# NLP Final Project Part 1 to 3

December 13, 2024

# 1 50.040 Natural Language Processing (Fall 2024) Final Project (100 Points)

DUE DATE: 13 December 2024

Final project will be graded by Chen Huang

# 2 Group Information (Fill before you start)

#### Group Name:

ChatGPT Course

#### Name(STUDNET ID) (2-3 person):

Beckham Wee Yu Zheng(1006010)

Deshpande Sunny Nitin(1006336)

Patrick Mo(1006084)

Please also rename the final submitted pdf as finalproject\_[GROUP\_NAME].pdf

- -1 points if info not filled or file name not adjusted before submission, -100 points if you copy other's answer. We encourage discussion, but please do not copy without thinking.
- 2.1 [!] Please read this if your computer does not have GPUs.

#### 2.1.1 Free GPU Resources

We suggest that you run neural language models on machines with GPU(s). Google provides the free online platform Colaboratory, a research tool for machine learning education and research. It's a Jupyter notebook environment that requires no setup to use as common packages have been pre-installed. Google users can have access to a Tesla T4 GPU (approximately 15G memory). Note that when you connect to a GPU-based VM runtime, you are given a maximum of 12 hours at a time on the VM.

Colab is web-based, fast and convinient. You can simply upload this notebook and run it online. For the database needed in this task, you can download it and upload to colab OR you can save it in your google drive and link it with the colab.

It is convenient to upload local Jupyter Notebook files and data to Colab, please refer to the tutorial.

In addition, Microsoft also provides the online platform Azure Notebooks for research of data science and machine learning, there are free trials for new users with credits.

#### 3 Instructions

Please read the following instructions carefully before you begin this project:

- This is a group project. You are allowed to form groups in any way you like, but each group must consist of either 2 or 3 people. Please submit your group information to eDimension as soon as possible if you have not yet done so (the deadline was 11th October 2024).
- Each group should submit code along with a report summarizing your work, and provide clear instructions on how to run your code. Additionally, please submit your system's outputs. The output should be in the same column format as the training set.
- You are given 8 weeks to work on the project. We understand this is a busy time during your final term, so Week 13 will be reserved as the "Final Project Week" for you to focus on the project (i.e., there will be no classes that week). Please plan and manage your time well.
- Please use Python to complete this project.

### 4 Project Summary

Welcome to the design project for our natural language processing (NLP) course offered at SUTD!

In this project, you will undertake an NLP task in *sentiment analysis*. We will begin by guiding you through data understanding, pre-processing, and instructing you to construct RNN and CNN models for the task. Afterward, you are encouraged to develop your own model to improve the results. The final test set will be released on **11 December 2024 at 5pm** (48 hours before the final project deadline). You will use your own system to generate the outputs. The system with the highest F1 score will be announced as the winner for each challenge. If no clear winner emerges from the test set results, further analysis or evaluations may be conducted.

# 5 Task Introduction and Data pre-processing (20 points)

#### 5.1 Sentiment Analysis

With the proliferation of online social media and review platforms, vast amounts of opinionated data have been generated, offering immense potential to support decision-making processes. Sentiment analysis examines people's sentiments expressed in text, such as product reviews, blog comments, and forum discussions. It finds wide applications in diverse fields, including politics (e.g., analyzing public sentiment towards policies), finance (e.g., evaluating market sentiments), and marketing (e.g., product research and brand management).

Since sentiments can often be categorized into discrete polarities or scales (e.g., positive or negative), sentiment analysis can be framed as a text classification task. This task involves transforming text sequences of varying lengths into fixed-length categorical labels.

#### 5.2 Data pre-processing

In this project, we will utilize Stanford's large movie review dataset for sentiment analysis. The dataset consists of a training set and a testing set, each containing 25,000 movie reviews sourced from IMDb. Both datasets have an equal number of "positive" and "negative" labels, representing different sentiment polarities. Please download and extract this IMDb review dataset in the path ../data/aclImdb.

*Hints*: While the following instructions are based on a split of 25,000 for training and 25,000 for testing, you are free to choose your own dataset split, as we will provide a separate test set for the final evaluation. However, any changes you make to the default split must be clearly indicated in your report. Failure to explicitly mention such modifications may result in a penalty.

```
[26]: print('sa oot sopo')
import os
import torch
from torch import nn
from d21 import torch as d21 # You can skip this if you have trouble with this

→package, all d21-related codes can be replaced by torch functions.
```

sa oot sopo

```
[27]: import pandas as pd import zipfile
```

```
[29]: data_dir = 'data/aclImdb'
```

# 6 Questions

#### 6.0.1 Question 1 [code] (5 points)

Complete the function read\_imdb, which reads the IMDb review dataset text sequences and labels. Then, run the sanity check cell to check your implementation.

```
[30]: #@save
def read_imdb(data_dir, is_train):
    """Read the IMDb review dataset text sequences and labels."""
    ### YOUR CODE HERE
    data, labels = [], []

for label in ('pos', 'neg'): # label is either 'pos' or 'neg'
```

```
folder_name = os.path.join(data_dir, 'train' if is_train else 'test', □
→ label) # folder_name is either 'train/pos' or 'train/neg' or 'test/pos' or \understand 'test/neg'

for file in os.listdir(folder_name):
    with open(os.path.join(folder_name, file), 'rb') as f:
        review = f.read().decode('utf-8').replace('\n', '')
        data.append(review)
        labels.append(1 if label == 'pos' else 0)

### END OF YOUR CODE
return data, labels
```

```
[31]: # Sanity check
  train_data = read_imdb(data_dir, is_train=True)
  print('# trainings:', len(train_data[0]))
  for x, y in zip(train_data[0][:3], train_data[1][:3]):
     print('label:', y, 'review:', x[:60])
```

# trainings: 25000

label: 1 review: Bromwell High is a cartoon comedy. It ran at the same time a label: 1 review: Homelessness (or Houselessness as George Carlin stated) has label: 1 review: Brilliant over-acting by Lesley Ann Warren. Best dramatic ho

#### 6.0.2 Question 2 [code] (5 points)

Treating each word as a token and filtering out words that appear less than 5 times, we create a vocabulary out of the training dataset. After tokenization, please plot the histogram of review lengths in tokens. (Hint: you can use matplotlib package to draw the histogram.) Then, run the sanity check cell to check your implementation.

```
[33]: # train_tokens : list of list of tokens
# vocab : Vocab object
import matplotlib.pyplot as plt
plt.hist([len(line) for line in train_tokens], bins=range(0, 1000, 1)) # Plot

→ the histogram of the number of tokens in the reviews
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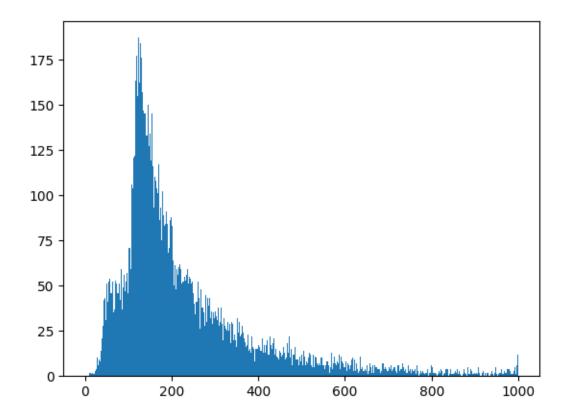
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132., 133., 134., 135., 136., 137., 138., 139., 140., 141., 142.,
143., 144., 145., 146., 147., 148., 149., 150., 151., 152., 153.
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165., 166., 167., 168., 169., 170., 171., 172., 173., 174., 175.,
176., 177., 178., 179., 180., 181., 182., 183., 184., 185., 186.,
187., 188., 189., 190., 191., 192., 193., 194., 195., 196., 197.
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220., 221., 222., 223., 224., 225., 226., 227., 228., 229., 230.
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253., 254., 255., 256., 257., 258., 259., 260., 261., 262., 263.,
264., 265., 266., 267., 268., 269., 270., 271., 272., 273., 274.
275., 276., 277., 278., 279., 280., 281., 282., 283., 284., 285.,
286., 287., 288., 289., 290., 291., 292., 293., 294., 295., 296.,
297., 298., 299., 300., 301., 302., 303., 304., 305., 306., 307.,
308., 309., 310., 311., 312., 313., 314., 315., 316., 317., 318.,
319., 320., 321., 322., 323., 324., 325., 326., 327., 328., 329.,
330., 331., 332., 333., 334., 335., 336., 337., 338., 339., 340.,
341., 342., 343., 344., 345., 346., 347., 348., 349., 350., 351.
352., 353., 354., 355., 356., 357., 358., 359., 360., 361., 362.,
363., 364., 365., 366., 367., 368., 369., 370., 371., 372., 373.,
374., 375., 376., 377., 378., 379., 380., 381., 382., 383., 384.
385., 386., 387., 388., 389., 390., 391., 392., 393., 394., 395..
396., 397., 398., 399., 400., 401., 402., 403., 404., 405., 406.
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418., 419., 420., 421., 422., 423., 424., 425., 426., 427., 428.
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473., 474., 475., 476., 477., 478., 479., 480., 481., 482., 483.
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495., 496., 497., 498., 499., 500., 501., 502., 503., 504., 505.
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517., 518., 519., 520., 521., 522., 523., 524., 525., 526., 527.
528., 529., 530., 531., 532., 533., 534., 535., 536., 537., 538.
539., 540., 541., 542., 543., 544., 545., 546., 547., 548., 549.
```

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561., 562., 563., 564., 565., 566., 567., 568., 569., 570., 571.
572., 573., 574., 575., 576., 577., 578., 579., 580., 581., 582.,
583., 584., 585., 586., 587., 588., 589., 590., 591., 592., 593.
594., 595., 596., 597., 598., 599., 600., 601., 602., 603., 604.,
605., 606., 607., 608., 609., 610., 611., 612., 613., 614., 615.,
616., 617., 618., 619., 620., 621., 622., 623., 624., 625., 626.,
627., 628., 629., 630., 631., 632., 633., 634., 635., 636., 637.
638., 639., 640., 641., 642., 643., 644., 645., 646., 647., 648.,
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660., 661., 662., 663., 664., 665., 666., 667., 668., 669., 670.
671., 672., 673., 674., 675., 676., 677., 678., 679., 680., 681.,
682., 683., 684., 685., 686., 687., 688., 689., 690., 691., 692.,
693., 694., 695., 696., 697., 698., 699., 700., 701., 702., 703.,
704., 705., 706., 707., 708., 709., 710., 711., 712., 713., 714.,
715., 716., 717., 718., 719., 720., 721., 722., 723., 724., 725.,
726., 727., 728., 729., 730., 731., 732., 733., 734., 735., 736.,
737., 738., 739., 740., 741., 742., 743., 744., 745., 746., 747.,
748., 749., 750., 751., 752., 753., 754., 755., 756., 757., 758.,
759., 760., 761., 762., 763., 764., 765., 766., 767., 768., 769.,
770., 771., 772., 773., 774., 775., 776., 777., 778., 779., 780.,
781., 782., 783., 784., 785., 786., 787., 788., 789., 790., 791.
792., 793., 794., 795., 796., 797., 798., 799., 800., 801., 802.,
803., 804., 805., 806., 807., 808., 809., 810., 811., 812., 813.,
814., 815., 816., 817., 818., 819., 820., 821., 822., 823., 824.,
825., 826., 827., 828., 829., 830., 831., 832., 833., 834., 835.,
836., 837., 838., 839., 840., 841., 842., 843., 844., 845., 846.,
847., 848., 849., 850., 851., 852., 853., 854., 855., 856., 857.,
858., 859., 860., 861., 862., 863., 864., 865., 866., 867., 868.
869., 870., 871., 872., 873., 874., 875., 876., 877., 878., 879.,
880., 881., 882., 883., 884., 885., 886., 887., 888., 889., 890.,
891., 892., 893., 894., 895., 896., 897., 898., 899., 900., 901.,
902., 903., 904., 905., 906., 907., 908., 909., 910., 911., 912..
913., 914., 915., 916., 917., 918., 919., 920., 921., 922., 923.
924., 925., 926., 927., 928., 929., 930., 931., 932., 933., 934.,
935., 936., 937., 938., 939., 940., 941., 942., 943., 944., 945.
946., 947., 948., 949., 950., 951., 952., 953., 954., 955., 956.,
957., 958., 959., 960., 961., 962., 963., 964., 965., 966., 967.,
968., 969., 970., 971., 972., 973., 974., 975., 976., 977., 978.
979., 980., 981., 982., 983., 984., 985., 986., 987., 988., 989.
990., 991., 992., 993., 994., 995., 996., 997., 998., 999.]),
```

<BarContainer object of 999 artists>)



torch.Size([25000, 500])

#### 6.0.3 Question 3 [code] (5 points)

Create data iterator train\_iter, at each iteration, a minibatch of examples are returned. Let's set the mini-batch size to 64.

```
[35]: ### YOUR CODE HERE

train_labels = torch.tensor(train_data[1]) # Convert the labels to a tensor

train_iter = d21.load_array((train_features, train_labels), 64)

# Check the shapes of the batches
for X, y in train_iter:
    print('X:', X.shape, ', y:', y.shape)
```

```
break

# Print the number of batches
print('# batches:', len(train_iter))
```

```
X: torch.Size([64, 500]) , y: torch.Size([64])
# batches: 391
```

#### 6.0.4 Question 4 [code] (5 points)

Finally, wrap up the above steps into the load\_data\_imdb function. It returns training and test data iterators and the vocabulary of the IMDb review dataset.

```
[36]: #@save
      def load_data_imdb(batch_size, num_steps=500):
          # Read training and testing data
          train_data = read_imdb(data_dir, is_train=True)
          test_data = read_imdb(data_dir, is_train=False)
          # Tokenize the reviews
          train_tokens = d21.tokenize(train_data[0], token='word')
          test_tokens = d21.tokenize(test_data[0], token='word')
          # Build the vocabulary based on training tokens
          vocab = d21.Vocab(train_tokens, min_freq=5, reserved_tokens=['<pad>'])
          # Convert reviews to indices and truncate/pad sequences according to 11
       \rightarrow num_steps
          train_features = torch.tensor([
              d21.truncate_pad(vocab[line], num_steps, vocab['<pad>']) for line in_
       →train_tokens
          1)
          test_features = torch.tensor([
              d21.truncate_pad(vocab[line], num_steps, vocab['<pad>']) for line in_
       →test_tokens
          ])
          # Convert labels to tensors
          train_labels = torch.tensor(train_data[1])
          test_labels = torch.tensor(test_data[1])
          # Create DataLoaders for training and test sets
          # BECKHAM: Added is_train
          train_iter = d2l.load_array((train_features, train_labels), batch_size,_
       →is_train=True)
```

```
test_iter = d2l.load_array((test_features, test_labels), batch_size, 

→is_train=False)

return train_iter, test_iter, vocab
```

## 7 Using RNN for sentiment analysis (30 points)

Similar to word similarity and analogy tasks, pre-trained word vectors can also be applied to sentiment analysis. Given that the IMDb review dataset is relatively small, using text representations pre-trained on large-scale corpora can help mitigate model overfitting. Each token can be represented using the pre-trained GloVe model, and these token embeddings can be fed into a multilayer bidirectional RNN to generate a sequence representation of the text, which will then be transformed into sentiment analysis outputs. Later, we will explore an alternative architectural approach for the same downstream task.

#### 7.0.1 Question 5 [code] (10 points)

In text classification tasks, such as sentiment analysis, a varying-length text sequence is transformed into fixed-length categorical labels. Following the instructions, please complete BiRNN class, each token in a text sequence receives its individual pre-trained GloVe representation through the embedding layer (self.embedding). The entire sequence is then encoded by a bidirectional RNN (self.encoder). Specifically, the hidden states from the last layer of the bidirectional LSTM, at both the initial and final time steps, are concatenated to form the representation of the text sequence. This representation is subsequently passed through a fully connected layer (self.decoder) to produce the final output categories, which in this case are "positive" and "negative".

```
[14]: batch_size = 64
    train_iter, test_iter, vocab = load_data_imdb(batch_size)

[53]: class BiRNN(nn.Module):
```

```
# steps. The shape of `outputs` is (no. of time steps, batch size,
       # 2 * no. of hidden units)
       # Concatenate the hidden states at the initial and final time steps as
       # the input of the fully connected layer. Its shape is (batch size,
       # 4 * no. of hidden units)
       ### YOUR CODE HERE
       # 1. Use embedder to get word embeddings, assuming input is a tensor.
\hookrightarrow Transpose first.
       embeddings = self.embedding(inputs.T)
       # 2. Put into encoder
       encoder_output, (hidden, cell) = self.encoder(embeddings)
       # 3. Concatenate hidden states
       # TODO: Verify backward_hidden_initial and forward_hidden_initial
       forward_hidden_final = hidden[-2] # Forward LSTM's final hidden state
       backward_hidden_final = hidden[-1] # Backward LSTM's final hidden state
       forward_hidden_initial = encoder_output[0, :, :hidden.size(2)] #__
\rightarrow Initial hidden state
       backward_hidden_initial = encoder_output[-1, :, hidden.size(2):] #__
\hookrightarrow Initial hidden state
       concat_hidden = torch.cat(
           (forward_hidden_initial, backward_hidden_initial,
            forward_hidden_final, backward_hidden_final),
            dim=1
       )
       # 4. Put through decoder
       outs = self.decoder(concat_hidden)
       ### END OF YOUR CODE
       return outs
```

Let's construct a bidirectional RNN with two hidden layers to represent single text for sentiment analysis.

```
[54]: embed_size, num_hiddens, num_layers, devices = 100, 100, 2, d2l.try_all_gpus()
net = BiRNN(len(vocab), embed_size, num_hiddens, num_layers)

def init_weights(module):
    if type(module) == nn.Linear:
        nn.init.xavier_uniform_(module.weight)
    if type(module) == nn.LSTM:
```

```
for param in module._flat_weights_names:
    if "weight" in param:
        nn.init.xavier_uniform_(module._parameters[param])
net.apply(init_weights)
```

#### 7.0.2 Loading Pretrained Word Vectors

```
[55]: glove_embedding = d21.TokenEmbedding('glove.6b.100d')
embeds = glove_embedding[vocab.idx_to_token]
embeds.shape # Print the shape of the vectors for all the tokens in the

vocabulary.
```

[55]: torch.Size([49347, 100])

We use these pretrained word vectors to represent tokens in the reviews and will not update these vectors during training

```
[56]: net.embedding.weight.data.copy_(embeds)
net.embedding.weight.requires_grad = False
```

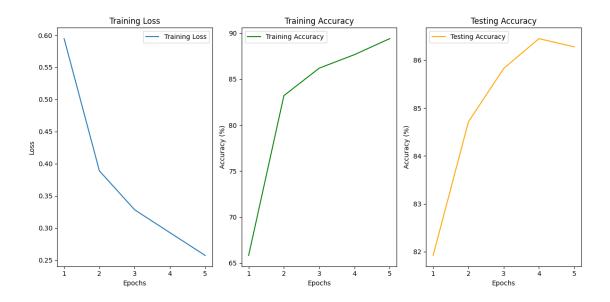
#### 7.0.3 Question 6 [code] (10 points)

After loading the pretrained word vectors, we can now start to train the model. Please use Adam optimizer and CrossEntropyLoss for training and draw a graph about your training loss, training acc and testing acc.

```
# training loop
for epoch in range(num_epochs):
    net.train() # set model to training mode
    epoch_loss = 0
    correct, total = 0, 0
    for features, labels in train_iter:
        features, labels = features.to(device), labels.to(device)
        # forward pass
        outputs = net(features)
        loss = criterion(outputs, labels)
        # backward pass and optimization
        optimizer.zero_grad() # gotta clear gradient from prev batches
        loss.backward() # backprop to train params
        optimizer.step() #
        # track loss
        epoch_loss += loss.item()
        # track accuracy
        _, predicted = torch.max(outputs, dim=1)
        total += labels.size(0)
        correct += (predicted == labels).sum().item()
    # save metrics
    avg_loss = epoch_loss / len(train_iter)
    training_loss.append(avg_loss)
    training_accuracy.append(100 * correct / total)
    # evaluate on test set
    net.eval() # model evaluation mode
    correct, total = 0, 0
    with torch.no_grad():
        for features, labels in test_iter:
            features, labels = features.to(device), labels.to(device)
            outputs = net(features)
            _, predicted = torch.max(outputs, dim=1)
            total += labels.size(0)
            correct += (predicted == labels).sum().item()
    test_acc = 100 * correct / total
    testing_accuracy.append(test_acc)
    print(f"Epoch [{epoch + 1}/{num_epochs}], Loss: {avg_loss:.4f}, Train Acc:

→{training_accuracy[-1]:.2f}%, Test Acc: {test_acc:.2f}%")
```

```
# plotting the results
plt.figure(figsize=(12, 6))
# training Loss
plt.subplot(1, 3, 1)
plt.plot(range(1, num_epochs + 1), training_loss, label='Training Loss')
plt.xlabel('Epochs')
plt.ylabel('Loss')
plt.title('Training Loss')
plt.legend()
# training Accuracy
plt.subplot(1, 3, 2)
plt.plot(range(1, num_epochs + 1), training_accuracy, label='Training Accuracy', __
 plt.xlabel('Epochs')
plt.ylabel('Accuracy (%)')
plt.title('Training Accuracy')
plt.legend()
# testing Accuracy
plt.subplot(1, 3, 3)
plt.plot(range(1, num_epochs + 1), testing_accuracy, label='Testing Accuracy', u
plt.xlabel('Epochs')
plt.ylabel('Accuracy (%)')
plt.title('Testing Accuracy')
plt.legend()
plt.tight_layout()
plt.show()
### END OF YOUR CODE
Epoch [1/5], Loss: 0.5947, Train Acc: 65.83%, Test Acc: 81.92%
Epoch [2/5], Loss: 0.3889, Train Acc: 83.21%, Test Acc: 84.71%
Epoch [3/5], Loss: 0.3283, Train Acc: 86.19%, Test Acc: 85.83%
Epoch [4/5], Loss: 0.2927, Train Acc: 87.66%, Test Acc: 86.45%
Epoch [5/5], Loss: 0.2572, Train Acc: 89.40%, Test Acc: 86.28%
```



# []:

#### 7.0.4 Question 7 [code] (10 points)

Once you have completed the training, it's time to evaluate your model's performance. Implement the function predict\_sentiment to predict the sentiment of a text sequence using the trained model net. Next, define the function cal\_metrics to assess your model on the test set by calculating both accuracy and the F1-score, including precision and recall. Finally, print out the evaluation results. Save the prediction results for each test sample and submit it in the zip file.

```
[]:
[43]:
      #@save
      def predict_sentiment(net, vocab, sequence):
          """Predict the sentiment of a text sequence."""
          # ensure model is on the correct device
          device = next(net.parameters()).device # Get current device of the model
          # set model to evaluation mode
          net.eval()
          # sequence tokenize and convert to indices
          tokens = d21.tokenize([sequence], token='word')[0]
          indices = torch.tensor(d21.truncate_pad(
              vocab[tokens],
              500,
              vocab['<pad>']
          ))
```

```
# add batch dimension and move to the same device as the model
          indices = indices.unsqueeze(0).to(device)
          # prediction
          with torch.no_grad():
              print("Starting forward pass...")
              output = net(indices)
              label = torch.argmax(output, dim=1).item()
          ### END OF YOUR CODE
          return 'positive' if label == 1 else 'negative'
[59]: predict_sentiment(net, vocab, 'this movie is so great')
     Starting forward pass...
[59]: 'positive'
[60]: predict_sentiment(net, vocab, 'this movie is so bad')
     Starting forward pass...
[60]: 'negative'
 []:
[47]: def save_results(all_sequences, all_labels, all_preds, vocab,
       →output_dir="results", zip_filename="submission.zip"):
          """Save predictions and true labels to a CSV and zip the results."""
          # Create results DataFrame
          results_df = pd.DataFrame({
              'sequence': [' '.join([vocab.to_tokens(idx) for idx in seq if idx !=__
       →vocab['<pad>']]) for seq in all_sequences],
              'true_label': all_labels,
              'predicted_label': all_preds
          })
          # create output directory if it doesn't exist
          os.makedirs(output_dir, exist_ok=True)
          # save csv
          csv_path = os.path.join(output_dir, "test_predictions.csv")
          results_df.to_csv(csv_path, index=False)
          print(f"Results saved to {csv_path}")
          # create a zip file
          zip_path = os.path.join(output_dir, zip_filename)
```

```
with zipfile.ZipFile(zip_path, 'w') as zipf:
        zipf.write(csv_path, arcname="test_predictions.csv")
    print(f"Results zipped to {zip_path}")
    return csv_path, zip_path
def cal_metrics(net, test_iter, vocab, output_dir="results",__
⇒zip_filename="submission.zip"):
    """Calculate metrics, save predictions to a CSV, and zip the results."""
    device = next(net.parameters()).device
    net = net.to(device)
    net.eval() # Set model to evaluation mode
    # init lists for predictions, labels, and sequences
    all_preds = []
    all_labels = []
    all_sequences = []
    # collect predictions and true labels
    with torch.no_grad():
        for features, labels in test_iter:
            features, labels = features.to(device), labels.to(device)
            outputs = net(features)
            preds = torch.argmax(outputs, dim=1)
            all_preds.extend(preds.cpu().numpy())
            all_labels.extend(labels.cpu().numpy())
            all_sequences.extend(features.cpu().numpy()) # Save raw input_
\rightarrow sequences
    # calc metrics
    tp = sum(1 for pred, label in zip(all_preds, all_labels) if pred == label ==_u
 →1)
    fp = sum(1 for pred, label in zip(all_preds, all_labels) if pred == 1 and_u
\rightarrowlabel == 0)
    fn = sum(1 for pred, label in zip(all_preds, all_labels) if pred == 0 and_u
\rightarrowlabel == 1)
    tn = sum(1 for pred, label in zip(all_preds, all_labels) if pred == label ==_u
 →0)
    accuracy = sum(1 for pred, label in zip(all_preds, all_labels) if pred ==_u
→label) / len(all_labels)
    precision = tp / (tp + fp) if (tp + fp) > 0 else 0
    recall = tp / (tp + fn) if (tp + fn) > 0 else 0
    f1 = 2 * (precision * recall) / (precision + recall) if (precision + recall)_{\sqcup}
 →> 0 else 0
```

```
print(f"Test Set Metrics:\nAccuracy: {accuracy:.4f}\nPrecision: {precision:.

→4f}\nRecall: {recall:.4f}\nF1-Score: {f1:.4f}")

# save results using the helper function
csv_path, zip_path = save_results(all_sequences, all_labels, all_preds, output_dir, zip_filename)

return f1, precision, recall, accuracy, zip_path
```

```
[62]: cal_metrics(net, test_iter, vocab)
```

'results\\submission.zip')

Test Set Metrics:
Accuracy: 0.8628
Precision: 0.8434
Recall: 0.8910
F1-Score: 0.8666
Results saved to results\test\_predictions.csv
Results zipped to results\submission.zip

[62]: (0.86656811639306,
0.843404513100106,
0.89104,
0.8628,

# 8 Using CNN for sentiment analysis (20 points)

Although CNNs were originally designed for computer vision, they have been widely adopted in natural language processing as well. Conceptually, a text sequence can be viewed as a one-dimensional image, allowing one-dimensional CNNs to capture local features, such as n-grams, within the text. We will use the textCNN model to demonstrate how to design a CNN architecture for representing a single text.

Using one-dimensional convolution and max-over-time pooling, the textCNN model takes individual pre-trained token representations as input, then extracts and transforms these sequence representations for downstream tasks.

For a single text sequence with n tokens represented by d-dimensional vectors, the width, height, and number of channels of the input tensor are n, 1, and d, respectively. The textCNN model processes the input as follows:

- 1. Define multiple one-dimensional convolutional kernels and apply convolution operations on the inputs. Convolution kernels with varying widths capture local features across different numbers of adjacent tokens.
- 2. Apply max-over-time pooling to all output channels, then concatenate the resulting scalar outputs into a vector.

3. Pass the concatenated vector through a fully connected layer to generate the output categories. Dropout can be applied to reduce overfitting.

#### 8.0.1 Question 8 [code] (10 points)

Implement the textCNN model class. Compared with the bidirectional RNN model in Section 2, besides replacing recurrent layers with convolutional layers, we also use two embedding layers: one with trainable weights and the other with fixed weights.

```
[37]: batch_size = 64 train_iter, test_iter, vocab = d21.load_data_imdb(batch_size)
```

```
[38]: class TextCNN(nn.Module):
          def __init__(self, vocab_size, embed_size, kernel_sizes, num_channels,
                       **kwargs):
              super(TextCNN, self).__init__(**kwargs)
              self.embedding = nn.Embedding(vocab_size, embed_size)
              # The embedding layer not to be trained
              self.constant_embedding = nn.Embedding(vocab_size, embed_size)
              self.dropout = nn.Dropout(0.5)
              #self.decoder = nn.Linear(sum(num_channels), 2)
              self.hidden_layer = nn.Linear(sum(num_channels), 128) # New dense layer_
       →with 128 units
              # Final dense layer for classification
              self.decoder = nn.Linear(128, 2) # Connect hidden layer to output
              # The max-over-time pooling layer has no parameters, so this instance
              # can be shared
              self.pool = nn.AdaptiveAvgPool1d(1)
              self.relu = nn.ReLU()
              # Create multiple one-dimensional convolutional layers
              self.convs = nn.ModuleList()
              for c, k in zip(num_channels, kernel_sizes):
                  self.convs.append(nn.Conv1d(2 * embed_size, c, k))
          def forward(self, inputs):
              # Concatenate two embedding layer outputs with shape (batch size, no.
              # of tokens, token vector dimension) along vectors
              # Per the input format of one-dimensional convolutional layers,
              # rearrange the tensor so that the second dimension stores channels
              # For each one-dimensional convolutional layer, after max-over-time
              # pooling, a tensor of shape (batch size, no. of channels, 1) is
```

```
# obtained. Remove the last dimension and concatenate along channels
              ### YOUR CODE HERE
             conv_outputs = []
              # Concatenate embeddings
             embeddings = torch.cat((self.embedding(inputs), self.
      # Rearrange dimensions for Conv1
             embeddings = embeddings.permute(0, 2, 1)
              # Apply convolution, ReLU, and pooling for each Conv1d layer
             for conv in self.convs:
                  conv_result = conv(embeddings)
                 activated_result = self.relu(conv_result)
                 pooled_result = self.pool(activated_result)
                 squeezed_result = pooled_result.squeeze(-1)
                  conv_outputs.append(squeezed_result)
              # Concatenate outputs from all convolutional layers
             concatenated = torch.cat(conv_outputs, dim=1)
              # Apply dropouts
              # To experiment with diff dropout layers
             dropped = self.dropout(concatenated)
             hidden_output = self.relu(self.hidden_layer(dropped))
             dropped_hidden = self.dropout(hidden_output)
             outputs = self.decoder(dropped_hidden)
              ### END OF YOUR CODE
             return outputs
[39]: embed_size, kernel_sizes, nums_channels = 100, [3, 4, 5], [100, 100, 100]
      devices = d21.try_all_gpus()
      net = TextCNN(len(vocab), embed_size, kernel_sizes, nums_channels)
      def init_weights(module):
         if type(module) in (nn.Linear, nn.Conv1d):
             nn.init.xavier_uniform_(module.weight)
      net.apply(init_weights)
[39]: TextCNN(
```

(embedding): Embedding(49346, 100)

```
(constant_embedding): Embedding(49346, 100)
        (dropout): Dropout(p=0.5, inplace=False)
        (hidden_layer): Linear(in_features=300, out_features=128, bias=True)
        (decoder): Linear(in_features=128, out_features=2, bias=True)
        (pool): AdaptiveAvgPool1d(output_size=1)
        (relu): ReLU()
        (convs): ModuleList(
          (0): Conv1d(200, 100, kernel_size=(3,), stride=(1,))
          (1): Conv1d(200, 100, kernel_size=(4,), stride=(1,))
          (2): Conv1d(200, 100, kernel_size=(5,), stride=(1,))
      )
[40]: glove_embedding = d21.TokenEmbedding('glove.6b.100d')
      embeds = glove_embedding[vocab.idx_to_token]
      net.embedding.weight.data.copy_(embeds)
      net.constant_embedding.weight.data.copy_(embeds)
      net.constant_embedding.weight.requires_grad = False
```

#### 8.0.2 Question 9 [code] (10 points)

Similar to what we have done in Section 2 with RNN, train the CNN model with the same optimizer and loss function. Draw a graph about your training loss, training acc and testing acc. Use the prediction function you defined in Question 7 to evaluate your model performance.

```
[41]: lr, num_epochs = 0.001, 5
      ### YOUR CODE HERE
      # Loss Func. and Optimizer
      criterion = nn.CrossEntropyLoss()
      optimizer = torch.optim.Adam(net.parameters(), lr=lr)
      # Tnitialize GPU/CPU usage (try to use GPU else CPU)
      device = torch.device("cuda" if torch.cuda.is_available() else "cpu")__
       →#***NOTE*** IM USING MPS CUZ IM ON APPLE SILICON
      net = net.to(device)
      # Training metrics
      training_loss = []
      training_accuracy = []
      testing_accuracy = []
      incorrect_predictions = []
      # Train model
      for epoch in range(num_epochs):
          net.train()
```

```
epoch_loss = 0
total = 0
correct = 0
for features, labels in train_iter:
    features = features.to(device)
    labels = labels.to(device)
    # Forwards pass to get outputs
    outputs = net(features)
    # Calculate loss
    loss = criterion(outputs, labels)
    epoch_loss += loss.item()
    # Backwards pass to compute grads
    optimizer.zero_grad() # clear old grads
    loss.backward() # compute grads
    # Weight update
    optimizer.step()
    # Track accuracy
    _, predicted = torch.max(outputs, dim=1)
    total += labels.size(0)
    correct += (predicted == labels).sum().item()
    # Find incorrect predictions
    incorrect_indices = (predicted != labels).nonzero(as_tuple=True)[0]
    # Add each incorrect sample as a tuple to the list
    for idx in incorrect_indices:
        feat_list = []
        for j in features[idx].tolist():
            feat_list.append(vocab[j])
        incorrect_predictions.append((
            feat_list,
                              # Convert feature tensor to list
            {\tt predicted[idx].item(),} \qquad \textit{\# Predicted label}
            labels[idx].item()
                                       # True label
        ))
# Save metrics
avg_loss = epoch_loss/len(train_iter)
training_loss.append(avg_loss)
```

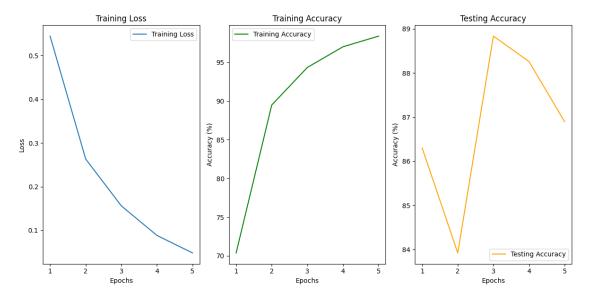
```
training_accuracy.append(100 * correct/total)
    # Evaluate test set
   net.eval()
   correct = 0
   total = 0
   with torch.no_grad():
       for features, labels in test_iter:
           features = features.to(device)
           labels = labels.to(device)
           outputs = net(features)
           _, predicted = torch.max(outputs, dim=1)
           total += labels.size(0)
           correct += (predicted == labels).sum().item()
   test_acc = 100*correct/total
   testing_accuracy.append(test_acc)
   print(f"Epoch [{epoch + 1}/{num_epochs}], Loss: {avg_loss:.4f}, Train Acc:__
→{training_accuracy[-1]:.2f}%, Test Acc: {test_acc:.2f}%")
# Plotting the results
plt.figure(figsize=(12, 6))
# Training Loss
plt.subplot(1, 3, 1)
plt.plot(range(1, num_epochs + 1), training_loss, label='Training Loss')
plt.xlabel('Epochs')
plt.ylabel('Loss')
plt.title('Training Loss')
plt.legend()
# Training Accuracy
plt.subplot(1, 3, 2)
plt.plot(range(1, num_epochs + 1), training_accuracy, label='Training Accuracy', u
plt.xlabel('Epochs')
plt.ylabel('Accuracy (%)')
plt.title('Training Accuracy')
plt.legend()
# Testing Accuracy
plt.subplot(1, 3, 3)
plt.plot(range(1, num_epochs + 1), testing_accuracy, label='Testing Accuracy', u
plt.xlabel('Epochs')
plt.ylabel('Accuracy (%)')
```

```
plt.title('Testing Accuracy')
plt.legend()

plt.tight_layout()
plt.show()

### END OF YOUR CODE
```

```
Epoch [1/5], Loss: 0.5446, Train Acc: 70.37%, Test Acc: 86.30% Epoch [2/5], Loss: 0.2632, Train Acc: 89.50%, Test Acc: 83.92% Epoch [3/5], Loss: 0.1560, Train Acc: 94.35%, Test Acc: 88.84% Epoch [4/5], Loss: 0.0884, Train Acc: 97.02%, Test Acc: 88.26% Epoch [5/5], Loss: 0.0483, Train Acc: 98.40%, Test Acc: 86.90%
```



```
[44]: predict_sentiment(net, vocab, 'this movie is so great')
    Starting forward pass...
[44]: 'positive'
[45]: predict_sentiment(net, vocab, 'this movie is so bad')
    Starting forward pass...
[45]: 'negative'
[48]: cal_metrics(net, test_iter, vocab)
```

Test Set Metrics: Accuracy: 0.8690 Precision: 0.8296 Recall: 0.9286 F1-Score: 0.8763

Results saved to results\test\_predictions.csv Results zipped to results\submission.zip

[48]: (0.876340027178016,

- 0.8296169239565466,
- 0.92864,
- 0.86896,
- 'results\\submission.zip')

#### Footnote:

- RNN results are saved in: results\test\_prediction\_rnn.csv results\test\_prediction\_rnn.zip
- CNN results are saved in:
  results\test\_prediction\_cnn.csv
  results\test\_prediction\_cnn.zip