**Paul Borisov** 

# Azure OpenAl Chat Web Part, v1

Supported options: chat history, global and private chat sharing, code highlighting, consecutive event streaming for GPT 3.5 / GPT 4 language models, integration with Azure API Management service to eliminate presence of api-key in browser requests, options to use SharePoint list / Local storage / Azure SQL Database for storing chats' history, options to support full-screen mode and unlimited length of chat's history, integrations with SharePoint search, company users, current date and local time.

# Table of Contents

Introduction	2
Deployment	2
Permissions	2
Configuration	3
Privacy settings for SharePoint List storage	7
Options to use native Open AI instead of Azure OpenAI	7
User interface	8
Navigation panel	9
Content panel	10
Using Shared chats	11
Localization	11
Event streaming	11
Backend	11
Azure OpenAl service	11
Model deployments	12
Chat Web API	12
Azure API Management	12
Named values	13
APIs	13
API operations	16
Known issues	22
Security	22
Frontend	22
Rackend	22

## Introduction

This document outlines the functionality of the Azure OpenAI Chat Web Part, its frontend and backend components, and configurations.

It refers to the supplementary document, azure-openai-chat-security.pdf, which offers more detailed information on configurable security options.

## Deployment

To deploy the web part, you should add its compiled solution, azure-openai-chat.sppkg, into an App Catalog.

- This can be a global App Catalog of your SharePoint Online tenant, or a site collection scoped one
- Use standard deployment options to make the web part globally available on all sites or add the app manually to specific sites.

Do you trust Azure OpenAl Chat Web Part?

The client-side solution you are about to deploy contains full trust client side code. The components in the solution can, and usually do, run in full trust, and no resource usage restrictions are placed on them.

This client side solution will get content from the following domains:

SharePoint Online

Make this solution available to all sites in the organization

Please go to the API Management Page to approve pending permissions. These are the permissions that need to be reviewed: openaiwp, user\_impersonation; Microsoft Graph, People.Read; Microsoft Graph, User.Read.All

#### **Permissions**

After adding the package, open the URL https://yourtenant-admin.sharepoint.com/\_layouts/15/online/AdminHome.aspx#/webApiPermissionManagement and approve the requested permissions.

To enable this permission, create a standard Azure App registration named **openaiwp** in Microsoft Entra ID (Azure AD). The web part uses this App registration to make authenticated requests using the context of the signed-in user.

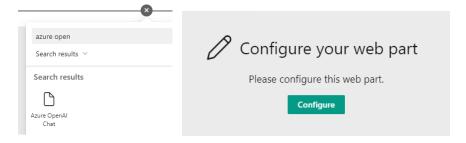
This is used in the Chat sharing option. It is utilized to get details of all users from AAD to display them in the People Picker component.

An alternative (reduced) permission for the Chat sharing option is available. If access level User.Read.All cannot be granted, the web part can use this reduced scope to get only the basic information of the user's colleagues. In this case, the list of available contacts may be reduced compared to the more advanced previous option. If neither of the last two permissions can be granted, the Chat sharing feature can only provide unrestricted sharing.

Scope	Purpose
user_impersonation	To enable this permission, create a standard Azure App registration named <b>openaiwp</b> in Microsoft Entra ID (Azure AD). The web part uses this App registration to make authenticated requests using the context of the signed-in user.
User.Read.All	This is used in the Chat sharing option. It is utilized to get details of all users from AAD to display them in the People Picker component.
People.Read	An alternative (reduced) permission for the Chat sharing option is available. If access level <b>User.Read.All</b> cannot be granted, the web part can use this reduced scope to get only the basic information of the user's colleagues. In this case, the list of available contacts may be reduced compared to the more advanced previous option.  If neither of the last two permissions can be granted, the Chat sharing feature can only
	user_impersonation  User.Read.All

# Configuration

Create a modern Site Page, add the web part "Azure OpenAI Chat", and click on the "Configure" button.



This action opens the web part settings with the default values shown below.

Client ID: create a user Impersonation app with names openatop  00000000-000000000000000000000000000	Azure OpenAl Chat	×
name-openakop  0000000-000-0000-0000-00000000000000	Settings	
Base URL for GPT endpoint (APIM API or full) https://tenant.azure-api.net/openai Base URL for GPT4 endpoint (APIM API or full) https://tenant.azure-api.net/openai Base URL for GPT4 endpoint (APIM API or full) https://tenant.azure-api.net/openaid Base URL for Chat WebApi (APIM API or full)  Gptional api-key for Azure Openal (for troubleshooting, not for Production)  Language models  GPT-3  GPT-4  Storage type for chat history  Database  Enable streaming  Enable streaming  Enable full screen mode  Code highlighting  Show highlighting  Show highlighting styles  Default style  stackoverflowDark  Show prompt area at bottom  Unlimited chat history length (A1-responses in long chats my) be less accurate.	Client ID: create a user_impersonation app v name=openaiwp	vith
https://tenant.azure-spinet/openai Base URI. for GPT4 endpoint (APIM API or full) https://tenant.azure-spinet/openaid Base URI. for Chat WebApi (APIM API or full)  Dytional api-key for Azure OpenAi (for touble-shooting, not for Production)  Language models  2 GPT-4  Storage type for chat history  Database  2 Enable streaming  2 Enable streaming  2 Enable streaming  2 Enable streaming  3 Show highlighting  4 Show prompt are at bottom  Unlimited chat history length (A)-responses in long data my be less accurate)	00000000-0000-0000-0000-000000000000000	
Base URL for GPT4 endpoint (APIM API or full) https://tenant.acure-api.net/openai4 Base URL for Chat WebApi (APIM API or full) Optional api-key for Azure OpenA! (for troubleshooting, not for Production)  Language models  GPT-4 Storage type for chat history  Database  Enable streaming  Enable streaming  Enable full screen mode  Code highlighting  Show prompt area at bottom  Unlimited chat history how prompt area at bottom  Unlimited chat history length (A/-responses in long distant page) less accurates	Base URL for GPT endpoint (APIM API or ful	I)
https://tenant.azure-api.net/openaid Base URL for Chat WebApi (APIM API or full) Optional api-key for Azure OpenAI (for troubleshooting, not for Production) Language models  vi GPT-35  vi GPT-4 Storage type for chat history Database  Enable sharing Enable streaming Enable streaming Senable streaming Senable streaming Senable streaming Senable streaming Senable streaming Show highlighting styles Default style StackoverflowDairk Show prompt area at bottom Unlimited chat history length (AI-responses in long chats may be less accurate)	https://tenant.azure-api.net/openai	
Base URL for Chat WebApi (APIM API or full)  optional api-key for Azure OpenAI (for troubleshooting, not for Production)  Language models  of OPT-3.5  of OPT-3.5  of OPT-4  Storage byse for chat history  Database  Enable straming  Enable straming  Enable straming  Show highlighting  Show highlighting styles  Default style  StackoverflowDark  Show prompt area at bottom  Unlimited chat history length (AI-responses)  In long chats mylo be less accurates	Base URL for GPT4 endpoint (APIM API or fi	all)
Optional api-key for Azure OpenAi (for troubleshooting, not for Production)  Language models  GPT-3  GPT-3  GPT-4  Storage type for chat history  Database  Enable streaming  Enable streaming  Enable streaming  Enable streaming  Show his philiphithing  Show his philiphithing  Show prompt are at bottom  Unlimited chat history length (Al-responses in long data my be less accurate)	https://tenant.azure-api.net/openai4	
troubleshooting, not for Production)  Language models  GPT-5  GPT-4  Storage type for chat history  Database  Enable streaming  Enable streaming  Enable full screen mode  Code highlighting  Show highlighting styles  Default style  Show prompt area at bottom  Unlimited chat history length (A/-responses in long distu may be less accurate)	Base URL for Chat WebApi (APIM API or full	)
troubleshooting, not for Production)  Language models  GPT-5  GPT-4  Storage type for chat history  Database  Enable streaming  Enable streaming  Enable full screen mode  Code highlighting  Show highlighting styles  Default style  Show prompt area at bottom  Unlimited chat history length (A/-responses in long distu may be less accurate)		
	Optional api-key for Azure OpenAI (for troubleshooting, not for Production)	
Database  Inable sharing  Inable streaming  Inable streaming  Inable full screen mode  Code hiphiliphing  Show highlighting styles  Default style  stackoverflowDurk  Show prompt area at bottom  Unlimited 4bt history length (Al-responses in long chafts may be less accurate)	GPT-4	
Enable sharing Enable streaming Enable full streaming Enable full stream mode Code highlighting Show highlighting styles Default style stackoverflowDark Show prompt area at bottom Unlimited that history length (Al-responses in long data may be less accurate)		
stackoverflowDark  show prompt area at bottom  Unlimited chat history length (AI-responses in long chats may be less accurate)	Enable streaming     Enable full screen mode     Code highlighting     Show highlighting styles	
Show prompt area at bottom Unlimited chat history length (AI-responses in long chats may be less accurate)		_
	Show prompt area at bottom Unlimited chat history length (AI-respon	ses

Title	Description	Default
Client ID: create a	The App ID (Client ID) for the	0000000-0000-0000-0000-
user_impersonation app with	openaiwp Azure registration is used	00000000000
name=openaiwp	to authorize SPFx requests.	
Base URL for GPT endpoint	This is the endpoint for the primary	https://tenant.azure-
(APIM API or full)	language model "GPT 3.5".	api.net/openai
	You should either deploy the	This refers to the endpoint
	endpoint via the Azure API	published via the Azure API
	Management service (recommended	Management service.
	for greater security) or use the full	
	URL to an Azure OpenAl endpoint	
	(https://tenant.openai.azure.com/)	
	with the api-key added to its own	
	setting below.	
Base URL for GPT4 endpoint	This is an optional endpoint for the	https://tenant.azure-
(APIM API or full)	language model "GPT 4".	api.net/openai4
	The same rules as above apply.	Same as above.
Base URL for Chat WebApi	This is an optional endpoint for the	Empty (it defaults to
(APIM API or full)	"ChatWebAPI" App Service, which	https://tenant.azure-
	manages chat history data in the	api.net/chatwapi)
	Azure SQL database.	Same as above.
	You should either deploy the	33 33 33 33
	endpoint via the Azure API	
	Management service (the	

	recommended secure method) or use full URL to your version of <b>ChatWebAPI</b> .	
Optional api-key for Azure OpenAI (for troubleshooting, not for Production)	Please note that if you use your apikey here, it poses a security risk as the key could potentially be leaked, because it will become visible in the browser's request headers.  The key is encrypted and stored in the web part settings (displayed as ***).	
Language models	There are two predefined language models, GPT 3.5 (gpt-35-turbo-16k) and GPT 4 (gpt-4-32k).  You should use predefined deployments in APIM-endpoints /openai and /openai4 published via API Management.  Alternatively, if you enter full URLs to Azure OpenAI endpoints, a textbox with model names appears instead of predefined checkboxes. In this box, you can adjust the model names as needed.	GPT 3.5, GPT 4  If only one language model is toggled, the language model selector will not be visible in the UI. If none is selected, the logic defaults to using the gpt-35-turbo model.
Storage type for chat history	There are three predefined options for storage: SharePoint list, Local Storage, and (Azure SQL) Database.  The default option stores chat history in a SharePoint list. When you select this, a textbox and a button become available to create a custom list. By default, the list is created on the current site with the predefined name, dbChats. Security settings are automatically enabled for list items to limit access to their authors. The SharePoint Search Crawler does not index the list content by default to prevent potential leaks of private conversations.	SharePoint list  The option for SharePoint list supports chat privacy. For example, SharePoint search does not display private chat conversations to users other than the authors of those chats. If users open the Custom list dbChats, they will only see their own messages. However, this does not apply to Site owners, who can see all records in the list and can find them in SharePoint search results.  Please note if you enable the sharing feature while using

	The option of retrieving/saving chat history to/from an Azure SQL database is managed by the ChatWebAPI App Service (as mentioned above).  You can use "Local Storage" to quickly demonstrate the functionality to customers. In this case, the chat history will be saved in the local storage of your browser. While actual chat sharing will not	SharePoint list storage, you should adjust the list permissions using the Update button.
	work, you can demonstrate this option too.	
Enable sharing	Enables Chat sharing option. This option is disabled by default.	Selected
Enable streaming	Enables the event-streaming response option, which provides gradual outputs of texts generated by AI. This option is disabled by default.	Selected
Enable full screen mode	Enables full-screen mode and displays the expansion icon  in the upper right-hand corner.	Selected
Enable integrations	Enables integrations with external data using the feature of OpenAl "Function calling". There are three sample functions available by default in the web part:  • searchSharepoint • companyUsers • currentDateOrTime	Not selected
Code highlighting	Enables code highlighting to render markdown outputs of code snippets.	Selected
Show highlighting styles	Enables the display of a dropdown with code styles for highlighted outputs. This feature is disabled by default.	Selected
Default style	Default code style for highlighted outputs.	stackoverflowDark
Show prompt area at bottom	When enabled, the prompt text area will appear at the bottom. It is disabled by default.	Not selected
Unlimited chat history length (AI-responses in long chats may be less accurate)	When enabled, this feature permits the use of unlimited history length in chats. In such cases, the system dynamically removes earlier	Not selected

	messages just prior to submitting the history to Azure OpenAI. This process does not impact the storage of the entire (uncut) history and new responses.	
Locale for dates (default is fi-FI)	The locale for formatting dates visible in the web part is optional.  The default setting is 'fi-FI' (empty), but it can be changed, for instance, to 'en-US'.	Default (empty textbox)

#### Privacy settings for SharePoint List storage

If you plan to create a SharePoint list to store chat histories, ensure that this does not expose data to the Search Crawler. By default, the list creation button applies the following changes to list settings:

- Permissions for this list: Unique (permission inheritance is broken)
  - Everyone except external users: Contribute
  - List's creator: Full Control
- Advanced settings: Read and write permissions are only for item authors
  - Read access: Read items that were created by the user.

    Important: If you wish to use shared chats, you will need to adjust access to less strict value "Read access: Read all items". In this context, users may gain access to others' messages. This is a technical limitation of SharePoint storage.
  - Create and Edit access: Create items and edit items that were created by the user
- Allow items from this list to appear in search results? No
  - Note: You can modify this setting if necessary. In this case list items should be accessible
    in search results for their authors and site admins. However, they should not be
    available to regular users unless you use Read access: Read all items as mentioned
    above.

#### Options to use native Open AI instead of Azure OpenAI

The web part also supports the use of native OpenAI API URLs, such as https://api.openai.com/v1/chat/completions, instead of Azure OpenAI ones.

You can use the native OpenAI API deployed via the API Management service with predefined endpoint(s) that contain the word "native".

- For example, you might use https://tenant.azure-api.net/openainative or https://tenant.azure-api.net/openainative4 or both.
- In such cases, the logic will correctly manage conditions for querying data from the native OpenAl API, including automatic adjustments of model names like gpt-3.5-... instead of gpt-35-..., smoother event streaming outputs, etc.

To conduct quick tests, you can temporarily use the direct URL https://api.openai.com/v1/chat/completions in the web part settings for "Base URL for GPT endpoint (APIM API or full)" and "Base URL for GPT endpoint (APIM API or full)". You can add the api-key for

native Open AI into the setting "Optional api-key for Azure OpenAI (for troubleshooting, not for Production)". For security reasons, it is recommended to use API Management service deployments, as described above.

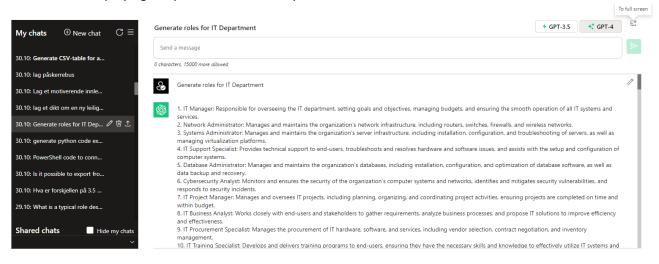
## User interface

#### **Global version**

This is the initial UI without chat history.



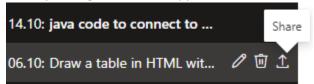
This is the UI displaying the previous chat history of the current user.



## Navigation panel

The left-hand panel displays chats started by the current user and sorted by the dates of the last modifications.

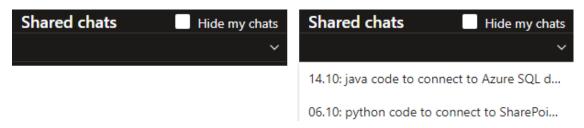
- When the user starts a new chat, the logic automatically generates a chat name using the entered texts.
- The default date format corresponds to Finnish: dd.MM for the current year and dd.MM.yyyy for previous years. This can be changed in the web part settings, for example, to en-US.
- The user can edit the chat name, delete the chat, and share it with others (if the sharing option has been enabled in the settings). The actions of deleting and sharing require the user's confirmation.
- Corresponding action icons appear after the chat name when the user selects the chat's row.



• The sharing dialog offers two options: "Share with Everyone" (which is the default) and "Share with specific users." In the latter case, only the selected users will have access to the chats shared with them.



• Shared chats accessible to the current user are displayed at the bottom of the Navigation Panel. Users can select the "Hide My Chats" checkbox if they wish to hide the chats they have shared.



• The web part supports code highlighting options, which are accessible in the settings. If "Show Highlighting Styles" is enabled, the corresponding selector will appear at the bottom.





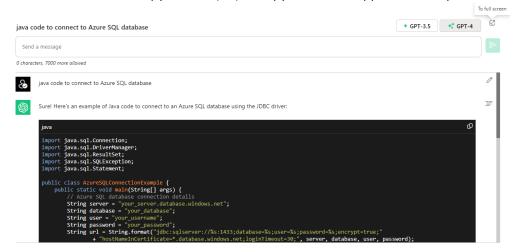
#### Content panel

This area displays the message history for the chat selected in the Navigation Panel. By default, the most recently modified chat by the user is selected.

- The upper section of the panel displays the chat's name and, if more than one model is available in the settings, the language models. An icon to switch to Full Screen mode is also present, providing convenience for longer chats.
- A prompt area is designated for entering questions, followed by a character counter. The
  maximum request length is restricted to 15,000 characters for GPT-3.5-turbo-16k and 30,000
  characters for GPT-4-32k.
- The maximum acceptable history length for a single chat should not exceed 64k characters for GPT-3.5-turbo-16k and 128k characters for GPT-4-32k. If this length is exceeded, the user should initiate a new chat to continue the conversation.



- There is an option in the web part settings for "Unlimited Chat History Length (Alresponses in long chats may be less accurate)". When this feature is enabled, it allows for an unlimited history length. In such cases, the system automatically removes the earliest messages before submitting the history to Azure OpenAI. However, this does not impact on the saving of the entire (uncut) history and new responses back into storage.
- Chat messages are composed of user queries followed by AI responses. If a user makes a typo, they can edit the message, correct it, and save the changes. Subsequent queries will use this updated history.
- If AI responses include code snippets, these are displayed in a highlighted format. The user can:
  - o Click on the 'Raw' button (⋾) to view the original, unformatted response.
  - Click on the 'Copy' button ( ) to copy the code snippet to the clipboard.



## Using Shared chats

When users select a Shared Chat at the bottom of the Navigation Panel, they initiate a new chat, and a copy of the chat's history is loaded into the Content Panel. Subsequently, users can continue the original conversation within their own copies of the Shared Chat. For security reasons, it is not permitted to continue original conversations on behalf of their authors.

#### Localization

The web part uses standard localization files for SPFx solutions. The current version includes files for the following languages:

- English (en-us)
- Finnish (fi-fi)
- Norwegian (nb-no)

#### **Event streaming**

If the streaming option is enabled in the web part settings, the AI responses should be presented with the visual effects of consecutive text outputs, with the entire response being formatted at the end of the process.



java code to connect to Azure SQL database



Sure! Here's an example Java code snippet to connect to an Azure SQL database using JDBC:

```
"java
import java.sql.Connection;
import java.sql.DriverManager;
import java.sql.SQLException;

public class AzureSQLConnection {
   public static void mai ...
```

If the streaming option is not enabled, the complete, formatted AI response will be provided at the end of the processing stage.

## Backend

#### Azure OpenAl service

As of November 2023, the options to create this service are not readily available in the Azure portal. Each new customer is required to complete a specific electronic form with their company details, submit it to Microsoft, and await approval for the service. Typically, Microsoft reviews and approves the request within a few working days.

The Azure OpenAI service can be created in any zone that supports GPT 3.5 and GPT 4 deployments. For instance, East US 2 is a suitable choice as it supports both.

• Please note that European zones may support a limited range of text models. Usually, the latest models are first introduced in US zones before they become available in EU zones.

#### Model deployments

Add two models to Azure OpenAI service instance using the blade Resource Management > Model deployments > Manage deployments:

- gpt-35-turbo-16k, version 0613 (Nov 2023). According to Microsoft, it uses training data up to Sep 2021.
- gpt-4-32k, version 0613 (Nov 2023). According to Microsoft, it uses training data up to Sep 2021.
- You can use other models as well: <a href="https://learn.microsoft.com/en-us/azure/ai-services/openai/concepts/models#model-summary-table-and-region-availability">https://learn.microsoft.com/en-us/azure/ai-services/openai/concepts/models#model-summary-table-and-region-availability</a>

#### Chat Web API

If you opt to use a database for storing chat histories, the App Service "ChatWebApi" should be deployed to manage them.

The current version of ChatWebApi (v1.0, as of November 2023) has been developed as an ASP.NET Core (Minimal) Web API project for Visual Studio 2022, based on .NET 7.0.

The standard features available in Visual Studio 2022 Community Edition can be used to deploy the application as an Azure App Service.

- Consider creating a Windows-based service to simplify troubleshooting. You can opt for Linux if you are comfortable with it. For production deployments, it is recommended to use an Application Service Plan S1 or higher.
- Connect to your Azure Portal and go to the App Service you have created.
  - If you have an instance of API Management service, select the option to deploy the Web
     API to the Azure Application Management service (this is recommended).
  - Save a publishing profile.
- Compile the application and deploy it to the App Service using your saved publishing profile.

#### Azure API Management

It is recommended to use the Azure API Management Service (APIM) to ensure secure access to Azure OpenAI API. Key benefits of the APIM include:

- The ability to hide the details of user request authentication and authorization.
- Eliminating the necessity to provide the api-key to the client app, as the api-key does not travel
  with the browser's requests. Instead, the APIM adds the api-key to the request after verifying
  the client's identity.
- Facilitating seamless updates of endpoints when newer versions of language models become available. Please follow the instructions and examples provided below to configure the APIM endpoints.

Follow the instructions and examples below to configure APIM endpoints.

#### Named values

Use the blade API Management service > APIs > Named values

Name	Value
AadId	***************************************
Aadld-gt	***************************************
Aadld-kavoetokkiisa	***************************************
ApiKeyAzureOpenAlService	***************************************
ApiKeyAzureOpenAlService4	***************************************

This is the vault, which stores secure keys inside an API Management service instance.

- You can just add a new value as Secret.
- Optionally, it supports remote access to Azure Key Vault for enhanced security.

You should add the following keys:

- Aadid: your Azure AD tenant GUID.
  - o If you plan to grant access to APIM endpoints for users from multiple Azure AD domains, you can also add the GUIDs of other AAD tenants like AadId-gt, Aad-kavoerokkiisa, etc.
- ApiKeyAzureOpenAlService: stores the api-key for Azure OpenAl service instance, which contains GPT-3.5 language model deployment.
  - You can find api-key under tenant-specific URLs like https://portal.azure.com/#@[domain.onmicrosoft.com]/resource/subscriptions/ [subscriptionguid]/resourceGroups/openai/providers/Microsoft.CognitiveServices/accounts/[openai-account]/cskeys
- ApiKeyAzureOpenAlService4: the same key as above or a separate api-key in another Azure OpenAl instance, which contains GPT-4 deployment (if the first instance only has GPT-3.5).
- **ApiKeyNativeOpenAI:** the optional api-key, which can be used for native open AI deployment(s) in API Management service.

#### APIs

Use the blade API Management service > APIs > APIs

Create three sets of APIs with settings shown in the table below.

#### OpenAl

Display name: OpenAI

Name: openai

Description: Azure Open Al Service

Web service URL: https://your-openai-instance.openai.azure.com

- Use your instance of <u>Azure OpenAl service</u>.
- Replace **your-openai-instance** with your instance with GPT-3.5 deployment.

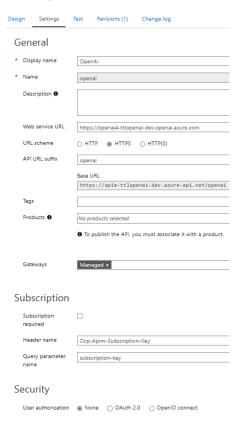
**URL scheme: HTTPS** 

API URL suffix: openai

- Base URL: https://tenant.azure-api.net/openai
- Replace tenant with your instance of API Management service.

Subscription required: No (clear default checkbox, it is important!)

Security: User authorization, None (default)



## OpenAI4

Display name: OpenAI4

Name: openai4

Description: Azure Open Al Service

Web service URL: https://your-openai-instance.openai.azure.com

- Use your instance of <u>Azure OpenAl service</u>.
- Replace **your-openai-instance** with your instance with GPT-4 deployment.

**URL scheme: HTTPS** 

API URL suffix: openai

Base URL: https://tenant.azure-api.net/openai4

Replace tenant with your instance of API Management service.

Subscription required: **No** (clear default checkbox, it is important!)

Security: User authorization, None (default)

#### **OpenAl-Native (optional)**

Display name: OpenAI-Native

Name: openainative

Description: Native Open AI API

Web service URL: https://api.openai.com/v1/chat (without /completions)

**URL scheme: HTTPS** 

API URL suffix: openainative

• Base URL: https://tenant.azure-api.net/openainative

Replace tenant with your instance of API Management service.

Subscription required: **No** (clear default checkbox, it is important!)

Security: User authorization, None (default)

#### ChatWebApi

Display name: ChatWebApi

Name: chatwebapi

Description: Chat Web Api

Web service URL: https://your-chatwapi-app-service.azurewebsites.net

- Use your deployment of Chat Web Api App Service.
- Replace your-chatwapi-app-service.

**URL scheme: HTTPS** 

API URL suffix: chatwebapi

• Base URL: https://tenant.azure-api.net/chatwebapi

• Replace **tenant** with your instance of API Management service.

Subscription required: **No** (clear default checkbox, it is important!)

Security: User authorization, None (default)

After that, publish your App Service for Chat Web Api and deploy it to the configured APIM-endpoint ChatWebApi. You can use Visual Studio 2022 to simplify Publishing and APIM-deployment actions.

#### **API** operations

Subsets of API operations should be created under each of your API.

- Clients will refer to them as https://<baseURL>/<operationurl>
- For example, like https://tenant.azure-api.net/openai and https://tenant.azure-api.net/openai4

#### **API settings for OpenAI**

Configure All operations. Key points are shown below.

- Adjust allowed origins if necessary. Have a look at **Known issues**.
- Aadld and ApiKeyAzureOpenAlService are tokens for values stored in <u>Named values</u>.

A sample working config is given below.

```
<policies>
    <inbound>
        <base />
        <cors allow-credentials="false">
            <allowed-origins>
                <origin>*</origin>
            </allowed-origins>
            <allowed-methods preflight-result-max-age="300">
                <method>GET</method>
                <method>POST</method>
                <method>OPTIONS</method>
            </allowed-methods>
            <allowed-headers>
                <header>Access-Control-Allow-Origin</header>
                <header>Authorization</header>
                <header>Content-Type</header>
                <header>Origin</header>
            </allowed-headers>
        </cors>
        <validate-jwt header-name="Authorization" failed-validation-</pre>
httpcode="401" failed-validation-error-message="Unauthorized. Access token is
missing or invalid.">
            <openid-config</pre>
url="https://login.microsoftonline.com/organizations/v2.0/.well-known/openid-
configuration" />
            <issuers>
                <issuer>https://sts.windows.net/{{AadId}}/</issuer>
                <!--Optional authorized external domains (end with backslash)-->
                <issuer>https://sts.windows.net/{{AadId-qt}}/</issuer>
                <issuer>https://sts.windows.net/{{AadId-kavoetokkiisa}}/</issuer>
            </issuers>
```

After that, you need to add and configure an operation for your OpenAI API:

#### chat

Display name: chat

Name: chat (it can add any descriptive value, not important)

URL: POST /chat

Description: Chat for GPT-3.5



#### Configuration:

- Add a rewrite URL like shown below. It should correspond to backend URL in Azure OpenAI.
  - Clients send POST requests with payload to the APIM's URL https://tenant.azureapi.net/openai/chat
  - o APIM transforms the URL and forwards the payload to Azure OpenAI service.
- **gpt-35-turbo-16k** is a chat model for GPT-3.5 deployed in your Azure OpenAl service instance.

## **API settings for OpenAI4**

Configure All operations. Key points are shown below.

- Adjust allowed origins if necessary. Have a look at Known issues.
- Aadld and ApiKeyAzureOpenAlService4 are tokens for values stored in Named values.

A sample working config is given below.

```
<policies>
    <inbound>
        <base />
        <cors allow-credentials="false">
            <allowed-origins>
                <origin>*</origin>
            </allowed-origins>
            <allowed-methods preflight-result-max-age="300">
                <method>GET</method>
                <method>POST</method>
                <method>OPTIONS</method>
            </allowed-methods>
            <allowed-headers>
                <header>Access-Control-Allow-Origin</header>
                <header>Authorization
                <header>Content-Type</header>
                <header>Origin</header>
            </allowed-headers>
        </cors>
        <validate-jwt header-name="Authorization" failed-validation-</pre>
httpcode="401" failed-validation-error-message="Unauthorized. Access token is
missing or invalid.">
            <openid-config</pre>
url="https://login.microsoftonline.com/organizations/v2.0/.well-known/openid-
configuration" />
            <issuers>
                <issuer>https://sts.windows.net/{{AadId}}/</issuer>
                <!--Optional authorized external domains (end with backslash)-->
```

```
<issuer>https://sts.windows.net/{{AadId-qt}}/</issuer>
                <issuer>https://sts.windows.net/{{AadId-kavoetokkiisa}}/</issuer>
            </issuers>
        </validate-jwt>
        <set-header name="api-key" exists-action="override">
            <value>{{ApiKeyAzureOpenAIService4}}</value>
        </set-header>
    </inbound>
    <backend>
        <base />
    </backend>
    <outbound>
        <base />
    </outbound>
    <on-error>
        <base />
    </on-error>
</policies>
```

After that, you need to add and configure an operation for your OpenAl4 API:

#### chat

Display name: chat

Name: chat (it can add any descriptive value, not important)

URL: POST /chat

Description: Chat for GPT-4



#### Configuration:

- Add a rewrite URL like shown below. It should correspond to backend URL in Azure OpenAI.
  - Clients send POST requests with payload to the APIM's URL https://tenant.azureapi.net/openai4/chat
  - o APIM transforms the URL and forwards the payload to Azure OpenAI service.
- gpt-4-32k is a chat model for GPT-4 deployed in your Azure OpenAI service instance.

```
<policies>
    <inbound>
        <base />
        <rewrite-uri template="/openai/deployments/gpt-4-</pre>
32k/chat/completions?api-version=2023-07-01-preview" />
    </inbound>
    <backend>
        <base />
    </backend>
    <outbound>
        <base />
    </outbound>
    <on-error>
        <base />
    </on-error>
</policies>
```

## **Optional API settings for OpenAI-Native**

Configure All operations. Key points are shown below.

- Adjust allowed origins if necessary. Have a look at Known issues.
- Aadld and ApiKeyNativeOpenAl are tokens for values stored in <u>Named values</u>.

A sample working config is given below.

```
<policies>
    <inbound>
        <base />
        <cors allow-credentials="false">
            <allowed-origins>
                <origin>*</origin>
            </allowed-origins>
            <allowed-methods preflight-result-max-age="300">
                <method>GET</method>
                <method>POST</method>
                <method>OPTIONS</method>
            </allowed-methods>
            <allowed-headers>
                <header>Access-Control-Allow-Origin</header>
                <header>Authorization
                <header>Content-Type</header>
                <header>Origin</header>
            </allowed-headers>
        <validate-jwt header-name="Authorization" failed-validation-</pre>
httpcode="401" failed-validation-error-message="Unauthorized. Access token is
missing or invalid.">
            <openid-config</pre>
url="https://login.microsoftonline.com/organizations/v2.0/.well-known/openid-
configuration" />
```

```
<issuers>
                <issuer>https://sts.windows.net/{{AadId}}/</issuer>
                <!--Optional authorized external domains (end with backslash)-->
                <issuer>https://sts.windows.net/{{AadId-gt}}/</issuer>
                <issuer>https://sts.windows.net/{{AadId-kavoetokkiisa}}/</issuer>
            </issuers>
        </validate-jwt>
        <set-header name="Authorization" exists-action="override">
            <value>Bearer {{ApiKeyNativeOpenAI}}</value>
        </set-header>
    </inbound>
    <backend>
        <base />
    </backend>
    <outbound>
        <base />
    </outbound>
    <on-error>
        <base />
    </on-error>
</policies>
```

After that, you need to add and configure an operation for your OpenAI-Native API:

#### chat

Display name: chat

Name: chat (it can add any descriptive value, not important)

URL: POST /chat

Description: Chat for native Open AI



#### Configuration:

- Add a rewrite URL like shown below.
  - Clients send POST requests with payload to the APIM's URL https://tenant.azureapi.net/openainative/chat
  - o APIM transforms the URL and forwards the payload to native OpenAI API.

Transformed URL corresponds to https://api.openai.com/v1/chat/completions

```
<policies>
    <inbound>
        <base />
        <rewrite-uri template="/completions" />
    </inbound>
    <backend>
        <forward-request timeout="120" fail-on-error-status-code="true"</pre>
buffer-response="false" />
    </backend>
    <outbound>
        <base />
    </outbound>
    <on-error>
        <base />
    </on-error>
</policies>
```

#### Known issues

There was an intermittent issue that might have been associated with the use of the Consumption plan for API Management in the DEV-tenant.

- Please refer to the table available at https://azure.microsoft.com/en-us/pricing/details/api-management/?ef\_id=\_k\_CjwKCAjw67ajBhAVEiwA2g\_jEHK13rhWiOba6Xz7YiLxkWCKMivEjoXqV mi8dQPCK3rVkmewyqSb6BoCrVkQAvD\_BwE\_k\_&OCID=AIDcmmftanc7uz\_SEM\_\_k\_CjwKCAjw67 ajBhAVEiwA2g\_jEHK13rhWiOba6Xz7YiLxkWCKMivEjoXqVmi8dQPCK3rVkmewyqSb6BoCrVkQAvD\_BwE\_k\_&gclid=CjwKCAjw67ajBhAVEiwA2g\_jEHK13rhWiOba6Xz7YiLxkWCKMivEjoXqVmi8dQPC K3rVkmewyqSb6BoCrVkQAvD\_BwE#pricing
- As indicated there, the Consumption plan uses Shared isolation.
- According to discussions in Microsoft forums, this might occasionally cause CORS-conflicts if you specify explicit values in <u>allowed-origins</u>.
  - Other plans offer Private isolation and do not seem to encounter similar issues.
- Anyway, it might be prudent to avoid defining explicit CORS rules in APIM policies.

## Security

#### Frontend

The web part is hosted on SharePoint Online and utilizes the standard authentication options provided by the platform. Connection to backend endpoints is established using the Azure App registration <a href="mailto:openaiwp">openaiwp</a> as outlined in the "Permissions" chapter of this document.

#### Backend

For more information on securing backend services, please refer to the supplementary document **azure-openai-chat-security.pdf**.