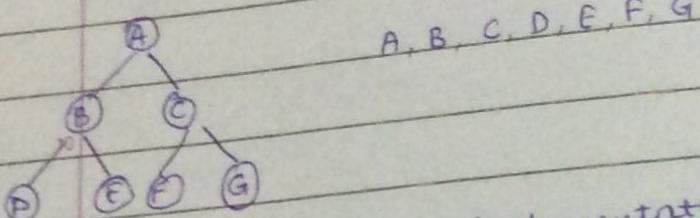


## Binary Tree Traversal:

The process of visiting each node in the tree exactly once

a) Breadthfirst / level order!

We will visit all nodes at same depth before visiting nodes at next level. ( $L \rightarrow R$  or  $R \rightarrow L$ )



A, B, C, D, E, F, G

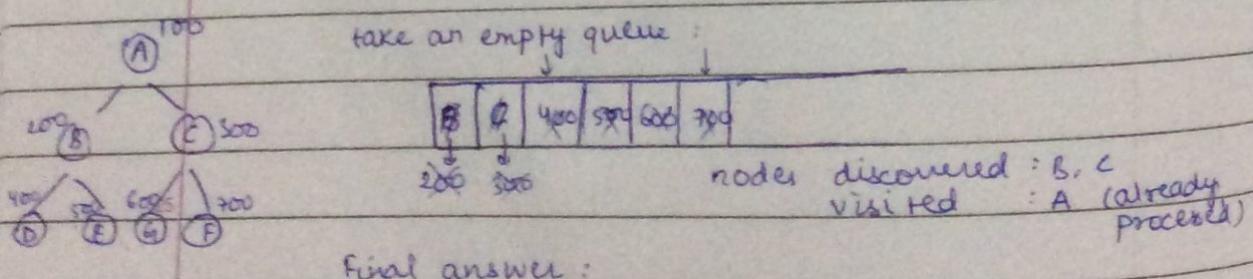
.) Using linked list implementation  
if we reach G, we can't go to E.

We will use an auxiliary structure Queue

steps:  
1.) When we visit a node, we can keep reference / add of all its children in queue so that we can visit them later.

2) A node in a queue is called discover node whose add. is known to us but we've not visited it yet.

3) The node which is discovered first is dequeued first.  
(visited first)



100 will be discovered first and its children's address will be put in queue.

→ level order

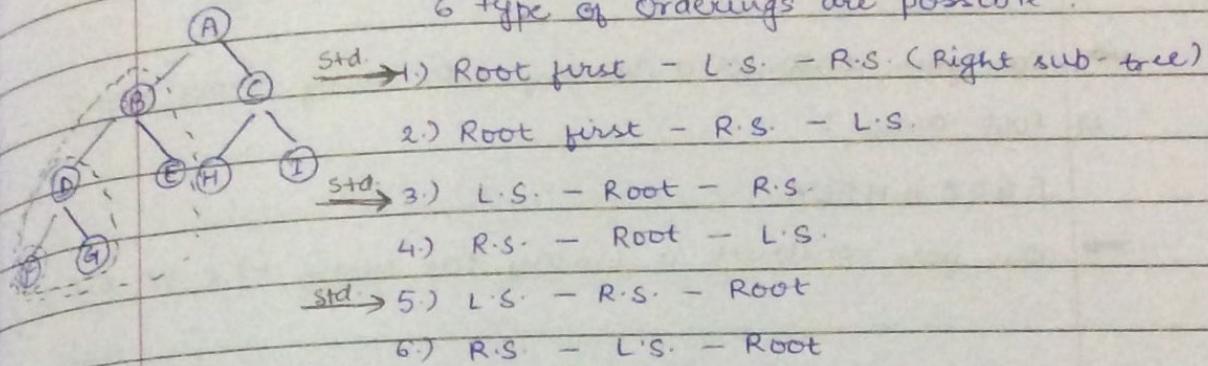
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b.) Depth first :

We first visit the whole subtree of a child before going to another child.

6 type of Orderings are possible :



10

1.) & 2.) : Pre-order (Root first)

3.) & 4.) : In-order (Root in b/w)

5.) & 6.) : Post-order (Root at last)

→ Pre-order :

1.) Visit the root

2.) Traverse the L.S. in pre-order

3.) " " R.S. " "

→ In-order :

1.) Traverse L.S. in in-order

2.) Visit the root

3.) Traverse R.S. in in-order

→ Post-order :

1.) Traverse L.S. in post-order

2.) " " R.S. "

3.) Visit the root

\* can't go in reverse dir directly.

1) In Pre-order :

F D G B E A H C I  
L.S.      Root      R.S.

2) Pre-order :

A B D F G E C H I

→ Can you construct a binary tree using above two?

3) Post-order :

F G D E B H I C A

→ Can you construct a binary tree using 1.) & 3.), 2.) & 3.)

\* 10 In order to implement this, we use stack

inorder 1) Iterative soln :

struct Node

{  
    struct Node \* left ;  
    struct Node \* right ;  
    int data ;

}

int struct Node \* S [100]; // here, S will act as stack  
(array of pointers)

main ( )

tree → add of root

{

Struct Node \* tree = NULL ;

void intrav (struct Node \* tree)

{  
    struct Node \* p = tree ;

do

{

    while (p != NULL) // we have traversed the  
    {  
        push (s, p) ;  
        left branches as far  
        p = p -> left ;  
    }  
}

as possible saving pts  
to nodes which have  
passed //.

In comp. memory, special section : stack or implicit stack.  
Func" calls in prgm are implemented using a stack.

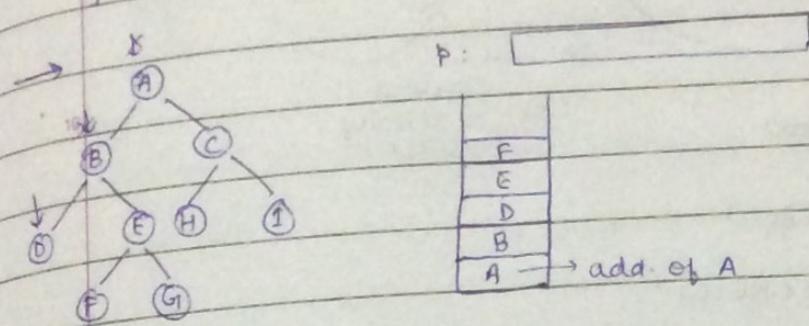
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1)  
2)

```
if (! isempty(s))  
{  
    p = pop(s);  
    pf ("%d", p->data);  
    p = p->right;  
}  
while (! isempty(s) || p != NULL)
```

4)



O/p : DBFEGHI

\* In iterative sol<sup>n</sup> of in-order, we have to use the stack explicitly.

2) Recursive sol<sup>n</sup>:

```
void intravR ( structnode *tree )
```

{

if (tree != NULL)

{

intravR ( tree->left );

pf ("%d", tree->data);

intravR ( tree->right );

}

9

→ Part (ii)

Firstly,  $F(0)$  will be executed

F(0)
F(1)
F(2)
F(3)
F(4)

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DOL
400
200
base=100

# completed, popout

O/p : D B F E .....

main () [includes all local variable]

main ()

↓

intravr (100)

↓

intravr (200) →

x      x

intravr (400) → intravr (NULL)

↓

intravr (500)

↓

intravr (800) → NULL

↓

intravr (NULL)

P8 will be

executed

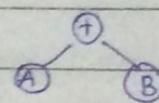
(S+1 is already

executed)

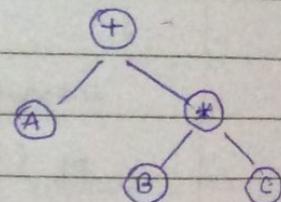
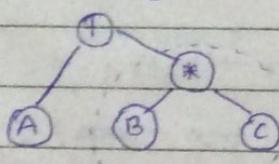
### ~~Q2-17~~ Expression Tree (App's of B.T.)

An exp's of operands and operators can be represented by a strict B.T. The root of strict B.T. contains an operator that is to be applied to the results of evaluating the exp's represented by left & right subtrees. A node representing an operator is an internal node whereas a node representing an operand is a leaf node.

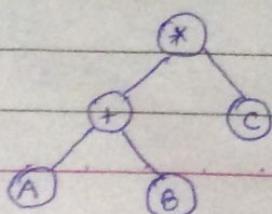
Eq. 1)  $A + B$



2)  $A + B * C$



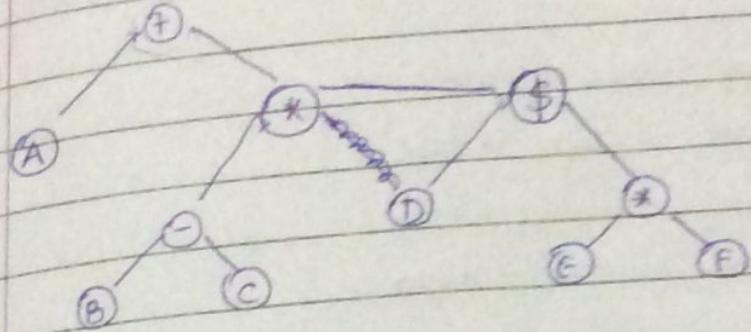
3)  $(A + B) * C$



4)  $A + (B - C) * D \$ (E * F)$

Precedence :  
 \$  
 \* /  
 + -

$$(A \# B) = A^B$$



→ We will get B strict B.T. only if binary op's are applied.

1) Pre-order

$$+ A * - B C \$ D * E F \equiv \text{Prefix}$$

Prefix :

$$\equiv + A * - B C \$ D * E F$$

→ Postfix :  $\equiv \rightarrow \text{Post-order}$

$$A B C - D E F * \$ * +$$

→ In-order  $\equiv$  Infix exp" (without parentheses)

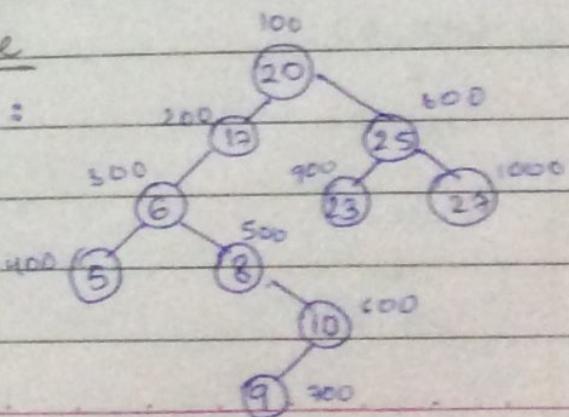
### Binary Search Tree

1) Searching of a node :

→ Search B.

Compare B & 20

$B < 20 \Rightarrow$  Go to L.S.



(Make comparison)

Algorithm:

a) struct Node \* search\_BST1 (struct Node \* tree, int key)

Iteration

```

    {
        while (tree != NULL && tree->data != key)
        {
            if (key < tree->data)
                tree = tree->left;
            else
                tree = tree->right;
        }
        return tree;
    }

```

→ tree d.n.e. or item d.n.e. ⇒ it will return NULL

b) struct Node \* search\_BST2 (struct Node \* tree, int key)

Recursion

```

    {
        if (tree == NULL || key == tree->data)
            return tree;
        if (key < tree->data)
            return search_BST2 (tree->left, key);
        else
            return search_BST2 (tree->right, key);
    }

```

⇒ Trace this func<sup>n</sup> using stack or

(100, 8)

↓

(200, 8)

↓

(300, 8)

↓

(500, 8)

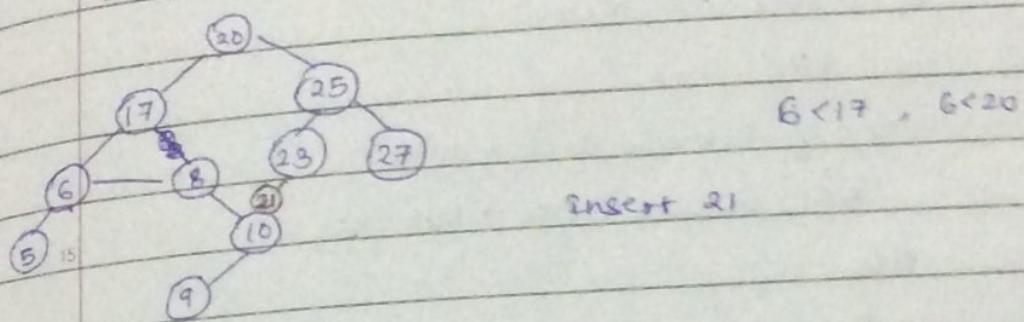
max<sup>n</sup> comparisons  $\in \Theta(n^2)$   $n = 10$

searching :  $O(h)$  : Worst Case  
 Worst Case

- \* In array :
- Worst case :  $O(n)$
- \* In L.L. :  $O(n)$
- \* In BST :  $O(h)$

2) Inserting a node :  $O(h)$

$\rightarrow 20, 17, 6, 8, 25, 10, 23, 5, 27, 9$   
 $17 < 20 \Rightarrow \text{L.S.}$



Algorithm :

void BST\_insert ( struct Node \*tree, int key )

{

20      struct Node \*y, \*x, \*z ;                  x = tree ;  
 z = ( ) malloc ;                                    y = NULL ;  
 z → data = key ;                                    ↓  
 z → left = NULL ;                                 y → store for  
 z → right = NULL ;                                the main x  
 while (x != NULL)                                 # value &  
 {    x to traverse  
    kra she h.

y = x ;

if (key < x → data)

x = x → left ;

else

x = x → right ;

if (~~key~~ == NULL) // tree is empty [27]

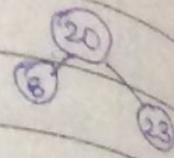
tree = z;

else if (key < y → data)

<sup>left</sup>  
y → ~~tree~~ = z;

else

y → right = z;



void BST\_insert2 (struct Node \*tree, int key)

{

    struct Node \*z;

    z = malloc ( );

    if (tree == NULL)

        tree = z;

    else if (key < tree → data)

        BST\_insert2 (tree → left, key);

    else

        BST\_insert2 (tree → right, key);

}

370 Deletion of a node : O(h)

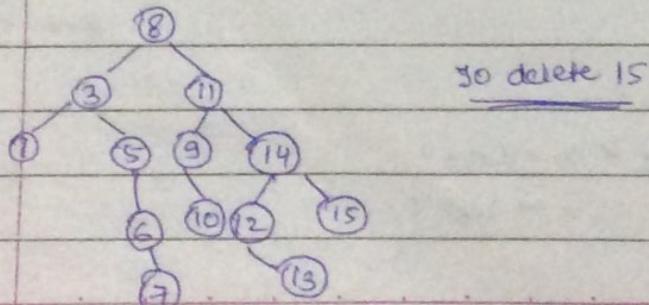
\* After deletion, the obtained tree must also be a BST.

In order to delete a node, we can consider three cases:

i) If node to be deleted has no children. (leaf node), it

may be deleted without further adjustment to tree.

Eg.

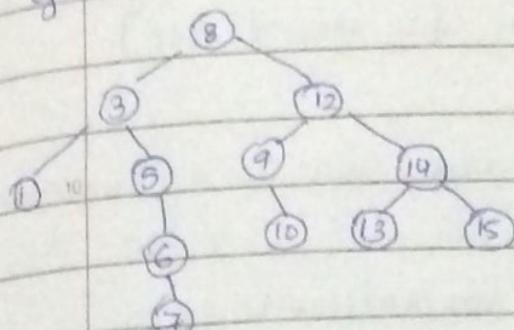


ii) If node to be deleted has only 1 sub-tree, then its only child can be moved up to take its place.

Eg. delete 5 in prev. tree.

iii) node has both subtrees : it is replaced by the left-most child of its right subtree (min<sup>m</sup> element in right subtree)

Eg. delete 11 : left-most : 12



→ <sup>inorder</sup>  
The traversal of a BST results in an ascending order sequence

1 3 5 6 7 8 9 10 12 13 14 15

→ The max<sup>m</sup> element in a BST can be found by traversing the root in right dir<sup>n</sup> all along until a node with no right link is found.

Time Complexity :

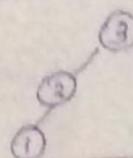
① 20, 17, 6, 8, 25, 10, 23, 5, 27, 9      h = 5

② 5, 6, 8, 9, 10, 17, 20, 23, 25, 27      h = 10

③ → 5 6 8 9 : Skewed BST (all either in left or right subtree)

→ Right-skewed BST

\* BST will be like array or L.T if it is skewed BST.



$O(\log_2 n)$

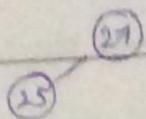
~~$O(n)$~~  Almost Binary Tree

Camlin	Page
1	1

② 27, AS

6, 5

$h = 10$

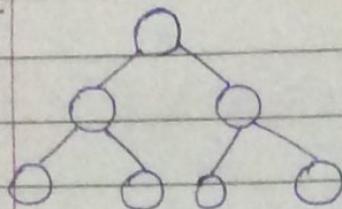


left-skewed BST

\* All op's on BST completely depend on the shape of BST.

→ the worst case :  $O(n)$  [if skewed BST]

23-2-17 complete BST : all leaf nodes will be at same level



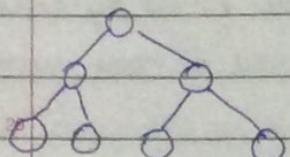
$$h = \log_2(n+1) - 1$$

Time complexity :  $O(\log_2 n)$

Mid-terms

In skew BST,  $h = n-1 \Rightarrow O(n)$

If complete tree :



$$h = \log_2(n+1) - 1 \Rightarrow \text{this is better}$$

$\Rightarrow O(\log_2 n)$

Binary

Height balanced Tree or AVL Trees

25. A B.T. is Height balanced if diff. of the heights of the left and right subtree of each node are in the range of  $-1, 0, 1$ . In other words, it is a B.T. in which heights of 2 subtrees of every node never differ by more than 1.

→ For this, we need to calculate Balance Factor of every node in the Binary Tree.

Balance factor (BF)

The BF should lie in the range {-1, 0, 1}

B.F. of a node

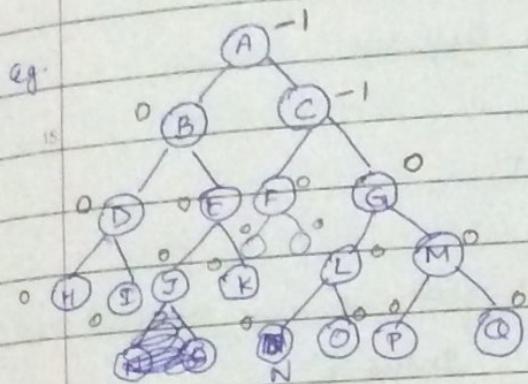
$$(B.F.) = \text{height of left subtree} - \text{height of right subtree}$$

→ For a leaf node, B.F. = 0

→ The B.F. -1, 1 depend on whether height of L.S. is greater than or less than height of its R.S.

(h)  
L.S.      B.F.      R.S.

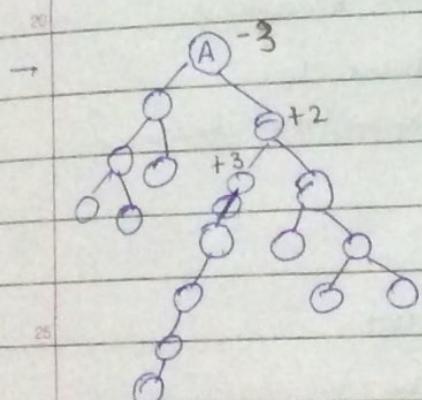
Eg.



A →	3	-1	4 ✓
B →	2	0	2 ✓
D →	1	0	1 ✓
C →	2	-1	3 ✓

→ B.F. : {-1, 0, 1}

Height Balanced Binary Tree



Not a height balanced  
Binary Tree.

→ AVL Trees : Adelstein, Velskic & Landis

These scientists gave algo to such trees.

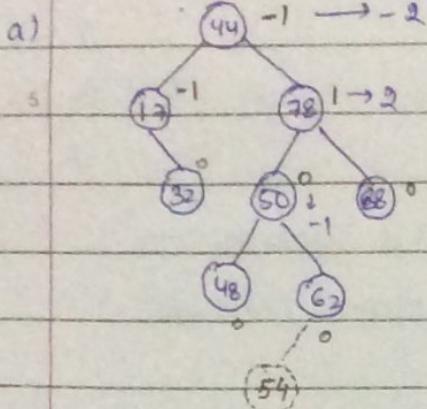
AVL Search Tree

## AVL Search Trees

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In a given balanced B.T., if we insert a new node, the resultant tree may/may not be balanced.

e.g. of a BST



Insert node 54

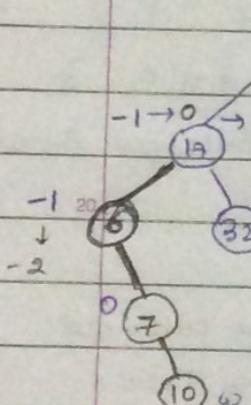
After Insertion, it doesn't remain Height balanced.

Ans:

- \* Whenever we insert a node, then from all the nodes in the path from that node to root node will have B.F. changed.
- \* Ancestors B.F. will get affected.

b)

Insert 6 in above I



→ Still, it is a balanced tree

Insert 7

→ still balanced

Insert 10

→ Now, not balanced

c) Steps to follow for insertion

1. let newly inserted node be w.
2. Perform std BST insertion for w.
3. starting from w, travel up and find the 1st unbalanced node.

All ROT's will be done wrt middle key

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4. let  $z$  be the 1st unbalanced node.

5. let  $y$  be the child of  $z$  (consider that child which is coming in path)

6. let  $x$  be the grand child of  $z$ .

that also comes on path from  $z$  to  $w$

7.  $z = 78$  (In eg a)

$y = 50$

$x = 62$

$w = 54$

8. Rebalance the tree by performing app rotations on the subtree rooted with  $z$ . There can be 4

possible cases that needs to be handled as  $x, y \& z$  can be arranged in 4 ways.

i)  $y$  is left child of  $z$ ,  $x$  is left child of  $y$  (LL case)

ii)  $y \rightarrow$  left child  $x \rightarrow$  right child (LR case)

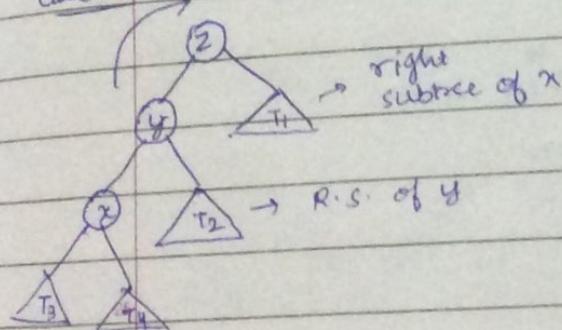
iii)  $y \rightarrow$  right child  $x \rightarrow$  left child (RL case)

iv)  $y \rightarrow$  right child  $x \rightarrow$  right child (RR case)

Rotation : It is a way of locally reorganising  
(only in some subtree)  
a BST.

Case-I : left-left case

: Rotation wrt (y, z)  
in right in left dir<sup>right</sup> (left rot<sup>n</sup>)

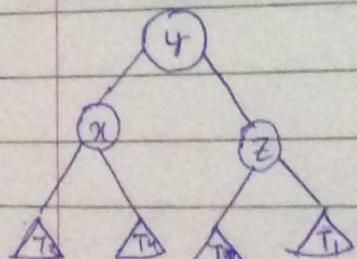


if y is in middle?

$x < y < z$

make  $y \rightarrow$  root

$z \rightarrow$  right child



Now,  $z$  will automatically become balanced

\* After app<sup>n</sup> of Rotation, the tree must remain BST.

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height of subtree  $T_2 : h$  (before insertion)

insertion occurs at  $T_3$  (node w)

height after insertion :  $h+1$

5.  $T_4$ : Possible heights: ✓ ✓   
 $T_3 : h \rightarrow h+1$

Before inser<sup>n</sup> (for  $h+2$ ): B.F. =  $(h+2) - h = 2$ ,  
 (Before, it was AVL tree)

\* After insertion, height of x, y and z  $\neq$  use definitely.  
 10. If height doesn't change, z won't become unbalanced.

15.  $T_3 \quad T_4 \quad \text{diff}$   
 $h \rightarrow h+1 \quad h \quad 1$   
 $h \rightarrow h+1 \quad h+1$

⇒ if you consider this case, height of x, y, z remain same ⇒  
 this case is not possible

→ height of  $T_4 : h$

→  $x : h+1 \rightarrow h+2$

→  $8T_3 : h \quad h+1 \quad h+2 \quad h+3$   
~~x~~ ✓ (height will remain same before)  
 afterwards, imbalanced

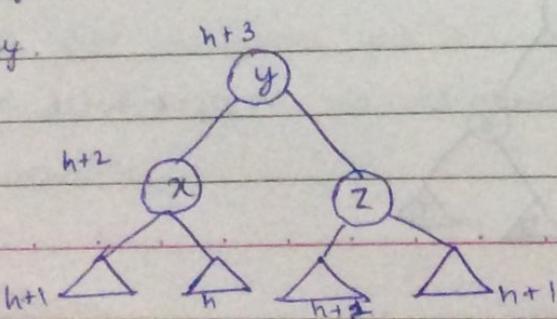
20. →  $y : h+2 \rightarrow h+3$

→  $T_4 : h-1 \quad h \quad h+1 \quad h+2 \quad h+3 \quad h+4$

z: imbalanced after insertion.

z:  $(h+3 \rightarrow h+4)$

25. \* In left-left case, we apply right rotation along the middle key.



\* the original height (before insert) of a subtree rooted at z was "h+3". After insert and then the rot<sup>n</sup>, the height of the new subtree rooted at y is also "h+3"

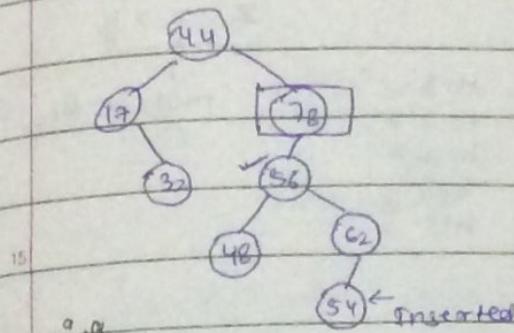
→ when  $h(z) = h+3$  (earlier)  $\Rightarrow$  it was AVL Tree

when inserted :  $(h+3) \rightarrow (h+4) \rightarrow$  not AVL Tree

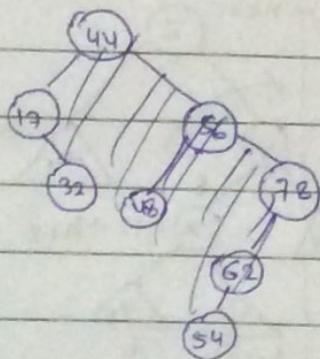
Now, again height of sub-tree :  $(h+3)$   $\Rightarrow$  again becomes AVL Tree

10

In earlier eg :

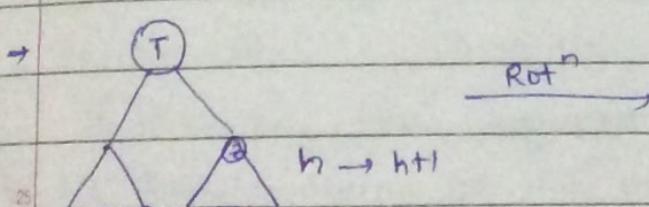


*while inserting*

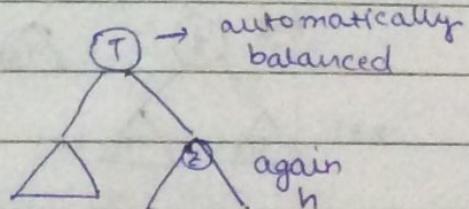


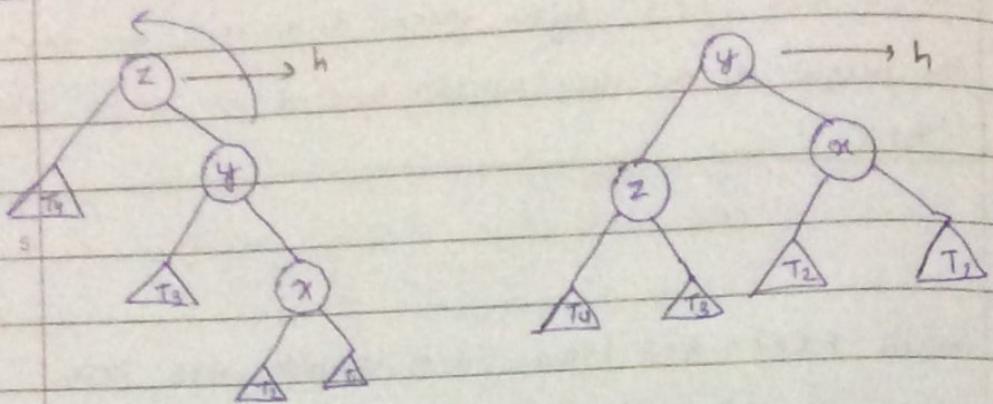
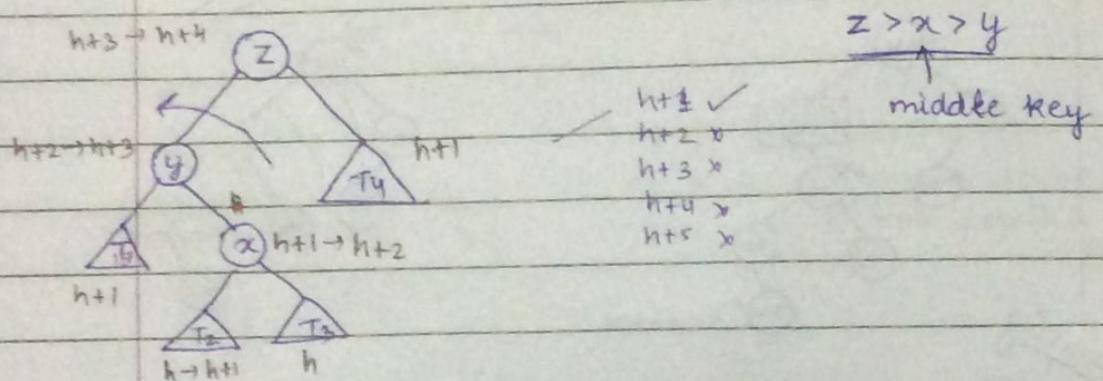
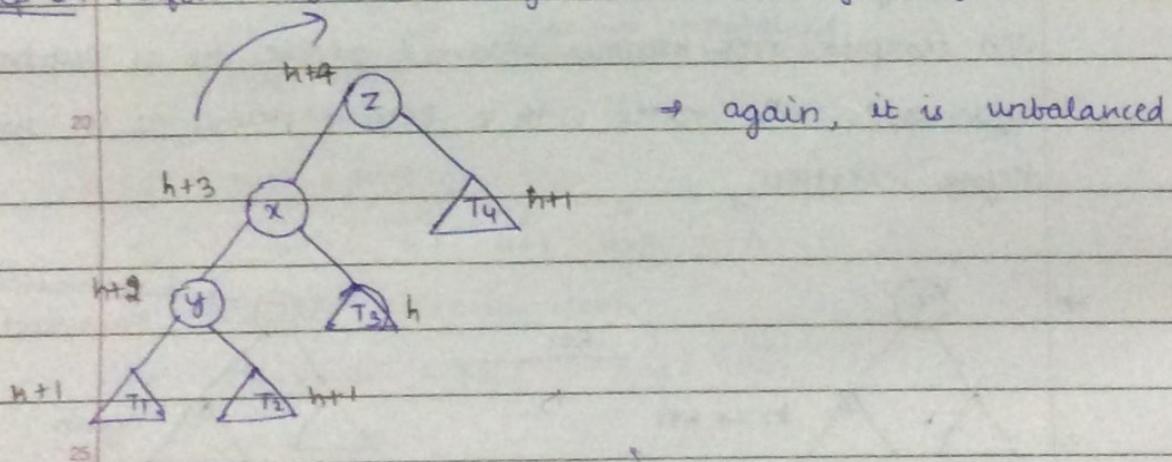
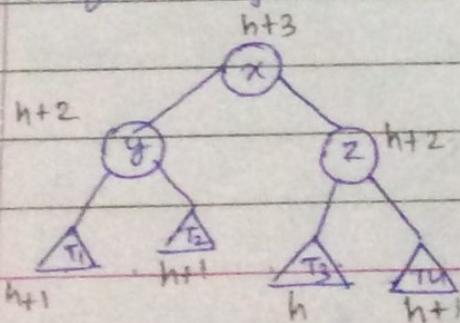
\* We only need to rebalance the sub-tree rooted with z and complete tree becomes balanced as height of subtree

20 after app. rot<sup>n</sup>'s rooted with z becomes same as it was before insertion.



Rot<sup>n</sup>



Case-II: Right - Right caseLeft Rot<sup>n</sup> w.r.t middle key  
 $z < y < x$ Case-II: Left - Right case      Insert<sup>n</sup>: either at  $T_2$  or  $T_3$   
(assume at  $T_2$ )Step 1: Perform left rot<sup>n</sup> along the middle key (x,y)Step 2: Perform right rot<sup>n</sup> along middle key (x,z)

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middle key

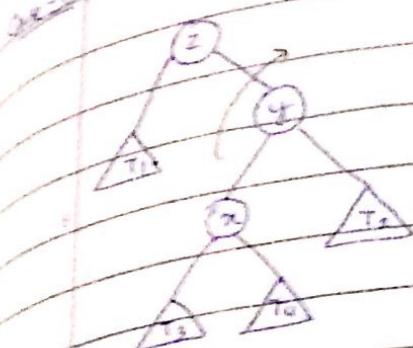
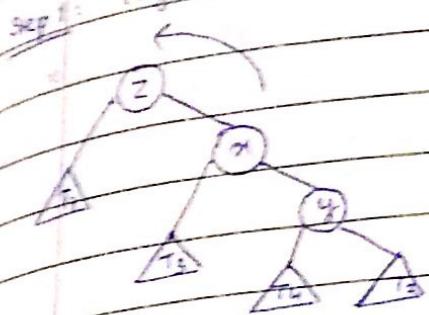
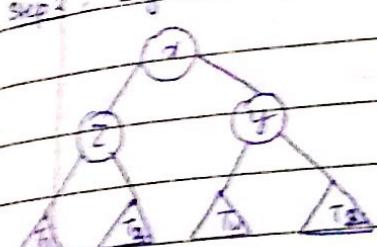
 $T_2 \text{ or } T_3$  $x > y$ 

middle key

 $x, y$ 

unbalanced

Right - Left Case ?

Step 1: Right rot<sup>n</sup> wrt (x, y)Step 2: Left rot<sup>n</sup> wrt (x, z)

→ Time Complexity :

In case - III :  $x \rightarrow T_3 \Rightarrow x \xleftarrow[①]{\leftarrow} z \xleftarrow[②]{\leftarrow} T_3$ 

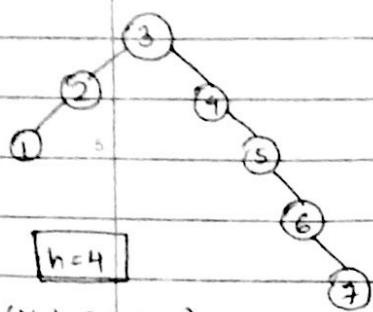
2 times you have adjusted.

→ rot<sup>n</sup> doesn't depend on no. of nodes in sub-tree.

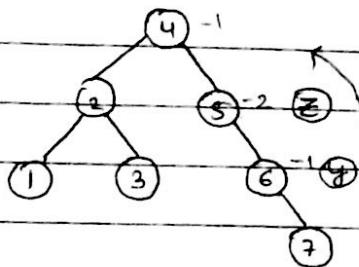
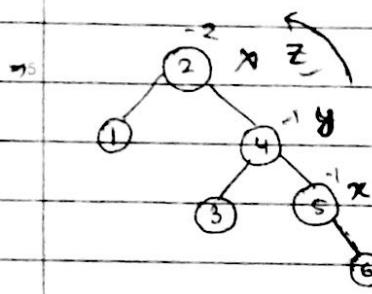
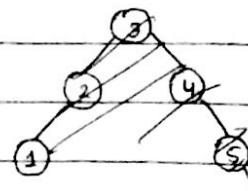
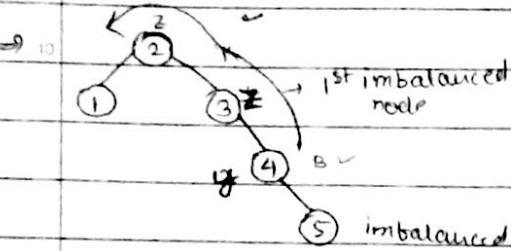
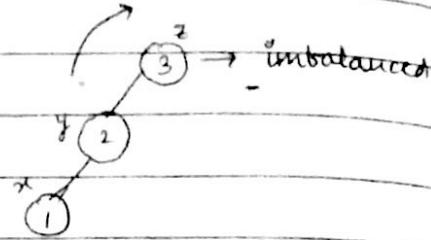
\* Time complexity of rotation : O(1)

Examples: 3, 2, 1, 4, 5, 6, 7

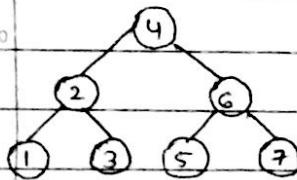
BST :



AVL Search tree :



2-3-17



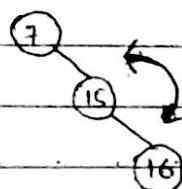
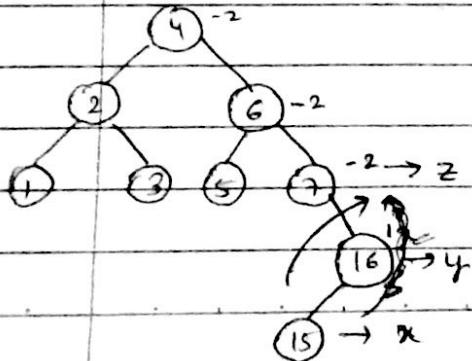
Proposition

The height  
is always

perfectly  
right skewed

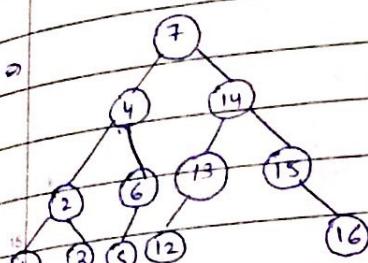
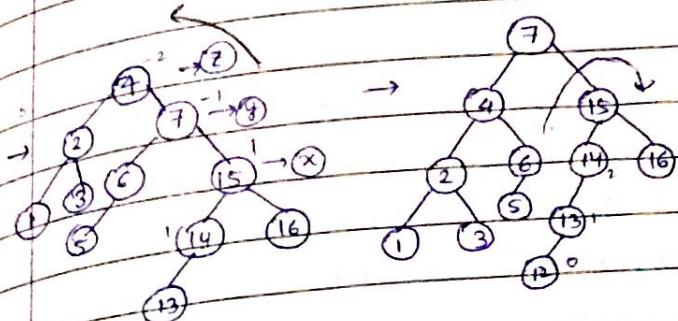
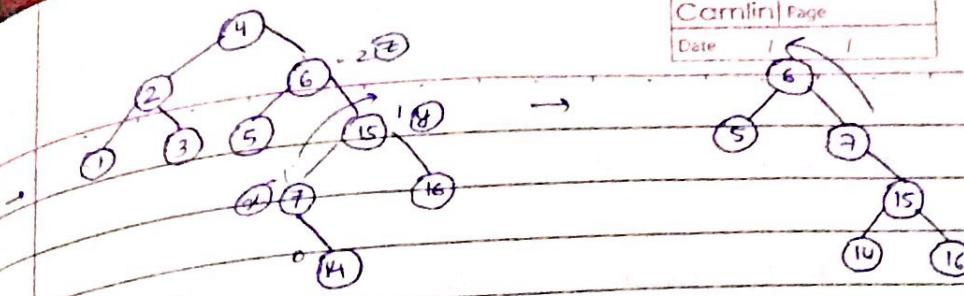
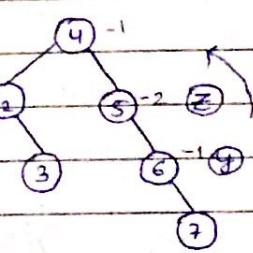
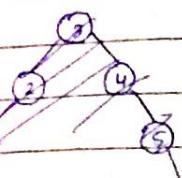
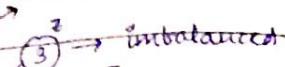
e.g. 3, 2, 1, 4, 5, 6, 7 || 16, 15, 14, 13, 12, 11, 10

Right-left



or B.F.

AVL Search tree :



Proposition :

The height of an AVL tree storing 'n' elements (nodes) is always  $O(\log_2 n)$

Perfectly height balanced tree : in which left and

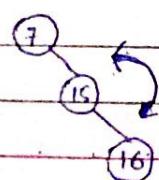
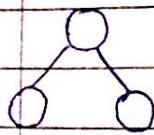
right subtree of each node are at same height.

or B.F. of each node = 0. (also complete binary tree)  
or full binary tree

$$h = \log_2(n+1) - 1$$

$$h : O(\log_2 n)$$

$$h \propto \log_2 n$$



→ If both subtree

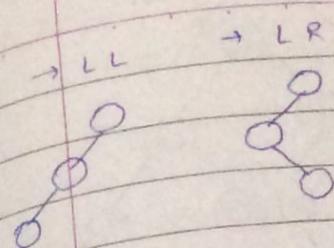
→ AVL search tree  $h \propto \log_2 n$   $O(\log_2 n)$

→ BST (skewed)  $h \propto n$   $O(n)$

→ BST search :  $O(h)$  complete binary :  $O(\log_2 n)$   
skewed :  $O(n)$

→ AVL - search :  $O(h)$  → worst case :  $O(\log_2 n)$

\* Searching, deletion, insertion would be same order in AVL & BST Trees. Only diff. b/w both is that AVL is height balanced.



These cases are

\* Unlike insertion  
AVL tree After  
ancestors of z  
trace the path

### → Insertion

BST\_insertion :  $O(h)$  → worst case  $\rightarrow O(n)$

AVL\_insertion :  $O(n) + O(1) = O(n)$

Before Rot<sup>n</sup> for Rot<sup>n</sup> → (Worst case)  $O(\log_2 n)$   
(always balanced before insert)

### → AVL deletion :-

It is similar to BST deletion

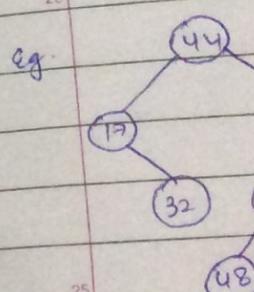
To make sure that given tree remains AVL tree after every deletion, we must argue that the std. BST delete op<sup>n</sup> to perform some rebalancing.

Let w be the node to be deleted.

- Perform std. BST\_delete for w.
- Starting from w, travel up & find 1st unbalanced node.
- Mark it as z.
- Let y be larger height child of z. x is child of y with larger height.
- Rebalance the tree by performing app. rot<sup>n</sup>'s on subtree rooted with z.
- There can be 4 cases that need to be handled as x, y & z can be arranged in foll. 4 cases :

→ Time complexity  
worst case :

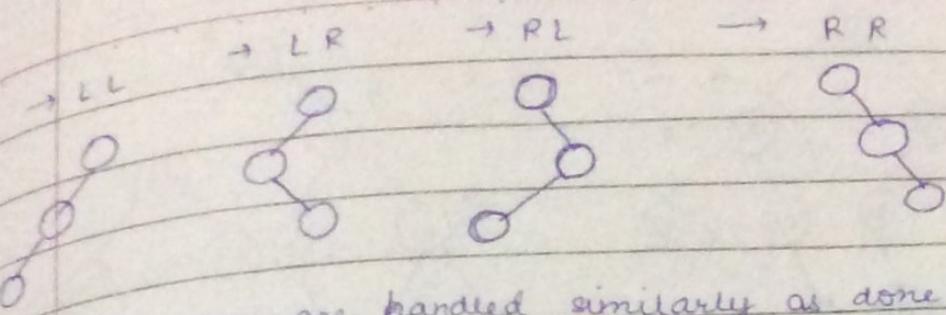
→ Insertion



① del : 32

if both subtree have same height : we can choose anyone

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These cases are handled similarly as done in insertion

\* Unlike insertion, fixing node z will not fix the whole AVL tree. After fixing z, we may have to fix ancestors of z as well. Thus, we must continue to trace the path until we reach the root.

→ Time Complexity

worst cast : all above z are unbalanced

$$\Rightarrow O(\log_2 n) + O(1) + O(\log_2 n)$$

$\Downarrow \quad \uparrow \quad \Downarrow$

you have to  
rotate all above  
z

to delete  
 $O(n)$

$$= O(\log_2 n)$$

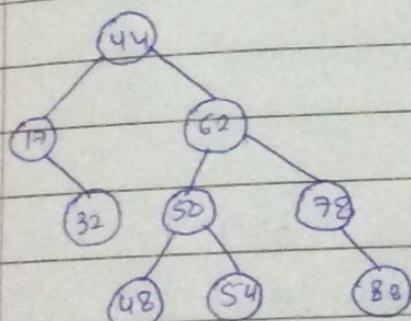
→ Insertion

$$O(1) + O(\log_2 n) = O(\log_2 n)$$

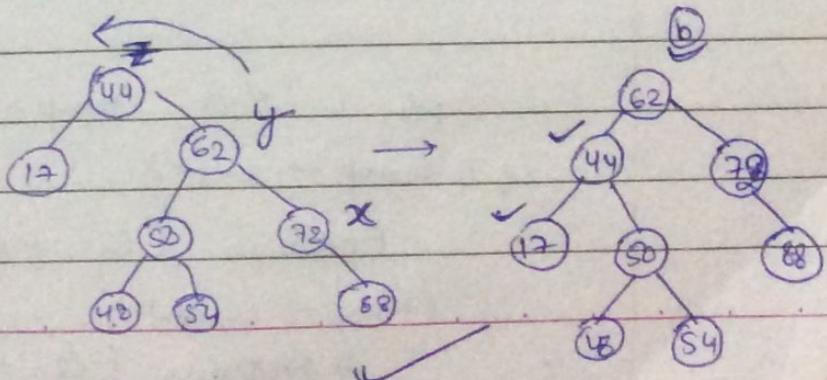
$\downarrow \quad \uparrow$

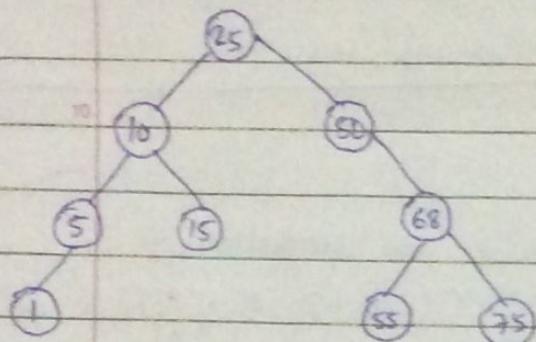
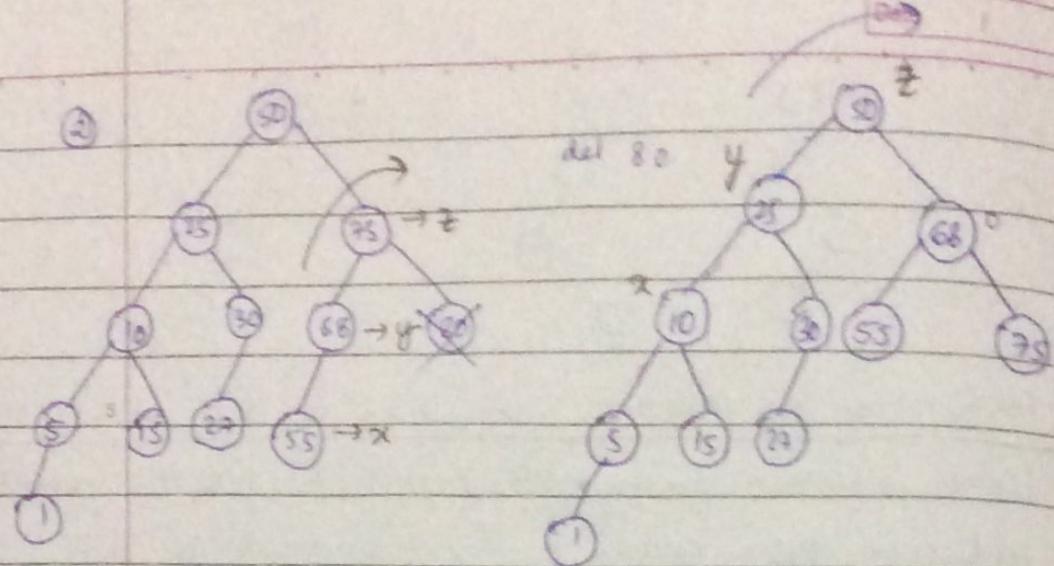
for root<sup>n</sup> for ins?

Eg.



① del : 32





→ Level Order Traversal (BST) :-

```

void level_order ( struct Bnode * tree )
{
    struct Bnode * temp ;
    struct Queue * Q = createQueue ( ) ;
    if ( ! tree )
        return ;
    Enqueue ( Q, treeroot ) ;
    while ( ! IsEmptyQueue ( Q ) ) {
        temp = Dequeue ( Q ) ;
        pf ( "%d", temp->data ) ;
        if ( temp->left )
            Enqueue ( Q, temp->left ) ;
        if ( temp->right )
            Enqueue ( Q, temp->right ) ;
    }
}

```

3  
Delete Queue ( ) ;

→ Height of Binary Tree :

2 Recursion :-

int height (struct Node \*root)

{ int leftheight, rightheight ;

if (root == NULL)

return 0 ;

else {

leftheight = height (root → left) ;

rightheight = height (root → right) ;

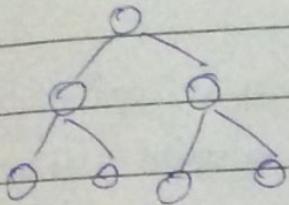
if (leftheight > rightheight)

return (leftheight + 1) ;

if (rightheight > leftheight)

return (rightheight + 1) ;

Part - C



$$N = 2^n - 1$$

2 Worst case :  $2^n - 1$

\* Every leaf node has a sibling.

### 2-3 Tree

Def: A 2-3 tree is a tree in which each internal node (non-leaf) has either 2 or 3 children and all leaves are at same level.

Different cases in insertion of a key-value into a 2-3 tree:

→ It starts with leaf node

20 [30 60] : 70 will go up  
(middle one)

Case-I: Insertion starts with appropriate leaf node

50, 40, 30, 20, 10, 15, 25, 35, 45

30 [40 50]



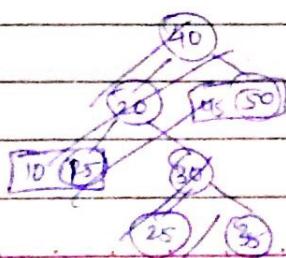
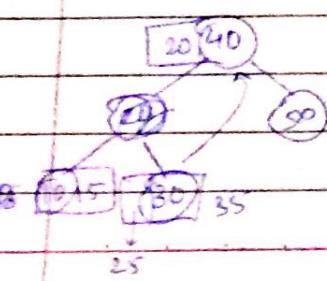
Case-II: If leaf node has "space" (single valued), then add the new key-value

20 [40 50] put 40

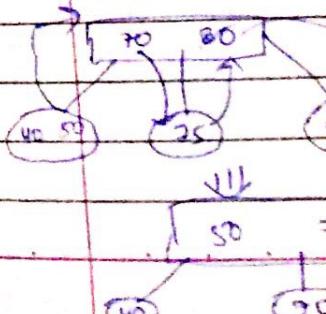
Case-III: No space (double valued), split op" should be performed this leads to propagation upwards occurs  
middle value goes up

25 30 [40 50] → 10 [20 30] 50

40



B. sibling  
value



We insert a key-val

Deletion of a key

\* First, search the key  
we delete op

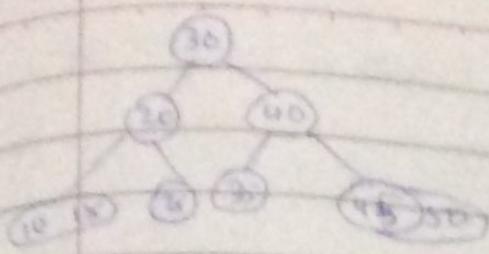
\* a) key-value is  
i) leaf node is  
Delete the key  
valued

ii) leaf node is  
A sibling is  
(if we delete  
(if 35)

→ we can't access

20 10 have att  
Use either  
from PC

- \* We insert a key-value, not a node



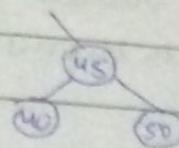
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deletion of a key-value from a 3-3 Tree :

- \* First search the key-value. If present, then perform the delete opn. Else, return (-1) and give error msg.
- \* a) key-value is found in a leaf-node :
  - i) leaf node is double valued  
Delete the key-value and make the node single valued.
  - ii) leaf node is single valued

A. sibling is double valued, parent single valued  
(If we delete 25, it will become :)

(g) 35 :

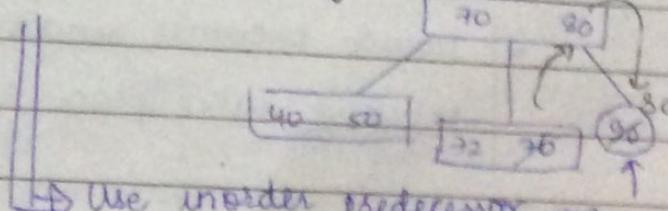
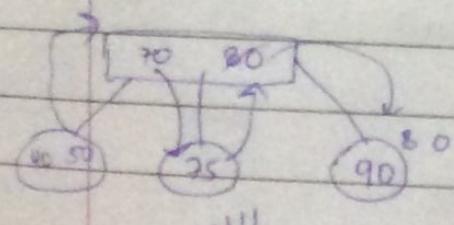


→ We can't directly delete node bcoz parent needs

to have att 2 or 3 children

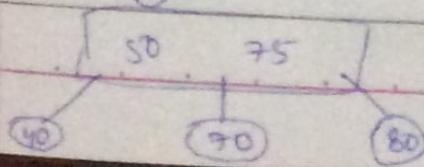
Use either In-order successor or predecessor  
from parent and sibling. (Parent is single valued)

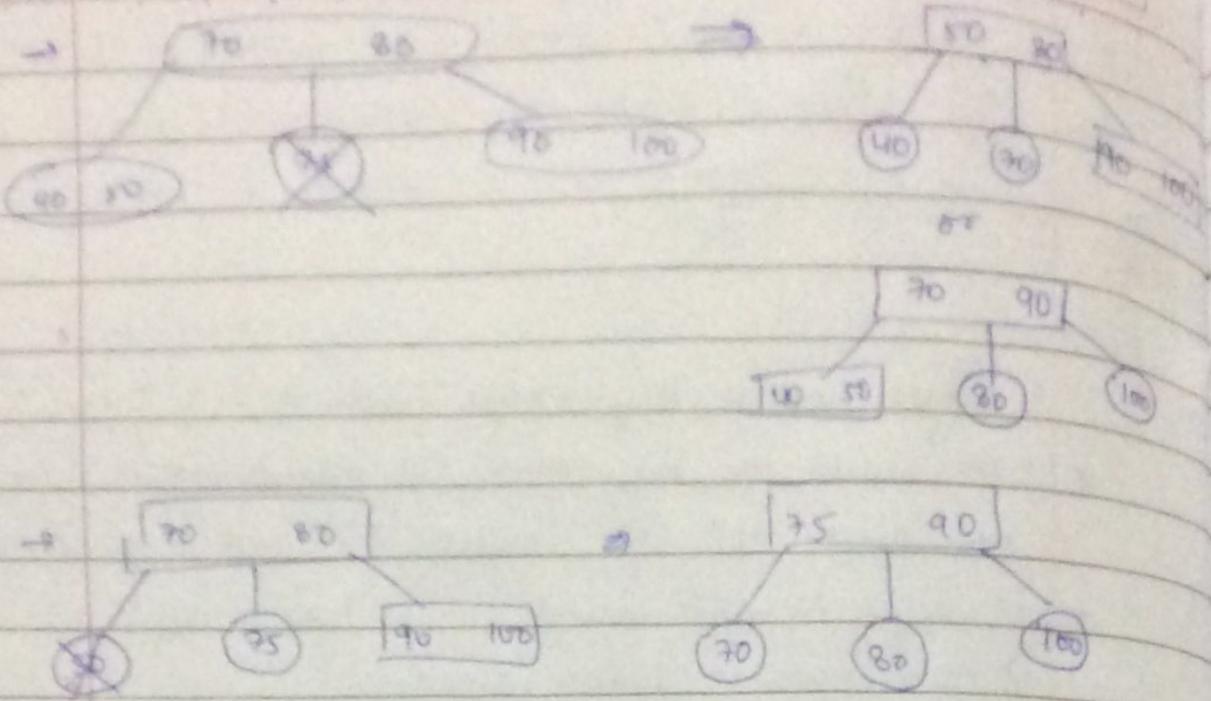
B. sibling is double valued, Parent is double valued. : → have two sibling nodes



Use in-order predecessor or

successor



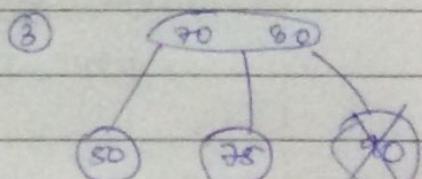
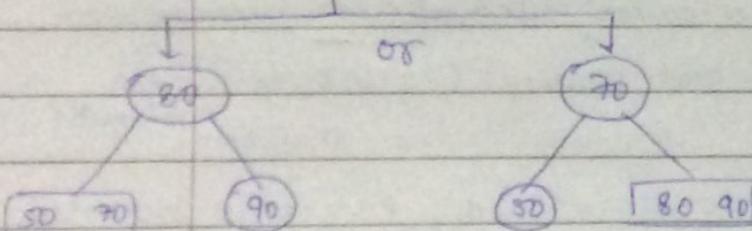
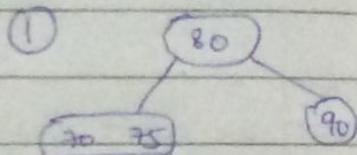
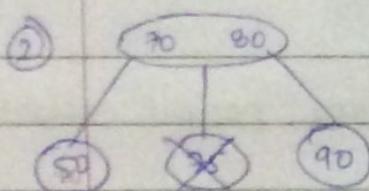
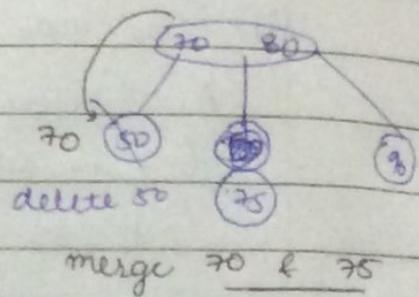


C. No sibling is double valued :

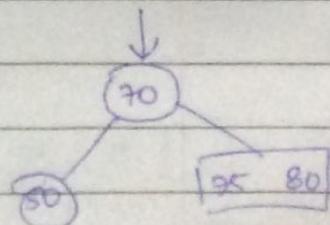
i) Parent is double valued

delete 1 or 4 nodes : can't be  
double valued parent

→ parent will become single



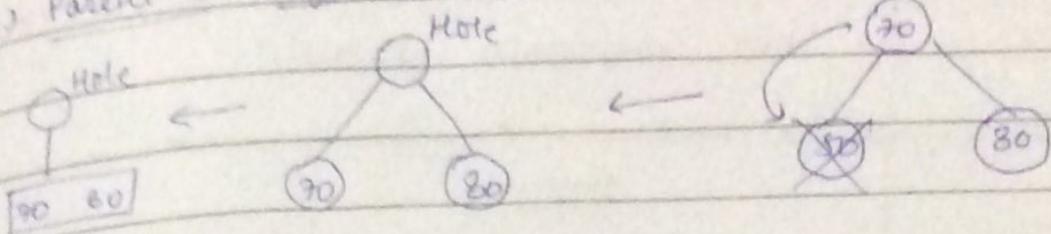
⇒ Parent becomes single-valued ;  
Merging takes place



\* Note is always in the parent  
 \* 'Hole' is temporary, so it can have single chain

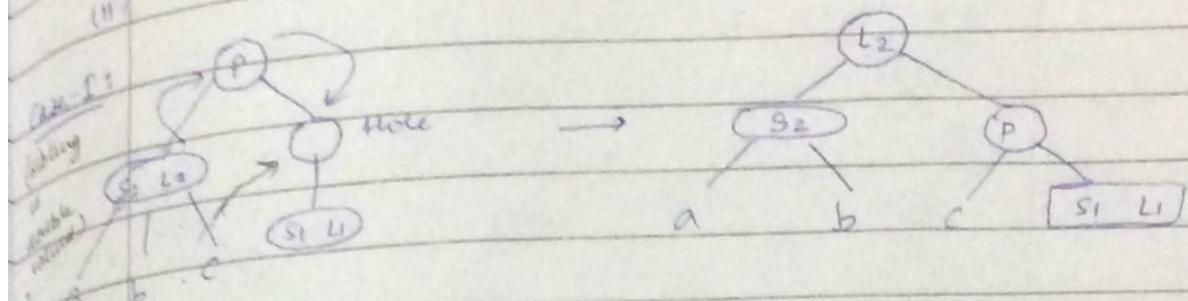
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2) Parent is single valued  $\Rightarrow$  1 sibling

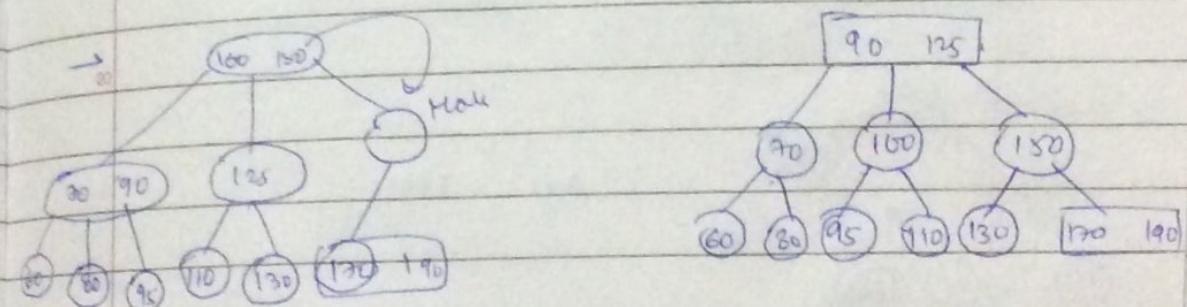
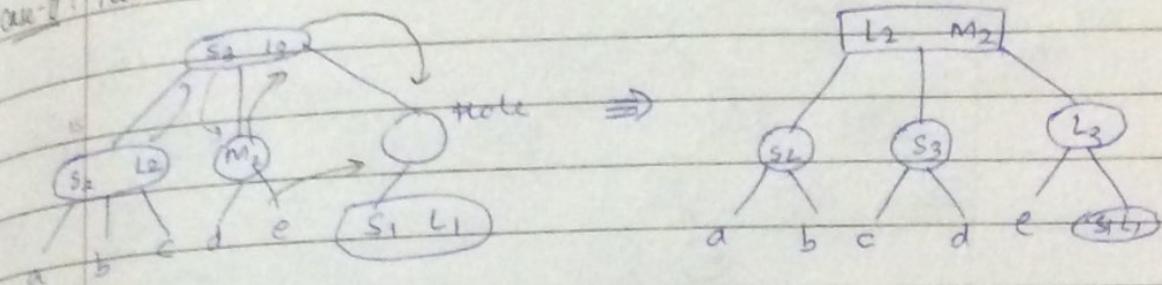


(i) Parent becomes 'Hole'

(ii) A double value is the child  $\Rightarrow$  Hole disappears



Case-II: Hole has 2 siblings



Case-IV: Parent : single valued Sibling : Double valued  
 $\Rightarrow$  Hole disappears

Case-V: Parent : double valued Sibling : atleast one is double valued.  
 $\Rightarrow$  Hole disappears (In-order traversal)

Case-VI: Parent : double valued Sibling : both single valued  
 $\Rightarrow$  Hole disappears (Merging takes place)

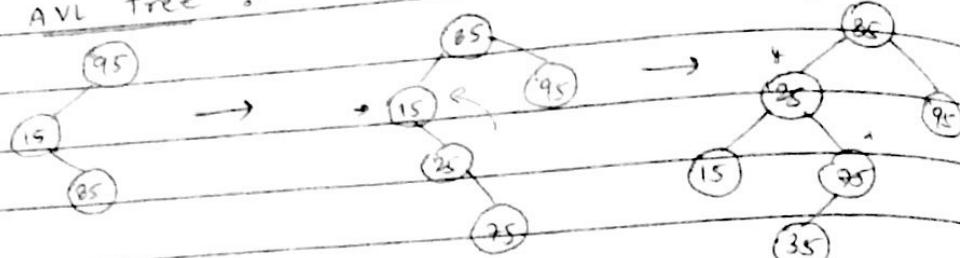
Case IV Parent : single valued Sibling single valued  
hole propagates upwards

revision

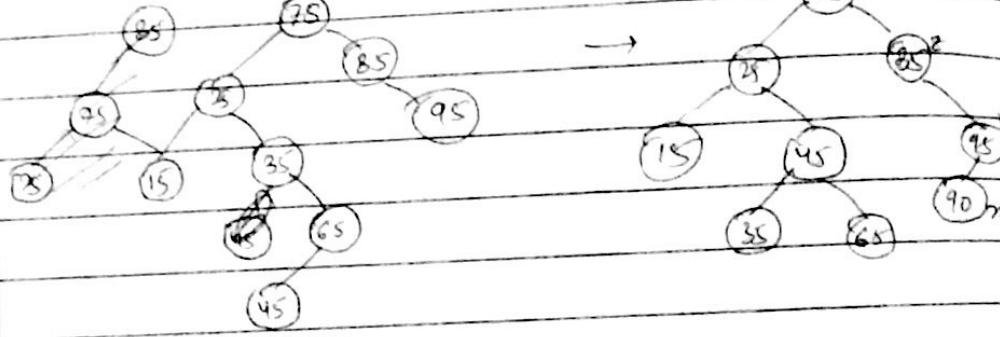
Given a sequence : 95, 15, 85, 25, 75, 35, 65, 45,  
90, 10, 80, 20, 70, 30, 60, 40

construct an AVL Tree  
and a 2-3 tree

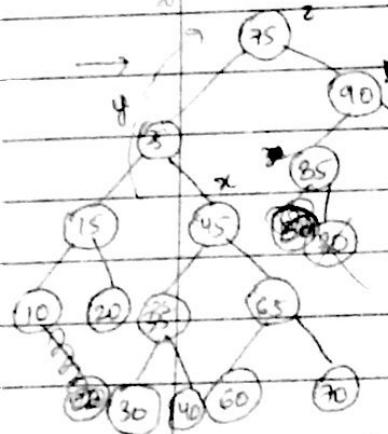
AVL Tree :-



D.R.

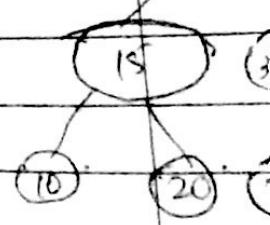


AVL Tree



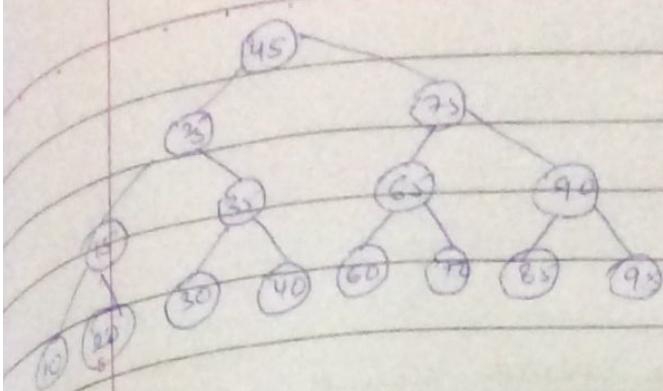
If we delete 80, what will be the root?

Left Right root



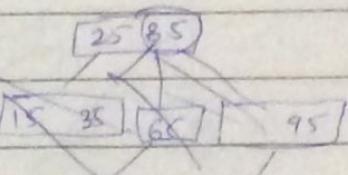
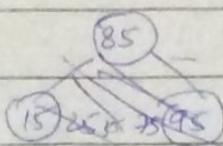
Time complexity :  $\log_3 n \leq D \leq \log_2 n$   $\rightarrow$  depth

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2-3 tree

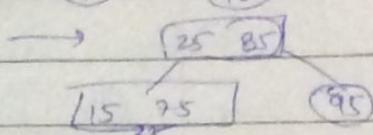
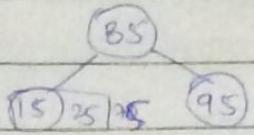
$[15 | 95]$



10

$[15 | 95]$

85



15

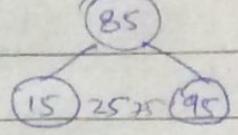
$[15 | 95]$

$[15 | 95]$

85

$[15 | 95]$

$[15 | 95]$



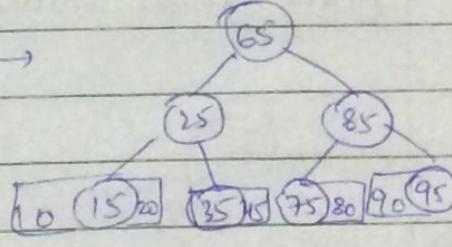
20

$[25 | 85]$

85

$[25 | 85]$

$[25 | 85]$



10

$[15 | 25]$

$[15 | 25]$

$[15 | 25]$

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first hole is created at a level higher than leaf node

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\* Eventually, in case

Hole:

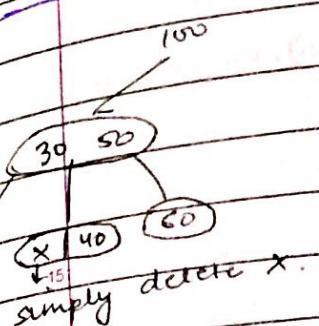
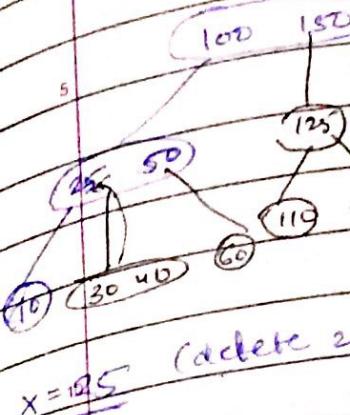
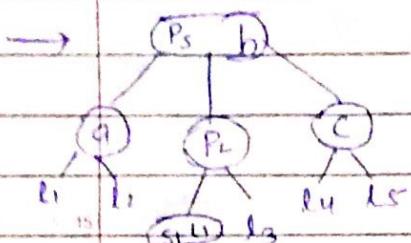
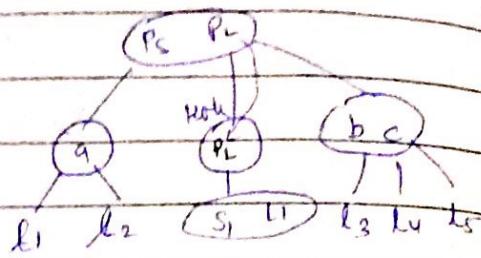
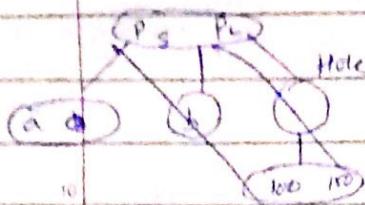
eg.  
parent : single valued  
sibling : single valued

Deletion continues



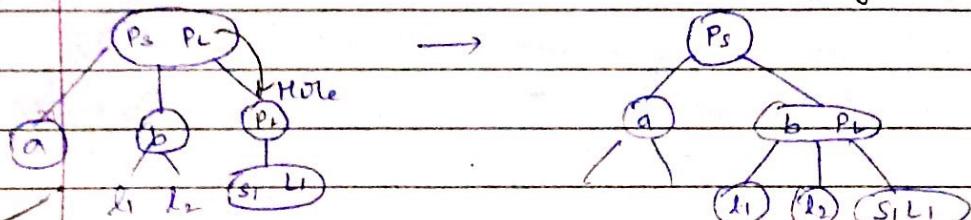
Case - II

parent : single double valued siblings : one is single-valued, double

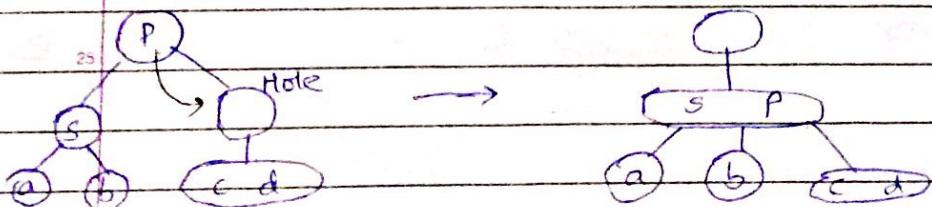


Case - III

parent : double valued siblings : single-valued,  
(Merging)



Case - IV : parent : single valued sibling : single valued.



→ hole propagates upwards

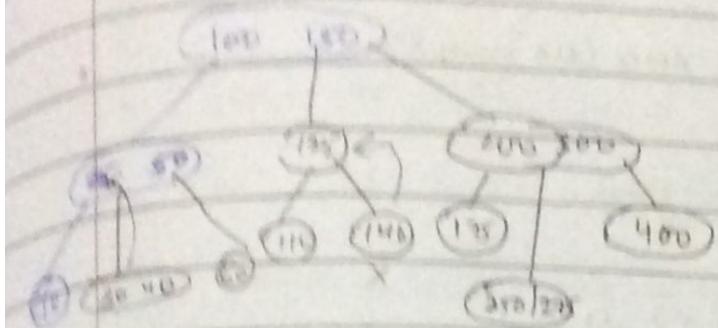
Lemma : hole is created at a level above

QUESTION: In case IV, how propagation to leafs

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position of Internal node key

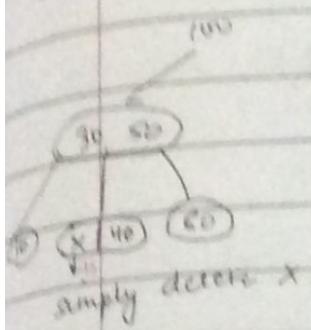
- D) Using in-order successor, propagate key to leaf node  
if parent acc to leaf node



$x = 125$  (delete 25)

$x = 125$

Hole gets created



simply delete x

$x = 100$

inorder successor = other  
~~other node present~~  
(immediate successor /  
predecessor)

(Hole gets created)

If double node: leave as it is  
it will still remain 2-3 tree.

## Bubble sorting

sorted list [ ] = Bubble sort ( USL [ ], N )

int J = N-1 ; boolean did\_swap = true ;

while ( (J > 0) AND (did\_swap) )

{  
    did\_swap = false ;

    for ( K=1 to J ) do

{

        if ( USL [ K ] > USL [ K+1 ] )

            { swap ( USL [ K ], USL [ K+1 ] ) ;  
                did\_swap = true ; }  
            g

    J = J-1 ;

    }

return ( USL [ ] );

⇒ Worst case : Array is in reversed order.

Best case : Array is already sorted.

↳ no. of iterations = 1

no. of comparisons : n-1

\* Worst case Time Complexity :  $O(n^2)$

Best case Time Complexity :  $O(n)$

Inception

35 45 5  
↓ comparison & come down  
45 1

35  
①

5

5 25

5

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Best Case :

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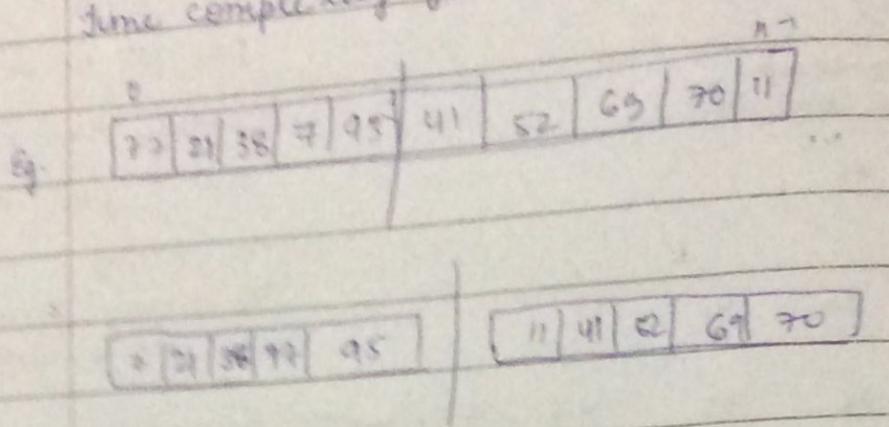
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time complexity for both best & worst case:  $O(n \log n)$



→ Merge both of them.

9	11	21	38	41	52	69	70	77	95
---	----	----	----	----	----	----	----	----	----

→ Divide each divided segment again & perform merge sorting.

22	21	38	7	95
----	----	----	---	----

22	21	38	7	95
----	----	----	---	----

22	21	38	7	95
----	----	----	---	----

22	21	38	7	95
----	----	----	---	----

Compare all of  
these and continue  
further

→ Merge now

21	22	38	7	95
----	----	----	---	----

I/P : unsorted array of  $n \geq 1$  integers

O/P : sorted array

→ Base case :

single element ( $n=1$ ), return

Algo :

- (1) merge-sort (A, n)
- (2) if  $n=1$  return (A);
- (3) else?
  - (4) If ( $N$  is an odd no.)
  - (5) then  $\{n_1 = (n-1)/2; n_2 = n-n_1; \}$
  - (6) else  $\{n_1 = n/2; n_2 = n/2; \}$
  - (7) create arrays  $L[n_1]; R[n_2]$
  - (8) for ( $i=1$  to  $n_1$ ) do  $L[i] = A[i];$
  - (9) for ( $j=1$  to  $n_2$ ) do  $R[j] = A[j+n_1];$
  - (10)  $L[] = \text{Merge-Sort}(L, n_1);$  : already taking  $n_1$  as an argument,  
as an argument,  
so size already  
defined.
  - (11)  $R[] = \text{Merge-Sort}(R, n_2);$
  - (12)  $A[] = \text{Merge}(A, L, n_1, R, n_2);$
  - (13) return (A);

\* At the end of while loop either  $I=n_1+1$  or  $J=n_2+1$  (both can't be possible as only one will be incremented in last while loop)

$A[] = \text{Merge}(A, L, n_1, R, n_2);$

20 {

int  $I=1; J=1; K=1;$

while ( $(I \leq n_1) \& (J \leq n_2)$ ) do

25    if ( $L[I] \leq R[J]$ )

then  $\{ A[K] = L[I];$

$K = K+1;$

$I = I+1;$

3

else {

$A[K] = R[J]$

$K = K+1;$

$J = J+1;$

3

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```

if ( $J \geq n_1$ )
  while ( $J \leq n_2$ ) do
    A[K] = R[J];
    K = K+1;
    J = J+1;
  }
}

```

else while ( $I \leq n_1$ ) do

```

  A[K] = L[2];
  K = K+1;
  I = I+1;
}

```

6-4-17

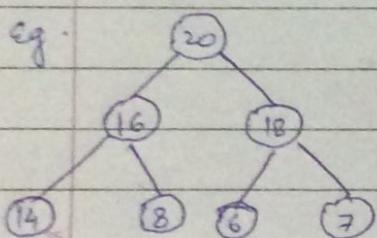
### Heap Sort

Heap: A large area of memory from which a program can allocate blocks as needed, & deallocate them when no longer needed

or A balanced, left-justified binary tree in which no node has a value greater than the value in its parent.

→ Heap sort uses 2nd definition.

e.g.



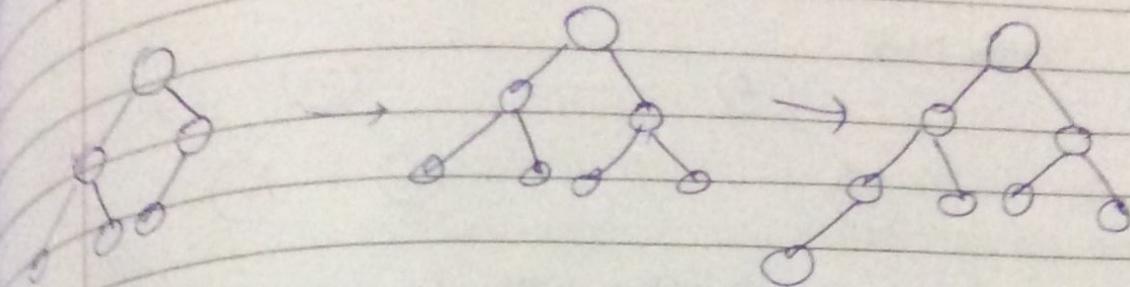
: Max heap

min heap : children have greater value than parent

→ A binary tree of depth  $n$  is balanced if all the nodes at depths 0, 1, 2, through  $(n-2)$  have 2 children.

- \* at  $(n-1)$  : every node has 2 children : Complete B.T.
- \* at  $(n-2)$  : every node has 2 children : Heap
- \* left justified.
- \* any time you add a node, it has to be leftmost.
- \* left justified is mandatory cond'n.

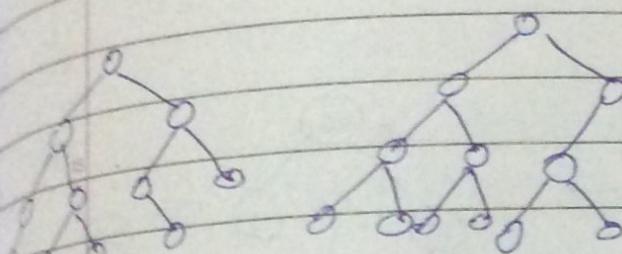
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+ add  
new

- \* Before depth  $T_{ex}$ , it should become complete binary tree.

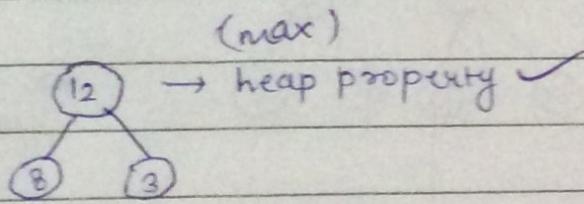
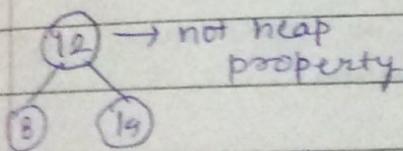
- \* Delete will also take place for latest node added



balanced,  
not left-  
justified

left justified, not balanced

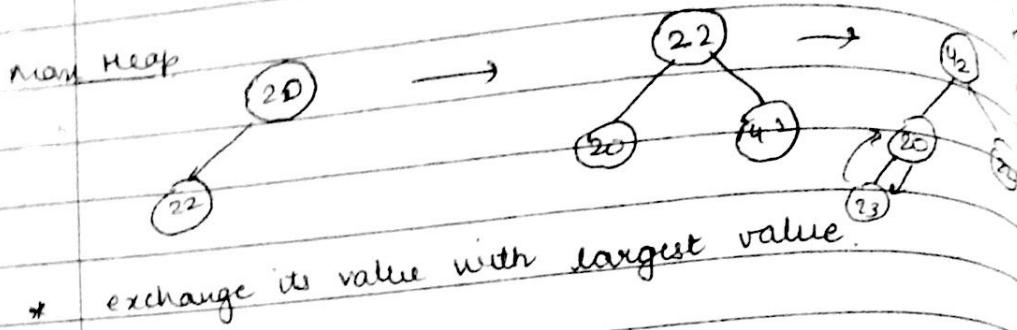
- \* A node has **heap property** if node value is larger than value in its children.



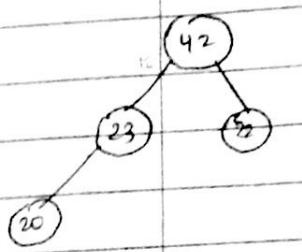
(max)

- \* All leaf nodes automatically have heap property
- \* A B.T. is a heap if all nodes have "

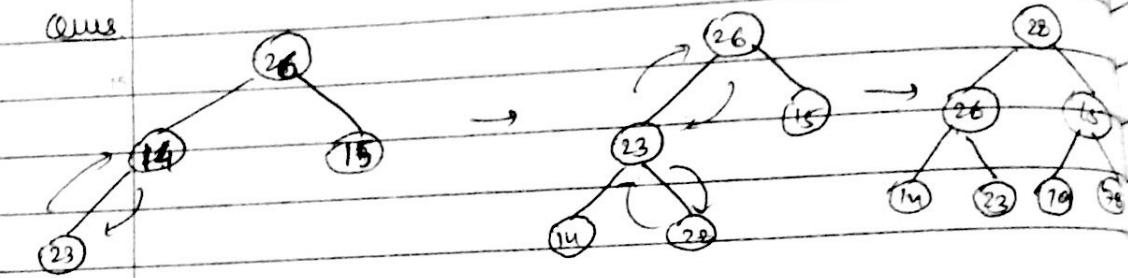
sift up  
to convert a node that doesn't have heap property into heapified node



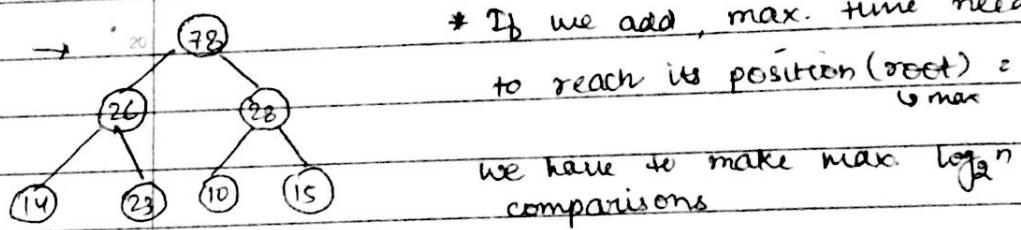
- \* Other children are not affected



Ques.



- \* If we add, max. time needed to reach its position (root) =  $\log_2 n_{\text{max}}$



- \* Heaps don't mean sorted

- \* this can be also used as Priority Queue

(If we remove root & reheapify, we will get next max. value)

parent index  
children index  
In case it is each node's

$$\log_2 10^6 =$$

always under

22	71	10
0		2

eg.

Algo to go

B[] :=

{ }

int I

if (N

B[0] =

{ I

for (I

S

B[

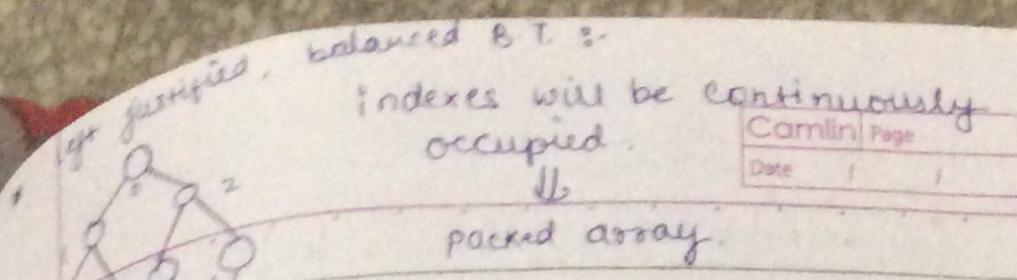
3

setu

) for ab

22	71
0	1





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parent index =  $i$

children index =  $2i+1 \& 2i+2$

- \* In case it is heapified, along with packed array each node's children have lower value than the node

$$\log_2 10^6 = 6 \log_2 10 = 6 \times 3.32 = 20$$

→ always index 0 will have largest value.

Eg.	22   71   19   65   83   24   45	[N=8]
	0      1      2      3      4      5      6      7	

• Algo to get Heapified array :

B[] := Build ( A[], N, B[] )

{  
int I = 1

if (N ≤ 1) return (A[]);

B[0] = A[0];

for (I = 1 to (N-1); I++) do

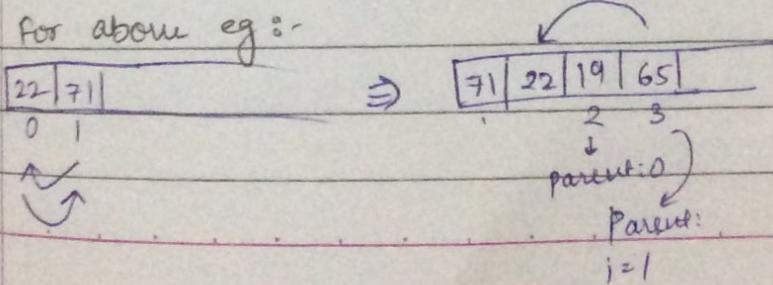
{

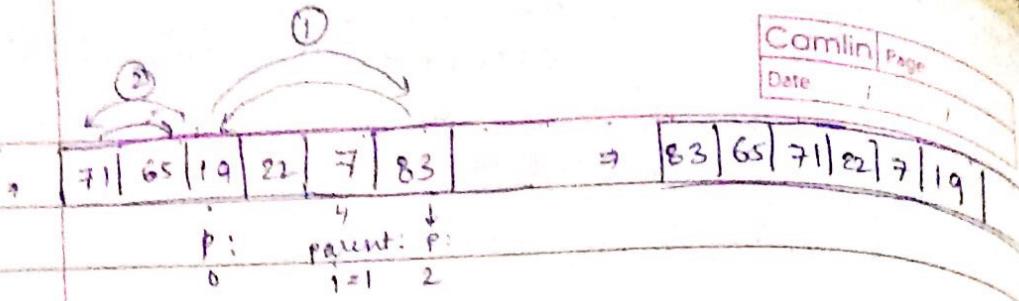
B[I] := Insert\_new\_node ( A[I], I, B[I] )

3

return (B[]);

- For above eg :-





Algo to insert new node

$B[] = \text{Insert\_new\_node}(\text{ele}, I, B[])$

int parent\_index, boolean Propogation\_required := true;

$B[I] := \text{ele}$

if ( $I$  is odd) parent\_index =  $(I-1)/2$

else parent\_index =  $(I-2)/2$

while((parent\_index)  $\geq 0$  & Propogation\_required)

if  $B[I] > B[\text{parent\_index}]$

swap ( $B[I], B[\text{parent\_index}]$ )

$I := \text{parent index};$

②

if ( $I = 0$ ) Propogation\_required = false

else ( $I$  = odd) parent\_index =  $I-1/2$

else " " =  $I-2/2$

③

else propagation\_required = false;

④

return  $B[];$

25

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int

in

BI

\* Adding in  
not left-just  
Complexity

12-4-17 25 22 12

Sorting :

→ Rep-Replace

than array

(max heap)

→ Perform  
index. 2 i

11 22 17

22 12 17

\* sifting u

sifting d

\* Again

→ each el

→ total

① Removal

② Reheap

Heap

$B = H$

2

\* Adding a node can only destroy heap property,  
not left-justified, height balanced  
complexity of Insertion  $O(n \log n)$

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25	22	17	19	22	14	15	18	14	21	3	9	11
0	1	2	3	4	5	6	7	8	9	10	11	12

Sorting :

→ Rep Replace 1<sup>st</sup> & last element data

than array is only unsorted upto  $(n-2)^{th}$  index.  
(max heapified root)

→ perform sift down and bring max. value to 0 index. & it again becomes heapified binary tree.

11	22	17
----	----	----

28

22	21	17	19	14	15	18	14	11	13	9	25
----	----	----	----	----	----	----	----	----	----	---	----

\* sifting up : heapification  $\rightarrow O(\log n)$

sifting down : re-heapification  $\rightarrow O(\log_2 n)$  anytime

\* Again, replace 1<sup>st</sup> & last 2<sup>nd</sup> element. each element

→ each element take  $\log n$  time

→ total time complexity =  $O(n \log n)$

① Removing & replacing the root.

② Reheap & repeat

Heap Sort Algo

B = Heap-sort (A[], N);

25

int array B [0: N-1];

int I, Array-size;

B[] = Build\_max\_HL(B - Binary-tree) (A[], N, B[])

Ques. Write heap sort in your own language.

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7 for ( $i = (N-1)$  to 2 in steps of (-1)) do

8 swap { $B[0]$ ,  $B[i]$ };

array\_size = ( $i-1$ );

9      $b[] = \text{Root\_Downward\_Propagation}(B[], \text{array\_size})$ ;

10 }

11 return ( $B[]$ );

12 }

13      $B[] := \text{Root} \dots$

14 }

15     int parent\_index, left\_son\_index, right\_son\_index,  
candidate\_index, last\_array\_index;

boolean

\* 16 In max-heap sort,  $O(n \log n)$  may be less  
than  $O(n^2)$ , unlike mixed sort.

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