

# Lecture 1, 1/11/13

## Section 1: Vocabulary and easy definitions

Homological algebra is the study of complexes of  $R$ -modules, where  $R$  is a ring with identity  $1 \neq 0$ . Notationally,  $R\text{-Mod}$  is the category of all left  $R$ -modules, and  $R\text{-mod}$  is the category of all finitely generated  $R$ -modules.

**Definition 0.1.** Let  $A_n \in R\text{-mod}$  for  $n \in \mathbb{Z}$  and  $d_n \in \text{Hom}_R(A_n, A_{n-1})$  such that  $d_{n-1} \circ d_n = 0$  for all  $n \in \mathbb{Z}$ . Then the sequence

$$\cdots \xrightarrow{d_{n+2}} A_{n+1} \xrightarrow{d_{n+1}} A_n \xrightarrow{d_n} A_{n-1} \xrightarrow{d_{n-1}} \cdots$$

is called a complex of  $R$ -modules, assuming  $\text{im}(d_n) \subseteq \ker(d_{n-1})$ . The sequence

$$0 \longrightarrow A_m \longrightarrow A_{m-1} \longrightarrow \cdots \longrightarrow A_{n+1} \longrightarrow A_n \longrightarrow 0$$

will occur more frequently. A complex  $\mathbb{A}$  is an exact sequence if  $\text{im}(d_n) = \ker(d_{n-1})$  for all  $n \in \mathbb{Z}$ . This is called a short exact sequence if there are no more than 3 non-zero terms. Given a complex  $\mathbb{A}$ , the  $n$ th homology modules (or groups, in some cases) of  $\mathbb{A}$  is

$$H_n(\mathbb{A}) = \frac{\ker(d_{n-1})}{\text{im}(d_n)}$$

*Remark.* Given a short exact sequence (hereby abbrev. as SES)

$$0 \longrightarrow A \xrightarrow{f} B \xrightarrow{g} C \longrightarrow 0$$

$f$  is a mono and  $g$  is an epi, so  $C \simeq B/\text{im}(f)$ . If  $A, B$  are known, but not  $f$ , then infinitely many  $C$  are available to complete the short exact sequence.

*Example 0.1.* Let  $R = k$ , a field, and take  $A = B = k^{(\mathbb{N})} = \bigoplus_{i \in \mathbb{N}} k$ .

(i)  $0 \longrightarrow A \xrightarrow{\text{Id}} B \longrightarrow 0$  is a SES.

(ii) Define  $f : A \rightarrow B$  by

$$f(b_i) = b_{2i} \text{ for } i \in \mathbb{N}$$

$$g(b_0) = \begin{cases} 0 & i \text{ even} \\ b_{\tau(i)} & i \text{ odd} \end{cases}$$

Where  $\tau : (2\mathbb{N} - 1) \rightarrow \mathbb{N}$  is a bijection. If  $A = B = C = \kappa^{(\mathbb{N})}$ , then

$$0 \longrightarrow A \xrightarrow{f} B \xrightarrow{g} C \longrightarrow 0$$

is a SES.

(iii) Let  $R = \mathbb{Z}$ . Then

$$0 \longrightarrow 3\mathbb{Z} \xrightarrow{\iota} \mathbb{Z} \xrightarrow{\overline{\phantom{x}}} \mathbb{Z}/3\mathbb{Z} \longrightarrow 0$$

is a SES.

(iv) Let  $R = \mathbb{Z}$ . The sequence

$$0 \longrightarrow \overbrace{6\mathbb{Z}}^{A_1} \xrightarrow{\iota} \overbrace{\mathbb{Z}}^{A_0} \xrightarrow{\overline{\phantom{x}}} \overbrace{\mathbb{Z}/3\mathbb{Z}}^{A_{-1}} \longrightarrow 0$$

is a complex which is not exact. In fact,  $H_0(\mathbb{A}) = \overbrace{3\mathbb{Z}}^{\ker(g)} / \underbrace{6\mathbb{Z}}_{\text{im}(f)} \cong \mathbb{Z}/2\mathbb{Z}$ .

(v) Let  $R = \kappa[x, y]$ ,  $\kappa$  a field. Let  $f$  be the inclusion  $(x) \hookrightarrow R[x, y]$ . The sequence

$$0 \longrightarrow (x) \xrightarrow{f} R \xrightarrow{g} \kappa[y] \longrightarrow 0$$

where

$$g \left( \sum_{i,j=0}^{\text{finite}} a_{ij} x^i y^j \right) = \sum_{j>0}^{\text{finite}} a_{\sigma_j} y^j$$

is exact.

(vi) Let  $R = \kappa[x, y]$ . Define  $\mathbb{A}$  as

$$0 \longrightarrow \overbrace{(x)}^{A_1} \xrightarrow{f} \overbrace{R}^{A_0} \xrightarrow{g} \underbrace{\overbrace{\kappa}^{A_{-1}}}_{=R/(x,y)} \longrightarrow 0$$

where

$$g \left( \sum_{i,j=0}^{\text{finite}} a_{ij} x^i y^j \right) = a_{\infty}$$

then  $\ker(g) = (x, y)$  and  $\operatorname{im}(f) = (x)$ , so  $\mathbb{A}$  is not exact. In fact,

$$\begin{aligned} H_0(\mathbb{A}) &= (x, y)/(x) \\ &\simeq (y) \\ &\simeq R \end{aligned}$$

Note: If  $R$  is an integral domain and  $x \in R \setminus \{0\}$ , then  $(x) \simeq R$  (as  $R$ -modules, not as rings!), with isomorphism  $r \mapsto rx$ .

Typical questions addressed by homological algebra:

(i) Suppose

$$\mathbb{A} : \quad \cdots \longrightarrow A_{n+1} \xrightarrow{d_{n+1}} A_n \xrightarrow{d_n} A_{n-1} \longrightarrow \cdots$$

is an exact sequence in  $R\text{-mod}$  and  $F : R\text{-mod} \rightarrow S\text{-mod}$  is a functor. Is the sequence

$$\cdots \longrightarrow F(A_{n+1}) \xrightarrow{F(d_{n+1})} F(A_n) \xrightarrow{F(d_n)} F(A_{n-1}) \longrightarrow \cdots$$

exact?  $F(\mathbb{A})$  is a complex when  $F$  is additive, but it may or may not be exact.

(ii) Given  $A, C \in R\text{-mod}$ , characterize all modules  $B$  such that there exists an exact sequence

$$0 \longrightarrow A \longrightarrow B \longrightarrow C \longrightarrow 0$$

As an example,  $R = \mathbb{Z}$ ,  $A = C = \mathbb{A}/p\mathbb{Z}$ ,  $p$  prime, then

$$0 \longrightarrow \mathbb{Z}/p\mathbb{Z} \xrightarrow{f} \mathbb{Z}/p\mathbb{Z} \oplus \mathbb{Z}/p\mathbb{Z} \xrightarrow{g} \mathbb{Z}/p\mathbb{Z} \longrightarrow 0$$

with  $f : x \mapsto (x, 0)$  and  $g : (x, y) \mapsto y$  is a SES. Alternatively, we could take  $f : x + p\mathbb{Z} \mapsto px + p^2\mathbb{Z}$  and  $g : y + p^2\mathbb{Z} \mapsto y + p\mathbb{Z}$  to make

$$0 \longrightarrow \mathbb{Z}/p\mathbb{Z} \xrightarrow{f} \mathbb{Z}/p^2\mathbb{Z} \xrightarrow{g} \mathbb{Z}/p\mathbb{Z} \longrightarrow 0$$

a SES. These are the only possibilities in this case! In general, though, there are infinitely many possibilities for  $B$ . Why is this interesting? If  $R$  is an artinian ring and  $M \in R\text{-mod}$ , then there are only finitely many simple  $s_1, \dots, s_n \in R\text{-mod}$  up to isomorphism. Moreover, for  $M \in R\text{-mod}$ , there is a chain

$$M = M_0 \supset M_1 \supset \cdots \supset M_\ell = 0$$

such that  $M_i/M_{i+1}$  is simple for all  $i < \ell$ . If the answer to question (ii) is known, then all objects in  $R\text{-mod}$  of fixed length  $\ell$  are known up to isomorphism! Simply proved by induction.

## Algebraic Topology

*Definition 0.2.* The standard  $n$ -simplex  $\Delta_n$  in  $\mathbb{R}^n$  is the convex hull of  $v_0, v_1, \dots, v_n$ ,

where  $v_0 = 0$  and  $v_i = (0, \dots, 0, \overbrace{1}^i, 0, \dots, 0)$  (so the standard basis).

An oriented simplex is  $(\Delta_x, [\pi])$ , where  $[\pi]$  is an equivalence class of permutations of  $\{0, \dots, n\}$ , where  $\pi \sim \pi' \iff \text{sgn}(\pi) = \text{sgn}(\pi')$ . We write

$$(\Delta_x, \pi) = [v_{\pi(0)}, v_{\pi(1)}, \dots, v_{\pi(n)}]$$

and identify  $\Delta_n$  with  $[0, 1, \dots, n]$ . The negative is  $-[w_0, \dots, w_n]$ .

*Definition 0.3.* Let  $X$  be a topological space. An  $n$ -simplex in  $X$  is a continuous map

$$\sigma : \Delta_n \rightarrow X$$

The group of  $n$ -chains of  $X$ ,  $S_n(X)$ , is the free abelian group having as basis the  $n$ -simplices in  $X$ . The singular chain complex of  $X$  is

$$\cdots \longrightarrow S_n(X) \xrightarrow{\partial_n} S_{n-1}(X) \xrightarrow{\partial_{n-1}} \cdots \longrightarrow S_0(X) \longrightarrow 0$$

denoted  $\mathbb{S}$ , where  $\partial_n : S_n(X) \rightarrow S_{n-1}(X)$  is the  $n$ th boundary map, which can be defined if we define  $\partial_n(\sigma)$  for all  $n$ -simplices  $\sigma$  in  $X$  (i.e. in the basis of  $S_n(X)$ ). Consider the map

$$\begin{aligned} \tau_i : \mathbb{R}^{n-1} &\rightarrow \mathbb{R}^n \\ (a_1, \dots, a_{n-1}) &\mapsto (a_1, \dots, a_{i-1}, 0, a_{i+1}, \dots, a_n) \end{aligned}$$

For  $i \in \{0, \dots, n\}$ . Then  $\tau_i$  is continuous and  $\tau_i(\Delta_{n-1}) = \Delta_n$ . Define

$$\partial_n(\sigma) = \sum_{i=0}^n (-1)^i \sigma(\tau_i)$$

*Theorem 0.1.*  $\partial_{n-1} \circ \partial_n = 0$  for all  $n \in \mathbb{N}$ , i.e.  $\mathbb{S}$  is a complex in  $\mathbb{Z}$ -mod.

*Definition 0.4.* The group of  $n$ -cycles is  $Z_n(X) = \ker(\partial_{n-1})$ , and the group of  $n$ -boundaries is  $B_n = \text{im}(\partial_n)$ .

The  $n$ th homology group is  $H_n(X) = Z_n(X)/B_n(X)$ .

## Lecture 2, 1/13/23

### Chapter I: Categories and functors

There is a definition page on the Gauchio that has all the most basic definitions - objects, morphisms, compositions, etc.

If  $f \in \text{Hom}_C(A, B)$ , we often write  $A \xrightarrow{f} B$  even if  $f$  is not literally a map.

*Example 0.2. 1.* The category of all sets, **Set**. The object class consists of all sets, and the morphisms are just set maps.

**2.** The category of all topological spaces, **Top**. The object class consists of all topological spaces, and the morphisms are continuous functions.

**3.** The category of all groups, **Grp**. The object class consists of all groups, and the morphisms are group homomorphisms.

**4.** Let  $(P, \leq)$  be a partially ordered set with a relation  $\leq$  which is reflexive, antisymmetric, and transitive. Then we can make  $P$  into a category, whose objects are the elements of  $p$ , and for  $u, s \in P$ ,  $\text{Hom}_P(u, s) = \begin{cases} (u, s) & u \leq s \\ \emptyset & u \not\leq s \end{cases}$ . We define the composition  $(s, t)(u, s) \stackrel{\text{def}}{=} (u, t)$ .

**5.** The opposite category of a category  $C$ ,  $C^{\text{op}}$ .

**6.** Let  $R$  be a ring.  $R\text{-Mod}$  is the category of left  $R$  modules.  $R\text{-mod}$  is the finitely generated  $R$ -modules, and similarly for  $\text{Mod-}R$  and  $\text{mod-}R$ , which are the right  $R$ -modules.

**7.**  $R\text{-comp}$ . The object class consists of complexes of left  $R$ -modules.

Let  $\mathbb{A}, \mathbb{A}'$  be objects of  $R\text{-comp}$ . Note: it is problematic to say “ $\mathbb{A}, \mathbb{A}' \in R\text{-comp}$ ,” as  $R\text{-comp}$  is not a set!

Say  $\mathbb{A} = \cdots \longrightarrow A_{n+1} \xrightarrow{d_{n+1}} A_n \xrightarrow{d_n} A_{n-1} \longrightarrow \cdots$ , and similarly for  $\mathbb{A}'$ .

An element of  $\text{Hom}_{R\text{-comp}}(\mathbb{A}, \mathbb{A}')$  will be a sequence of  $R$ -module homomorphisms  $f_n : A_n \rightarrow A'_n$  which make the following diagram commute:

$$\begin{array}{ccccccc}
 \cdots & \longrightarrow & A_n & \xrightarrow{d_n} & A_{n-1} & \longrightarrow & \cdots \\
 \downarrow & & \downarrow f_n & & \downarrow f_{n-1} & & \downarrow \\
 \cdots & \longrightarrow & A'_n & \xrightarrow{d'_n} & A'_{n-1} & \longrightarrow & \cdots
 \end{array}$$

8. The category of rings  $\mathbf{Ring}$ , whose objects are rings and whose morphisms are ring homomorphisms.
9. The category of  $\mathbb{Z}$ -modules is usually denoted  $\mathbf{Ab}$ . This is also the category of Abelian groups, and is the prototypical example of an Abelian category.

*Definition 0.5.* A category  $\mathcal{C}$  is called pre-additive if for all  $A, B$  objects of  $\mathcal{C}$ , the set  $\text{Hom}_{\mathcal{C}}(A, B)$  is an additive Abelian group (additive means we use the symbol “+”) such that for all eligible morphisms  $f, g, h, k$ ,

$$\begin{aligned} h(f + g) &= hf + hg \\ (f + g)k &= fk + gk \end{aligned}$$

where “eligible” means that these expressions make sense and are well-defined.

*Example 0.3. 1.*  $R\text{-mod}$  (in particular  $\mathbf{Ab}$ )

2.  $R\text{-comp}$

3.  $\mathbf{Ring}$  fails to be pre-additive, because the identity morphisms add to be something which is not the identity morphism.

*Definition 0.6.* Let  $\mathcal{C}, \mathcal{D}$  be categories. A functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  consists of an assignment  $F_0 : \text{Obj}(\mathcal{C}) \rightarrow \text{Obj}(\mathcal{D})$ , and for each pair of objects  $A, B \in \text{Obj}(\mathcal{C})$ , a map (this actually is a map because we assume hom-sets are in fact sets).  $F_{A,B} : \text{Hom}_{\mathcal{C}}(A, B) \rightarrow \text{Hom}_{\mathcal{D}}(F(A), F(B))$  such that, for all eligible morphisms  $f, g$ , and all  $A \in \mathcal{C}$

$$(a) \quad F(\text{Id}_A) = \text{Id}_{F(A)}$$

$$(b) \quad F(f \circ g) = F(f) \circ F(g)$$

*Example 0.4. 1.* Let  $\mathcal{C}$  be a category. Then we have the identity functor  $\text{Id}_{\mathcal{C}}$ , which assigns  $\text{Id}_{\mathcal{C}}(A) = A$ , and  $\text{Id}_{\mathcal{C}}(f) = f$  for any eligible  $A \in \text{Obj}(\mathcal{C})$  and morphisms  $f$ .

2. Functors  $\pi_n : \mathbf{Top} \rightarrow \mathbf{Grp}$  which sends  $X \mapsto \pi_n(X)$

3.  $\mathbb{S} : \mathbf{Top} \rightarrow \mathbb{Z}\text{-comp}$ , which sends  $X \mapsto \mathbb{S}(X)$ , which is a complex

$$\cdots \longrightarrow S_{n+1}(X) \xrightarrow{\partial_{n+1}} S_n(X) \xrightarrow{\partial_n} S_{n-1}(X) \xrightarrow{\partial_{n-1}} \cdots \longrightarrow S_0(x) \xrightarrow{\partial_0} 0$$

Let  $\phi : X \rightarrow Y$  be continuous for  $X, Y \in \mathbf{Top}$ . Then  $\mathbb{S}(\phi)_n : S_n(X) \rightarrow S_n(Y)$  is given by  $\sigma \mapsto \phi \circ \sigma$ , and we can extend this for  $\sigma$  an  $n$ -simplex of  $X$ .

## Lecture 4, 1/18/23

### Functors:

*Definition 0.7.* Let  $\mathcal{C}, \mathcal{D}$  be categories. A covariant functor from  $\mathcal{C}$  to  $\mathcal{D}$  consists of “maps”  $F_0$  and  $F|_{A,B}$  for any  $A, B \in \text{Obj}(\mathcal{C})$  such that

- $F_0 : \text{Obj}(\mathcal{C}) \rightarrow \text{Obj}(\mathcal{D})$
- $F_{A,B} : \text{Hom}_{\mathcal{C}}(A, B) \rightarrow \text{Hom}_{\mathcal{D}}(F_0 A, F_0 B)$  for any  $A, B \in \text{Obj}(\mathcal{C})$

such that

- (a)  $F_{A,C}(fg) = F_{B,C}(f)F_{A,B}(g)$  for all eligible  $f, g$
- (b)  $F_{A,A}(\text{Id}_A) = \text{Id}_{F(A)}$

from here on we don't care at all about indices. For simplicity, we will denote the action of a functor  $F$  as simply  $FA$  or  $Ff$ .

*Definition 0.8.* A contravariant functor from  $\mathcal{C}$  to  $\mathcal{D}$  amounts to a covariant functor from  $\mathcal{C}$  to  $\mathcal{D}^{\text{op}}$ .

More examples of functors

*Example 0.5.* Homology functors  $H_n : R\text{-comp} \rightarrow \mathbb{Z}\text{-mod}$  which sends  $\mathbb{A}$  to  $H_n(\mathbb{A})$ .

That is,  $\mathbb{A} \rightarrow F\mathbb{A} = \frac{\ker(d_n)}{\text{Im}(d_{n+1})}$

Let  $f \in \text{Hom}_{R\text{-comp}}(\mathbb{A}, \mathbb{A}')$ . That is, the following diagram commutes

$$\begin{array}{ccccccc}
 \cdots & \longrightarrow & A_n & \xrightarrow{d_n} & A_{n-1} & \longrightarrow & \cdots \\
 \downarrow & & \downarrow f_n & & \downarrow f_{n-1} & & \downarrow \\
 \cdots & \longrightarrow & A'_n & \xrightarrow{d'_n} & A'_{n-1} & \longrightarrow & \cdots
 \end{array}$$

$Ff$  acts by  $a_n + \text{Im}(d_{n+1}) \rightarrow f_n(a_n) + \text{Im}(d'_{n+1})$ . Let's prove that this is actually well-defined.

Check

First,  $a_n \in \ker(d_n)$  implies  $f_n(a_n) \in \ker(d'_n)$ . This can be seen by doing a diagram chase on the above diagram. Since  $d_n(a_n) = 0$ , we have  $0 = f_{n-1}d_n(a_n) = d'_n f_n(a_n)$ , i.e.  $f_n(a_n) \in \ker(d'_n)$ .

“Don't do much thinking. It's almost harmful” - Birge on doing diagram chasing.

Also “follow your nose.”

Now,  $a_n \in \text{Im}(d_{n+1})$  implies  $f_n(a_n) \in \text{Im}(d'_{n+1})$ . So  $a_n = d_{n+1}(x)$  with  $x \in A_{n+1}$ . hence  $f_n(a_n) = f_n d_{n+1}(x) = d'_{n+1} f_{n+1}(x) \in \text{Im}(d'_{n+1})$ .

*Example 0.6.* Let  $\mathcal{C}, \mathcal{D}$  be pre-additive categories (definition on the top of page 6). A functor  $F$  “from”  $\mathcal{C}$  to  $\mathcal{D}$  is called additive if, for all  $A, B \in \text{Obj}(\mathcal{C})$ , the map  $F : \text{Hom}_{\mathcal{C}}(A, B) \rightarrow \text{Hom}_{\mathcal{D}}(F(A), F(B))$  is a homomorphism of abelian groups.

*Remark.* Note that  $H_n : R\text{-comp} \rightarrow \mathbb{Z}\text{-mod}$  is an additive functor. The  $\pi_n$  functor is not additive, as  $\mathbf{Top}$  is not preadditive.

*Example 0.7.* Forgetful functors e.g.  $F : R\text{-mod} \rightarrow \mathbb{Z}\text{-mod}$  which sends  $M \mapsto M$ , where the  $M$  on the left hand side is an  $R$ -module, and  $M$  on the right is just an abelian group, which is a  $\mathbb{Z}$ -module. Or  $F : R\text{-mod} \rightarrow \mathbf{Set}$  which sends an  $R$ -module  $M$  to the set of its elements, “forgetting” the module structure.

Moreover, if  $\mathcal{C}, \mathcal{D}$  are pre-additive, and  $F : \mathcal{C} \rightarrow \mathcal{D}$  is a forgetful functor of some sort, then  $F$  is additive.

*Example 0.8.* Let  $F : R\text{-mod} \rightarrow S\text{-mod}$  be an additive functor. Then  $F$  induces an additive functor  $\tilde{F} : R\text{-comp} \rightarrow S\text{-comp}$ , sending  $\mathbb{A}$  to  $F(\mathbb{A})$ .

If  $\mathbb{A}$  is a complex

$$\cdots \longrightarrow A_{n+1} \xrightarrow{d_{n+1}} A_n \xrightarrow{d_n} A_{n-1} \longrightarrow \cdots$$

then  $F(\mathbb{A})$  is

$$\cdots \longrightarrow F(A_{n+1}) \xrightarrow{F(d_{n+1})} F(A_n) \xrightarrow{F(d_n)} F(A_{n-1}) \longrightarrow \cdots$$

An extremely important question: if  $\mathbb{A}$  is exact, is  $F(\mathbb{A})$  exact? If not, how far does it deviate from being an exact sequence?

*Example 0.9.* Let  $F : \mathcal{C} \rightarrow \mathcal{D}$ ,  $G : \mathcal{D} \rightarrow \mathcal{E}$  be functors. Then  $G \circ F : \mathcal{C} \rightarrow \mathcal{E}$  is a functor. WARNING: we use  $\circ$  but this isn’t actually a function composition. This is just notation!!!

$G \circ F$  acts how one might think: for  $A \in \text{Obj}(\mathcal{C})$ ,  $G \circ F(A) = G(F(A))$ , and for  $f \in \text{Hom}_{\mathcal{C}}(A, B)$ ,  $G \circ F(f) = G(F(f)) \in \text{Hom}_{\mathcal{E}}(G(F(A)), G(F(B)))$ .

Of interest to us:  $H_n \circ \tilde{F}$ , where  $F : R\text{-mod} \rightarrow S\text{-mod}$  is additive. This functor sends a complex  $\mathbb{A}$  to  $H_n(F(\mathbb{A}))$ . This is especially of interest if  $\mathbb{A}$  is exact, but  $F(\mathbb{A})$  is not.

*Remark.* Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a functor. Then  $F$  sends isomorphisms in  $\mathcal{C}$  to isomorphisms in  $\mathcal{D}$ . This is immediate from the definition of a functor.

## Section 2: two types of functors that will follow us

(i) Hom-functors: Whenever  $\mathcal{C}$  is a category, there is a bifunctor

$$\text{Hom}_{\mathcal{C}}(-, -) : \mathcal{C} \times \mathcal{C} \rightarrow \mathbf{Set}$$



which sends a pair  $(A, B)$  to  $\text{Hom}_{\mathcal{C}}(A, B)$ , and on maps (note that this is covariant in the first factor and contravariant in the second), they act as follows. Let  $f : A \rightarrow A', g : B \rightarrow B'$  be morphisms in  $\mathcal{C}$ . Then

$$\text{Hom}(f, g) : \text{Hom}_{\mathcal{C}}(A', B) \rightarrow \text{Hom}_{\mathcal{C}}(A, B')$$

acts by  $\phi \mapsto g \circ \phi \circ f$

## Lecture 5, 1/20/23

Whenever  $\mathcal{C}$  is a category, there is a bifunctor  $\text{Hom}_{\mathcal{C}}(-, -) : \mathcal{C} \times \mathcal{C} \rightarrow \mathbf{Set}$ , which sends  $(A, B)$  to  $\text{Hom}_{\mathcal{C}}(A, B)$ . On maps, when  $f : A \rightarrow A'$  and  $g : B \rightarrow B'$  are morphisms, then

$$\begin{aligned} \text{Hom}_{\mathcal{C}}(f, g) : \text{Hom}_{\mathcal{C}}(A', B) &\rightarrow \text{Hom}_{\mathcal{C}}(A, B') \\ \varphi &\mapsto g \circ \varphi \circ f \end{aligned}$$

We will split this into two parts. Let  $C \in \mathcal{C}$ . Then we have a covariant functor

$$\begin{aligned} \text{Hom}_{\mathcal{C}}(C, -) : \mathcal{C} &\rightarrow \mathcal{C} \\ C' &\mapsto \text{Hom}_{\mathcal{C}}(C, C') \\ g &\mapsto \text{Hom}_{\mathcal{C}}(C, A) \rightarrow \text{Hom}_{\mathcal{C}}(C, B) \\ \varphi &\mapsto g \circ \varphi \end{aligned}$$

We also have the contravariant functor  $\text{Hom}_{\mathcal{C}}(-, D)$ , which acts similarly. As a special case, consider  $\mathcal{C} = R\text{-mod}$ . Then

$$\begin{aligned} \text{Hom}_R(M, -) : R\text{-mod} &\rightarrow \mathbb{Z}\text{-mod} \\ \text{Hom}_R(-, N) : R\text{-mod} &\rightarrow \mathbb{Z}\text{-mod} \end{aligned}$$

but we can have additional structure on  $\text{Hom}_R(M, N)$ . Suppose  ${}_R M_S$  is a bimodule ( $S$  is a ring and  $(rm)s = r(ms)$ ) and let  ${}_R N_T$  be an  $R$ - $T$  module. Then  $\text{Hom}_R(M, N)$  is a left  $S$ , right  $T$  bimodule. For  $f \in \text{Hom}_R(M, N)$ ,  $s \in S, t \in T$ , define

$$\begin{aligned} (sf)(m) &= f(ms) \\ (ft)(m) &= f(m)t \end{aligned}$$

If  $R$  is commutative, then

$$\begin{aligned}\mathrm{Hom}_R(M, -) : R - \mathrm{mod} &\rightarrow R - \mathrm{mod} = \mathrm{Mod} - R \\ \mathrm{Hom}_R(-, N) : R - \mathrm{mod} &\rightarrow R - \mathrm{mod} = \mathrm{Mod} - R\end{aligned}$$

If  ${}_R M_S$  is a bimodule, then

$$\mathrm{Hom}_R(M, -) : R - \mathrm{mod} \rightarrow S - \mathrm{mod}$$

If  ${}_R N_T$  is a bimodule, then

$$\mathrm{Hom}(-, N) : R - \mathrm{mod} \rightarrow \mathrm{Mod} - T$$

Basic properties:

$$(i) \quad M \in R - \mathrm{mod} \implies \underbrace{\mathrm{Hom}_R(R, M) \cong M}_{f \mapsto f(1)} \text{ in } \mathbb{Z} - \mathrm{mod}$$

$$(ii) \quad \mathrm{Hom}_R(\bigotimes_{i \in I} M_i, N) \cong \prod_{i \in I} \mathrm{Hom}_R(M_i, N). \text{ Prove this!}$$

$$(iii) \quad \mathrm{Hom}_R(M, \prod_{i \in I} N_i) \cong \prod_{i \in I} \mathrm{Hom}_R(M, N_i). \text{ Prove this!}$$

*Definition 0.9.* Let  $M \in \mathrm{Mod} - R$ ,  $N \in R - \mathrm{mod}$ . Then an abelian group  $T$  is called a tensor product of  $M$  and  $N$  if there exists a map

$$\tau : M \times N \rightarrow T$$

Which is  $\mathbb{Z}$ -bilinear and  $R$ -balanced, i.e.

$$\tau(mr, n) = \tau(m, rn)$$

with the following universal property.

Whenever  $A$  is an abelian group and  $\sigma : M \times N \rightarrow A$  is  $\mathbb{Z}$ -bilinear and  $R$ -balanced, there exists a unique  $\mathbb{Z}$ -linear map  $\sigma' : T \rightarrow A$  such that this diagram commutes:

$$\begin{array}{ccc} M \times N & \xrightarrow{\tau} & T \\ & \searrow \sigma & \downarrow \sigma' \\ & & A \end{array}$$

We denote  $T = M \otimes_R N$ .

*Theorem 0.2.* If  $M \in \mathrm{Mod} - R$  and  $N \in R - \mathrm{mod}$ , then a tensor product  $M \otimes_R N$  exists and is unique up to isomorphism.

*Proof.* Let  $F$  be the free abelian group with basis  $M \times N$ , i.e.

$$F = \bigoplus_{m \in M, n \in N} \mathbb{Z}(m, n)$$

Define

$$M \otimes_R N = F/U$$

where  $U$  is the submodule generated by all elements of the form

$$\begin{aligned} (m_1 + m_2, n) - (m, n) - (m_2, n) \\ (m, n_1 + n_2) - (m, n_1) - (m, n_2) \\ (mr, n) - (m, rn) \end{aligned}$$

for all eligible  $m_i, m \in M, n_i, n \in N, r \in R$ .

Define

$$\begin{aligned} \tau : M \times N &\rightarrow M \otimes_R N \\ (m, n) &\mapsto m \otimes n \end{aligned}$$

Then  $\tau$  is  $\mathbb{Z}$ -bilinear and  $R$ -balanced (check!). Moreover,  $M \otimes_R N$  with  $\tau$  satisfies the universal property: let  $A$  be an abelian group and  $\sigma : M \times N \rightarrow A$  be  $\mathbb{Z}$ -bilinear and  $R$ -balanced. Define

$$\begin{aligned} \tilde{\sigma} : F &\rightarrow A \\ (m, n) &\mapsto \sigma(m, n) \end{aligned}$$

and extend linearly. By construction,  $\tilde{\sigma}(U) = 0$ , i.e.  $U \subseteq \ker(\tilde{\sigma})$ . Hence there exists  $\sigma' : F/U \rightarrow A$  with the property that

$$\sigma'(m, n) = \tilde{\sigma}((m, n) + U) = \tilde{\sigma}(m \otimes n)$$

Now show  $\sigma'$  is unique, and the proof is complete. ■

## Lecture 6, 1/23/23

Our two mainstay types of functors:

- (i) Hom functors.

- (ii) Tensor functors. For  $(M, N) \in \text{Mod-}R \times R\text{-mod}$ , we constructed an abelian group  $M \otimes_R N = R^{(M \times N)}/u$ , together with  $\tau : M \times N \rightarrow M \otimes_R N$  given by  $\tau(m, n) = m \otimes n = (m, n) + u$  such that  $(M \otimes_R N, \tau)$  has the key universal property.

Note: The elements  $m \otimes n \in M \otimes_R N$  form a generating set of  $M \otimes_R N$ , but not a basis.

## The tensor functor

We have a bifunctor  $- \otimes - : \text{Mod-}R \times R\text{-mod} \rightarrow \mathbb{Z}\text{-mod}$ ,  $(M, N) \mapsto M \otimes_R N$ . Let  $(f, g), f \in \text{Hom}_R(M, M'), g \in \text{Hom}(N, N')$ . Then

$$\begin{aligned} f \otimes g : M \otimes_R N &\rightarrow M' \otimes_R N' \\ m \otimes n &\mapsto f(m) \otimes g(n) \end{aligned}$$

To show this is well-defined, check that  $\phi : M \times N \rightarrow M' \otimes_R N', (m, n) \mapsto f(m) \otimes g(n)$  is  $\mathbb{Z}$ -bilinear and  $R$ -balanced.

Split  $- \otimes_R -$  into two functors. So, we have a functor  $M \otimes_R - : R\text{-mod} \rightarrow \mathbb{Z}\text{-mod}$  and a functor  $- \otimes_R N : \text{Mod-}R \rightarrow \mathbb{Z}\text{-mod}$ . The action on objects and morphisms is clear from the discussion up to now.

## Additional structure on $M \otimes_R N$

Suppose  ${}_S M_R$  and  ${}_R N_T$  are bimodules. Then  $M \otimes_R N$  is a  $S - T$  bimodule, with

$$s(m \otimes n)t = (sm) \otimes (nt)$$

It is an exercise to check well-definedness.

### Uses

Suppose  ${}_R V$  is a real vector space. We want to “complexify”  $V$ , making it a complex vector space. We could consider  $\mathbb{C} \times V$ , and define  $c(d, v) = (cd, v)$ . But this does not define a  $\mathbb{C}$ -vector space, because multiplication must be multilinear. But  $\mathbb{C} \otimes_R V$  will do it.

### Basic properties

Consider  $R \otimes_R M$ . This is in fact isomorphic to  $M$ . Not just as Abelian groups, but as left  $R$ -modules. This is because  $R$  satisfies the associative law relative to multiplication. One isomorphism between them is  $m \mapsto 1 \otimes m$ .

In general, unlike the hom-functor, the tensor functor will not commute with direct products/coproducts, unless “the sky is very benevolent.”



The meaning of  $m \otimes n$  depends on the meaning of  $M, N$ !

*Example 0.10.* Consider  $2 \otimes \bar{1} \in \mathbb{Z} \otimes_{\mathbb{Z}} (\mathbb{Z}/2\mathbb{Z})$ . This is the same as  $1 \otimes \bar{2} = 1 \otimes 0 = 0$ . By contrast, look at  $2 \otimes \bar{1} \in 2\mathbb{Z} \otimes (\mathbb{Z}/2\mathbb{Z})$ . This is nonzero! Let's show that. We know  $2\mathbb{Z} \cong \mathbb{Z}$ , with an isomorphism given by  $x \mapsto \frac{x}{2}$ . So

$$\begin{aligned} f \otimes \text{Id}_{\mathbb{Z}/2\mathbb{Z}} : 2\mathbb{Z} \otimes (\mathbb{Z}/2\mathbb{Z}) &\rightarrow \mathbb{Z} \otimes (\mathbb{Z}/2\mathbb{Z}) \\ x \otimes y &\mapsto f(x) \otimes y \end{aligned}$$

But functors take isomorphisms to isomorphisms, so  $\underbrace{2 \otimes \bar{1}}_{\in 2\mathbb{Z} \otimes \mathbb{Z}/2\mathbb{Z}} \mapsto \overbrace{1 \otimes \bar{1}}^{\in \mathbb{Z} \otimes \mathbb{Z}/2\mathbb{Z}} \neq 0$ . Why is

this last term nonzero? Because  $\mathbb{Z} \otimes (\mathbb{Z}/2\mathbb{Z}) \cong \mathbb{Z}$ , with the isomorphism sending  $1 \otimes \bar{1}$  to  $\bar{1}$ , which is not zero.

## Natural Transformations, Equivalences, and Dualities

*Definition 0.10. 1.* Let  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  be functors. A morphism of functors, or a natural transformation from  $F$  to  $G$ , is a family  $(\phi(C))_{C \in \text{Obj}(\mathcal{C})}$  of morphisms,  $\phi(C) : F(C) \rightarrow G(C)$  such that for any  $f \in \text{Hom}_{\mathcal{C}}(C, C')$ , the square

$$\begin{array}{ccc} F(C) & \xrightarrow{F(f)} & F(C') \\ \phi(C) \downarrow & & \downarrow \phi(C') \\ G(C) & \xrightarrow{G(f)} & G(C') \end{array}$$

commutes for all eligible morphisms  $f$  in the category  $\mathcal{C}$ . This is a covariant equivalence. A contravariant equivalence is an equivalence between contravariant functors, i.e. it makes the following square commute.

$$\begin{array}{ccc} F(C) & \xleftarrow{F(f)} & F(C') \\ \phi(C) \downarrow & & \downarrow \phi(C') \\ G(C) & \xleftarrow{G(f)} & G(C') \end{array}$$

2. Call  $(\phi(C))_{C \in \text{Obj}(\mathcal{C})}$  an isomorphism of functors, or a natural equivalence, if  $\phi(C)$  is an isomorphism for each  $C \in \text{Obj}(\mathcal{C})$ .

3. Two categories  $\mathcal{C}, \mathcal{D}$  are equivalent categories if there are functors  $F : \mathcal{C} \rightarrow \mathcal{D}, G : \mathcal{D} \rightarrow \mathcal{C}$  such that  $G \circ F \simeq \text{Id}_{\mathcal{C}}$  and  $F \circ G \simeq \text{Id}_{\mathcal{D}}$ , with “ $\simeq$ ” meaning “is naturally equivalent to.” The  $F, G$  are called “mutually inverse equivalences.”
4. A contravariant equivalence is called a duality.
5. Let  $R, S$  be rings. Call  $R, S$  Morita equivalent, denoted  $R \sim S$ , if  $R\text{-mod}, S\text{-mod}$  are naturally equivalent. This is equivalent to saying  $\text{mod} - R, \text{mod} - S$  are equivalent.

## Lecture 7, 1/25/23

*Definition 0.11.* Let  $F : \mathcal{C} \rightarrow \mathcal{D}, G : \mathcal{D} \rightarrow \mathcal{C}$  be functors. We say that  $(F, G)$  form an adjoint pair if the following two bifunctors  $\mathcal{C} \times \mathcal{D} \rightarrow \mathbf{Set}$  are naturally isomorphic:

$$\text{Hom}_{\mathcal{D}}(F(-), -) \cong \text{Hom}_{\mathcal{C}}(-, G(-))$$

That is, for every  $(C, D) \in \mathcal{C} \times \mathcal{D}$ , we have an isomorphism

$$\phi(C, D) : \text{Hom}_{\mathcal{D}}(F(C), D) \rightarrow \text{Hom}_{\mathcal{C}}(C, G(D))$$

and the collection of all  $\phi(C, D)$  form a natural isomorphism.

$$\begin{array}{ccc} \text{Hom}_{\mathcal{D}}(F(C), D) & \longrightarrow & \text{Hom}_{\mathcal{D}}(F(C'), D') \\ \downarrow \phi_{C,D} & & \downarrow \phi_{C',D'} \\ \text{Hom}_{\mathcal{C}}(C, G(D)) & \longrightarrow & \text{Hom}_{\mathcal{C}}(C', G(D')) \end{array}$$

*Example 0.11.*

1. (a)  $R \otimes_R - \cong \text{Id}_{R\text{-mod}}$ .  $R \otimes_R - : R\text{-mod} \rightarrow R\text{-mod}$  is well-defined since  ${}_R R_R$  is a bimodule.  
 (b)  $\text{Hom}_R({}_R R_R, -) \cong \text{Id}_{\text{mod}-R}$
2. For any ring  $R$ ,  $R \sim M_n(R)$ , where  $\sim$  indicates Morita equivalence, defined above. Why? Let  ${}_R F = R^n$ ,  $S = \text{End}_R(F) \cong M_n(R)$ . Let  $F^* = {}_R (\text{Hom}({}_S F, R))_S$

*Claim.* The functors  $\text{Hom}(F, -) : \text{mod} - R \rightarrow \text{mod} - S$ ,  $\text{Hom}(F^*, -) : \text{mod} - S \rightarrow \text{mod} - R$  are mutually inverse functors.

*Proof.* We want to show that, for  $M \in \text{Mod-}R$ ,

$$\text{Id}_{\text{Mod-}R} \cong \text{Hom}_S(F^*, \text{Hom}_R(F, -))$$

Consider

$$\Phi(M) : m \mapsto (F^* = \text{Hom}(F, R) \ni f \mapsto (x \mapsto mf(x)))$$

Check that this is a  $R$ -module homomorphism, and in fact an isomorphism of  $R$ -modules.

Let  $R = k$  be a field. Then we have a duality

$$k\text{-mod} \rightarrow k\text{-mod}$$

Let  $v \in k\text{-mod}$ , and consider

$$\Phi(V) : V \rightarrow V^{**} = \text{Hom}_k(\text{Hom}_k(V, k), k)$$

, and

$$x \mapsto (\text{Hom}_k(V, K) \ni f \mapsto f(x) \in k)$$

A duality from  $k\text{-mod}$  to  $k\text{-mod}$ .

We may extend  $\Phi$  to a functor  $k\text{-Mod} \rightarrow k\text{-Mod}$ , but this is not surjective if  $\dim V = \infty$  (homework problem). So we have a natural equivalence  $\text{Id}_{k\text{-mod}} \cong (-)^{**}$

Here is an example of an adjoint pair. Let  ${}_S B_R$  be an  $S\text{-}R$  bimodule. Then the functor

$$B \otimes_R - : R\text{-mod} \rightarrow R\text{-mod}$$

is a left adjoint to

$$\text{Hom}_S(R, -) : S\text{-mod} \rightarrow R\text{-mod}$$

## Lecture 8, 1/27/23

### Section 4: Additive and Abelian categories

*Definition 0.12.* A pre-additive category  $\mathcal{C}$  is called an additive category if it has a zero object, and finite direct sums/products.

*Definition 0.13.* An additive category  $\mathcal{C}$  is called an Abelian category if every map  $f$  has a kernel and cokernel, and every mono is a kernel, and every epi is a cokernel.

*Example 0.12.* In the category of rings (we assume these are unital rings, so this category is not preadditive, recall) there are categorical epis that fail to be surjective. For example,  $f : \mathbb{Z} \hookrightarrow \mathbb{Q}$  is a categorical epimorphism.

Let  $g, h \in \text{Hom}_{\text{Ring}}(\mathbb{Q}, R)$  be such that  $gf = hf$ . Then  $g|_{\mathbb{Z}} = h|_{\mathbb{Z}}$ , so it follows that  $g = h$ .

In  $R\text{-mod}$ ,  $R\text{-comp}$ , categorical monos coincide with injective homomorphisms, and similarly, categorical epimorphisms coincide with surjections.

*Example 0.13.* of Abelian categories:

$R\text{-Mod}$ , in particular  $\mathbb{Z}\text{-Mod} = \mathbf{Ab}$ ,  $R\text{-comp}$ . Let  $\mathcal{T} \text{--} \mathbf{Ab}$  be the full subcategory of  $\mathbf{Ab}$  consisting of the torsion Abelian groups.

Is the full subcategory of  $\mathbf{Ab}$  consisting of torsion-free groups Abelian? No! The map  $f : \mathbb{Z} \rightarrow \mathbb{Z}$  given by multiplication by 2 doesn't have a cokernel.

$R\text{-mod}$  is not Abelian if  $R$  is not left Noetherian!!!! (A ring is left Noetherian if every left ideal is finitely generated). But  $R\text{-Mod}$

For example, let  $k$  be a field, and consider  $R = k^{\mathbb{N}}$ . Let  $I = k^{(\mathbb{N})}$  (which means the direct sum, as opposed to the direct product). This is not a finitely generated left ideal, and  $I \hookrightarrow R$ . But  $\pi : R \rightarrow R/I$  does not have a kernel in  $R\text{-mod}$  even though  $R, R/I$  are in  $R\text{-mod}$ , because we will get something not in  $R\text{-mod}$ , but in  $R\text{-Mod}$ . She started talking about some stuff we won't see until later, and said it was "music of the future."

## Chapter 2: On the road to derived functors

### Section 1: Exactness properties of functors

Note: We'll develop the theory for the Abelian category  $R\text{-mod}$ , but it easily adapts to arbitrary categories.

*Definition 0.14.* Let  $R, S$  be rings,  $F$  an additive functor from  $R\text{-mod}$  to  $S\text{-mod}$ .

1.  $F$  is called exact if for all exact sequences

$$0 \longrightarrow A \xrightarrow{f} B \xrightarrow{g} C \longrightarrow 0$$

the sequence

$$0 \longrightarrow FA \xrightarrow{Ff} FB \xrightarrow{Fg} FC \longrightarrow 0$$

is also exact. If  $F$  is contravariant, then instead we want the sequence

$$0 \longrightarrow FC \xrightarrow{Fg} FB \xrightarrow{Ff} FA \longrightarrow 0$$

to be exact.

2.  $F$  is called left-exact or right-exact if it sends left (or right) exact sequences to left (or right) exact sequences



## Lecture 9, 2/1/23

Recall:

A functor  $F : R - \text{mod} \rightarrow S - \text{mod}$  is left-exact if for all exact sequences

$$0 \longrightarrow A \xrightarrow{f} B \xrightarrow{g} C$$

the sequence

$$0 \longrightarrow FA \xrightarrow{Ff} FB \xrightarrow{Fg} FC$$

is exact. Similarly, it is right exact if the image of

$$A \xrightarrow{f} B \xrightarrow{g} C \longrightarrow 0$$

is exact.

*Remark. 1.* A functor  $F : R - \text{Mod} \rightarrow S - \text{Mod}$  induces a functor  $F : R - \text{comp} \rightarrow S - \text{comp}$ .

**2.** If  $F \cong G : R - \text{mod} \rightarrow S - \text{mod}$  (meaning  $F, G$  are naturally isomorphic), then  $F, G$  have the same exactness properties.

**3.** If  $F : R - \text{mod} \rightarrow S - \text{mod}$  is an equivalence (or a duality) then  $F$  is exact.

*Theorem 0.3. (our favorite functors)*

- 1.** Let  $M \in R - \text{Mod}$ . Then  $\text{Hom}_R(M, -)$  and  $\text{Hom}_R(-, M)$  are left-exact functors from  $R - \text{Mod} \rightarrow \mathbb{Z} - \text{Mod}$ .
- 2.** Let  $M \in \text{Mod} - R$ . Then  $M \otimes_R - : R - \text{mod} \rightarrow \mathbb{Z} - \text{mod}$  is right exact.

*Proof.* Note: Starting from now, I will denote the image of  $f$  under the functor  $\text{Hom}_R(M, -)$  by  $f^*$ . The reason is that for some reason tikzcd won't let me put  $\text{Hom}_R$  inside an arrow's name.

We'll just prove part 1 for the covariant Hom. The contravariant case is homework. So, we want to show that  $\text{Hom}_R(M, -)$  is left-exact. Let

$$0 \longrightarrow A \xrightarrow{f} B \xrightarrow{g} C$$

be exact in  $R - \text{Mod}$ . Then its image is a complex

$$0 \longrightarrow \text{Hom}_R(M, A) \xrightarrow{f^*} \text{Hom}_R(M, B) \xrightarrow{g^*} \text{Hom}_R(M, C)$$

For  $\phi : M \rightarrow A$ ,  $f^*(\phi) = f \circ \phi$ , and similarly for  $g^*$ .

We first show that  $f_*$  is a mono: Indeed, from  $f \circ \phi = 0$ , we obtain  $\phi = 0$ , since  $f$  is a mono. So the sequence is exact at  $\text{Hom}_R(M, A)$ .

We know  $\text{Im}(\text{Hom}_R(M, f)) \subseteq \ker(\text{Hom}_R(M, g))$ . To show the reverse direction, let  $\psi \in \ker(\text{Hom}_R(M, g))$ , i.e.  $g \circ \psi = 0$ , i.e.  $\text{Im}(\psi) \subseteq \ker(g)$ .

Consider

$$0 \longrightarrow A \xrightarrow{\tilde{f}} \text{Im}(f) \hookrightarrow B \longrightarrow C \longrightarrow 0$$

Set  $\phi = \tilde{f}^{-1} \circ \psi$  and check that  $\text{Hom}_R(M, f)(\phi) = \underbrace{f \circ \tilde{f}^{-1}}_{=\text{Id}_A} \circ \psi = \psi$ . So  $\psi \in \text{Im}(\text{Hom}_R(M, f))$ .

This gives exactness at  $\text{Hom}_R(M, B)$ . So, we have shown that the covariant Hom functor is left-exact.

Now for part 2.



$$A \xrightarrow{f} B \xrightarrow{g} C \longrightarrow 0$$

be exact in  $R\text{-Mod}$ . The sequence

$$M \otimes_R A \xrightarrow{f_*} M \otimes_R B \xrightarrow{g_*} M \otimes_R C \longrightarrow 0$$

is a complex. Clearly,  $M \otimes_R g, m \otimes b \mapsto m \otimes_R (f(g))$  is an epi because  $g$  is an epi.

We have  $\underbrace{\text{Im}(M \otimes_R f)}_E \subseteq \ker(M \otimes_R g)$  (Birge - “The image of  $M \otimes_R f$ , which I

baptize  $E$ , ...”), and we want the reverse inclusion. Factor  $M \otimes_R g$  in the form  $M \otimes B \rightarrow M \otimes_R B/E \rightarrow M \otimes_R C$ . (I missed a bit here because TeXwriter was being wonky, so this proof is nonsense I think. It’s a standard proof that can be googled tho). Then  $M \otimes_R g = G \circ ?$ , so  $\ker(G) = \ker(M \otimes g)/E$ . Thus suffices to show that  $G$  is a mono.

Plan: Construct  $H \in \text{Hom}_{\mathbb{Z}}(M \otimes_R C, M \otimes_R B/E)$  such that  $HG = \text{Id}_{M \otimes_R B/E}$

Define  $H' : M \times C \rightarrow M \otimes_R B/E$  by  $(m, c) \mapsto (m \otimes b + E)$ , where  $b \in B$  is such that  $g(b) = c$ . We check well-definedness.

Suppose  $g(b) = g(b')$ ,  $b, b' \in B$ . Then  $b - b' \in \ker(g) = \text{Im}(f)$  by hypothesis, hence  $m \otimes_R b - m \otimes_R b' = m \otimes (b - b') \in E$ , thus  $m \otimes_R b + E = m \otimes_R b' + E$ . Check  $H'$  is  $\mathbb{Z}$ -bilinear and  $R$ -balanced.

Hence the universal property of the tensor product yields  $H \in \text{Hom}_{\mathbb{Z}}(M \otimes C', M \otimes B/E)$  with  $H(m \otimes c) = m \otimes b$ , where  $g(b) = c$ . ■

*Example 0.14.* Here are some examples showing that in general, we shouldn’t expect better than this previous theorem. That is, some witnesses to the non-left(right) exactness of  $\text{Hom}_R(M, -)$  (resp.  $M \otimes_R -$ )

*Definition 0.15.* (i) Let  $A \in \mathbb{Z}\text{-Mod}$ . Then  $a \in A$  is a torsion element iff there exists  $n \in \mathbb{Z} \setminus \{0\}$  such that  $n \cdot a = 0$ . We use  $T(A)$  to denote the torsion elements of  $A$ , which will always be a subgroup.

We say that  $A$  is torsion iff  $A = T(A)$ , and we say  $A$  is torsion-free iff  $T(A) = 0$ .

(ii)  $a \in A$  is divisible iff  $a \in nA$  for all  $n \in \mathbb{Z} \setminus \{0\}$  (i.e. there exists  $b \in A$  such that  $a = nb$ ). An abelian group is called a divisible group if every element is divisible, i.e. if  $nA = A$  for every  $n \in \mathbb{Z} \setminus \{0\}$ .

*Remark.* If  $f \in \text{Hom}_{\mathbb{Z}}(A, B)$  and  $A$  is divisible, then  $f(A)$  is a divisible subgroup of  $B$ .

## Lecture 10, 2/3/23

Consider the sequence

$$0 \longrightarrow \mathbb{Z} \xrightarrow{\cdot 2} \mathbb{Z} \xrightarrow{\pi} \mathbb{Z}/2\mathbb{Z} \longrightarrow 0$$

This sequence is exact. However,  $\text{Hom}(\mathbb{Z}/2\mathbb{Z}, \mathbb{Z}) = 0$ , and  $\text{Hom}(\mathbb{Z}/2\mathbb{Z}, \mathbb{Z}/2\mathbb{Z}) \neq 0$ . So the image of this sequence under the functor  $\text{Hom}(\mathbb{Z}/2\mathbb{Z}, -)$  is not exact (in particular, it is not exact on the right).

The above argument will work for any integer instead of 2, so this sequence is a witness to the inexactness of the functor  $\text{Hom}_{\mathbb{Z}}(\mathbb{Z}/n\mathbb{Z}, -)$  for  $n \geq 2$ .

Consider an epi  $g : \mathbb{Z}^{(\mathbb{N})} \rightarrow \mathbb{Q}$ , and apply  $F$ . The map

$$\text{Hom}_{\mathbb{Z}}(\mathbb{Q}, \mathbb{Z}^{(\mathbb{N})}) \xrightarrow{F(g)} \text{Hom}_{\mathbb{Z}}(\mathbb{Q}, \mathbb{Q})$$

cannot be an epi, as  $\text{Hom}_{\mathbb{Z}}(\mathbb{Q}, \mathbb{Z}^{(\mathbb{N})}) = 0$  (as  $\mathbb{Q}$  is divisible while  $\mathbb{Z}^{(\mathbb{N})}$  is reduced), but  $\text{Hom}_{\mathbb{Z}}(\mathbb{Q}, \mathbb{Q}) \neq 0$ .

*Example 0.15.* Here is an example to show that the tensor functor is not left-exact. Let  $R = \mathbb{Z}$ . We consider the functor  $F(-) = \mathbb{Z}/n\mathbb{Z} \otimes_{\mathbb{Z}} -$ ,  $n \geq 2$ . Consider the inclusion  $\mathbb{Z} \xhookrightarrow{\iota} \mathbb{Q}$ . This is a mono. However,  $\mathbb{Z}/n\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Q} = 0$ , so  $F(\iota) : \mathbb{Z}/n\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z} \rightarrow 0$ . However,  $\mathbb{Z}/n\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z} = \mathbb{Z}/n\mathbb{Z} \neq 0$ , so  $F(\iota)$  is not a mono.

## Short-term program

1. Find exact functors
2. Characterize the exact sequences  $\mathbb{A}$  in  $R\text{-comp}$  such that  $F(\mathbb{A})$  is exact in  $S\text{-comp}$  for any additive functor  $F : R\text{-mod} \rightarrow S\text{-mod}$ .

*Theorem 0.4. If  $F : R - \text{mod} \rightarrow S - \text{mod}$  is an exact functor (i.e.  $F$  takes short exact sequences to short exact sequences) then  $F(\mathbb{A})$  is exact in  $S - \text{mod}$  whenever*

$$\mathbb{A} : \quad \cdot \longrightarrow A_{n+1} \xrightarrow{f_{n+1}} A_n \xrightarrow{f} A_{n-1} \xrightarrow{f_{n-1}} \cdots$$

*is exact in  $R - \text{mod}$ .*

*Proof.* Suppose  $F : R - \text{mod} \rightarrow S - \text{mod}$  is exact, and  $\mathbb{A}$  as in the claim is an exact sequence in  $R - \text{mod}$ . We can factor each  $f_n$

$$A_n \xrightarrow{\tilde{f}_n} \text{Im}(A) \xhookrightarrow{\iota_n} A_{n-1}$$

$$x \longrightarrow f_n(x_n) \longrightarrow f_n(x_n)$$

Consider the short exact sequence

$$0 \longrightarrow \text{Im}(f_{n+1}) \xrightarrow{\iota_{n+1}} A_n \xrightarrow{\tilde{f}_n} \text{Im}(f_n) \longrightarrow 0$$

Since  $F$  is exact, we obtain an exact sequence

$$0 \longrightarrow F(\text{Im}(f_{n+1})) \xrightarrow{F(\iota_{n+1})} F(A_n) \xrightarrow{F(\tilde{f}_n)} F(\text{Im}(f_n)) \longrightarrow 0$$

In particular  $\text{Im}(F(\iota_{n+1})) = \ker(F(\tilde{f}_n))$  for  $n \in \mathbb{N}$ .

*Claim.*

$$(a) \quad \ker(F(f_n)) = \ker(F(\tilde{f}_n))$$

$$(b) \quad \ker(F(\tilde{f}_n)) = \text{Im}(F(\iota_{n+1})) = \text{Im}(F(f_{n+1}))$$

*Proof.* (a)  $f_n = \iota_n \circ \tilde{f}_n$ , so  $F(f_n) = F(\iota_n) \circ F(\tilde{f}_n)$  by functoriality. Because  $F$  is exact,  $F(\iota_n)$  is a mono, so  $\ker(F(f_n)) = \ker(F(\tilde{f}_n))$ .

(b)  $F(f_{n+1}) = F(\iota_{n+1}) \circ F(\tilde{f}_{n+1})$ . Because  $F$  is exact,  $F(\tilde{f}_{n+1})$  is an epi. So  $\text{im}(F(f_{n+1})) = \text{Im}(F(\iota_{n+1}))$ , so we have shown  $F(\mathbb{A})$  is exact. ■

First installment of finding exact functors.

*Proposition 1. Let  $I$  be a set (index set). Consider the functors:*

$$\begin{aligned}(R - \text{Mod})^I &\rightarrow R - \text{Mod} \\ (m_i)_{i \in I} &\mapsto \prod_{i \in I} m_i \\ (f_i)_{i \in I} &\mapsto \prod_{i \in I} f_i, (\vec{m})_j = f_j(m_j)\end{aligned}$$

$\otimes_{i \in I}$  works the same as before.

Both of them are exact .

*Proof.* Obvious ■

## First installment re (2)

*Remark.* Warning: Birge uses nonstandard notation. She says “ $X$  is a direct summand of  $Y$ ” to mean  $X \subseteq^\oplus Y$

*Definition 0.16.* Let  $A, B \in R - \text{mod}$ ,  $f \in \text{Hom}_R(A, B)$ .  $f$  is called split if  $\ker f$  is a direct summand of  $A$  and  $\text{Im}(f)$  is a direct summand of  $B$ , i.e. there exist  $A', B' \in R - \text{Mod}$  with  $A = \ker(f)^\oplus A'$  and  $B = \text{Im}(f)^\oplus B'$ .

## Lecture 11, 2/6/23

Convention: Let  $M, N \in R - \text{Mod}$ . We say that  $N$  is a direct summand of  $M$ , written  $N \subseteq^\oplus M$ , if there exists  $U \in R - \text{Mod}$  such that  $M = N^\oplus U$ .

*Definition 0.17.* Let  $\mathbb{A} \in R - \text{comp}$ . Then  $\mathbb{A}$  is split if  $f_n$  is split for all  $n$ .

*Proposition 2.* Let  $A, B, C$  be left  $R$ -modules,  $f \in \text{Hom}_R(A, B)$  and  $h \in \text{Hom}_R(B, C)$ . Then the following conditions are equivalent:

1. The sequence  $0 \longrightarrow A \xrightarrow{f} B \xrightarrow{g} C \longrightarrow 0$  is split exact.
2. There exists  $f' \in \text{Hom}_R(B, A)$  and  $g' \in \text{Hom}_R(C, B)$  such that  $ff' + g'g = \text{Id}_B$ , and both triangles in the diagram below commute:

$$\begin{array}{ccccc} A & \xrightarrow{f} & B & \xrightarrow{g} & C \\ \parallel & \swarrow \text{dotted } f' & \nwarrow \text{dotted } g' & & \parallel \\ A & & & & C \end{array}$$

*Proof.* ■

**Theorem 0.5.** Let  $\mathbb{A} : \cdots \longrightarrow A_{n+1} \xrightarrow{f_{n+1}} A_n \xrightarrow{f_n} A_{n-1} \longrightarrow \cdots$  “ $\in$ ”  $R$ -comp.

Then the following are equivalent:

1. For all additive functors  $F : R\text{-Mod} \rightarrow S\text{-Mod}$  ( $S$  any ring), the sequence  $F(\mathbb{A})$  is split exact.
2.  $\mathbb{A}$  is split exact.

*Proof.* For  $1 \implies 2$ , apply  $F = \text{Id}_{R\text{-Mod}}$ .

For  $2 \implies 1$ , suppose 2. We will show 1 only in the case  $\mathbb{A} = 0 \longrightarrow A \xrightarrow{f} B \xrightarrow{g} C$  is split exact. Move to general  $\mathbb{A}$  as in the proof of previous theorem.

By the proposition, there exist maps  $f' \in \text{Hom}_R(B, A)$ ,  $g' \in \text{Hom}_R(C, B)$  with  $\text{Id}_B = f f' + g' g$ . Let  $F$  be an additive functor as in 1. Then

$$\begin{aligned} \text{Id}_{F(B)} &= F(\text{Id}_B) \\ &= F(f)F(f') + F(g')F(g) \end{aligned}$$

So by the proposition, the sequence

$$0 \longrightarrow F(A) \xrightarrow{F(f)} F(B) \xrightarrow{F(g)} F(C) \longrightarrow 0$$

is split exact. ■

**Example 0.16.** There exist exact sequences

$$0 \longrightarrow A \xrightarrow{f} B \xrightarrow{g} C \longrightarrow 0$$

such that  $B \cong A \oplus C$ , but the sequence fails to be split.

Take  $R = \mathbb{Z}$ , let  $n \geq 2$ ,  $A = n\mathbb{Z}$ ,  $B = \mathbb{Z} \oplus (\mathbb{Z}/n\mathbb{Z})^{(\mathbb{N})}$ , and  $C = (\mathbb{Z}/n\mathbb{Z})^{(\mathbb{N})}$ . Then  $A \oplus C \cong B$ .

Find  $f \in \text{Hom}_{\mathbb{Z}}(A, B)$ ,  $g \in \text{Hom}_{\mathbb{Z}}(B, C)$ , such that

$$0 \longrightarrow A \xrightarrow{f} B \xrightarrow{g} C \longrightarrow 0$$

is exact, but not split.

## Section 2: Projective Modules

Our aim is to characterize those  $M \in {}^R\text{-Mod}$  for which  $\text{Hom}_R(M, -) : {}^R\text{-Mod} \rightarrow \mathbb{Z}\text{-Mod}$  is exact.

*Definition 0.18.*

1.  ${}_R F$  is free if  ${}_R F \cong_R R^{(I)}$ .


It is known that  ${}_R F$  is free iff  $F$  has an  $R$ -basis, that is, a linearly independent generating set.

2.  ${}_R P \in {}^R\text{-Mod}$  is called projective if  $P$  is isomorphic to a direct summand of a free module.

*Example 0.17.*

1. Vector spaces
2. Let  $k$  be a field and  $R = k \oplus k$  (ring product). Then  $R$  admits projective modules that fail to be free. Let  $e_1 = (1, 0), e_2 = (0, 1)$ . Then  $Re_1 = k \times \{0\}, Re_2 = \{0\} \times k$ . We have  $R = Re_1 \oplus Re_2$ , so the  $Re_i$  are projective. However, they are not free, because  $\dim_k(R) = 2, \dim_k(Re_i) = 1$

*Remark.*

1. Let  $(R_i)_{i \in I}$  be a family of left  $R$ -modules. Then  $\bigoplus_{i \in I} P_i$  is projective if and only if  $P_i$  is projective for all  $i \in I$ . 
2.  $\prod_{i \in I} P_i$  need not be projective for infinite  $I$ .
3. Let  $R$  be a PID. The projective  $R$ -modules are precisely the free ones. Such  $R$  include  $R = \mathbb{Z}, R = k[x], k$  a field.
4.  $\mathbb{Z}^{\mathbb{N}}$  is NOT free (proof to come), and hence not projective.
5. (Serre's Conjecture) If  $R = k[x_1, \dots, x_n], k$  a field, is every projective  $R$ -module free? It turns out yes, and there are independent proofs by Suslin and Quillen (Quillen got the fields medal).

## Lecture 12, 2/8/23

True or false: If  $P \in R\text{-Mod}$  is finitely generated projective, then there exists  $n \in \mathbb{N}$  such that  $P$  is isomorphic to a direct summand  $R^n$ ?

This is true. It is isomorphic to a direct summand of a free module  $R^{(I)}$ . Pick finite  $I' \subseteq I$  with  $x_k \in \bigoplus_{i \in I'} R_i$ . Then  $P \subseteq \bigoplus_{i \in I'} R \cong R^n$ , with  $n = |I'|$  and hence  $P$  is isomorphic to a direct summand of  $R^n$ .

Let  $R = K[x, y]$ . Then  $P = (x)$  is not projective, despite being a submodule of  $R$ .

In general: If  ${}_R V \subseteq_R U \subseteq_R M$ , and  $V \subseteq^\oplus M$ , then  $V \subseteq^\oplus U$ . Look up the “modular law.”

*Theorem 0.6. For  $M \in R\text{-Mod}$ , the following are equivalent.*

1.  $M$  is projective
2.  $\text{Hom}_R(M, -) : R\text{-mod} \rightarrow \mathbb{Z}\text{-mod}$  is exact.
3. Whenever  $f \in \text{Hom}_R(B, C)$  is an epi and  $g \in \text{Hom}_R(M, C)$ , then there exists a  $\phi \in \text{Hom}_R(M, B)$  with  $f \circ \phi = g$ . That is, there is a  $\phi$  making the following diagram commutes.

$$\begin{array}{ccc} B & \xrightarrow{f} & C \longrightarrow 0 \\ & \searrow \phi & \uparrow g \\ & & M \end{array}$$

4. Every epi onto  $M$  splits. That is, every surjection onto  $M$  admits a section.

For the following proof, we will abbreviate  $\text{Hom}_R(M, -)$  by  $[M, -]$ .

*Proof.*

### 0.1 (1) $\implies$ (3)

We have  $M \subseteq^\oplus F$ ,  $F$  free on basis  $(x_i)_{i \in I}$ ,  $F = M \oplus N$ .

Let  $f$  and  $g$  be as in 3. That is, we have

$$\begin{array}{ccc} B & \xrightarrow{f} & C \\ & & \uparrow g \\ & & M \\ & & \uparrow \pi \\ & & F \end{array}$$



where  $\pi : F \rightarrow M$  is the projection along  $N$ , and  $\iota : M \hookrightarrow F$  the embedding. There is a  $\psi : F \rightarrow B$  which makes this commute:

$$\begin{array}{ccc}
 B & \xrightarrow{f} & C \\
 \nwarrow \psi & & \uparrow g \\
 & M & \\
 & \uparrow \pi & \\
 & F & 
 \end{array}$$

defined by  $\psi(x_i) = b_i$  if  $f(b_i) = g\pi(x_i)$ . This is well-defined because  $F$  is free on the  $x_i$ , and the  $b_i$  exist because  $f$  is an epi. Then, we have  $f \circ \psi = g \circ \pi$ , so  $f \circ \psi \circ \iota = g \circ \pi \circ \iota$ . But  $\pi \circ \iota = \text{Id}_M$ , so  $f \circ (\psi \circ \iota) = g$ . But that means  $\phi = \psi \circ \iota : M \rightarrow B$  makes the diagram commute:

$$\begin{array}{ccc}
 B & \xrightarrow{f} & C \\
 \nwarrow \phi & & \uparrow g \\
 & M & \\
 \nwarrow \psi & & \uparrow \pi \\
 & F & 
 \end{array}$$

(3)  $\implies$  (2)

Assume 3. Since  $[M, -]$  is a left-exact functor, it suffices to show that  $[M, -]$  takes epis to epis.

Let  $B \xrightarrow{f} C \longrightarrow 0$  be exact. To show  $[M, f] : [M, B] \rightarrow [M, C]$  is an epi, let  $g \in [M, C]$ . By assumption, there exists  $\phi \in [M, B]$  with  $f^*(\phi) = g$ . But this means  $\phi^*(f) = g$ .

(2)  $\implies$  (4)

Assume 2. Let  $f : N \twoheadrightarrow M$  be an epi. Then by assumption,  $[M, f] : [M, N] \twoheadrightarrow [M, M]$  is an epi. So there exists  $\phi \in [M, N]$  with  $f \circ \phi = \text{Id}$ . So the following diagram

commutes:

$$\begin{array}{ccc} M & \xrightarrow{\phi} & N \\ \parallel & \searrow f & \\ M & & \end{array}$$

Hence  $N = \text{Im}(\phi) \oplus \ker(f)$ , so  $\ker(f) \subseteq^\oplus N$ , and  $f$  splits.

(4)  $\implies$  (1)

Assume 4. If  $(m_i)_{i \in I}$  is a generating set for  $M$ , then  $F = R^{(I)} \twoheadrightarrow M$ , given by  $(r_i) \mapsto \sum_{i \in I} r_i m_i$ , (which will be a finite sum because all but finitely many  $r_i$  are zero) is an epi.

By assumption,  $f$  splits, meaning  $F = \ker(f) \oplus N$ . Then  $N \cong F / \ker(f) \cong M$ . So  $M$  is isomorphic to a direct summand of  $F$ .

This completes the proof. ■

## Further examples of projective modules and structure results

*Example 0.18.*

1. Let  $R$  be a ring. All  $M \in R\text{-Mod}$  are projective if and only if every submodule  $U$  of any left  $R$ -module  $N$  is a direct summand, i.e.  $N = U \oplus V$ .

( $\implies$ ) Let  ${}_R U \subseteq_R N$ . Take  $M = N/U$  and epi  $\pi : N \rightarrow N/U$ . Since  $N/U$  is projective,  $\pi$  splits, meaning  $U \subseteq^\oplus A$ .

( $\impliedby$ ) Easy

Equivalently, if  $R$  is semi-simple when viewed as a right module over itself,  $R_R$ .

## Lecture 13, 2/10/23

*Proposition 3. (Baer)  $\mathbb{Z}^{\mathbb{N}}$  is not free.*

*Proof.* (not by Baer)

Assume  $\mathbb{Z}^{\mathbb{N}}$  is free, i.e. there exists an isomorphism  $\mathbb{Z}^{\mathbb{N}} \cong \mathbb{Z}^{(I)}$  for some  $I$ . Then  $|I| > \aleph_0$ . Pick a countable subset  $I' \subset I$  such that  $f(\mathbb{Z}^{(\mathbb{N})}) \subseteq \mathbb{Z}^{(I')}$  and consider the map  $\bar{f}$  induced by  $f$ ,

$$\begin{aligned} \bar{f} : \mathbb{Z}^{\mathbb{N}} / \mathbb{Z}^{(\mathbb{N})} &\rightarrow \mathbb{Z}^{(I)} / \mathbb{Z}^{(I')} \cong \mathbb{Z}^{(I \setminus I')} \\ x + \mathbb{Z}^{(\mathbb{N})} &\mapsto f(x) + \mathbb{Z}^{(I')} \end{aligned}$$

In particular,  $\mathbb{Z}^{(I \setminus I')}$  is nonzero and free, because  $I$  is uncountable and  $I'$  is countable.

Now, if  $F$  is a free abelian group,  $F$  contains no nonzero elements which are divisible by  $3^n$  for all  $n \in \mathbb{N}$ .

Let  $S = \{(z_n)_{n \in \mathbb{N}} \in \mathbb{Z}^{\mathbb{N}} \mid 3^n \mid z_n \text{ for } n \in \mathbb{N}\}$ . Note  $S$  is uncountable.

Since  $\mathbb{Z}^{(I')}$  is countable, there exists  $s \in S$  with  $f(s) \in \mathbb{Z}^{(I')}$  and thus  $f(s) + \mathbb{Z}^{(I')} \neq 0$  in  $\mathbb{Z}^{(I \setminus I')}$ .

Hence  $\overline{f}(s + \mathbb{Z}^{(\mathbb{N})}) \neq 0 \in \underbrace{\mathbb{Z}^{(I \setminus I')}}_{\text{free}}$ .

Thus  $\mathbb{Z}^{(I \setminus I')}$  contains nonzero elements divisible by  $3^n$  for all  $n$ , a contradiction.

Recall that projective means isomorphic to a summand of a free module  $R^{(I)}$  for some  $I$ .



In general, projectives in  $R\text{-Mod}$  are not direct sums of finitely generated modules.

*Theorem 0.7. (Kaplansky)*

Let  $P \in R\text{-Mod}$  be projective. Then  $P$  is the sum of countably generated modules.

*Proof.* Not enough time. ■

*Corollary 0.8. If  $R$  is local, then all projective  $R$ -modules are free.*

*Proof.* ■

## Section 3: Projective resolutions and projective dimension

Idea:

Let  $M \in R\text{-mod}$ . Approximate  $f_0 : P_0 \twoheadrightarrow M \rightarrow 0$

Error:  $\ker(f_0)$ . Next approximate  $f_1 : P_1 \rightarrow \ker(f_0) \rightarrow 0$ , with  $P_1$  projective. This results in a sequence

$$\begin{array}{ccccccc} \cdots & \longrightarrow & P_2 & \xrightarrow{f_2} & P_1 & \xrightarrow{f_1} & P_0 \xrightarrow{f_0} \twoheadrightarrow M \longrightarrow 0 \\ & & & \searrow & \nearrow & \searrow & \nearrow \\ & & & \ker(f_1) & & \ker(f_0) & \end{array}$$

*Definition 0.19.* Let  $M \in R\text{-Mod}$ . A projective resolution of  $M$  is an exact sequence

$$\cdots \longrightarrow P_2 \xrightarrow{f_2} P_1 \xrightarrow{f_1} P_0 \xrightarrow{f_0} M \longrightarrow 0$$

where all  $P_i$  are exact.

Call such a projective resolution finite of length  $n$  if  $P_n \neq 0$ , but  $P_m = 0$  for  $m > n$ .

*Definition 0.20.* We define the projective dimension of a module  $M$  as follows. If there is no finite projective resolution, then it is  $\infty$ . Otherwise it is the smallest  $n$  such that there exists a projective resolution of length  $n$ . By convention, the projective dimension of the zero module is  $-\infty$ .

We denote this by  $\text{pdim } M$ .

The (left) global dimension of a ring  $R$  is defined as  $\text{gldim } R = \sup\{\text{pdim } M \mid M \in R\text{-Mod}\}$ . There is also of course the right global dimension, where instead we consider  $\text{Mod} - R$ .

*Example 0.19.*

- $\text{gldim } \mathbb{Z} = 1$ .
- $\text{lgldim } R = 0$  if and only if  $R$  is semisimple, which happens if and only if  $\text{rgldim } R = 0$ .
- 

$$\begin{pmatrix} K & \cdots & K \\ \vdots & \vdots & \\ 0 & \cdots & K \end{pmatrix} \not\cong \begin{pmatrix} 0 & K & \cdots & K \\ \vdots & \ddots & \ddots & \vdots \\ 0 & \cdots & \cdots & 0 \end{pmatrix}$$

not semisimple, so  $\text{lgldim } R \geq 1$ . In fact,  $=$  holds (argument later).

- This is Hilbert's Syzygy theorem. It says that if  $K$  is a field, then

$$\text{gldim } K[x_1, \dots, x_n] = n$$

- $R = \mathbb{Z}/p^n\mathbb{Z}$ ,  $p$  prime,  $n \geq 2$ .

$$\begin{array}{ccccccc} \mathbb{Z}/p^n\mathbb{Z} & \xrightarrow{\quad} & \underbrace{\mathbb{Z}/p^n\mathbb{Z}}_{P_0=RR} & \xrightarrow{f_0} & \underbrace{\mathbb{Z}/p^{n-1}\mathbb{Z}}_{RM} & \longrightarrow & 0 \\ & \searrow & \nearrow & & & & \\ & & p\mathbb{Z}/p^n\mathbb{Z} & & & & \end{array}$$

## Lecture 14, 2/13/23

## Lecture 15, 2/17/23

### Section 4, part A: Injective modules

*Definition 0.21.* A module is called injective if, for every such diagram of  $R$ -modules

$$\begin{array}{ccccc} 0 & \longrightarrow & M' & \xrightarrow{f} & M \\ & & \downarrow \phi & & \\ & & Q & & \end{array}$$

with  $f$  injective, then there is a  $\psi : M \rightarrow Q$  making the following diagram commute:

$$\begin{array}{ccccc} 0 & \longrightarrow & M' & \xrightarrow{f} & M \\ & & \downarrow \phi & \nearrow \exists \psi & \\ & & Q & & \end{array}$$

*Proposition 4. (Baer's Criterion)*

A module  $M \in R\text{-Mod}$  is injective if and only if whenever  $I \subseteq R$  is an ideal and  $y \in \text{Hom}_R(I, M)$ , there exists  $\Phi \in \text{Hom}_R(R, M)$  such that  $\Phi|_I = y$ .

*Proof.* online, also proven in 220B. ■

### Section 4, part B: Injective modules over a PID

*Theorem 0.9.* Let  $R$  be a PID and  $M \in R\text{-Mod}$ . Then the following are equivalent:

(i)  $M$  is injective.

(ii)  $M$  is divisible, meaning that  $aM = M$  for all nonzero  $a \in R$ .

*Proof.* First, assume (1). And let  $a$  be a nonzero element of  $R$ . Fix  $x \in M$  and consider

$$\begin{array}{ccc} I = Ra & \hookrightarrow & R \\ g \downarrow & \nearrow \exists \psi & \\ M & & \end{array}$$

So  $g(a) = g(a \cdot 1) = \psi(a \cdot 1) = a \cdot \psi(1) \in aM$ .

Assume (2). We'll apply Baer. So let  ${}_RI \hookrightarrow {}_R R$  and  $\varphi \in \text{Hom}_R(I, M)$ .

We know  $I = Ra$ , and without loss of generality  $a \neq 0$ . So by hypothesis there exists an  $x \in M$  with  $\varphi(a) = a \cdot x$ . Define  $\phi \in \text{Hom}_R(R, M)$ ,  $\gamma \mapsto \gamma x$ , check that  $\phi|_I = \varphi$ , and that does it. ■

So now we know the injective Abelian groups are precisely the divisible Abelian groups.

*Example 0.20.*

$\mathbb{Q}, \mathbb{Z}(p^\infty)$ , Prüfer groups for  $p$  prime.

Above,  $\mathbb{Z}(p^\infty)$  is defined as follows. Start with  $\oplus \mathbb{Z}[x_i]/U(p)$ , where  $\mathbb{Z}[x_i]$  is a free group, and  $U(p)$  is the subgroup of  $\sum_{i \in \mathbb{N}} \mathbb{Z}[x_i]$  generated by  $px_1 + \cdots + px_{i-1} - x_i$  for all  $i \in \mathbb{N}$ .

We find  $\mathbb{Z}[\overline{x_i}] \cong \mathbb{Z}/p^i \mathbb{Z}$ .

*Remark.* If  $T$  is a torsion Abelian group, then  $T$  is the direct sum  $\oplus_{p \text{ prime}} T_p$ , where  $T_p = \{x \in T \mid p^n x = 0 \text{ for some } n\}$ .

*Theorem 0.10.*  $A \in {}^{\mathbb{Z}}\text{-Mod}$  is divisible iff  $A \cong \mathbb{Q}^{(I)} \oplus \bigoplus_{p \text{ prime}} (\mathbb{Z}/(p^\infty))$

*Proof.* exercise ■

## Part C: Injective resolutions

*Theorem 0.11. (Eckmann)*

*Every left  $R$ -module is a submodule of an injective module.*

*Lemma 1.* If  $M \in {}^{\mathbb{Z}}\text{-Mod}$ , then there exists a divisible  $D \in {}^{\mathbb{Z}}\text{-Mod}$  such that  $M$  is isomorphic to a submodule of  $D$ .

*Proof.* We know  $M \cong \mathbb{Z}^{(I)}/K$  for some subgroup  $K \subseteq \mathbb{Z}^{(I)}$ . But everything here is divisible. ■

## Lecture 16, 2/22/23

Consider the bimodule  ${}_Z R_R$  and note  $R \otimes_R -$  is exact, as  $R \otimes_R -$  is isomorphic to the identity.

*Lemma 2.* If  $D \in {}^{\mathbb{Z}}\text{-mod}$  is a divisible Abelian group, then the left  $R$ -module  ${}_R \text{Hom}_Z(R_R, D)$  is injective.

*Proof.* Set  $E = \text{Hom}_{\mathbb{Z}}(R, D) \in {}^R\mathbb{R}\text{-Mod}$  for a divisible  $D$ . To show injectivity of  ${}_RE$ , let

$$0 \longrightarrow {}_RU \xrightarrow{f} {}_RV \xrightarrow{g} {}_RW \longrightarrow 0$$

be an exact sequence in  $R\text{-Mod}$ .

Then

$$0 \longrightarrow R \otimes_R U \longrightarrow R \otimes_R V \longrightarrow R \otimes_R W \longrightarrow 0$$

is exact. Since  ${}_Z D$  is injective, the sequence

$$0 \longrightarrow \text{Hom}_{\mathbb{Z}}(R \otimes_R W, D) \xrightarrow{g^*} \text{Hom}_{\mathbb{Z}}(R \otimes_R V, D) \xrightarrow{f^*} \text{Hom}_{\mathbb{Z}}(R \otimes_R U, D) \longrightarrow 0$$

is exact, where  $f^*, g^*$  are the maps induced from  $f$  and  $g$ . But by the tensor-hom adjunction, we know  $\text{Hom}_{\mathbb{Z}}(R \otimes_R -, D) \cong \text{Hom}_R(-, E)$  naturally. So the sequence

$$0 \longrightarrow \text{Hom}_R(W, E) \longrightarrow \text{Hom}_R(V, E) \longrightarrow \text{Hom}_R(U, E) \longrightarrow 0$$

is exact. Thus  $E$  is injective. ■

Recall that we are trying to prove that every left  $R$ -module is a submodule of an injective module.

*Proof.* We have  ${}_RM \cong \text{Hom}_R(R_R, M) \subseteq \text{Hom}_{\mathbb{Z}}(R, M)$ . So  $M_{\mathbb{Z}} \subseteq {}_Z D$  in  $\mathbb{Z}\text{-Mod}$ , where  $D$  is divisible and  $\text{Hom}_{\mathbb{Z}}(R, -)$  is left-exact. But  $\text{Hom}_{\mathbb{Z}}(R, M)$  is isomorphic to a submodule of  ${}_R\text{Hom}_{\mathbb{Z}}(R_R, D)$ . ■

Watch out! All iso's/embeddings above respect the left  $R$ -module structure.

But  ${}_R\text{Hom}_{\mathbb{Z}}(R_R, D)$  is injective by previous lemma. ■

*Corollary 0.12.* Every  $M \in {}^R\text{-Mod}$  has an injective resolution.

*Proof.* Let  $M$  be an  $R$ -module. We know that there is an inclusion  $f_0 : M \rightarrow E_0$ , where  $E_0$  is injective. The cokernel will then embed into  $E_1$ , and the induced map from  $E_0$  to  $E_1$  is called  $f_1$ , and we continue, as in the diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & M & \xhookrightarrow{f_0} & E_0 & \xrightarrow{f_1} & E_1 & \xrightarrow{f_2} & E_2 & \longrightarrow & \cdots \\ & & & & \searrow & & \nearrow & & \searrow & & \nearrow \\ & & & & & & \text{coker}(f_0) & & \text{coker}(f_1) & & \end{array}$$

*Definition 0.22.* Let  $M \in {}^R\text{-Mod}$ . ■

1. An injective resolution of  $M$  is any exact sequence

$$0 \longrightarrow M \xrightarrow{f_0} E_0 \xrightarrow{f_1} E_1 \longrightarrow \cdots$$

such that  $E_i$  is injective for all  $i$ . If  $E_i = 0$  for  $i \gg 0$ , define the length in analogy with projective case.

2. The  $n$ th cosyzygy of  $M$  is  $\text{Im}(f_n) \cong \text{coker}(f_{n-1})$ , which is unique up to injective direct summands.
3. The injective resolution,  $\text{idim } M$ , is the minimal length of all finite injective resolutions, assuming any exist. Otherwise it is  $\infty$ .

*Remark.* We'll see that  $\sup\{\text{idim } M \mid M \in R\text{-Mod}\} = \text{lgldim } R$ .

*Theorem 0.13.* For  $M \in R\text{-Mod}$ , and  $n \in \mathbb{N} \cup \{0\}$ , the following are equivalent:

1. (a)  $\text{idim } M \leq n$   
 (b) There exists an injective resolution of  $M$  with an injective  $n$ -th cosyzygy  
 (c) In all injective resolutions of  $M$ , then  $n$ -th cosyzygy is injective.
2.  $\text{idim } M = \infty$  iff all (one) injective resolutions has no injective cosyzygies.

*Proof.* ■

If  $\mathbb{Z}_D$  is divisible, and  $R$  is any ring, then  ${}_R\text{Hom}_{\mathbb{Z}}(R, D)$  is injective. The only bimodule structure on  ${}_R\text{Hom}_{\mathbb{Z}}(R, D)$  we use is that  $R$  is flat as a left  $R$ -module.

## Lecture 17, 2/25/23

## Lecture 18, 2/27/23

Last time, we stated and proved the so-called snake lemma. Pay special attention to the map  $\partial$  and how it is defined, and indeed well-defined.

*Theorem 0.14. (Long exact homology sequence)*

Suppose  $\mathcal{A} : 0 \longrightarrow \mathbb{A} \xrightarrow{f} \mathbb{A}' \xrightarrow{f'} \mathbb{A}'' \longrightarrow 0$  (\*) is an exact sequence in  $R\text{-comp}$ . Then for each  $n \in \mathbb{Z}$ , there exists  $\partial_n \in \text{Hom}_R(H_n(\mathbb{A}''), H_n(\mathbb{A}))$ , such that the following long sequence is exact:

$$\cdots \longrightarrow H_n(\mathbb{A}) \xrightarrow{H_n(f)} H_n(\mathbb{A}') \xrightarrow{H_n(f')} H_n(\mathbb{A}'') \xrightarrow{\partial_n} H_{n-1}(\mathbb{A}) \xrightarrow{H_{n-1}(f')} H_{n-1}(\mathbb{A}') \longrightarrow \cdots$$

Moreover, this is natural in the sense that for any  $\mathcal{A}$  as above, the family  $(\partial_n)_{n \in \mathbb{Z}} = (\partial_n^{\mathcal{A}})$  satisfies the following condition:



Whenever

$$\begin{array}{ccccccccc} \mathcal{A} : 0 & \longrightarrow & \mathbb{A} & \xrightarrow{f} & \mathbb{A}' & \xrightarrow{f'} & \mathbb{A}'' & \longrightarrow & 0 \\ & & \downarrow h & & \downarrow h' & & \downarrow h'' & & \\ \mathcal{L} : 0 & \longrightarrow & B & \xrightarrow{g} & B' & \xrightarrow{g'} & B'' & \longrightarrow & 0 \end{array}$$

the following diagrams commute:

$$\begin{array}{ccc} H_n(\mathbb{A}'') & \xrightarrow{\partial_n^{\mathcal{A}}} & H_{n-1}(\mathbb{A}) \\ \downarrow H_n(h'') & & \downarrow H_{n-1}(h) \\ H_n(B'') & \xrightarrow{\partial_n^{\mathcal{L}}} & H_{n-1}(B) \end{array}$$

*Proof.* The exact sequence  $(\star)$  translates into an infinite commutative grid

$$\begin{array}{ccccccc} & \vdots & & \vdots & & \vdots & \\ & \downarrow & & \downarrow & & \downarrow & \\ 0 & \longrightarrow & A_{n+1} & \longrightarrow & A'_{n+1} & \longrightarrow & A''_{n+1} \longrightarrow 0 \\ & & \downarrow d_{n+1} & & \downarrow d'_{n+1} & & \downarrow d_{n+1} \\ 0 & \longrightarrow & A_n & \longrightarrow & A'_n & \longrightarrow & A''_n \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & A_{n-1} & \longrightarrow & A'_{n-1} & \longrightarrow & A''_{n-1} \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ & & \vdots & & \vdots & & \vdots \end{array}$$

We'll extract the commutative diagrams

$$\begin{array}{ccccccc} 0 & \longrightarrow & \frac{A_n}{\text{Im}(d_{n+1})} & \xrightarrow{\overline{f_n}} & \frac{A'_n}{\text{Im}(d'_{n+1})} & \longrightarrow & \frac{A''_n}{\text{Im}(d''_{n+1})} \longrightarrow 0 \\ & & \downarrow \overline{d_n} & & \downarrow \overline{d'_n} & & \downarrow \overline{d''_n} \\ 0 & \longrightarrow & \ker(d_{n-1}) & \longrightarrow & \ker(d'_{n-1}) & \longrightarrow & \ker(d''_{n-1}) \longrightarrow 0 \end{array}$$

Then we'll apply the snake lemma.

## Step 1

Define  $\overline{d}_n : \frac{A_n}{\text{Im}(d_{n+1})} \rightarrow \ker(d_{n-1})$  by  $\overline{a}_n \mapsto d_n(a_n)$ . This is well-defined because  $d_n d_{n+1} = 0 = d_{n-1} d_n$ .

Note  $\ker(\overline{d}_n) = \frac{\ker(d_n)}{\text{Im}(d_{n+1})} = H_n(\mathbb{A})$ , and  $\text{coker}(\overline{d}_n) = \frac{\ker(d_{n-1})}{\text{Im}(d_n)} = H_{n-1}(\mathbb{A})$ . So we obtain exact sequences of the following ilk:

$$0 \longrightarrow H_n(\mathbb{A}) \xrightarrow{\iota_n} \frac{A_n}{\text{Im}(d_{n+1})} \xrightarrow{\overline{d}_n} \ker(d_{n-1}) \xrightarrow{j_n} H_{n-1}(\mathbb{A}) \longrightarrow 0$$

## Step 2

The snake lemma now yields maps  $\partial_n \in \text{Hom}_R(H_n(\mathbb{A}'), H_{n-1}(\mathbb{A}))$  such that the following diagram (refer to diagram on Gauchospace) commutes, and the sequence

$$\cdots \longrightarrow H_n(\mathbb{A}') \longrightarrow H_n(\mathbb{A}'') \xrightarrow{\partial_n} H_{n-1}(\mathbb{A}) \longrightarrow H_{n-1}(\mathbb{A}') \longrightarrow \cdots$$

is exact. ■

## Section 2: Homotopy of complexes

*Definition 0.23.*

1. Let  $u : \mathbb{A} \rightarrow \mathbb{A}'$  be a morphism in  $R\text{-comp}$ , say  $u = (u_n)_{n \in \mathbb{Z}}$ . Call  $u$  null-homotopic if there exist  $s_n \in \text{Hom}_R(A_n, A_{n-1})$  such that  $u_n = d'_{n+1} \circ s_n + s_{n-1} \circ d_n$ . So

$$\begin{array}{ccccccc} \cdots & \longrightarrow & A_{n+1} & \xrightarrow{d_{n+1}} & A_n & \xrightarrow{d_n} & A_{n-1} \longrightarrow \cdots \\ & & \downarrow u_{n+1} & \swarrow s_n & \downarrow u_n & \swarrow s_{n-1} & \downarrow u_{n-1} \\ \cdots & \longrightarrow & A'_{n+1} & \xrightarrow{d'_{n+1}} & A'_n & \xrightarrow{d'_n} & A'_{n-1} \longrightarrow \cdots \end{array}$$

2. Two chain maps  $f, g$  are called homotopy equivalent if  $f - g$  is null-homotopic. We write  $f \simeq g$ .

**3.** Two chain complexes  $\mathbb{A}, \mathbb{B}$  are homotopy equivalent if there are chain maps

*Proposition 5.* Suppose  $u = (u_n) \in \text{Hom}_{R\text{-comp}}(\mathbb{A}, \mathbb{A}')$  is null-homotopic. Then  $H_n(u) = 0$  for all  $n \in \mathbb{Z}$ .

*Proof.* Let  $s_n \in \text{Hom}_R(A_n, A'_{n+1})$  be as in the definition, i.e.  $u_n = d'_{n+1} \circ s_n + s_{n-1} \circ d_n$ . Then

$$H_n(u) : \frac{\ker(d_n)}{\text{Im}(d_{n+1})} \rightarrow \frac{\ker(d'_n)}{\text{Im}(d'_{n+1})}$$

acts by  $H_n(u)(\overline{a_n}) = \overline{u_n(a_n)}$ .

Suppose  $a_n \in \ker(d_n)$ . Then

$$\begin{aligned} u_n(a_n) &= d'_{n+1}(s_n(a_n)) + s_{n-1}(\overbrace{d_n(a_n)}^{=0}) \\ &= d'_{n+1}(s_n(a_n)) \in \text{Im}(d'_{n+1}) \end{aligned}$$

## Lecture 19, 3/1/23

*Definition 0.24.*

**1.** Let  $M \in R\text{-Mod}$ , and

$$\cdots \longrightarrow P_n \xrightarrow{d_n} \cdots \longrightarrow P_1 \xrightarrow{d_1} P_0 \xrightarrow{d_0} M \longrightarrow 0$$

a projective resolution of  $M$ .

Then the deleted projective resolution is the complex obtained by deleting  $M$  and replacing it with 0, i.e.

$$\mathbb{P} : \cdots \longrightarrow P_n \xrightarrow{d_n} \cdots \longrightarrow P_1 \xrightarrow{d_1} P_0 \xrightarrow{d_0} 0$$

Note that this “just” a complex, not necessarily exact.

**2.** If

$$0 \longrightarrow M \longrightarrow E_0 \longrightarrow E_1 \longrightarrow \cdots$$

is an injective resolution of  $M$ , then the deleted injective resolution is defined the same way, i.e. as

$$0 \longrightarrow E_0 \longrightarrow E_1 \longrightarrow \cdots$$

*Remark.* A deleted projective resolution of  $M$  determines  $M$  up to isomorphism. As we'll see, conversely, any two deleted projective resolutions of a given  $M$  are homotopy equivalent.

Model problem: Look at the functor  $X \otimes_R -$ . We know this functor is right exact, and in general not left exact.

For

$$0 \longrightarrow M \xrightarrow{f} M' \xrightarrow{f'} M'' \longrightarrow 0$$

we want to get some information on the kernel of  $X \otimes_R f : X \otimes_R M \rightarrow X \otimes_R M'$ .

Step 1: One can construct projective resolutions of  $M, M', M''$ , and one obtains a short exact sequence

$$0 \longrightarrow \mathbb{P} \xrightarrow{\bar{f}} \mathbb{P}' \xrightarrow{\bar{f}'} \mathbb{P}'' \longrightarrow 0$$

where  $\mathbb{P}, \mathbb{P}', \mathbb{P}''$  are deleted resolutions of  $M, M', M''$ .

In particular, if  $\bar{f} = (f_n)_{n \in \mathbb{Z}}$ , and  $\bar{f}' = (f'_n)_{n \in \mathbb{Z}}$ , we obtain split exact sequences

$$0 \longrightarrow P_n \xrightarrow{f_n} P'_n \xrightarrow{f'_n} P'' \longrightarrow 0$$

Due to projectivity of  $P''_n$ , this sequence is split, and hence

$$0 \longrightarrow F(P_n) \xrightarrow{F(f_n)} F(P'_n) \xrightarrow{F(\bar{f}'_n)} F(P''_n) \longrightarrow 0$$

is exact.

In other words,

$$\otimes : 0 \longrightarrow F(\mathbb{P}) \longrightarrow F(\mathbb{P}') \longrightarrow F(\mathbb{P}'') \longrightarrow 0$$

is exact.

Step 2:

The sequence  $\otimes$  give rise to a long exact homology sequence

$$\begin{array}{ccccccc} H_n(F(\mathbb{P})) & \longrightarrow & H_{n-1}(F(\mathbb{P})) & \longrightarrow & H_{n-1}(F(\mathbb{P}')) & \longrightarrow & H_{n-1}(F(\mathbb{P}'')) & \longrightarrow & \cdots \\ & & & & & & & & \downarrow \\ & & & & & & & & H_0(F(\mathbb{P}'')) \end{array}$$

Step 3:

Since  $F$  is right-exact,  $H_0(F(\mathbb{P})) \cong F(M)$ , and similarly  $H_0(F(\mathbb{P}')) \cong F(M')$ , and  $H_0(F(\mathbb{P}'')) \cong F(M'')$ .

We obtain an exact sequence

$$\begin{array}{ccccccc}
 \cdots & \longrightarrow & H_1(F(\mathbb{P})) & \longrightarrow & H_1(F(\mathbb{P}')) & \longrightarrow & H_1(F(\mathbb{P}'')) \\
 & & & & & & \downarrow \partial_1 \\
 & & & & & & F(M) \\
 & & & & & & \downarrow F(f) \\
 & & & & & & F(M') \\
 & & & & & & \downarrow F(f') \\
 & & & & & & F(M'') \\
 & & & & & & \downarrow \\
 & & & & & & 0
 \end{array}$$

*Lemma 3. (Comparison Lemma)*

Let  $M, M' \in R\text{-Mod}$ , and  $f \in \text{Hom}_R(M, M')$ . Moreover, let  $\mathbb{P}, \mathbb{P}'$  be deleted projective resolutions of  $M, M'$  respectively. Then there exists a map  $\bar{f} = (f_n)_{n \geq 0} \in \text{Hom}_{R\text{-comp}}(\mathbb{P}, \mathbb{P}')$ , such that the following diagram commutes:

$$\begin{array}{ccccccc}
 \cdots & \longrightarrow & P_1 & \xrightarrow{d_1} & P_0 & \xrightarrow{d_0} & M \longrightarrow 0 \\
 & & \downarrow f_1 & & \downarrow f_0 & & \downarrow f \\
 \cdots & \longrightarrow & P'_1 & \xrightarrow{d'_1} & P'_0 & \xrightarrow{d'_0} & M' \longrightarrow 0
 \end{array}$$

Moreover, given another map  $\bar{g} \in \text{Hom}_{R\text{-comp}}(\mathbb{P}, \mathbb{P}')$ , such that the above diagram, with  $f_i$  replaced by  $g_i$  (the final  $f : M \rightarrow M'$  stays), still commutes, then  $\bar{f} \simeq \bar{g}$ .

*Remark.* Here  $\bar{f}$  is called “the” chain map lying over  $f$ .

*Proof. Existence of  $\bar{f}$*

We find  $\bar{f}_n$  by induction on  $n \geq 0$ .

- For  $n = 0$ , consider the diagram

$$\begin{array}{ccc}
 & P_0 & \\
 & \downarrow d_0 & \\
 & M & \\
 & \downarrow f & \\
 P'_0 & \xrightarrow{d'_0} & M'
 \end{array}
 \quad \begin{array}{c} \nearrow \exists f_0 \\ \nwarrow \end{array}$$

$f_0$  exists because  $P_0$  is projective and  $d'_0$  is an epi.

- Let  $n \geq 1$ , and suppose  $f_0, \dots, f_{n-1}$  are given as required. Consider the diagram

$$\begin{array}{ccc}
 & P_n & \\
 & \downarrow d_n & \\
 & P_{n-1} & \\
 & \downarrow f_{n-1} & \\
 P'_{n-1} & \xrightarrow{d'_n} & P'_{n-1}
 \end{array}$$

The problem is  $d'_n$  is not necessarily an epi. So we replace  $P'_{n-1}$  by  $\text{Im}(d'_n)$ . We wish to show  $\text{Im}(f_{n-1}d_n) \subseteq \text{Im}(d'_n)$ . But  $\text{Im}(d'_n) = \ker(d'_{n+1})$ . Compute  $d'_{n-1}f_nd_n = d'_{n-1}d_nf_n$ . We will continue next time.

## Lecture 20, 3/3/23

We complete the proof of the comparison lemma. We will prove the uniqueness of  $\bar{f}$ . Suppose there is a  $\bar{g} \in \text{Hom}_R(\mathbb{P}, \mathbb{P}')$  which lies over  $f$ , so that  $f_i - g_i = d'_{i+1}s_i - s_{i-1}d_i$  for  $i \geq -1$ .

$n = 0$

Consider the diagram

$$\begin{array}{ccccc}
 P_1 & \xrightarrow{d_1} & P_0 & \longrightarrow & 0 \\
 & \searrow \exists s_0 & \downarrow f_0 - g_0 & \nearrow s_{-1} \stackrel{\text{def}}{=} 0 & \\
 P'_1 & \xrightarrow{d'_1} & P'_0 & \longrightarrow & 0
 \end{array}$$

Since  $P_0$  is a projective modules and  $d'_1$  is surjective,  $s_{-1}$  exists so that the left-hand triangle commutes. This means  $f_0 - g_0 = s'_1 s_0$  as required.

$n \geq 1$

Suppose that, for  $1 \leq i \leq n-1$ , we have  $s_i \in \text{Hom}_R(P_i, P'_i)$  such that  $f_i - g_i = d'_{i+1} s_i - s_{i-1} d_i$ .

In particular,  $f_{n-1} - g_{n-1} = d'_n s_{n-1} + s_{n-2} d_{n+1}$ . We check that  $\text{Im}(f_n - g_n - s_{n-1} d_n) \subseteq \ker(d'_n)$ . Since  $\ker(d'_n) = \text{Im}(d'_{n-1})$ , we obtain a commutative diagram:

$$\begin{array}{ccc} & & P_n \\ & \swarrow s_n & \downarrow f_n - g_n - s_{n-1} d_n \\ P'_{n+1} & \xrightarrow{d'_{n+1}} & \text{Im}(d'_{n+1}) \end{array}$$

where  $s_n$  exists, because  $P_n$  is projective over the horizontal maps as a projection (?). This completes the induction ■

*Corollary 0.15. Suppose  $M \in R\text{-Mod}$ . Then any two deleted projective resolutions of  $M$  are homotopy equivalent.*

*Proof.* Let

$$\cdots \longrightarrow P_2 \longrightarrow P_1 \longrightarrow P_0 \longrightarrow M \longrightarrow 0$$

and

$$\cdots \longrightarrow P'_2 \longrightarrow P'_1 \longrightarrow P'_0 \longrightarrow M \longrightarrow 0$$

are projective resolutions, and  $\mathbb{P}, \mathbb{P}'$  the corresponding deleted resolutions. By lemma, we obtain  $\bar{f} \in \text{Hom}_{R\text{-comp}}(\mathbb{P}, \mathbb{P}')$  and  $\bar{g} \in \text{Hom}_{R\text{-comp}}(\mathbb{P}, \mathbb{P}')$

..... proof continues in document on gauchospace. ■

*Lemma 4. (Horseshoe lemma)*

*On Gauchospace.*

*Proof.* ditto ■

## Lecture 20, 3/6/23

### Chapter 3, Section 4: Left Derived Functors

Have: Let  $T$  be a covariant, additive functor from  $R\text{-Mod}$  to  $S\text{-Mod}$ .

Goal: Construct functors  $L_n T$ , for  $n \geq 0$ , such that for any exact sequence

$$0 \longrightarrow M \longrightarrow M' \longrightarrow M'' \longrightarrow 0$$

in  $R\text{-Mod}$ , we obtain a long exact sequence

$$\begin{array}{ccccccc} \cdots & \longrightarrow & L_1 T(M) & \longrightarrow & L_1 T(M') & \longrightarrow & L_1 T(M'') \xrightarrow{\partial_1} L_0 T(M) \\ & & & & & & \downarrow \\ & & & & & & L_0 T(M') \\ & & & & & & \downarrow \\ & & & & & & L_0 T(M'') \\ & & & & & & \downarrow \\ & & & & & & 0 \end{array}$$

Moreover, we want: If  $T$  is right exact, then  $L_0 T \cong T$ .

Construction:

Pick and fix a projective resolution  $\cdots \longrightarrow P_1 \xrightarrow{d_1} P_0 \xrightarrow{d_0} M \longrightarrow 0$  of  $M$ .

Let  $\mathbb{P}_M$  denote the deleted complex

$$\cdots \longrightarrow P_1 \xrightarrow{d_1} P_0 \xrightarrow{d_0} 0$$

Then define  $L_n T(M) \stackrel{\text{def}}{=} H_n(T(\mathbb{P}_M))$ . We still need to know what  $L_n T$  does to maps  $f \in \text{Hom}_R(M, M')$ . By the comparison lemma, we choose (and fix) a map  $\bar{f} \in \text{Hom}_{R\text{-comp}}(\mathbb{P}_M, \mathbb{P}_{M'})$  lying over  $f$ .

Define  $L_n T(f) \stackrel{\text{def}}{=} H_n(T(\bar{f}))$ . So we apply  $T$  to the chain map  $\bar{f}$  and then see what morphism this induces on homology.

First, we will show that  $L_n T$  is indeed well-defined. We check that, if we use a different choice of deleted projective resolutions for the  $M$  from  $R\text{-Mod}$ , the resulting functors  $L_n T$  and  $\hat{L}_n T$  are naturally equivalence.

*Theorem 0.16.*  $L_n T$ , as defined above, is a well-defined covariant functor  $R\text{-Mod} \rightarrow S\text{-Mod}$ .

Moreover, if  $\hat{\mathbb{P}}_M$  is a different deleted resolution of  $M$ , then the functor  $\hat{L}_n T$  defined on the basis of the  $\hat{\mathbb{P}}_M$ , satisfies  $\hat{L}_n T \cong L_n T$ .

*Proof.* We start by showing that for morphisms  $f \in \text{Hom}_R(M, M')$ ,  $g \in \text{Hom}_R(M', M'')$ , we have  $L_n T(gf) = L_n T(g) \circ L_n T(f)$ . Let  $\bar{g} \in \text{Hom}_{R\text{-comp}}(\mathbb{P}_{M'}, \mathbb{P}_{M''})$  and  $\bar{f} \in \text{Hom}_{R\text{-comp}}(\mathbb{P}_M, \mathbb{P}_{M'})$  lie over  $g$  and  $f$ , respectively, then both  $\bar{g} \circ \bar{f}$  and  $\overline{g \circ f}$  both lie over  $gf$ .



By the comparison lemma,  $\bar{g} \circ \bar{f} \cong \overline{g \circ f}$ . Then, because  $T$  is additive,  $T(\bar{g} \circ \bar{f}) \cong T(\overline{g \circ f})$ . By a previous lemma, (null-homotopic implies the induced map on homology is trivial), this implies

$$\begin{aligned} L_n T(g) \circ L_n T(f) &= H_n T(\bar{g}) \circ H_n T(\bar{f}) \\ &= H_n T(\bar{g} \circ \bar{f}) \\ &= H_n T(\overline{g \circ f}) \\ &= L_n T(g \circ f) \end{aligned}$$

Similarly,  $L_n T(\text{Id}_M) = \text{Id}_{L_n T(M)}$ .

We have shown  $L_n T$ 's action on morphisms is well-defined. We now show that changing our choice of projective resolution  $\mathbb{P}_M$  of  $M$  will result in a naturally equivalent functor.

We know  $\mathbb{P}_M, \hat{\mathbb{P}}_M$  are homotopy equivalent. Let  $i \in \text{Hom}_{R\text{-comp}}(\mathbb{P}_M, \hat{\mathbb{P}}_M)$  and  $j \in \text{Hom}_{R\text{-comp}}(\hat{\mathbb{P}}_M, \mathbb{P}_M)$  with  $ij \simeq \text{Id}_{\mathbb{P}_M}, ji \simeq \text{Id}_{\hat{\mathbb{P}}_M}$ . Then, again because  $T$  is additive,  $T(ji) \simeq \text{Id}_{T\mathbb{P}_M}$  and  $T(ij) \simeq \text{Id}_{T\hat{\mathbb{P}}_M}$ . Hence

$$\begin{aligned} H_n T(i) \circ H_n T(j) &= H_n (T(ji)) \\ &= H_n (T(\text{Id}_{\mathbb{P}_M})) \\ &= \text{Id}_{H_n T(\mathbb{P}_M)} \end{aligned}$$

So  $\overbrace{H_n T(i)}^{\phi(M)} : \underbrace{H_n T(\mathbb{P}_M)}_{L_n T(M)} \rightarrow \underbrace{H_n T(\hat{\mathbb{P}}_M)}_{\hat{L}_n T(M)}$  is an isomorphism.

To show that  $(\phi(M))_{M \in R\text{-Mod}}$  is a natural transformation  $L_n T \rightarrow \hat{L}_n T$ , use the comparison lemma, as well as the proposition we've been using (i.e. null-homotopic implies induced maps on homology are trivial (completed proof on the Gaucho))

■

*Theorem 0.17. Keep all notation from previous discussion. Suppose*

$$(\star) : 0 \longrightarrow M \xrightarrow{f} M' \xrightarrow{f'} M'' \longrightarrow 0$$

*is an exact sequence in  $R\text{-Mod}$ . Then there exists a long exact sequence*

$$\begin{aligned} \cdots &\longrightarrow L_{n+1} T(M'') \xrightarrow{\partial_{n+1}} L_n T(M) \xrightarrow{L_n T(f)} L_n T(M') \xrightarrow{L_n T(f')} L_n T(M'') \xrightarrow{\partial_n} \cdots \\ &\cdots \xleftarrow{\quad} L_1 T(M'') \xrightarrow{\partial_1} L_0 T(M) \xrightarrow{L_0 T(f)} L_0 T(M') \xrightarrow{L_0 T(f')} L_0 T(M'') \longrightarrow 0 \end{aligned}$$

Moreover, if  $T$  is right-exact,  $L_0T \cong T$ , and if  $M$  is projective, then  $L_nT(M) = 0$  for all  $n \geq 1$  (because of some shit about syzygies?)

Finally, the  $\partial_n$ , for  $n \geq 1$ , are natural in the sense specified in the statment of theorem 14, at the bottom of page 32 of this document.

*Proof.* By the horseshoe lemma, we may choose a short exact sequence of complexes

$$0 \longrightarrow \mathbb{P}_M \xrightarrow{\bar{f}} \mathbb{P}_{M'} \xrightarrow{\bar{f}'} \mathbb{P}_{M''} \longrightarrow 0 \text{ lying over } \star \text{ (of course } \bar{f} = (f_n), \bar{f}' = (f'_n)).$$

This yields short exact sequences  $0 \longrightarrow P_n \xrightarrow{f_n} P'_n \xrightarrow{f'_n} P''_n \longrightarrow 0$  in  $R\text{-Mod}$ . Injectivity of  $P''_n$  makes this sequence split-exact, and so

$$0 \longrightarrow T(P_n) \xrightarrow{T(f_n)} T(P'_n) \xrightarrow{T(f'_n)} T(P''_n) \longrightarrow 0$$

is still exact.

## Lecture 21, 3/8/23

Let  $T \in {}^R R\text{-Mod} \rightarrow S\text{-Mod}$  be a covariant additive functor. For  $M \in {}^R R\text{-Mod}$ ,  $L^nT(M) = H_nT(\mathbb{P}_M)$  where  $\mathbb{P}_M$  is a deleted projective resolution of  $M$ .  $L_nT(f)$ , for  $f: M \rightarrow M'$ , is defined via the comparison lemma.

We restate and prove the theorem:

*Theorem 0.18.* For any exact sequence  $0 \longrightarrow M \xrightarrow{f} M' \xrightarrow{f'} M'' \longrightarrow 0$  in  $R\text{-Mod}$ , there is an exact sequence

$$\begin{array}{ccccccc} \cdots & \longrightarrow & L_{n+1}T(M'') & \xrightarrow{\partial_{n+1}} & L_nT(M) & \xrightarrow{L_nT(f)} & L_nT(M') & \xrightarrow{L_nT(f')} & L_nT(M'') & \xrightarrow{\partial_n} & \cdots \\ & & & & & & & & & & \\ \cdots & \xleftarrow{\partial_1} & L_1T(M'') & \xrightarrow{\partial_1} & L_0T(M) & \xrightarrow{L_0T(f)} & L_0T(M') & \xrightarrow{L_0T(f')} & L_0T(M'') & \longrightarrow & 0 \end{array}$$

Moreover:

- (a) If  $M$  is projective, then  $L_nT(M) = 0$  for all  $n \geq 1$ .
- (b) If  $T$  is right exact, then  $L_0T \cong T$ .

*Proof.* Let  $\mathbb{P}, \mathbb{P}', \mathbb{P}''$  be deleted projective resolutions of  $M, M', M''$  such that we get a

commutative diagram with exact rows in  $R$ -comp:

$$\begin{array}{ccccccccc} 0 & \longrightarrow & \mathbb{P} & \xrightarrow{\bar{f}} & \mathbb{P}' & \xrightarrow{\bar{f}'} & \mathbb{P}'' & \longrightarrow & 0 \\ & & \downarrow d_0 & & \downarrow d'_0 & & \downarrow d''_0 & & \\ 0 & \longrightarrow & M & \xrightarrow{f} & M' & \xrightarrow{f'} & M'' & \longrightarrow & 0 \end{array}$$

with  $\bar{f} = (f_n)_{n \geq 0}$ ,  $\bar{f}' = (f'_n)_{n \geq 0}$ . Since each

$$0 \longrightarrow P_n \xrightarrow{f_n} P'_n \xrightarrow{f'_n} P''_n \longrightarrow 0$$

is exact in  $R - \text{Mod}$ , the sequence splits, and

$$0 \longrightarrow TP \xrightarrow{Tf} TP' \xrightarrow{Tf'} TP'' \longrightarrow 0$$

is exact, i.e.

$$0 \longrightarrow \mathbb{P} \xrightarrow{\bar{f}} \mathbb{P}' \xrightarrow{\bar{f}'} \mathbb{P}'' \longrightarrow 0$$

is exact in  $R$ -comp.

By a previous theorem, we obtain an exact sequence

$$\cdots \longrightarrow \underbrace{H_1(T\mathbb{P}'')}_{=L_2T(M'')} \longrightarrow \underbrace{H_0(T\mathbb{P})}_{=L_0T(M)} \longrightarrow \underbrace{H_0(T\mathbb{P}')}_{=L_0T(M')} \longrightarrow \underbrace{H_0(T\mathbb{P}'')}_{=L_0T(M'')} \longrightarrow 0$$

Now:

(a) If  $M$  is projective, then we have a projective resolution

$$0 \longrightarrow M \xrightarrow{\text{Id}_M} M \longrightarrow 0$$

Consider the deleted resolution

$$\mathbb{P} : 0 \longrightarrow M \longrightarrow 0$$

Then  $L_n(M) = H_nT(\mathbb{P}) = 0$  for all  $n \geq 1$ .

(b) Now, suppose that  $T$  is right exact, with  $M$  a module, with projective resolution

$$\mathbb{P} : \cdots \longrightarrow P_1 \xrightarrow{d_1} P_0 \xrightarrow{d_0} M \longrightarrow 0$$

By hypothesis,

$$TP_1 \xrightarrow{Td_1} TP_0 \xrightarrow{Td_0} TM \longrightarrow 0$$

is exact, hence  $TM \cong \frac{TP_0}{\text{Im}(Td_1)} = H_0(T\mathbb{P}) = L_0T(M)$ .



The big steps were starting with a short exact sequence in  $R$ -comp, getting a long exact homology sequence, then using the comparison and horseshoe lemmas, as well as the fact that homotopic complexes have isomorphic homology modules.

*Theorem 0.19. (Dimension shifting)*

Let  $M, T$ , etc. be as before. Then  $L_n T(M) \cong L_{n-1} T(K_1) \cong L_{n-2} T(K_2) \cong \cdots$ , where  $K_i$  is an  $i$ th syzygy of  $M$ .

*Proof.* Recall, an  $i$ th syzygy of  $M$  is just the image of  $d_i$  in a projective resolution of  $M$ .

Consider

$$\begin{array}{ccccccc} \cdots & \longrightarrow & P_2 & \xrightarrow{d_2} & P_1 & \xrightarrow{d_1} & P_0 \xrightarrow{d_0} M \longrightarrow 0 \\ & & & & \searrow & \nearrow & \\ & & & & & K_1 & \end{array}$$

A deleted resolution of  $K_1$  is

$$\tilde{\mathbb{P}} : \cdots \longrightarrow P_3 \xrightarrow{Td_3} P_2 \xrightarrow{Td_2} P_1 \xrightarrow{Td_1} 0$$

We'll show  $L_2 TM \cong L_1 T(K_1)$ , and induction will finish the job.

Note  $L_1 T(K_1) \cong H_1 T\tilde{\mathbb{P}} = \frac{\ker(Td_2)}{\text{Im}(Td_3)} = H_2 T\mathbb{P}$  where  $\mathbb{P}$  is

$$\cdots \longrightarrow P_2 \longrightarrow P_1 \longrightarrow P_0 \longrightarrow 0$$



## Section 4: Other derived functors (sketch)

(a) Our model is  $T = \text{Hom}(X, -)$ , a left-exact covariant functor.

Right derived functors of covariant functors,  $T : R\text{-Mod} \rightarrow S\text{-Mod}$ , for  $M \in R\text{-Mod}$ , let

$$0 \longrightarrow M \xrightarrow{d_0} E_0 \xrightarrow{d_1} E_1 \xrightarrow{d_2} E_2 \longrightarrow \cdots$$

be an injective resolution of  $M$ . Consider the deleted injective resolution

$$\mathbb{E}_M : 0 \longrightarrow E_0 \longrightarrow E_1 \longrightarrow E_2 \longrightarrow \cdots$$

Set  $R^n T(M) \stackrel{\text{def}}{=} H_n T(\mathbb{E}_m)$ , and we obtain a long exact sequence

$$0 \longrightarrow R^0 T M \longrightarrow R^0 T M' \longrightarrow R^0 T M'' \xrightarrow{\partial_1} R^1 T(M) \longrightarrow \cdots$$

If  $T$  is left exact, then  $R^0 T \cong T$ , exactly as before. Moreover,  $R^n T(M) = 0$  if  $M$  is injective.

- (b) Right derived functors of contravariant additive functors. For  $M \in R\text{-Mod}$ , let  $\mathbb{P}_M$  be a projective deleted resolution of  $M$ , and define  $R^n T(M) = H_n(T\mathbb{P}_m)$