# Lecture 1, 1/11/13

## Section 1: Vocabulary and easy definitions

Homological algebra is the study of complexes of R-modules, where R is a ring with identity  $1 \neq 0$ . Notationally, R-Mod is the category of all left R-modules, and R-mod is the category of all finitely generated R-modules.

**Definition 0.1.** Let  $A_n$  "  $\in$  "R-mod for  $n \in \mathbb{Z}$  and  $d_n \in \operatorname{Hom}_R(A_n, A_{n-1})$  such that  $d_{n-1} \circ d_n = 0$  for all  $n \in \mathbb{Z}$ . Then the sequence

$$\cdots \xrightarrow{d_{n+2}} A_{n+1} \xrightarrow{d_{n+1}} A_n \xrightarrow{d_n} A_{n-1} \xrightarrow{d_{n-1}} \cdots$$

is called a complex of R-modules, assuming  $\operatorname{im}(d_n) \subseteq \ker(d_{n-1})$ . The sequence

$$0 \longrightarrow A_m \longrightarrow A_{m-1} \longrightarrow \cdots \longrightarrow A_{n+1} \longrightarrow A_n \longrightarrow 0$$

will occur more frequently. A complex  $\mathbb{A}$  is an exact sequence if  $\operatorname{im}(d_n) = \ker(d_{n-1})$  for all  $n \in \mathbb{Z}$ . This is called a short exact sequence if there are no more than 3 non-zero terms. Given a complex  $\mathbb{A}$ , the <u>nth homology modules</u> (or groups, in some cases) of  $\mathbb{A}$  is

$$H_n(\mathbb{A}) = \frac{\ker(d_{n-1})}{\operatorname{im}(d_n)}$$

Remark. Given a short exact sequence (hereby abbv. as SES)

$$0 \longrightarrow A \stackrel{f}{\longrightarrow} B \stackrel{g}{\longrightarrow} C \longrightarrow 0$$

f is a mono and g is an epi, so  $C \simeq B/\operatorname{im}(f)$ . If A, B are known, but not f, then infinitely many C are available to complete the short exact sequence.

Example 0.1. Let R = k, a field, and take  $A = B = k^{(\mathbb{N})} = \bigoplus_{i \in \mathbb{N}} k$ .

- (i)  $0 \longrightarrow A \xrightarrow{\operatorname{Id}} B \longrightarrow 0$  is a SES.
- (ii) Define  $f: A \to B$  by

$$f(b_i) = b_{2i} \text{ for } i \in \mathbb{N}$$
$$g(b_0) = \begin{cases} 0 & i \text{ even} \\ b_{\tau(i)} & i \text{ odd} \end{cases}$$

Where  $\tau:(2\mathbb{N}-1)\to\mathbb{N}$  is a bijection. If  $A=B=C=\kappa^{(\mathbb{N})}$ , then

$$0 \longrightarrow A \stackrel{f}{\longrightarrow} B \stackrel{g}{\longrightarrow} C \longrightarrow 0$$

is a SES.

(iii) Let  $R = \mathbb{Z}$ . Then

$$0 \longrightarrow 3\mathbb{Z} \xrightarrow{\iota} \mathbb{Z} \xrightarrow{=} \mathbb{Z}/3\mathbb{Z} \longrightarrow 0$$

is a SES.

(iv) Let  $R = \mathbb{Z}$ . The sequence

$$0 \longrightarrow \overbrace{6\mathbb{Z}}^{A_1} \xrightarrow{\iota} \overbrace{\mathbb{Z}}^{A_0} \xrightarrow{=} \widetilde{\mathbb{Z}/3\mathbb{Z}} \longrightarrow 0$$

is a complex which is not exact. In fact,  $H_0(\mathbb{A}) = \underbrace{3\mathbb{Z}}^{\ker(g)} / \underbrace{6\mathbb{Z}}_{\operatorname{im}(f)} \cong \mathbb{Z}/2\mathbb{Z}$ .

(v) Let  $R = \kappa[x, y]$ ,  $\kappa$  a field. Let f be the inclusion  $(x) \hookrightarrow R[x, y]$ . The sequence

$$0 \longrightarrow (x) \stackrel{f}{\longrightarrow} R \stackrel{g}{\longrightarrow} \kappa[y] \longrightarrow 0$$

where

$$g\left(\sum_{i,j=0}^{\text{finite}} a_{ij} x^i y^j\right) = \sum_{j>0}^{\text{finite}} a_{\sigma_j} y^j$$

is exact.

(vi) Let  $R = \kappa[x, y]$ . Define A as

$$0 \longrightarrow \overbrace{(x)}^{A_1} \xrightarrow{f} \overbrace{R}^{A_0} \xrightarrow{g} \overbrace{\underset{=R/(x,y)}{\kappa}}^{A_{-1}} \longrightarrow 0$$

where

$$g\left(\sum_{i,j=0}^{\text{finite}} a_{ij}x^i y^j\right) = a_{\infty}$$

then ker(g) = (x, y) and im(f) = (x), so A is not exact. In fact,

$$H_0(\mathbb{A}) = (x, y)/(x)$$
  
 $\simeq (y)$   
 $\simeq R$ 

Note: If R is an integral domain and  $x \in R \setminus \{0\}$ , then  $(x) \simeq R$  (as R-modules, <u>not</u> as rings!), with isomorphism  $r \mapsto rx$ .

Typical questions addressed by homological algebra:

(i) Suppose

$$\mathbb{A}: \cdots \longrightarrow A_{n+1} \xrightarrow{d_{n+1}} A_n \xrightarrow{d_n} A_{n-1} \longrightarrow \cdots$$

is an exact sequence in R-mod and  $F: R-\operatorname{mod} \to S-\operatorname{mod}$  is a functor. Is the sequence

$$\cdots \longrightarrow F(A_{n+1}) \xrightarrow{F(d_{n+1})} F(A_n) \xrightarrow{F(d_n)} F(A_{n-1}) \longrightarrow \cdots$$

exact?  $F(\mathbb{A})$  is a complex when F is additive, but it may or may not be exact.

(ii) Given A, C "  $\in$  " R - mod, characterize all modules B such that there exists an exact sequence

$$0 \longrightarrow A \longrightarrow B \longrightarrow C \longrightarrow 0$$

As an example,  $R = \mathbb{Z}, A = C = \mathbb{A}/p\mathbb{Z}, p$  prime, then

$$0 \longrightarrow \mathbb{Z}/p\mathbb{Z} \stackrel{f}{\longrightarrow} \mathbb{Z}/p\mathbb{Z} \oplus \mathbb{Z}/p\mathbb{Z} \stackrel{g}{\longrightarrow} \mathbb{Z}/p\mathbb{Z} \longrightarrow 0$$

with  $f: x \mapsto (x,0)$  and  $g: (x,y) \mapsto y$  is a SES. Alternatively, we could take  $f: x + p\mathbb{Z} \mapsto px + p^2\mathbb{Z}$  and  $g: y + p^2\mathbb{Z} \mapsto y + p\mathbb{Z}$  to make

$$0 \longrightarrow \mathbb{Z}/p\mathbb{Z} \stackrel{f}{\longrightarrow} \mathbb{Z}/p^2\mathbb{Z} \stackrel{g}{\longrightarrow} \mathbb{Z}/p\mathbb{Z} \longrightarrow 0$$

a SES. These are the only possibilities in this case! In general, though, there are infinitely many possibilities for B. Why is this interesting? If R is an artinian ring and M "  $\in$  "R — mod, then there are only finitely many simple  $s_1, \ldots, s_n$  "  $\in$  "R — mod up to isomorphism. Moreover, for M "  $\in$  "R — mod, there is a chain

$$M = M_0 \supset M_1 \supset \cdots \supset M_\ell = 0$$

such that  $M_i/M_{i+1}$  is simple for all  $i < \ell$ . If the answer to question (ii) is known, then all objects in R - mod of fixed length  $\ell$  are known up to isomorphism! Simply proved by induction.

## Algebraic Topology

Definition 0.2. The standard n-simplex  $\Delta_n$  in  $\mathbb{R}^n$  is the convex hull of  $v_0, v_1, \ldots, v_n$ ,

where  $v_0 = 0$  and  $v_i = (0, ..., 0, 1, 0, ..., 0)$  (so the standard basis).

An <u>oriented simplex</u> is  $(\Delta_x, [\pi])$ , where  $[\pi]$  is an equivalence class of permutations of  $\{0, \ldots, n\}$ , where  $\pi \sim \pi' \iff \operatorname{sgn}(\pi) = \operatorname{sgn}(\pi')$ . We write

$$(\triangle_x, \pi) = [v_{\pi(0)}, v_{\pi(1)}, \dots, v_{\pi(n)}]$$

and identify  $\triangle_n$  with  $[0, 1, \dots, n]$ . The ngative is  $-[w_0, \dots, w_n]$ .

Definition 0.3. Let X be a topological space. An n-simplex in X is a continuous map

$$\sigma: \triangle_n \to X$$

The group of *n*-chains of X,  $S_n(x)$ , is the free abelian group having as basis the *n*-simplices in X. The singular chain complex of X is

$$\cdots \longrightarrow S_n(X) \xrightarrow{\partial_n} S_{n-1}(X) \xrightarrow{\partial_{n-1}} \cdots \longrightarrow S_0(X) \longrightarrow 0$$

denoted S, where  $\partial_n: S_n(X) \to S_{n-1}(X)$  is the <u>nth</u> boundary map, which can be defined if we define  $\partial_n(\sigma)$  for all *n*-simplices  $\sigma$  in  $\overline{X}$  (i.e. in the basis of  $S_n(X)$ ). Consider the map

$$\tau_i: \mathbb{R}^{n-1} \to \mathbb{R}^n$$
 $(a_1, \dots, a_{n-1}) \mapsto (a_1, \dots, a_{i-1}, 0, a_{i+1}, \dots, a_n)$ 

For  $i \in \{0, ..., n\}$ . Then  $\tau_i$  is continuous and  $\tau_i(\triangle_{n-1}) = \triangle_n$ . Define

$$\partial_n(\sigma) = \sum_{i=0}^n (-1)^i \sigma(\tau_i)$$

Theorem 0.1.  $\partial_{n-1} \circ \partial_n = 0$  for all  $n \in \mathbb{N}$ , i.e.  $\mathbb{S}$  is a complex in  $\mathbb{Z}$ -mod.

Definition 0.4. The group of n-cycles is  $Z_n(X) = \ker(\partial_{n-1})$ , and the group of n-boundaries is  $B_n = \operatorname{im}(\partial_n)$ .

The *n*th homology group is  $H_n(X) = Z_n(X)/B_n(X)$ .

# Lecture 2, 1/13/23

## Chapter I: Categories and functors

There is a definition page on the Gaucho that has all the most basic definitions - objects, morphisms, compositions, etc.

If  $f \in \text{Hom}_C(A, B)$ , we often write  $A \xrightarrow{f} B$  even if f is not literally a map.

Example 0.2. 1. The category of all sets, Set. The object class consists of all sets, and the morphisms are just set maps.

- 2. The category of all topological spaces, Top. The object class consists of all topological spaces, and the morphisms are continuous functions.
- **3.** The category of all groups, **Grp**. The object class consists of all groups, and the morphisms are group homomorphisms.
- **4.** Let  $(P, \leq)$  be a partially ordered set with a relation  $\leq$  which is reflexive, antisymmetric, and transitive. Then we can make P into a category, whose objects are the elements of p, and for  $u, s \in P$ ,  $\operatorname{Hom}_P(u, s) = \begin{cases} (u, s) & u \leq s \\ \varnothing & u \not\leq s \end{cases}$ . We define the composition  $(s, t)(u, s) \stackrel{\text{def}}{=} (u, t)$ .
- **5.** The opposite category of a category C,  $C^{\text{op}}$ .
- **6.** Let R be a ring. R-Mod is the category of left R modules. R-mod is the finitely generated R-modules, and similarly for Mod-R and mod-R, which are the right R-modules.
- 7. R-comp. The object class consists of complexes of left R-modules. Let A, A' be objects of R-comp. Note: it is problematic to say "A,  $A' \in R$ -comp, as R-comp is not a set!

Say  $\mathbb{A} = \cdots \longrightarrow A_{n+1} \xrightarrow{d_{n+1}} A_n \xrightarrow{d_n} A_{n-1} \longrightarrow \cdots$ , and similarly for  $\mathbb{A}'$ . An element of  $\operatorname{Hom}_{R-comp}(\mathbb{A}, \mathbb{A}')$  will be a sequence of R-module homomorphisms  $f_n: A_n \to A'_n$  which make the following diagram commute:

- 8. The category of rings Ring, whose obejcts are rings and whose morphisms are ring homomorphisms.
- **9.** The category of  $\mathbb{Z}$ -modules is usually denoted Ab. This is also the category of Abelian groups, and is the prototypical example of an Abelian category.

Definition 0.5. A category  $\mathcal{C}$  is called <u>pre-additive</u> if for all A, B objects of  $\mathcal{C}$ , the set  $\operatorname{Hom}_{\mathcal{C}}(A, B)$  is an additive Abelian group (additive means we use the symbol "+") such that for all eligible morphisms f, g, h, k,

$$h(f+g) = hf + hg$$
$$(f+g)k = fk + gk$$

where "elibigle" means that these expressions make sense and are well-defined.

Example 0.3. 1. R-mod (in particular Ab)

- **2.** *R*-comp
- **3.** Ring fails to be pre-additive, because the identity morphisms add to be something which is not the identity morphism.

Definition 0.6. Let  $\mathcal{C}, \mathcal{D}$  be categories. A <u>functor</u>  $F : \mathcal{C} \to \mathcal{D}$  consists of an assignment  $F_0 : \mathrm{Obj}(\mathcal{C}) \to \mathrm{Obj}(\mathcal{D})$ , and for each pair of objects  $A, B \in \mathrm{Obj}(\mathcal{C})$ , a map (this actually is a map because we assume hom-sets are in fact sets).  $F_{A,B} : \mathrm{Hom}_{\mathcal{C}}(A, B) \to \mathrm{Hom}_{\mathcal{D}}(F(A), F(B))$  such that, for all eligible morphisms f, g, and all  $A \in \mathrm{C}$ 

- (a)  $F(\mathrm{Id}_A) = \mathrm{Id}_{F(A)}$
- (b)  $F(f \circ g) = F(f) \circ F(g)$

Example 0.4. 1. Let  $\mathcal{C}$  be a category. Then we have the identity functor  $\mathrm{Id}_{\mathcal{C}}$ , which assigns  $\mathrm{Id}_{\mathcal{C}}(A) = A$ , and  $\mathrm{Id}_{\mathcal{A}}(f) = f$  for any eligible A "  $\in$  "  $\mathrm{Obj}(\mathcal{D})$  and morphisms f.

- **2.** Functors  $\pi_n : \mathsf{Top} \to \mathsf{Grp}$  which sends  $X \mapsto \pi_n(X)$
- **3.**  $\mathbb{S}: \mathsf{Top} \to \mathbb{Z}\text{-comp}$ , which sends  $X \mapsto \mathbb{S}(X)$ , which is a complex

$$\cdots \longrightarrow S_{n+1}(X) \xrightarrow{\partial_{n+1}} S_n(X) \xrightarrow{\partial_n} S_{n-1}(X) \xrightarrow{\partial_{n-1}} \cdots \longrightarrow S_0(x) \xrightarrow{\partial_0} 0$$

Let  $\phi: X \to Y$  be continuous for X, Y " $\in$  "Top. Then  $\mathbb{S}(\phi)_n: S_n(X) \to S_n(Y)$  is given by  $\sigma \mapsto \phi \circ \sigma$ , and we can extend this for  $\sigma$  an n-simplex of X.

# Lecture 4, 1/18/23

#### **Functors:**

Definition 0.7. Let  $\mathcal{C}, \mathcal{D}$  be categories. A <u>covariant functor</u> from  $\mathcal{C}$  to  $\mathcal{D}$  consists of "maps"  $F_0$  and  $F|_{A,B}$  for any  $A, B \in \text{Obj}(\mathcal{C})$  such that

- $F_0: \mathrm{Obj}(\mathcal{C}) \to \mathrm{Obj}(\mathcal{D})$
- $F_{A,B}: \operatorname{Hom}_{\mathcal{C}}(A,B) \to \operatorname{Hom}_{\mathcal{D}}(F_0A,F_0B)$  for any  $A,B \in \operatorname{Obj}(\mathcal{C})$

such that

- (a)  $F_{A,C}(fg) = F_{B,C}(f)F_{A,B}(g)$  for all eligible f, g
- (b)  $F_{A,A}(\mathrm{Id}_A) = \mathrm{Id}_{F(A)}$

from here on we don't care at all about indices. For simplicity, we will denote the action of a functor F as simply FA or Ff.

Definition 0.8. A contravariant functor from  $\mathcal{C}$  to  $\mathcal{D}$  amounts to a covariant functor from  $\mathcal{C}$  to  $\mathcal{D}^{\text{op}}$ .

More examples of functors

Example 0.5. Homology functors  $H_n: R-comp \to \mathbb{Z}-mod$  which sends  $\mathbb{A}$  to  $H_n(A)$ . That is,  $\mathbb{A} \to F\mathbb{A} = \frac{\ker(d_n)}{\operatorname{Im}(d_{n+1})}$ 

Let  $f \in \operatorname{Hom}_{R-comp}(\mathbb{A}, \mathbb{A}')$ . That is, the following diagram commutes

Ff acts by  $a_n + \operatorname{Im}(d_{n+1}) \to f_n(a_n) + \operatorname{Im}(d'_{n+1})$ . Let's prove that this is actually well-defined.

#### <u>Check</u>

First,  $a_n \in \ker(d_n)$  implies  $f_n(a_n) \in \ker(d'_n)$ . This can be seen by doing a diagram chase on the above diagram. Since  $d_n(a_n) = 0$ , we have  $0 = f_{n-1}d_n(a_n) = d'_nf_n(a_n)$ , i.e.  $f_n(a_n) \in \ker(d'_n)$ .

"Don't do much thinking. It's almost harmful" - Birge on doing diagram chasing. Also "follow your nose."

Now,  $a_n \in \text{Im}(d_{n+1})$  implies  $f_n(a_n) \in \text{Im}(d'_{n+1})$ . So  $a_n = d_{n+1}(x)$  with  $x \in A_{n+1}$ . hence  $f_n(a_n) = f_n d_{n+1}(x) = a'_{n+1} f_{n+1}(x) \in \text{Im}(d'_{n+1})$ .

Example 0.6. Let  $\mathcal{C}, \mathcal{D}$  be pre-additive categories (definition on the top of page 6). A functor F "from"  $\mathcal{C}$  to  $\mathcal{D}$  is called <u>additive</u> if, for all A, B"  $\in$  "Obj( $\mathcal{C}$ ), the map  $F : \operatorname{Hom}_{\mathcal{C}}(A, B) \to \operatorname{Hom}_{\mathcal{D}}(F(A), F(B))$  is a homomorphism of abelian groups.

Remark. Note that  $H_n: R-comp \to \mathbb{Z}-mod$  is an additive functor. The  $\pi_n$  functor is <u>not</u> additive, as **Top** is not preadditive.

Example 0.7. Forgetful functors e.g.  $F: R-mod \to \mathbb{Z}-mod$  which sends  $M \mapsto M$ , where the M on the left hand side is an R-module, and M on the right is just an abelian group, which is a  $\mathbb{Z}$ -module. Or  $F: R-mod \to \mathsf{Set}$  which sends an R-module M to the set of its elements, "forgetting" the module structure.

Moreover, if  $\mathcal{C}, \mathcal{D}$  are pre-additive, and  $F : \mathcal{C} \to \mathcal{D}$  is a forgetful functor of some sort, then F is additive.

Example 0.8. Let  $F: R-mod \to S-mod$  be an additive functor. Then F induces an additive functor  $\tilde{F}: R-comp \to S-comp$ , sending  $\mathbb{A}$  to  $F(\mathbb{A})$ . If  $\mathbb{A}$  is a complex

$$\cdots \longrightarrow A_{n+1} \xrightarrow{d_{n+1}} A_n \xrightarrow{d_n} A_{n-1} \longrightarrow \cdots$$

then  $F(\mathbb{A})$  is

$$\cdots \longrightarrow F(A_n) \xrightarrow{F(d_{n+1})} F(A_n) \xrightarrow{F(d_n)} F(A_{n-1}) \longrightarrow \cdots$$

An extremely important question: if  $\mathbb{A}$  is exact, is  $F(\mathbb{A})$  exact? If not, how far does it deviate from being an exact sequence?

Example 0.9. Let  $F: \mathcal{C} \to \mathcal{D}$ ,  $G: \mathcal{D} \to \mathcal{E}$  be functors. Then  $G \circ F: \mathcal{C} \to \mathcal{E}$  is a functor. WARNING: we use  $\circ$  but this isn't actually a function composition. This is just notation!!!

 $G \circ F$  acts how one might think: for A "  $\in$  "Obj(C),  $G \circ F(A) = G(F(A))$ , and for  $f \in \operatorname{Hom}_{\mathcal{C}}(A, B)$ ,  $G \circ F(f) = G(F(f)) \in \operatorname{Hom}_{\mathcal{E}}(G(F(A)), G(F(B)))$ .

Of interest to us:  $H_n \circ \tilde{F}$ , where  $F : R - mod \to S - mod$  is additive. This functor sends a complex  $\mathbb{A}$  to  $H_n(F(\mathbb{A}))$ . This is especially of interest if  $\mathbb{A}$  is exact, but  $F(\mathbb{A})$  is not.

Remark. Let  $F: \mathcal{C} \to \mathcal{D}$  be a functor. Then F sends isomorphisms in  $\mathcal{C}$  to isomorphisms in  $\mathcal{D}$ . This is immediate from the definition of a functor.

### Section 2: two types of functors that will follow us

(i) Hom-functors: Whenever  $\mathcal{C}$  is a category, there is a bifunctor

$$\operatorname{Hom}_{\mathcal{C}}(-,-):\mathcal{C}\times\mathcal{C}\to\operatorname{\mathsf{Set}}$$

which sends a pair (A, B) to  $\operatorname{Hom}_{\mathcal{C}}(A, B)$ , and on maps (note that this is covariant in the first factor and contravariant inh the second), they act as follows. Let  $f: A \to A', g: B \to B'$  be morphisms in  $\mathcal{C}$ . Then

$$\operatorname{Hom}(f,g): \operatorname{Hom}_{\mathcal{C}}(A',B) \to \operatorname{Hom}_{\mathcal{C}}(A,B')$$

acts by  $\phi \mapsto g \circ \phi \circ f$ 

# Lecture 5, 1/20/23

Whenever  $\mathcal{C}$  is a category, there is a bifunctor  $\operatorname{Hom}_{\mathcal{C}}(-,-): \mathcal{C} \times \mathcal{C} \to \operatorname{Set}$ , which sends (A,B) to  $\operatorname{Hom}_{\mathcal{C}}(A,B)$ . On maps, when  $f:A\to A'$  and  $g:B\to B'$  are morphisms, then

$$\operatorname{Hom}_{\mathcal{C}}(f,g): \operatorname{Hom}_{\mathcal{C}}(A',B) \to \operatorname{Hom}_{\mathcal{C}}(A,B')$$
  
$$\varphi \mapsto g \circ \varphi \circ f$$

We will split this into two parts. Let  $C \in \mathcal{C}$ . Then we have a covariant functor

$$\operatorname{Hom}_{\mathcal{C}}(C,-): \mathcal{C} \to \mathcal{C}$$

$$C' \mapsto \operatorname{Hom}_{\mathcal{C}}(C,C')$$

$$g \mapsto \operatorname{Hom}_{\mathcal{C}}(C,A) \to \operatorname{Hom}_{\mathcal{C}}(C,B)$$

$$\varphi \mapsto g \circ \varphi$$

We also have the contravariant functor  $\operatorname{Hom}_{\mathcal{C}}(-,D)$ , which acts similarly. As a special case, consider  $\mathcal{C}=R-mod$ . Then

$$\operatorname{Hom}_R(M,-): R-mod \to \mathbb{Z}-mod$$
  
 $\operatorname{Hom}_R(-,N): R-mod \to \mathbb{Z}-mod$ 

but we can have additional structure on  $\operatorname{Hom}_R(M, N)$ . Suppose  ${}_RM_S$  is a bimodule (S is a ring and (rm)s = r(ms)) and let  ${}_RN_T$  be an R-T module. Then  $\operatorname{Hom}_R(M, N)$  is a left S, right T bimodule. For  $f \in \operatorname{Hom}_R(M, n)$ ,  $s \in S$ ,  $t \in T$ , define

$$(sf)(m) = f(ms)$$
$$(ft)(m) = f(m)t$$

If R is commutative, then

$$\operatorname{Hom}_R(M,-): R-mod \to R-mod = Mod - R$$
  
 $\operatorname{Hom}_R(-,N): R-mod \to R-mod = Mod - R$ 

If  $_RM_S$  is a bimodule, then

$$\operatorname{Hom}_R(M,-): R-mod \to S-mod$$

If  $_RN_T$  is a bimodule, then

$$\operatorname{Hom}(-,N): R-mod \to Mod-T$$

Basic properties:

(i) 
$$M'' \in "R - mod \implies \underbrace{\operatorname{Hom}_{R}(R, M) \cong M}_{f \mapsto f(1)}$$
 in  $\mathbb{Z} - mod$ 

- (ii)  $\operatorname{Hom}_R(\otimes_{i\in I} M_i, N) \cong \prod_{i\in I} \operatorname{Hom}_R(M_i, N)$ . Prove this!
- (iii)  $\operatorname{Hom}_R(M, \prod_{i \in I} N_i) \cong \prod_{i \in I} \operatorname{Hom}_R(M, N_i)$ . Prove this!

Definition 0.9. Let  $M" \in "Mod - R$ ,  $N" \in "R - mod$ . Then an abelian group T is called a tensor product of M and N if there exists a map

$$\tau: M \times N \to T$$

Which is  $\mathbb{Z}$ -bilinear and  $\underline{R}$ -balanced, i.e.

$$\tau(mr, n) = \tau(m, rn)$$

with the following universal property.

Whenever A is an abelian group and  $\sigma: M \times N \to A$  is  $\mathbb{Z}$ -bilinear and R-balanced, there exists a unique  $\mathbb{Z}$ -linear map  $\sigma': T \to A$  such that this diagram commutes:

$$\begin{array}{ccc} M\times N & \stackrel{\tau}{\longrightarrow} & T \\ & \downarrow^{\sigma'} & \\ & A \end{array}$$

We denote  $T = M \otimes_R N$ .

Theorem 0.2. If  $M" \in "Mod - R$  and  $N" \in "R - mod$ , then a tensor product  $M \otimes_R N$  exists and is unique up to isomorphism.

*Proof.* Let F be the free abelian group with basis  $M \times N$ , i.e.

$$F = \bigotimes_{m \in M, n \in N} \mathbb{Z}(m, n)$$

Define

$$M \otimes_R N = F/U$$

where U is the submodule generated by all elements of the form

$$(m_1 + m_2, n) - (m, n) - (m_2, n)$$
  
 $(m, n_1 + n_2) - (m, n_1) - (m, n_2)$   
 $(mr, n) - (m, rn)$ 

for all eligible  $m_i, m \in M, n_i, n \in N, r \in R$ . Define

$$\tau: M \times N \to M \otimes_R N$$
$$(m, n) \mapsto m \otimes n$$

Then  $\tau$  is  $\mathbb{Z}$ -bilinear and R-balanced (check!). Moreover,  $M \otimes_R N$  with  $\tau$  satisfies the universal property: let A be an abelian group and  $\sigma: M \times N \to A$  be  $\mathbb{Z}$ -bilinear and R-balanced. Define

$$\tilde{\sigma}: F \to A$$

$$(m,n) \mapsto \sigma(m,n)$$

and extend linearly. By construction,  $\tilde{\sigma}(U) = 0$ , i.e.  $U \subseteq \ker(\tilde{\sigma})$ . Hence there exists  $\sigma' : F/U \to A$  with the property that

$$\sigma'(m,n) = \tilde{\sigma}((m,n) + n) = \tilde{\sigma}(m \otimes n)$$

Now show  $\sigma'$  is unique, and the proof is complete.

# Lecture 6, 1/23/23

Our two mainstay types of functors:

(i) Hom functors.

(ii) Tensor functors. For (M, N) "  $\in$  " $Mod - R \times R - mod$ , we constructed an abelian group  $M \otimes_R N = R^{(M \times N)}/u$ , together with  $\tau : M \times N \to M \otimes_R N$  given by  $\tau(m, n) = m \otimes n = (m, n) + u$  such that  $(M \otimes_R N, \tau)$  has the key universal property.

Note: The elements  $m \otimes n \in M \times N$  form a generating set of  $M \otimes_R N$ , but not a basis.

#### The tensor functor

We have a bifunctor  $-\otimes -: Mod - R \times R - mod \to \mathbb{Z} - mod, (M, N) \mapsto M \otimes_R N$ . Let  $(f, g), f \in \operatorname{Hom}_R(M, M'), g \in \operatorname{Hom}(N, N')$ . Then

$$f \otimes g : M \otimes_R N \to M' \otimes_R N'$$
  
 $m \otimes n \mapsto f(m) \otimes g(n)$ 

To show this is well-defined, check that  $\phi: M \times N \to M' \otimes N'$ ,  $(m, n) \mapsto f(m) \otimes g(n)$  is  $\mathbb{Z}$ -bilinear and R-balanced.

Split  $-\otimes_R$  – into two functors. So, we have a functor  $M\otimes_R -: R-mod \to \mathbb{Z}-mod$  and a functor  $-\otimes_R N: Mod - R \to \mathbb{Z}-mod$ . The action on objects and morphisms is clear from the discussion up to now.

### Additional structure on $M \otimes_R N$

Suppose  ${}_{S}M_{R}$  and  ${}_{R}N_{T}$  are bimodules. Then  $M\otimes_{R}N$  is a S-T bimodule, with

$$s(m\otimes n)t=(sm)\otimes (nt)$$

It is an exercise to check well-definedness.

#### Uses

Suppose  $\mathbb{R}V$  is a real vector space. We want to "complexify" V, making it a complex vector space. We could consider  $\mathbb{C} \times V$ , and define c(d, v) = (cd, v). But this does not define a  $\mathbb{C}$ -vector space, because multiplication must be multilinear. But  $\mathbb{C} \otimes_{\mathbb{R}} V$  will do it.

#### Basic properties

Consider  $R \otimes_R M$ . This is in fact isomorphic to M. Not just as Abelian groups, but as left R-modules. This is because R satisfies the associative law relative to multiplication. One isomorphism between them is  $m \mapsto 1 \otimes m$ .

In general, unlike the hom-functor, the tensor functor will <u>not</u> commute with direct products/coproducts, unless "the sky is very benevolent."

The meaning of  $m \otimes n$  depends on the meaning of M, N!

Example 0.10. Consider  $2 \otimes \overline{1} \in \mathbb{Z} \otimes_{\mathbb{Z}} (\mathbb{Z}/2\mathbb{Z})$ . This is the same as  $1 \otimes \overline{2} = 1 \otimes 0 = 0$ . By contrast, look at  $2 \otimes \overline{1} \in 2\mathbb{Z} \otimes (\mathbb{Z}/2\mathbb{Z})$ . This is nonzero! Let's show that. We know  $2\mathbb{Z} \cong \mathbb{Z}$ , with an isomorphism given by  $x \mapsto \frac{x}{2}$ . So

$$f \otimes \operatorname{Id}_{\mathbb{Z}/2\mathbb{Z}} : 2\mathbb{Z} \otimes (\mathbb{Z}/2\mathbb{Z}) \to \mathbb{Z} \otimes (\mathbb{Z}/2\mathbb{Z})$$
  
 $x \otimes y \mapsto f(x) \otimes y$ 

But functors take isomorphisms to isomorphisms, so  $\underbrace{2 \otimes \overline{1}}_{\in 2\mathbb{Z} \otimes \mathbb{Z}/2\mathbb{Z}} \mapsto \underbrace{1 \otimes \overline{1}}_{\in 2\mathbb{Z} \otimes \mathbb{Z}/2\mathbb{Z}} \neq 0$ . Why is this last term nonzero? Because  $\mathbb{Z} \otimes (\mathbb{Z}/2\mathbb{Z}) \simeq \mathbb{Z}$ 

this last term nonzero? Because  $\mathbb{Z} \otimes (\mathbb{Z}/2\mathbb{Z}) \cong \mathbb{Z}$ , with the isomorphism sending  $1 \otimes \overline{1}$  to  $\overline{1}$ , which is not zero.

## Natural Transformations, Equivalences, and Dualities

Definition 0.10. 1. Let  $F, G : \mathcal{C} \to \mathcal{D}$  be functors. A morphism of functors, or a natural transformation from F to G, is a family  $(\phi(C))_{C \in \mathrm{Obj}(\mathcal{C})}$  of morphisms,  $\phi(C) : F(C) \to G(C)$  such that for any  $f \in \mathrm{Hom}_{\mathcal{C}}(C, C')$ , the square

$$F(C) \xrightarrow{F(f)} F(C')$$

$$\phi(C) \downarrow \qquad \qquad \downarrow \phi(C)$$

$$G(C) \xrightarrow{G(f)} G(C')$$

commutes for all eligible morphisms f in the category C. This is a covariant equivalence. A contravariant equivalence is an equivalence between contravariant functors, i.e. it makes the following square commute.

$$F(C) \xleftarrow{F(f)} F(C')$$

$$\phi(C) \downarrow \qquad \qquad \downarrow \phi(C)$$

$$G(C) \xleftarrow{G(f)} G(C')$$

2. Call  $(\phi(C))_{C \in \mathrm{"Obj}(\mathcal{C})}$  an isomorphism of functors, or a natural equivalence, if  $\phi(C)$  is an isomorphism for each  $C \in \mathrm{"Obj}(\mathcal{C})$ .

- **3.** Two categories  $\mathcal{C}, \mathcal{D}$  are equivalent categories if there are functors  $F: \mathcal{C} \to \mathcal{D}, G: \mathcal{D} \to \mathcal{C}$  such that  $G \circ F \simeq \operatorname{Id}_{\mathcal{C}}$  and  $F \circ G \simeq \operatorname{Id}_{\mathcal{D}}$ , with " $\simeq$ " meaning "is naturally equivalent to." The F, G are called "mutually inverse equivalences."
- 4. A contravariant equivalence is called a duality.
- **5.** Let R, S be rings. Call R, S Morita equivalent, denoted  $R \sim S$ , if R-mod, S-mod are naturally equivalent. This is equivalent to saying Mod-R, mod-S are naturally equivalent.