

All the code can be found on: ...

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Exercise 4.1

Exercises 4.1.1

Implement a functional correctness test that finds concurrency errors in the `add(Integer element)` method in `ConcurrentIntegerSetBuggy`. Describe the interleaving that your test finds.

```
PCPP-Goated [SSH: devserver] - ConcurrentSetTest.java

1  @ParameterizedTest
2  @RepeatedTest(5000)
3  public void testAdd() {
4      int nrThreads = 16;
5      int threadElements = 100;
6      barrier = new CyclicBarrier(nrThreads+1);
7      for (int i = 0; i < nrThreads; i++) {
8          final int threId = i;
9          new Thread(() -> {
10              try {
11                  barrier.await();
12                  for (int j = 0; j < threadElements; j++)
13                      set.add(1); // (1)
14                  barrier.await();
15              } catch (InterruptedException | BrokenBarrierException err){
16
17              }
18
19              }).start();;
20      }
21      try{
22          barrier.await(); // Main thread waits for every thread to be ready
23          barrier.await(); // Main thread waits for every thread to be finished
24
25      } catch (InterruptedException | BrokenBarrierException err){
26
27      }
28      System.out.println(set.size());
29      assertTrue(set.size()==1);
```

We found that spawning N-threads all attempting to insert the same element to the `ConcurrentIntegerSetBuggy` doesn't lead to a size of 1 (as would be expected by the invariant of a set).

The interleaving that could lead to such an issue:

Since we don't have the actual implementation of the *HashSet*, but it makes use of a *HashMap*. An add call is really just a *map.put* which returns the old value compared to *null*.

So:

- Let 1 be the *set.add* call in our code
- Let 2 be the *map.put* call from the *HashSet*.

```
public boolean add(E e) {  
    return map.put(e, PRESENT)==null;  
}
```

Note, that the *set.add* returns the value already there in the map, NULL if not there?
Imagine two threads **t1** and **t2**.

A problematic interleaving could then be:

t1(1), t2(1), t1(2), t2(2)

That is, when both threads read the underlying structure, they both put the SAME element to the same position. But both read a null because neither thread is done. Also, no happens-before, they could be writing to cached memory.

Exercises 4.1.2

2. Implement a functional correctness test that finds concurrency errors in the `remove(Integer element)` method in `ConcurrentIntegerSetBuggy`. Describe the interleaving that your test finds.

```
1  @RepeatedTest(5000)  
2  public void testRemove() {  
3      int nrThreads = 16;  
4      int threadElements = 100;  
5      for (int k = 0; k < nrThreads * threadElements; k++){  
6          set.add(k);  
7      }  
8      barrier = new CyclicBarrier(nrThreads+1);  
9      for (int i = 0; i < nrThreads; i++) {  
10         final int threId = i;  
11         new Thread(() -> {  
12             try {  
13                 barrier.await();  
14                 for (int j = 0; j < threadElements; j++){  
15                     set.remove(threId * threadElements + j); // (1)  
16                     barrier.await();  
17                 }catch (InterruptedException | BrokenBarrierException err){  
18                     // ...  
19                 }  
20             }  
21             }).start();  
22         }  
23         try{  
24             barrier.await(); // Main thread waits for every thread to be ready  
25             barrier.await(); // Main thread waits for every thread to be finished  
26         }  
27         }catch (InterruptedException | BrokenBarrierException err){  
28             // ...  
29         }  
30         System.out.println(set.size());  
31         assertTrue(set.size()==0);  
32     }
```

- Above is the test, it first fills the set with a bunch of unique values, that are later to be removed by the testing threads.
- Each thread removes its own disjoint subset of elements/values. We'd expect it to go just fine and that by the end of the test, the set has a size of 0. (since all elements would have been removed)
- The test fails, not every time, but sometimes.

The interleaving that could lead to a non-zero size:

In our test we use 16 threads, we don't need that many for the interleaving. Imagine two threads *t1*, *t2*. And then the point 1) is the *set.remove* from the above screenshot, and 2) will be somewhere in the implementation of a *HashMap* (the underlying structure of *hashset*) that does the decrement of its size.

t1(1); *t2*(1); *t2*(2); *t1*(2)

Since there is no happens-before relation (no synchronization) between the threads, the decrement from *t2* is not necessarily visible to *t1*, the may see the same value, and both end up writing *'old-1'* back to the size field. Leaving the size 1 less when it should have been 2 less.

(The decrement is right there:)

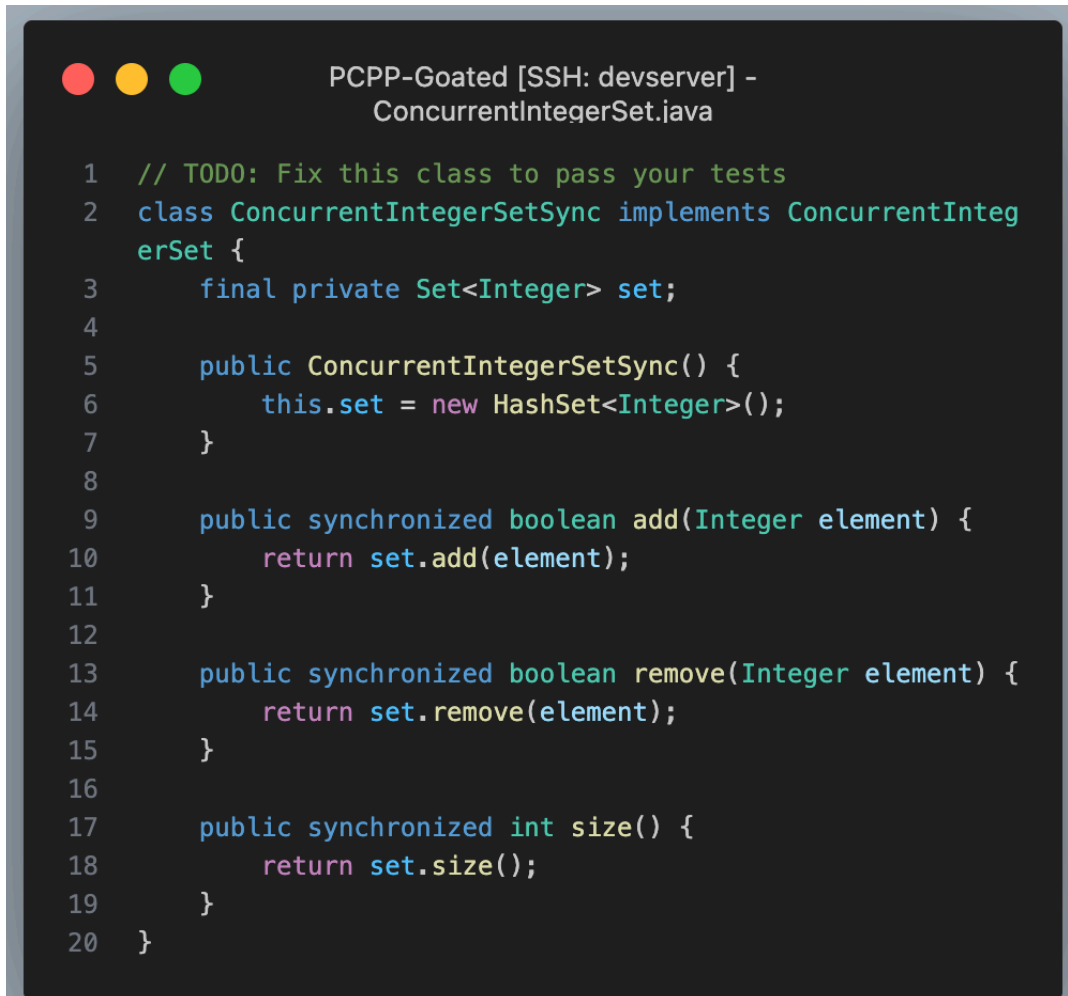
```
public class HashMap<K, V> extends AbstractMap<K, V> implements Map<K, V>, Cloneable, Serializable {
    final Node<K, V> removeNode(int hash, Object key, Object value, boolean matchValue, boolean movable) {
        Object v;
        if (node != null && (!matchValue || (v = ((Node)node).value) == value || value != null && value.equals(v))) {
            if (node instanceof TreeNode) {
                ((TreeNode)node).removeTreeNode(this, tab, movable);
            } else if (node == p) {
                tab[index] = ((Node)node).next;
            } else {
                p.next = ((Node)node).next;
            }

            ++this.modCount;
            --this.size;
            this.afterNodeRemoval((Node)node);
            return (Node)node;
        }
    }

    return null;
}
```

Exercises 4.1.3

3. In the class `ConcurrentIntegerSetSync`, implement fixes to the errors you found in the previous exercises. Run the tests again to increase your confidence that your updates fixed the problems. In addition, explain why your solution fixes the problems discovered by your tests.



```
PCPP-Goated [SSH: devserver] -
ConcurrentIntegerSet.java

1  // TODO: Fix this class to pass your tests
2  class ConcurrentIntegerSetSync implements ConcurrentIntegerSet {
3      final private Set<Integer> set;
4
5      public ConcurrentIntegerSetSync() {
6          this.set = new HashSet<Integer>();
7      }
8
9      public synchronized boolean add(Integer element) {
10         return set.add(element);
11     }
12
13     public synchronized boolean remove(Integer element) {
14         return set.remove(element);
15     }
16
17     public synchronized int size() {
18         return set.size();
19     }
20 }
```

We have made use of **instance confinement**, by encapsulating access to the underlying `HashSet`.

- => The non-thread-safe class has been wrapped in a thread-safe class.
- => Every operation has become **atomic**.

Exercises 4.1.4

4. Run your tests on the `ConcurrentIntegerSetLibrary`. Discuss the results.

We live in an ideal world, we found no errors. We don't know if the `ConcurrentSkipListSet` really is thread-safe, but we didn't find any race conditions using our tests. This sheds some light on the difficulty of testing concurrent code as the given interleavings used for a test is non deterministic.

Exercises 4.1.5

5. Do a failure on your tests above prove that the tested collection is not thread-safe? Explain your answer.

Yes, credit to E.W. Dijkstra:

“Program testing can be used to show the presence of bugs, but never to show their absence!”

If one of the above tests fails, then we have “shown” that there exists an interleaving that causes race conditions.

We have not shown the counterexample itself (although JavaPathFinder could do it for us).

Exercises 4.1.6

6. Does passing your tests above prove that the tested collection is thread-safe (when only using `add()` and `remove()`)? Explain your answer.

No

E.W. Dijkstra:

“Program testing can be used to show the presence of bugs, but never to show their absence!”

If one of the above tests pass, then we have “shown” that there exists an interleaving that doesn’t cause race conditions.

We have not shown the counterexample itself (although JavaPathFinder could do it for us).

Exercise 4.2

Exercises 4.2.1

1. Let `capacity` denote the `final` field `capacity` in `SemaphoreImp`. Then, the property above does not hold for `SemaphoreImp`. Your task is to provide an interleaving showing a counterexample of the property, and explain why the interleaving violates the property.

We have threads `(mt)`, `t1`, `t2`, `t3`, `t4`.

We will call `release`, and `acquire`.

Also, imagine that **capacity=2**

`mt(release); t1(acquire); t2(acquire); t3(acquire); t4(acquire) ← blocks;`

There would be 3 threads in the critical section, but capacity was only 2 ($3 > 2$).

Exercises 4.2.2

2. Write a functional correctness test that can trigger the interleaving you describe in 1. Explain why your test triggers the interleaving.

```
PCPP-Goated [SSH: devserver] - SemaphoreTest.java

1  @ParameterizedTest
2      @RepeatedTest(1000)
3      public void capacityTest() {
4          // Kan være vi lige skal lave noget med cyclic barrier
5          sem.release(); // should be -1
6          barrier = new CyclicBarrier(capacity + 1 + 1);
7
8          for (int i = 0; i < capacity + 1; i++) {
9              new Thread(() -> {
10                  try {
11                      barrier.await(); // testing boilerplate
12                      sem.acquire(); // Acquire access to the critical section
13
14                      var currentCount = criticalSectionCount.incrementAndGet();
15                      // Current threads in the critical section
16                      if (currentCount > capacity){
17                          violationCount.incrementAndGet();
18                      }
19                      // To simulate work in the critical section
20                      Thread.sleep(100);
21                      criticalSectionCount.decrementAndGet();
22                      sem.release();
23                      barrier.await();
24                  }catch (Exception e) {
25                      // TODO: handle exception
26                  }
27              }).start();
28          }
29          try{
30              barrier.await(); // Main thread waits for every thread to be ready
31              barrier.await(); // Main thread waits for every thread to be finished
32
33          }catch (InterruptedException | BrokenBarrierException err){
34
35          }
36          System.out.println(violationCount.get());
37          assertTrue(violationCount.get()==0);
38
39      }
```

Why does it trigger the interleaving?

Our test triggers the interleaving, because we initially call `release()` before starting any threads, which will decrement the state-field of the semaphore to -1, meaning that we can exceed the capacity, by calling `acquire()` the following amount of times: `capacity + 1`.