# Reinforcement Learning Course Projects

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## Hierarchical Reinforcement Learning

In this Hierarchical Reinforcement Learning implementation there are in total 12 options (8 multi-step options and 4 primitive options). But if we take into consideration initiation states  $(\mathcal{I})$  and the termination conditions  $(\beta(s))$  of each multi-step options, then only 2 multi-step options (so in total 6 options including the primitive ones) will be valid in each state.

The size of Q(s, o) table will be  $|\mathcal{S}| \times |\mathcal{O}|$ , where  $\mathcal{S}$  and  $\mathcal{O}$  represents the state space and the set of options respectively. So for 12 options the required memory size is  $|\mathcal{S}| \times 12$ . But as discussed above in each state only 6 options are valid, so only  $|\mathcal{S}| \times 6$  size of memory is sufficient for storing all Q values (if we consider only the 2 valid multi-step options in each state as either clockwise or anticlockwise options, same indices can represent different multi-step options depending upon the initiation states  $\mathcal{I}$ ).

# 1 SMDP Q-learning

We implement the SMDP Q-learning with eight predefined (multi-step) options for the two goal states G1 and G2 where the starting state was chosen randomly in the room 1. To visualize the learned Q- values we plot the heat maps for four primitive actions and multi-step options (combining all clockwise multi-step options as one and all anticlockwise multi-step options as another) in the following figures.

Heat maps for goal G1:

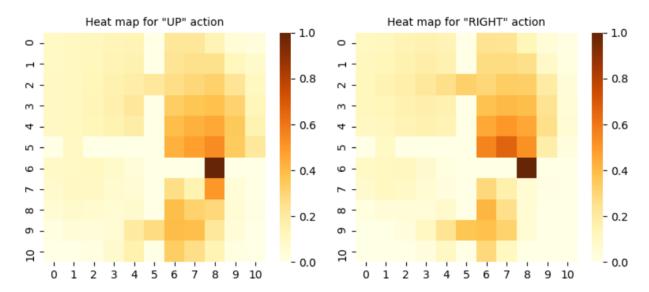


Figure 1: Heat map showing Q-values for "UP" and "RIGHT" actions for goal G1

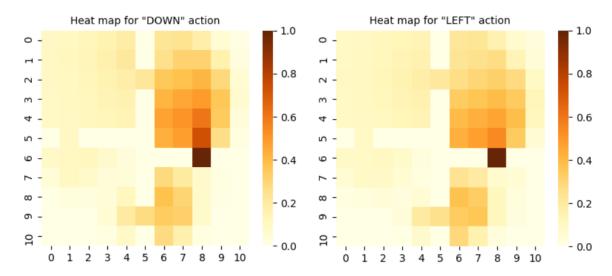


Figure 2: Heat map showing Q-values for "DOWN" and "LEFT" actions for goal G1

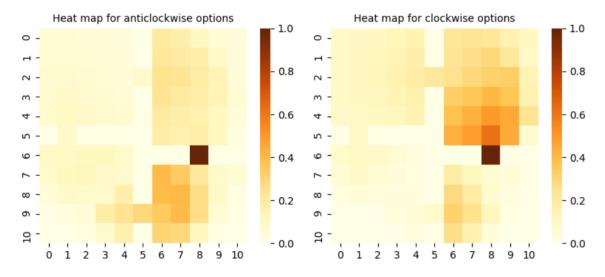


Figure 3: Heat map showing Q-values for anticlockwise and clockwise options for goal G1

### Heat maps for goal G2:

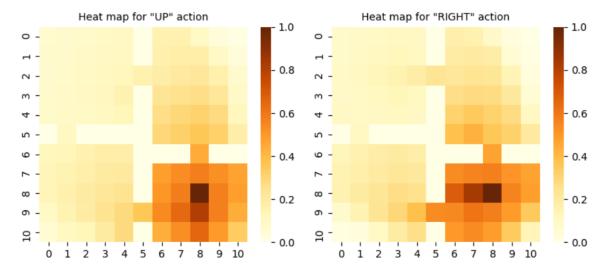


Figure 4: Heat map showing Q-values for "UP" and "RIGHT" actions for goal G2

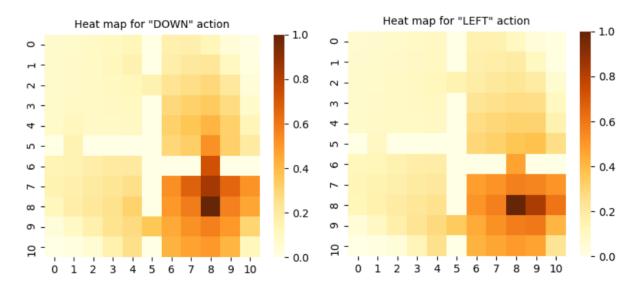


Figure 5: Heat map showing Q-values for "DOWN" and "LEFT" actions for goal G2

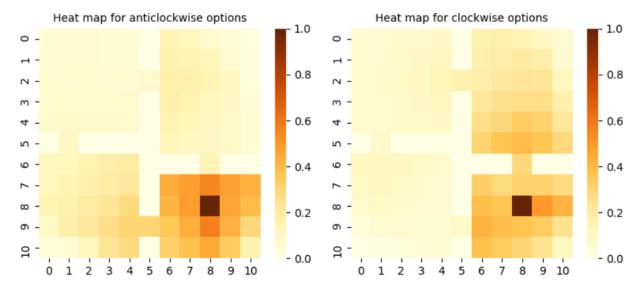


Figure 6: Heat map showing Q-values for anticlockwise and clockwise options for goal G2

### 1.1 Observations

- (i) As can be seen from the above heat maps, the goal state has the highest Q-value (value of +1); as the only reward is +1, which the agent can get after reaching the goal.
- (ii) Other states Q-values not only depend upon the number of steps needed to reach the goal but also the option taken in that state. For example in figure 2 and 5 for "DOWN" action the states which will directly lead to the goal state with "DOWN" action (the states directly above the goal) has higher Q-values than other nearer state for which the same action will not directly lead to the goal. For other options also similar patterns can be observed.
- (iii) Initial state in center of room 4:(Heat maps for this case are shown in figures 7-12) If the initial state of the agent is changed to the center of room 4 (state 90), we see that for goal G1, most of the times, the agent first takes the anticlockwise multi-step option from room 4 to hallway of room 3 (state 77) and then the multi-step option to hallway of room 2 (state 56), which is also G1. For goal G2, the agent takes the same multi-step options to state 77 and then to state 56, thereafter it takes primitive actions to reach the goal G2 (state 64). These are represented abstractly below:

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For goal G1:
90 \xrightarrow{\text{multi-step option}} 77 \xrightarrow{\text{multi-step option}} 56
For goal G2:
90 \xrightarrow{\text{multi-step option}} 77 \xrightarrow{\text{multi-step option}} 56 \xrightarrow{\text{primitive actions}} 64
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We repeat the above implementation for both goal states G1 and G2 by changing the initial state to the center of the room 4 and plotted the learned Q- values in the figures shown below:

Heat maps for goal G1 with initial state in the centre of room 4:

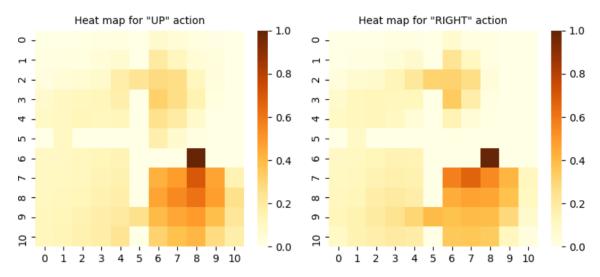


Figure 7: Heat map showing Q-values for "UP" and "RIGHT" actions for goal G1 with initial state in center of room 4

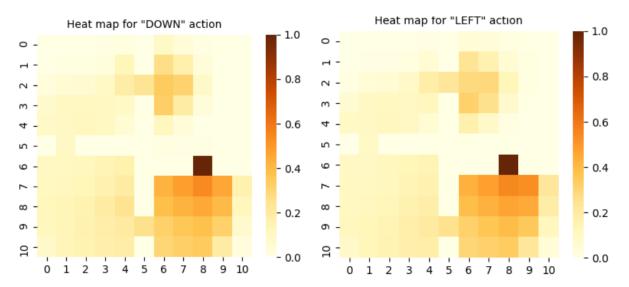


Figure 8: Heat map showing Q-values for "DOWN" and "LEFT" actions for goal G1 with initial state in center of room 4

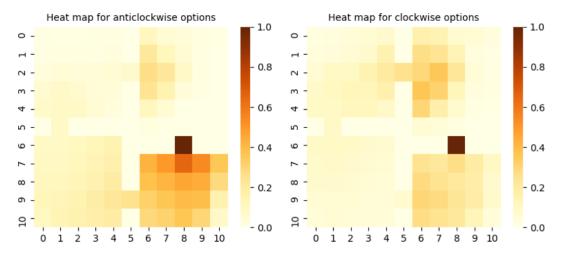


Figure 9: Heat map showing Q-values for anticlockwise and clockwise options for goal G1 with initial state in center of room 4

### Heat maps for goal G2 with initial state in the centre of room 4:

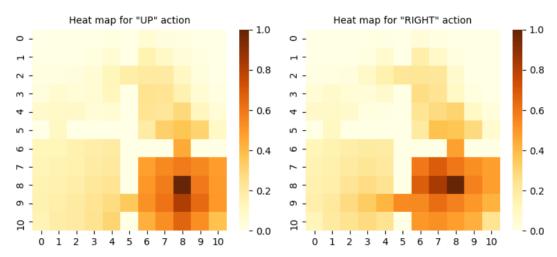


Figure 10: Heat map showing Q-values for "UP" and "RIGHT" actions for goal G2 with initial state in center of room 4

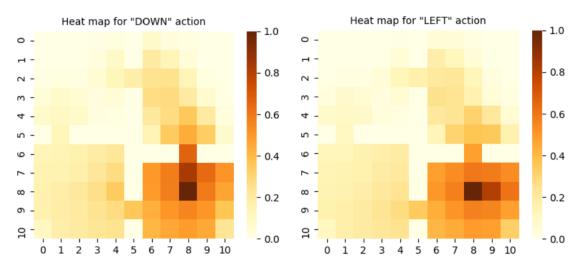


Figure 11: Heat map showing Q-values for "DOWN" and "LEFT" actions for goal G2 with initial state in center of room 4

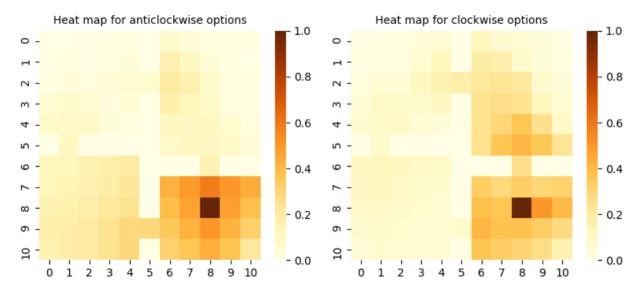


Figure 12: Heat map showing Q-values for anticlockwise and clockwise options for goal G2 with initial state in center of room 4

# 2 Intra-option Q-learning (Bonus)

In this section we implement the intra-option Q-learning, where the agent learns simultaneously many options in off-policy and select the optimal one. To learn the optimal options policy (reaching terminal state in less number of steps) we used a step reward of -1 (used for that option only and this reward is not used to solve the original problem).

We plot the heat maps for the learned Q-values for both goal states G1 and G2 in the following figures.

#### Heat maps for goal G1:

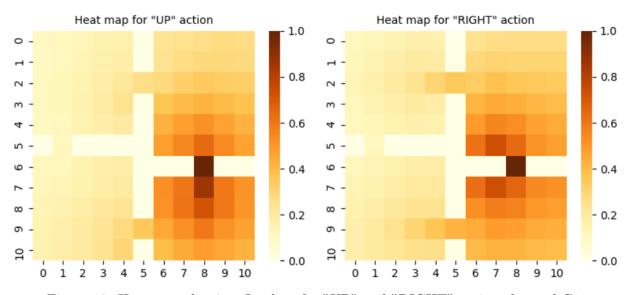


Figure 13: Heat map showing Q-values for "UP" and "RIGHT" actions for goal G1

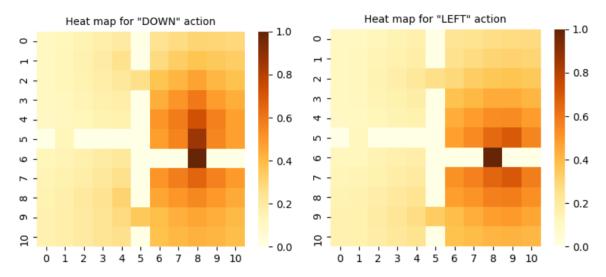


Figure 14: Heat map showing Q-values for "DOWN" and "LEFT" actions for goal G1

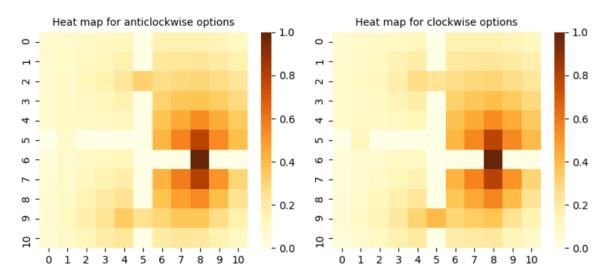


Figure 15: Heat map showing Q-values for anticlockwise and clockwise options for goal G1

### Heat maps for goal G2:

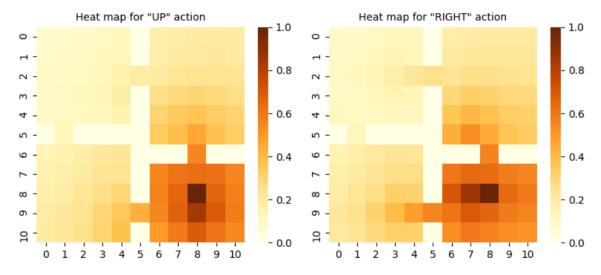


Figure 16: Heat map showing Q-values for "UP" and "RIGHT" actions for goal G2

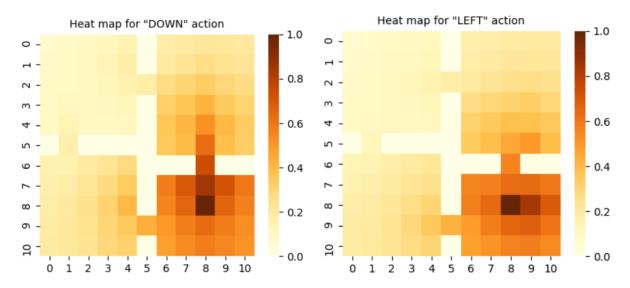


Figure 17: Heat map showing Q-values for "DOWN" and "LEFT" actions for goal G2

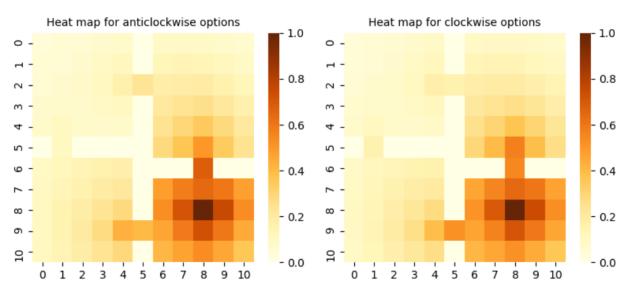


Figure 18: Heat map showing Q-values for anticlockwise and clockwise options for goal G2

### 2.1 Observations (for the bonus question)

- (i) From the heat maps of the learned Q-values for intra-option Q-learning, we notice some similar observations as for SMDP Q-learning such as goal state having the highest Q-values and states Q-values depends on its location relative to the goal and also to the option taken there.
- (ii) But one notable thing is for same goal the states have higher Q-values in intra-option Q-learning as compared to SMDP for the multi-step options, which is evident as in intra-option we leaned and compared many similar options. For this reason it also takes much time as compared to SMDP to learn the policy.

#### (iii) Initial state in center of room 4:

If the initial state of the agent is changed to the center of room 4 (state 90), we see similar observations as in the case of SMDP Q-learning, but in this case, the agent takes primitive action much more often as compared to the previous cases.