

## Protocol for the Examination of Specimens From Patients With Ductal Carcinoma In Situ (DCIS) of the Breast

**Version:** Breast DCIS 4.1.0.0

**Protocol Posting Date:** January 2018

Includes pTNM requirements from the 8<sup>th</sup> Edition, AJCC Staging Manual

**For accreditation purposes, this protocol should be used for the following procedures AND tumor types:**

Procedure	Description
Excision less than total mastectomy	Includes specimens designated excision, segmental resection, lumpectomy, quadrantectomy and segmental or partial mastectomy, with or without axillary contents
Total Mastectomy	Includes skin-sparing and nipple-sparing mastectomy, with or without axillary contents
Tumor Type	Description
Ductal carcinoma in situ without invasive carcinoma or microinvasion	
Paget disease of the nipple not associated with invasive breast carcinoma	

**This protocol is NOT required for accreditation purposes for the following:**

Procedure
Needle, incisional or skin biopsies
Primary resection specimen with no residual cancer (eg, following neoadjuvant therapy)
Additional excision performed after the definitive resection (eg, re-excision of surgical margins)
Cytologic specimens

**The following tumor types should NOT be reported using this protocol**

Tumor Type
Any tumor with invasive carcinoma, including DCIS with microinvasion only (consider Invasive Breast Protocol)

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### Accreditation Requirements

This protocol can be utilized for a variety of procedures and tumor types for clinical care purposes. For accreditation purposes, only the definitive primary cancer resection specimen is required to have the core and conditional data elements reported in a synoptic format.

- Core data elements are required in reports to adequately describe appropriate malignancies. For accreditation purposes, essential data elements must be reported in all instances, even if the response is “not applicable” or “cannot be determined.”
- Conditional data elements are only required to be reported if applicable as delineated in the protocol. For instance, the total number of lymph nodes examined must be reported, but only if nodes are present in the specimen.
- Optional data elements are identified with “+” and although not required for CAP accreditation purposes, may be considered for reporting as determined by local practice standards.

The use of this protocol is not required for recurrent tumors or for metastatic tumors that are resected at a different time than the primary tumor. Use of this protocol is also not required for pathology reviews performed at a second institution (ie, secondary consultation, second opinion, or review of outside case at second institution).

### Synoptic Reporting

All core and conditionally required data elements outlined on the surgical case summary from this cancer protocol must be displayed in synoptic report format. Synoptic format is defined as:

- Data element: followed by its answer (response), outline format without the paired "Data element: Response" format is NOT considered synoptic.
- The data element should be represented in the report as it is listed in the case summary. The response for any data element may be modified from those listed in the case summary, including “Cannot be determined” if appropriate.
- Each diagnostic parameter pair (Data element: Response) is listed on a separate line or in a tabular format to achieve visual separation. The following exceptions are allowed to be listed on one line:
  - Anatomic site or specimen, laterality, and procedure
  - Pathologic Stage Classification (pTNM) elements
  - Negative margins, as long as all negative margins are specifically enumerated where applicable
- The synoptic portion of the report can appear in the diagnosis section of the pathology report, at the end of the report or in a separate section, but all Data element: Responses must be listed together in one location

Organizations and pathologists may choose to list the required elements in any order, use additional methods in order to enhance or achieve visual separation, or add optional items within the synoptic report. The report may have required elements in a summary format elsewhere in the report IN ADDITION TO but not as replacement for the synoptic report i.e. all required elements must be in the synoptic portion of the report in the format defined above.

**CAP Laboratory Accreditation Program Protocol Required Use Date: October 2018**

## CAP Breast DCIS Protocol Summary of Changes

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### v4.1.0.0

**The following data element was modified:**

Tumor Site: revise format O'clock

Regional Lymph Nodes

## Surgical Pathology Cancer Case Summary

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Protocol posting date: January 2018

### DCIS OF THE BREAST:

Select a single response unless otherwise indicated.

#### Specimen Identification

The following 3 elements identifying the specimen may be listed separately or on 1 line:

#### Procedure (Note A)

- ☐ Excision (less than total mastectomy)
- ☐ Total mastectomy (including nipple-sparing and skin-sparing mastectomy)
- ☐ Other (specify): \_\_\_\_\_
- ☐ Not specified

#### Specimen Laterality

- ☐ Right
- ☐ Left
- ☐ Not specified

#### + Tumor Site (select all that apply)

- + ☐ Upper outer quadrant
- + ☐ Lower outer quadrant
- + ☐ Upper inner quadrant
- + ☐ Lower inner quadrant
- + ☐ Central
- + ☐ Nipple
- + ☐ Position (specify): \_\_\_\_\_ o'clock
- + ☐ Other (specify): \_\_\_\_\_
- + ☐ Not specified

#### Size (Extent) of DCIS (Note C)

Estimated size (extent) of DCIS (greatest dimension using gross and microscopic evaluation):

- at least (millimeters) \_\_\_\_\_ mm
- + Additional dimensions (millimeters): \_\_\_\_\_ x \_\_\_\_\_ mm
  - + Number of blocks with DCIS: \_\_\_\_\_
  - + Number of blocks examined: \_\_\_\_\_

*Note: The size (extent) of DCIS is an estimation of the volume of breast tissue occupied by DCIS.*

#### Histologic Type (Note D)

- ☐ Ductal carcinoma in situ

#### + Architectural Patterns (select all that apply) (Note E)

- + ☐ Comedo
- + ☐ Paget disease (DCIS involving nipple skin)
- + ☐ Cribriform
- + ☐ Micropapillary
- + ☐ Papillary
- + ☐ Solid
- + ☐ Other (specify: \_\_\_\_\_)

#### Nuclear Grade (Note F)

- ☐ Grade I (low)

+ Data elements preceded by this symbol are not required for accreditation purposes. These optional elements may be clinically important but are not yet validated or regularly used in patient management.

☐ Grade II (intermediate)☐ Grade III (high)**Necrosis (Note G)**☐ Not identified☐ Present, focal (small foci or single cell necrosis)☐ Present, central (expansive “comedo” necrosis)**Margins (select all that apply) (Note H)**☐ Cannot be assessed☐ Uninvolved by DCIS

Distance from closest margin (millimeters): \_\_\_\_ mm

Specify closest margin: \_\_\_\_\_

☐ Cannot be determined

+ Specify additional margins:

+ Distance from superior margin (millimeters): \_\_\_\_ mm

+ Distance from inferior margin (millimeters): \_\_\_\_ mm

+ Distance from medial margin (millimeters): \_\_\_\_ mm

+ Distance from lateral margin (millimeters): \_\_\_\_ mm

+ Distance from anterior margin (millimeters): \_\_\_\_ mm

+ Distance from posterior margin (millimeters): \_\_\_\_ mm

+ Distance from other specified margin (millimeters): \_\_\_\_ mm

+ Specify margin: \_\_\_\_\_

☐ Positive for DCIS

Specify margin(s): \_\_\_\_\_

☐ Cannot be determined

+ For positive margins, specify extent (focal, minimal/moderate, or extensive):

+ Anterior: \_\_\_\_\_

+ Posterior: \_\_\_\_\_

+ Superior: \_\_\_\_\_

+ Inferior: \_\_\_\_\_

+ Medial: \_\_\_\_\_

+ Lateral: \_\_\_\_\_

+ Other (specify margin: \_\_\_\_\_)

*Note: Margin status is listed as “positive” if there is ink on carcinoma (ie, the distance is 0 mm). If the margin is not positive, then a distance from the margin may be listed. Distances can be specific measurements or expressed as greater than or less than a measurement.*

**Regional Lymph Nodes (Notes B, J)**☐ No lymph nodes submitted or found☐ Uninvolved by tumor cells

Number of Lymph Nodes Examined: \_\_\_\_

Number of Sentinel Nodes Examined (if applicable): \_\_\_\_

☐ Involved by tumor cells

Number of Lymph Nodes with Macrometastases (&gt;2 mm): \_\_\_\_

Number of Lymph Nodes with Micrometastases (&gt;0.2 mm to 2 mm and/or &gt;200 cells): \_\_\_\_

Number of Lymph Nodes with Isolated Tumor Cells (≤0.2 mm and ≤200 cells)<sup>#</sup>: \_\_\_\_

<sup>#</sup> Reporting the number of lymph nodes with isolated tumor cells is required only in the absence of macrometastasis or micrometastasis in other lymph nodes.

+ Size of Largest Metastatic Deposit (millimeters): \_\_\_\_ mm

+ Extranodal Extension:

- + \_\_\_\_ Not identified
- + \_\_\_\_ Present
- + \_\_\_\_ Cannot be determined

Number of Lymph Nodes Examined: \_\_\_\_

Number of Sentinel Nodes Examined (if applicable): \_\_\_\_

### Pathologic Stage Classification (pTNM, AJCC 8<sup>th</sup> Edition) (Note K)

*Note: Reporting of pT, pN, and (when applicable) pM categories is based on information available to the pathologist at the time the report is issued. Only the applicable T, N, or M category is required for reporting; their definitions need not be included in the report. The categories (with modifiers when applicable) can be listed on 1 line or more than 1 line.*

TNM Descriptors (required only if applicable) (select all that apply)

- \_\_\_\_ r (recurrent)
- \_\_\_\_ y (posttreatment)

#### Primary Tumor (pT)

- \_\_\_\_ pTis (DCIS): Ductal carcinoma in situ
- \_\_\_\_ pTis (Paget): Paget disease of the nipple not associated with invasive carcinoma and/or DCIS in the underlying breast parenchyma<sup>#</sup>

*Note: Paget disease with underlying DCIS is classified as Tis (DCIS). If there has been a prior core needle biopsy, the pathologic findings from the core, if available, should be incorporated in the T classification. If invasive carcinoma or microinvasion were present on the core, the protocol for invasive carcinomas of the breast should be used and should incorporate this information.*

<sup>#</sup>*Note: Lobular carcinoma in situ (LCIS) is removed from TNM staging in the AJCC Cancer Staging Manual, 8th Edition.*

Regional Lymph Nodes (pN) (choose a category if lymph nodes received with the specimen; immunohistochemistry and/or molecular studies are not required)

*Note: If internal mammary lymph nodes, infraclavicular nodes, or supraclavicular lymph nodes are included in the specimen, consult the AJCC Staging Manual for additional lymph node categories.*

Modifier (required only if applicable)

- \_\_\_\_ (sn): Sentinel node(s) evaluated. If 6 or more nodes (sentinel or nonsentinel) are removed, this modifier should not be used.
- \_\_\_\_ (f): Nodal metastasis confirmed by fine needle aspiration or core needle biopsy.

*Note: The (sn) modifier is added to the N category when a sentinel node biopsy is performed (using either dye or tracer) and fewer than six lymph nodes are removed (sentinel and nonsentinel). The (f) modifier is added to the N category to denote confirmation of metastasis by fine needle aspiration/core needle biopsy with NO further resection of nodes.*

#### Category (pN)

- \_\_\_\_ pNX: Regional lymph nodes cannot be assessed (eg, not removed for pathological study or previously removed)
- \_\_\_\_ pN0: No regional lymph node metastasis identified or ITCs only<sup>#</sup>
- \_\_\_\_ pN0 (i+): ITCs only (malignant cell clusters no larger than 0.2 mm) in regional lymph node(s)
- \_\_\_\_ pN0 (mol+): Positive molecular findings (RT-PCR), but no ITCs detected
- \_\_\_\_ pN1mi: Micrometastases (approximately 200 cells, larger than 0.2 mm, but none larger than 2.0 mm)<sup>#</sup>
- \_\_\_\_ pN1a: Metastases in 1 to 3 axillary lymph nodes, at least 1 metastasis larger than 2.0 mm<sup>##</sup>
- \_\_\_\_ pN1b: Metastases in ipsilateral internal mammary sentinel nodes, excluding ITCs
- \_\_\_\_ pN1c: pN1a and pN1b combined
- \_\_\_\_ pN2a: Metastases in 4 to 9 axillary lymph nodes (at least 1 tumor deposit larger than 2.0 mm)<sup>##</sup>
- \_\_\_\_ pN2b: Metastases in clinically detected internal mammary lymph nodes with or without microscopic confirmation; with pathologically negative axillary nodes

+ Data elements preceded by this symbol are not required for accreditation purposes. These optional elements may be clinically important but are not yet validated or regularly used in patient management.

- \_\_\_ pN3a: Metastases in 10 or more axillary lymph nodes (at least 1 tumor deposit larger than 2.0 mm)<sup>##</sup> or metastases to the infraclavicular (Level III axillary lymph) nodes
- \_\_\_ pN3b: pN1a or pN2a in the presence of cN2b (positive internal mammary nodes by imaging); or pN2a in the presence of pN1b
- \_\_\_ pN3c: Metastases in ipsilateral supraclavicular lymph nodes

<sup>#</sup> Isolated tumor cells (ITCs) are defined as small clusters of cells not larger than 0.2 mm or single tumor cells, or a cluster of fewer than 200 cells in a single histologic cross-section. ITCs may be detected by routine histology or by immunohistochemical (IHC) methods. Nodes containing only ITCs are excluded from the total positive node count for purposes of N classification but should be included in the total number of nodes evaluated.

<sup>##</sup> Approximately 1000 tumor cells are contained in a 3-dimensional 0.2-mm cluster. Thus, if more than 200 individual tumor cells are identified as single dispersed tumor cells or as a nearly confluent elliptical or spherical focus in a single histologic section of a lymph node, there is a high probability that more than 1000 cells are present in the lymph node. In these situations, the node should be classified as containing a micrometastasis (pN1mi). Cells in different lymph node cross-sections or longitudinal sections or levels of the block are not added together; the 200 cells must be in a single node profile even if the node has been thinly sectioned into multiple slices. It is recognized that there is substantial overlap between the upper limit of the ITC and the lower limit of the micrometastasis categories due to inherent limitations in pathologic nodal evaluation and detection of minimal tumor burden in lymph nodes. Thus, the threshold of 200 cells in a single cross-section is a guideline to help pathologists distinguish between these 2 categories. The pathologist should use judgment regarding whether it is likely that the cluster of cells represents a true micrometastasis or is simply a small group of isolated tumor cells.

Distant Metastasis (pM) (required only if confirmed pathologically in this case)

- \_\_\_ pM1: Histologically proven metastases larger than 0.2 mm

*Note: The presence of distant metastases in a case of DCIS would be very unusual. Additional sampling to identify invasive carcinoma in the breast or additional history to document a prior or synchronous invasive carcinoma is advised in the evaluation of such cases.*

**+ Additional Pathologic Findings (Note L)**

- + Specify: \_\_\_\_\_

**+ Ancillary Studies**

***Note: For hormone receptor reporting, the CAP Breast Biomarker Template should be used. Pending biomarker studies should be listed in the Comments section of this report.***

**+ Microcalcifications (select all that apply) (Note M)**

- + \_\_\_ Not identified
- + \_\_\_ Present in DCIS
- + \_\_\_ Present in nonneoplastic tissue
- + \_\_\_ Other (specify): \_\_\_\_\_

**+ Clinical History (select all that apply) (Note N)**

- + The current clinical/radiologic breast findings for which this surgery is performed include:
- + \_\_\_ Palpable mass
- + \_\_\_ Radiologic finding
- + \_\_\_ Mass or architectural distortion
- + \_\_\_ Calcifications
- + \_\_\_ Other (specify): \_\_\_\_\_
- + \_\_\_ Nipple discharge
- + \_\_\_ Other (specify): \_\_\_\_\_
- + \_\_\_ Prior history of breast cancer
- + Specify site, diagnosis, and prior treatment: \_\_\_\_\_

**+ Comment(s)**

+ Data elements preceded by this symbol are not required for accreditation purposes. These optional elements may be clinically important but are not yet validated or regularly used in patient management.

## Explanatory Notes

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### A. Breast Specimens and Breast Procedures

#### Breast Specimens

The following types of breast specimens and procedures may be reported with the case summary:

Excisions. Removal of breast tissue without the intent of removing the entire breast. The nipple is only rarely removed with excisions. Excisions include specimens designated biopsies, partial mastectomies, lumpectomies, and quadrantectomies.

Total Mastectomy. Removal of all breast tissue, including the nipple and areola.

- Simple mastectomy: This procedure consists of a total mastectomy without removal of axillary lymph nodes.
- Skin-sparing mastectomy: This is a total mastectomy with removal of the nipple and only a narrow surrounding rim of skin.
- Modified radical mastectomy: This procedure consists of a total mastectomy and an axillary dissection. In the case summary, the breast and lymph node specimens are documented separately.
- Radical mastectomy: This procedure consists of a total mastectomy, axillary contents, and removal of the pectoralis muscles and currently is performed only rarely. This type of specimen and procedure can be indicated on the case summary as "Other."

The following types of specimens should not be reported using this protocol:

- Very small incisional biopsies (including core needle biopsies).
- Excisions containing only DCIS after a core needle biopsy or other specimen showing invasive carcinoma or DCIS with microinvasion (invasion measuring  $\leq 1$  mm). This type of case should be reported by using the protocol for invasive carcinoma of the breast,<sup>1</sup> and the report should incorporate information from the prior needle biopsy.

#### Specimen Sampling

Specimen sampling for specimens with DCIS has the following goals:<sup>2-6</sup>

- The clinical or radiologic lesion for which the surgery was performed must be examined microscopically. If the lesion is a nonpalpable imaging finding, the specimen radiograph and/or additional radiologic studies may be necessary to identify the lesion. When practical, the entire specimen should be submitted in a sequential fashion for histologic examination. If this is not possible, at least the entire region of the targeted lesion should be examined microscopically. If DCIS, lobular carcinoma in situ (LCIS), or atypical hyperplasia is identified, all fibrous tissue should be examined.<sup>2</sup>
- All other gross lesions noted in the specimen must be sampled.
- The margins must be evaluated for involvement by DCIS. If the specimen is received sectioned or fragmented, this should be noted, as this will limit the ability to evaluate the size of the lesion and the status of margins. If the specimen is an incisional biopsy, margins need not be evaluated.

For specimens with a known diagnosis of DCIS (eg, by prior core needle biopsy) it is recommended that the entire specimen be examined, if practical, using serial sequential sampling to exclude the possibility of invasion, to completely evaluate the margins, and to aid in determining extent.<sup>7-9</sup> If an entire excisional specimen or grossly evident lesion is not examined microscopically, it is helpful to note the approximate percentage of the specimen or lesion that has been examined.

Carcinomas present in excisions removed for lesions seen best by MRI studies are generally not grossly evident and not seen on specimen radiography.

Recording the specimen size is important, as the volume of tissue excised has been associated with the likelihood of recurrence.<sup>10</sup>

Tissue may be taken for research studies or assays that do not involve the histologic examination of the tissue (eg, reverse transcriptase polymerase chain reaction [RT-PCR]) only when taken in such a way to be able to

evaluate the tissue for small areas of invasion. For example, a thin slice of tissue taken for research studies should be matched with an adjacent slice of tissue that will be examined microscopically.

## B. Lymph Node Sampling

Patients with DCIS may have lymph nodes sampled in the following situations:

- Extensive DCIS: Patients with extensive DCIS are more likely to have areas of invasion and it may be difficult or impractical to examine all involved areas of the breast microscopically.<sup>11-13</sup> A lymph node with a macrometastasis would indicate an occult area of invasion.
- Pathologic findings on a prior needle biopsy or excision raising concern for invasion or microinvasion (invasion measuring  $\leq 1$  mm in size): If invasion has been documented, the protocol for invasive carcinoma of the breast<sup>1</sup> should be used.
- Imaging findings (eg, an irregular mass) or clinical findings (eg, a large palpable mass) that increase the likelihood that stromal invasion is present.<sup>12</sup>
- Planned mastectomy: The additional sampling of low lymph nodes or a sentinel lymph node does not result in increased morbidity. If the node or nodes are negative, and invasive cancer is found, another surgical procedure for node sampling can be avoided.

Most tumor cells in lymph nodes of patients with DCIS would be classified as isolated tumor cells.<sup>14,15</sup> Artifacts of displacement of epithelium within a lymph node can occur following a core needle biopsy; this finding should not be considered isolated tumor cells or a micrometastasis.<sup>16,17</sup> If a larger nodal metastasis is found and the breast tissue has not been entirely submitted for microscopic examination, additional sampling should be considered to attempt to identify invasive carcinoma.<sup>11,13</sup>

Grossly uninvolved nodes should be submitted in their entirety for histologic examination, whereas a representative section of a grossly positive node may be submitted. Small nodes (eg, 2 to 3 mm) may be submitted intact, but larger nodes should be thinly sectioned. An accurate assessment of the number of positive lymph nodes is a critical prognostic indicator.

Sentinel lymph nodes are identified as such by the surgeon, generally by uptake of radiotracer or dye.

## C. Size (Extent) of DCIS

Although not required for pT classification or stage assignment, the size (extent) of DCIS is an important factor in patient management.<sup>5,18</sup> Extent (as determined by a number of different methods) is correlated with the likelihood of residual disease after re-excision,<sup>19-22</sup> close or positive margins,<sup>19,22</sup> local recurrence,<sup>23-25</sup> and the possibility of missed areas of invasion.<sup>12,13</sup> Extent is not as important for predicting local recurrence when wide margins are obtained.<sup>23,24,26</sup>

Extent is an estimation of the volume of breast tissue involved by DCIS. Mammographic assessment of DCIS, usually based on distribution of calcifications, frequently underestimates, and sometimes overestimates, the extent of DCIS. Precise measurement of extent is generally difficult or impossible for the following reasons:<sup>27</sup>

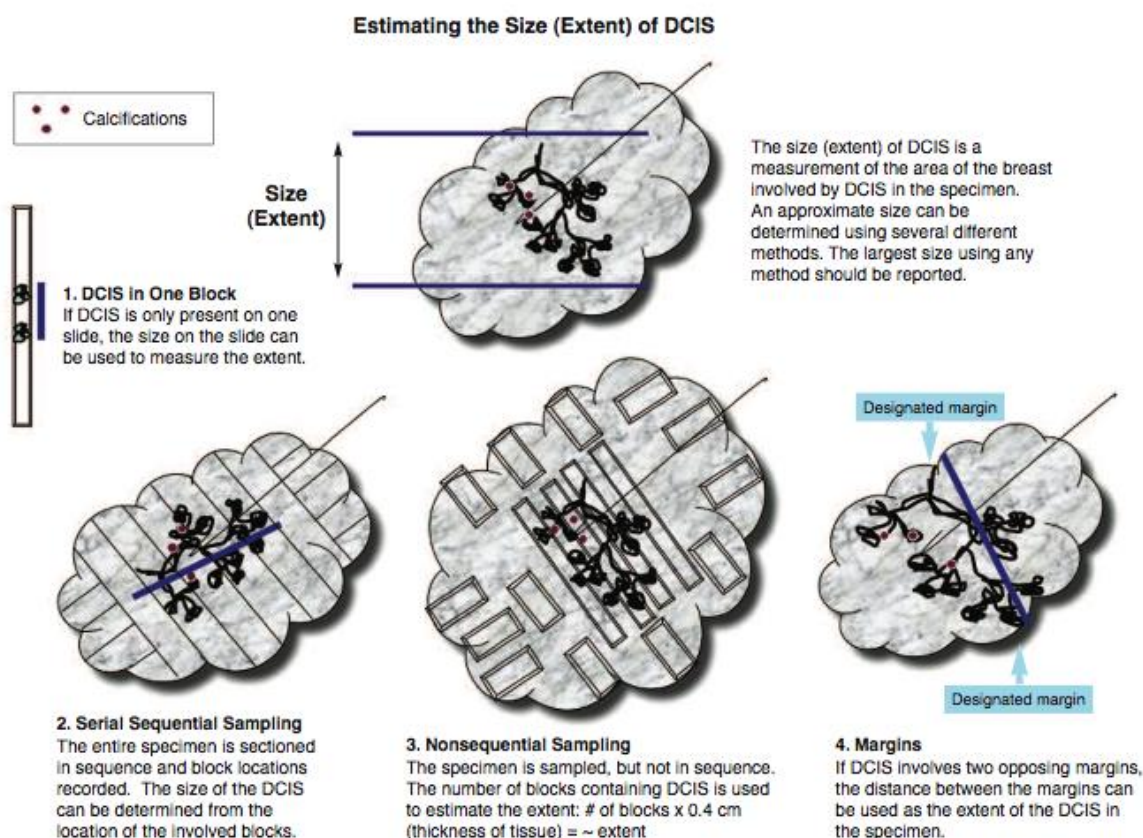
- DCIS involves the ductal system in a complex 3-dimensional branching pattern that is usually only apparent by microscopic examination. When gross findings are present (eg, areas of tissue thickening and/or punctate necrosis), they often do not correspond to the entire area of involvement.
- The ductal system and surrounding tissue is highly compressible. Specimens may be distorted during surgery or specimen processing or compressed during specimen radiography.<sup>28,29</sup>
- Diagnostic gaps in ductal involvement may be present (particularly for low-grade DCIS).
- DCIS is often not removed in 1 excision and may be present in multiple specimens from 1 surgical procedure or in multiple specimens from multiple procedures. This is more likely in cases of large areas of involvement.

The mean or median extent of DCIS is 14 mm to 27 mm<sup>8,9,19,22</sup> but ranges from 1 mm to extensive involvement of all 4 quadrants of the breast. Although a precise measurement is often not possible, an estimate of the extent of DCIS is clinically important (Table 1).



**Table 1. Extent of Ductal Carcinoma In Situ (DCIS) and Clinical Significance**

Size	Extent
Up to 20 mm	Breast conservation with wide negative margins can be achieved in most women. Microscopic examination of the entire area involved by DCIS is recommended and should be possible in most cases. This will require complete microscopic examination of smaller biopsies, or sampling of large excisions or mastectomies to include all areas likely to contain DCIS (eg, tissue with radiologic calcifications or grossly abnormal tissue).
>20-40 mm	Wide negative margins may be difficult to achieve in some women with breast-conserving surgery. Microscopic examination of the entire area involved by DCIS is recommended but may be difficult to achieve in some cases. This will require complete microscopic examination of smaller biopsies or sampling of large excisions or mastectomies to include all areas likely to contain DCIS (eg, tissue with radiologic calcifications or grossly abnormal tissue).
>40 mm	Breast conservation with wide negative margins may be impossible to achieve in some women. Microscopic examination of the entire area involved by DCIS is recommended but may be impractical in some cases. This will require complete microscopic examination of smaller biopsies or selective sampling of large excisions or mastectomies to include areas likely to contain DCIS (eg, tissue with radiologic calcifications or grossly abnormal tissue). There is a possibility of undetected areas of invasion if the area involved by DCIS is not completely examined. Lymph node sampling may be recommended.



There are multiple methods for estimating the extent of DCIS (see Figure):

- **DCIS in 1 block:** The area involved by DCIS can be measured from a single slide, if DCIS is present in only 1 block. If separate foci are present, the largest distance between foci should be reported. This method will underestimate the extent of DCIS when multiple blocks are involved and should not be used in such cases.<sup>8</sup>
- **Serial sequential sampling:** The entire specimen is blocked out in such a way that the location of each block can be determined. The extent of the DCIS can be calculated by using a diagram of the specimen, the thickness of the slices, and the location of the involved blocks.<sup>7-9</sup> This method is recommended for all excisions likely to harbor DCIS or with previously diagnosed DCIS (eg, by diagnosis on a prior core needle biopsy).
- **Nonsequential sampling:** The number of blocks involved by DCIS is correlated with the extent of DCIS up to 40 mm.<sup>8</sup> Multiplying the number of blocks involved by DCIS by the approximate width of a tissue section gives an estimate of the extent. In 2 studies, multiplying by 3 mm underestimated the extent of DCIS, and multiplying by 5 mm may overestimate the extent.<sup>8,9</sup> Therefore, multiplying by 4 mm is recommended unless there is additional information that a different number would yield a more accurate result. This method may underestimate extent if not all areas of DCIS are sampled. Therefore, it is recommended that all tissue likely to be involved by DCIS be sampled (eg, all grossly abnormal tissue and all tissue with radiologically suspicious calcifications). When feasible, the entire specimen should be examined microscopically.

This method may result in a larger estimation of extent than the serial sequential sampling method when DCIS is present in a large volume of tissue in 3 dimensions rather than in a predominantly linear distribution. The best estimate for correlation with outcomes (eg, residual disease or recurrence) will require further studies.

This method can be applied to any specimen and will give a better estimation of extent than measuring extent on a single slide when multiple blocks contain DCIS.

- **Margins:** If DCIS involves or is close to 2 opposing margins, the distance between the margins can be used as the extent of the DCIS within the specimen.
- **Gross lesions:** In some cases of high-grade DCIS, there may be a gross lesion that can be measured. Confirmation of the gross size must be confirmed by microscopic evaluation.

The largest estimate obtained using any of these methods should be used to report the estimated size (extent) of the DCIS.

#### D. Histologic Type

This protocol applies only to cases of DCIS. The protocol for invasive carcinoma of the breast<sup>1</sup> applies if invasion or microinvasion (less than or equal to 1 mm) is present. Pleomorphic lobular carcinoma in situ (LCIS) has overlapping features with DCIS and may be treated similarly, but at present there is insufficient evidence to establish definitive recommendations for treatment. Thus, pleomorphic LCIS is not currently included in the pTis classification.

When DCIS involves nipple skin only, without underlying invasive carcinoma or DCIS, the classification is DCIS (ie, Tis [Paget]). The majority of these cases are strongly positive for HER2.

#### E. Architectural Pattern

The architectural pattern has been reported traditionally for DCIS.<sup>3-5</sup> However, nuclear grade and the presence of necrosis are more predictive of clinical outcome.

#### F. Nuclear Grade

The nuclear grade of DCIS is determined using 6 morphologic features (Table 2).<sup>4,30</sup>

**Table 2. Nuclear Grade of Ductal Carcinoma In Situ**

Feature	Grade I (Low)	Grade II (Intermediate)	Grade III (High)
Pleomorphism	Monotonous (monomorphic)	Intermediate	Markedly pleomorphic
Size	1.5 to 2 x the size of a normal RBC or a normal duct epithelial cell nucleus	Intermediate	>2.5 x the size of a normal RBC or a normal duct epithelial cell nucleus
Chromatin	Usually diffuse, finely dispersed chromatin	Intermediate	Usually vesicular with irregular chromatin distribution
Nucleoli	Only occasional		Prominent, often multiple
Mitoses	Only occasional	Intermediate	May be frequent
Orientation	Polarized toward luminal spaces	Intermediate	Usually not polarized toward the luminal space

Definition: RBC, red blood cell.

### G. Necrosis<sup>4</sup>

The presence of necrosis is correlated with the finding of mammographic calcifications (ie, most areas of necrosis will calcify). DCIS that presents as mammographic calcifications often recurs as calcifications. Necrosis can be classified as follows:

- **Central (“comedo”):** The central portion of an involved ductal space is replaced by an area of expansive necrosis that is easily detected at low magnification. Ghost cells and karyorrhectic debris are generally present. Although central necrosis is generally associated with high-grade nuclei (ie, comedo DCIS), it can also occur with DCIS of low or intermediate nuclear grade. This type of necrosis often correlates with a linear and/or branching pattern of calcifications on mammography.
- **Focal (punctate):** Small foci, indistinct at low magnification, or single cell necrosis.

Necrosis should be distinguished from secretory material, which can also be associated with calcifications, cytoplasmic blebs, and histiocytes, but does not include nuclear debris.

### H. Margins

Whenever feasible, the specimen should be oriented in order to identify specific margins.

Margins may be identified by sutures or clips placed on the specimen surface or by other means of communication between surgeon and pathologist and should be documented in the pathology report. Margins can be identified microscopically in several ways, including the use of multiple colored inks, by submitting the margins in specific cassettes, or by the surgeon submitting each margin as a separately excised specimen. Inks should be applied to the surface of the specimen, taking care to avoid penetration into the specimen.

If margins are sampled with perpendicular sections, the pathologist should report the distance from the DCIS to the closest margin, when possible. Due to the growth pattern of DCIS in the ductal system, a negative but close margin does not ensure the absence of DCIS in the adjacent tissue.

A positive margin requires ink on DCIS. If the specimen is oriented, the specific site(s) of involvement (eg, superior margin) should also be reported.

The deep margin may be at muscle fascia. If so, the likelihood of additional breast tissue beyond this margin (and therefore possible involvement by DCIS) is extremely small. A deep muscle fascial margin (eg, on a mastectomy specimen) is unlikely to have clinical significance.

A superficial (generally anterior) margin may be immediately below the skin, and there may not be additional breast tissue beyond this margin. However, some breast tissue can be left in skin flaps, and the likelihood of residual breast tissue is related to the thickness of the flap.<sup>31</sup>

Specimen radiography is important to assess the adequacy of excision. Compression of the specimen should be minimized, as it can severely compromise the ability to assess the distance of the DCIS from the surgical margin.<sup>27</sup> Mechanical compression devices should be used with caution and preferably reserved for nonpalpable lesions that require this technique for imaging (eg, microcalcifications).

If DCIS is present at the margin, the extent of margin involvement is associated with the likelihood of residual disease<sup>19,20</sup>:

- Focal: DCIS at the margin in a <1 mm area in 1 block
- Minimal/moderate: between focal and extensive
- Extensive: DCIS at the margin in an area ≥15 mm or in 5 or more low-power fields and/or in 8 or more blocks)

### I. Neoadjuvant Therapy

Patients may be treated with endocrine therapy, chemotherapy, or HER2-targeted therapy prior to surgical excision, as part of treatment of a contralateral carcinoma. It has been observed after treatment of women with invasive carcinoma that the invasive carcinoma may respond to a greater extent than the accompanying DCIS. The histologic changes occurring in DCIS after treatment have not been well described and will likely vary with the specific agents used. Comparison to a pretreatment specimen is necessary to attribute histologic changes to the effects of treatment. The significance of histologic changes in DCIS is unknown.

If the patient had invasive carcinoma prior to treatment, but only DCIS after treatment, additional classification systems are available to evaluate residual carcinoma in the breast and lymph nodes.<sup>32</sup>

### J. Lymph Nodes

#### Reporting Lymph Nodes

The pathology report should state the total number of lymph nodes examined (including the number of sentinel nodes), the number of nodes with metastases, and the greatest dimension of the largest metastatic focus. If a patient has at least 1 macrometastasis, only nodes with micro and macrometastases are included for the total number of involved nodes for N classification.<sup>33</sup> Nodes with isolated tumor cells are not included in this count. At least 1 node with presence or absence of cancer documented by pathologic examination is required for pathologic N classification

The (sn) modifier indicates that nodal categorization is based on less than an axillary dissection. When the combination of sentinel and nonsentinel nodes removed is less than a standard low axillary dissection (fewer than six nodes), the (sn) modifier is used, eg, pN0(i+)(sn). The (sn) modifier is not used if 6 or more lymph nodes are examined (including sentinel and nonsentinel lymph nodes).

Isolated tumor cells (ITCs) are defined as single tumor cells or small cell clusters not greater than 0.2 mm and numbering less than 200 cells.<sup>1,34-36</sup> They may be detected by routine histologic examination or by immunohistochemical (IHC) or molecular methods. ITCs do not usually show evidence of malignant activity (eg, proliferation or stromal reaction), but micrometastases may show these changes.

Almost all tumor cells present in lymph nodes of patients with DCIS are isolated tumor cells or the cells may be artifactually displaced from a previous procedure.<sup>16,17</sup> Isolated tumor cells detected in cases of DCIS have not been shown to have prognostic importance.<sup>14,15</sup> If a larger metastasis is found, additional tissue sampling and review of slides are helpful to determine if an area of invasion is present.<sup>13</sup>

### K. Pathologic Stage Classification

The tumor-node-metastasis (TNM) staging system maintained collaboratively by the American Joint Committee on Cancer (AJCC) and the International Union Against Cancer (UICC) is recommended.<sup>33-37</sup>

#### Pathologic Classification

The pathologic classification of a cancer is based on information acquired before treatment supplemented and modified by the additional evidence acquired during and from surgery, particularly from pathologic examination of resected tissues. The pathologic classification provides additional precise and objective data. Classification of T, N, and M by pathologic means is denoted by use of a lower case "p" prefix (pT, pN, pM).

**Pathologic T.** Tumor size should be recorded in whole millimeters. If the size is reported in smaller units such as a tenth or hundredth of a millimeter, it should be rounded to the nearest whole millimeter for reporting stage. Rounding is performed as follows: one through four are rounded down, and five through nine are rounded up. For example, a breast tumor reported as 4.2 mm in size should be recorded for staging as a 4-mm tumor, and a 4.7-mm tumor should be recorded as a 5-mm tumor. If the tumor is not resected, but a biopsy of the primary tumor is performed that is adequate to evaluate the highest pT category, the pT classification is assigned. Some disease sites have specific rules to guide assignment of pT category in such cases. On rare occasions, the tumor size is obtained from a previous core needle biopsy specimen, as the tumor in the core may be larger than the tumor in the excision specimen.

**Pathologic N.** At least one node with presence or absence of cancer documented by pathologic examination is required for pathologic N classification. A tumor nodule with a smooth contour in a regional node area is classified as a positive node. The size of the metastasis, not the size of the node, is used for the criterion for the N category.

Specialized pathologic techniques such as immunohistochemistry or molecular techniques may identify limited metastases in lymph nodes that may not have been identified without the use of the special diagnostic techniques. Single tumor cells or small clusters of cells are classified as *isolated tumor cells* (ITCs). The standard definition for ITC is a cluster of cells not more than 0.2 mm in greatest diameter. Cases with ITC only in lymph nodes are classified as pN0. This rule also generally applies to cases with findings of tumor cells or their components by nonmorphologic techniques such as flow cytometry or DNA analysis.

**Pathologic M.** The pathologic assignment of the presence of *metastases* (pM1) requires a biopsy positive for cancer at the metastatic site. Pathologic M0 is an undefined concept and the category pM0 may not be used. Pathologic classification of the absence of distant metastases can only be made at autopsy. It would be extremely rare to have distant metastasis in examples of DCIS, and would surely indicate an unsampled area of invasive carcinoma.

**Posttherapy or Postneoadjuvant Therapy Classification (yTNM).** Cases where systemic and/or radiation therapy are given before surgery (*neoadjuvant*) or where no surgery is performed may have the extent of disease assessed at the conclusion of the therapy by clinical or pathologic means (if resection performed). This classification is useful to clinicians because the extent of response to therapy may provide important prognostic information to patients and help direct the extent of surgery or subsequent systemic and/or radiation therapy. T and N are classified by using the same categories as for clinical or pathologic staging for the disease type, and the findings are recorded by using the prefix designator y (eg, ycT; ycN; ypT; ypN). The yc prefix is used for the clinical stage after therapy, and the yp prefix is used for the pathologic stage for those cases that have surgical resection after neoadjuvant therapy. The M component should be classified by the M status defined clinically or pathologically prior to therapy.

**Retreatment Classification.** The retreatment classification (rTNM) is assigned when further treatment is planned for a cancer that recurs after a disease-free interval. The original stage assigned at the time of initial diagnosis and treatment does not change when the cancer recurs or progresses. The use of this staging for retreatment or recurrence is denoted with the r prefix (rTNM). All information available at the time of retreatment should be used in determining the rTNM stage. Biopsy confirmation of recurrent cancer is important if clinically feasible. However, this may not be appropriate for each component, so clinical evidence for the T, N, or M component by clinical, endoscopic, radiologic, or related methods may be used.

**Multiple tumors.** If there are multiple simultaneous areas of DCIS in the breast, Tis remains the appropriate choice. For simultaneous bilateral examples of DCIS, each DCIS is classified separately as independent tumors in different organs.

**Metachronous primaries.** Second or subsequent primary examples of DCIS occurring in the same organ or in different organs are staged as a new DCIS with the TNM system. Second DCIS examples are not staged using the y prefix unless the treatment of the second cancer warrants this use.

*Residual tumor and surgical margins.* The absence or presence of residual tumor after treatment is described by the symbol R. pTNM describe the extent of cancer in general without consideration of treatment. pTNM can be supplemented by the R classification, which deals with the tumor status after treatment. In some cases treated with surgery and/or with neoadjuvant therapy there will be residual tumor at the primary site after treatment because of incomplete resection or local and regional disease that extends beyond the limit or ability of resection. The presence of residual tumor may indicate the effect of therapy, influence further therapy, and be a strong predictor of prognosis. In addition, the presence or absence of disease at the margin of resection may be a predictor of the risk of recurrent cancer. The R category is not incorporated into TNM staging itself. However, the absence or presence of residual tumor and status of the margins may be recorded in the medical record and cancer registry.

The absence or presence of residual tumor at the primary tumor site after treatment is denoted by the symbol R. The R categories for the primary tumor site are as follows:

R0	No residual tumor
R1	Microscopic residual tumor
R2	Macroscopic residual tumor
RX	Presence of residual tumor cannot be assessed

The margin status may be recorded using the following categories:

Negative margins (tumor not present at the surgical margin)

Microscopic positive margin (tumor not identified grossly at the margin, but present microscopically at the margin)

Macroscopic positive margin (tumor identified grossly at the margin)

Margin not assessed

#### **L. Additional Pathologic Findings**

In some cases, other pathologic findings are important for the clinical management of patients.

If the biopsy was performed for a benign lesion and the DCIS is an incidental finding, this should be documented. An example would be the finding of DCIS in an excision for a palpable fibroadenoma.

Peritumoral vascular invasion is a very rare finding in association with DCIS alone. Additional sampling should be considered to attempt to identify an area of invasion. If there has been prior surgery or needle biopsy, the possibility of artifactual displacement of epithelial cells into lymphatics should be considered. Lymph node biopsy may be performed in patients with DCIS and lymphovascular invasion.

If there has been a prior core needle biopsy or incisional biopsy, the biopsy site should be sampled and documented in the report. If the intention was to completely re-excite a prior surgical site, the report should document biopsy changes at the margin that could indicate an incomplete excision. This protocol should only be used for re-excisions that reveal the largest extent of DCIS.

#### **M. Microcalcifications**

DCIS found in biopsies performed for microcalcifications will almost always be at the site of the calcifications or in close proximity.<sup>2,3,5</sup> The presence of the targeted calcifications in the specimen should be confirmed by specimen radiography. The pathologist must be satisfied that the specimen has been sampled in such a way that the lesion responsible for the calcifications has been examined microscopically. The relationship of the radiologic calcifications to the DCIS should be indicated.

#### **N. Clinical Information**

It is a Joint Commission requirement that clinical information be provided for pathology specimens. Relevant clinical information is often necessary for the accurate evaluation of breast specimens and includes:

##### **Patient Information**

Family history of breast or ovarian cancer and/or *BRCA1* or *BRCA2* mutation

Current pregnancy or lactation

Prior breast biopsy or surgery (including implants)

Prior breast cancer diagnosis (type, location in breast, date of diagnosis)

Prior treatment that could affect the breast:

Radiation

Chemotherapy

Hormonal therapy (eg, tamoxifen, aromatase inhibitors, or oral contraceptives)

Systemic diseases that may affect the breast (eg, collagen vascular disease, sarcoidosis, Wegener granulomatosis)

### Specimen Information

Type of lesion sampled:

Palpable mass

Nipple discharge

Nipple lesion (eg, scaling crust)

Imaging finding

Mammographic or ultrasound mass: shape of mass (irregular, circumscribed, ill defined, cystic or solid)

Mammographic calcifications

Mammographic architectural distortion

Prior core needle biopsy site, with or without a clip, with or without residual radiologic or clinical lesion

MRI-detected lesion

Type of specimen:

Excision without wire localization

Excision with wire localization – for these specimens, the specimen radiograph with an interpretation should be available to the pathologist

Nipple duct excision

Total mastectomy

Lymph node specimen (sentinel node, nonsentinel node, limited axillary dissection, complete axillary dissection)

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