

OS does not perform any useful function by itself, it simply provides an environment within which other programs can do useful work.

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## Operating Systems

### Theory :-

Internal Assessment	10
Common quiz	10
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### Lab :-

Lab attendance	→ 10
mid - term	→ 40
End - term	→ 50

### Ch 1 :- Introduction :-

Operating System:- It manages computer's hardware.

A program that acts as an intermediary between a user of a computer and the computer hardware.

### Computer system structure

Has 4 components

- ① Hardware → Processor, memory, I/O devices
  - ② Operating System (CPU)
  - ③ Application programs → web browsers, compilers, databases
  - ④ User.
- OS → <sup>Controls the hardware</sup> resource allocator → Manager all resources
- OS is a control program manager or controller
- Controls execution of programs to prevent errors and improper use of the computer

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OS is the

→ "The one program running at all times on the computer" is the kernel.

→ Everything else is either

- a system program
  - an application program.
- along with kernel

Associated with OS  
but not the part of kernel

not associated with OS

Locate the kernel  
and load it into memory

### Bootstrap program

(Initialize system, verify the components, transfer the control to OS)

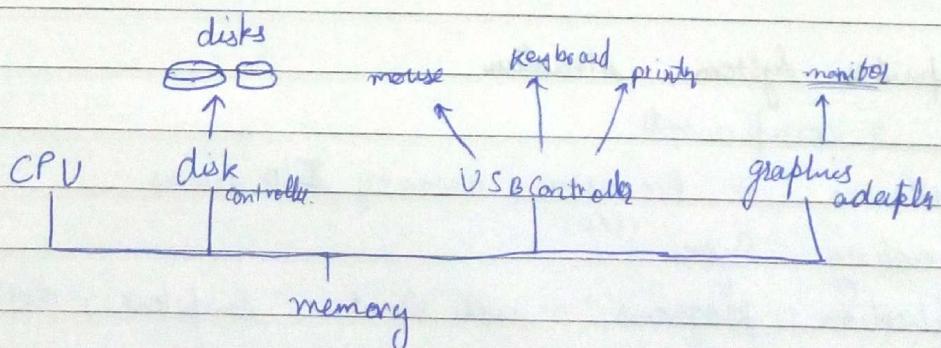
When we start a system the first program executed is Bootstrap program.

Stored in ROM or EPROM, generally known as firmware.

### Uses

- initializes all aspects of systems (e.g. hardware working verification)
- loads operating system kernel and starts execution in memory.

## Computer System Organization :-



### OS operation :-

→ I/O devices and the CPU can execute concurrently.

→ Device controller informs CPU that it has finished its operation by using an interrupt.

When interrupt is received by CPU then the current

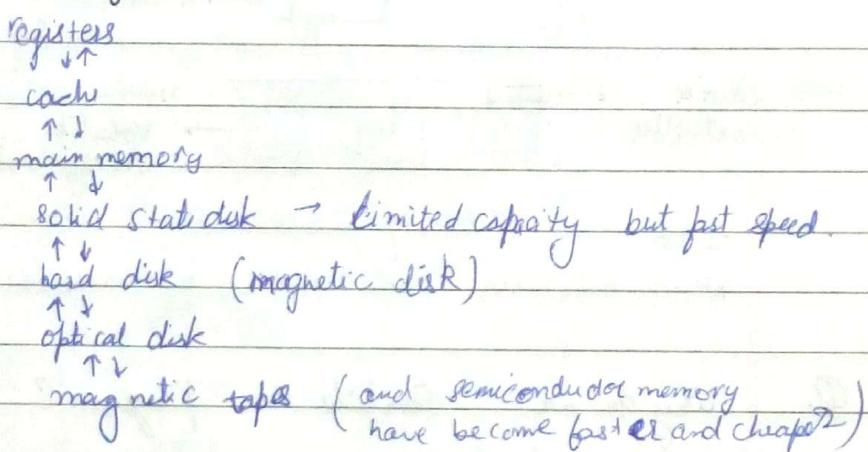
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Mobile OS include → core kernel + middleware → multimedia,  
a set of software framework graphics.

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Execution going on is stopped and CPU verifies the interrupt and do the required operation.

Storage device hierarchy :-



→ Occurrence of an event is usually signalled by an interrupt from either hardware or software.

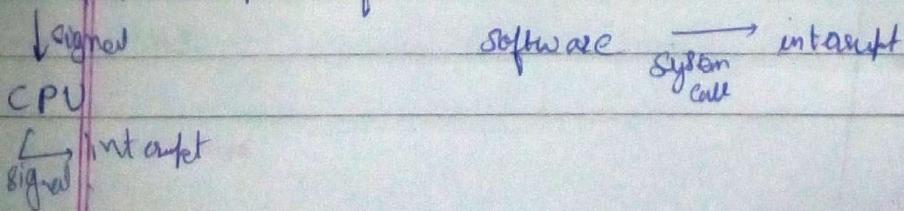
→ Software may trigger an interrupt by executing a special operation called a system call.

→ The interrupt must transfer control to the appropriate interrupt service routine.

→ Solid state disks have several variants but in general are faster than magnetic disks and are non-volatile.

\* To ensure orderly access to the shared memory, a memory controller synchronizes access to memory.

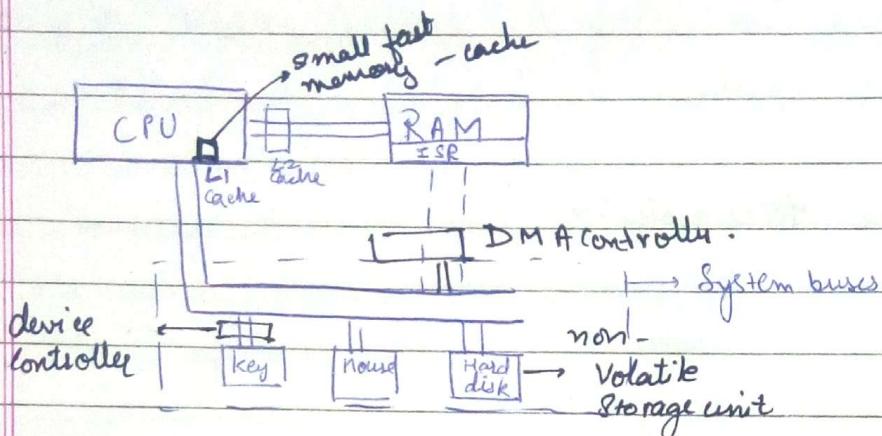
\* The occurrence of an event is usually signalled by an hardware interrupt from either the hardware or the software.



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## Computer Architecture



- A bus is a set of parallel wires
- Device controller controls the comm. by device driver and device

Q.

Why do we compile the program?

To convert the source code to machine code.

→ C codes are machine independent but these codes have to be somehow converted to such a language that the particular CPU understands.

→ ISA :- Instruction Set Architecture.

→ Assembly Language

ADD

SUB

INR

IN

Machine language (CPU dependent)

00

01

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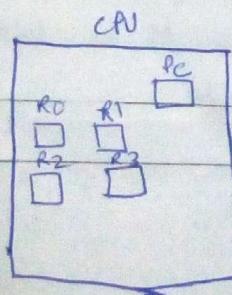
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then after conversion  
understood by  
CPU

→ A CPU has a lot many registers.

→ PC :- Program Counter <sup>address</sup> ~~for the next~~ → It always stores the program that is to be executed.

instruction.



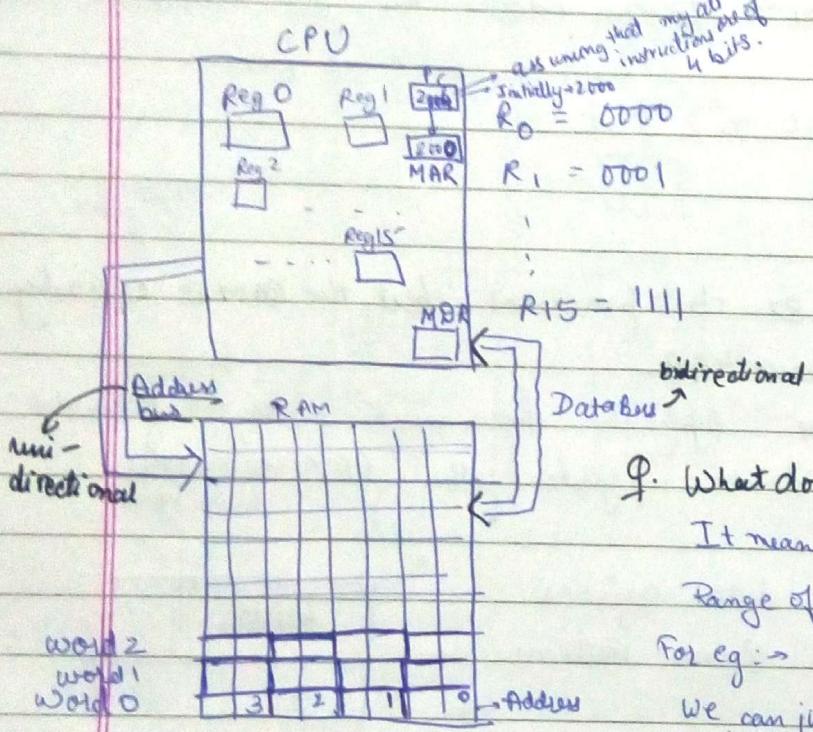
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Example:-

void main() → high level language.

?  
scanf ("%d, %d", &y, &z);  
x = y + z;

Assembly language code.  
IN Reg 1, Port 0      11 0001 0000      } has to be converted into  
IN Reg 2, Port 0      11 0010 0000      Machine code.  
ADD Reg 1, Reg 2, Reg 3. 00 0001 0010 0011



Reading - Loading.  
Writing - Storing into the memory.

Q. What does 32 bit processor mean?

It means it can process 32 bits of data.

Range of the numbers increases with bits

For eg.: In 8 bit processor

We can just deal with 255 numbers  
(unsigned numbers)

→ If we have a 32 bit processor then the registers should also be able to store 32 bit data.

→ Fabrication technology → A 14

→ Each byte having an address → Byte-addressable unit.

Word-addressable memory

→ MAR → Memory address register

- All the instructions for a particular function are stored sequentially.

Stack	→ For storage of data.
heap	→ For dynamic memory allocation.
data	all global and local variables.
text/code	Programs

- Im 32 bits processor → It can address to  $2^{32}$  locations. address can be add handled.

Range of address → 0 to  $2^{32} - 1$

$2^{32} = 4 \text{ GB}$

$2^{20} = 4 \text{ MB}$

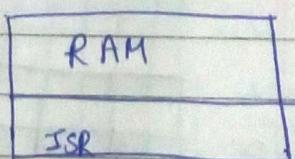
Physical  
RAM

- Why its like 32-bit processor but the RAM is 1GB only  
not necessary to have 4GB.

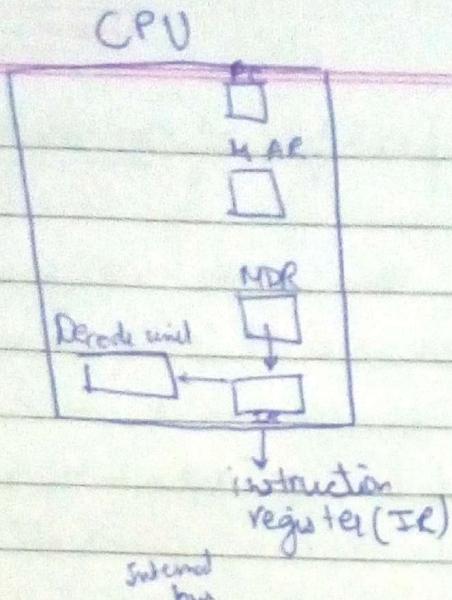
It depends on the supplier how much RAM is provided.  
But it is possible to upgrade the RAM to 4GB.

→ MDR → Memory data register

→ ISR → Interrupt Service routine



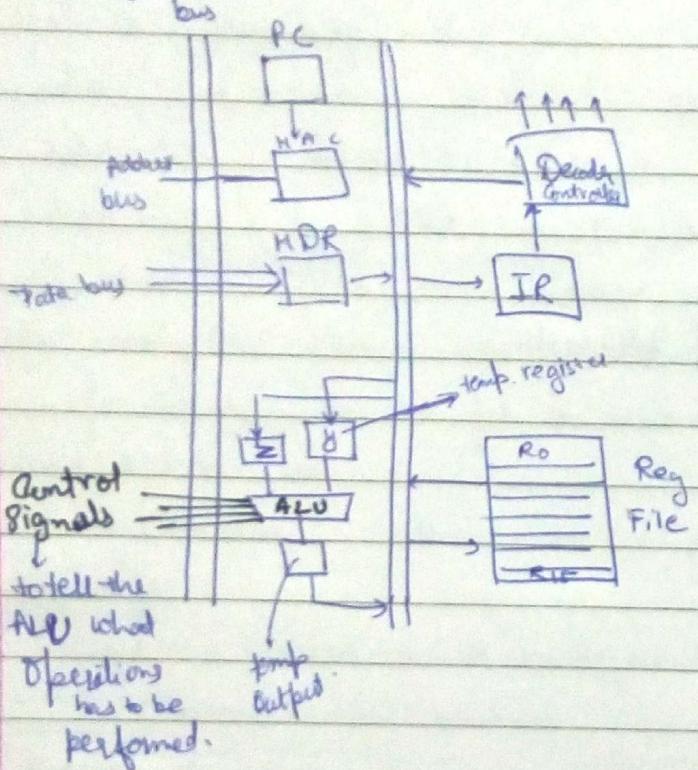
- If large amount of data has to be transferred b/w RAM and hard disk, then the processor initializes the DMA and DMA transfers data to the hard disk using bus



Opcode → operational code

1100 0111 000  
Opcode      Operands

Once identified then the source associated signals will be created.



Example :-

for add Reg1, Reg2, Reg3

- ① int input R1
- ② R2 is activated.
- ③ operation performed using ALU
- ④ R3 is activated and data is stored in R3.

data path

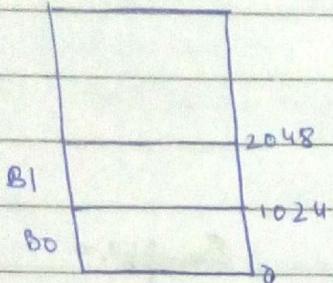
- for each instruction we have micro routine and for that we have micro instructions.
- Fetch → Instruction brought into MDR is called fetch.
- \* Fetch - Decode - Execute cycle  
For all computer it is performed to execute any of the instruction.

→ The cache work on the principle of locality.

→ Special locality →

A CPU checks whether a address is there in the CPU or not, if it is there then it is a hit otherwise it is a miss.

Eg:-



If we want addr address

then the whole B1 is taken to the cache.

→ Main memory access takes millisec

→ Cache memory access takes microsec.  
→ Decrease the time of fetch cycle.

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## Multiprogramming (Batch system)

→ <sup>o the time of halt it switches.</sup>

needed for efficiency

- Single user cannot keep CPU and I/O devices busy at all time.
- One job selected and run via job scheduling
- When it has to wait (for I/O for example), OS switches to another job.

the switch will  
predefined

Timesharing (multitasking) is logical extension in which CPU switches job so frequently that users can interact with each job while it is running, creating interactive computing.

- Response time should be  $< 1$  second.
- Each user has atleast one program executing in memory  $\Rightarrow$  processes
- If several jobs ready to run at the same time  $\Rightarrow$  CPU scheduling
  - After every time slice CPU switches its job
- if process don't fit in memory, swapping moves them in <sup>moving to the secondary</sup> memory (hard disk)
- Virtual memory allows execution of processes not completely in memory.
- ⇒ terminal  $\rightarrow$  a monitor as well as a keyboard.
- ⇒ Unix  $\uparrow$  (command line execution)
- ⇒ Process  $\rightarrow$  program in execution.
- ⇒ Swapping  $\rightarrow$  moving a process from <sup>memory</sup> RAM to disk and bringing a process from disk to <sup>virtual memory</sup> RAM.

Memory layout of any type of multi programming system:  $\Rightarrow$

o operating system

Job 1

" 2

" 3

" 4

512M

$\rightarrow$  job doesn't interfere each other is called memory protection

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## Interrupt driven

- hardware interrupt by one of the devices
- software interrupt (e.g. division by zero)
- Request for operating system service
- Other process problems include infinite loop, processes modifying each other or the OS.

Dual mode operations allows OS to protect itself and other system components

- User mode and Kernel mode
  - has memory
  - privileges
  - process initiated by OS
  - are executed in kernel mode
- Mode bit
 

Set when there is a switch of mode.
- System call → A special fun provided by OS to the program
  - ↳ changes mode to Kernel, return from call results to user.
- Virtual machine manager mode (VMM) mode for guest VMs
  - ① supported by hardware
  - ② supported by software e.g. Java.

A special mode of execution ~~uses~~ using hardware or software we can run another OS in one OS.
- More authorities than user mode and less authorities than kernel mode.

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## O.S Services:-

- File System manipulation :-

Main storage device is structure and that is known as file system.  
Programs need to read and write files and directories, create and delete them, search them, list file information, permission management.

- Communication :- Between process.  
(Interprocess communication)

- Error-detection :- Takes care of hardware or software level error.

## Resource Management and keeping track of them:-

- ① Resource allocation :- CPU cycles, main memory, I/O devices, file

most complicated storage.

and manages processing devices, memory devices etc.

part of OS.

- ② Accounting :- Keeps track of which user is using which resource in how much amount on which process etc.

- ③ Protection and Security :-

The multiple running programs should not effect each other,  
so that the addresses are preserved and the memory is preserved.

Security from internal and external threats.

From other programs.

attack through network on the system.

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## System Calls :-

- Accessed through some interfaces and functions provided by the language like C and C++.
- mostly accessed by programs via a high level API rather than direct system call use.
- Three most common APIs are Win32 API for windows.

System call sequence to copy the contents of one file to another file.

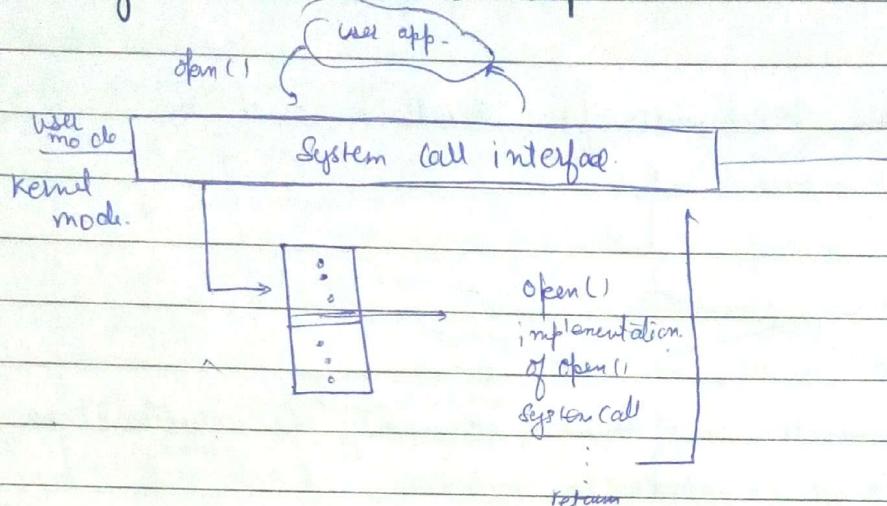
### Example System Call Sequence

done by OS in  
kernel mode

- Acquire input filename.
- Write prompt to screen.
- Accept input
- Acquire output filename
- Write prompt to screen.
- Accept input
- open the input file  
I file X about
- Create o/p file
- if file exists, abort
- loop
- Read from input file
- Write to o/p file
- Until read fails
- close o/p file
- Write completion message to screen
- Terminate normally.

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## API - System Call - OS Relationship



## ⇒ Process Management

Deals with the every activity associated with a Process

Process :-

Program written in any language which is in execution is called  
 ↓  
 Passive entity

Process (Running Program)  
 Active entity.

The running system may require CPU, memory, I/O devices these are needs are managed by Process management.

## ⇒ PM Activities

- 1) Suspending and resuming processes

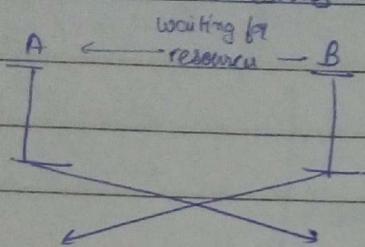
If a process requires any I/O from keyboard then the process is suspended and when it receives I/O required it resumes its old process.

## 2) Synchronization among the processes.

For separate I/O (sequential) of multiple programs running at the same time we need synchronization.

3) Process Communication.

4) Provides mechanism for deadlock handling.



- If we terminate one of them then only the other will be able to get executed
- Some coordinating process does not goes into deadlock, this is called prevention from deadlock.

Memory management :-

- mainly deals with the maintenance of main memory.
- Its job is to keeping track of all memory occupied by different process.
- Allocation and deallocation of memory space to different process
- Some time it moves some data to virtual memory from main memory
- Storage management → Deals with storage in disk

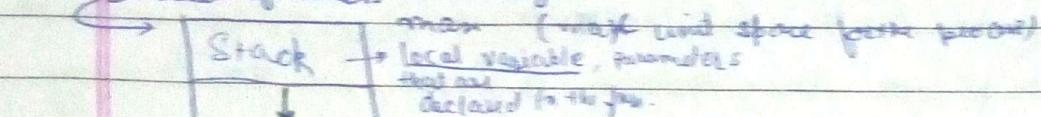
I/O SubSystems :-

Deals with the various input, ~~and~~ output devices.

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→ For the execution of program, first the program gets loaded into memory.

Structure of a process in memory



→ memory of stack (when a process gets loaded, the base of stack is allocated) & most important steps are:-

- ① The source code declared in the program can be initialized, at the time of program loading.

For dynamic objects being created in variables java get space from heap.

Different States in which process go:-

- ① New :→ global variable will be assigned, program is loaded, PC will be at initial state only. (take few millisec)
- ② Ready :→ this process is ready for execution, sufficient resources are available.
- ③ Running :→ assigns a process to this process  
↑  
fetches instruction, retrieve the value of PC  
executes them.  
too situation of termination.
- ④ Terminated :→  
① successful completion  
② sometimes because of some error.  
In latter case the memory spaces will be freed.

After running state :-

Waiting / suspended → goes to ready state

There might be situations that from running state the process goes to waiting state (e.g. - get input from keyboard)

→ handed over to particular device driver and process does some other execution.

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Kernel maintains all these informations, user has access to only some ~~informations~~.

Sometimes the program goes directly from running to waiting state.

This may be due to some interrupt. Whenever a process encounters an interrupt during running a process, it goes to ready state for analysing what type of interrupt it is, and what needs to be done.

→ During the initialization OS makes a data structure called PCB (Process Control Block)

PCB

Process Id	→ when process arrives OS assigns a process id to it.
State	→ state of process
memory units	→ maintains the actual address of the process
Registers	→ what all CPU registers this process is using.
I/O allocation	→ what all I/O devices allocated to this process, a list of open files etc
Accounting	→ changes with execution of every statement.
Program Counter	→

Annotations on the left side of the table:

- From new to terminated
- general info
- specific info.
- How the process is using the CPU, involves all the time, or using only 50% of CPU (maintaining resource utilization of this process)

CPU scheduling info, memory allocation info, etc all these informations are stored in PCB.

- After assigning the memory space, PCB is prepared.
- After execution PCB is deleted and memory is freed.
- If we grow the memory area beyond the limits, the program gets terminated.
- CPU Scheduling info → Includes a process priority, pointers to scheduling queues, and any other scheduling parameters.

12/01/18 System Calls

① < Linux / sched.h > → similar to PCB.  
 Structure name → task\_struct sched → PCB corresponding structure.  
 Created as  $\text{pid\_t}$ , pid;  
 unide integer. long State

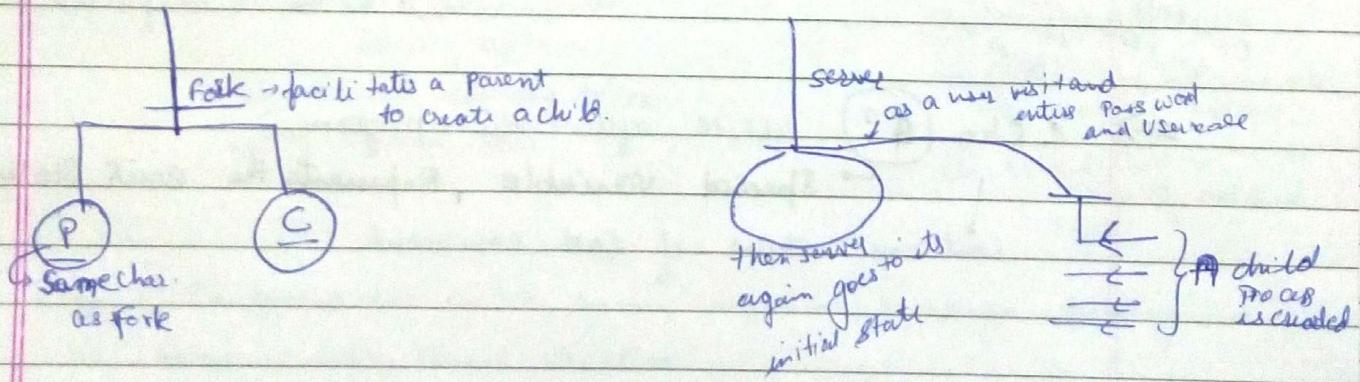
② < sys/types.h > necessary  
 unsigned int time-slice;  
 To depending on this value,  
 Processor time to each  
 event is allocated

→ struct task\_struct Parent; → PCB of Parent process  
 — " —  
 Child;

→ struct File-list \*F; → Contains multiple value, point to the  
 list of files opened for this process.

Struct mm\_struct \*m  
 have information about  
 the memory of the process,  
 max address,  
 min address

→ 1 Parent can create multiple Child process.



Eg: → int main()

{ pid\_t pid;

pid = fork();

if (pid < 0)

$< 0 \rightarrow$  there is some error, Sys was unable  
 to report new process

$s == 0$   
 successful  
 $> 0$

→ fprintf (stderr, "Error");

if (pid == 0) // Child

Prints a message or  
 file or  
 going to sleep

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• /a.out < 1.txt  
• /a.out > 1.txt → O/P of this program. Should be redirected to this file.

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> 1.txt 2.err.txt

To all the error message should go to err.txt.

{  
—  
—

3

if (pid > 0)

Two process  
when Parent executes  
int this if, for child (pid = 0)

{  
—  
For (i=0, i <= 10; i++)  
Print { ("in parent") i;

return 0;

→ Child can invoke some external process using System calls. exec (" ")  
— LS command

→ System call by Parent → wait()

↳ Parent is voluntarily requesting for a talk, it will wait until the child finishes.

exit(0);  
↳ for successful  
exit errors → 1

⇒ echo \$? Write after the program.

↓ Special variable, Represents the exit status of last command.

## Interprocess Communication :-

Multiple processes in execution, if required can communicate with each other.

→ Child and parent have common address space.

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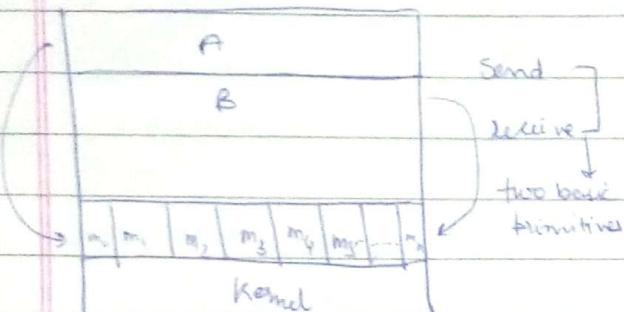
Need for interprocess communication: →

- (1) Information Sharing      (2) Modularity
- (3) Computation speedup      (4) Convenience.

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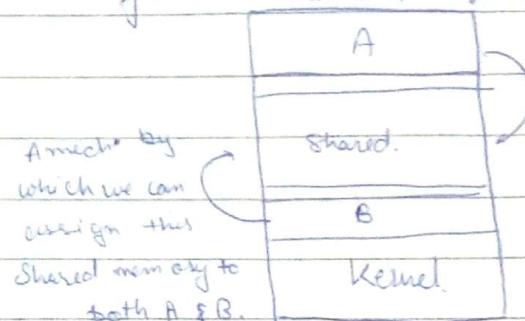
For communication there are two most popular techniques

### (1) Message passing



### (2) Shared Variable/memory

faster. Address Space of the Process



→ Information Shared using a numbered message

Cooperative process → can effect each other operations

→ We need to establish two unidirectional channels.

Independent operations → doesn't effect each other.

→ Mainly required when multiple processes are competing

Difference.

Shared memory      this mechanism of communication

Message passing

(1) both running on the same system

Implemented for

(2) Direct memory access using Common Port

distributive systems or b/w multiple systems.

(2) established with the help of external layers.

→ If both the processes are on the same system message passing can be done using shared variables.

Producer - consumer: →

Once the producer produces then only the consumer reads.

We need to have some space where they can communicate.

{ # define b s      10  
      STRUCT s  
          \_\_\_\_\_  
          \_\_\_\_\_  
          3 item ; }  
                          buffer size.

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## Bounded Buffer.

Item buffer [bs];

Circular buffer

Region of memory  
shared ↗

is shared by many processes

Whenever there is some information in it, consumer can consume it.

int

int

$in = 0$

$out = 0$

represent the position where next will be produced

From where the item is given to consumer.

→ When  $in = out$  the array is empty.

→ When the list is full, Producers will be having no work, when list is empty the producer will work. Consumer will wait for some space in buffer to be empty.

will wait  
for some  
entries in the list

Producer:

While  $((in+1) \% bs) == out)$  || ~~wait~~

$\rightarrow$  if not equal, means no item in the list.

buffer [in] = nextItem;

$in = (in+1) \% bs;$

Consumer:

While  $(in == out)$  || wait

$\rightarrow$  no item, so consumer has no activity to perform.

Consumed item = buffer [out]

$out = (out + 1) \% bs$

Position to  
the point  
the next  
item  
was to be  
taken.

→ For unbounded buffer we need a lot of memory space.

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A communication link must exist

→ message passing is implemented using a queue.

can go beyond producer-consumer as it is done among multiple systems. Here we need send and receive type of functions.

⇒ Direct or Indirect

If we specify a receiver.

Send and receive message without any more information

Send(A, msg)

Send(msg)

receive(B, msg)

receive(msg)

→ Direct or indirect communication

→ Sync or Asynchronous comm.

→ Automatic or explicit buffering.

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Threads :- (thread ID, p-c, a register set and a stack)

→ If we perform multiple processes using Multiple processing is called heavy weight process.

→ Whenever a process makes a subprocess, some values are duplicated.

→ Increasing memory footprint of our program due to subprocess  
heavy weight coz it copies its data at multiple places.

Multiple process model memory footprint will be much more than Multi-thread model

→ less memory for same no. of users or processes.

→ Extra redundant data is removed in case of multithreading

→ Multi-threading model helps us in improving the performance.  
↳ supported by libraries

fork  
wait  
exit } for multi-process

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