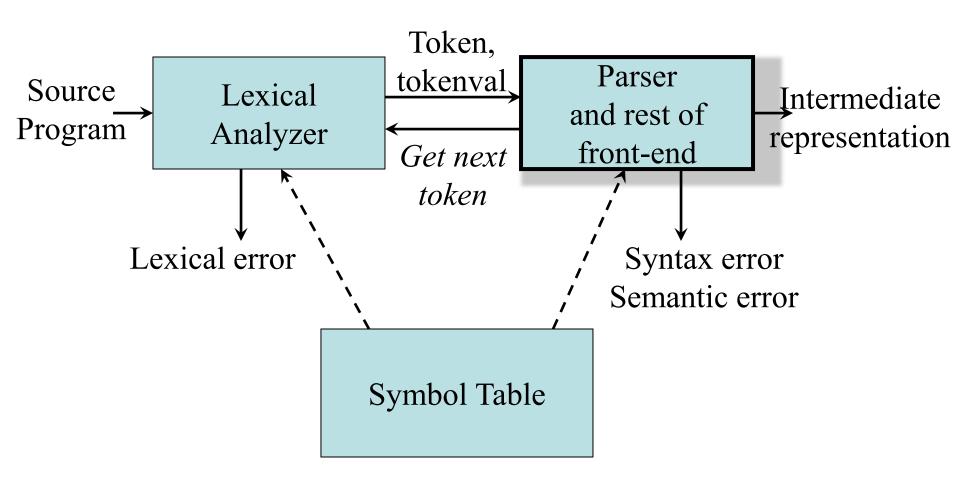
Syntax Analysis

Position of a Parser in the Compiler Model



The Parser

- A parser implements a C-F grammar as a recognizer of strings
- The role of the parser in a compiler is twofold:
 - 1. To check syntax (= string recognizer)
 - And to report syntax errors accurately
 - 2. To invoke semantic actions
 - For static semantics checking, e.g. type checking of expressions, functions, etc.
 - For syntax-directed translation of the source code to an intermediate representation

Syntax-Directed Translation

- One of the major roles of the parser is to produce an *intermediate representation* (IR) of the source program using *syntax-directed translation* methods
- Possible IR output:
 - Abstract syntax trees (ASTs)
 - Control-flow graphs (CFGs) with triples, three-address code, or register transfer list notation
 - WHIRL (SGI Pro64 compiler) has 5 IR levels!

Error Handling

- A good compiler should assist in identifying and locating errors
 - Lexical errors: important, compiler can easily recover and continue
 - Syntax errors: most important for compiler, can almost always recover
 - Static semantic errors: important, can sometimes recover
 - Dynamic semantic errors: hard or impossible to detect at compile time, runtime checks are required
 - Logical errors: hard or impossible to detect

Viable-Prefix Property

- The *viable-prefix property* of parsers allows early detection of syntax errors
 - Goal: detection of an error as soon as possible
 without further consuming unnecessary input
 - How: detect an error as soon as the prefix of the input does not match a prefix of any string in the language

Error Recovery Strategies

- Panic mode
 - Discard input until a token in a set of designated synchronizing tokens is found
- Phrase-level recovery
 - Perform local correction on the input to repair the error
- Error productions
 - Augment grammar with productions for erroneous constructs
- Global correction
 - Choose a minimal sequence of changes to obtain a global least-cost correction

Grammars (Recap)

- Context-free grammar is a 4-tuple G = (N, T, P, S) where
 - − *T* is a finite set of tokens (*terminal* symbols)
 - N is a finite set of nonterminals
 - *P* is a finite set of *productions* of the form $\alpha \rightarrow \beta$ where α ∈ $(N \cup T)^* N (N \cup T)^*$ and β ∈ $(N \cup T)^*$
 - $-S \in N$ is a designated *start symbol*

Notational Conventions Used

Terminals

$$a,b,c,... \in T$$
 specific terminals: **0**, **1**, **id**, +

Nonterminals

$$A,B,C,... \in N$$
 specific nonterminals: $expr$, $term$, $stmt$

- Grammar symbols $X, Y, Z \in (N \cup T)$
- Strings of terminals $u, v, w, x, y, z \in T^*$
- Strings of grammar symbols $\alpha, \beta, \gamma \in (N \cup T)^*$

Derivations (Recap)

- The *one-step derivation* is defined by $\alpha A \beta \Rightarrow \alpha \gamma \beta$ id+id where $A \rightarrow \gamma$ is a production in the grammar
- In addition, we define
 - \Rightarrow is *leftmost* \Rightarrow_{lm} if α does not contain a nonterminal
 - \Rightarrow is $rightmost \Rightarrow_{rm}$ if β does not contain a nonterminal
 - Transitive closure \Rightarrow^* (zero or more steps)
 - Positive closure \Rightarrow ⁺ (one or more steps)
- The *language generated by G* is defined by $L(G) = \{w \in T^* \mid S \Rightarrow^+ w\}$

Derivation (Example)

Grammar
$$G = (\{E\}, \{+,*,(,),-,id\}, P, E)$$
 with productions $P = E \rightarrow E + E$

$$|E * E|$$

$$|(E)$$

$$|-E|$$

Example derivations:

$$E \Rightarrow -E \Rightarrow -id$$

$$E \Rightarrow_{rm} E + E \Rightarrow_{rm} E + id \Rightarrow_{rm} id + id$$

$$E \Rightarrow^* E$$

$$E \Rightarrow^* id + id$$

$$E \Rightarrow_{lm} =>E*E => id*E => id*E + E => id*id + E => id*id + id$$

Chomsky Hierarchy: Language Classification

- A grammar G is said to be
 - Regular if it is right linear where each production is of the form

$$A \rightarrow w B$$
 or $A \rightarrow w$ or left linear where each production is of the form $A \rightarrow B w$ or $A \rightarrow w$

- Context free if each production is of the form $A \rightarrow \alpha$ where $A \in N$ and $\alpha \in (N \cup T)^*$
- Context sensitive if each production is of the form $\alpha A \beta \rightarrow \alpha \gamma \beta$ where $A \in N$, $\alpha, \gamma, \beta \in (N \cup T)^*$, $|\gamma| > 0$
- Unrestricted

Chomsky Hierarchy

 $L(regular) \subset L(context\ free) \subset L(context\ sensitive) \subset L(unrestricted)$

Where $L(T) = \{ L(G) \mid G \text{ is of type } T \}$ That is: the set of all languages generated by grammars G of type T

Examples:

Every finite language is regular! (construct a FSA for strings in L(G))

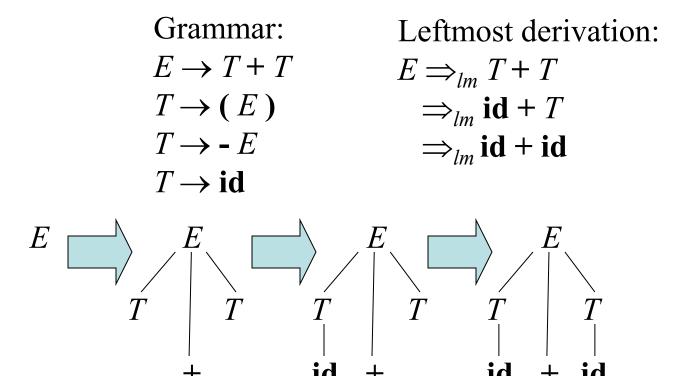
$$L_1 = \{ \mathbf{a}^n \mathbf{b}^n \mid n \ge 1 \}$$
 is context free $L_2 = \{ \mathbf{a}^n \mathbf{b}^n \mathbf{c}^n \mid n \ge 1 \}$ is context sensitive

Parsing

- *Universal* (any C-F grammar)
 - Cocke-Younger-Kasimi
 - Earley
- Top-down (C-F grammar with restrictions)
 - Recursive descent (predictive parsing)
 - LL (Left-to-right, Leftmost derivation) methods
- Bottom-up (C-F grammar with restrictions)
 - Operator precedence parsing
 - LR (Left-to-right, Rightmost derivation) methods
 - SLR, canonical LR, LALR

Top-Down Parsing

• LL methods (Left-to-right, Leftmost derivation) and recursive-descent parsing



Left Recursion (Recap)

Productions of the form

$$A \to A \alpha$$

$$| \beta$$

$$| \gamma$$

are left recursive

• When one of the productions in a grammar is left recursive then a predictive parser loops forever on certain inputs

A General Systematic Left Recursion Elimination Method

Input: Grammar G with no cycles or ε -productions Arrange the nonterminals in some order $A_1, A_2, ..., A_n$ **for** i = 1, ..., n **do** for j = 1, ..., i-1 do replace each $A_i \rightarrow A_i \gamma$ with $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$ where $A_i \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$ enddo eliminate the *immediate left recursion* in A_i

enddo

Immediate Left-Recursion Elimination

Rewrite every left-recursive production

into a right-recursive production:

$$\begin{array}{cccc} A \rightarrow \beta \, A_R & S \rightarrow \gamma \beta S' \\ & | \gamma \, A_R \\ A_R \rightarrow \alpha \, A_R & S' \rightarrow \alpha \beta S' | \, \varepsilon \\ & | \, \delta \, A_R \\ & | \, \varepsilon \end{array}$$

Example Left Recursion Elim.

$$A \rightarrow B C \mid \mathbf{a}
B \rightarrow C A \mid A \mathbf{b}
C \rightarrow A B \mid C C \mid \mathbf{a}$$
Choose arrangement: A, B, C

$$i = 1:$$
 nothing to do
$$i = 2, j = 1:$$
 $B \rightarrow CA \mid \underline{A} \mathbf{b}$

$$\Rightarrow B \rightarrow CA \mid \underline{B} C \mathbf{b} \mid \underline{\mathbf{a}} \mathbf{b}$$

$$\Rightarrow_{(imm)} B \rightarrow CA B_R \mid \mathbf{a} \mathbf{b} B_R$$

$$B_R \rightarrow C \mathbf{b} B_R \mid \varepsilon$$

$$i = 3, j = 1:$$
 $C \rightarrow \underline{A} B \mid CC \mid \mathbf{a}$

$$\Rightarrow C \rightarrow \underline{B} C B \mid \underline{\mathbf{a}} B \mid CC \mid \mathbf{a}$$

$$i = 3, j = 2:$$
 $C \rightarrow \underline{B} C B \mid \mathbf{a} B \mid CC \mid \mathbf{a}$

$$\Rightarrow C \rightarrow \underline{C} A B_R C B \mid \underline{\mathbf{a}} \mathbf{b} B_R C B \mid \mathbf{a} B \mid \underline{C} C \mid \mathbf{a}$$

$$\Rightarrow_{(imm)} C \rightarrow \mathbf{a} \mathbf{b} B_R C B C_R \mid \mathbf{a} B C_R \mid \mathbf{a} C_R$$

$$C_R \rightarrow A B_R C B C_R \mid CC_R \mid \varepsilon$$

Left Factoring

- When a nonterminal has two or more productions whose right-hand sides start with the same grammar symbols, the grammar is not LL(1) and cannot be used for predictive parsing
- Replace productions

$$A \rightarrow \alpha \beta_1 | \alpha \beta_2 | \dots | \alpha \beta_n | \gamma$$
 with

$$A \to \alpha A_R \mid \gamma$$

$$A_R \to \beta_1 \mid \beta_2 \mid \dots \mid \beta_n$$

Predictive Parsing

- Eliminate left recursion from grammar
- Left factor the grammar
- Compute FIRST and FOLLOW
- Two variants:
 - Recursive (recursive-descent parsing)
 - Non-recursive (table-driven parsing)

Recursive-Descent Parsing (Recap)

- Grammar must be LL(1)
- Every nonterminal has one (recursive) procedure responsible for parsing the nonterminal's syntactic category of input tokens
- When a nonterminal has multiple productions, each production is implemented in a branch of a selection statement based on input look-ahead information

Using FIRST and FOLLOW in a Recursive-Descent Parser

```
procedure rest();
                                       begin
expr \rightarrow term \ rest
                                         if lookahead in FIRST(+ term rest) then
                                            match('+'); term(); rest()
rest \rightarrow + term \ rest
                                         else if lookahead in FIRST(- term rest) then
         - term rest
                                            match('-'); term(); rest()
                                         else if lookahead in FOLLOW(rest) then
term \rightarrow id
                                            return
                                         else error()
                                       end:
                     where FIRST(+ term rest) = \{ + \}
                               FIRST(-term rest) = \{ - \}
                               FOLLOW(rest) = { $ }
```

 $B \rightarrow B \text{ or } T \mid T$ $T \rightarrow T$ and $F \mid F$ $F \rightarrow \text{not B} \mid (B) \mid \text{true} \mid \text{false} \mid \text{id}$ (id and true) $B \rightarrow TB'$ B' \rightarrow or TB'| ϵ $T \rightarrow FT'$ $T' \rightarrow \text{and } FT' \mid \varepsilon$

 $F \rightarrow \text{not B} \mid (B) \mid \text{true} \mid \text{false} \mid \text{id}$

```
S \rightarrow aBb
B \rightarrow cd|d

S(){

B()

Match a; (match c && match d) || match d;

B();

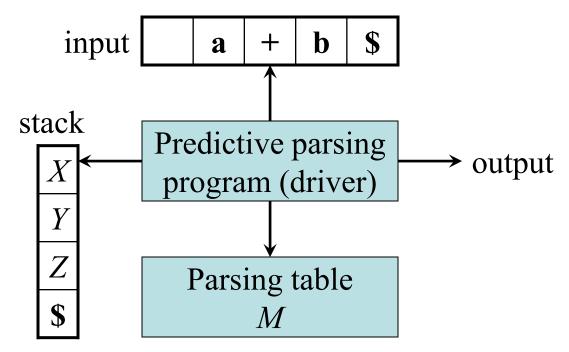
Match b;

}

adb
```

Non-Recursive Predictive Parsing: Table-Driven Parsing

• Given an LL(1) grammar G = (N, T, P, S) construct a table M[A,a] for $A \in N$, $a \in T$ and use a *driver program* with a *stack*



FIRST (Revisited)

• FIRST(α) = { the set of terminals that begin all strings derived from α }

```
FIRST(a) = {a} if a \in T

FIRST(\epsilon) = {\epsilon}

FIRST(A) = \cup_{A \to \alpha} FIRST(\alpha) for A \to \alpha \in P

FIRST(X_1 X_2 ... X_k) =

if for all j = 1, ..., i-1 : \epsilon \in \text{FIRST}(X_j) then

add non-\epsilon in FIRST(X_i) to FIRST(X_1 X_2 ... X_k)

if for all j = 1, ..., k : \epsilon \in \text{FIRST}(X_j) then

add \epsilon to FIRST(X_1 X_2 ... X_k)
```

```
E \rightarrow E + T | T
T \rightarrow T*F|F
F \rightarrow (E)|id
E \rightarrow TE'
E'→+TE' | ε
T→FT'
T'→*FT' | ε
F \rightarrow (E) | id
First(E')=+, \varepsilon
First(T')=*, \varepsilon
First(E)=First(T)=First(F)=(,id
```

```
S \rightarrow ABCDE
A \rightarrow Ba \mid \varepsilon A \rightarrow a \mid \varepsilon (coz B \rightarrow \varepsilon)
B→b | ε
C \rightarrow c
D \rightarrow d \mid \varepsilon
E \rightarrow De \mid \varepsilon E \rightarrow e \mid \varepsilon (coz D \rightarrow \varepsilon)
First (S) \{a,b,\epsilon,c\}
First (A) \{a,b,\epsilon\}
First (B) \{b, \epsilon\}
First (C) {c}
First (D) \{d, \epsilon\}
First (E) \{d, \epsilon, e\}
```

FOLLOW

• FOLLOW(A) = { the set of terminals that can immediately follow nonterminal A }

```
FOLLOW(A) =
```

- 2 **for** all $(B \to \alpha A \beta) \in P$ **do** FOLLOW $(A) = FIRST(\beta)$ except $\{\epsilon\}$
- 3 **for** all $(B \rightarrow A \beta) \in P$ and $\beta \rightarrow \epsilon$ **do** FOLLOW(A) = FOLLOW(B)
- 4 **for** all $(B \rightarrow \alpha A) \in P$ **do** FOLLOW(A) = FOLLOW(B)
- 1 **if** A is the start symbol S **then** add \$ to FOLLOW(A)

E
$$\rightarrow$$
TE'
E' \rightarrow +TE'| ϵ
T \rightarrow FT'
T' \rightarrow *FT'| ϵ
F \rightarrow (E)|id

Rule	E	E '	T	T'	F
1	\$				
2)		+		*
3			\$,)		+,),\$
4		\$,)		+,),\$	

Find FIRST and FOLLOW

```
Example
S \rightarrow Bb \mid Cc \quad \{a,b,c,\epsilon\} \{\$\}
B \rightarrow aB \mid \epsilon \quad \{a,\epsilon\} \quad \{b\}
C \rightarrow cC \mid \epsilon \quad \{c,\epsilon\} \{c\}
Example
S \rightarrow aABb \quad \{a\} \{\$\}
A \rightarrow c \mid \epsilon \quad \{c,\epsilon\} \{d,\$,b\}
B \rightarrow d \mid \epsilon \quad \{d,\epsilon\} \{b\}
```

Example $S \rightarrow ACB | CbB | Ba$ $A \rightarrow da | BC$ $B \rightarrow g | \epsilon$ $C \rightarrow h | \epsilon$

Example $S \rightarrow aBDh$ $B \rightarrow cC$ $C \rightarrow bC \mid \varepsilon$ $D \rightarrow EF$ $E \rightarrow g \mid \varepsilon$

Constructing an LL(1) Predictive Parsing Table

```
for each production A \rightarrow \alpha do
        for each a \in FIRST(\alpha) do
                 add A \to \alpha to M[A,a]
        enddo
        if \varepsilon \in FIRST(\alpha) then
                 for each b \in FOLLOW(A) do
                          add A \to \alpha to M[A,b]
                 enddo
        endif
enddo
Mark each undefined entry in M error
```

Example Table

$$E \rightarrow T E_R$$

 $E_R \rightarrow + T E_R \mid \varepsilon$
 $T \rightarrow F T_R$
 $T_R \rightarrow * F T_R \mid \varepsilon$
 $F \rightarrow (E) \mid id$





$A \rightarrow \alpha$	FIRST(α)	FOLLOW(A)
$E \to T E_R$	(id	\$)
$E_R \rightarrow + T E_R$	+	•
$E_R \rightarrow \varepsilon$	3	\$)
$T \rightarrow F T_R$	(id	+ \$)
$T_R \rightarrow *F T_R$	*	1 6 7
$T_R \rightarrow \varepsilon$	3	+\$)
$F \rightarrow (E)$	(*+\$)
$F \rightarrow id$	id	*+\$)

	id	+	*	()	\$
E	$E \to T E_R$			$E \to TE_R$		
E_R		$E_R \to + T E_R$			$E_R \rightarrow \varepsilon$	$E_R \rightarrow \varepsilon$
T	$T \rightarrow F T_R$			$T \rightarrow F T_R$		
T_R		$T_R \rightarrow \varepsilon$	$T_R \rightarrow *F T_R$		$T_R \rightarrow \varepsilon$	$T_R \rightarrow \varepsilon$
\overline{F}	$F \rightarrow id$			$F \rightarrow (E)$		

LL(1) Grammars are Unambiguous

Ambiguous grammar

$$S \rightarrow \mathbf{i} E \mathbf{t} S S_R \mid \mathbf{a}$$

 $S_R \rightarrow \mathbf{e} S \mid \varepsilon$
 $E \rightarrow \mathbf{b}$





$A \rightarrow \alpha$	FIRST(α)	FOLLOW(A)
$S \rightarrow \mathbf{i} E \mathbf{t} S S_R$	i	. •
$S \rightarrow \mathbf{a}$	a	e \$
$S_R \to \mathbf{e} S$	e	
$S_R \rightarrow \varepsilon$	3	e \$
$E \rightarrow \mathbf{b}$	b	t

Error: duplicate table entry

	a	b	e	i	t	\$
S	$S \rightarrow \mathbf{a}$			$S \rightarrow \mathbf{i} E \mathbf{t} S S_R$		
S_R		($S_R \to \varepsilon$ $S_R \to \mathbf{e} S$			$S_R \rightarrow \varepsilon$
E		$E \rightarrow \mathbf{b}$				

Predictive Parsing Program (Driver)

```
push($)
push(S)
a := lookahead
repeat
        X := pop()
        if X is a terminal or X = $ then
                match(X) // moves to next token and a := lookahead
        else if M[X,a] = X \rightarrow Y_1 Y_2 \dots Y_k then
                push(Y_k, Y_{k-1}, ..., Y_2, Y_1) // such that Y_1 is on top
                ... invoke actions and/or produce IR output ...
        else
                error()
        endif
until X = $
```

Example Table-Driven Parsing

$$E \to T E_R$$

$$E_R \to + T E_R \mid \varepsilon$$

$$T \to F T_R$$

$$T_R \to *F T_R \mid \varepsilon$$

$$F \to (E) \mid \mathbf{id}$$

Stack	Input	Production applied
\$ <u>E</u>	id+id*id\$	$E \rightarrow T E_R$
$\$E_R\underline{T}$	<u>id</u> +id*id\$	$T \rightarrow F T_R$
$\$E_RT_R\underline{F}$	<u>id</u> +id*id\$	$F \rightarrow id$
SE_RT_R id	<u>id</u> +id*id\$	advance the lookahead
$\$E_R\underline{T}_R$	<u>+</u> id*id\$	$T_R \rightarrow \varepsilon$
$\$\underline{E}_R$	<u>+</u> id*id\$	$E_R \rightarrow + T E_R$
$\$E_RT+$	<u>+</u> id*id\$	advance the lookahead
$\$E_R\underline{T}$	<u>id</u> *id\$	$T \rightarrow F T_R$
$\$E_RT_R\underline{F}$	<u>id</u> *id\$	$F \rightarrow id$
SE_RT_R id	<u>id</u> *id\$	advance the lookahead
$\$E_R\underline{T}_R$	<u>*</u> id\$	$T_R \rightarrow *FT_R$
$\$E_RT_RF^*$	<u>*</u> id\$	advance the lookahead
$\$E_RT_R\underline{F}$	<u>id</u> \$	$F \rightarrow id$
SE_RT_R id	<u>id</u> \$	advance the lookahead
$\$E_R\underline{T}_R$	<u>\$</u>	$T_R \rightarrow \varepsilon$
$\$\underline{E}_R$	<u>\$</u>	$E_R \rightarrow \varepsilon$
<u>\$</u>	<u>\$</u>	Accept

Panic Mode Recovery

Add synchronizing actions to undefined entries based on FOLLOW

Pro: Can be automated

Cons: Error messages are needed

FOLLOW(E) = {) \$ } FOLLOW(E_R) = {) \$ } FOLLOW(T) = { +) \$ } FOLLOW(T_R) = { +) \$ } FOLLOW(T) = { + *) \$ }

	id	+	*	(\$
E	$E \to T E_R$			$E \to TE_R$	synch	synch
E_R		$E_R \to + T E_R$			$E_R \rightarrow \varepsilon$	$E_R \rightarrow \varepsilon$
T	$T \rightarrow F T_R$	synch		$T \to F T_R$	synch	synch
T_R		$T_{R} \rightarrow \varepsilon$	$T_R \rightarrow *FT_R$		$T_R \rightarrow \varepsilon$	$T_R \rightarrow \varepsilon$
\overline{F}	$F \rightarrow id$	synch	synch	$F \rightarrow (E)$	synch	synch

synch: the driver pops current nonterminal *A* and skips input till synch token or skips input until one of FIRST(*A*) is found

Phrase-Level Recovery

Change input stream by inserting missing tokens

For example: id id is changed into id * id

Pro: Can be fully automated

Cons: Recovery not always intuitive

Can then continue here id * + $E \to T E_R$ $E \rightarrow T E_R$ Esynch synch E_R $E_R \rightarrow + T E_R$ $E_R \rightarrow \varepsilon$ $E_R \rightarrow \varepsilon$ synch $T \rightarrow F T_R$ synch T $T \rightarrow F T_R$ synch T_R $T_R \rightarrow \varepsilon$ insert * $T_R \rightarrow *F T_R$ $T_R \rightarrow \varepsilon$ $T_R \rightarrow \varepsilon$ $F \rightarrow id$ synch $F \rightarrow (E)$ Fsynch synch synch

insert *: driver inserts missing * and retries the production

Error Productions

$$E \to T E_R$$

$$E_R \to + T E_R \mid \varepsilon$$

$$T \to F T_R$$

$$T_R \to *F T_R \mid \varepsilon$$

$$F \to (E) \mid \mathbf{id}$$

Add "error production":

$$T_R \to F T_R$$

to ignore missing *, e.g.: id id

Pro: Powerful recovery method

Cons: Manual addition of productions

	id	+	*	()	\$
E	$E \to T E_R$			$E \to TE_R$	synch	synch
E_R		$E_R \to + T E_R$			$E_R \rightarrow \varepsilon$	$E_R \rightarrow \varepsilon$
T	$T \rightarrow F T_R$	synch		$T \to F T_R$	synch	synch
T_R	$T_R \to F T_R$	$T_R \to \varepsilon$	$T_R \rightarrow *F T_R$		$T_R \rightarrow \varepsilon$	$T_R \rightarrow \varepsilon$
F	$F \rightarrow id$	synch	synch	$F \rightarrow (E)$	synch	synch