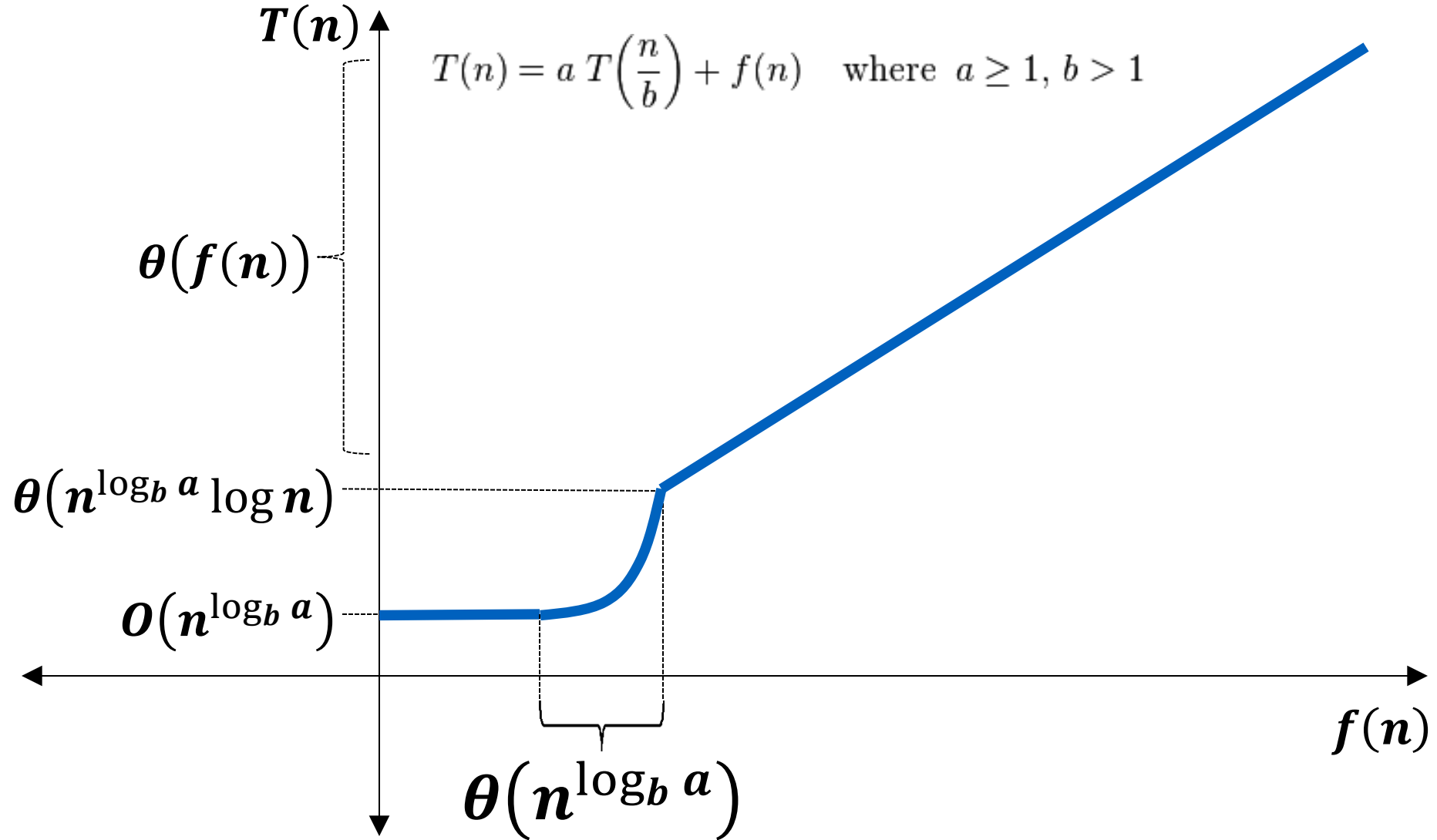
**Theorem (Master Method)** Consider the recurrence

$$T(n) = aT(n/b) + f(n),$$

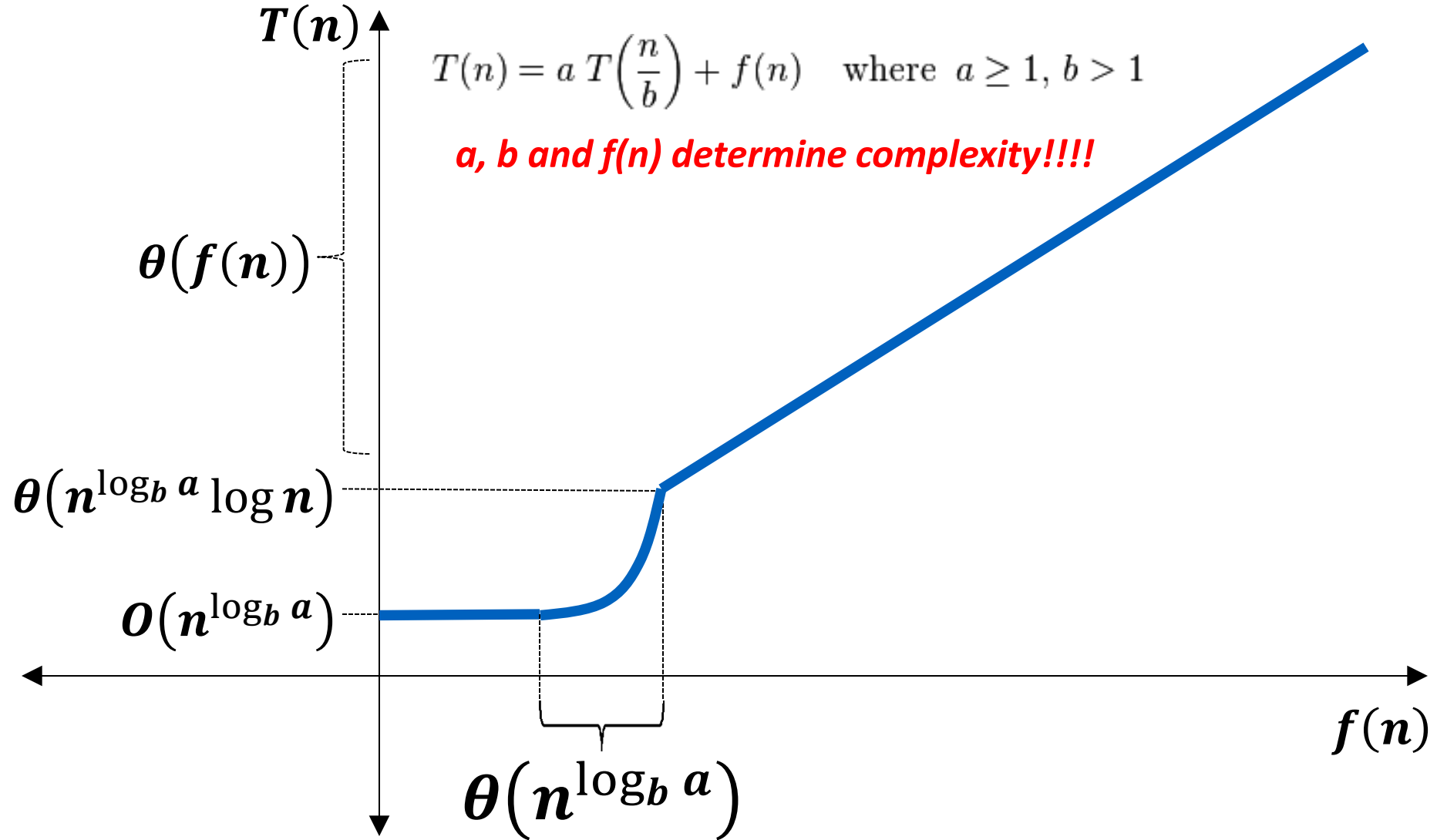
where  $a, b$  are constants. Then

- (A) If  $f(n) = O(n^{\log_b a - \varepsilon})$  for some constant  $\varepsilon > 0$ , then  $T(n) = O(n^{\log_b a})$ .
- (B) If  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \log n)$ .
- (C) If  $f(n) = \Omega(n^{\log_b a + \varepsilon})$  for some constant  $\varepsilon > 0$ , and if  $f$  satisfies the smoothness condition  $af(n/b) \leq cf(n)$  for some constant  $c < 1$ , then  $T(n) = \Theta(f(n))$ .

# A Way To Remember The Statement

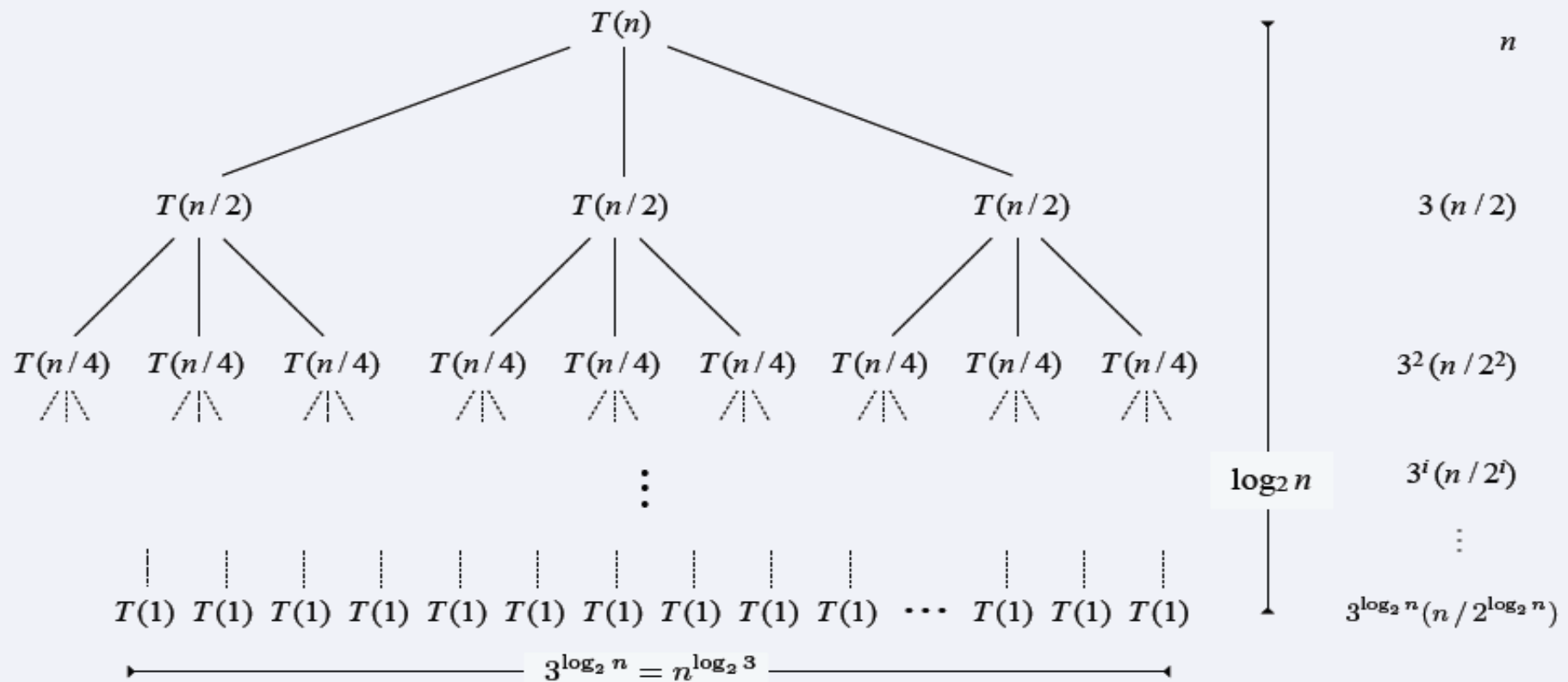


# A Way To Remember The Statement



# Case A: Cost dominated by cost of leaves

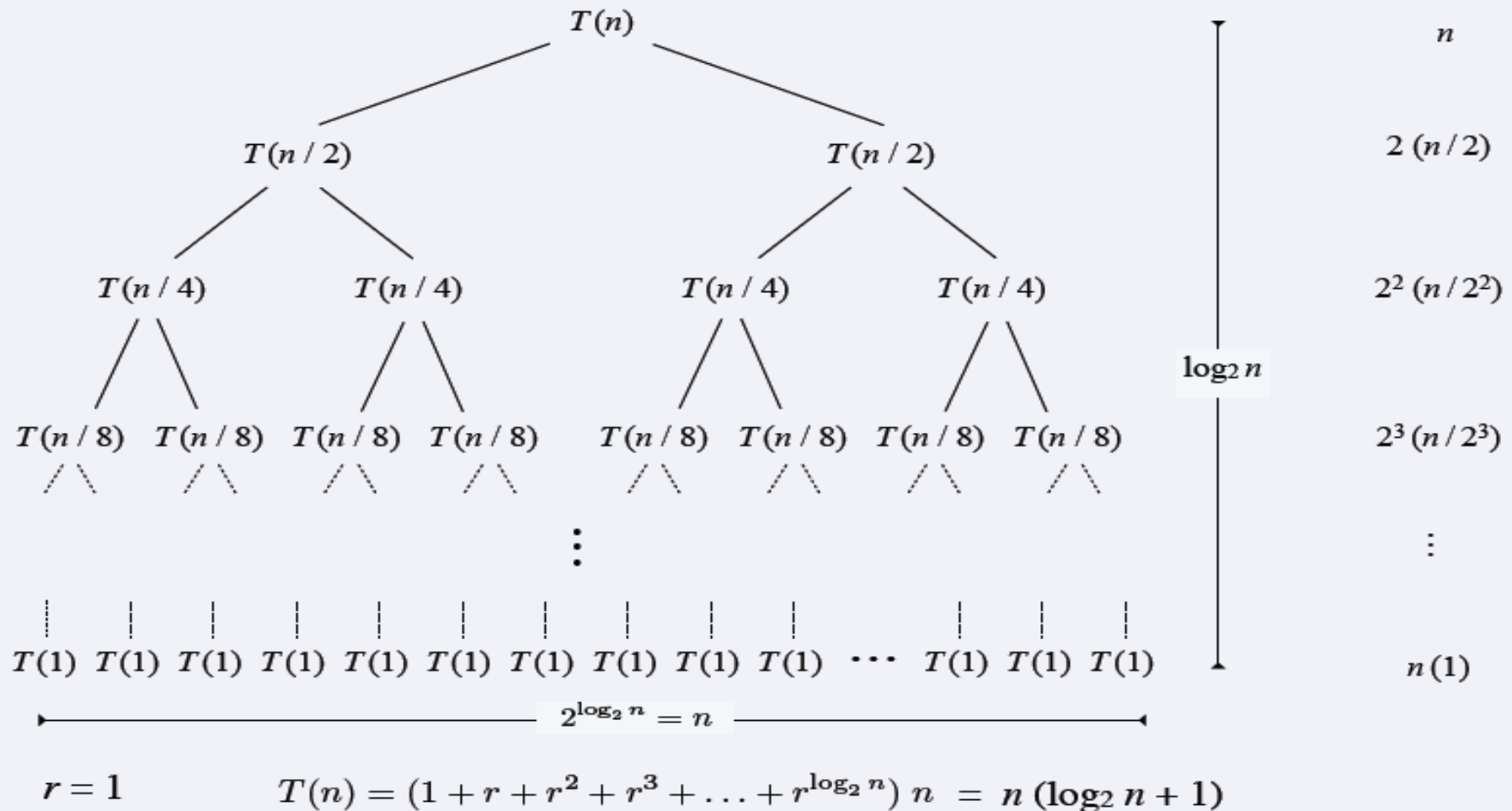
**Ex 1.** If  $T(n)$  satisfies  $T(n) = 3 T(n/2) + n$ , with  $T(1) = 1$ , then  $T(n) = \Theta(n^{\lg 3})$ .



$$r = 3/2 > 1 \quad T(n) = (1 + r + r^2 + r^3 + \dots + r^{\log_2 n}) n = \frac{r^{1+\log_2 n} - 1}{r - 1} n = 3n^{\log_2 3} - 2n$$

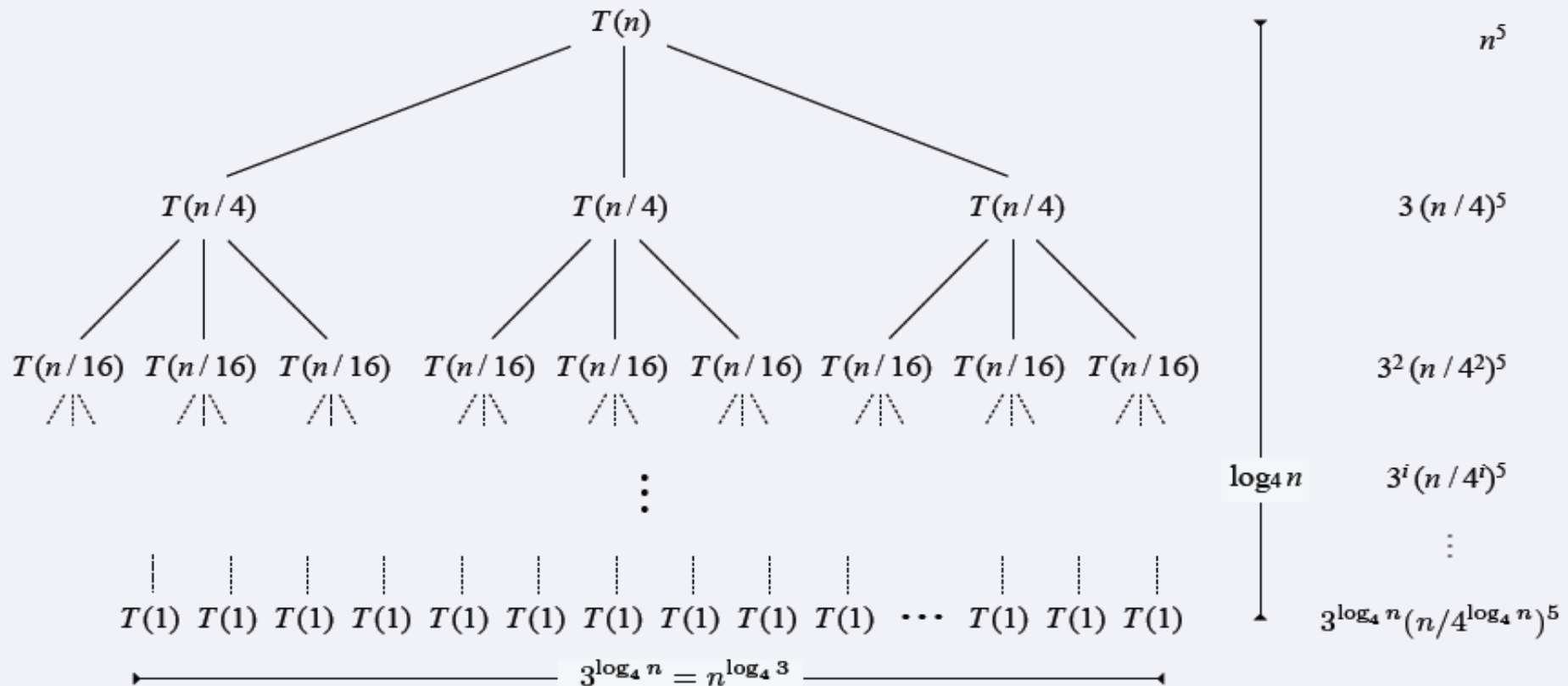
# Case B: Cost evenly distributed among levels

**Ex 2.** If  $T(n)$  satisfies  $T(n) = 2 T(n / 2) + n$ , with  $T(1) = 1$ , then  $T(n) = \Theta(n \log n)$ .



# Case C: Cost dominated by cost of roots

**Ex 3.** If  $T(n)$  satisfies  $T(n) = 3 T(n / 4) + n^5$ , with  $T(1) = 1$ , then  $T(n) = \Theta(n^5)$ .



$$r = 3 / 4^5 < 1 \quad n^5 \leq T(n) \leq (1 + r + r^2 + r^3 + \dots) n^5 \leq \frac{1}{1 - r} n^5$$



# Some Well Known Examples

Algorithm	Recurrence Relationship	Run time
Binary search	$T(n) = T\left(\frac{n}{2}\right) + O(1)$	
Binary tree traversal	$T(n) = 2T\left(\frac{n}{2}\right) + O(1)$	
Optimal Sorted Matrix Search	$T(n) = 2T\left(\frac{n}{2}\right) + O(\log n)$	
Merge Sort	$T(n) = 2T\left(\frac{n}{2}\right) + O(n)$	

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# Proof of Master's Theorem (Case A)

$$T(n) = \sum_{i=0}^{\log_b n} a^i f(n/b^i) + O(n^{\log_b a})$$

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$$T(n) = \sum_{i=0}^{\log_b n} a^i f(n/b^i) + O(n^{\log_b a}) \leq \sum_{i=0}^{\log_b n} a^i (n/b^i)^{\log_b a - \varepsilon} + O(n^{\log_b a}), \quad (3)$$

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Combining this with (3), we get  $T(n) = O(n^{\log_b a})$ .

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What about Tower of Hanoi ?

$$T_h = 2T_{h-1} + 1$$

# Resources used for these slides

- [http://en.wikipedia.org/wiki/Master\\_theorem](http://en.wikipedia.org/wiki/Master_theorem)
- <http://www.cs.princeton.edu/~wayne/kleinberg-tardos/pdf/05DivideAndConquerI.pdf>
- <http://www.cs.princeton.edu/~wayne/kleinberg-tardos/pdf/05DivideAndConquerII.pdf>
- <http://www.cs.cornell.edu/courses/cs3110/2011sp/lectures/lec19-master/mm-proof.pdf>

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