

# Core Task Progress Notes

Team: \_\_\_\_\_

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## Sanity-Check Run

- **Command.** `OMP_NUM_THREADS=1 python3 pico-llm.py --tinystories_weight 0.0 --input_files 3seqs.txt --block_size 32 --max_steps_per_epoch 1 --kgram_k 2 --embed_size 64 --device_id cpu`
- **Environment tweaks.** Installed the missing `tiktoken` package and pinned OpenMP threads to avoid shared-memory warnings in the sandboxed environment.
- **Outcome.** Training loop completed three abbreviated epochs for the LSTM baseline on `3seqs.txt`, producing loss values ( $10.82 \rightarrow 10.80 \rightarrow 10.77$ ) and sample generations at greedy,  $p = 0.95$ , and  $p = 1.0$  settings. This confirms data loading, tokenization, model forward/backward, and text generation pathways function end-to-end on CPU-only hardware.

## Key Observations

- The current nucleus sampling placeholder yields identical outputs across different  $p$  values, reinforcing the need to implement true top- $p$  sampling before the presentation.
- LSTM outputs trained on the minimal dataset remain nonsensical, which is expected given the toy corpus and tiny training budget; future sanity checks on TinyStories or richer data should improve qualitative quality.
- Installing dependencies on the target machine (e.g., `tiktoken`) should be part of setup instructions to prevent runtime failures during the live demo.

## Sample Interview Questions & Answers

1. **What did you verify in the sanity-check run, and why did you choose the custom dataset?**

We confirmed that the default training loop, tokenizer, and generation routines run without crashing on CPU. The `3seqs.txt` data ships with the repo, so it avoids the network dependency of downloading TinyStories and shortens iteration time.

2. **Why did the generated samples look incoherent, and is that a concern?**

The dataset contains synthetic numeric sequences and we limited training to one gradient step per epoch. With minimal data and budget, the LSTM cannot learn meaningful structure; the purpose of this run was functionality, not quality. Larger datasets and more steps will address coherence.

3. **You pinned OMP\_NUM\_THREADS to 1—will that hurt performance later?**

For this quick CPU smoke test it eliminated shared-memory errors. On a full training environment we can remove or raise the cap once OpenMP shared-memory permissions are available, restoring multithreaded BLAS performance.

4. **What additional checks will you run before the presentation?**

We plan to repeat the sanity check on TinyStories once Transformer and k-gram models are implemented, capture training curves for the required figures, and verify qualitative outputs under true top- $p$  sampling to demonstrate improved diversity.

## KGramMLPSeqModel Design Options

1. **Baseline One-Hot MLP.**

*Structure:* Flatten the  $k$  one-hot context vectors into a  $(k \times |V|)$  input and feed through stacked Linear  $\rightarrow$  SiLU blocks ending in a Linear layer to logits.

*Pros:* Direct translation of the docstring; simplest to reason about; no extra embeddings needed.

*Cons:* Extremely high input dimensionality (tens of thousands) makes each forward/backward pass slow and memory hungry; scales poorly with larger vocab or  $k$ .

*Performance expectations:* Works for tiny vocab toy data but may be impractical for full TinyStories unless chunking is aggressive.

2. **Token Embedding + MLP (Shared Projection).**

*Structure:* Map each context token through a learned embedding matrix ( $|V| \rightarrow d$ ), concatenate the  $k$  embeddings (size  $k \times d$ ), then apply a compact MLP that projects back to vocabulary logits.

*Pros:* Input dimensionality drops dramatically; parameters scale with  $d$  instead of  $|V|$ ; embeddings can be reused or initialized from LSTM/Transformer values.

*Cons:* Slightly more complex; must ensure embeddings are trained jointly; loses the “pure” one-hot formulation.

*Performance expectations:* Much faster and fits GPU memory; still expressive enough for k-gram patterns when  $d$  is moderate.

3. **Embedding + Depthwise 1D Convolution Hybrid.**

*Structure:* Embed tokens as above, stack along temporal dimension, then use 1D convolution(s) over the  $k$  context positions followed by a projection to logits.

*Pros:* Weight sharing across positions reduces parameters; convolutional kernels capture local order efficiently; fits well with chunked inference.

*Cons:* Deviates from strict MLP requirement; requires reshaping logic in the provided forward loop; debugging convolutional behavior may be harder.

*Performance expectations:* Efficient on GPU and handles longer  $k$  gracefully; offers inductive bias similar to CNNs for n-gram features.

## Action Plan: Implementing KGramMLPSeqModel

1. **Select architecture.** Choose between one-hot MLP, embedding-based MLP, or convolution hybrid based on desired trade-offs.

2. **Define network layers.** Instantiate embedding (if needed), hidden Linear layers with activation, dropout if desired, and final Linear layer to  $|V|$  logits.

3. **Integrate into forward loop.** Replace the `self.net = None` stub and ensure tensors are moved to the correct device, handling chunked processing without altering the provided outer loops.
4. **Add safety checks.** Validate input shapes, raise informative errors if `self.net` is misconfigured, and confirm gradients flow through embeddings.
5. **Test in notebook.** Run the reduced configuration from the sanity check, compare loss trajectories, and profile runtime to confirm expected improvements.

## Performance Comparison Strategy

- **Feasibility.** It is practical to compare all three architectures visually: log training loss and wall-clock time per step for each variant while running identical hyperparameters, then plot the metrics using `matplotlib` inside the standalone notebook. GPU execution is optional but accelerates experimentation.
- **Instrumentation plan.**
  - Wrap the training loop to record (loss, step, elapsed seconds) tuples for each KGramMLPSeqModel option via separate helper functions.
  - Store results in a shared list or `pandas` DataFrame, noting architecture label and configuration (e.g., embedding dimension).
  - After each run, generate line plots for loss vs. step and bar charts for tokens/sec to highlight efficiency differences.
- **Caveats.** Full TinyStories runs may be slow in Colab CPU mode; expect to subsample the dataset or limit training steps for a fair yet tractable comparison. Ensure random seeds are fixed for reproducibility.

## Empirical Results (Synthetic Corpus)

- **Setup.** CPU only;  $k=2$ , embedding size 64, two inner MLP layers, Adam with learning rate  $1 \times 10^{-3}$ , 20 mini-batches per variant (batch size 32) over the `3seqs.txt` dataset.
- **Metrics.**
  - One-hot MLP: average loss  
*approx*10.63, throughput  
*approx*17.6 tokens/s, runtime  
*approx*1161 seconds.
  - Embedding MLP: average loss  
*approx*9.49, throughput  
*approx*42.3 tokens/s, runtime  
*approx*485 seconds.
  - Conv Hybrid: average loss  
*approx*10.23, throughput  
*approx*32.3 tokens/s, runtime  
*approx*634 seconds.

- **Decision.** The embedding-based MLP achieves the best trade-off (lowest loss and highest throughput), so we will proceed with this architecture for the full implementation and upcoming experiments.