# Lecture 1 - 7/1/25

Missed:(

# Lecture 2 - 9/1/25

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## Lecture 3 - 14/1/25

## Character theory

Consider dim  $\operatorname{Hom}_G(\rho_i, \rho_j) = 1$  if i = j and 0 if  $i \neq j$  (meaning if  $\rho_i \not\cong \rho_j$ )

Recall: Given a representation  $\rho: G \to \mathrm{GL}_n(k)$ , the character of  $\rho$ ,  $\chi_{\rho}$ , is given by  $\chi_{\rho}: G \to k, g \mapsto \mathrm{tr}(\rho(g))$ 

For today, G will be finite,  $k = \overline{k}$  will be algebraically closed, of characteristic 0. Basic properties of characters:

- **1.** Suppose  $\rho: G \to \operatorname{GL}_n(k)$  is a representation: then  $\chi_{\rho}(e) = n = \dim \rho$ .
- **2.**  $\chi_{\rho}(g) = \chi_{\rho}(hgh^{-1})$  for all  $g, h \in G$ , i.e.  $\chi_{\rho}$  is constant on each conjugacy class of G.

**Definition 0.1.** A function  $f: G \to k$  which is constant on conjugacy classes is called a <u>class function</u>.

The  $\rho_i$  (isomorphism classes of reps) will form an ONB for the space of class functions.

Given 
$$\rho_1: G \to \mathrm{GL}_n(k), \rho_2: G \to \mathrm{GL}_m(k), \chi_{\rho_1 \oplus \rho_2} = \chi_{\rho_1} + \chi_{\rho_2}$$

$$\chi_{\rho_1\otimes\rho_2}=\chi_{\rho_1}\chi_{\rho_2}$$

To see this, let A, B be diagonalizable (which we have WLOG because the image of any finite group are all diagonalizable over an algebraically closed k of char 0, which follows from Jordan Normal form)

Then 
$$tr(A \otimes B) = tr(A) tr(B)$$
.

I can't see the board he's writing on very well, and also I am not sure how  $A \otimes B$  was defined.

Claim.  $\chi_{\rho}: G \to k$  always factors through  $\mathbb{Q}(\mu_{\infty})$ , the subfield of k containing  $\mathbb{Q}(k)$  has char 0) generated by all roots of unity  $(k = \overline{k})$ 

*Proof.* Because G is finite,  $\rho_G$  has finite order, hence its eigenvalues are roots of unity, so the trace is the sum of roots of unity.

**Definition 0.2.**  $\bar{\cdot}: \mathbb{Q}(\mu_{\infty}) \to \mathbb{Q}(\mu_{\infty})$  is the unique field homomorphism with the property that  $\bar{\zeta} = \zeta^{-1}$  for all roots of unity  $\zeta \in \mathbb{Q}(\mu_{\infty})$ .

$$5 \ \chi_{\rho^v} = \overline{\chi_{\rho}}$$

Recall  $\rho^v$  is defined via the formula  $g \cdot f = f(g^{-1} \cdot -)$  where f is a functional. We have

$$\chi_{\rho^{v}}(g) = \operatorname{tr}(p(g^{-1}))$$

$$= \sum_{\zeta \text{ is an eigenvalue of } \rho(G)} \zeta^{-1}$$

$$= \sum_{\zeta} \overline{\zeta} = \overline{\chi_{\rho}(g)}$$

This also follows from the Hom-tensor adjunction because  $\operatorname{Hom}_k(\rho_1, \rho_2) = \rho_1^v \otimes \rho_2$ .

**Definition 0.3.** Let  $\chi, \psi : G \to \mathbb{Q}(\mu_{\infty})$  be class functions. We define their inner product by

$$\langle \chi, \psi \rangle = \frac{1}{|G|} \sum_{g \in G} \chi(g) \overline{\psi(g)}$$

This indeed is a positive definite non degenerate.

Let  $\rho_1: G \to \operatorname{GL}_n(k), \rho_2: G \to \operatorname{GL}_m(k)$ . What is  $\langle \chi_{\rho_1}, \chi_{\rho_2} \rangle$ ?

Theorem 0.1.

$$\langle \chi_{\rho_1}, \chi_{\rho_2} \rangle = \dim_k \operatorname{Hom}_G(\rho_1, \rho_2) = \dim_k \operatorname{Hom}(\rho_1, \rho_2)^G$$

Corollary 0.2. Suppose  $\rho_1, \rho_2$  are irreducible. Then  $\langle \chi_{\rho_1}, \chi_{\rho_2} \rangle$  is 0 if  $\rho_1, \rho_2$  are not isomorphic, and 1 if they are. So the  $\rho_i$  form an orthonormal basis for the space of class functions.

*Proof.* Let  $R_G \in k[G]$  be the element given by

$$R_G = \frac{1}{|G|} \sum_{g \in G} eg$$

We want to show

1. for  $v \in V^G$ ,  $R_G \cdot v = v$ .

**2.** For arbitrary  $v \in V, R_G \cdot v \in V^G$ 

To check:

1. We have

$$R_G \cdot v = \frac{1}{|G|} \sum_{g \in G} e_g \cdot v$$
$$= \frac{1}{|G|} \sum_{g \in G} v$$
$$= v$$

**2.** Fix  $g \in G$ . Then

$$g \cdot R_G \cdot v = g \cdot \left(\frac{1}{|G|} \sum_{h \in G} hv\right)$$
$$= \frac{1}{|G|} \sum_{h \in G} gh \cdot v$$
$$= \frac{1}{|G|} \sum_{h \in G} h \cdot v$$
$$= R_G \cdot v$$

Corollary 0.3. Let V be a G-representation. Then  $\dim_k V^G = \operatorname{tr}(R_G|V)$ 

Proof.

Claim.  $tr(projection) = dim_k Im$ 

*Proof.* Claim  $\Longrightarrow$  Cor follows from  $\operatorname{tr}(R_G) = \dim \operatorname{Im}(R_G|V) = \dim_k V^G$ 

We can finally prove the theorem:

Proof.

$$\dim_k \operatorname{Hom}_G(\rho_1, \rho_2) = \dim_k \operatorname{Hom}_k(\rho_1, \rho_2)^G$$

$$= \operatorname{tr}(R_G | \operatorname{Hom}_k(\rho_1, \rho_2))$$

$$= \operatorname{tr}(\frac{1}{|G|} \sum e_g | \operatorname{Hom}_k(\rho_1, \rho_2))$$

$$= \frac{1}{|G|} \operatorname{tr}(g | \operatorname{hom}_k(\rho_1, \rho_2))$$

$$= \frac{1}{|G|} \sum_{g \in G} \chi_{\operatorname{hom}_k(\rho_1, \rho_2)}(g)$$

$$= \frac{1}{|G|} \sum_{g \in G} \overline{\chi_{\rho_1}} \chi_{\rho_2}$$

$$= \langle \chi_{\rho_1}, \chi_{\rho_2} \rangle$$

$$= \overline{\langle \chi_{\rho_2}, \chi_{\rho_1} \rangle}$$

# Lecture 4, 16/1/24

As always, G will be a finite group,  $k = \overline{k}$  is an algebraically closed field of characteristic 0.

 $\mathbb{Q}(\mu_{\infty})$  is the algebraically closed subfield of  $\mathbb{C}$  which contains all the roots of unity, and this comes with the complex conjugate  $\bar{\cdot}, \zeta \mapsto \zeta^{-1}$ .

Goal: Classify finite dimensional G-representations over k.

We have done:

- 1. Maschke's theorem, which states that any G-rep in V over k is semisimple.
- **2.** Character theory:  $V \sim \chi_V : G \to \mathbb{Q}(\mu_\infty) \subseteq k$ ,  $g \mapsto \operatorname{tr}(g|V)$

**Definition 0.4.** Cl(G) denotes the class functions  $G \mapsto \mathbb{Q}(\mu_{\infty})$ , and it is equipped with an inner product,

$$\langle \psi, \varphi \rangle = \frac{1}{|G|} \sum_{g \in G} \psi(g) \overline{\varphi(g)}$$

Remark: There is an isomorphism  $Cl(G) \simeq Z(\mathbb{Q}(\mu_{\infty})[G])$ , sending  $\varphi$  to  $\sum_{g \in G} \phi(g) e_g$  Warning: They come with different ring structures which are not preserved by this isomorphism.

Last time we used the Reynolds operator to show  $\langle \chi_V, \chi_W \rangle = \dim_k \operatorname{Hom}_G(V, W)$ . If  $\rho_1, \rho_2$  are irreps of G, then  $\langle \chi_{\rho_1}, \chi_{\rho_2} \rangle$  is 1 if  $\rho_1 \cong \rho_2$ , and 0 otherwise.

Corollary 0.4. # of conjugacy classes of irreducible representations of  $G \leq \dim_{\mathbb{Q}(\mu_{\infty})} Cl(G) = \#$  of conjugacy classes of G

*Proof.* If  $\chi_{\rho_i}$  are orthonormal, then the number of conjugacy classes of irreps is equal to  $\dim \operatorname{span}(\chi_{\rho_i}) \subseteq Cl(G)$ , so this number is  $\leq \dim Cl(G)$ 

**Proposition 1.** Let V be a G-representation. Then

$$\Phi_V: \bigoplus_{\rho_i \ irrev \ of \ G} \rho_i \otimes_k \operatorname{Hom}_G(\rho_i, V) \to V$$

given by  $v \otimes f \mapsto f(v)$  is an isomorphism.

*Proof.* First, we show it is surjective. By Maschke,  $V = \bigoplus_{\rho_i \text{ reps } G} \rho_i^{n_i}$ .

Let  $v \in \rho_i^{n_i} \subseteq V$ ,  $v = (v_1, \dots, v_{n_i})$ . Let  $f_j : \rho_j \to \rho_i^{n_i}$  be the inclusion of the jth coordinate.

Then  $\Phi_v(\sum_j v_j \otimes f_j) = v$ .

Now we show injectivity.

We have

$$\dim_k \oplus \rho_i \otimes_k \operatorname{Hom}_G(\rho_i, V) = \dim_k V$$

This follows from

$$\dim_k \operatorname{Hom}_G(\rho_i, V) = n_i$$

This follows from

$$\operatorname{Hom}_{G}(\rho_{i}, V) = \operatorname{Hom}_{G}(\rho_{i}, \oplus \rho_{i}^{n_{i}})$$

$$= \oplus_{j} \operatorname{Hom}_{G}(\rho_{i}, \rho_{j})^{n_{i}}$$

$$= \operatorname{Hom}_{G}(\rho_{i}, \rho_{j})^{n_{i}}$$

Which is  $n_i$ -dimensional

$$\dim_k \oplus \rho_i \otimes \operatorname{Hom}_G(\rho_i, V) = \sum n_i \dim_k \rho_i = \dim V$$

Corollary 0.5.

$$V \simeq \bigoplus_{\rho \ irreps \ of \ G} \rho_i^{\langle \chi_{\rho_i}, \chi_V \rangle}$$

*Proof.* Enough to show  $\rho_i^{\langle \rho_i, V \rangle} \simeq \rho_i \otimes_k \operatorname{Hom}_G(\rho_i, V)$ , i.e.  $\dim_k \operatorname{Hom}(\rho_i, V) = \langle \chi_{\rho_i}, \chi_{\rho_j} \rangle$ . But that's the theorem.

Corollary 0.6.

$$V \simeq \bigoplus_{\rho_i irreps} \rho_i^{\oplus n_i}$$

, then  $\langle \chi_V, \chi_V \rangle = \sum_i n_i^2$ 

Proof.  $\chi_V = \sum n_i \chi_{\rho_i}$ 

Corollary 0.7.  $V \simeq W \iff \chi_V = \chi_W$ 

Corollary 0.8. V is irreducible if and only if  $\langle \chi_V, \chi_V \rangle = 1$ .

*Proof.* Write  $V = \bigoplus_i \rho_i^{n_i}$ : so  $\langle \chi_V, \chi_V \rangle = \sum_i n_i^2$  is equal to 1 iff exactly 1  $n_i$  is nonzero, and equal to 1.

Example 0.1. (The regular representation)

Let  $G \curvearrowright k(G)$  via left multiplication.

 $\chi_{k[G]}(g) = \operatorname{tr}(g|k[G])$ , which is |G| if g is the identity, and 0 otherwise.

Because  $g \cdot e_{g'} = e_{gg'}$ , we have

$$\operatorname{tr}(g|k[G]) = \#\{h \in G \mid gh = g\}$$

Remark: if X is a G-set (i.e. a set with a G-action), then the permutation representation,  $k^X$ , has character

$$\chi_{k^X}(g) = \#\{x \in X \mid g \cdot x = x\}$$

Corollary 0.9. As a G-representation,

$$k[G] \simeq \bigoplus_{\rho_i \ irrep} \rho_i^{\oplus \dim \rho_i}$$

Proof.

$$\langle \chi_{\rho_i}, \chi_{k[G]} \rangle = \frac{1}{|G|} \sum_{g \in G} \chi_{\rho_i}(g) \overline{\chi_{k[G]}(g)}$$

$$= \frac{1}{|G|} \chi_{\rho_i}(e) \overline{\chi_{k[G]}(e)}$$

$$= \frac{1}{|G|} \dim \rho_i |G|$$

$$= \dim \rho_i$$

Because this representation is 0 except at the identity.

Remark: In fact,  $\operatorname{Hom}_G(k[G], \rho_i) \simeq \rho_i$ , AS A VECTOR SPACE.

*Proof.*  $\operatorname{Hom}_G(k[G], \rho_i) = \operatorname{Hom}_{k[G]}(k[G], \rho_i) \simeq \rho_i$  AS A VECTOR SPACE

Corollary 0.10. Let  $\rho_i$  be the (conjugacy classes of) irreps of G,  $n_i$  the dimension of  $\rho_i$ .

Then  $\sum_{i} n_i^2 = |G|$ .

Proof. 
$$|G| = \dim_k k[G] = \dim_k \oplus_i \rho_i^{\oplus \dim \rho_i} = \sum_i n_i^2$$

**Theorem 0.11.** Let G be a finite group,  $k = \overline{k}$  an algebraically closed field of characteristic 0,  $\rho_1, \ldots, \rho_n$  the irreps of G. Then  $\{\chi_{\rho_i}\}$  is an orthonormal basis of Cl(G).

*Proof.* We know it's orthonormal (so in particular linearly independent), so it is left to show that this indeed spans all of Cl(G).

What remains to show is that  $\chi_{\rho_i}$  span Cl(G).

It is enough to show that if  $\psi \in Cl(G)$  with  $\langle \psi, \chi_{\rho_i} \rangle = 0$  for all i, then  $\psi = 0$ , i.e. the orthogonal complement of the span of the  $\chi_{\rho_i}$  is trivial.

**Definition 0.5.** If  $\psi: G \to \mathbb{Q}(\mu_{\infty})$  is a class function,

$$\gamma_{\psi} \stackrel{\text{def}}{=} \sum_{g \in G} \psi(g) e_g \in Z(k[G])$$

**Example 0.2.** If  $\psi: G \to k$ ,  $g \mapsto \frac{1}{|G|}$ ,  $\gamma_{\psi} = R_G$ .

We will compute what  $\gamma_{\psi}$  does to a representation.

**Proposition 2.** If  $\rho$  is an irreducible representation of G, then  $\gamma_{\psi}: \rho \to \rho$  is multiplication by the scalar  $\frac{|G|}{\dim \rho} \langle \psi, \chi_{\rho^v} \rangle$ 

Proof.

- **1.** First,  $\gamma_{\psi}: \rho \to \rho$  is a homomorphism of G-representations, which follows from  $\gamma_{\psi} \cdot g \cdot v = g \cdot \gamma_{\psi} \cdot v$  for all  $g \in G, v \in \rho$ , as  $\gamma_{\psi} \in Z(k[G])$ .
- **2.** By Schur,  $\gamma_{\psi}: \rho \to \rho$  is a scalar.
- 3.  $\gamma_{\psi} = \frac{\operatorname{tr}(\gamma_{\psi}|\rho)}{\dim \rho} \cdot \operatorname{Id}_{\rho}$ , so

$$\operatorname{tr}(\gamma_{\psi}|\rho) = \operatorname{tr}(\sum_{g \in G} \psi(g)e_g|\rho) = \sum_{g \in G} \psi(g)\chi_{\rho}(g) = |G|\langle \psi, \overline{\chi_{\rho}} \rangle = |G|\langle \psi, \chi_{\rho^{\upsilon}} \rangle$$

Now, consider  $\gamma_{\psi}: k[G] \to k[G]$ . This is zero as  $\gamma_{\psi}$  acts as zero on every irrep (because it pairs to zero with all the irreps), and because it sends 1 to  $\gamma_{\psi}$ ,  $\gamma_{\psi}$  has to be zero.

Corollary 0.12. (of earlier claim)

 $\frac{\dim \rho_i}{|G|} \gamma_{\chi_{\rho_i^v}}$  acts as 1 on  $\rho_i$ , and 0 on  $\rho_j$ , for  $\rho_i \neq \rho_j$  are irreps.

Proof.

Corollary 0.13. Given any  $V = \bigoplus \rho_i^{\oplus n_i}$ ,

$$\frac{\dim \rho_i}{|G|} \gamma_{\chi_{\rho_i^v}}$$

acts as a projection onto  $\rho_i^{n_i} \subseteq V$ , which is called the  $\rho_i$  isotypic part of V.

Corollary 0.14. #irreps of  $G = \#conjugacy\ classes\ of\ G$ 

*Proof.* Let  $\{\rho_i\}$  be the irreps of G (up to conjugacy (i.e isomorphism)).

Then  $\{\chi_{\rho_i}\}$  is a basis for Cl(G), so # of irreps =  $\dim_k Cl(G)$  = #conjugacy classes of G.

Remark: These two numbers are equal, but there is no natural or canonical bijection between the two sets in general.

## Classifying rep'ns

**Theorem 0.15.** G is abelian iff all irreps of G are 1-dimensional.

*Proof.* Let V be an irrep. If G is commutative, then  $g: V \to V$  is a G-homomorphism for all  $g \in G$ .

By Schur, each  $g \in G$  acts as a scalar. Now every subspace of V is a subrep, hence V is 1-dimensinoal.

Now suppose that all irreps are 1-dimensional. Let  $n_i$  be the dimensions of the irreps  $\rho_i$ , and let c be the number of conjugacy classes (or equivalently the number of irreps) of G. Then  $|G| = \sum_i n_i^2$ , but this is at least c, because we are taking the sum of c positive numbers, but each  $n_i$  is 1, so each element of G is its own conjugacy class.

### Example 0.3. Take $G = \mathbb{Z}/n\mathbb{Z}$

For each element  $\zeta \in \mu_n \stackrel{\text{def}}{=}$ nth roots of unity, consider  $\chi_{\zeta} : \mathbb{Z}/n\mathbb{Z} \to k^*, a \mapsto \zeta^a$ This gives n distinct reps, which is the number of conjugacy classes, hence we have a complete list.

**Example 0.4.**  $S_3$  has conjugacy classes [e], [(12)], [(123)], so there are 3 irreducible representations. We have a trivial representation, whose character sends all conjugacy classes to 1.

We also have  $sgn: S_3 \to \{\pm 1\} \subseteq k^*$ , so  $\chi_{sgn}$  sends [e] to 1, [(12)] to -1, and [(123)] to 1.

At this point we know there must be a third representation, std, and we can fill in

its row in the character table somehow. std is given by  $S_3 \curvearrowright \mathbb{C}^{\{1,2,3\}} / \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$ , with

 $\chi_{std} = \chi_{\mathbb{C}^{\{1,2,3\}}} - \chi_{triv}$ , so  $\chi_{st}(e) = 2, \chi_{std}(12) = 0, \chi_{std}(123) = -1$ . We claim that  $\chi_{std}$  is irreducible. To see this, we compute

$$\langle \chi_{std}, \chi_{std} \rangle = \frac{1}{6} (2^2 + 3 * 0^2 + 2(-1)^2) = 1.$$

**Example 0.5.**  $Q_8 = \langle \pm 1, \pm i, \pm j, \pm k \rangle$ , with multiplication given as in the quaternion group,  $i^2 = j^2 = k^2 = ijk = -1$ .

Conjugacy classes:  $(e),-1, \{\pm i\}, \{\pm j\}, \{\pm k\}.$ 

 $\chi_{triv}$  sends them all to 1, of course.

## Lecture 5, 21/1/25

	1	-1	$\{i, -i\}$	$\{j,-j\}$	$\{k,-k\}$
triv	1	1	1	1	1
i-ker	1	1	1	-1	-1
j-ker	1	1	-1	1	-1
k-ker	1	1	-1	-1	1
?	• • •				

Let  $\mathbb{H} = \mathbb{R}\langle 1, i, j, k \rangle$ . Then  $Q_8 \curvearrowright \mathbb{H}$  by left multiplication,  $\mathbb{H} \curvearrowright \mathbb{C}$  by multiplication by i on the right. This example might be useful to think about for the homework. Now let's get the character table for  $S_4$ .

conj class	0	(12)	(123)	(12)(134)	(1234)
size	1	6	8	3	6
sgn	1	-1	1	1	-1
$std = \mathbb{C}^4 / \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \end{pmatrix}$	3	1	0	-1	- 1
$std \otimes sgn$	3	-1	0	-1	1
$std \circ \pi_{4 \to 3}$				• • •	

If  $S_4$  is the symmetries of a tetrahedron, then  $\pi_{4\to3}$  is the map from  $S_4$  to  $S_3$  furnished by  $S_4$  acting on pairs of sides, of which there are 3.

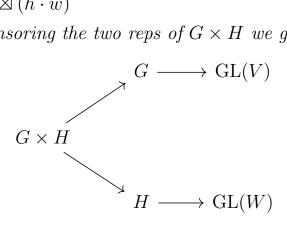
How does the structure of G interact with its representation theory?

### **Proposition 3.** (Homework)

Let G, H be groups, (NOT necessarily finite!),  $k = \overline{k}$  algebraically closed. Then any irrep of  $G \times H$  has the form  $V \boxtimes W$ , where

- V is an irrep of G,
- W is an irrep of H
- $(q,h) \cdot v \boxtimes w = (q \cdot v) \boxtimes (h \cdot w)$

This is the same as tensoring the two reps of  $G \times H$  we get from



### Proof. HW

We have now classified (modulo the homework) all representations of all finite abelian groups.

In some sense, (the sense of Artin's theorem) is that the representation theory of a group is controlled by the rep theory of its abelian subgroups.

### Restriction & induction

Let  $H \subseteq G$  be a subgroup of H, G again finite.

We have a restriction functor  $Res_H^G : Rep_G \to Rep_H$ ,

$$(\rho: G \to \operatorname{GL}(W)) \mapsto \rho|_H$$

There is a functor going the other way called induction,  $Ind_H^G: Rep_H \to Rep_G$ .

**Definition 0.6.** Let V be an H-representation. Then

$$Ind_H^GV \stackrel{\mathrm{def}}{=} k[G] \otimes_{k[H]} V$$

Equivalent descriptions:

$$Ind_H^G(V) \stackrel{\text{def}}{=} \{ \phi : G \to V \mid \phi(gh^{-1}) = h\phi(g) \forall g \in G, h \in H \}$$

An element of the former looks like  $\sum_g e_g \otimes v_g$ . Take  $e_g e_h \otimes v = e_g \otimes (h \cdot v), g \cdot \phi =$  $g\phi(g^{-1}-)$ . Think about this and see how this makes the descriptions the same. One more description:

$$Ind_H^G(V) = \bigoplus_{g \in G/H} g_i \cdot V$$

where  $g \cdot \sum g_i v_i = \sum g_{j(i)} k_i \cdot V$  where  $g_j g_i = g_{j(i)}$  (???)

Exercise: check the above is equivalent to the other two things.

#### Example 0.6.

- **1.**  $Ind_H^G triv = k^{G/H}$  follows from second description. By definition,  $Ind_H^G triv = \{f: G \to k \mid f(gh^{-1}) = h \cdot f(g) = f(g)\} = \{f: G/H \to k\}$
- **2.**  $Ind_{(1)}^G k = k[G] \otimes_k k = k[G]$
- **3.** Suppose  $\chi: H \to \mathbb{C}^{\times}$  is a representation. What is  $Ind_H^G \chi$ ? To find  $Ind_H^G \chi(g)$ , pick coset representative  $g_i$  from G/H, and we get permutation matrix for  $G \curvearrowright G/H$  times the diagonal matrix whose *i*th entry is  $\chi(h_i)$ , where  $gh_i^{-1} = g_{j(i)}h_i^{-1}$

## Lecture 6, 23/1/25

#### Corrections:

In the homework, problem 4 part a) should include the assumption that the action of G on H by conjugation is inner, i.e. for all  $g \in G$ , the map  $(\cdot)^g : H \to H$  sending  $h \mapsto ghg^{-1}$  is  $(\cdot)^{h'}$  for some  $h' \in H$ .

Remark: An example is if we take  $G = A \times B$ ,  $H = A \times \{1\}$ . Then  $(\cdot)^{(a,b)} = (\cdot)^{(a,1)}$  Last time:

- We did character tables for  $Q_8, S_4$
- We stated the classification of irreducible representations of a product  $G \times H$
- Classification of irreps of finite abelian groups
- Restriction & induction

Here is more on induction:

 $\operatorname{Ind}_H^G(V) \stackrel{\text{def}}{=} k[G] \otimes_{k[H]} V$ , where k[G] is a right module and V is a left one. Tensoring a right with a left yields an abelian group (indeed a k-vector space), and it all works out because k[G] is a left k[G] module.

It is also the set  $\{\phi: G \to V \mid \phi(gh^{-1}) = h \cdot \phi(g) \text{ for all } g \in G, h \in H\}$ , where

$$g \cdot \phi = \phi(g^{-1} \cdot)$$

### Explanation

An element of  $k[G] \otimes_{k[H]} V$  is a formal sum  $\sum e_g \otimes v_g$  such that  $e_g e_h \otimes v = e_g \otimes (h \cdot v)$  How to recognize induced representations:

- Suppose V is a G-rep,  $W \subseteq V$  is H-stable. When is  $V \simeq \operatorname{Ind}_H^G W$ ?
- Consider  $gW \subseteq V$ . Because W is H-stable, this only depends on  $[g] \in G/H$

**Proposition 4.**  $V = \operatorname{Ind}_H^G W$  if and only if  $V = \bigoplus_{g \in G/H} gW$ 

Proof. Sketch

Recall the third version,  $\operatorname{Ind}_H^G V = \bigoplus_{g_i \in G/H} g_i U$ 

#### Proposition 5.

$$\chi_{\operatorname{Ind}_{H}^{G} \rho}(u) = \frac{1}{|H|} \sum_{g \in G, g^{-1}ug \in H} \chi_{\rho}(g^{-1}ug)$$
$$= \sum_{x \in G/H} \hat{\chi}_{\rho}(x^{-1}ux)$$

where 
$$\hat{\chi}_{\rho}(v) = \begin{cases} \chi_{\rho}(v) & v \in H \\ 0 & otherwise \end{cases}$$

Proof.

**Proposition 6.** Let  $H \subseteq G$  be a subgroup of finite index. Then

$$\operatorname{Hom}_G(\operatorname{Ind}_H^G V, W) \simeq \operatorname{Hom}_H(V, \operatorname{Res}_G^H W)$$

*Proof.* This is a special case of the tensor-hom adjunction:

$$\operatorname{Hom}_{G}(\operatorname{Ind}_{H}^{G}V, W) \simeq \operatorname{Hom}_{G}(k[G] \otimes_{k[H]} V, W)$$

$$= \operatorname{Hom}_{H}(V, \operatorname{Hom}_{G}(k[G], W))$$

$$= \operatorname{Hom}_{H}(V, \underbrace{W}_{\text{as an H-rep}})$$

$$= \operatorname{Hom}_{H}(V, \operatorname{Res}_{G}^{H}W)$$

Corollary 0.16. Let V be a representation of H, W is a representation of G, both finite. Then

$$\langle \chi_{\operatorname{Ind}_{H}^{G}V}, \chi_{W} \rangle = \langle \chi_{V}, \chi_{\operatorname{Res}_{G}^{H}W} \rangle$$

*Proof.* These numbers are the dimensions of the hom-spaces, which are the same by the above.

**Theorem 0.17** (Artin). Let G be a finite group,  $k = \overline{k}$ , chark = 0. Then the map

$$\bigoplus_{H \subseteq Gcyclic} Cl(H) \twoheadrightarrow Cl(G)$$

For each cyclic group H, it acts on characters linearly, so we can extend that to Cl(H), and we can extend that to  $\oplus Cl(H)$ 

*Proof.* Remark: Let G be a finite group, R(G) be the "representation ring of G",

$$R(G) = \bigoplus_{\rho_i \text{ irreps of } G} \mathbb{Z}[\rho_i]$$

with  $[\rho_i] \cdot [\rho_j] = [\rho_i \otimes \rho_j]$ , by writing  $\rho_i \otimes \rho_j = \bigoplus_{\rho_k \text{ irreps}} \rho_k^{n_k}$ 

**Proposition 7.** There is a map  $R(G) \to Cl(G)$  sending  $[\rho_i] \to \chi_{\rho_i}$ . This is a ring homomorphism (because character of tensor product is pointwise product of characters). There is an induced map  $R(G) \otimes_{\mathbb{Z}} k \to Cl(G)$  which is an isomorphism.

Proof.

- 1. These are vector spaces of the same dimension
- 2. The map is surjective because (for example,) characters of irreps span.

Corollary 0.18 (to Artin's theorem). The map (linear extension of  $\oplus \operatorname{Ind}_H^G$ )

$$\bigoplus_{H < G \ cyclic} R(H)_k \to R(G)_k$$

is surjective.

I.e. every representation of G is a "k-linear combo" of irreps induced from cyclic subgroups.

### Corollary 0.19.

- **1.**  $\bigoplus_{H \leq G \ cyclic} R(H)_{\mathbb{Q}} \to R(G)_{\mathbb{Q}}$  is surjective, i.e. every irreducible character of G is a  $\mathbb{Q}$ -linear combination of characters induced from cyclic subgroups.
- **2.**  $\bigoplus_{H \leq Q \ cyclic} R(H) \to R(G) \ has finite \ cokernel.$

Proof.

- (1)  $\Longrightarrow$  (2) because the image of Ind spans R(G) rationally by (1), i.e. given  $x \in R(G)$ , there is N such that  $N \cdot x \in \text{Im}(\text{Ind})$ , so the cokernel is torsion, and torsion finitely generated abelian groups are finite.
- We know (1) by Artin, because  $\operatorname{Ind}_{\mathbb{Q}} \otimes_{\mathbb{Q}} k$  is surjective, as rank r invariant under extension of scalars?

We now prove Artin's theorem:

*Proof.* It is enough to show that the adjoint map of  $\oplus \operatorname{Ind}_H^G$  is injective. But  $\langle \operatorname{Ind} \chi, \psi \rangle = \langle \chi, \operatorname{Res} \psi \rangle$ , so

$$\bigoplus \operatorname{Res}_G^H : Cl(G) \to \bigoplus_{H \le G \text{ cyclic}} Cl(H)$$

is adjoint to Ind. Now let  $\psi$  be in the kernel; then  $\operatorname{Res}_G^H \psi \equiv 0$  for all H, which implies  $\psi \equiv 0$ , so we win.

### Loose ends:

- Structure of k[G]
- Integral theory
- Corollary of all this discussion: if G is a finite group,  $\rho$  an irrep, then  $\dim \rho \mid |G|$

## Structure of k[G] (and more generally, semisimple algebras)

**Definition 0.7.** Let k be a field, R a k-algebra (possibly non-commutative). Then R is semisimple if

- 1. R is finite dimensional as a k-vector space
- **2.** All left R-modules which are finite-dimensional k-vector spaces are semisimple.

**Theorem 0.20.** Let R be semisimple k-algebra. Then

$$R \simeq \prod \operatorname{Mat}_{n_i}(D_i)$$

where  $D_i$  are division k-algebras.

Proof. (Take R = k[G])

Conside R as a left R-module;

$$R \simeq \oplus M_i^{\oplus n_i}$$

where  $M_i$  is simple, all  $M_j$ s are mutually non-isomorphic left R-modules.

Note  $\operatorname{Hom}_{R-\operatorname{mod}}(M_i, M_i)$  is a division algebra (otherwise we would have a morphism with a kernel, but  $M_i$  is simple).

Because  $R^{op} \simeq \operatorname{Hom}_{R-\operatorname{mod}}(R,R)$ , this means

$$R \simeq \operatorname{Hom}_{R-\operatorname{mod}}(\oplus M_i^{\oplus n_i}, \oplus M_i^{\oplus n_i})$$

Now,  $\operatorname{Hom}_{R-\operatorname{mod}}(M_i, M_j) = 0$  for  $i \neq j$  (again by simplicity and mutual nonisomorphicness) so

$$\operatorname{Hom}_{R-\operatorname{mod}}(R,R) \simeq \bigoplus_{i} \operatorname{Hom}_{R-\operatorname{mod}}(M_i^{n_i},M_i^{n_i})$$

So if we take  $D_i^{op} = \operatorname{Mat}_{n_i}(\operatorname{Hom}(M_i, M_i))$ , we win.

Corollary 0.21. Let  $k = \overline{k}$ . Then  $R \simeq \bigoplus \operatorname{Mat}_{n_i}(k)$ 

Proof.

- 1. Finite dimensional central division algebras over an algebraically closed field are the field itself.
- 2. Or, same proof as in Schur,

$$\operatorname{Hom}_{R-\operatorname{mod}}(M_i,M_i)=k$$

Let's specialize to R = k[G].

As a k[G]-module,  $k[G] \simeq \rho_i^{\oplus n_i}$ , so we have a map

$$k[g] \to \bigoplus_{\rho_i \text{ irrep}} \underline{\operatorname{Hom}}_k(\rho_i, \rho_i) \simeq \bigoplus_{\rho_i \text{ irrep}} \rho_i \boxtimes \rho_i^v \simeq \bigoplus_{\rho_i \text{ irrep}} \rho_i \otimes \operatorname{Hom}(\rho_i, k[G])$$

 $x \mapsto \text{right multiplication by } x$ 

Recall: If V is any G-rep, then  $V = \bigoplus \rho_i \otimes \operatorname{Hom}_G(\rho_i, V)$ , so we have  $k[G] \to \bigoplus \operatorname{End}(\operatorname{Hom}(\rho_i, k[G]))$ 

Claim. This isomorphism of rings is  $G \times G$ -equivariant if we give  $\operatorname{End}(\rho_i^{\dim \rho_i})$  the  $G \times G$  structure  $\rho_i \boxtimes \rho_i^v$ 

*Proof.* We need to check  $\operatorname{End}(\rho_i^{\dim_i})$  as a right G-module it is  $(\rho_i^v)^{\dim \rho_i}$ . If  $G \hookrightarrow G \times G$  by  $g \mapsto (g, g^{-1})$ , then it has an invariant in  $\operatorname{Hom}_G(\rho_i^{\dim \rho_i}, \rho_i^{\dim \rho_i})$ , As G-reps,  $\operatorname{Hom}(\rho_i, \rho_i) \simeq \rho_i \otimes \rho_i^v$ 

**Claim.** Given a rep  $V \boxtimes W$  of  $G \times G$ , the structure of V and  $V \boxtimes W|_{(g,g^{-1})}$  determines W.

Proof.

# Lecture 7, 28/1/25

Substitute for today: Dr Jacob Tsimerman

Let  $k = \overline{k}$  be an algebraically closed field of characteristic 0, G a finite group.

Let  $(\rho_1, V_1), \ldots, (\rho_n, V_n)$  be the irreducible left representations of G.

Theorem 0.22.

$$k[G] \cong \bigoplus_{i=1}^{n} \rho_i \boxtimes \rho_i^v = \bigoplus_{i=1}^{n} V_i \otimes V_i^*$$

as  $G \times G$ -reps  $((g, g') \cdot v \otimes v^* = (g \cdot v) \otimes v^* + v \otimes (g' \cdot v^*))$ 

*Proof.* Let  $W_i \stackrel{\text{def}}{=} \text{Hom}_G(V_i, k[G])$ . Then

$$k[G] \cong \bigoplus_{i=1}^{n} V_i \otimes W_i$$

as  $G \times G$ -representations because we get the right G-action for free.

Claim. As right G-representations,  $W_i \cong V_i^*$ 

Proof.

Convention: Given an element  $x = \sum_{g \in G} a_g(x)g \in k[G]$ , we use  $a_g : k[G] \to k$  to denote the g-th coefficient.

This has the property that  $a_g(x \cdot g') = a_{g'g^{-1}}(x)$ 

Define  $\psi: W_i \to V_i^*$  by

$$\psi(\phi) \stackrel{\mathrm{def}}{=} a_1 \circ \phi$$

Claim.  $\psi$  is an isomorphism

*Proof.* Suppose  $\phi \in W_i$ . For  $g \in G$ ,  $a_g(\phi(v)) = a_1(g^{-1}\phi(v))$ . But  $\phi$  is a map of left G-modules, so this is  $a_1(\phi(g^{-1}(v))) = \psi(\phi)(g^{-1}v)$ . So, we can write

$$\phi(v) = \sum_{g \in G} \psi(\phi)(g^{-1}v) \cdot v$$

So  $\phi$  is entirely determined by  $\psi(\phi)$ , or in other words,  $\psi$  is injective.

On the other hand, let  $\ell \in V^*$ .

Consider  $\phi_{\ell} \in W_i$ ,  $\phi_{\ell}(v) = \sum_{g \in G} \ell(g^{-1}v) \cdot g$ 

Claim.  $\phi_{\ell} \in W_i$ 

*Proof.* Let  $g_0 \in G$ . Then

$$\phi_{\ell}(g_0 v) = \sum_{g \in G} \ell(g^{-1} g_0 v) = \sum_{g \in G} \ell(g^{-1} v) \cdot g_0 g = g_0 \cdot \phi_{\ell}(v)$$

This shows that  $\psi$  is surjective.

Claim.  $\psi$  respects the right G-action.

Proof.

$$\psi(\phi^{g_0})(v) = \psi(\phi)(g_0v) 
= a_1(\phi(g_0v)) 
= a_1(g_0\phi(v)) 
= a_{g_0^{-1}}(\phi(v))$$

On the other hand,

$$\psi(\phi^{g_0}v) = a_1(\phi^{g_0}(v))$$

$$= a_1(\phi(v)g_0)$$

$$= a_{g_0^{-1}}(\phi(v))$$

So  $\psi(\phi^{g_0}) = \psi(\phi)^{g_0}$ 

This proves the theorem.

Matrix Coefficients

Let  $\{v_1, \ldots, v_n\}$  be a basis for an irreducible representation V.

Let  $\{v_1^*, \ldots, v_n^*\}$  be the dual basis for  $V^*$ .

**Definition 0.8.** Given  $1 \leq i, j \leq m$ , the matrix coefficient  $a_{i,j}$  is given by

$$a_{i,j}(g) = v_i^*(g \cdot v_j)$$

This is a function from G to k.

Define  $A_{i,j} \in k[G]$  by

$$A_{i,j} \stackrel{\text{def}}{=} \sum_{g \in G} a_{i,j}(g) \cdot g$$

Theorem 0.23.

$$\langle A_{i,j} \rangle_{1 \leq i,j \leq m} = \rho \boxtimes \rho^v$$

where  $(\rho, V)$  is the G-rep.

Proof.

**Theorem 0.24.** Let G be a finite group,  $k = \overline{k}$  an algebraically closed field of characteristic  $\theta$ .

Let  $(\rho, V)$  be an irreducible representation of G.

Then  $\dim V \mid |G|$ 

Proof.

Corollary 0.25. If  $d_1, \ldots, d_n$  is the dimensions of the irreps of G, then

- **1.** m = number of conjugacy classes of G (often called m)
- **2.**  $d_i||G|$  for all i
- 3.  $\sum_{i=1}^{m} d_i^2 = |G|$

Proof.

**Example 0.7.** If  $G = S_3$ , m = 3, with conjugacy classes [Id], [(12)], [(123)], then we have  $d_1 = 1, 1 + d_2^2 + d_3^2 = 6, d_2, d_3 \mid 6.$ 

So we must have  $d_2 = 1, d_3 = 2$ .

Recollections of algebraic integers

**Definition 0.9.** Let R be a commutative ring.

Then  $x \in R$  is integral, or an algebraic integer, if x satisfies a monic integer polynomial.

Example 0.8.

- 3
- $\bullet$   $\sqrt{5}$
- $\frac{1+\sqrt{5}}{2}$

Non-examples include

- $\bullet$   $\frac{1}{\sqrt{2}}$

**Proposition 8.** The following are equivalent:

- 1. x is integral
- **2.** The subring generated by x is a finitely generated  $\mathbb{Z}$ -module
- **3.** The subring generated by x is contained in a finitely generated  $\mathbb{Z}$ -module in R.

*Proof.* Let's start with  $(1) \implies (2)$ .

Suppose  $x^N + \sum_{i=1}^{N-1} a_i x^i = 0$ ,  $a_i \in \mathbb{Z}$ . Then  $x^N \in \langle 1, x, \dots, x^{N-1} \rangle_{\mathbb{Z}}$ . But then  $x^{N+1} \in \langle 1, x, \dots, x^N \rangle_{\mathbb{Z}}$ , so  $x^{N+1} \in \langle 1, x, \dots, x^{N-1} \rangle_{\mathbb{Z}}$ .

So the subring generated by x equals  $\langle 1, x, \dots, x^{N-1} \rangle_{\mathbb{Z}}$ .

 $(2) \implies (3)$  is clear

So let's see  $(3) \implies (1)$ .

Let  $A_N = \langle 1, x, x^{N-1} \rangle_{\mathbb{Z}}$ . By assumption, there exists a finitely generated  $\mathbb{Z}$ -module  $B \subset R$  such that  $A_1 \subseteq A_2 \subseteq \cdots \subseteq B$ 

By Noetheriality, the sequence stabilizes, so there exists some M such that  $A_M = A_{M-1}$ , and so  $x^M$  is a finite linear combination of lower powers of x, so there are  $a_i$  such that

$$x^M + \sum_{i=1}^{M-1} a_i x^i = 0$$

Corollary 0.26. The things on the list of non algebraic integers actually belong on the list!

Proof.

# Lecture 8, 30/1/25

Sub Prof: Mathilde Gerbelli-Gauthier

End Goal: G finite,  $\rho$  irrep of G over  $k = \overline{k}$  algebraically closed of characteristic 0.

We want to show that  $\dim \rho \mid |G|$ 

Strategy: Prove that  $\frac{|G|}{\dim \rho}$  is an algebraic integer

As a corollary of the proof of the last prop, we get

Corollary 0.27. Integral elements of R form a subring.

Proof.

## Integrality of characters

As always, let G be a finite group,  $k = \overline{k}$  algebraically closed of characteristic 0, and  $\rho: G \to \operatorname{GL}_n(k)$  just any representation (not necessarily irreducible).

### Proposition 9.

- **1.** The values of the character of  $\rho$ ,  $\chi_{\rho}(g)$ , are algebraic integers
- **2.** Let  $u = \sum_{g \in G} u(g)g$  be an element of Z(k[G]). Suppose that  $u(g) \in k$  are algebraic integers. Then u is integral.

At some point in the classes I missed we show that the indicators of conjugacy classes span the center of k[G].

Proof.

1.  $\chi_{\rho}(g)$  is a sum of roots of unity, hence a sum of algebraic integers, hence an algebraic integer.

**2.** Using a previous result, let u(g) be the indicator function of a conjugacy class. But the sub- $\mathbb{Z}$ -module of Z(k[G]) generated by the indicator functions is a subring (because the product of  $1_{C_1} \cdot 1_{C_2}$  is a linear combination of the indicators of conjugacy classes, and the coefficient in front of each g is an integer).

Thus each indicator of a conjugacy class is contained in a finitely generated  $\mathbb{Z}$ -module, and is integral.

Corollary 0.28. Let  $\rho$  be an irrep of G and let  $u \in Z(k[G])$  be as before. Then

$$u_{\rho} = \frac{1}{\dim \rho} \sum_{g \in G} u(g) \chi_{\rho}(g) \in k$$

is an algebraic integer.

Proof.

Claim. Given  $\rho$ ,  $u \mapsto \frac{1}{\dim \rho} \sum u(g) \chi_{\rho}(g)$  is a ring homomorphism

Proof.

$$u_1 * u_2 \mapsto \left(\frac{1}{\dim \rho} \sum u_1(g) \chi_{\rho}(g)\right) \left(\frac{1}{\dim \rho} \sum u_2(g) \chi_{\rho}(g)\right)$$

The goal will be to define a ring-hom from Z(k[G]) to k sending u to  $u_{\rho}$ . Since u is integral, it maps to to an integral element of k.

$$u \mapsto \frac{|G|}{\dim \rho} \langle u, \chi_{\rho^v} \rangle = u_\rho$$

$$\sum u'(g)\chi_{\rho}(g) = |G|\langle u, \rho^v \rangle$$

Recall that  $Z(k[G]) \curvearrowright \rho$  by G-homomorphism, that action induces a natural map

$$Z(k[G]) \mapsto \operatorname{Hom}_G(\rho, \rho) = k$$

So

$$u \mapsto \frac{|G|}{\dim \rho} \langle u, \chi_{\rho^v} \rangle$$

The matrix is scalar, so it suffices to compute its trace. Its trace is

$$\sum_{g \in G} u(g) \chi_{\rho}(g) = |G| \langle u, \chi_{\rho^v} \rangle$$

Dividing by  $\dim \rho$  gives the result.

**Theorem 0.29.** Let G be a finite group,  $k = \overline{k}$  an algebraically closed field of characteristic 0,  $V_{\rho}$  an irrep of G. Then  $\dim V \mid ||G|$ 

*Proof.* Set  $u = \sum_{g \in G} \chi_{\rho}(g^{-1})g$ . By the above, we have

$$\frac{1}{\dim \rho} \sum u(g) \chi_{\rho}(g) = \frac{|G|}{\dim \rho} \langle \chi_{\rho^{v}}, \chi_{\rho^{v}} \rangle$$

$$= \frac{|G|}{\dim \rho} \underbrace{\dim \operatorname{Hom}_{G}(\rho^{v}, \rho^{v})}_{=1}$$

$$= \frac{|G|}{\dim \rho}$$

But the left hand side is an integral element of  $\mathbb{Q}$ , so the right hand side is an integral element of  $\mathbb{Q}$ , hence an integer.

## Rep theory of the symmetric group

As always,  $|G| < \infty$ ,  $Char(k = \overline{k}) = 0$ 

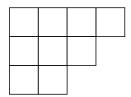
Here are some key facts about the symmetric groups:

- 1. The number of irreps of  $S_n$  is equal to the number of conjugacy classes in  $S_n$ .
- **2.** The conjugacy classes in  $S_n$  (aka cycle type) are in bijection with partitions of n.
- **3.** The irreps of  $S_n$  are also indexed by partitions of n.

**Definition 0.10.** A partition of n is a sequence  $\lambda = (\lambda_1 \ge \lambda_2 \ge \cdots \ge \lambda_r)$  such that  $\sum \lambda_i = n$ .

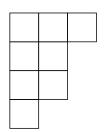
**Definition 0.11.** The <u>young diagram</u>  $D_{\lambda}$  has  $\lambda_1$  boxes in the first row,  $\lambda_2$  in the second row, etc.

For example, the corresponding diagram for  $\lambda = (4, 3, 1)$ 



The conjugate partition  $\lambda'$  is the one such that  $D_{\lambda'}$  is obtained by  $D_{\lambda}$  by flipping along the diagonal.

If 
$$\lambda = (4, 3, 1), \lambda' = (3, 2, 2, 1)$$
. Then  $D_{\lambda'}$  is



## Proejctions and young symmetrizers

An algorithm: start with  $\lambda$ 

1. Number the booxes in your Young diagram  $D_{\lambda}$  from left to right, top to bottom: you now have a young tableaux.

1	2	3	4
5	6	7	
8			•

- **2.** Let  $P \subseteq S_n$  be the subgroup of all permutations that preserve each row of our Young tableaux. E.g.  $P \simeq S_4 \times S_3 \hookrightarrow S_8$ .
- **3.**  $Q \subseteq S_n$  the subgroup that preserves each column of the same Young tableau e.g.  $Q \simeq S_3 \times S_2 \times S_2 \hookrightarrow S_8$ .

In 
$$\mathbb{C}[S_n]$$
, define  $a = \sum_{p \in P} e_p, b = \sum_{q \in Q} sgn(q)e_q$ 

**4.** Suppose that V is a vector space, and  $S_n \curvearrowright V^{\otimes n}$  by permuting factors.

The element a symmetrizes along the rows, and projects onto

$$Sym^{\lambda_1}(V)\otimes\cdots\otimes Sym^{\lambda_n}(V)$$

up to an isomorphism.

5. The element b alternates along the columns and projects onto a tensor product of exterior powers indexed by  $\lambda'$ :

$$\bigwedge^{\lambda'_1}(V)\otimes\cdots\otimes\bigwedge^{\lambda'_n}(V)$$

**6.** Set c = ab. This is called the Young Symmetrizer

Here are some examples of Young symmetrizers: If  $\lambda = (1, ..., 1)$ , then c gives the sign representation.  $\lambda = (n)$  gives the trivial rep.

## Irreducibility and idempotency

**Theorem 0.30.** A suitable nonzero scalar of c = ab is an idempotent in  $\mathbb{C}[S_n]$ . Its image, when acting on the regular representation, is irreducible, and denoted  $V_{\lambda}$ . Distinct partitions give rise to distinct (meaning nonisomorphic) representations and every irep arises from this process for a unique partition.

Corollary 0.31. Every representation of  $S_n$  is defined over  $\mathbb{Q}$ .

Proof.

#### Example 0.9.

- For  $S_3$ , triv = (4), sgn = (1, 1, 1), std = (2, 1)
- For  $S_4$ , triv = (4), sgn = (1, 1, 1, 1), std = (3, 1),  $std \otimes sgn = (2, 1, 1)$ ,  $S_4 \rightarrow S_3 = (2, 2)$
- In general,  $(d, 1, \dots, 1)$  corresponds to various exterior powers of the standard representation.

Theorem 0.32. (Hook-length formula)

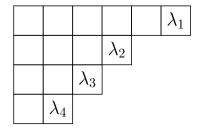
Label each box b in a young diagram (boxes to the right of b) + (boxes below). These are called hook lengths. Then dim  $V_{\lambda} = \frac{n!}{\prod (hook \ lengths \ of \ b)}$ 

Proof.

## Lecture 9, 4/2/25

Let  $n \in \mathbb{Z}_{>0}$ . Our goal is to classify irreps of  $S_n$ . Recall:

**Theorem 0.33.** For each partition  $\lambda$  of n, there exists a unique isomorphism class of irrep  $V_{\lambda}$  of  $S_n$ , constructed as follows:



where  $\sum \lambda_i = n$  We let R be the subgroup of  $S_n$  which preserves the rows, Q the subgroup preserving the columns. We set

$$a \stackrel{\text{def}}{=} \sum_{g \in P} e_g \in \mathbb{C}[S_n]$$

$$b \stackrel{\text{def}}{=} \sum_{g \in Q} sgn(g)e_g \in \mathbb{C}[S_n]$$
$$c = ab$$

Then  $V_{\lambda} \stackrel{\text{def}}{=} \mathbb{C}[S_n]c$  is an irrep of  $S_n$ . Further, every irrep arises in this way.

Proof. Summary: WTS

- 1. dim  $\operatorname{Hom}_G(V_{\lambda}, V_{\mu}) = \delta_{\mu\lambda}$
- **2.** Any irrep is some  $V_{\lambda}$ .

#### Remark:

- 1. There is an explicit dimension formula, the hook-length formula
- **2.** There is an explicit formula for the character of  $V_{\lambda}$  due to Frobenius.

For more, look for Etingof's "Representation theory" notes for a course given at MIT.

We will begin the proof by writing down  $c_{\lambda}$ .

#### Lemma 1.

$$c_{\lambda} = \sum_{g = \underbrace{p}} q sgn(q)e_{pq}$$

Proof.

$$a_{\lambda}b_{\lambda} = \left(\sum_{g \in P_{\lambda}} e_g\right) \cdot \left(\sum_{h \in Q_{\lambda}} sgn(h)e_h\right)$$
$$= \sum_{g \in P_{\lambda}, h \in Q_{\lambda}} sgn(h)\underbrace{e_g e_h}_{e_{gh}}$$

Goal: Compute  $c_{\lambda}^2 = a_{\lambda}b_{\lambda}a_{\lambda}b_{\lambda}$ 

**Lemma 2.** For all  $x \in \mathbb{C}[S_n]$ ,  $a_{\lambda}xb_{\lambda} = \ell_{\lambda}(x)c_{\lambda}$ , where  $\ell_{\lambda} : \mathbb{C}[S_n] \to \mathbb{C}$  is some linear map.

Corollary 0.34.  $c_{\lambda}^2 = \ell_{\lambda}(b_{\lambda}a_{\lambda})c_{\lambda}$ 

*Proof.* Check this on each  $e_g \in \mathbb{C}[S_n], g \in S_n$ .

Case 1  $g \in P_{\lambda}Q_{\lambda}$ 

We have  $g = pq, e_g = e_p e_q$ .

$$a_{\lambda}e_{g}b_{\lambda} = \left(\sum_{h \in P_{\lambda}} e_{h}\right) e_{g} \left(\sum_{u \in Q_{\lambda}} sgn(u)e_{u}\right)$$

$$= \left(\sum_{h \in P_{\lambda}} e_{h}e_{p}\right) \sum_{u \in Q_{\lambda}} sgn(u)e_{q}e_{u}$$

$$= sgn(q)c_{\lambda}b_{\lambda}$$

$$= sgn(q)c_{\lambda}$$

$$= sgn(q)c_{\lambda}$$

Case 2  $g \notin P_{\lambda}Q_{\lambda}$ 

In this case,  $a_{\lambda}e_{g}b_{\lambda}=0$ . To see this, it is enough to show that there exists a transposition  $t \in P_{\lambda}$  such that  $g^{-1}tg \in Q_{\lambda}$ , i.e. g sends two elements of  $\{1,\ldots,n\}$  in the same row of the Young diagram for  $\lambda$ , to two elements of the same column.

It is enough to show this because

$$a_{\lambda}gb_{\lambda} = a_{\lambda}tgb_{\lambda}$$

$$= a_{\lambda}g(g^{-1}tg)b_{\lambda}$$

$$= -a_{\lambda}gb_{\lambda}$$

This implies  $a_{\lambda}gb_{\lambda}=0$ .

Now, suppose there do not exist 2 elements in the same row of  $\lambda$  sent to the same column of  $\lambda$  by g.

Then  $g \in P_{\lambda}Q_{\lambda}$ .

To see this, let T be the <u>standard</u> Young Tableau for  $\lambda, T' = gT$ , P' the stabilizer of rows of T', Q' the stabilizers of columns.

- (i) By assumption, any two numbers in the first row of T lie in different columns of T'.
- (ii) Then there exists  $q'_1 \in Q'$  such that q'T' has the same elements in first row (perhaps in a different order).
- (iii) Choose  $p'_1 \in P_\lambda$  such that  $p'_1 q'_1 T'$  has the first row as T.
- (iv) Likewise with the 2nd row and so on.

Corollary 0.35.

$$\ell_{\lambda}(b_{\lambda}a_{\lambda}) = \frac{n!}{\dim V_{\lambda}}$$

Proof. later

# Lecture 10, 6/2/25

Note: For the finite group stuff we are using "Linear reps of finite groups" by Serre (first 3rd is for chemists apparently which is amusing). Specifically chapters 1-3, 6, 9 Other stuff is also on the quercus.

To finish the proof of the theorem, we have to show that the  $V_{\lambda}$  are irreducible and mutually non-isomorphic. Then, from a bijection between conjugacy classes and partitions, we will be done.

Last time we showed that  $a_{\lambda}xb_{\lambda} = \ell_{\lambda}(x)c_{\lambda}$ , and its corrolary, that  $c_{\lambda}^2 = \ell_{\lambda}(b_{\lambda}a_{\lambda})c_{\lambda}$ 

Corollary 0.36.

$$\ell_{\lambda}(b_{\lambda}a_{\lambda}) = \frac{n!}{\dim V_{\lambda}}$$

*Proof.* We know that  $c_{\lambda} = \alpha \cdot p_{\lambda}$ , where  $p_{\lambda}$  is an idempotent.

$$c_{\lambda}^{2} = \alpha^{2} p_{\lambda}^{2}$$
$$= \alpha^{2} p_{\lambda}$$
$$= \alpha c_{\lambda}$$

So  $\alpha = \ell_{\lambda}(b_{\lambda}a_{\lambda})$  so we calculate the trace of  $c_{\lambda}$ : Trace of an idempotent is dim of its image, and  $c_{\lambda}$  has the same image as  $p_{\lambda}$ 

$$\operatorname{tr}(c_{\lambda}) = \alpha \cdot \dim \operatorname{Im}(c_{\lambda})$$
$$= \ell(b_{\lambda}a_{\lambda}) \cdot \dim \operatorname{Im}(c_{\lambda})$$
$$= \ell(b_{\lambda}a_{\lambda}) \cdot \dim V_{\lambda}$$

Now, if this number is not zero, then we get an idempotent by dividing  $c_{\lambda}$  by this number. We calculate

$$\operatorname{tr}(c_{\lambda}) = \sum_{pq \in P_{\lambda}Q_{\lambda}} \operatorname{tr}(\cdot e_{pg}) sgn(q)$$
$$= \operatorname{tr}(\cdot \operatorname{Id})$$
$$= n!$$

Goal: Compute  $\dim_{\mathbb{C}} \operatorname{Hom}_{S_n}(V_{\lambda}, V_{\mu}) = \begin{cases} 1 & \lambda = \mu \\ 0 & \text{otherwise} \end{cases}$ 

We know  $\operatorname{Hom}_{S_n}(V_{\lambda}, V_{\mu}) = \operatorname{Hom}_{S_n}(\mathbb{C}[S_n]\dot{c}_{\lambda}, \mathbb{C}[S_n]c_{\mu})$ 

**Proposition 10.** Let A be a  $\mathbb{C}$ -algebra,  $e \in A$  an idempotent, M an A-module. Then  $\operatorname{Hom}_A(Ae, M) \simeq eM$  naturally.

*Proof.* For  $x \in eM$ , we have a morphism  $x \mapsto (a \mapsto ax)$ , and  $f \mapsto f(e)$ . e is an idempotent, so 1 - e is also an idempotent, so 1 = e + (1 - e), so  $A \simeq Ae \oplus A(1 - e)$ , so  $Hom(Ae, M) \simeq Hom(A/A(1 - e), M) = \{f : A \to M \mid f(e) = f(1)\} = \{x \in M \mid x \in eM\} = eM$ 

Now let's prove the main theorem.

### Proposition 11.

$$\dim_C \operatorname{Hom}_{S_n}(V_{\lambda}, V_{\lambda}) = 1$$

Thus,  $V_{\lambda}$  is irreducible

Proof.

$$\operatorname{Hom}_{S_n}(V_{\lambda}, V_{\lambda}) = c_{\lambda} \mathbb{C}[S_n] c_{\lambda}$$

$$\subseteq a_{\lambda} \mathbb{C}[S_n] b_{\lambda}$$

$$\subseteq \operatorname{span}_{\mathbb{C}}(c_{\lambda})$$

So the dimension is at most 1. To see it is exactly 1, this space has  $c_{\lambda} \cdot 1 \cdot c_{\lambda} \neq 0$ So dim = 1, so  $V_{\lambda}$  is irreducible.

Now let  $\lambda, \mu$  be two partitions of n. Sat  $\lambda > \mu$  if the first  $\lambda_i \neq \mu_i$  has  $\lambda_i > \mu_i$ , i.e. the lexicographical ordering. This is a total ordering, i.e. for any pair  $(\lambda, \mu)$ , exactly one of  $\lambda = \mu, \lambda > \mu, \lambda < \mu$  is true.

**Proposition 12.** If  $\lambda > \mu$ , then  $a_{\lambda}\mathbb{C}[S_n]b_{\mu} = 0$ .

Proof. In a bit

Assuming this, then, if  $\lambda \neq \mu$ , we want to show that dim  $\operatorname{Hom}_{S_n}(V_\lambda, V_\mu) = 0$ .

*Proof.* We have

$$\operatorname{Hom}_{S_n}(V_{\lambda}, V_{\lambda}) = c_{\lambda} \mathbb{C}[S_n] c_{\mu}$$

$$= a_{\lambda} b_{\lambda} \mathbb{C}[S_n] a_{\mu} b_{\mu}$$

$$\subseteq a_{\lambda} \mathbb{C}[S_n] b_{\mu}$$

$$= 0$$

if  $\lambda > \mu$ . But dim  $\operatorname{Hom}_{S_n}(V_\lambda, V_\mu) = \dim \operatorname{Hom}_{S_n}(V_\mu, V_\lambda)$ , so one, hence both, are 0.

Now we prove the proposition

*Proof.* We will verify it on  $e_q \in \mathbb{C}[S_n]$ .

Claim. There exist two numbers on the same row of the standard Young tableaux for  $\lambda$ , same column for  $g \cdot (standard\ Young\ tableaux\ of\ \mu)$ 

Proof. Homework

**Example 0.10.** If  $g = \text{Id}, \lambda_1 > \mu_1$ ,

1	2	3	4		1	2	3
				,	4	5	

Let t be the transpotion for these two numbers. Then

$$a_{\lambda}gb_{\lambda} = c_{\lambda}tgb_{\mu}$$
$$= a_{\lambda}gg^{-1}tgb_{\lambda}$$
$$= -a_{\lambda}gb_{\mu}$$

## The rep theory of $GL_2(\mathbb{F}_p)$

Goal: Understand the irreps of  $GL_n(\mathbb{F}_q)$ 

What is the size of this group?

$$|GL_2(\mathbb{F}_q)| = (q^2 - 1)(q^2 - q) = q(q^2 - 1)(q - 1)$$

Proof.

$$\operatorname{GL}_2(\mathbb{F}_q) = \{(v, w) \mid v, w \in (\mathbb{F}_q)^2 \text{ linearly independent } \}$$

So we can pick any v a nonzero vector, and any w not in the span of v. The number of such possible choices is  $(q^2 - 1)(q^2 - q)$ 

### Conjugacy classes:

What are the conjugacy classes of  $GL_2(\mathbb{F}_q)$ ?

What are the reps of  $GL_2(\mathbb{F}_q)$  over  $\mathbb{C}$ ? Besides the trivial one, we also have  $P^1(\mathbb{F}_1) = \{1 - \dim \text{ subspaces of } \mathbb{F}_q^2\}.$ 

This gives a permutation representation  $\mathbb{C}^{P^1(\mathbb{F}_q)}$ .

We have  $std = \mathbb{C}^{P^1(\mathbb{F}_q)}/\mathbb{C}$  has dimension q. Let's compute the character of this representation. Let's call the first set of conjugacy classes above  $z_x$ , the second  $d_{x,y}$ ,  $u_x$ ,  $t_{x,y}$ 

We have

$$\langle std, std \rangle = \frac{1}{q(q-1)^2(q+1)} \left( (q-1)q^2 + \frac{q(q-1)(q-2)(q+1)}{2} + 0 + \frac{q^2(q-1)^2}{2} \right)$$
= 1

What other representation are there?

Choose  $\chi : \mathbb{F}_q^{\times} \to \mathbb{C}$ , and then  $(\chi \circ \det)^n$ , for  $n = 1, \dots, q - 2$ .

To construct more reps, we will examine some induces reps.

**Definition 0.12.** Let 
$$B = \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \subseteq GL_2(\mathbb{F}_q)$$
 (B is for Borel)

$$|B| = q(q-1)^2$$
. Let *U* be all the matrices of the form  $\begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix}$ .

What is B/U? It is  $\mathbb{F}_q^{\times} \times \mathbb{F}_q^{\times}$ . We will take reps of this and view them as reps of B via the quotient map and induced reps.

For each  $\psi : \mathbb{F}_q^{\times} \times \mathbb{F}_q^{\times} \to \mathbb{C}^{\times}$ , we can consider the induction  $\operatorname{Ind}_B^{\operatorname{GL}_2(\mathbb{F}_1)}(\psi|_B)$ . These are indexed by  $\psi(\epsilon, 1)$  and  $\psi(1, \epsilon)$ .

Then  $\operatorname{Ind}_{B}^{\operatorname{GL}_{2}(\mathbb{F}_{q})}(\psi_{a,b}|_{B})$  has dimension q+1 and has character  $(q+1)\psi(x)^{2}$ For  $d_{x,y}$  we have  $\psi(x,1)+\psi(1,x)+\psi(y,1)\cdot\psi(1,y)$ 

I have kind of lost the plot at this point I'm sorry.

**Proposition 13.** Let  $\chi = \sum n_i \rho_i \in R(G)$  be a virtual character of a finite group G. Then  $\chi$  is the character of an honest irrep iff  $\langle \chi, \chi \rangle = 1$ , and  $\chi(1) > 0$ .

*Proof.* If we write  $\chi = \sum n'_i \rho'_i$ , where  $\rho'_i$  are irreps, then  $\langle \chi, \chi \rangle = \sum_i (n_i)^2 = 1$  by assumption. So at most one of the  $n_i$  are nonzero, and it must be  $\pm 1$ . So  $\chi = \pm \rho$  for some irrep  $\rho$ . If  $\chi(1) > 0$ , then  $\chi(1) = \pm \dim \rho > 0$ 

# Lecture 11, 11/2/25

For simplicity, we will assume q is odd (even is similar, but annoying to do uniformly)

Recall that  $|\operatorname{GL}_2(\mathbb{F}_q)| = (q^2 - 1)(q^2 - q)$ 

$$\begin{array}{c|c} \text{Conjugacy class} & \text{number of such conjugacy classes} & \text{size of each} \\ \begin{pmatrix} x & 0 \\ 0 & x \end{pmatrix}, x \in \mathbb{F}_q^\times & q-1 & 1 \\ \begin{pmatrix} x & 0 \\ 0 & y \end{pmatrix}, x \neq y \in \mathbb{F}_q^\times & \frac{(q-1)(q-2)}{2} & q(q+1) \\ \begin{pmatrix} x & 1 \\ 0 & x \end{pmatrix}, x \in \mathbb{F}_q^\times & q-1 & q^2-1 \\ \begin{pmatrix} x & \epsilon y \\ y & x \end{pmatrix}, \epsilon \text{ a generator of } \mathbb{F}_q^\times & \frac{q(q-1)}{2} & q^2-q \\ \end{array}$$

For the last one,  $char \neq 2$ 

We denote by B all matrices of the form  $\begin{pmatrix} * & * \\ 0 & * \end{pmatrix}$ , and by T the span of all matrices of the form  $\begin{pmatrix} x & \varepsilon y \\ y & x \end{pmatrix}$ 

Let U denote the matrices of the form  $\begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix}$ . Then  $B/U \cong (\mathbb{F}_q^{\times})^2$  (picks out two diagonal entries of B).

Given  $\alpha, \beta : \mathbb{F}_q^{\times} \to \mathbb{C}^{\times}$  reps of  $\mathbb{F}_q^{\times}$ ,, then we have a rep  $\psi_{\alpha,\beta} : B \to B/U \simeq (\mathbb{F}_q^{\times})^2 \to \mathbb{C}^{\times}$ , where this second morphism is by  $\alpha \boxtimes \beta$ .

	$z_x$	$d_{x,y}$	$u_x$	$t_{x,y}$
triv	1	1	1	1
$\operatorname{std}$	q	1	0	-1
$\operatorname{Ind}_B^{\operatorname{GL}_2(\mathbb{F}_q)} \psi_{lpha,eta}$	$(q+1)\alpha(x)\beta(x)$	$\alpha(x)\beta(y) + \alpha(y)\beta(x)$	$\alpha(x)\beta(x)$	0

This third line is irreducible if  $\alpha \neq \beta$ . Note  $\operatorname{Ind}_{B}^{\operatorname{GL}_{2}} \psi_{\alpha,\beta} = \operatorname{Ind}_{B}^{\operatorname{GL}_{2}} \psi_{\beta,\alpha} \operatorname{Ind}_{B}^{\operatorname{GL}_{2}} \psi_{\alpha,\alpha} = \alpha \circ \det \oplus (\alpha \circ \det) \otimes (\alpha)(?)$ 

Recall we proved last time that if G is a finite group, R(G) the representation ring. As a group, R(G) is generated by the irreps of G. Multiplication is given by expressing the tensor of two irreps as a sum of two irreps. Given  $V \in R(G)$ , V is the class of an irrep if and only if  $\langle \chi_V, \chi_V \rangle = 1$ , and  $\chi_V(\mathrm{Id}_G) > 0$ .

Now, we can think of T as being isomorphic to  $\{x + \sqrt{\varepsilon}y \in \mathbb{F}_{q^2}^{\times}\}$ . Given a  $\varphi : \mathbb{F}_{q^2}^{\times} \to \mathbb{C}^{\times}$ , we have

	$z_x$	$d_{x,y}$	$u_x$	$t_{x,y}$
triv	1	1	1	1
$\operatorname{std}$	q	1	0	-1
$\operatorname{Ind}_B^{\operatorname{GL}_2(\mathbb{F}_q)} \psi_{\alpha,\beta}$	$(q+1)\alpha(x)\beta(x)$	$\alpha(x)\beta(y) + \alpha(y)\beta(x)$	$\alpha(x)\beta(x)$	0
$\operatorname{Ind}_T^{\operatorname{GL}_2(\mathbb{F}_q)} arphi$	$q(q-1)\varphi(x)$	0	0	$\varphi(\zeta) + \varphi(\zeta)^q(?)$

where  $\zeta = x + \sqrt{2}y$ ?). There's another very convoluted row I didn't quite catch you get by putting the others together in various ways, but that's all of them.

For more: wikipedia Deliegne-Lustztig(sp?) theory.

The story of  $SL_2(\mathbb{F}_q)$  is similar: restrict reps fo  $SL_2(\mathbb{F}_q)$ , some of the  $\alpha, \beta$  break up (into at most two pieces), there's some redundancies, and every rep of  $SL_2(\mathbb{F}_q)$  is a restriction.

Fun exercise: Show  $PSL_2(\mathbb{F}_q)$  is simple for q > 3 odd.

## Lie Algebras

Let k be an arbitrary field,  $\mathfrak{g}$  a finite dimensional k-vector space.

**Definition 0.13.** A Lie bracket  $[-,-]: \mathfrak{g} \times \mathfrak{g} \to \mathfrak{g}$ 

- is Bilinear, meaning  $[-,*]:\mathfrak{g}\to\mathfrak{g}$  is linear, as is [\*,-] for any  $*\in\mathfrak{g}$
- is Alternating, meaning [x, x] = 0 for all  $x \in \mathfrak{g}$ . This implies [x, y] = -[y, x]. In characteristic 2, this is stronger!
- satisfies the Jacobi Identity:

$$[x, [y, z]] + [y, [z, x]] + [z, [x, y]] = 0$$

A Lie Algebra is a finite dimensional k-vector space  $\mathfrak{g}$  with a Lie bracket.

Corollary 0.37. [x, y] = -[y, x]

Proof. 
$$[x + y, x + y] = [x, x] + [y, y] + [x, y] + [y, x] = 0$$

### Example 0.11.

- Let R be an associative k-algebra. Then [x, y] = xy yx will be a Lie bracket.
- $Mat_{n\times n}(k)$  is a Lie algebra with

$$[A, B] = AB - BA$$

• We have  $\mathfrak{sl}_n(k) \subseteq \operatorname{Mat}_{n \times n}(k)$  the set of all matrices with trace 0. Then [A, B] = AB - BA is again a Lie bracket.

• A Lie algebra is <u>abelian</u> if [-,-]=0 for all vectors.

Suppose R is a commutative k-algebra. A <u>k-derivation</u>  $\delta: R \to R$  is a k-linear map such that  $\delta(a) = 0$  for  $a \in k$ , and  $\delta(xy) = \delta(x)y + x\delta(y)$ .

#### Example 0.12.

We can take  $R = k[t], \delta = \frac{\partial}{\partial t}$ .

Fact:

 $Der_k(R)$ , the set of all k-derivations on R, is a Lie algebra, with  $[\delta, \gamma] = \delta \circ \gamma - \gamma \circ \delta$ .

## Lecture 12, 13/2/25

Let's prove the above fact.

Claim.  $Der_k(R)$  is a Lie algebra, with bracket  $[\delta, \gamma] = \delta \circ \gamma - \gamma \circ \delta$ .

*Proof.* We have

$$\delta \circ \gamma(xy) = \delta(\gamma(x)y + x\gamma(y))$$

$$= \delta\gamma(x)y + \gamma(x)\delta(y) + \delta(x)\gamma(y) + x\delta\gamma(y)$$

$$\gamma \circ \delta(xy) = \cdots$$

$$(\delta \circ \gamma - \gamma \circ \delta)(xy) = \delta\gamma(x)y + x\delta\gamma(y) - \gamma\delta(x)y - x\gamma\delta(y)$$

### Example 0.13.

- If M is a smooth manifold,  $Der_{\mathbb{R}}(C^{\infty}(M)) = C^{\infty}$  vector fields on M.
- If G is a Lie group (i.e. a  $C^{\infty}$  manifold equipped with a  $C^{\infty}$  group structure). Define Lie(G) to be the space of left G-invariant vector fields. This has another description as the tangent space of the identity,  $T_eG$ .

**Definition 0.14.** Let  $\mathfrak{g}$  be a Lie algebra over k. A representation of  $\mathfrak{g}$  is a k-linear map  $\rho: \mathfrak{g} \to \operatorname{Mat}_{n \times n}(k)$  which respects the Lie bracket, i.e.  $\rho([x,y]) = [\rho(x), \rho(y)]$ , where on the right hand side it's just the commutator of matrices.

**Definition 0.15.** Given Lie algebras  $\mathfrak{g}$ ,  $\mathfrak{h}$  over a field k, a homomorphism  $f:\mathfrak{g}\to\mathfrak{h}$  is a k-linear map such that f([x,y])=[f(x),f(y)] for all  $x,y\in\mathfrak{g}$ . Equivalently, a representation is a Lie algebra morphism  $\rho:\mathfrak{g}\to\mathfrak{gl}_n$ . Equivalently,  $\mathfrak{gl}(V)=\mathrm{End}(V)$ , so a rep is a morphism  $\mathfrak{g}\to\mathfrak{gl}(V)$ 

**Definition 0.16.** The Universal Enveloping Algebra  $U\mathfrak{g}$  is defined by

$$U\mathfrak{g} \stackrel{\text{def}}{=} \frac{\bigoplus_{n\geq 0} \mathfrak{g}^{\otimes n}}{\langle x \otimes y - y \otimes x - [x,y] \forall x, y \in \mathfrak{g} \rangle}$$

Main property:

$$\operatorname{Hom}_{\operatorname{Assoc} k-\operatorname{alg}}(U\mathfrak{g},R) = \operatorname{Hom}_{Lie}(\mathfrak{g},R)$$

**Definition 0.17.** A (finite-dimensional)  $\mathfrak{g}$ -representation is the same as a left  $U\mathfrak{g}$ -module which is finite dimensional as a k-vector space.

**Example 0.14.** If  $\mathfrak{g}$  is the Lie algebra of a Lie group, then  $U\mathfrak{g}$  is the left-invariant differential operators.

Example 0.15. of representations

- Id:  $\mathfrak{g} = \mathfrak{gl}_n \to \mathfrak{gl}_n$ . Inside of  $\mathfrak{gl}_n$  is  $\mathfrak{sl}_n$ , and this gives a rep of it.
- k, [-, -] = 0 is repped by sending \* to  $\begin{pmatrix} 0 & * \\ 0 & 0 \end{pmatrix}$ . The span of  $\begin{pmatrix} 1 \\ 0 \end{pmatrix}$  is a subrepresentation.
- $\rho_A: k \to \mathfrak{gl}_n, * \mapsto *A$

**Definition 0.18.** Let  $\rho: \mathfrak{g} \to \mathfrak{gl}(V)$  be a representation.  $W \subseteq V$  is a <u>subrepresentation</u> if for all  $x \in \mathfrak{g}, w \in W, \rho(x)w \in W$ . This is the same as saying W is a  $U\mathfrak{g}$ -submodule of V.

**Example 0.16.** Let  $\mathfrak{b}_n$  be the Lie algebra of upper triangular matrices. It contains  $\mathfrak{N}_n$ , the strictly upper triangular matrices. The former is solvable, the latter nilpotent.

Given some representations, how can we make new ones?

## Operations on representations

Let  $\mathfrak{g}$  be a Lie algebra over k, V, W representations of  $\mathfrak{g}$ , i.e. are equipped with  $\rho_V$ :  $\mathfrak{g} \to \mathfrak{gl}(V), \rho_W : \mathfrak{g} \to \mathfrak{gl}(W)$ .

- $\rho_V \oplus \rho_W : \mathfrak{g} \to \mathfrak{gl}(V) \oplus \mathfrak{gl}(W) \to \mathfrak{gl}(V \oplus W)$  via the block matrix  $\begin{pmatrix} \rho_V(x) & 0 \\ 0 & \rho_W(x) \end{pmatrix}$
- $V^*$  is a rep via  $\rho_{V^*}: \mathfrak{g} \to \mathfrak{gl}(V^*)$  sending  $x \mapsto (f \mapsto f(-\rho_V(x)))$
- $\rho_{V \otimes W} : \mathfrak{g} \to \mathfrak{gl}(V \otimes W), \ x \mapsto (v \otimes w \mapsto \rho_V(x)v \otimes w v \otimes \rho_W(x)w)$
- $\operatorname{Hom}_k(V, W)$  via  $x \cdot f = x \cdot f(-) f(x \cdot -)$
- $V^{\mathfrak{g}} = \{ v \in V \mid xv = 0 \forall x \in \mathfrak{g} \}$ Observation:  $\underline{\mathrm{Hom}}_k(V, W)^{\mathfrak{g}} = \mathrm{Hom}_{\mathfrak{g}}(V, W)$

**Definition 0.19.** A homomorphism of representations is a k-linear  $f: V \to W$  such that  $f(x \cdot v) = x \cdot f(v)$  for all  $x \in \mathfrak{g}, v \in V$ .

## Representations of $\mathfrak{sl}_2(k)$

Let k be a field of characteristic 0.  $\mathfrak{sl}_2 \subseteq \operatorname{Mat}_{2\times 2}(k)$  is the set of  $2\times 2$  matrices of trace 0. This is  $\operatorname{span}(\underbrace{\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}}, \underbrace{\begin{pmatrix} 1 & 0 \\ 1 & 0 \end{pmatrix}}, \underbrace{\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}})$ 

Now,

$$[e,f] = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} - \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = -h$$

Similarly,

$$[h, f] = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} - \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ -2 & 0 \end{pmatrix} = -2f$$
$$[h, e] = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} -1 & 1 \\ 0 & 0 \end{pmatrix} - \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} 0 & 2 \\ 0 & 0 \end{pmatrix} = 2e$$

A representation of  $\mathfrak{sl}_2$  on V is  $E, F, H \in \mathfrak{gl}(V)$  such that

$$[E, F] = H$$
$$[H, F] = -2F$$
$$[H, E] = 2E$$

Given these, we can consider  $\mathfrak{sl}_2 \to \mathfrak{gl}(V)$  by  $e \mapsto E, f \mapsto F, h \mapsto H$ .

**Lemma 3.** E, F are nilpotent, i.e. some power of them are  $\theta$ .

*Proof.* WLOG,  $k = \overline{k}$ . Otherwise, we could just lift to the algebraic closure, and check it is nilpotent there, and then it will be nilpotent over k.

Let v be an eigenvector of H with eigenvalue  $\lambda$ .  $HFv = -2Fv + FHv = -2Fv + \lambda Fv = (\lambda - 2)Fv$ . Similarly,  $HEv = (\lambda + 2)Ev$ . So  $F^nv = E^nv = 0$  for n sufficiently large, because otherwise H would have infinitely many distinct eigenvalues.

Now let  $W \subseteq V$  be the span of eigenvectors of H. This is a subrepresentation of  $\mathfrak{sl}_2$  (because F and E send eigenvectors to eigenvectors as shown above). Now consider V/W. This is again an  $\mathfrak{sl}_2$ -representation, so by induction on dimension E, F act nilpotently on it.

Here is another proof:

*Proof.* Let's compute

$$tr(E^n) = tr(\frac{1}{2}E^{n-1}[H, E])$$
$$= \frac{1}{2}tr(E^{n-1}HE - E^nH)$$
$$= 0$$

Let  $\lambda_1, \ldots, \lambda_n$  be the generalized eigenvalues of E with multiplicity. Then  $0 = \operatorname{tr}(E^n) = \sum \lambda_i^n$ .

Why? In characteristic 0, these generate all symmetric polynomials in  $\lambda_i$ . The characteristic polynomial of E is  $x^n - \sum_{i < j} (\lambda_i) x^{n-1} + \sum_{i < j} \lambda_i \lambda_j) x^{n-2} + \cdots + = x^n$ . So the characteristic polynomial is  $x^n$ , so it's nilpotent by Cayley-Hamilton.

For now, we will use  $k = \mathbb{C}$  so we can say things like "maximal real part."

**Lemma 4.** Let  $\lambda$  be the eigenvalue of H with maximal real part. Let v be an H-eigenvector with eigenvalue  $\lambda$ . Let n be minimal such that  $F^nv = 0$  (there is such an n because F is nilpotent). Then Ev = 0,  $\operatorname{span}(v, Fv, \ldots, F^{n-1}v)$  is a subrepresentation of V,  $\lambda = n - 1$ . In particular, the eigenvalues of H are all integers.

Proof.

$$EFv = EFv - FEv$$

$$= Hv$$

$$= \lambda v$$

$$EF^{2}v = [E, F]Fv + FEFv$$

$$= HFv + \lambda Fv$$

$$= (\lambda - 2)Fv + \lambda Fv$$

$$= (2\lambda - 2)Fv$$

$$\cdots$$

$$EF^{n}v = (\lambda^{2} + (\lambda + 1)n)F^{n-1}v$$

So this is a subrep.

$$0 = EF^{N}v$$
$$= (-N^{2} + (\lambda - 1)NF^{N-1}V$$

So  $(\lambda + 1)N - N^2 = 0$ . So either N = 0 (which isn't the case), or  $\lambda = N - 1$ . Explicit:

Set  $v = v_0$ ,  $v_i = F^i v_0$ , so  $F v_i = v_{i+1}$ .  $H v_n = (N-2-2n)v_n$ ,  $E v_n = (-n^2+Nn)v_{n-1}$ . Let's call this representation  $V_N$ .

Corollary 0.38. For any non-zero representation W of  $\mathfrak{sl}_2$ , there exists an N > 0 such that  $V_N$  is a subrepresentation of W.

Proof.

 $V_1 \leq \mathfrak{sl}_2 \to \mathfrak{gl}_2.$ 

 $V_n = Sym^N(V_1)$ , where  $x \in \mathfrak{sl}_2$  acts on  $v_1 \otimes v_2 \otimes \cdots \otimes v_N$  by

$$x \cdot (v_1 \otimes \cdots \otimes v_N) = \sum_{i=1}^N v_1 \otimes \cdots \otimes x v_i \otimes \cdots \otimes v_N$$

 $V_N$  is the space of homogeneous polynomials in X, Y of degree N. E acts by  $X \frac{\partial}{\partial y}$ , F acts by  $Y \frac{\partial}{\partial x}$ , H by  $X \frac{\partial}{\partial x} - Y \frac{\partial}{\partial y}$ .

Claim.  $V_N$  are all irreps of  $\mathfrak{sl}_2$  (where irrep means the same as in the world of groups, i.e. no nontrivial subrepresentations).

*Proof.* Any rep of  $\mathfrak{sl}_2$  contains one of these, so it suffices to show that they are irreducibe.

Let  $v = \sum a_i v_i \in V_N$  be any element. It suffices to show  $\{F^a v, E^b v, a, b \in \mathbb{N}\}$  span. Acting by some power of  $E, E^a v \in \text{span}(v_0)$ .

But  $V_n$  is the span of  $F^a v_0$ , so we have shown its irreducible.

**Definition 0.20.** V a representation of  $\mathfrak{g}$  is <u>semi-simple</u> if it's the direct sum of irreducibles.

**Theorem 0.39.** All finite dimensional representations of  $\mathfrak{sl}_2$  in characteristic 0 are semisimple.

Proof.

# Lecture 13, 25/2/25

#### Combinatorics question:

Let  $g_{n,k}$  be the number of unlabeled graphs with n vertices and k edges. Here are the  $g_{n,k}$  for various n

- **1.** 1
- **2.** 1, 1
- **3.** 1, 1, 1, 1
- **4.** 1, 1, 2, 3, 2, 1, 1
- **5.** 1, 1, 2, 4, 6, 6, 6, 4, 2, 1
- **6.** 1, 1, 2, 5, 9, 15, 21, 24, 24, 21,15, 9, 5, 2, 1, 1

Observation:  $g_{n,k} = g_{n,\binom{n}{2}-k}$ 

**Proposition 14.** The sequence  $g_{n,k}$ , for a fixed n, is unimodal in k, meaning these sequences are increasing until they peak in the middle, and then go down.

*Proof.* We are going to use the representation theory of  $\mathfrak{sl}_2$  to prove this unimodality. Recall:  $\mathfrak{sl}_2 = \langle e, f, h \mid [e, f] = h, [h, f] = -2f, [h, e] = 2e \rangle$ 

We have the standard 2-dimensional representation of  $\mathfrak{sl}_2$ , V, given by the inclusion  $\mathfrak{sl}_2 \hookrightarrow \mathfrak{gl}_2$ 

Last time, we showed all irreps of  $\mathfrak{sl}_2$  are isomorphic to  $Sym^nV$  for some nonnegative integer n, where  $x \in \mathfrak{sl}_2$  acts on  $v_1 \otimes \cdots \otimes v_n$  as

$$(x \cdot v_1) \otimes v_2 \otimes \cdots \otimes v_n + v_1 \otimes (x \cdot v_2) \otimes \cdots \otimes v_n + \cdots + v_1 \otimes \cdots \otimes (x \cdot v_n)$$

We have  $h \in \mathfrak{sl}_2$  given by  $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ . Fix  $v_1, v_{-1} \in V$  such that  $hv_1 = v_1, hv_{-1} = -v_{-1}$ . Then h acts by

$$h \cdot (v_1^a v_{-1}^b) = (a+b)v_1 + v_{-1}^b$$

So h acts on  $Sym^nV$  with eigenvalues  $n, n-2, \cdots, -n+2, -n$ 

Lemma:

Let V be any finite dimensional  $\mathfrak{sl}_2$ -representation (not necessarily irreducible).

**Lemma 5.** Let  $d_r$  be the dimension of the generalized eigenspace of h with generalized eigenvalue r.

Then  $\{d_r\}_{r \text{ even}}$ ,  $\{d_r\}_{r \text{ odd}}$  are both unimodal and in particular  $d_r = d_{-r}$ 

*Proof.* By induction on dim V. The base case will be dim V=0, where it easily holds.

Now, assume the claim holds for dim V < n. Suppose dim V = n. Let  $W \subseteq V$  be irreducible. By induction, the claim is true for V/W. By the classification of irreps, it's true for W. But these properties are preserved by addition.

The goal now is to write down some generalized eigenspaces of h with exactly this sequence as it's  $d_r$ 's.

Let  $V_n$  be the vector space consisting of formal linear combinations of labeled graphs with n vertices.

Observation:  $S_n \curvearrowright V_n$  by permuting the vertices.

Let  $V_{n,k}$  be the span of the graphs with k edges. Then

$$V_n = \bigoplus_{k=0}^{\binom{n}{2}} V_{n,k}$$

Observe that  $g_{n,k} = \dim V_{n,k}$ 

Given  $i < j \in \{1, ..., n\}$ , for a labeled graph g, set  $a_{ij}(g) = \begin{cases} g \cup (i, j) & (i, j) \text{ not an edge} \\ 0 & \text{otherwise} \end{cases}$ .

Similarly, set  $b_{ij}(g) = \begin{cases} g \setminus (i,j) & (i,j) \text{ an edge} \\ 0 & \text{otherwise} \end{cases}$ .

Let  $E = \sum_{i < j} a_{ij}$ ,  $F = \sum_{i < j} b_{ij}$ , H = [E, F].  $[a_{i,j}, a_{k,\ell}] = 0$ ,  $[b_{i,j}, b_{k,\ell}] = 0$ , and  $[a_{i,j}, b_{k,\ell}] = 0$  if  $(i, j) \neq (k, \ell)$ , g if  $(i, j) = (k, \ell)$  and g an edge, -g otherwise. Now

$$\begin{split} Hg &= [E, F]g \\ &= \sum_{(i,j) \in g} g - \sum_{(i,j) \not \in g} g \\ &= ((2 \text{(number of edges of g} - \binom{n}{2})g \end{split}$$

Doing this with [H, F]g, it turns out this is -2Fg. Next  $\mathfrak{sl}_2 \curvearrowright V_n^{S_n}$ , and h has eigenspaces  $V_{n,k}^{S_n}$  with eigenvalues  $2k - \binom{n}{2}$  and hence  $g_{n,k} = \dim V_{n,k}^{S_n}$  is unimodal.

# Lecture 14, 4/3/25

Let  $k = \overline{k}$  be an algebraically closed field (of any characteristic for now), and  $\mathfrak{g}$  a Lie algebra over k.

**Example 0.17.** Recall: Given Lie algebra reps V, W,

- $V \oplus W$  given by  $x \cdot (v, w) = (x \cdot v, x \cdot w)$
- $V \otimes W$  given by  $x \cdot (v \otimes w) = (x \cdot v) \otimes w + v \otimes (x \cdot w)$
- $V^*$ , given by  $(x \cdot f)(v) = f(-x \cdot v)$
- $V^{\mathfrak{g}} = \{ v \in V \mid xv = 0 \forall x \in \mathfrak{g} \}$

Exercise:  $\underline{\operatorname{Hom}}_k(V,W)^{\mathfrak{g}} = \operatorname{Hom}_{\mathfrak{g}}(V,W)$ 

We have  $\mathfrak{sl}(V) \hookrightarrow \mathfrak{gl}(V)$  is a representation, so  $\mathfrak{sl}(V) \curvearrowright V \otimes V = Sym^2V \oplus \bigwedge^2(V)$  for  $char \neq 2$ 

Pick  $q \in Sym^2V$ ,  $\omega \in \bigwedge^2 CV$ , both non-degenerate.

Then  $\mathfrak{so}(q) = \{x \in \mathfrak{sl}(V) \mid xq = 0\}, \, \mathfrak{sp}(\omega) = \{x \in \mathfrak{gl}(V) \mid x \cdot \omega = 0\}$ 

Goal: Study Lie algebras with nice representation theory.

Basic examples will be  $\mathfrak{sl}, \mathfrak{so}, \mathfrak{sp}$ , and a finite list of exceptional algebras.

Structure:

There are 2 basic classes of Lie algebras:

• Solvable

• Semisimple

Every finite dimensional Lie algebra is built out of these two types.

**Definition 0.21.** A subspace  $I \subseteq \mathfrak{g}$  is an <u>ideal</u> if for all  $x \in I, v \in \mathfrak{g}, [x, v] \in I$ . Then  $\mathfrak{g}/I$  naturally inherits the structure of a Lie algebra.

**Lemma 6.** Let  $I_1, I_2 \subseteq \mathfrak{g}$  be ideals. Then

- $I_1 + I_2$  is an ideal
- $I_2 \cap I_2$  is an ideal
- $[I_1, I_2] = \langle [x, y] \mid x \in I_1, y \in I_2 \rangle$  is an ideal

Proof. Exercise

### Example 0.18.

- $[\mathfrak{g}, \mathfrak{g}]$  is an ideal (the commutator).
- $[\mathfrak{gl}(V), \mathfrak{gl}(V)] = \mathfrak{sl}(V)$ .
- $\mathfrak{g}/[\mathfrak{g},\mathfrak{g}]$  is abelian.

#### Definition 0.22.

- $D^0\mathfrak{g} = [\mathfrak{g}, \mathfrak{g}], D^i\mathfrak{g} = [D^{i-1}\mathfrak{g}, D^{i-1}\mathfrak{g}].$  This is the <u>derived series</u>, or <u>central series</u>
- $C^0\mathfrak{g} = \mathfrak{g}, C^i\mathfrak{g} = [\mathfrak{g}, C^{i-1}\mathfrak{g}]$  This is the <u>lower central series</u>

**Definition 0.23.**  $\mathfrak{g}$  is <u>solvable</u> if  $D^i\mathfrak{g}=0$  for some i, and <u>nilpotent</u> if  $C^i\mathfrak{g}=0$  for some i.

Solvability means if we take  $[[[x_1, x_2], [x_3, x_4]], [\cdots] = 0$  if there are enough of them. Nilpotency essentially means that  $[x_1, [x_2, [x_3, \cdots, ]]]] = 0$  once you have enough of them.

Unlike in the case of groups, these two notions are extremely closely related.

**Example 0.19.**  $\mathfrak{b}_n = \left\{ \begin{pmatrix} * & \cdots & * \\ \vdots & \ddots & \vdots \\ 0 & \cdots & * \end{pmatrix} \right\}$ , the upper triangular matrices.

$$\mathfrak{n}_n = \left\{ \begin{pmatrix} 0 & \cdots & * \\ \vdots & \ddots & \vdots \\ 0 & \cdots & 0 \end{pmatrix} \right\}, \text{ the nilpotent matrices (strictly upper triangular)}.$$

Exercise:  $[\mathfrak{b}_n,\mathfrak{n}_n] = \mathfrak{n}_n$ 

**Proposition 15.**  $\mathfrak{n}_n$  is nilpotent.

*Proof.* We claim  $C^i\mathfrak{n}_n$  is the set of upper triangular matrices with the first i superdiagonals are zero. This is because the product of two things of this form has the first i+1 superdiagonals zero.

**Definition 0.24.** The <u>radical</u> of  $\mathfrak{g}$  is the maximal solvable ideal in  $\mathfrak{g}$ .

This is a valid thing to write because there is a unique such ideal, but we must show this:

**Lemma 7.** Let  $I_1, I_2 \subseteq \mathfrak{g}$  be solvable ideals. Then  $I_1 + I_2$  is solvable.

*Proof.*  $(I_1 + I_2)/I_1 = I_2/(I_1 \cap I_2)$ . These ideals and  $I_1$  are solvable.

**Claim.** If  $\mathfrak{g}$  is a Lie algebra, and  $I \subseteq \mathfrak{g}$ ,  $\mathfrak{g}/I$  are both solvable, then  $\mathfrak{g}$  is solvable.

*Proof.*  $D^i(\mathfrak{g}/I) = 0$  for some i. This implies  $D^i\mathfrak{g} \subseteq I$ . But iterated commutators are zero in I by assumption.

Corollary 0.40. There exists a unique maximal solvable ideal in  $\mathfrak{g}$ , denoted  $rad(\mathfrak{g})$ 

*Proof.* We can take the span of all solvable ideals.

**Definition 0.25.**  $\mathfrak{g}$  is semisimple if  $rad(\mathfrak{g}) = 0$ 

**Proposition 16.** For any  $\mathfrak{g}$ ,  $\mathfrak{g}/rad(\mathfrak{g})$  is always semisimple.

*Proof.*  $I \subseteq \mathfrak{g}/rad(\mathfrak{g})$  is a solvable ideal if its preimage under the quotient map is solvable, and this would be an extension of  $rad(\mathfrak{g})$ .

### Example 0.20.

- $\mathfrak{sl}_n$  is simple, meaning there are no non-zero proper ideals
- $\mathfrak{so}(q)$  is simple as well
- $\mathfrak{sp}(\omega)$  is as well

Non-example:

 $\mathfrak{gl}(V)$  is NOT semisimple, since scalar matrices make up a solvable ideal.

**Definition 0.26.** (For culture)

 $\mathfrak{g}$  is reductive if  $rad(\mathfrak{g}) = \mathfrak{z}(\mathfrak{g}) = \{x \in \mathfrak{g} \mid [x, v] = 0 \forall v \in \mathfrak{g}\}$ 

# Lecture 15, 6/3/25

Recall we were studying the class of nilpotent Lie algebras, which are a subclass of the class of solvable lie algebras.

Recall a lie algebra is semisimple if  $rad(\mathfrak{g}) = 0$ , that is there are no nonzero solvable ideals.

Standard examples of solvable Lie algebras include  $\mathfrak{b}_n$ , the  $n \times n$  upper triangular matrices, and standard example of a nilpotent Lie algebra is  $\mathfrak{n}_n$ , the strictly upper triangular matrices.

Standard example of semisimple Lie algebra is  $\mathfrak{sl}_n$ .

### Theorem 0.41. (Sophus Lie)

Suppose  $\mathfrak{g}$  is solvable. Then any representation of  $\mathfrak{g}$  is upper triangularizable, i.e. given a representation  $\rho: \mathfrak{g} \hookrightarrow \mathfrak{gl}(V)$ , there exists a basis of V in which  $\rho(x)$  is upper triangular for all  $x \in \mathfrak{g}$ , i.e.  $\rho$  can be conjugated (by  $\mathrm{GL}(V)$ ) into  $\mathfrak{b}$ , i.e. there exists a full flag  $V_1 \subsetneq V_2 \subsetneq \cdots \subsetneq V_n$ , with  $\dim V_i = i$ , where each  $V_i$  is a representation.

Proof.

**Lemma 8.** Suppose  $\mathfrak{g}$  is solvable,  $\rho: \mathfrak{g} \to \mathfrak{gl}(V)$  a representation. Then there exists some  $v \in V$  so that v is an eigenvector for  $\rho(x)$  all  $x \in \mathfrak{g}$ .

*Proof.* We will prove this by induction on dimension. It is clearly true for dim  $\mathfrak{g} = 0$ , so this is our base case.

Now for the induction step:  $[\mathfrak{g},\mathfrak{g}] \subsetneq \mathfrak{g}$  because  $\mathfrak{g}$  is solvable.

Pick  $\mathfrak{g}' \subseteq \mathfrak{g}$  so that

- 1.  $[\mathfrak{g},\mathfrak{g}]\subseteq\mathfrak{g}'$
- $2. \operatorname{codim}(\mathfrak{g}') = 1$

Now observe

- (a)  $\mathfrak{g}'$  is an ideal because for all  $x \in \mathfrak{g}', y \in \mathfrak{g}, [x,y] \in [\mathfrak{g},\mathfrak{g}] \subseteq \mathfrak{g}'$ .
- (b)  $\mathfrak{g}'$  is solvable.

Pick  $x \in \mathfrak{g} \setminus \mathfrak{g}'$ .

By the induction hypothesis, there exists some  $w \in V$  such that  $y \cdot w = \lambda(w)$  for all  $y \in \mathfrak{g}'$ .

Let  $W = \operatorname{span}(w, x \cdot w, x^2 \cdot w, \cdots)$ 

Claim. For all  $y \in \mathfrak{g}'$ ,  $yx^kw = \lambda(y)x^kw + \sum_{\ell < k} a_{\ell k}(y)x^\ell w$ ,  $k < \dim W - 1$  i.e.  $y \in \mathfrak{g}'$  acts on W via an upper triangular matrix with  $\lambda(y)$  on the diagonal.

*Proof.* We prove this by induction on k. For k=0 it is certainly true, so this is our base case.

For the induction step:

$$yx^kw = xyx^{k-1}w + [y,x]x^{k-1}w$$
  
=  $\lambda(y)x^kw + \text{lower order terms } + \lambda([y,x])x^{k-1}w + \text{lower order terms}$ 

So  $\operatorname{tr}(y|W) = \dim W \cdot \lambda(y)$  for all  $y \in \mathfrak{g}'$ 

This implies that  $\lambda([y_1, y_2]) = 0$  (here we use the assumption  $k = \overline{k}$ ) for all  $y_1, y_2 \in \mathfrak{g}$ . The lower order terms are all ultimately built out of commutators, so  $\mathfrak{g}'$  acts on W via  $\lambda - \mathrm{Id}$ .

Now choose  $v \in W$  an x-eigenvector.

This proves the claim.

Let's prove the theorem via a full flag.

Given a rep  $\mathfrak{g} \hookrightarrow \mathfrak{gl}(V)$ , we can now pick an eigenvector  $v \in V$ . Now consider the action of  $\mathfrak{g}$  on  $V/V_1$ , where  $V_1 = \operatorname{span}(v)$ . But  $\dim(V/V_1) < \dim(V)$ , so by induction we win.

Corollary 0.42. Any irrep of a solvable Lie algebra is 1-dimensional.

*Proof.* For any  $\mathfrak{g} \hookrightarrow \mathfrak{gl}(V)$ , there exists a common eigenvector, which means every rep has a 1-dimensional sub representation.

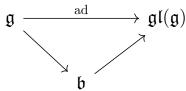
**Corollary 0.43.**  $\mathfrak{g}$  is solvable if and only if  $[\mathfrak{g}, \mathfrak{g}]$  is nilpotent.

*Proof.* We start with the easy direction: it is enough for  $[\mathfrak{g},\mathfrak{g}]$  to be solvable. Because then  $[\mathfrak{g},\mathfrak{g}]$  and  $\mathfrak{g}/[\mathfrak{g},\mathfrak{g}]$  are solvable, so their product,  $\mathfrak{g}$ , is solvable. Now for the other direction.

**Definition 0.27.** Let  $\mathfrak{g}$  be a Lie algebra. The <u>adjoint representation</u> is the map ad :  $\mathfrak{g} \to \mathfrak{gl}(\mathfrak{g})$ , given by  $x \mapsto [x, -]$ .

Observe ker ad =  $\mathfrak{z}(\mathfrak{g})$ , the center.

Suppose  $\mathfrak g$  is solvable. By classification of representations, the adjoint representation factors through  $\mathfrak b$ :



Observe

- (i)  $[\mathfrak{b},\mathfrak{b}] \subset \mathfrak{n}$ , and  $\mathfrak{n}$  is nilpotent
- (ii)  $[\mathfrak{g}/\mathfrak{z}(\mathfrak{g}), \mathfrak{g}/\mathfrak{z}(\mathfrak{g})]$  is nilpotent
- (iii)  $[\mathfrak{g},\mathfrak{g}]$  is nilpotent by definition of center.

Recall  $\mathfrak{g}$  is semisimple if  $rad(\mathfrak{g}) = 0$ .

**Example 0.21.** Let  $\mathfrak{g} = \mathfrak{sl}_2$ . We have ad :  $\mathfrak{sl}_2 \to \mathfrak{gl}(\mathfrak{sl}_2)$ .

$$h = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \to [h, -]$$

Eigenvalues of 0, 2, -2, with eigenvectors  $h, ev_f$ , and fwe (?), respectively.

Suppose  $I \subseteq \mathfrak{sl}_2$  is an ideal. Then ad(h) preserves I.

So this means that none of the possible subalgebras are ideals (can check each of them 1 by 1 because there are only finitely many).

So in particular there are no nontrivial solvable ideals.

Corollary 0.44.  $\mathfrak{sl}_2$  is simple (meaning no nontrivial ideals).

**Theorem 0.45.** Let V be an irrep of a Lie algebra  $\mathfrak{g}$ .

Then for all  $x \in rad(\mathfrak{g})$ , x acts on V via scalars. For all  $x \in [rad(\mathfrak{g}), \mathfrak{g}]$ , x acts on V by  $\theta$ 

Proof. (sketch)

Let  $v \in V$  be an eigenvector for  $rad(\mathfrak{g})$ , i.e. for all  $x \in rad(\mathfrak{g})$ ,  $x \cdot v = \lambda(x) \cdot v$ 

Let  $V_{\lambda} = \{ w \in V \mid x \cdot w = \lambda(x) \cdot w \forall x \in rad(\mathfrak{g}) \}$ 

Fix  $y \in \mathfrak{g}$ ,  $x \in rad(\mathfrak{g})$ . Let  $w \in V_{\lambda}$ . Then  $xyw = yxw + [x, y]w = \lambda(x)yw + \lambda([x, y])w$ . We can set  $W = \operatorname{span}(w, y \cdot w, y^2 \cdot w, \cdots)$ . This is certainly preserved by y, and to show it's preserved by the radical you do something similar as the previous theorem.

Look at theorem 6.16 in the book for this course (Kiralov (sp?))

### Bilinear forms

**Definition 0.28.** Let  $\mathfrak{g}$  be a Lie algebra. A bilinear form B on  $\mathfrak{g}$  is <u>invariant</u> if

$$B([x, y], z) + B(y, [x, z]) = 0$$

for all  $x, y, z \in \mathfrak{g}$ .

**Proposition 17.** Suppose  $\mathfrak{g}$  is a Lie algebra, B an invariant bilinear form on  $\mathfrak{g}$ ,  $I \subseteq \mathfrak{g}$  an ideal.

Then  $I^{\perp} = \{x \mid B(x,y) = 0 \forall y \in I\}$  is an ideal.

*Proof.* Let  $x \in I, y \in \mathfrak{g}, z \in I^{\perp}$ . We want to show  $[y, z] \in I^{\perp}$ . We have  $B([y, z], x) = -B(z, [\underline{y}, \underline{x}]) = 0$ 

Corollary 0.46. Let  $\mathfrak{g}, B$  as before. Then  $\mathfrak{g}^{\perp}$  is an ideal.

**Example 0.22.** Take  $\mathfrak{g} = \mathfrak{gl}_n$ ,  $B(x,y) = \operatorname{tr}(xy)$ . Then

$$tr([x,y],z) + tr(y,[x,z]) = tr(xyz - yxz) + tr(yxz - yzx)$$
$$= 0$$

**Example 0.23.** Let  $\rho: \mathfrak{g} \to \mathfrak{gl}(V)$  be a representation. Then we may define

$$B_{\rho}(x,y) = \operatorname{tr}(\rho(x)\rho(y))$$

How to check if B is nondegenerate:

We have  $B: V \times V \to k$ 

We have a  $\psi_B: V \to V^*$  given by  $x \mapsto B(x, -)$ .

Then B is non degenerate if  $\psi_B$  is an isomorphism. So we can pick a basis  $e_1, \ldots, e_n$ , and show  $\det(B(e_i, e_i)) \neq 0$ .

**Theorem 0.47.** Suppose there exists  $\rho$  with  $B_{\rho}$  non-degenerate. Then  $\mathfrak g$  is reductive (i.e.  $rad(\mathfrak{g}) = \mathfrak{z}(\mathfrak{g})$ ).

*Proof.* It is enough to show  $[\mathfrak{g}, rad(\mathfrak{g})] = 0$ 

But  $\rho([\mathfrak{g}, rad(\mathfrak{g})])$  acts by zero on any irreducible representation of  $\mathfrak{g}$ 

Claim. This implies  $[\mathfrak{g}, rad(\mathfrak{g})] \subseteq \ker B_{\rho}$ 

*Proof.* By induction on dim  $\rho$ ,  $\rho$  irreducible (otherwise we take a irreducible subrep  $\psi \subseteq \rho$ ).

It is enough to show  $B_{\rho} = B_{\psi} + B_{\rho/\psi}$ 

 $B_{\rho}$  being nondegenerate means  $\ker B_{\rho} = 0$ , so  $rad(\mathfrak{g}) = \mathfrak{z}(\mathfrak{g})$ (?)

Corollary 0.48.  $\mathfrak{gl}_n, \mathfrak{sl}_n, \mathfrak{so}(n), \mathfrak{sp}(2n)$  are all reductive.

*Proof.* We will do the proof for  $\mathfrak{gl}_n$ .

Take  $\rho = \mathrm{Id} : \mathfrak{gl}_n \to \mathfrak{gl}_n, B_{\rho}(x, y) = \mathrm{tr}(xy).$ 

Let  $e_{ij}$  be the matrix with  $(e_{ij})_{k\ell} = \delta_{k\ell}^{ij}$ .

We see  $B(e_{ij}, e_{k\ell}) = \delta_{i\ell} \delta j k$ 

**Definition 0.29.** Let  $\mathfrak{g}$  be a Lie algebra.

The Killing form K is defined as  $K = B_{ad}$ , so  $K(x, y) = \operatorname{tr}(\operatorname{ad}(x) \cdot \operatorname{ad}(y))$ 

#### Theorem 0.49.

- (a)  $\mathfrak{g}$  is semisimple iff K is non-degenerate
- (b)  $\mathfrak{g}$  is solvable if and only if  $K([\mathfrak{g},\mathfrak{g}],\mathfrak{g})=0$ .

Proof. Next time.

**Definition 0.30.** Let  $k = \overline{k}$ , chark = 0,  $\mathfrak{g}$  a finite dimensional k-Lie algebra.

A bilinear form  $B: \mathfrak{g} \times \mathfrak{g} \to k$  is <u>invariant</u> if

$$B([x, y], z) + B(y, [x, z]) = 0$$

Given a representation  $\rho: \mathfrak{g} \to \mathfrak{gl}(V)$ , there is a canonical form

$$B_{\rho}(x,y) = \operatorname{tr}(\rho(x)\rho(y))$$

**Definition 0.31.** The <u>Killing form</u> is given by  $K = B_{ad}$ , so K(x, y) = tr(ad(x) ad(y)) **Theorem 0.50** (Cartan's criterion).

- (a)  $\mathfrak{g}$  is semisimple if and only if K is non-degenerate
- (b)  $\mathfrak{g}$  is solvable if and only if  $K([\mathfrak{g},\mathfrak{g}],\mathfrak{g})=0$

Corollary 0.51. Let  $\mathfrak{g}$  be semisimple,  $I \subseteq \mathfrak{g}$  an ideal.

Then there is an  $I' \subseteq \mathfrak{g}$  such that  $\mathfrak{g} = I \oplus I'$  (as a Lie algebra).

*Proof.*  $\mathfrak{g}$  is semisimple, so by the theorem (yet to be proven), we can take I' to be the perpendicular subspace under K, and dim  $I + \dim I' = \dim \mathfrak{g}$  simply because K is non degenerate.

We want to show  $I \cap I' = 0$ .

Claim.  $K|_I$  is the killing form for I.

*Proof.* Let  $x, y \in I$ . Then

$$K(x,y) = \operatorname{tr}(\operatorname{ad}(x)\operatorname{ad}(y)|\mathfrak{g})$$

$$= \operatorname{tr}(\operatorname{ad}(x)\operatorname{ad}(y)|I) + \underbrace{\operatorname{tr}(\operatorname{ad}(x)\operatorname{ad}(y)|\mathfrak{g}/I)}_{=0}$$

because ad(x), ad(y) act on  $\mathfrak{g}/I$  as 0.

Now to prove the theorem.

We wish to show  $K|_I$  is non-degenerate. Let  $x \in I$ . We want to show there is a  $y \in I$  with  $K(x,y) \neq 0$ .

We know there is some  $z \in \mathfrak{g}$  such that  $K(x,z) \neq 0$ .

He put it on the homework :(

Corollary 0.52. If  $\mathfrak g$  is semisimple, then  $\mathfrak g$  is a direct sum of simple non-abelian Lie algebras.

*Proof.* Given by induction on dimension:

Choose any ideal  $I \subseteq \mathfrak{g}$ . If it doesn't exist you're done. Otherwise  $\mathfrak{g} = I \oplus I'$ . I, I' are quotients of  $\mathfrak{g}$ , hence semisimple, so we are done by the inductive hypothesis.

Corollary 0.53. If  $\mathfrak{g}$  is semisimple, then  $[\mathfrak{g},\mathfrak{g}] = \mathfrak{g}$ .

*Proof.* It is enough to check for  $\mathfrak g$  simple and non-abelian (because  $\mathfrak g$  is a direct sum of such).

But  $[\mathfrak{g},\mathfrak{g}] \subseteq \mathfrak{g}$  is an ideal, hence everything, and  $\mathfrak{g}$  is nonabelian by assumption.

Corollary 0.54. Let  $\mathfrak{g}$  be semisimple. Then all finite dimensional  $\mathfrak{g}$ -representations are semisimple (meaning a direct sum of irreps).

Proof.

Now to prove Cartan's criterion.

For the rest of today,  $k = \mathbb{C}$  (this is a convenience and it will turn out we can reduce to this case).

**Theorem 0.55.** Let V be a finite dimensional vector space,  $A \in \text{End}(V)$ .

- **1.**  $A = A_s + A_n$ , with  $A_s$  diagonalizable and  $A_n$  nilpotent, with  $[A_n, A_s] = 0$ .
- **2.**  $\operatorname{ad}(A_s) = \operatorname{ad}(A)_s$ . Moreover,  $\operatorname{ad}(A)_s = P(\operatorname{ad}(A))$  for some polynomial with vanishing constant term  $P \in C[t]$ .
- **3.** Let  $\overline{A_s}$  be the complex conjugate matrix. Then  $\operatorname{ad}(\overline{A_s}) = Q(\operatorname{ad} A_s)$  for some polynomial  $Q \in \mathbb{C}[t]$
- sketch. 1. First, write A in Jordan normal form by choosing an appropriate basis. We can take  $A_s$  to be the diagonal of this, and  $A_n = A A_s$ .

In basis free (and thus choice free (and thus canonical)) language,

Let  $V_{\lambda} = \ker((A - \lambda \operatorname{Id})^{N})N >> 0$ .  $V = \bigoplus_{\lambda} V_{\lambda}$ . We can take  $A_{s} \stackrel{\text{def}}{=} (v_{\lambda})_{\lambda \in \mathbb{C}} \to (\lambda v_{\lambda})_{\lambda \in \mathbb{C}}$ , and then  $A_{n} = A - A_{s}$ .

**2.** We want to show  $A_s = P(A)$  for some  $P \in \mathbb{C}[t]$ . Let  $n_{\lambda} = \dim V_{\lambda}$ . Choose  $p \in \mathbb{C}[t]$  such that

$$P \equiv \lambda \pmod{t - \lambda^{n\lambda}} \, \forall \lambda$$

This exists by the chinese remainder theorem.

Then  $P(A) = \lambda$  on  $V_{\lambda}$  because  $(A - \lambda \operatorname{Id})^{n_{\lambda}} = 0$  on  $V_{\lambda}$ 

Remark: This tells us that  $A_n$  is also a polynomial in A (A - P(A))Now,

$$ad(A) = ad(A_s + A_n)$$
$$= ad(A_s) + ad(A_n)$$

To see that  $ad(A_s) = ad(A)_s$ , it is enough to show  $ad(A_s)$  is diagonalizable,  $ad(A_n)$  is nilpotent (we can do this by computation), and  $[ad(A_s), ad(A_n)] = 0$ . Computation for  $ad(A_s)$ :

 $\operatorname{ad}(A_s)$  acts on  $V_{\lambda} \otimes V_{\lambda'}^v$  as  $\lambda - \lambda'$ ,

$$\operatorname{End}(V) = \bigoplus_{\lambda, \lambda'} V_{\lambda} \otimes V_{\lambda'}^{v}$$

We know  $P \in t\mathbb{C}[t]$  because 0 is a generalized eigenvalue so  $P \equiv 0 \pmod{t}^{n_0}$ 

**3.** Choose f so that  $f(\lambda_i - \lambda_j) = \overline{\lambda_i} - \overline{\lambda_j}$  for all i, j (Lagrange). Then  $f(P(\operatorname{ad}(A))) = \operatorname{ad} \overline{A_s}$