

# Deep Learning for Physicists

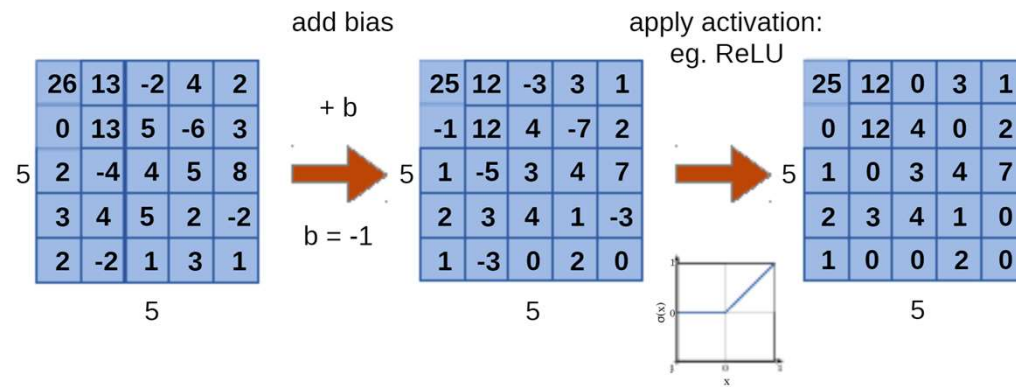
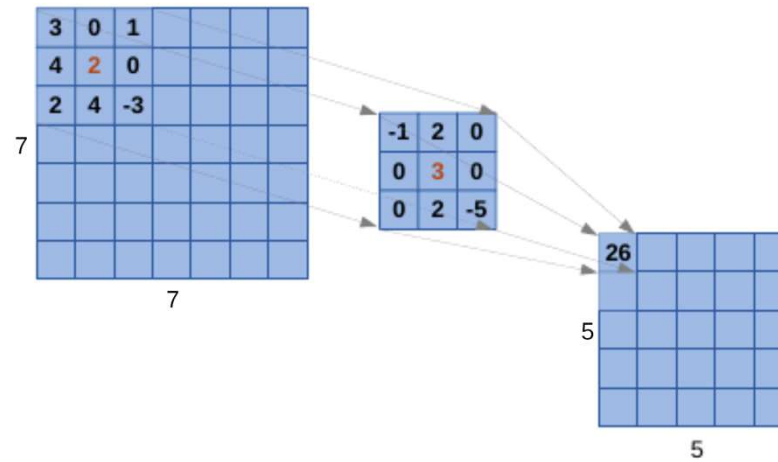
Lecture #6: Convolutional neural networks | Part 2

Kosmas Kepesidis

# Summary of previous lecture...

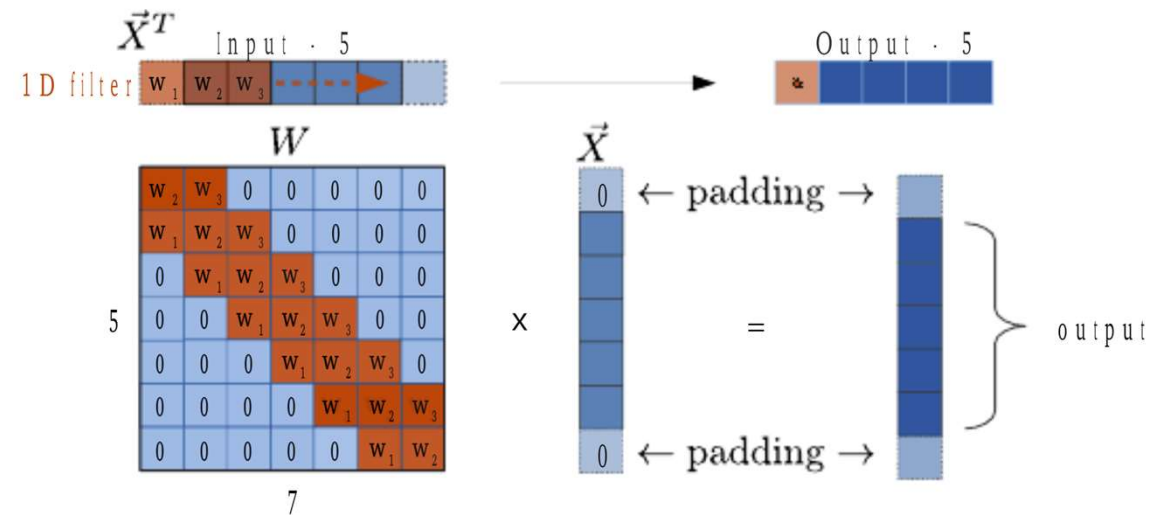
- Convolutional neural networks (CNNs) are the standard architecture for building deep networks to process image-like data
- CNNs simplify the underlying numerical problem by using symmetries that exist in images
- By sliding small filters with adaptive weights over the input, the convolutional operation can deal with variable input and output sizes
- Exploiting symmetry in data allows to reduce the total number of model parameters

# Summary of previous lecture...



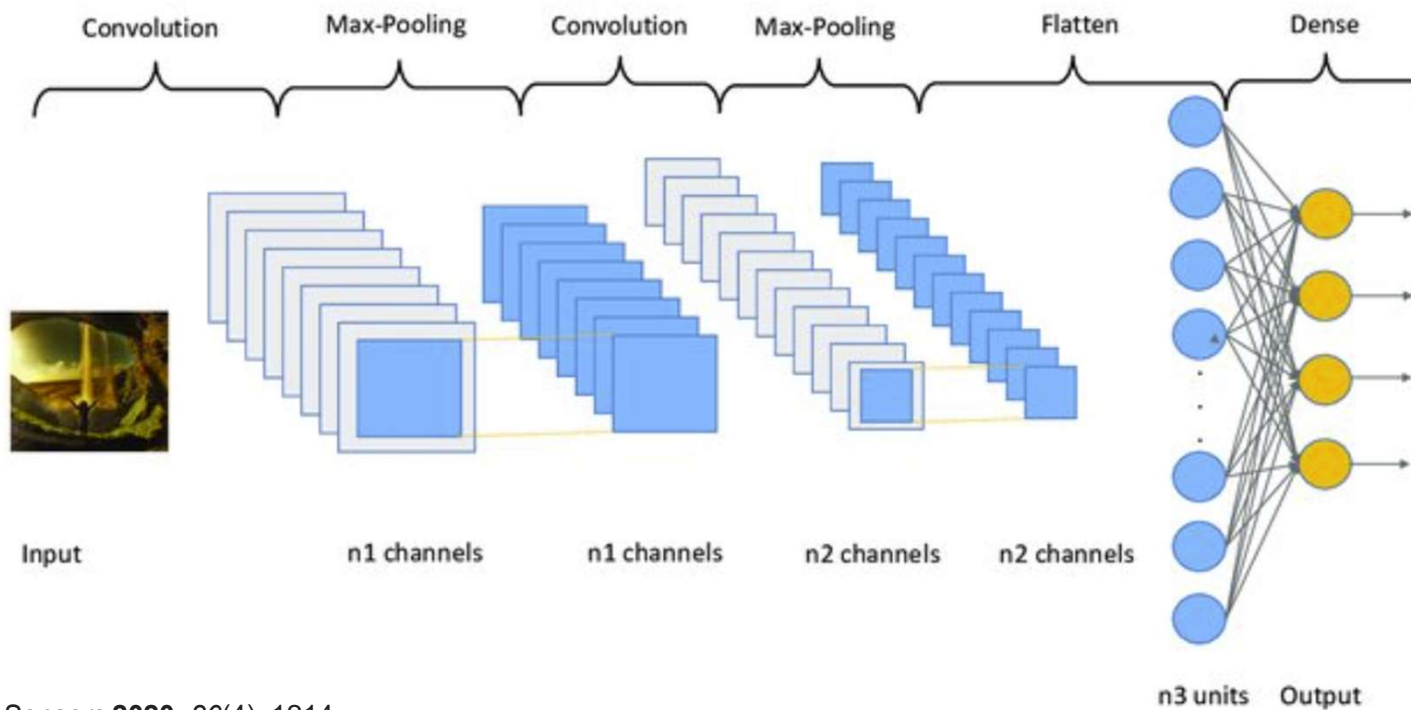
# Summary of previous lecture...

- Exploiting symmetry in data allows to reduce the total number of model parameters
- Advantages:
  1. Simplified optimization (training)
  2. Reducing the chances of overfitting



# Summary of previous lecture...

- Adding fully-connected layer:



# Outline

- Convolutional neural networks (CNNs) | Part 1

- Convolutions of image-like data
- Convolutional layers
- Multi-dimensional convolutions
- Important operations in CNNs
- Short- and long-range correlations
- CNNs vs. fully-connected networks

- **Convolutional neural networks (CNNs) | Part 2**

- Reconstruction tasks
- Advanced concepts
- Applications in physics

# Convolutional neural networks (CNNs)

## Part 2

# Reconstruction tasks



# Reconstruction tasks

- In principle, two types of general tasks can be performed using CNNs:
  1. Classification: e.g. classify detector's response as signal or background
  2. Regression: e.g. extract the energy of a measured particle
- Such operations can be performed also at the pixel level – examples include:
  - Semantic segmentation
  - Pixel-wise regression
  - Object localization (involves more than one pixel)

# Reconstruction tasks

- **Semantic segmentation**

- In other words: **pixel-wise classification**
- Network output do not consist of nodes but of maps, one for each class
- The output maps has the resolution of the input image

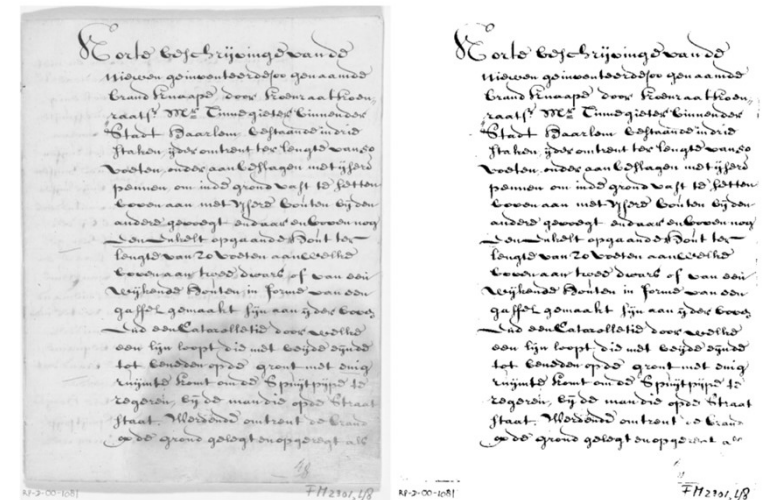
- Example: image binarization



Fig. 1. Gray Scale (Multi Tone) Image



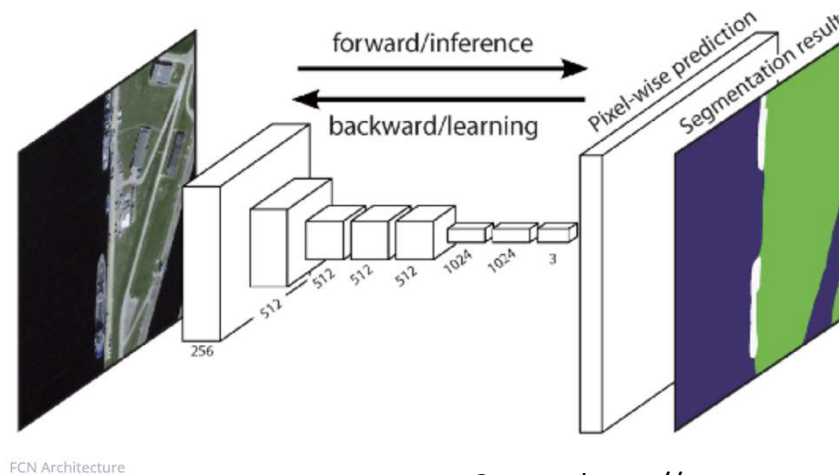
Fig. 2. Binarized Image (Two Tone Image)



# Reconstruction tasks

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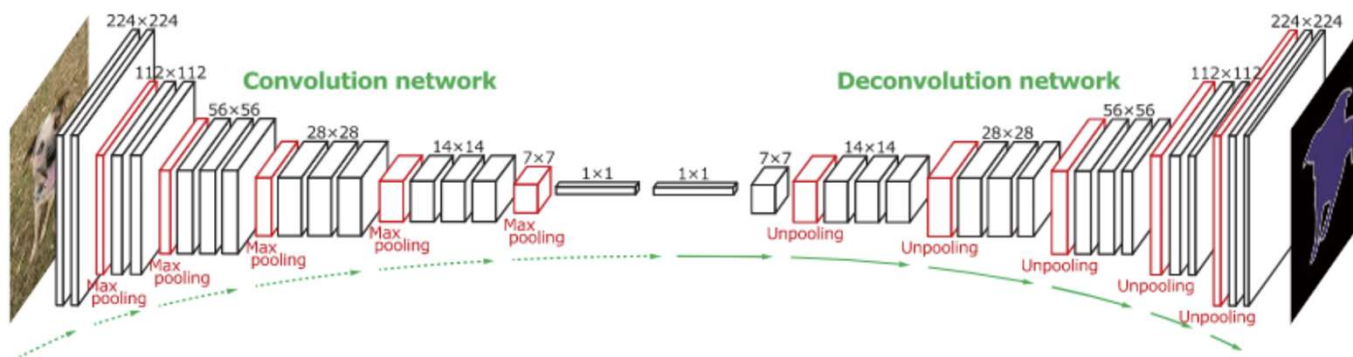


Source: <https://nanonets.com/>

# Reconstruction tasks

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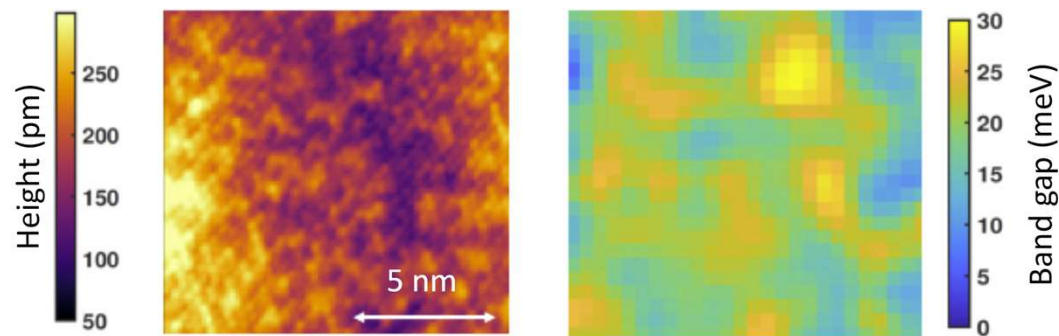
Source: H. Noh et al. (2015)

# Reconstruction tasks

- **Pixel-wise regression**

- Regression of this kind has been of lower importance in applications
- In physics, there are a lot of emerging applications

- Example: Scanning probe microscopy of the same area of a  $\text{MnSb}_2\text{Te}_4$  epitaxial film
  - From topographic image to local band gap



Advanced concepts

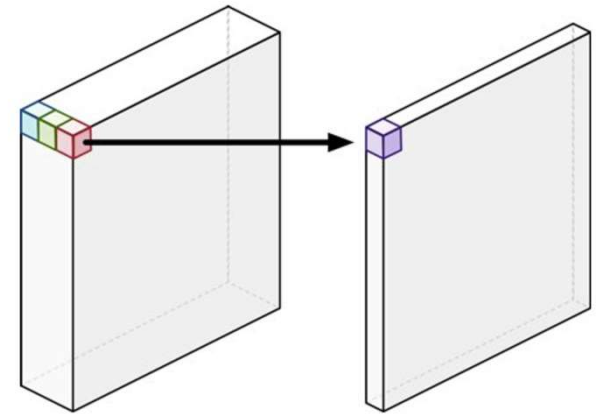
# Advanced concepts

- In the last years several improvements have been proposed in for CNNs
- We review here some of these improvements that are potentially very relevant to physics applications

# Advanced concepts

- **Point-wise convolution:**

- Special case of convolution operations
- Act only in the feature domain, using filter sizes of  $(1 \times 1)$ , each feature map is scaled by a single weight value
- This transformation can be seen as a fully-connected layer applied to the features space
- Used for producing low-dimensional representations of the data and reduce the computing effort
  - often called **bottleneck layers**





# Advanced concepts

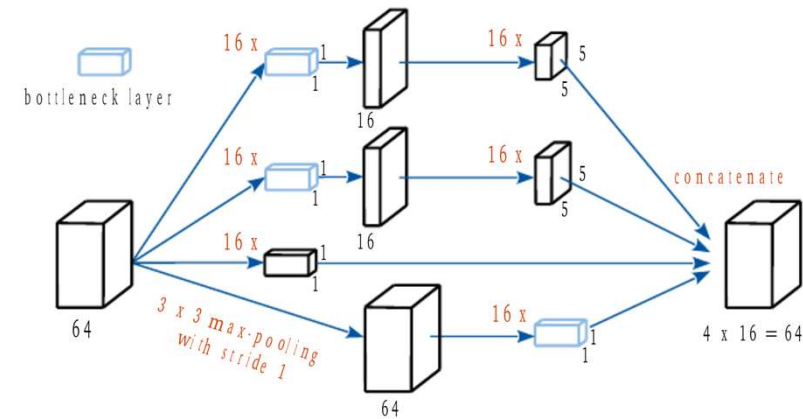
- **Locally-connected convolutions:**

- Similar to the normal convolution operations
- The difference is that the filter weights are not shared across the image
- Each local patch of the input is processed by a different filter – translational invariance is broken
- Useful only when “local” correlations are very strong

# Advanced concepts

- **Inception network:**

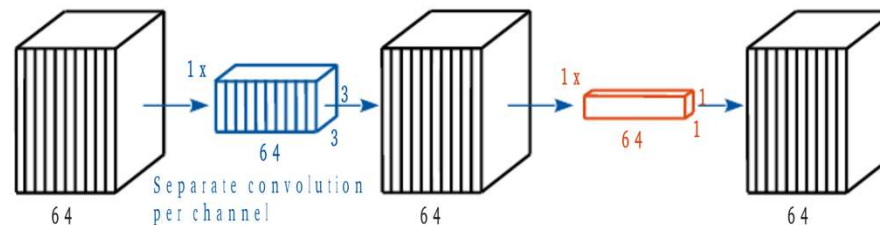
- The size of the filter determines the size of structures that can be learned
- Inception technique can make a layer sensitive to objects of different scales
- Idea: several filters of different sizes operate in parallel
- The learned feature maps are combined again to form a unified feature space, which is processed by the subsequent layers
- Multiple bottleneck layers (point-wise convolutions) are used for preventing the feature maps of becoming too large



# Advanced concepts

- **Separable convolutions:**

- If spatial correlations are decoupled from cross-channel correlations, it is better to calculate them in separate convolutions – this is an extreme variant of **Inception**
- A recently published work of a method called **Xception**, decomposes the convolution operation into two subsequent ones (factorization)
  1. First part acts on spatial dimensions of each channel: **depth-wise** convolution
  2. Second part is a **point-wise** convolution that cross-correlates the channels



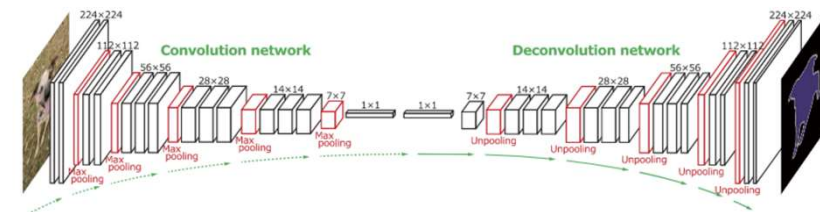
J. Hu et al., Squeeze-and-excitation networks, (2019)

A. G. Howard et al., Mobilenets: Efficient convolutional neural networks for mobile vision applications, (2017)

# Advanced concepts

- **Transposed convolutions or deconvolutions:**

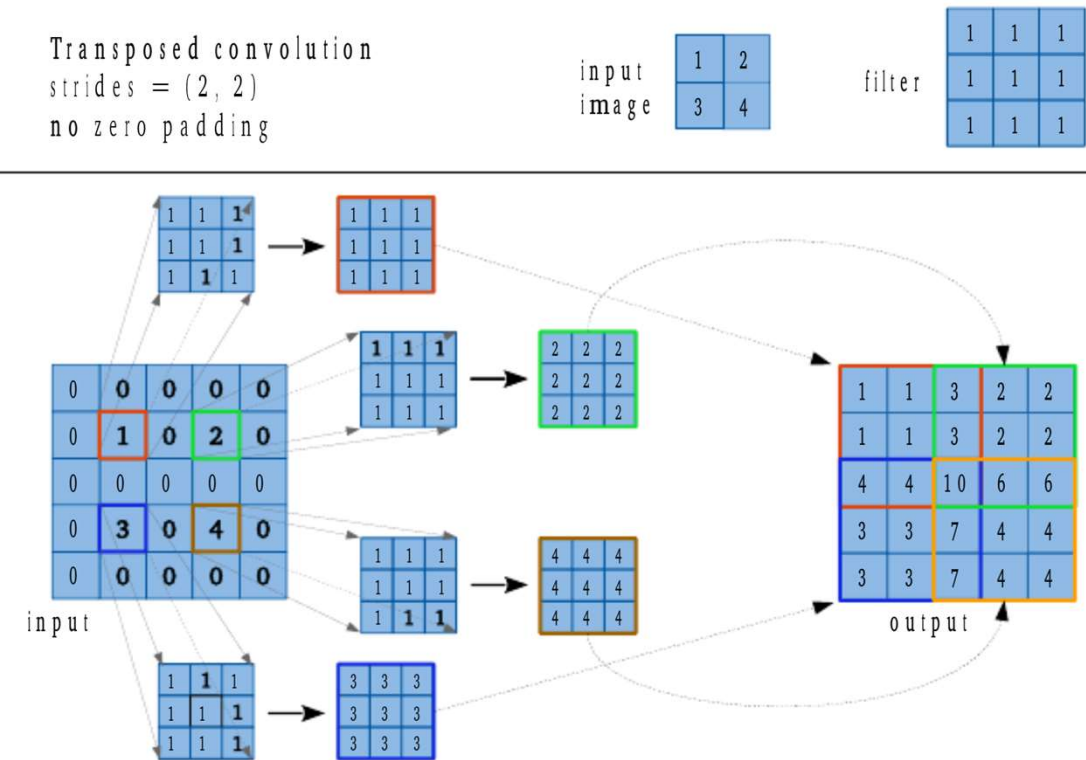
- As we have seen, sometimes it is useful to reverse the order of a convolution
- Apply filter on single pixel and output a patch
- By sliding the filter over many pixels, several patches can be created and aggregated
- It can be thought as if going from extracted features back to the original image
- Many applications, such as **semantic segmentation**



Source: [H. Noh et al. \(2015\)](#)

# Advanced concepts

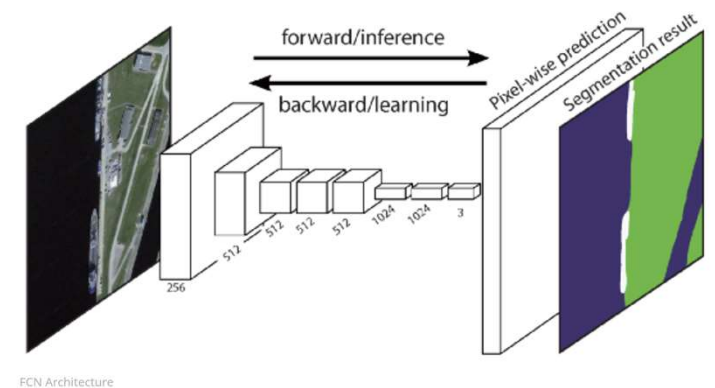
- **Transposed convolutions or deconvolutions:**



# Advanced concepts

- **Upsampling**

- Another method to increase the size images is simple **upsampling** (as previously discussed)
- Can be a good replacement of deconvolution in some cases
- Many applications, such as semantic segmentation

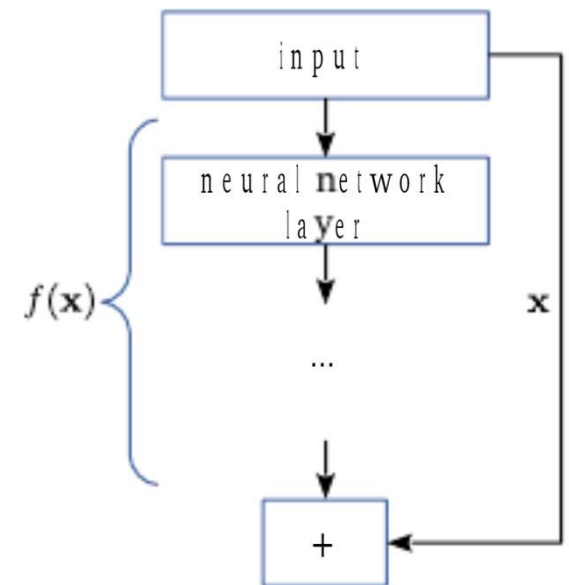


Source: <https://nanonets.com/>

# Advanced concepts

- **Shortcuts**

- We have visited the concepts of **residual learning** and **shortcuts** during our discussion on the fully-connected networks – in a similar way, they can be used also for CNNs
- Basic idea of **residual network** (ResNet) is to add small modifications to already learned features
- Figure shows the concept of shortcut in residual networks
  - Operation is divided into two parts:
    1. Pass-on of original input (i.e. learned features of previous layers)
    2. Small change  $f(x)$  learned from convolutional layers
  - Output:  $y = x + f(x)$

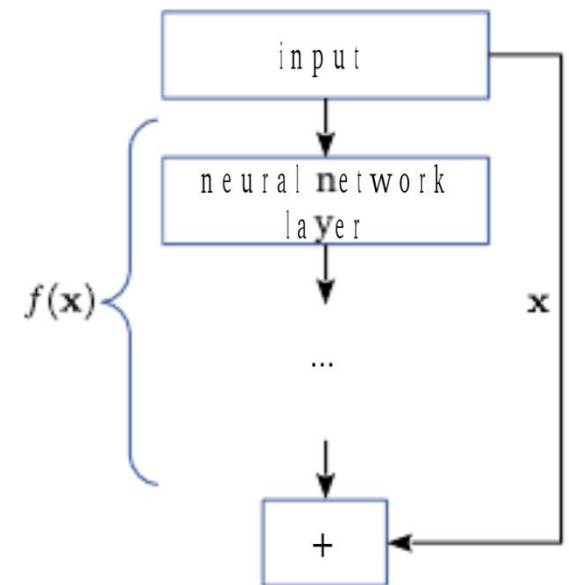


# Advanced concepts

- **Shortcuts**

- Effectiveness of ResNet:

- Large predictive capacity can be created using many small mappings
    - Learned features have priority – already adequately learned features are easily propagated throughout the network
    - This *bypass* also reduces potential problems with vanishing gradients
  - ResNet
    - uses shortcuts massively between layers of different hierarchies
    - it is possible to train very deep networks with more than a thousand layers
    - offer an extremely high capacity for complex problems

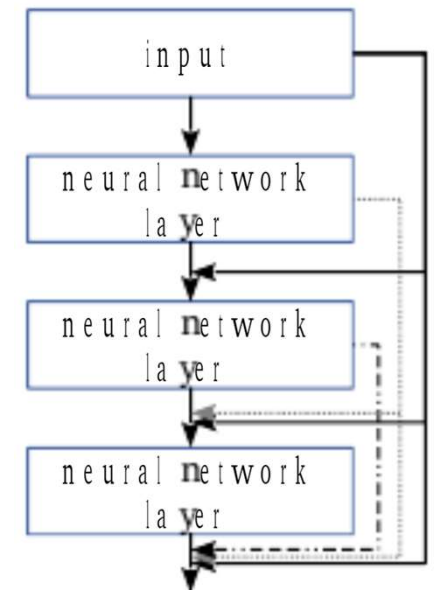




# Advanced concepts

- **Shortcuts**

- **Densely-connected** convolutions (DenseNet) – figure shows the architecture
- Similar to ResNet but now addition has been replaced by concatenation:
  - Concatenating two feature maps (matrices) of dimensions  $m \times n$  results into a feature map of dimensions  $2 \times m \times n$
- All feature maps created are linked together in every subsequent layer
  - Different levels of hierarchy are connected to each other



# Advanced concepts

- **Shortcuts**

- **Benefits:**

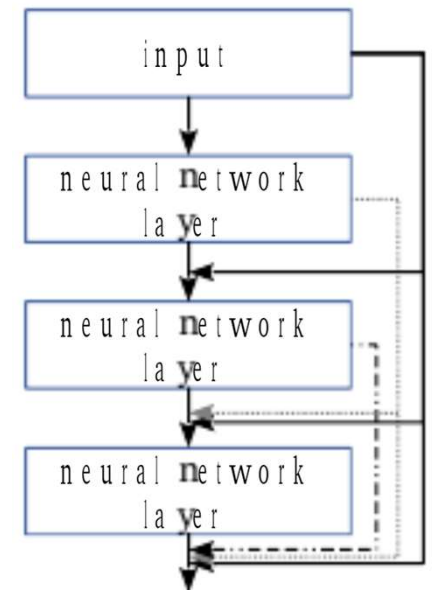
- It is a way of doing **weight sharing** that generally stabilizes the network
    - Simplifies propagation of gradients

- **Problem:**

- Rapid in-crease in memory consumption

- **Solution:**

- Use of point-wise convolutions as transition layers



# Advanced concepts

- **Shortcuts**

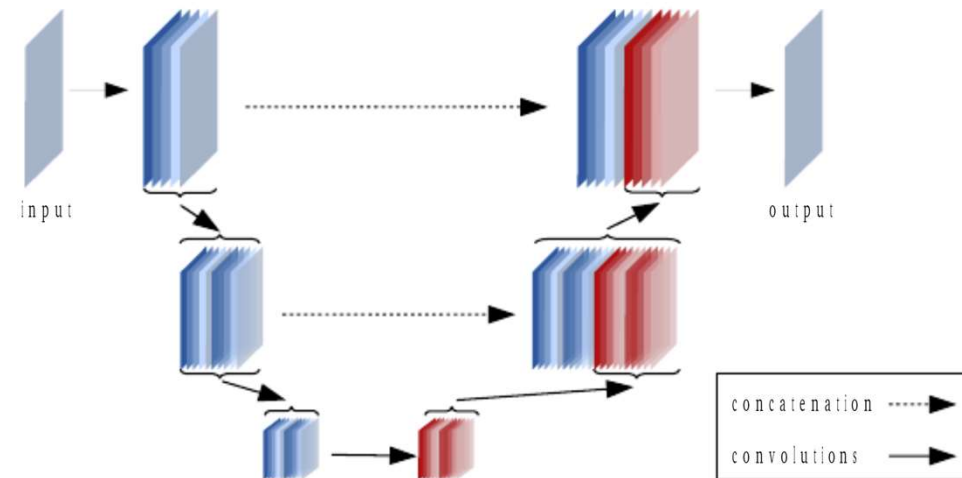
- Common tasks in computer vision:

1. Global image classification
2. Identification of objects in images
3. Image segmentation

- The problem of distinguishing objects and identify their locations is computationally expensive, since the network need to examine all images pixel by pixel

- Example: answering medical questions using X-ray images

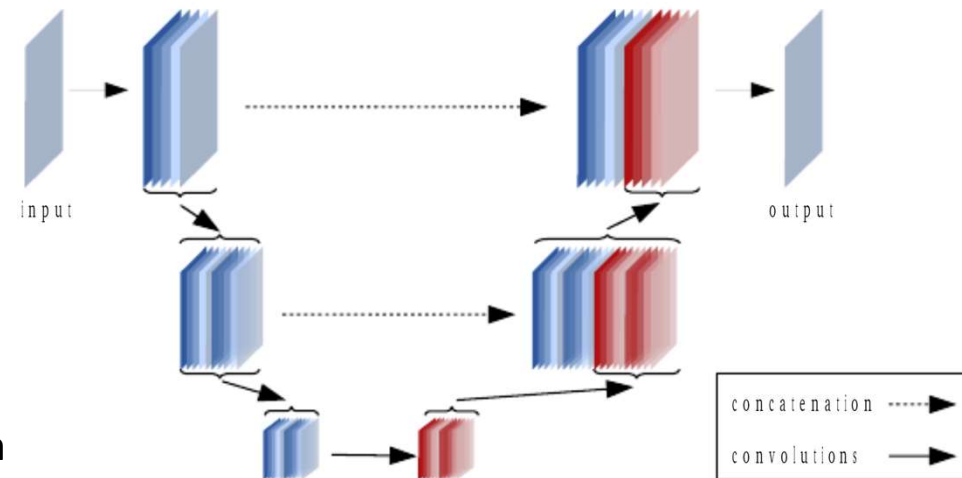
- An architecture called **UNet** offers a way for precise object localization and object classification using concatenation operations



# Advanced concepts

- **Shortcuts**

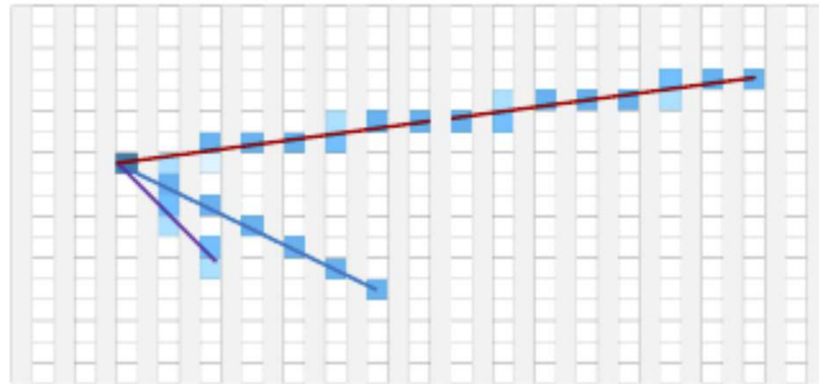
- **UNet** consists of two parts, a contracting and an expanding part
- Contracting part – similar to regular CNN
  - A series of convolutions and a pooling operation can achieve features capable of object identification
- Expanding part – *transposed convolutions*:
  - After transposed convolutions (or upsampling) feature maps are concatenated with same-resolution maps of the contracting part
  - This mechanism is capable of localization



Applications in physics

# Applications in physics

- In particle physics experiments, it is common to graphically display collision effects on a grid
- Events of interaction between particles and detectors are recorded, in a way that reveals particle trajectories



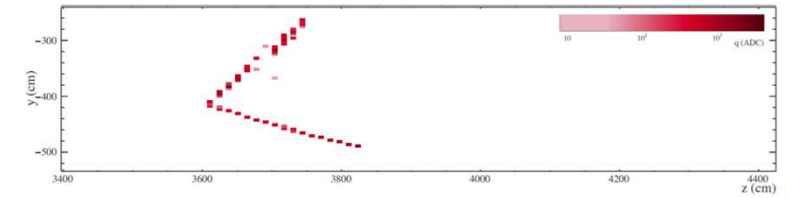
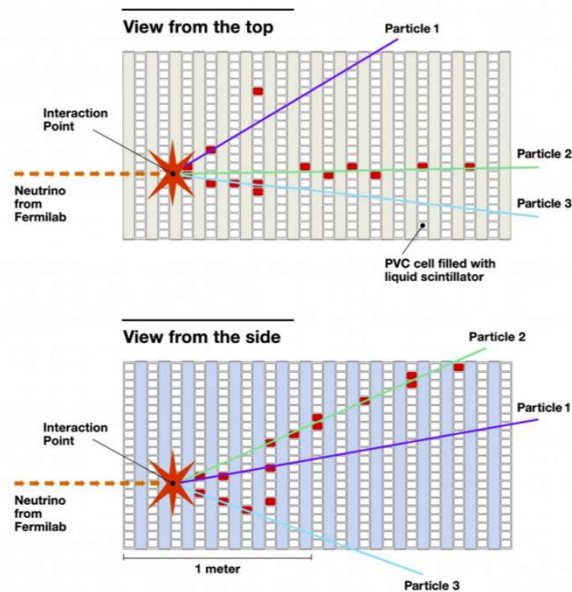
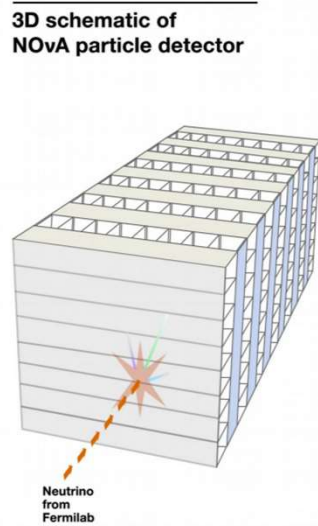
- Important questions: determine which particle is observed (electron, muon, etc.)

# Applications in physics

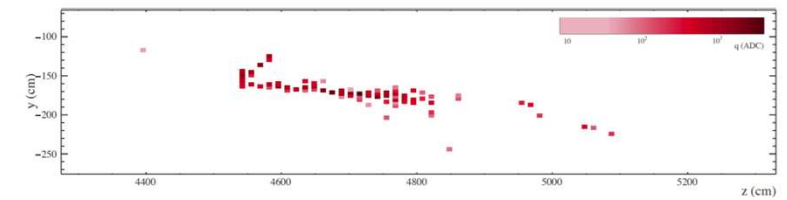
- Revealing the identities of particles corresponds to a classification problem
  - typically multiclass classification, where the classes correspond to particle types
  - Trajectories can be used as hints for particles identity
  - Sometimes the signals themselves are sensitive enough to differences in particles
  - This type of data has similarities to images that can be directly processed using **CNNs**
- Example: neutrino oscillations (NOvA)
  - Neutrinos captured by detectors after a flight of 800 km from the generation site (FermiLab)
  - The type of neutrino was determined by detecting an electron or muon as a collision biproduct
    - muons leave a  $\sim 10$  m long narrow trace of signals
    - electron traces are less long and broader
  - Binary-classification problem!

# Applications in physics

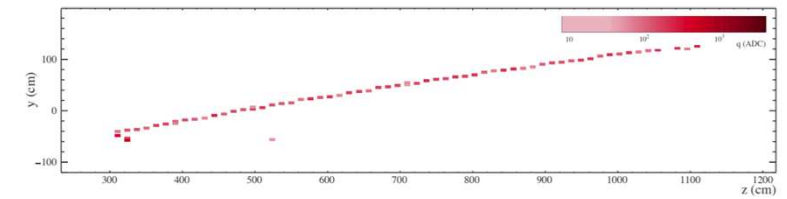
- Example: neutrino oscillations



(a) A  $\nu_e$  CC QE electron plus one hadron signature where the upward-going shower-like prong with multiple hit cells on each plane corresponds to an electron and the downward-going track-like prong with approximately one hit per plane correspond to a proton.



(b) A  $\nu_e$  CC RES electron plus hadron shower signature with a characteristic electron shower and short prongs which could correspond to multiple hadrons.



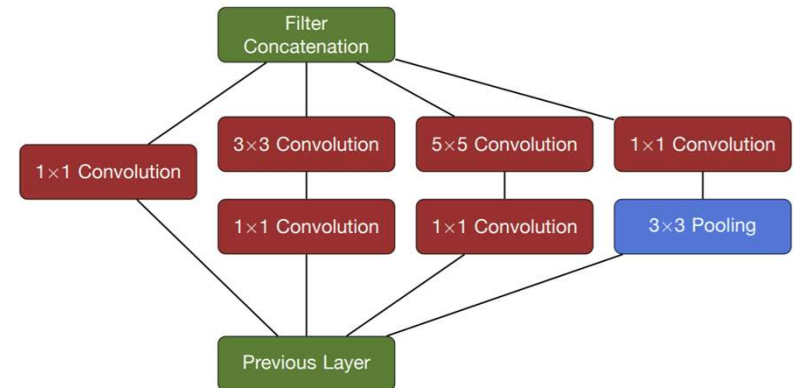
(c) A  $\nu_\mu$  CC QE muon plus one hadron signature with a long track-like prong with lower charge-per-cell corresponding to a muon and a short prong with larger charge-per-cell corresponding to a proton.



# Applications in physics

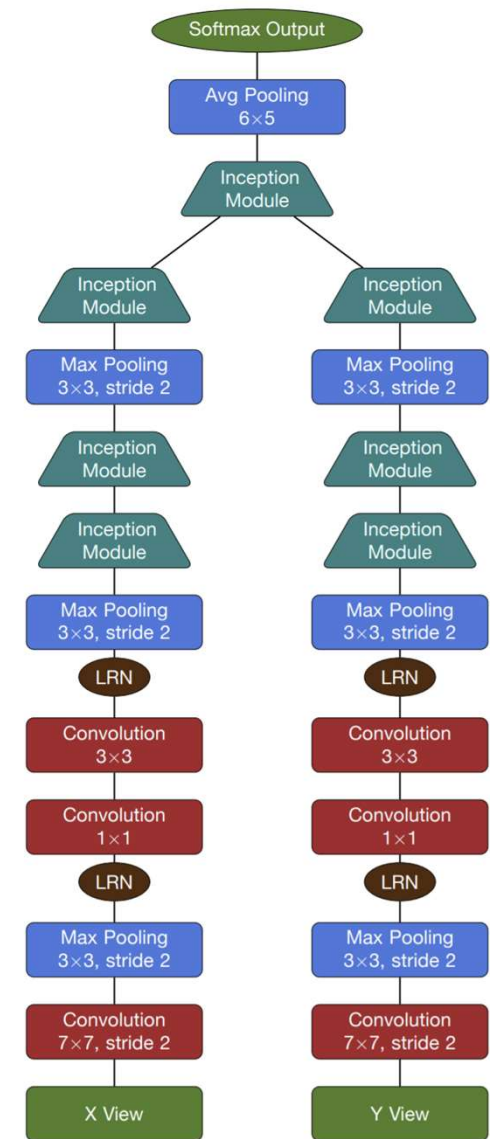
- Example: neutrino oscillations

- Using the **inception** concept (discussed previously), convolutional filters were trained on the track patterns of electrons and muons
- The network improved the identification of electrons by about 30% over previously-used methods



# Applications in physics

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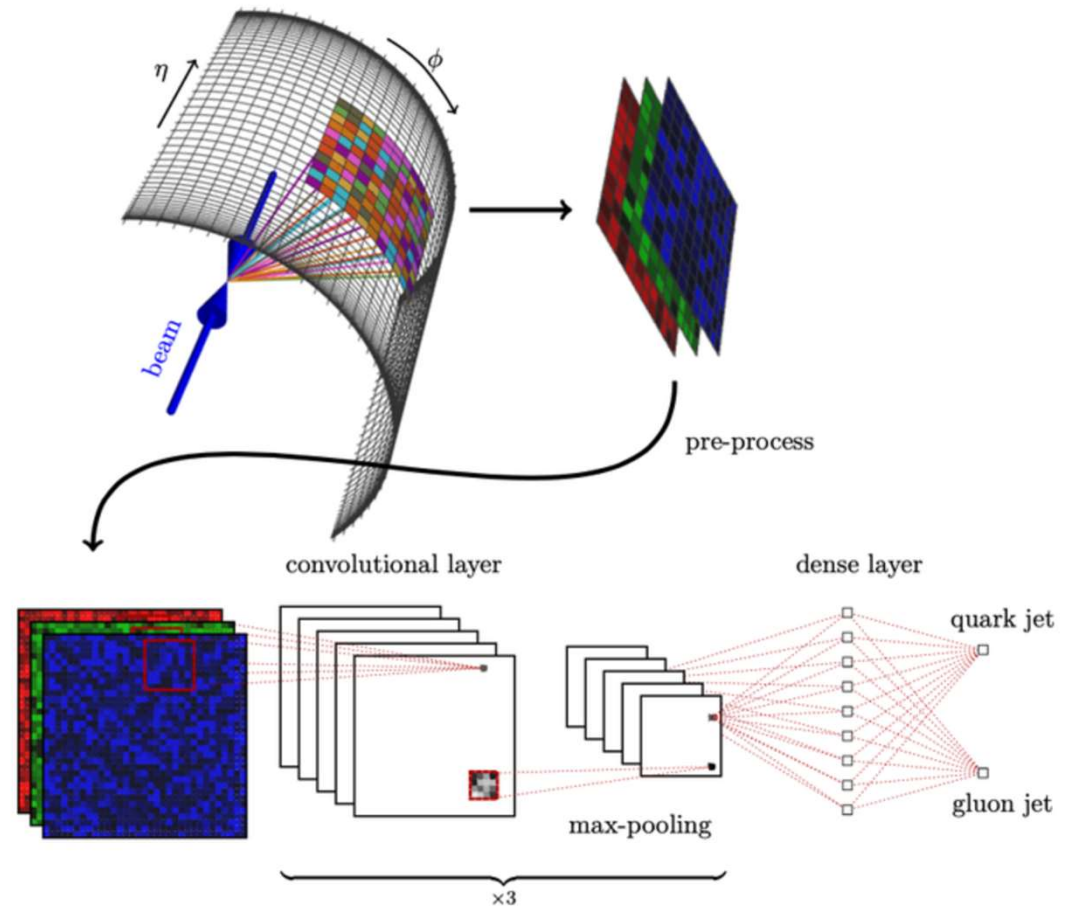


# Applications in physics

- Example: quarks and gluons
  - Particle collisions at LHC (CERN) produce particle jets
  - These jets hit the detectors:
    - Jet thickness is smaller (with fewer particles) if it originates from *up/down quark*
    - Jet thickness is larger (with more particles) if it originates from a *gluon*
  - Particle jets were projected on a effective cylindrical coordinates  $(n, \phi)$
  - Idea: use physical quantities as colors on an image (RGB channels)
    - Green: transverse momenta of charged particles
    - Blue: transverse momenta of neutral particles
    - Red: particle charge

# Applications in physics

- Example: quarks and gluons



# Applications in physics

- Example: pixel-wise segmentation of neutrinos
  - For *neutrino* measurements in a LArTPC (liquid argon time projection measurements), the **UNet** architecture has been proven to be efficient for pixel-wise segmentation of particle tracks using 2D projections of underlying physics events

# Applications in physics

- Example: fluorescence microscopy
  - **Diffraction limit** is a physical barrier that restricts the resolution of image details to almost half the optical wavelength
  - Super-resolution methods have been developed
  - Example: single-molecule localization microscopy (SMLM)
    - Permits the creation of high-resolution images by integrating many localization outcomes into single images
    - CNNs have been successfully used for the localization problem
    - Turning the localization problem into a classification one
      - Example: is there an object (such as molecule) within a pixel/voxel or not

# Summary

- Convolutional neural networks (CNNs) are the standard architecture for building deep networks to process image-like data
- CNNs simplify the underlying numerical problem by using symmetries that exist in images
- By sliding small filters with adaptive weights over the input, the convolutional operation can deal with variable input and output sizes
- Exploiting symmetry in data allows to reduce the total number of model parameters

# Summary

- By stacking convolutional layers, the receptive field of view increases, which allows to extract features of different hierarchies
- More complex architectures involve shortcuts and filters that are sensitive to different scales – they can help build very deep models and improve the performance
- Using batch normalization in CNNs can increase the models' convergence and performance, especially when training very deep models