

Protocol for the Examination of Specimens from Patients With Carcinoma of the Distal Extrahepatic Bile Ducts

Version: DistalExtrahepaticBileDucts 4.0.0.1 **Protocol Posting Date:** June 2017 Includes pTNM requirements from the 8th Edition, AJCC Staging Manual

For accreditation purposes, this protocol should be used for the following procedures AND tumor types:

Procedure	Description
Local or Segmental Bile Duct	Includes Local or Segmental Bile Duct Resection and
Resection	Pancreaticoduodectomy (Whipple resection)
Tumor type	Description
Carcinoma	Invasive carcinomas including small cell and large cell (poorly
	differentiated) neuroendocrine carcinoma

This protocol is NOT required for accreditation purposes for the following:

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Procedure
Biopsy
Primary resection specimen with no residual cancer (eg, following neoadjuvant therapy)
Cytologic specimens
Intraductal papillary neoplasm without associated invasive carcinoma
Mucinous cystic neoplasm without associated invasive carcinoma

The following tumor types should NOT be reported using this protocol:

Tumor type	
Well-differentiated neuroendocrine tumors of distal extrahepatic bile duct	
Lymphoma (consider the Hodgkin or non-Hodgkin Lymphoma protocols)	
Sarcoma (consider the Soft Tissue protocol)	

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With guidance from the CAP Cancer and CAP Pathology Electronic Reporting Committees.

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Accreditation Requirements

This protocol can be utilized for a variety of procedures and tumor types for clinical care purposes. For accreditation purposes, only the definitive primary cancer resection specimen is required to have the core and conditional data elements reported in a synoptic format.

- <u>Core data elements</u> are required in reports to adequately describe appropriate malignancies. For accreditation purposes, essential data elements must be reported in all instances, even if the response is "not applicable" or "cannot be determined."
- <u>Conditional data elements</u> are only required to be reported if applicable as delineated in the protocol. For
 instance, the total number of lymph nodes examined must be reported, but only if nodes are present in the
 specimen.
- Optional data elements are identified with "+" and although not required for CAP accreditation purposes, may be considered for reporting as determined by local practice standards.

The use of this protocol is not required for recurrent tumors or for metastatic tumors that are resected at a different time than the primary tumor. Use of this protocol is also not required for pathology reviews performed at a second institution (ie, secondary consultation, second opinion, or review of outside case at second institution).

Synoptic Reporting

All core and conditionally required data elements outlined on the surgical case summary from this cancer protocol must be displayed in synoptic report format. Synoptic format is defined as:

- Data element: followed by its answer (response), outline format without the paired "Data element: Response" format is NOT considered synoptic.
- The data element must be represented in the report as it is listed in the case summary. The response for any data element may be modified from those listed in the case summary, including "Cannot be determined" if appropriate.
- Each diagnostic parameter pair (Data element: Response) is listed on a separate line or in a tabular format to achieve visual separation. The following exceptions are allowed to be listed on one line:
 - o Anatomic site or specimen, laterality, and procedure
 - Pathologic Stage Classification (pTNM) elements
 - Negative margins, as long as all negative margins are specifically enumerated where applicable
- The synoptic portion of the report can appear in the diagnosis section of the pathology report, at the end of the report or in a separate section, but all Data element: Responses must be listed together in one location Organizations and pathologists may choose to list the required elements in any order, use additional methods in order to enhance or achieve visual separation, or add optional items within the synoptic report. The report may have required elements in a summary format elsewhere in the report IN ADDITION TO but not as replacement for the synoptic report ie, all required elements must be in the synoptic portion of the report in the format defined above.

CAP Laboratory Accreditation Program Protocol Required Use Date: March 2018

CAP Distal Extrahepatic Bile Duct Protocol Summary of Changes

Version 4.0.0.1

The following data elements were modified:

Margin responses changed from dysplasia to intraepithelial neoplasia

Version 4.0.0.0

The following data elements were modified:

Histologic Type

Margins

Pathologic Stage Classification (pTNM, AJCC 8th Edition)

The following data element was added:

Microscopic Depth of Tumor Extension

The following data element was removed:

Clinical History

Surgical Pathology Cancer Case Summary

Protocol posting date: June 2017
DISTAL EXTRAHEPATIC BILE DUCTS:
Select a single response unless otherwise indicated.
Procedure (Notes A and B) Pancreaticoduodenectomy (Whipple resection) Segmental resection of bile ducts(s) Choledochal cyst resection
Other (specify): Not specified
Tumor Site (select all that apply)
Common bile duct + Extrapancreatic + Intrapancreatic Other (specify): Not specified
Tumor Size
Greatest dimension (centimeters): cm + Additional dimensions (centimeters): x cm Cannot be determined (explain):
Histologic Type (Note C)
Adenocarcinoma, intestinal type Adenocarcinoma, biliary type Adenocarcinoma, gastric foveolar type Intraductal papillary neoplasm with an associated invasive carcinoma Mucinous cystic neoplasm with an associated invasive carcinoma Mucinous adenocarcinoma Clear cell adenocarcinoma Signet-ring cell carcinoma Adenosquamous carcinoma Squamous cell carcinoma Undifferentiated carcinoma Large cell neuroendocrine carcinoma Large cell neuroendocrine carcinoma Neuroendocrine carcinoma (poorly differentiated)# Other histologic type not listed (specify): Carcinoma, type cannot be determined
Note: Select this option only if large cell or small cell cannot be determined.
Histologic Grade (Note D) G1: Well differentiated G2: Moderately differentiated

G3: Poorly differentiated GX: Cannot be assessed

Not applicable

⁺ Data elements preceded by this symbol are not required for accreditation purposes. These optional elements may be clinically important but are not yet validated or regularly used in patient management.

Tumor Extension (select all that apply) No invasion (carcinoma in situ/high-grade dysplasia) Tumor confined to the bile duct histologically Tumor invades beyond the wall of the bile duct Tumor invades the duodenum Tumor invades the pancreas + Tumor involves posterior surface of pancreas + Tumor involves anterior surface of pancreas + Tumor involves vascular bed/groove (corresponding to superior mesenteric vein/portal vein) Tumor invades the gallbladder Tumor invades other adjacent structures (specify)#: Cannot be assessed (explain):
*Adjacent structures may include duodenum, ampulla, stomach, gallbladder, omentum, celiac axis, superior mesenteric artery, and common hepatic artery.
Depth of Tumor Extension No invasion (carcinoma in situ/high-grade dysplasia) Tumor invades with a depth <5 mm Tumor invades with a depth of 5-12 mm Tumor invades with a depth >12 mm Cannot be determined (explain):
Margins (Note E)
Note: Use this section only if all margins are uninvolved and all margins can be assessed.
All margins are uninvolved by invasive carcinoma and high-grade intraepithelial neoplasia / dysplasia Margins examined: Note: Margins may include proximal bile duct, distal bile duct, radial, pancreatic neck/parenchymal, uncinate, bile duct, proximal gastric or duodenal, distal duodenal or jejunal, and others. + Distance of invasive carcinoma from closest margin (millimeters or centimeters): mm or cm + Specify closest margin:
Individual margin reporting required if any margins are involved or margin involvement cannot be assessed
For segmental resection specimens only:
Proximal Bile Duct Margin Cannot be assessed Uninvolved by invasive carcinoma and high-grade intraepithelial neoplasia + Distance of invasive carcinoma from margin (millimeters or centimeters): mm or cm Uninvolved by invasive carcinoma + Distance of invasive carcinoma from margin (millimeters or centimeters): mm or cm Involved by invasive carcinoma Involved by high-grade intraepithelial neoplasia
Distal Bile Duct Margin
 Cannot be assessed Uninvolved by invasive carcinoma and high-grade intraepithelial neoplasia + Distance of invasive carcinoma from margin (millimeters or centimeters): mm or cm Uninvolved by invasive carcinoma + Distance of invasive carcinoma from margin (millimeters or centimeters): mm or cm
Involved by invasive carcinoma Involved by high-grade intraepithelial neoplasia

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Radial Margin
Cannot be assessed
Uninvolved by invasive carcinoma+ Distance of invasive carcinoma from margin (millimeters or centimeters): mm or cm
Involved by invasive carcinoma
Other Margin(s) (required only if applicable)
Specify margin(s):
Cannot be assessed
Uninvolved by invasive carcinoma
Involved by invasive carcinoma
For pancreaticoduodenal resection specimens only:
Pancreatic Neck/Parenchymal Margin
Cannot be assessed
Uninvolved by invasive carcinoma and high-grade intraepithelial neoplasia
+ Distance of invasive carcinoma from margin (millimeters or centimeters): mm or cm
Uninvolved by invasive carcinoma
+ Distance of invasive carcinoma from margin (millimeters <i>or</i> centimeters): mm <i>or</i> cm
Involved by invasive carcinoma Involved by high-grade intraepithelial neoplasia
Involved by high-grade intraepithelial heopiasia
Uncinate (Retroperitoneal/Superior Mesenteric Artery) Margin
Cannot be assessed
Uninvolved by invasive carcinoma
+ Distance of invasive carcinoma from margin (millimeters <i>or</i> centimeters): mm <i>or</i> cm
Involved by invasive carcinoma
Bile Duct Margin
Cannot be assessed
Uninvolved by invasive carcinoma and high-grade intraepithelial neoplasia
+ Distance of invasive carcinoma from margin (millimeters or centimeters): mm or cm
Uninvolved by invasive carcinoma
+ Distance of invasive carcinoma from margin (millimeters <i>or</i> centimeters): mm <i>or</i> cm
Involved by invasive carcinoma
Involved by high-grade intraepithelial neoplasia
Proximal Margin (Gastric or Duodenal)
Cannot be assessed
Uninvolved by invasive carcinoma and high-grade intraepithelial neoplasia
Uninvolved by invasive carcinoma
Involved by invasive carcinoma
Involved by high-grade intraepithelial neoplasia
Distal Margin (Duodenal or Jejunal)
Cannot be assessed
Uninvolved by invasive carcinoma and high-grade intraepithelial neoplasia
Uninvolved by invasive carcinoma
Involved by invasive carcinoma
Involved by high-grade intraepithelial neoplasia
Other Margin(s) (required only if applicable)
Specify margin(s):
Cannot be assessed

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	olved by invasive carcinoma ed by invasive carcinoma	
Not ide		
Not ide		
	Lymph Nodes uph nodes submitted or found	
Lymph Noc	de Examination (required only if lymph node	es are present in the specimen)
	Lymph Nodes Involved:er cannot be determined (explain):	
	Lymph Nodes Examined:er cannot be determined (explain):	
Note: Report the report. T	issued. Only the applicable T, N, or M category The categories (with modifiers when applicable) or riptors (required only if applicable) (select a	ories is based on information available to the pathologist at the time is required for reporting; their definitions need not be included in can be listed on 1 line or more than 1 line.
m (mu r (recu y (post		
Primary TupTX:pTis:pT1:pT2:pT3:pT4:	Primary tumor cannot be assessed Carcinoma in situ/high-grade dysplasia Tumor invades the bile duct wall with a de Tumor invades the bile duct wall with a de Tumor invades the bile duct wall with a de	pth of 5-12 mm
Regional L pNX: pN0: pN1: pN2:	ymph Nodes (pN) Regional lymph nodes cannot be assesse No regional lymph node metastasis Metastasis in one to three regional lymph Metastasis in four or more regional lymph	nodes
Distant Me	tastasis (pM) (required only if confirmed pa Distant metastasis Specify site(s), if known:	thologically in this case)

⁺ Data elements preceded by this symbol are not required for accreditation purposes. These optional elements may be clinically important but are not yet validated or regularly used in patient management.

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F Additional Pathologic Findings (select all that apply) (Note H)
+ None identified
+ Choledochal cyst
+ Dysplasia
+ Primary sclerosing cholangitis (PSC)
+ Biliary stones
+ Other (specify):
- Ancillary Studies
+ Specify:
+ Comment(s)

⁺ Data elements preceded by this symbol are not required for accreditation purposes. These optional elements may be clinically important but are not yet validated or regularly used in patient management.

Explanatory Notes

A. Application

Tumors arising in the biliary tree are classified into 3 groups: intrahepatic, perihilar, and distal (Figure 1). Perihilar tumors are defined as those involving the hepatic duct bifurcation or extrahepatic biliary tree proximal to the origin of the cystic duct¹; distal tumors as those lesions arising between the junction of the cystic duct-bile duct and the ampulla of Vater.² This protocol applies only to cancers arising in the distal extrahepatic bile ducts above the ampulla of Vater (Figure 1) and includes malignant tumors that develop in congenital choledochal cysts and tumors that arise in the intrapancreatic portion of the common bile duct. It does not include low-grade neuroendocrine neoplasms (carcinoids) or tumors arising in the ampulla of Vater. Carcinomas arising in the cystic duct are grouped for staging purposes with carcinomas of the gallbladder. Tumors arising within the intrahepatic bile ducts or perihilar bile ducts are classified and staged using the intrahepatic bile duct protocol or the perihilar bile duct protocol. Tumors of the pancreas and ampulla of Vater are classified separately. Tumors arising from intrapancreatic portion of common bile duct can be difficult to distinguish from pancreatic adenocarcinomas. Symmetric tumor growth around the bile duct and presence of biliary intraepithelial neoplasia favors a bile duct origin.³

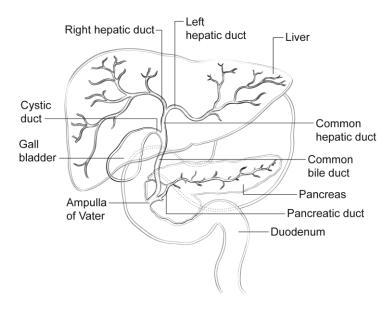


Figure 1. Anatomy of the biliary system.

B. Choledochal Cyst

Carcinomas may arise in choledochal cysts (congenital cystic dilatation or duplications) of the bile duct. Histologically, they are classified in the same way as those arising in the gallbladder or bile ducts. Stones may be found in these cysts. If dysplasia or carcinoma in situ is found on initial microscopic sections, then multiple additional sections should be examined to exclude invasive cancer in other areas of the cyst.

C. Histologic Type

For consistency in reporting, the histologic classification published by the World Health Organization (WHO), shown below, is recommended.⁴ However, this protocol does not preclude the use of other systems of classification or histologic types. By WHO convention, the term *cholangiocarcinoma* is reserved for carcinomas arising in the intrahepatic bile ducts (see intrahepatic bile ducts protocol).

Intraductal neoplasms have a relatively favorable prognosis,^{5,6} while signet-ring cell carcinoma, high-grade neuroendocrine carcinomas, and undifferentiated carcinomas are associated with a poorer prognosis.

Background Documentation

WHO Classification of Carcinoma of the Extrahepatic Bile Ducts

Adenocarcinoma

Adenocarcinoma, biliary type Adenocarcinoma, intestinal type Adenocarcinoma, gastric foveolar type Mucinous adenocarcinoma

Clear cell adenocarcinoma Signet-ring cell carcinoma

Intraductal papillary neoplasm with an associated invasive carcinoma Mucinous cystic neoplasm with an associated invasive carcinoma

Adenosquamous carcinoma
Squamous cell carcinoma
Poorly differentiated neuroendocrine carcinoma
Large cell neuroendocrine carcinoma
Small cell neuroendocrine carcinoma
Undifferentiated carcinoma

D. Histologic Grade

For adenocarcinomas, a quantitative grading system based on the proportion of gland formation in the tumor is suggested and shown below.⁴

Grade X Grade cannot be assessed

Grade 1 Well-differentiated (greater than 95% of tumor composed of glands)

Grade 2 Moderately differentiated (50% to 95% of tumor composed of glands)

Grade 3 Poorly differentiated (less than 50% of tumor composed of glands)

By convention, signet-ring cell carcinomas are assigned grade 3. Undifferentiated carcinomas lack morphologic or immunohistochemical evidence of glandular, squamous or neuroendocrine differentiation. This grading scheme is not applicable to poorly differentiated neuroendocrine carcinomas.

For squamous cell carcinomas, a rare tumor type in the extrahepatic bile ducts, a suggested grading system is shown below. If there are variations in the differentiation within the tumor, the highest (least favorable) grade is recorded.

Grade X Grade cannot be assessed
Grade 1 Well-differentiated
Grade 2 Moderately differentiated
Grade 3 Poorly differentiated

E. Margins

Locoregional recurrence, as opposed to distant metastases, is usually the first site of disease recurrence and is often related to residual tumor located in the proximal or distal surgical margins of the bile duct or from tumor located along the dissected soft-tissue margin in the portal area. Local recurrence (usually at the surgical margins) can be attributed in many cases to tumor spread longitudinally along the duct wall and to perineural and lymphovascular invasion.⁷

Complete surgical resection with microscopically negative surgical margins is an important predictor of outcome in multivariate analysis for both perihilar and distal bile duct carcinomas^{1,8,9}

Malignant tumors of the extrahepatic bile ducts are often multifocal.⁴ Therefore, microscopic foci of carcinoma or intraepithelial neoplasia may be found at the margin(s) even though the main tumor mass has been resected. In some cases, it may be difficult to evaluate margins on frozen-section preparations because of inflammation and reactive change of the surface epithelium or within the intramural mucous glands. If surgical margins are free of carcinoma, the distance between the closest margin and the tumor edge should be measured.

Because 5% of patients with bile duct carcinoma have synchronous carcinomas of the gallbladder, examination of the entire surgical specimen, including the gallbladder, is advised.

F. Perineural and Vascular/Lymphatic Invasion

Perineural and lymphovascular invasion are common in extrahepatic bile duct carcinomas, although they are found less often in early stage cancers (11%).¹⁰ They should be specifically evaluated because they are associated with adverse outcome on univariate analysis.¹¹ Although perineural invasion is sometimes useful for distinguishing carcinoma from nonneoplastic glands, caution should be used in interpretation of this finding in ducts affected by primary sclerosing cholangitis because perineural invasion by benign hyperplastic intramural glands has been reported in this setting¹² and in adenomatous hyperplasia.

G. Pathologic Stage Classification

Surgical resection is the most effective therapy for extrahepatic biliary tract carcinomas, and the best estimation of prognosis is related to the anatomic extent (stage) of disease at the time of resection. In particular, lymph node metastases are predictors of poorer outcome. ^{1,13}

For malignant tumors of the distal extrahepatic bile ducts, the TNM staging system of the American Joint Committee on Cancer (AJCC) and the International Union Against Cancer (UICC) is recommended.² The staging system also applies to tumors arising in choledochal cysts.

According to AJCC/UICC convention, the designation "T" refers to a primary tumor that has not been previously treated. The designation "p" refers to the pathologic classification of the TNM, as opposed to the clinical classification, and is based on gross and microscopic examination. pT entails a resection of the primary tumor or biopsy adequate to evaluate the highest pT category, pN entails removal of nodes adequate to validate lymph node metastasis, and pM implies microscopic examination of distant lesions. Clinical classification (cTNM) is usually carried out by the referring physician before treatment during initial evaluation of the patient or when pathologic classification is not possible.

Pathologic staging is usually performed after surgical resection of the primary tumor. Pathologic staging depends on pathologic documentation of the anatomic extent of disease, whether or not the primary tumor has been completely removed. If a biopsied tumor is not resected for any reason (eg, when technically infeasible) and if the highest T and N categories or the M1 category of the tumor can be confirmed microscopically, the criteria for pathologic classification and staging have been satisfied without total removal of the primary cancer.

TNM Descriptors

For identification of special cases of TNM or pTNM classifications, the "m" suffix and "y," "r," and "a" prefixes are used. Although they do not affect the stage grouping, they indicate cases needing separate analysis.

The "m" suffix indicates the presence of multiple primary tumors in a single site and is recorded in parentheses: pT(m)NM.

The "y" prefix indicates those cases in which classification is performed during or after initial multimodality therapy (ie, neoadjuvant chemotherapy, radiation therapy, or both chemotherapy and radiation therapy). The cTNM or pTNM category is identified by a "y" prefix. The ycTNM or ypTNM categorizes the extent of tumor actually present at the time of that examination. The "y" categorization is not an estimate of tumor before multimodality therapy (ie, before initiation of neoadjuvant therapy).

<u>The "r" prefix</u> indicates a recurrent tumor when staged after a documented disease-free interval and is identified by the "r" prefix: rTNM.

The "a" prefix designates the stage determined at autopsy: aTNM.

Background Documentation

T Category Considerations

Tis includes high-grade biliary intraepithelial neoplasia (Billn-3), intraductal papillary neoplasm with high-grade dysplasia, and mucinous cystic neoplasm with high-grade dysplasia. For intraepithelial lesions, a 3-tier biliary intraepithelial neoplasia classification has been proposed.

The term carcinoma in situ is not widely applied to glandular neoplastic lesions but is retained for tumor registry reporting purposes as specified by law in many states. A synoptic report is not required for intraductal papillary mucinous neoplasms and mucinous cystic neoplasms in the absence of an invasive component. For invasive carcinoma associated with intraductal papillary neoplasms and mucinous cystic neoplasms, the invasive portion can be multifocal. The size of the largest focus as well as cumulative size of all invasive carcinoma foci should be included in the report.

The histology of the extrahepatic biliary tree varies along its length, with little smooth muscle in the wall of the proximal ducts as compared with the distal bile duct. The common bile duct lacks serosa, and the fibromuscular wall is surrounded by fat. Tumor infiltration into the fat beyond the fibromuscular wall is considered as extension beyond the bile duct. These anatomic features make it difficult to assess the anatomic level of tumor invasion. Inflammatory changes in the bile ducts and desmoplastic stromal response to tumor may also cause distortion of tissue boundaries. This has led to change in the T categories in the AJCC 8th edition, with T1-T3 being defined by the measurement of depth of invasion of tumor. The depth is measured from the basement membrane of adjacent normal or dysplastic epithelium to the point of deepest tumor invasion^{15,16} Properly oriented longitudinal sections through the tumor and including adjacent mucosa are necessary to accurately measure depth of invasion. If the depth is difficult to determine, a best estimate is used. Cutoffs using 0.5 cm and 1.2 cm have yielded better prognostic stratification compared to anatomic level of invasion.²

Even though the anatomic level of invasion and direct invasion into the pancreas, duodenum, gallbladder, colon, stomach, and omentum does not affect the T category, it should be included in the pathology report. Lymphatic or venous invasion does not affect the T category. T4 tumors are characterized by involvement of superior mesenteric artery, celiac axis and/or common hepatic artery. In most instances, these tumors are considered unresectable and hence T4 category is determined by radiologic studies and is not usually assigned by pathologists.

N Category Considerations

The regional nodes for distal bile duct carcinomas are the same as those for carcinomas of the pancreatic head and include the following: lymph nodes along the common bile duct, and hepatic artery; posterior and anterior pancreaticoduodenal nodes; and nodes along the right lateral wall of the superior mesenteric artery.

Tumor involvement of other nodal groups is considered distant metastasis. Anatomic division of regional lymph nodes is not necessary, but separately submitted lymph nodes should be reported as submitted. A minimum number of lymph nodes examined for accurate staging has not been determined, but examination of at least 12 lymph nodes is suggested.^{2,17}

Routine assessment of regional lymph nodes is limited to conventional pathologic techniques (gross assessment and histologic examination), and data are currently insufficient to recommend special measures to detect micrometastasis or isolated tumor cells. Thus, neither multiple levels of paraffin blocks nor the use of special/ancillary techniques, such as immunohistochemistry, are recommended for routine examination of regional lymph nodes.

H. Additional Findings

Chronic inflammatory conditions affecting the bile ducts are associated with higher risk for biliary tract carcinomas. The most common risk factor for cholangiocarcinoma of the extrahepatic bile ducts in Western countries is primary sclerosing cholangitis (PSC), characterized by multifocal strictures and inflammation of the extrahepatic and intrahepatic biliary tree. Patients with PSC are at risk for multifocal biliary carcinomas. In Japan and Southeast Asia, hepatolithiasis due to recurrent pyogenic cholangitis with biliary stones is a more common risk

Background Documentation

factor for biliary malignancy. Biliary parasites such as *Clonorchis sinensis* and *Opisthorchis viverrini*, prevalent in parts of Asia, are also associated with carcinomas of the extrahepatic bile ducts.

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