

Lecture 1, 1/11/13

Section 1: Vocabulary and easy definitions

Homological algebra is the study of complexes of R -modules, where R is a ring with identity $1 \neq 0$. Notationally, $R\text{-Mod}$ is the category of all left R -modules, and $R\text{-mod}$ is the category of all finitely generated R -modules.

Definition 0.1. Let $A_n \in R\text{-mod}$ for $n \in \mathbb{Z}$ and $d_n \in \text{Hom}_R(A_n, A_{n-1})$ such that $d_{n-1} \circ d_n = 0$ for all $n \in \mathbb{Z}$. Then the sequence

$$\cdots \xrightarrow{d_{n+2}} A_{n+1} \xrightarrow{d_{n+1}} A_n \xrightarrow{d_n} A_{n-1} \xrightarrow{d_{n-1}} \cdots$$

is called a complex of R -modules, assuming $\text{im}(d_n) \subseteq \ker(d_{n-1})$. The sequence

$$0 \longrightarrow A_m \longrightarrow A_{m-1} \longrightarrow \cdots \longrightarrow A_{n+1} \longrightarrow A_n \longrightarrow 0$$

will occur more frequently. A complex \mathbb{A} is an exact sequence if $\text{im}(d_n) = \ker(d_{n-1})$ for all $n \in \mathbb{Z}$. This is called a short exact sequence if there are no more than 3 non-zero terms. Given a complex \mathbb{A} , the n th homology modules (or groups, in some cases) of \mathbb{A} is

$$H_n(\mathbb{A}) = \frac{\ker(d_{n-1})}{\text{im}(d_n)}$$

Remark. Given a short exact sequence (hereby abbrev. as SES)

$$0 \longrightarrow A \xrightarrow{f} B \xrightarrow{g} C \longrightarrow 0$$

f is a mono and g is an epi, so $C \simeq B/\text{im}(f)$. If A, B are known, but not f , then infinitely many C are available to complete the short exact sequence.

Example 0.1. Let $R = k$, a field, and take $A = B = k^{(\mathbb{N})} = \bigoplus_{i \in \mathbb{N}} k$.

(i) $0 \longrightarrow A \xrightarrow{\text{Id}} B \longrightarrow 0$ is a SES.

(ii) Define $f : A \rightarrow B$ by

$$f(b_i) = b_{2i} \text{ for } i \in \mathbb{N}$$

$$g(b_0) = \begin{cases} 0 & i \text{ even} \\ b_{\tau(i)} & i \text{ odd} \end{cases}$$

Where $\tau : (2\mathbb{N} - 1) \rightarrow \mathbb{N}$ is a bijection. If $A = B = C = \kappa^{(\mathbb{N})}$, then

$$0 \longrightarrow A \xrightarrow{f} B \xrightarrow{g} C \longrightarrow 0$$

is a SES.

(iii) Let $R = \mathbb{Z}$. Then

$$0 \longrightarrow 3\mathbb{Z} \xrightarrow{\iota} \mathbb{Z} \xrightarrow{\pi} \mathbb{Z}/3\mathbb{Z} \longrightarrow 0$$

is a SES.

(iv) Let $R = \mathbb{Z}$. The sequence

$$0 \longrightarrow \overbrace{6\mathbb{Z}}^{A_1} \xrightarrow{\iota} \overbrace{\mathbb{Z}}^{A_0} \xrightarrow{\pi} \overbrace{\mathbb{Z}/3\mathbb{Z}}^{A_{-1}} \longrightarrow 0$$

is a complex which is not exact. In fact, $H_0(\mathbb{A}) = \overbrace{3\mathbb{Z}}^{\ker(g)} / \underbrace{6\mathbb{Z}}_{\text{im}(f)} \cong \mathbb{Z}/2\mathbb{Z}$.

(v) Let $R = \kappa[x, y]$, κ a field. Let f be the inclusion $(x) \hookrightarrow R[x, y]$. The sequence

$$0 \longrightarrow (x) \xrightarrow{f} R \xrightarrow{g} \kappa[y] \longrightarrow 0$$

where

$$g \left(\sum_{i,j=0}^{\text{finite}} a_{ij} x^i y^j \right) = \sum_{j>0}^{\text{finite}} a_{\sigma_j} y^j$$

is exact.

(vi) Let $R = \kappa[x, y]$. Define \mathbb{A} as

$$0 \longrightarrow \overbrace{(x)}^{A_1} \xrightarrow{f} \overbrace{R}^{A_0} \xrightarrow{g} \underbrace{\overbrace{\kappa}^{A_{-1}}}_{=R/(x,y)} \longrightarrow 0$$

where

$$g \left(\sum_{i,j=0}^{\text{finite}} a_{ij} x^i y^j \right) = a_\infty$$

then $\ker(g) = (x, y)$ and $\operatorname{im}(f) = (x)$, so \mathbb{A} is not exact. In fact,

$$\begin{aligned} H_0(\mathbb{A}) &= (x, y)/(x) \\ &\simeq (y) \\ &\simeq R \end{aligned}$$

Note: If R is an integral domain and $x \in R \setminus \{0\}$, then $(x) \simeq R$ (as R -modules, not as rings!), with isomorphism $r \mapsto rx$.

Typical questions addressed by homological algebra:

(i) Suppose

$$\mathbb{A} : \quad \cdots \longrightarrow A_{n+1} \xrightarrow{d_{n+1}} A_n \xrightarrow{d_n} A_{n-1} \longrightarrow \cdots$$

is an exact sequence in $R\text{-mod}$ and $F : R\text{-mod} \rightarrow S\text{-mod}$ is a functor. Is the sequence

$$\cdots \longrightarrow F(A_{n+1}) \xrightarrow{F(d_{n+1})} F(A_n) \xrightarrow{F(d_n)} F(A_{n-1}) \longrightarrow \cdots$$

exact? $F(\mathbb{A})$ is a complex when F is additive, but it may or may not be exact.

(ii) Given $A, C \in R\text{-mod}$, characterize all modules B such that there exists an exact sequence

$$0 \longrightarrow A \longrightarrow B \longrightarrow C \longrightarrow 0$$

As an example, $R = \mathbb{Z}$, $A = C = \mathbb{A}/p\mathbb{Z}$, p prime, then

$$0 \longrightarrow \mathbb{Z}/p\mathbb{Z} \xrightarrow{f} \mathbb{Z}/p\mathbb{Z} \oplus \mathbb{Z}/p\mathbb{Z} \xrightarrow{g} \mathbb{Z}/p\mathbb{Z} \longrightarrow 0$$

with $f : x \mapsto (x, 0)$ and $g : (x, y) \mapsto y$ is a SES. Alternatively, we could take $f : x + p\mathbb{Z} \mapsto px + p^2\mathbb{Z}$ and $g : y + p^2\mathbb{Z} \mapsto y + p\mathbb{Z}$ to make

$$0 \longrightarrow \mathbb{Z}/p\mathbb{Z} \xrightarrow{f} \mathbb{Z}/p^2\mathbb{Z} \xrightarrow{g} \mathbb{Z}/p\mathbb{Z} \longrightarrow 0$$

a SES. These are the only possibilities in this case! In general, though, there are infinitely many possibilities for B . Why is this interesting? If R is an artinian ring and $M \in R\text{-mod}$, then there are only finitely many simple $s_1, \dots, s_n \in R\text{-mod}$ up to isomorphism. Moreover, for $M \in R\text{-mod}$, there is a chain

$$M = M_0 \supset M_1 \supset \cdots \supset M_\ell = 0$$

such that M_i/M_{i+1} is simple for all $i < \ell$. If the answer to question (ii) is known, then all objects in $R\text{-mod}$ of fixed length ℓ are known up to isomorphism! Simply proved by induction.

Algebraic Topology

Definition 0.2. The standard n -simplex Δ_n in \mathbb{R}^n is the convex hull of v_0, v_1, \dots, v_n ,

where $v_0 = 0$ and $v_i = (0, \dots, 0, \overbrace{1}^i, 0, \dots, 0)$ (so the standard basis).

An oriented simplex is $(\Delta_x, [\pi])$, where $[\pi]$ is an equivalence class of permutations of $\{0, \dots, n\}$, where $\pi \sim \pi' \iff \text{sgn}(\pi) = \text{sgn}(\pi')$. We write

$$(\Delta_x, \pi) = [v_{\pi(0)}, v_{\pi(1)}, \dots, v_{\pi(n)}]$$

and identify Δ_n with $[0, 1, \dots, n]$. The negative is $-[w_0, \dots, w_n]$.

Definition 0.3. Let X be a topological space. An n -simplex in X is a continuous map

$$\sigma : \Delta_n \rightarrow X$$

The group of n -chains of X , $S_n(X)$, is the free abelian group having as basis the n -simplices in X . The singular chain complex of X is

$$\cdots \longrightarrow S_n(X) \xrightarrow{\partial_n} S_{n-1}(X) \xrightarrow{\partial_{n-1}} \cdots \longrightarrow S_0(X) \longrightarrow 0$$

denoted \mathbb{S} , where $\partial_n : S_n(X) \rightarrow S_{n-1}(X)$ is the n th boundary map, which can be defined if we define $\partial_n(\sigma)$ for all n -simplices σ in X (i.e. in the basis of $S_n(X)$). Consider the map

$$\begin{aligned} \tau_i : \mathbb{R}^{n-1} &\rightarrow \mathbb{R}^n \\ (a_1, \dots, a_{n-1}) &\mapsto (a_1, \dots, a_{i-1}, 0, a_{i+1}, \dots, a_n) \end{aligned}$$

For $i \in \{0, \dots, n\}$. Then τ_i is continuous and $\tau_i(\Delta_{n-1}) = \Delta_n$. Define

$$\partial_n(\sigma) = \sum_{i=0}^n (-1)^i \sigma(\tau_i)$$

Theorem 0.1. $\partial_{n-1} \circ \partial_n = 0$ for all $n \in \mathbb{N}$, i.e. \mathbb{S} is a complex in \mathbb{Z} -mod.

Definition 0.4. The group of n -cycles is $Z_n(X) = \ker(\partial_{n-1})$, and the group of n -boundaries is $B_n = \text{im}(\partial_n)$.

The n th homology group is $H_n(X) = Z_n(X)/B_n(X)$.

Lecture 2, 1/13/23

Chapter I: Categories and functors

There is a definition page on the Gauchio that has all the most basic definitions - objects, morphisms, compositions, etc.

If $f \in \text{Hom}_C(A, B)$, we often write $A \xrightarrow{f} B$ even if f is not literally a map.

Example 0.2. 1. The category of all sets, **Set**. The object class consists of all sets, and the morphisms are just set maps.

2. The category of all topological spaces, **Top**. The object class consists of all topological spaces, and the morphisms are continuous functions.

3. The category of all groups, **Grp**. The object class consists of all groups, and the morphisms are group homomorphisms.

4. Let (P, \leq) be a partially ordered set with a relation \leq which is reflexive, antisymmetric, and transitive. Then we can make P into a category, whose objects are the elements of p , and for $u, s \in P$, $\text{Hom}_P(u, s) = \begin{cases} (u, s) & u \leq s \\ \emptyset & u \not\leq s \end{cases}$. We define the composition $(s, t)(u, s) \stackrel{\text{def}}{=} (u, t)$.

5. The opposite category of a category C , C^{op} .

6. Let R be a ring. $R\text{-Mod}$ is the category of left R modules. $R\text{-mod}$ is the finitely generated R -modules, and similarly for $\text{Mod-}R$ and $\text{mod-}R$, which are the right R -modules.

7. $R\text{-comp}$. The object class consists of complexes of left R -modules.

Let \mathbb{A}, \mathbb{A}' be objects of $R\text{-comp}$. Note: it is problematic to say “ $\mathbb{A}, \mathbb{A}' \in R\text{-comp}$,” as $R\text{-comp}$ is not a set!

Say $\mathbb{A} = \cdots \longrightarrow A_{n+1} \xrightarrow{d_{n+1}} A_n \xrightarrow{d_n} A_{n-1} \longrightarrow \cdots$, and similarly for \mathbb{A}' .

An element of $\text{Hom}_{R\text{-comp}}(\mathbb{A}, \mathbb{A}')$ will be a sequence of R -module homomorphisms $f_n : A_n \rightarrow A'_n$ which make the following diagram commute:

$$\begin{array}{ccccccc}
 \cdots & \longrightarrow & A_n & \xrightarrow{d_n} & A_{n-1} & \longrightarrow & \cdots \\
 \downarrow & & \downarrow f_n & & \downarrow f_{n-1} & & \downarrow \\
 \cdots & \longrightarrow & A'_n & \xrightarrow{d'_n} & A'_{n-1} & \longrightarrow & \cdots
 \end{array}$$

8. The category of rings \mathbf{Ring} , whose objects are rings and whose morphisms are ring homomorphisms.
9. The category of \mathbb{Z} -modules is usually denoted \mathbf{Ab} . This is also the category of Abelian groups, and is the prototypical example of an Abelian category.

Definition 0.5. A category \mathcal{C} is called pre-additive if for all A, B objects of \mathcal{C} , the set $\text{Hom}_{\mathcal{C}}(A, B)$ is an additive Abelian group (additive means we use the symbol “+”) such that for all eligible morphisms f, g, h, k ,

$$\begin{aligned} h(f + g) &= hf + hg \\ (f + g)k &= fk + gk \end{aligned}$$

where “eligible” means that these expressions make sense and are well-defined.

Example 0.3. 1. $R\text{-mod}$ (in particular \mathbf{Ab})

2. $R\text{-comp}$

3. \mathbf{Ring} fails to be pre-additive, because the identity morphisms add to be something which is not the identity morphism.

Definition 0.6. Let \mathcal{C}, \mathcal{D} be categories. A functor $F : \mathcal{C} \rightarrow \mathcal{D}$ consists of an assignment $F_0 : \text{Obj}(\mathcal{C}) \rightarrow \text{Obj}(\mathcal{D})$, and for each pair of objects $A, B \in \text{Obj}(\mathcal{C})$, a map (this actually is a map because we assume hom-sets are in fact sets). $F_{A,B} : \text{Hom}_{\mathcal{C}}(A, B) \rightarrow \text{Hom}_{\mathcal{D}}(F(A), F(B))$ such that, for all eligible morphisms f, g , and all $A \in \mathcal{C}$

$$(a) \quad F(\text{Id}_A) = \text{Id}_{F(A)}$$

$$(b) \quad F(f \circ g) = F(f) \circ F(g)$$

Example 0.4. 1. Let \mathcal{C} be a category. Then we have the identity functor $\text{Id}_{\mathcal{C}}$, which assigns $\text{Id}_{\mathcal{C}}(A) = A$, and $\text{Id}_{\mathcal{C}}(f) = f$ for any eligible $A \in \text{Obj}(\mathcal{C})$ and morphisms f .

2. Functors $\pi_n : \mathbf{Top} \rightarrow \mathbf{Grp}$ which sends $X \mapsto \pi_n(X)$

3. $\mathbb{S} : \mathbf{Top} \rightarrow \mathbb{Z}\text{-comp}$, which sends $X \mapsto \mathbb{S}(X)$, which is a complex

$$\cdots \longrightarrow S_{n+1}(X) \xrightarrow{\partial_{n+1}} S_n(X) \xrightarrow{\partial_n} S_{n-1}(X) \xrightarrow{\partial_{n-1}} \cdots \longrightarrow S_0(x) \xrightarrow{\partial_0} 0$$

Let $\phi : X \rightarrow Y$ be continuous for $X, Y \in \mathbf{Top}$. Then $\mathbb{S}(\phi)_n : S_n(X) \rightarrow S_n(Y)$ is given by $\sigma \mapsto \phi \circ \sigma$, and we can extend this for σ an n -simplex of X .

Lecture 4, 1/18/23

Functors:

Definition 0.7. Let \mathcal{C}, \mathcal{D} be categories. A covariant functor from \mathcal{C} to \mathcal{D} consists of “maps” F_0 and $F|_{A,B}$ for any $A, B \in \text{Obj}(\mathcal{C})$ such that

- $F_0 : \text{Obj}(\mathcal{C}) \rightarrow \text{Obj}(\mathcal{D})$
- $F_{A,B} : \text{Hom}_{\mathcal{C}}(A, B) \rightarrow \text{Hom}_{\mathcal{D}}(F_0 A, F_0 B)$ for any $A, B \in \text{Obj}(\mathcal{C})$

such that

- (a) $F_{A,C}(fg) = F_{B,C}(f)F_{A,B}(g)$ for all eligible f, g
- (b) $F_{A,A}(\text{Id}_A) = \text{Id}_{F(A)}$

from here on we don't care at all about indices. For simplicity, we will denote the action of a functor F as simply FA or Ff .

Definition 0.8. A contravariant functor from \mathcal{C} to \mathcal{D} amounts to a covariant functor from \mathcal{C} to \mathcal{D}^{op} .

More examples of functors

Example 0.5. Homology functors $H_n : R\text{-comp} \rightarrow \mathbb{Z}\text{-mod}$ which sends \mathbb{A} to $H_n(\mathbb{A})$.

That is, $\mathbb{A} \rightarrow F\mathbb{A} = \frac{\ker(d_n)}{\text{Im}(d_{n+1})}$

Let $f \in \text{Hom}_{R\text{-comp}}(\mathbb{A}, \mathbb{A}')$. That is, the following diagram commutes

$$\begin{array}{ccccccc}
 \cdots & \longrightarrow & A_n & \xrightarrow{d_n} & A_{n-1} & \longrightarrow & \cdots \\
 \downarrow & & \downarrow f_n & & \downarrow f_{n-1} & & \downarrow \\
 \cdots & \longrightarrow & A'_n & \xrightarrow{d'_n} & A'_{n-1} & \longrightarrow & \cdots
 \end{array}$$

Ff acts by $a_n + \text{Im}(d_{n+1}) \rightarrow f_n(a_n) + \text{Im}(d'_{n+1})$. Let's prove that this is actually well-defined.

Check

First, $a_n \in \ker(d_n)$ implies $f_n(a_n) \in \ker(d'_n)$. This can be seen by doing a diagram chase on the above diagram. Since $d_n(a_n) = 0$, we have $0 = f_{n-1}d_n(a_n) = d'_n f_n(a_n)$, i.e. $f_n(a_n) \in \ker(d'_n)$.

“Don't do much thinking. It's almost harmful” - Birge on doing diagram chasing.

Also “follow your nose.”

Now, $a_n \in \text{Im}(d_{n+1})$ implies $f_n(a_n) \in \text{Im}(d'_{n+1})$. So $a_n = d_{n+1}(x)$ with $x \in A_{n+1}$. hence $f_n(a_n) = f_n d_{n+1}(x) = d'_{n+1} f_{n+1}(x) \in \text{Im}(d'_{n+1})$.

Example 0.6. Let \mathcal{C}, \mathcal{D} be pre-additive categories (definition on the top of page 6). A functor F “from” \mathcal{C} to \mathcal{D} is called additive if, for all $A, B \in \text{Obj}(\mathcal{C})$, the map $F : \text{Hom}_{\mathcal{C}}(A, B) \rightarrow \text{Hom}_{\mathcal{D}}(F(A), F(B))$ is a homomorphism of abelian groups.

Remark. Note that $H_n : R\text{-comp} \rightarrow \mathbb{Z}\text{-mod}$ is an additive functor. The π_n functor is not additive, as \mathbf{Top} is not preadditive.

Example 0.7. Forgetful functors e.g. $F : R\text{-mod} \rightarrow \mathbb{Z}\text{-mod}$ which sends $M \mapsto M$, where the M on the left hand side is an R -module, and M on the right is just an abelian group, which is a \mathbb{Z} -module. Or $F : R\text{-mod} \rightarrow \mathbf{Set}$ which sends an R -module M to the set of its elements, “forgetting” the module structure.

Moreover, if \mathcal{C}, \mathcal{D} are pre-additive, and $F : \mathcal{C} \rightarrow \mathcal{D}$ is a forgetful functor of some sort, then F is additive.

Example 0.8. Let $F : R\text{-mod} \rightarrow S\text{-mod}$ be an additive functor. Then F induces an additive functor $\tilde{F} : R\text{-comp} \rightarrow S\text{-comp}$, sending \mathbb{A} to $F(\mathbb{A})$.

If \mathbb{A} is a complex

$$\cdots \longrightarrow A_{n+1} \xrightarrow{d_{n+1}} A_n \xrightarrow{d_n} A_{n-1} \longrightarrow \cdots$$

then $F(\mathbb{A})$ is

$$\cdots \longrightarrow F(A_{n+1}) \xrightarrow{F(d_{n+1})} F(A_n) \xrightarrow{F(d_n)} F(A_{n-1}) \longrightarrow \cdots$$

An extremely important question: if \mathbb{A} is exact, is $F(\mathbb{A})$ exact? If not, how far does it deviate from being an exact sequence?

Example 0.9. Let $F : \mathcal{C} \rightarrow \mathcal{D}$, $G : \mathcal{D} \rightarrow \mathcal{E}$ be functors. Then $G \circ F : \mathcal{C} \rightarrow \mathcal{E}$ is a functor. WARNING: we use \circ but this isn’t actually a function composition. This is just notation!!!

$G \circ F$ acts how one might think: for $A \in \text{Obj}(\mathcal{C})$, $G \circ F(A) = G(F(A))$, and for $f \in \text{Hom}_{\mathcal{C}}(A, B)$, $G \circ F(f) = G(F(f)) \in \text{Hom}_{\mathcal{E}}(G(F(A)), G(F(B)))$.

Of interest to us: $H_n \circ \tilde{F}$, where $F : R\text{-mod} \rightarrow S\text{-mod}$ is additive. This functor sends a complex \mathbb{A} to $H_n(F(\mathbb{A}))$. This is especially of interest if \mathbb{A} is exact, but $F(\mathbb{A})$ is not.

Remark. Let $F : \mathcal{C} \rightarrow \mathcal{D}$ be a functor. Then F sends isomorphisms in \mathcal{C} to isomorphisms in \mathcal{D} . This is immediate from the definition of a functor.

Section 2: two types of functors that will follow us

(i) Hom-functors: Whenever \mathcal{C} is a category, there is a bifunctor

$$\text{Hom}_{\mathcal{C}}(-, -) : \mathcal{C} \times \mathcal{C} \rightarrow \mathbf{Set}$$

which sends a pair (A, B) to $\mathrm{Hom}_{\mathcal{C}}(A, B)$, and on maps (note that this is covariant in the first factor and contravariant in the second), they act as follows. Let $f : A \rightarrow A', g : B \rightarrow B'$ be morphisms in \mathcal{C} . Then

$$\mathrm{Hom}(f, g) : \mathrm{Hom}_{\mathcal{C}}(A', B) \rightarrow \mathrm{Hom}_{\mathcal{C}}(A, B')$$

acts by $\phi \mapsto g \circ \phi \circ f$