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## **Contributors:**

• Daniel Fitz (Sanchez)

# 1 Sem Outline

Week (dates)	Lecture
1	Computer Networks and the Internet
2	Principles of Nw Apps: HTTP, SMTP, DNS
3	Application Layer: P2P, CDN, Sockets
4	Networking at UQ
5	Transport Layer: UDP
6	Transport Layer: TCP
7	Network Layer: Data Plane
8	Network Layer: Control Place
9	Link Layer
11	Wireless and Mobile
12	Security
13	Multimedia

Table 1: Week Outline

#### 2 Exam Notes

The exam will consist of:

- A number of analytical questions, similar to the tutorial questions. You won't be asked any complex analytic problems which are completely different to those in tutorials
- A number of short answer questions of the type: compare XXX to YYY and explain the differences, or advantages/disadvantages of these protocols/algorithms/applications/techniques
- Questions about different protocols, their functions and where they fit in the network protocol stack. You won't be asked about protocols you have not seen in lectures
- Questions about packet exchanges in some common protocols (e.g. DHCP, DNS, ARP, TCP, HTTP)

No multiple choice questions this year 😕

# 2.1 Chapter 1

- What is the Internet
- Network Edge
- Network Core
- Delay, Loss Throughput
- Protocol Layers and their service models

Not Examinable: Networks under attack, history of networking

# 2.2 Chapter 2: Application Layer

- Principles of Networked Applications
- Web and HTTP (including options covered in lectures/labs)
- Electronic Mail
- DNS (but no detailed message/packet format)
- Peer-to-peer
- Internet Video

Not Examinable: Detailed message formats for DNS and for email, case studies, socket programming

# 2.3 Chapter 3: Transport Layer

All Material

# 2.4 Chapter 4: Network Layer – Data Plane

All Material

# 2.5 Chapter 5: Network Layer – Control Plane

Most of the material covered, except as below, including a general overview of what SNMP does. You should understand link-state and distance vector routing. You won't be asked any numerical questions with distance-vector. For routing protocols, you should know about BGP, OSPF, IS-IS, RIP (which isn't in lectures, but is an example of an intra-AS distance-vector algorithm). All you really need to know about these algorithms are whether they are inter-AS or intra-AS, link-state or distance-vector. *Not Examinable:* Details of SNMP architecture and packet formats. Details of BGP (5.4.2, 5.4.3, 5.4.5 are not examinable)

# 2.6 Chapter 6: Link Layer

- General Principles
- Error Detection and Correction services provided, differences between correction and detection
- Multiple Access Links and Protocols, but NOT DOCSIS
- Switched Local Area Networks
- "Day in the Life of a Web Page Request" details of each stage are covered in the earlier sections

*Not Examinable:* Exactly how to calculate parity, checksum, CRC, DOCSIS, MPLS, Data Center Networking

# 2.7 Chapter 7: Wireless

- General Principles
- Wireless characteristics
- WiFi (IEEE 802.11) except as below

Not Examinable: Mobility in WiFi, advanced features in WiFi (Ch 7.3.5). Personal area Networks. Cellular Internet Access. Mobility Management, Mobile IP, Mobility effects on higher layers

# 2.8 Chapter 8: Security

- What is network security confidentiality, integrity, authentication
- Cryptographic principles symmetric and public key algorithms (you won't be asked to calculate any ciphers)
- Names, types and uses of common cyphers, at least: Diffie-Hellman, RSA, DES, 3DES, AES, MD5, SHA-1

- Message integrity and signatures
- SSL and TLS
- IP Sec and VPN
- Firewalls and Intrusion Detection Systems general principles

Not Examinable: Details of cipher algorithms, key lengths. Securing Email. Wireless security

## 2.9 Chapter 9: Multimedia

- Properties of multimedia
- UDP and HTTP streaming
- Voice over IP
- Protocols RTP, SIP

Not Examinable: Case Studies (e.g. Skype). Network Support for multimedia, such as token-bucket, diffserv, QoS

#### 2.10 Packet Formats

Must understand and decode the packet contents if given a byte stream for:

Link Layer: Ethernet (but not VLAN packets)

Network Layer: IPv4 (not IPv6), you won't be asked to decode option fields, but they may be present. These IPv4 packets may contain protocols like DNS or ICMP, but you won't be asked to decode the contents of those packets

**Transport Layer:** TCP, UDP.. You won't be asked to decode option fields, by they may be present

**Application Layer:** Simple HTTP request and reply. If you are required to decode text messages you will be given a table of ASCII codes

# 3 Chapter 1

• billions of connected computing devices

• transmission rate: bandwidth

• Packet Switches: Forward packets

- routers and switches

Internet: "network of networks" (Interconnected ISPs)

 Protocols control sending, receiving (e.g. TCP, IP, HTTP, Skype, 802.11)

Internet standards

**RFC:** Request for comments

IETF: Internet Engineering Task Force

#### 3.1 Network Structure

#### Network Edge

- hosts: clients and servers
- servers often in data centers
- Access networks, physical media: wired, wireless communication links
- network core:
  - interconnected routers
  - network of networks

#### 3.2 Access Network

#### 3.2.1 Digital Subscriber Line (DSL)

- use existing telephone line to central office DSLAM
  - data over DSL phone line goes to Internet
  - voice over DSL phone line goes to telephone net
- < 2.5 Mbps upstream transmission rate (typically < 1 Mbps)</li>
- < 24 Mbps downstream transmission rate (typically < 10 Mbps)</li>

#### 3.2.2 Cable Network

frequency division multiplexing: different channels transmitted in different frequency bands

#### HFC: hybrid fiber coax

- asymmetric: up to 30Mbps downstream transmission rate, 2 Mbps upstream transmission rate
- network of cable, fiber attaches homes to ISP router
  - homes share access network to cable head-end

 unlike DSL, which has dedicated access to central office

#### wireless LANS:

- within building (30 meters)
- 802.11b/g/n (WiFi): 11,54,450 Mbps transmission rate

#### wide-area wireless access:

- provided by telco (cellular) operator, 10's km
- between 1 and 10 Mbps
- 3G, 4G, LTE

# 3.3 Sending

- takes application message
- breaks into smaller chunks, known as packets, of length L bits
- transmites packet into access network at transmission rate R
  - link transmission rate, aka link capacity, aka link bandwidth

#### **Note 1: Packet Transmission Delay**

$$\begin{array}{ccc} & & \text{time} \\ \text{packet} & & \text{needed} \\ \text{trans-} & & = \frac{\text{to} & \text{trans-}}{\text{mit} & L\text{-bit}} = \frac{L \text{ (bits)}}{R \text{ (bits/sec)}} \\ \text{delay} & & \text{packet into} \\ & & & \text{link} \end{array}$$

# 3.4 Physical Media

- bit: propagates between transmitter/receiver pairs
- physical link: what lies between transmitter and receiver
- guided media: signals propagate in solid media (copper, fiber, coax)
- unguided media: signals propagate freely, e.g. radio
- twisted pair (TP): two insulated copper wires
  - Category 5: 100 Mbps, 1 Gbps Ethernet
  - Category 6: 10 Gbps

#### 3.4.1 Coax

- two concentric copper conductors
- bidirectional
- broadband: multiple channels on cable, HFC

#### 3.4.2 Fiber Optic Cable

- glass fiber carrying light pulses, each pulse a bit
- high-speed operation: high-speed point-topoint transmission (e.g. 10's - 100's Gbps transmission rate)
- low error rate
  - repeaters spaced far apart
  - immune to electromagnetic noise

#### 3.4.3 Radio

- signal carried in electromagnetic spectrum
- no physical "wire"
- bidirectional
- propagation environment effects:
  - reflection
  - obstruction by objects
  - interference

#### **Radio Link Types:**

- terrestrial microwave: up to 45 Mbps channels
- LAN (e.g. WiFi) 54 Mbps
- wide-area (e.g. cellular) 4G cellular: 10 Mbps
- satellite
  - Kbps to 45 Mbps channel (or multiple smaller channels)
  - 270 msec end-end delay
  - geosynchronous versus low altitude

# 3.5 Packet-switching

#### 3.5.1 Store-and-forward

L bits per packet

Source to destination: R bps

- takes  $\frac{L}{R}$  seconds to transmit (push out) L-bit packet into link at R bps
- store and forward: entire packet must arrive at router before it can be transmitted on next link

# Note 2: End-End delay

$$\mathrm{delay} = 2\frac{L}{R}$$

(assuming zero propagation delay)

# 3.5.2 Packet switching versus circuit switching

Is packet switching a "slam dunk winner?"

- great for bursty data (resource sharing, simpler, no call setup)
- excessive congestion possible: packet delay and loss (protocols needed for reliable data transfer, congestion control)

#### 3.6 Packet Loss

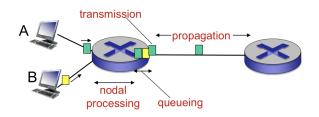


Figure 1: Packet Delay Algorithm Explanation

## Note 3: Packet Delay Algorithm

$$d_{\text{nodal}} = d_{\text{proc}} + d_{\text{queue}} + d_{\text{trans}} + d_{\text{prop}}$$

#### 3.6.1 Nodal Processing

 $d_{\mathsf{proc}}$ 

- check bit errors
- · determine output link
- typically < msec</li>

#### 3.6.2 Queuing Delay

 $d_{\mathsf{queue}}$ 

- time waiting at output link for transmission
- depends on congestion level of router

#### 3.6.3 Transmission Delay

 $d_{\mathsf{trans}}$ 

- L: packet length (bits)
- R: link bandwidth(bps)
- $d_{\text{trans}} = \frac{L}{R}$

#### 3.6.4 Propagation Delay

 $d_{\mathsf{prop}}$ 

- d: length of physical link
- s: propagation speed ( $\approx 2 \times 10^8$  m/sec)
- $d_{prop} = \frac{d}{s}$

# 3.7 Throughput

Rate (bits/time unit) at which bits transferred between sender/receiver

**Instantaneous:** rate at given point in time **Average:** rate over longer period of time

#### Note 4: Bottleneck Link

Link on end-end path that constrains end-end throughput

# 3.8 Layering

#### 3.8.1 Why Layering?

Dealing with complex systems:

- Explicit structure allows identification, relationship of complex system's pieces (layered reference model for discussion)
- Modularization eases maintenance, updating system
  - change of implementation of layer's service transparent to rest of system
  - e.g. change in gate procedure doesn't affect rest of system
- layering considered harmful?

#### 3.8.2 Internet Protocol Stack

**Application:** supporting network applications (FTP, SMTP, HTTP)

**Transport:** process-process data transfer (TCP, UDP)

**Network:** routing of datagrams from source to destination (IP, routing protocols)

**Link:** data transfer between neighboring network elements (Ethernet, 802.111 (WiFi), PPP)

Physical: bits "on the wire"

#### 3.8.3 ISO/OSI Reference Model

Internet stack "missing" these layers. These services, if needed, must be implemented in application.

#### **Application:**

**Presentation:** allow applications to interpret meaning of data, e.g. encryption, compression, machine-specific conventions

**Session:** synchronization, check-pointing, recovery of data exchange

**Transport:** 

**Network:** 

Link:

Physical:

# 3.9 Security

• Malware can get in host from:

Virus: self-replicating infection by receiving/executing object (e.g. e-mail attachment)

**Worm:** self-replicating infection by passively receiving object that gets itself executed

- Spyware malware can record keystrokes, web sites visited, upload info to collection site
- Infected host can be enrolled in **botnet**, used for spam. DDoS attacks

#### 3.9.1 DoS: Denial of Service

**Denial of Service (DoS):** attackers make resources (server, bandwidth) unavailable to legitimate traffic by overwhelming resource with bogus traffic

- 1. select target
- 2. break into hosts around the network (botnet)
- send packets to target from compromised hosts

#### 3.9.2 Sniffing

- broadcast media (shared Ethernet, wireless)
- promiscuous network interface reads/records all packets (e.g. including passwords) passing by

#### 3.9.3 IP Spoofing

Send packet with false source address

# 4 Chapter 2

# 4.1 Application Architectures

#### 4.1.1 Client-Server

**Server:** Always-on host, Permanent IP address **Clients:** Do not communicate directly with each other, May have dynamic IP addresses

#### 4.1.2 Peer-to-Peer (P2P)

- No always-on server
- Peers request service from other peers, provide service in return to other peers
- Self Scalability new peers bring new service capacity, as well as new service demands

 Pers are intermittently connected and change IP addresses

### Note 5: App-layer protocol defines

- type of messages exchanged e.g. request, response
- message syntax what fields in messages and how fields are delineated
- message semantics meaning of information in fields
- rules for when and how processes send and respond to messages
- open protocols defined in RFCs, allows for interoperability (e.g. HTTP, SMTP)
- proprietary protocols e.g. Skype

# 4.2 Transport Service is needed

**Data Integrity:** Some programs need 100% reliable data transfer (e.g. file transfer, web transactions), others can tolerate loss (e.g. audio)

**Timing:** Some programs require low delay to be "effective" (e.g. online games)

**Throughput:** Some programs require minimum amount of throughput to be "effective" (e.g. multimedia), some use whatever they have available ("elastic apps")

Security: Encryption, Data Integrity

# 4.3 Transport Protocol Services

#### 4.3.1 TCP

**Reliable Transport** between sending and receiving process

Flow Control: sender won't overwhelm receiver Congestion Control: throttle sender when network overloaded

**Connection-Oriented:** setup required between client and server processes

**Does Not Provide:** timing, minimum throughput guarantee, security

#### 4.3.2 UDP

**Unreliable Data Transfer** between sending and receiving process

**Does Not Provide:** reliability, flow control, congestion control, timing, throughput guarantee, security, or connection setup

#### 4.3.3 Securing TCP

#### TCP and UDP

- no encryption
- cleartext passwords sent into socket traverse Internet in cleartext

#### SSL

- provides encrypted TCP connection
- data integrity
- end-point authentication

### SSL is at app layer

app use SSL libraries, that "talk" to TCP

#### **SSL** socket API

 cleartext passwords sent into socket traverse Internet encrypted

# 4.4 HTTP: Hypertext Transfer Protocol

- Web's application layer protocol
- client/server model. Client request website and server serves HTTP object in response
- Uses TCP
- HTTP is stateless. Server maintains no information about past client requests
- non-persistent HTTP: one object sent over one TCP connection, downloading multiple object required multiple connections
- persistent HTTP: multiple object sent over single TCP connection

Non-persistent HTTP issues:

- requires 2 RTTs per object
- OS overhead for each TCP connection
- browsers often open parallel TCP connections to fetch referenced objects

#### Persistent HTTP:

- server leaves connection open after sending response
- subsequent HTTP messages between same client/server sent over open connection
- client sends requests as soon as it encounters a referenced object
- as little as one RTT for all the referenced objects

#### 4.4.1 Method Types

**HTTP/1.0:** GET, POST, HEAD (asks server to leave requested object out of response)

**HTTP/1.1:** GET, POST, HEAD, PUT (uploads file in entity body to path specified in URL field), DELETE (deletes file specified in the URL field)

#### 4.4.2 Response Codes

**200 OK:** request succeeded, requested object later in this msg

301 Moved Permanently: requested object moved, new location specified later in this msg

**400 Bad Request:** request msg not understood by server

**404 Not Found:** requested document not found on this server

**505 HTTP Version Not Supported** 

#### 4.5 Cookies

Uses: authorization, shopping carts, recommendations, user session state (Web, email)

# 4.6 Web Caches (proxy server)

**Goal:** satify client request without involving origin server

- Browsers requests object from cache, if in cache the object is sent back otherwise cache requests object from origin
- Cache acts as both client and server
- Reduce response time for client request
- Reduce traffic

#### 4.6.1 Conditional GET

**Goal:** don't send object if cache has up-to-date cached version (lower link usage)

- Cache: specify date of cached copy in HTTP request If-modified-since: <date>
- Server: response contains no object if cached copy is up-to-date: HTTP/1.0 Not Modified

#### 4.7 Electronic Mail: SMTP

RFC 2821

- uses TCP to reliably transfer email message from client to server, port 25
- direct transfer: sending server to receiving 4.8.1 server
- three phases of transfer: handshaking, transfer of messages, closure
- command/response interaction
- messages must be in 7-bit ASCII
- uses persistent connections

- requires message to be in 7-bit ASCII
- uses CRLF.CRLF to determine end of message

Difference to HTTP being, HTTP is server sending data, SMTP is client connection sending data

**SMTP:** protocol for exchanging email messages **RFC 822:** standard for text message format (To, From, Subject, Body)

#### 4.7.1 Mail Access Protocols

**SMTP:** delivery/storage to receiver's server

**POP:** Post Office Protocol (*RFC 1939*): authorization, download

- POP3 is stateless across sessions
- Two main modes; download and delete, download and keep (allows multiple clients to read the same email)

**IMAP:** Internet Mail Access Protocol (*RFC 1730*): more features, including manipulation of stored message on server

- All messages stored on server
- Supports folders
- Keeps user state across sessions: names of folders and mappings between message IDs and folder name

HTTP: gmail, Hotmail, Yahoo, etc

# 4.8 DNS: Domain Name System

- Lookup between names (e.g. google.com) and IP addresses
- Distributed Database implemented in hierarchy of many name servers
- Application-layer protocol: hosts, name servers communicate to resolve names (address/name translation)

Why not centralize DNS? Single point of failure, traffic volume, doesn't scale

#### 4.8.1 DNS Services

- hostname to IP address translation
- host aliasing (canonical, alias names)
- mail server aliasing
- load distribution (many IP addresses correspond to one name)

#### 4.8.2 TLD, authoritative servers

#### top-level domain (TLD) servers:

- responsible for com, org, net, edu, aero, jos, io
- and top-level country domains au, uk, ca
- Network Solutions maintains servers for .com TLD
- Educause for .edu TLD

#### **Authoritative DNS servers:**

- organization's own DNS server(s), providing authorative hostname to IP mappings for organization's named hosts
- can be maintained by organization or service provider

#### 4.8.3 Local DNS name server

- does not strictly belong to hierarchy
- each ISP (residential ISP, company, university) has one (also called "default name server")
- when host makes DNS query, query is sent to its local DNS server
  - has local cache of recent name-toaddress translation pairs (but may be out of date!)
  - acts as proxy, forwards query into hierarchy

#### 4.8.4 DNS Name Resolution

**Iterated query:** contacted server replies with name of server to contact. So root dns sends the ip of the next dns server to contact

**Recursive query:** puts burden of name resolution on contacted name server. So root dns server contacts the next levels down which contacts next level down.

#### 4.8.5 Caching

Once (any) name server learns mapping, it **caches** mapping. Cache entries timeout (disappear) after some time (TTL). If name host changes IP address, the name servers might not update until TTLs expire.

update/notify mechanisms proposed IETF standard RFC 2136

#### 4.8.6 DNS Records

#### Note 6: RR Format

(name, value, type, ttl)

type=A name is hostname, value is IP address
type=NS name is domain (e.g. google.com), value
is hostname of authoritative name server for
this domain

type=CNAME name is alias name for some "canonical" (the real) name (www.ibm.com is really servereast.backup2.ibm.com), value
is canonical name

**type=MX** value is name of mailserver associated with name

#### 4.8.7 Protocol

Query and reply messages both follow same format

Table 2: Protocol Layout

2 bytes

identification flags
# questions # answer RRs
# authority RRs # additional RRs
questions (variable # of questions)
answers (variable # of RRs)
authority (variable # of RRs)
additional info (variable # of RRs)

#### 4.8.8 Attacking DNS

#### **DDoS attacks**

- bombard root servers with traffic. Not successful to date, traffic filtering, local DNS servers cache protecting root DNS
- bombard TLD server. Potentially more dangerous

#### **Redirect Attacks**

- man-in-middle (Intercept queries)
- DNS Poisoning (Send bogus relies to DNS server, which caches)

#### **Exploit DNS for DDoS**

- send queries with spoofed source address: target IP
- requires amplification

## 4.9 File Distribution Time

#### 4.9.1 Client-server

**Server Tranmission:** must sequentially send (upload) N file copies. Time to send one copy:  $\frac{F}{u_s}$ . Time to send N copies:  $\frac{NF}{u_s}$ 

Client: each client must download file copy.  $d_{\min}=\min$  client download rate. min client download time  $\frac{F}{d_{\min}}$ 

### Note 7: Client-server File Distribution

time to distribute  ${\cal F}$  to  ${\cal N}$  clients using client-server approach

$$D_{c-s} \ge \max\{\frac{NF}{u_s}, \frac{F}{d_{\min}}\}$$

#### 4.9.2 P2P

**Server Tranmission:** must upload at least one copy. Time to send one copy:  $\frac{F}{u}$ 

Client: each client must download file copy. Min client download time:  $\frac{F}{d_{\min}}$  Clients: as aggregate must download NF bits.

Clients: as aggregate must download NF bits. Max upload rate (limiting max download rate) is  $u_s + \sum u_i$ 

#### **Note 8: P2P File Distribution**

time to distribute  ${\cal F}$  to  ${\cal N}$  clients using P2P approach

$$D_{\mathsf{P2P}} \geq \max\{\frac{F}{u_s}, \frac{F}{d_{\mathsf{min}}}, \frac{NF}{u_s + \sum u_i}\}$$

#### 4.9.3 BitTorrent

File divided into 256Kb chunks

Tracker: tracks peers participating in torrent

Torrent: group of peers exchanging chunks of a file

#### 4.10 Multimedia

#### 4.10.1 Video

Coding: used redundancy within and between images to decrease # bits used to encode image

Spatial: within image

**Temporal:** from one image to next

CBR (constant bit rate): video encoding rate fixed

VBR (variable bit rate): video encoding rate changes as amount of spatial, temporal coding changes

#### 4.10.2 DASH

DASH: Dynamic, Adaptive Streaming over HTTP

Server: Divides video file into multiple chunks. Each chunk stored, encoded at different rates. Manifest file: provides URLs for different chunks

Client: Periodically measures server-to-client bandwidth. Consulting manifest, requests one chunk at a time. Chooses maximum coding rate sustainable given current bandwidth. Can choose different coding rates at different points in time (depending on available bandwidth at time)

"intelligence" at client: client determines

- when to request chunk (so that buffer starvation, or overflow does not occur)
- what encoding rate to request (higher quality when more bandwidth available)
- where to request chunk (can request from URL server that is "close" to client or has high available bandwidth)

#### 4.10.3 Content Distribution Networks (CDNs)

CDN stores copies of content at CDN nodes. Subscriber requests content from CDN, directed to nearby copy, retrieves content, may choose different copy if network path congested.

# 5 Chapter 3

# 5.1 Transport vs. Network Layer

**Network Layer:** logical communication between hosts

**Transport Layer:** logical communication between processes; relies on, enhances, network layer services

# 5.2 Multiplexing/demultiplexing

#### 5.2.1 How demultiplexing works

- host receives IP datagrams
  - each datagram as source IP address, destination IP address
  - each datagram carries one transportlayer segment
  - each segment has source, destination port number
- host uses IP addresses and port numbers to direct segment to appropriate socket

#### **Connectionless Demultiplexing**

A UDP socket needs to have a local port number assigned to it (both client and server)

#### Connection-oriented demux

TCP socket identified by 4-tuple: (source IP address, source port number, dest IP address, dest port number)

#### 5.3 UDP

Table 3: UDP Segment Header

32 bits

source port # dest port # length checksum application data (payload)

#### 5.3.1 UDP Checksum

#### Sender:

- treat segment contents, including header fields, as sequence of 16-bit integers
- checksum: addition (one's complement sum) of segment contents
- sender puts checksum value into UDP checksum field

#### Receiver:

- compute checksum of received segment
- check if computed checksum equals checksum field value

# 5.4 Pipelined Protocols

**Pipelining:** sender allows multiple, "in-flight", yet-to-be-acknowledged packets. Range of sequence numbers must be increased, buffering at sender and/or receiver.

#### 5.4.1 Go-Back-N

- ullet sender can have up to N unacked packets in pipeline
- receiver only sends cumulative ack. Doesn't ack packet if there's a gap
- sender has timer for oldest unacked packet.
   When timer expires, retransmit all unacked packets

#### 5.4.2 Selective Repeat

- $\bullet\,$  sender can have up to N unacked packets in pipeline
- receiver sends individual ack for each packet
- sender maintains timer for each unacked packet. When timer expires, retransmit only that unacked packet

# 5.5 TCP Segment Structure

TCP contains a handshake to make sure both ends are willing to open a connection

Table 4: TCP Segment Structure

32 bits

source port # dest port #
sequence number
acknowledgment number
(head len, not used, UAPRSF) receive window
checksum urg data pointer
options (variable length)
application data (variable length)

#### sequence number, acknowledgment num-

**ber:** counting by bytes of data (not segments)

**U:** urgent data (generally not used)

A: ACK # valid

P: push data now (generally not used)

RSF: RST, SYN, FIN; connection established

(setup, teardown commands)

**checksum:** Internet checksum (as in UDP)

receive window: # bytes receiver willing to ac-

cept

**Sequence Numbers:** byte stream "number" of first byte in segment's data

**Acknowledgements:** sequence # of next byte expected from other side, cumulative ACK

#### 5.5.1 TCP Round Trip Time, Timeout

$$E = (1 - \alpha) \times E + \alpha \times SampleRTT$$

Where E is EstimatedRTT. Influence of past sample decreases exponentially fast. Typical value:  $\alpha=0.125\,$ 

$$TimeoutInterval = E + 4 \times DevRTT$$

Where DevRTT is the safety margin ( $\textit{DevRTT} = (1-\beta) \times \textit{DevRTT} + \beta \times | \textit{SampleRTT} - E |$  (typically,  $\beta = 0.25$ ))

#### 5.5.2 TCP Flow Control

- · receiver "advertises" free buffer space by including rwnd value in TCP header of receiverto-sender segments
  - RcvBuffer size set via socket options (typical default is 4096 bytes)
  - many operating systems autoadjust RcvBuffer
- sender limits amount of unacked ("in-flight") data to receiver's rwnd value
- guarantees receive buffer will not overflow

#### 5.5.3 Closing

- client, server each close their side of connection (send TCP segment with FIN bit 1)
- respond to received FIN with ACK (on receiving FIN, ACK can be combined with own FIN)
- simultaneous FIN exchanges can be handled

#### TCP Congestion Control 5.6

**Approach:** sender increases transmission rate (window size), probing for usable bandwidth, until loss occurs

**Additive Increase:** increase cwnd by 1 MSS every RTT until loss detected

Multiplicative Decrease: cut cwnd in half after Control plane loss

#### 5.7 **Fairness**

TCP is fair because:

- additive increase gives slope of 1, as throughput increases
- multiplicative decrease decreases throughput proportionally

UDP is not fair:

- do not want rate throttled by congestion con-
- send audio/video at constant rate, tolerate packet loss

# **Explicit Congestion Notification**

Network-assisted Congestion Control:

- two bits in IP header (ToS field) marked by network router to indicated congestion
- congestion indication carried to receiving host
- receiver (seeing congestion indication in IP datagram) sets ECE bit on receiver-to-sender ACK segment to notify sender of congestion

# Chapter 4

#### 6.1 **Network Layer**

- transport segment from sending to receiving host
- on sending side encapsulates segments into datagrams
- on receiving side, delivers segments to transport laver
- network layer protocols in **every** host, router
- router examines header fields in all IP datagrams passing through it

#### **Network Layer Functions** 6.1.1

Forwarding: move packets from router's input to appropriate router output

Routing: determine route taken by packets from source to destination (routing algorithms)

#### 6.1.2 Data Plane, Control Plane

#### **Data Plane**

- local, per-router function
- · determines how datagram arriving on router input port is forwarded to router output port
- forwarding function

- network-wide logic
- determines how datagram is routed among routers along end-end path from source host to destination host
- two control-plane approaches:

Traditional Routing Algorithms: implemented in routers

Software-defined networking (SDN):

implemented in (remote) servers

#### 6.2 **Router Forwarding**

**Destination-based forwarding:** forward based only on destination IP address (traditional)

Generalized forwarding: forward based on any set of header field values

#### 6.2.1 Destination-based forwarding

A link interface is assigned to a range of destination address ranges

#### **Note 9: Longest Prefix Matching**

When looking for forwarding table entry for given destination address, use longest address prefix that matches destination address. Longest prefix matching: often performed using ternary content addressable memories (TCAMs). Cisco Catalyst can hold up  $\approx 1 M$ routing table entries in TCAM.

Content Addressable: present address to TCAM; retrieve address in one clock cycle, regardless of table size

#### 6.2.2 Switching Fabrics

- transfer packet from input buffer to appropriate output buffer
- switching rate: rate at which packets can be transfered from inputs to outputs (often measured as multiple of input/output line rate, Ninputs: switching rate N times line rate desir-
- three types of switching fabrics

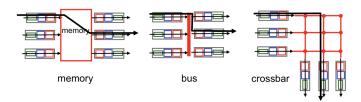


Figure 2: Different Types of Switching Fabrics

#### Switching via Memory

- traditional computes with switching under direct control of CPU
- packet copied to system's memory
- speed limited memory bandwidth (2 bus crossing per datagram)

#### Switching via a Bus

- datagram from input port memory to output port memory via a shared bus
- bus contention: switching speed limited by bus bandwidth
- 32 Gbps bus, Cisco 5600: sufficient speed for access and enterprise routers

#### **Switching via Interconnection Network**

- overcome bus bandwidth limitations
- banyan networks, crossbar, other interconnection nets initially developed to connect processors in multiprocessor
- advanced design: fragmenting datagram into fixed length cells, switch cells through the fabric

• Cisco 12000: switches 60 Gbps through the interconnection network

#### 6.2.3 Input port queuing

- ullet fabric slower than input ports combined oqueuing may occur at input queues (queuing delay and loss due to input buffer overflow)
- Head-of-the-Line (HOL) blocking: queued datagram at front of queue prevents others in queue from moving forward

#### 6.2.4 Output ports

- Buffering required from fabric faster rate (Datagram (packets) can be lost due to congestion, lack of buffers)
- Scheduling datagrams (Priority scheduling who gets best performance, network neutrality)

### Note 10: How much buffering?

RFC 3439 rule of thumb: average buffering equal to "typical" RTT (say 250 msec) times link capacity C (e.g. C = 10 Gbps link, 2.5 Gbit buffer). Recent recommendation with N flows, buffering equal to

$$\frac{RTT \times C}{\sqrt{N}}$$

# 6.2.5 Scheduling Mechanisms

**Scheduling:** choose next packet to send on link FIFO scheduling: send in order of arrival to queue

discard policy: if packet arrives to full

queue, who to discard

tail drop: drop arriving packet

priority: drop/remove on priority basis

random: drop/remove randomly

priority scheduling: send highest priority queued packet. Multiple classes, with different priorities (class may depend on marking or other header info, e.g. IP source/dest, port number, etc)

RR scheduling: multiple classes. Cyclically scan class queues, sending one complete packet from each class (if available)

WFQ scheduling: generalized Round Robin. Each class gets weighted amount of service in each cycle

#### 6.3 IP

#### 6.3.1 IP Datagram Format

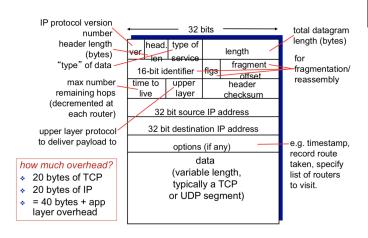


Figure 3: IP Datagram Format

#### 6.3.2 IP Fragmentation, Reassembly

Large IP datagram divided ("fragmented") within net

- one datagram becomes several datagrams
- "reassembled" only at final datagrams
- IP header bits used to identify, order related fragments

#### 6.3.3 IP Addressing

**IP Address:** 32-bit identifier for host, router interface

interface: connection between host/router and physical link. Router's typically have multiple interfaces

#### 6.3.4 Subnets

**Subnet part** – high order bits. **Host part** – low order bits

- device interfaces with same subnet part of IP address
- can physically reach each other without intervening router
- to determine the subnets, detach each interface from its host or router, creating islands of isolated networks
- each isolated network is called a subnet

CIDR: Classless InterDomain Routing

- subnet portion of address of arbitrary length
- address format: a.b.c.d/x, where x is # bits in subnet portion of address

# 6.3.5 DHCP: Dynamic Host Configuration Protocol

**Goal:** allow host to *dynamically* obtain its IP address from network server when it joins network

- can renew its lease on address in use
- allows reuse of addresses (only hold address while connected)
- support for mobile users who want to join network (more shortly)

#### DHCP overview:

- host broadcasts "DHCP discover" msg [optional]
- DHCP server responds with "DHCP offer" msg [optional]
- host requests IP address: "DHCP request" msg
- DHCP server sends address: "DHCP ack" msg

DHCP can return more than just allocated IP address on subnet:

- address of first-hop router for client
- name and IP address of DNS server
- network mask (indicating network versus host portion of address)

#### 6.3.6 ICANN

- allocates addresses
- manages DNS
- assigns domain names, resolves disputes

#### 6.3.7 NAT

All datagrams **leaving** local network have **same** single source NAT IP address

**Motivation:** local network uses just one IP address as far as outside world is concerned

- range of addresses not needed from ISP: just one IP address for all devices
- can change addresses of devices in local network without notifying outside world
- can change ISP without changing addresses of devices in local network
- devices inside local net not explicity addressable, visible by outside world (a security plus)

**Implementation:** NAT router must

Outdoing datagrams: replace (source IP address, port #) of every outgoing datagram to (NAT IP address, new port #) ... remote

clients/servers will respond using (NAT IP address, new port #) as destination address

Remember (in NAT translation table) every (source IP address, port #) to (NAT IP address, new port #) translation pair

Incoming datagrams: replace (NAT IP address, new port #) in destination fields of every incoming datagram with corresponding (source IP address, port #) stored in NAT table

- 16-bit port-number field: 60,000 simultaneous connections with a single LAN-side address
- NAT is controversial:
  - routers should only process up to layer 3
  - address shortage should be solved by IPv6
  - violates end-to-end argument (NAT possibility must be taken into account by app designers, e.g. P2P applications)
  - NAT traversal: what if client wants to connect to server behind NAT?

#### 6.3.8 IPv6

32-bit address space soon to be completely allocated

Additionally:

- header format helps speed processing/forwarding
- header changes to facilitate QoS

#### IPv6 datagram format:

- fixed-length 40 byte header
- no fragmentation allowed

#### 6.3.9 IPv6 Datagram Format

**Priority:** identify priority among datagrams in flow **Flow Label:** identify datagrams in same "flow"

(concept of "flow" not well defined)

Next Header: identify upper layer protocol for data

Table 5: IPv6 Format

32 bits

version pri flow label payload len next hdr hop limit source address (128 bits) destination address (128 bits) data

### 6.3.10 Other changes from IPv4

**checksum:** removed entirely to reduce processing time at each hop

**options:** allowed, but outside of header, indicated by "Next Header" field

**ICMPv6:** new version of ICMP (additional message types e.g. "Packet Too Big", multicast group management functions)

#### 6.3.11 Transition from IPv4 to IPv6

- not all routers can be upgraded simultaneously (no "flag days", how will network operate with mixed IPv4 and IPv6 routers)
- tunneling: IPv6 datagram carried as payload in IPv4 datagram among IPv4 routers

## 6.4 Generalized Forwarding and SDN

Each router contains a **flow table** that is computed and distributed by a logically centralized routing controller

#### 6.4.1 OpenFlow data plane abstraction

Flow: defined by header fields

Generalized Forwarding: simple packet-handling

rules

Pattern: match values in packet header fields

**Actions:** for matched packet: drop, forward, modify, matched packet and send matched packet to controller

Priority: disambiguate overlapping patterns

Counters: # bytes and # packets

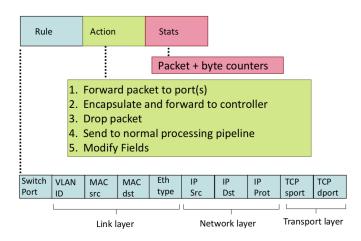


Figure 4: Flow Table Entries

#### 6.4.2 OpenFlow Abstraction

- Match+Action: unifies different kinds of devices
- Router

match: longest destination IP prefix

action: forward out a link

Switch

match: destination MAC address

action: forward or flood

Firewall

match: IP addresses and TCP/UDP port

numbers

action: permit or deny

NAT

match: IP address and port
action: rewrite address and port

# 7 Chapter 5

#### 7.1 Control Plane

Two approaches to structuring network control plane:

#### 7.1.1 Per-router control plane

Individual routing algorithm components in each and every router interact with each other in control plane to compute forwarding tables

#### 7.1.2 Logically centralized control plane

A distinct (typically remote) controller interacts with local control agents (CAs) in routers to compute forwarding tables

# 7.2 Routing Protocols

Routing protocol goal: determine "good" paths (equivalently, routes), from sending hosts to receiving host, through network of routers path: sequence of routers packets will traverse in going from given initial source host to

"good": least "cost", "fastest", "least congested"

#### 7.2.1 Global or Decentralized information

given final destination host

#### Global:

- all routers have complete topology, link cost info
- "link state" algorithms

#### **Decentralized:**

- router knows physically-connected neighbors, link costs to neighbors
- iterative process of computation, exchange of info with neighbors
- "distance vector" algorithms

#### 7.2.2 Static or Dynamic

#### Static:

· routes change slowly over time

#### **Dynamic:**

 routes change more quickly (periodic update, in response to link cost changes)

#### 7.2.3 Link-State Routing Algorithm

### Note 11: Dijkstra's algorithm

- net topology, link costs known to all nodes
  - accomplished via "link state broadcast"
  - all nodes have same info
- computes least cost paths from one node ('source') to all other nodes (gives forwarding table for that node)
- ullet iterative: after k iterations, know least cost path to k destinations

#### **Notation:**

c(x,y): link cost from node x to y;  $= \infty$  if not direct neighbors

D(v): current value of cost path from source to destination v

p(v): predecessor node along path from source to v

N': set of nodes whose least cost path definitively known

#### Algorithm Complexity: n nodes

- $\bullet$  each iteration: need to check all nodes, W, not  $\mbox{in} N$
- $\frac{n(n+1)}{2}$  comparisons:  $O(n^2)$
- more efficient implementations possible:  $O(n \log n)$

#### 7.2.4 Distance Vector Algorithm

#### Note 12: Bellman-Ford equation

(dynamic programming)

let  $d_x(y) := \cos t$  of least-cost path from x to y then

$$d_x(y) = \min\{c(x, v), d_v(y)\}\$$

c(x,v): cost to neighbor v

 $d_v(y)$ : cost from neighbor v to destination y

•  $D_x(y)=$  estimate of least cost from x to y (x maintains distance vector  $\mathbf{D}_x=[D_x(y):y\in N]$ )

- node *x*:
  - knows cost to each neighbor v:c(x,v)
  - maintains its neighbor's distance vectors. For each neighbor v, x maintains  $\mathbf{D}_v = [D_v(y) : y \in N]$

#### key idea:

- from time-to-time, each node sends its own distance vector estimate to neighbors
- when x receives new DV estimate from neighbor, it updates its own DV using B-F equation:

 $D_x(y) \to \min\{c(x, v) + D_v(y)\}\$  for each node  $y \in N$ 

#### 7.2.5 Comparison of LS and DV algorithms

#### **Message Complexity:**

**LS:** with n nodes, E links, O(nE) msgs sent

DV: exchange between neighbors only (convergence time varies)

#### **Speed of Convergence:**

**LS:**  $O(n^2)$  algorithm requires O(nE) msgs (may have oscillations)

DV: convergence time varies (may be routing loops, count-to-infinity problem)

Robustness: What happens if router malfunctions?

LS: Node can advertise incorrect link cost. Each node computes only its own table

**DV:** DV node can advertise incorrect path cost. Each node's table used by others, error propagate through network

#### 7.3 Making Routing Scalable

At the moment, can't store all destinations in routing tables. Routing table exchange would swamp links. Solution: Aggregate routers into regions known as "autonomous systems" (AS) (a.k.a "domains")

#### intra-AS routing:

- routing among hosts, routers in same AS ("network")
- all routers in AS must run **same** intra-domain protocol
- routers in different AS can run different intradomain routing protocol
- gateway router: at "edge" of its own AS, has link(s) to router(s) in other AS'es

#### inter-AS routing

- routing among AS'es
- gateways perform inter-domain routing (as two-level hierarchy: local area, backbone well as intra-domain routing)

#### 7.3.1 Interconnected ASes

Forwarding table configured by both intra-AS and inter-AS routing algorithm

- intra-AS routing determine entries for destinations within AS
- inter-AS and intra-AS determine entries for external destinations

#### 7.3.2 Intra-AS Routing

Also known as Interior Gateway Protocols (IGP). Most common intra-AS routing protocols:

**RIP:** Routing Information Protocol

OSPF: Open Shortest Path First (IS-IS protocol essentially same as OSPF)

IGRP: Interior Gateway Routing Protocol (Cisco proprietary for decades, until 2016)

#### Note 13: OSPF (Open Shortest Path First)

- "open": publicly available
- uses link-state algorithm
  - link state packet dissemination
  - topology map at each node
  - route computation using Dijkstra's algorithm
- router floods OSPF link-state advertisements to all other routers in entire AS
  - carried in OSPF messages directly over IP (rather than TCP or UDP)
  - link state: for each attached link
- IS-IS routing protocol: nearly identical to **OSPF**

#### "Advanced Features"

- security: all OSPF messages authenticated (to prevent malicious intrusion)
- multiple same-cost paths allowed (only one path in RIP)
- for each link, multiple cost metrics for different TOS (e.g. satellite link cost set low for best effort ToS; high for real-time ToS)
- integrated uni- and multi-cast support (Multicast OSPF (MOSPF) uses same topology data base as OSPF)
- hierarchical OSPF in large domains

#### 7.3.3 Hierarchical OSPF

• link-state advertisements only in area

 each nodes has detailed area topology; only know direction (shortest path) to nets in other areas

area border routers: "summarize" distances to nets in own area, advertise to other Area Border routers

**backbone routers:** run OSPF routing limited to backbone

boundary routers: connect to other AS'es

#### 7.3.4 Internet inter-AS routing

#### Note 14: BGP (Border Gateway Protocol)

- The de facto inter-domain routing protocol
- BGP provides each AS a means to:
  - eBGP: obtain subnet reachability information from neighboring ASes
  - iBGP: propagate reachability information to all AS-internal routers
  - determine "good" routes to other networks based on reachability information and **policy**
- allows subnet to advertise its existence to rest of Internet: "I am here"

# 7.4 Software Defined Networking (SDN)

Internet network layer: historically has been implemented via distributed, per-router approach

- monolithic router contains switching hardware, runs proprietary implementation of Internet standard protocols (IP, RIP, IS-IS, OSPF, BGP) in proprietary router OS (e.g. Cisco IOS)
- different "middleboxes" for different network layer functions: firewalls, load balancers, NAT boxes, ...

Why a logically centralized control plane?

- easier network management: avoid router misconfiguration, greater flexibility of traffic flows
- table-based forwarding (recall OpenFlow API) allows "programming" routers
  - centralized "programming" easier: compute tables centrally and distribute
  - distributed "programming" more difficult: compute tables as result of distributed algorithm (protocol) implemented in each and every router

open (non-proprietary) implementation of control plane

#### 7.4.1 SDN perspective: Data Plane Switches

- fast, simple, commodity switches implementing generalized data-place forwarding in hardware
- switch flow table computed, installed by controller
- API for table-based switch control (e.g. Open-Flow) – defines what is controllable and what is not
- protocol for communicating with controller (e.g. OpenFlow)

#### 7.4.2 SDN perspective: SDN controller

- maintain network state information
- interacts with network control applications "above" via northbound API
- interacts with network switches "below" via southbound API
- implemented as distributed system for performance, scalability, fault-tolerance, robustness

#### 7.4.3 SDN perspective: Control Applications

- "brains" of control: implement control functions using lower-level services, API provided by SND controller
- ullet unbundled: can be provided by  $3^{rd}$  party: distinct from routing vendor, or SDN controller

#### **Note 15: OpenFlow Protocol**

- operates between controller, switch
- TCP used to exchange messages (optional encryption)
- thress classes of OpenFlow messages:
  - controller-to-switch
  - asynchronous (switch to controller)
  - symmetric (misc)

# 7.4.4 OpenFlow: controller-to-switch messages

#### Key controller-to-switch messages

**features:** controller queries switch features, switch replies

**configure:** controller queries/sets switch configuration parameters

**modify-state:** add, delete, modify flow entries in the OpenFlow tables

packet-out: controller can send this packet out of specific switch port

packet-in: transfer packet (and its control) to controller. See packet-out message from controller

**flow-removed:** flow table entry deleted at switch **port status:** inform controller of a change on a port

Fortunately, network operators don't "program" switches by creating/sending OpenFlow messages directly. Instead use higher-level abstraction at controller

# 7.5 OpenDaylight (ODL) controller

- ODL Lithium controller
- network apps may be contained within, or be external to SDN controller
- Service Abstraction Layer: interconnects internal, external applications and services

#### 7.6 ONOS controller

- control apps separate from controller
- intent framework: high-level specification of service: what rather than how
- considerable emphasis on distributed core: service reliability, replication performance scaling

# 7.7 ICMP: Internet Control Message Protocol

Table 6: ICMP Types and Codes

Type	Code	Description
0	0	echo reply (ping)
3	0	destination network unreachable
3	1	destination host unreachable
3	2	destination protocol unreachable
3	3	destination port unreachable
3	6	destination network unknown
3	7	destination host unknown
4	0	source quench (congestion con-
		trol – not used)
8	0	echo request (ping)
9	0	route advertisement
10	0	router discovery
11	0	TTL expired
12	0	bad IP header

- used by hosts and routers to communicate network-level information
  - error reporting: unreachable host, network, port, protocol
  - echo request/reply (used by ping)
- network-layer "above" IP: ICMP messages carried in IP datagrams
- ICMP message: type, code, plus first 8 bytes of IP datagram causing error

# 7.8 Network Management and SNMP

"Network management includes the deployment, integration and coordination of the hardware, software, and human elements to monitor, test, poll, configure, analyze, evaluate, and control the network and element resources to meet the real-time, operational performance, and Quality of Service requirements at a reasonable cost."

Managed devices contain managed objects whose data is gathered into a Management Information Base (MIB)

#### 7.8.1 SNMP Protocol: Message Types

Table 7: SNMP Message Types

Function
manager-to-agent: "get me data" (data instance, next data in list, block of data)
manager-to-manager: here's MIB value
manager-to-agent: set MIB value
agent-to-manager: value, response to request
agent-to-manager: inform manager of exceptional event

#### Table 8: SNMP Message Formats

$\leftarrow$ SNMP PDU $\rightarrow$										
	$\leftarrow$	Get/set header	$\rightarrow$	$\leftarrow$	Variables to	get/set	$\rightarrow$			
PDU Type (0 - 3)	Request ID	Error Status (0 - 5)	Error Index	Name	Value	Name	Value			
	$\leftarrow$		Trap header		$\rightarrow$	← Trap	$ ilde{o}$ info $ o$			
PDU Type 4	Enterprise	Agent Addr (0 - 7)	Trap Type	Specific code	Timestamp	Name	Value			

# 8 Chapter 6

# 8.1 Link Layer

- hosts and routers: nodes
- communication channels that connect adjacent nodes along communication path: links
- layer-2 packet: frame, encapsulates datagram

### Note 16: Link Layer Introduction

data-link layer has responsibility of transferring datagram from one node to **physically adjacent** node over a link

#### 8.1.1 Link layer services

#### • framing, link access

- encapsulate datagram into frame, adding header, trailer
- channel access if shared medium
- "MAC" addresses used in frame headers to identify source, destination (different from IP address!)

#### • reliable delivery between adjacent nodes

- seldom used on low bit-error link (fiber, some twisted pair)
- wireless links: high error rates
- Flow Control: pacing between adjacent sending and receiving nodes

#### • error detection

- errors caused by signal attenuation, noise
- receiver detects presence of errors: signals sender for retransmission or drops frame
- error correction: receiver identifies and corrects bit error(s) without resorting to retransmission
- half-duplex and full-duplex: with half duplex, nodes at both ends of link can transmit, but not at same time

Link layer is implemented in the adapter (aka **network interface card (NIC)**)

#### 8.2 Error Detection

### 8.2.1 Parity Checking

**single bit parity:** detect single bit errors **two-dimensional bit parity:** detect and correct single bit errors

### 8.2.2 Cyclic Redundancy Check

- more powerful error-detection coding
- view data bits, *D*, as a binary number
- choose r+1 bit pattern (generator), G
- goal: choose r CRC bits, R, such that
  - < D, R >exactly divisible by G (modulo 2)
  - receiver knows G, divides < D, R > by G. If non-zero remainder: error detected!
  - can detect all burst errors less than r+1 bits
- widely used in practice (Ethernet, 802.11 WiFi, ATM)

# 8.3 Multiple access links, protocols

- point-to-point
  - PPP for dial-up access
  - point-to-point link between Ethernet switch, host
- broadcast (shared wire or medium)
  - old-fashioned Ethernet
  - upstream HFC

### **Note 17: Multiple Access Protocol**

- distributed algorithm that determines how nodes share channel, i.e. determine when node can transmit
- communication about channel sharing must use channel iteself! (no out-of-band channel for coordination)

Given a broadcast channel of rate  ${\cal R}$  bps. An ideal multiple access protocol needs:

- ullet when one node wants to transmit, it can send at rate R
- when M nodes want to transmit, each can send at average rate  $\frac{R}{M}$
- fully decentralized:
  - no special node to coordinate transmissions
  - no synchronization of clocks, slots
- simple

#### 8.4 MAC Protocols

**Channel Partitioning:** divide channel into smaller "pieces" (time slots, frequency, code). Allocate piece to node for exclusive use

Random Access: channel not divided, allow collisions, "recover" from collisions

"**Taking Turns**": nodes take turns, but nodes with more to send can take longer turns

#### 8.4.1 TDMA: time division multiple access

- · access to channel in "rounds"
- each station gets fixed length slot (length = packet transmission time) in each round
- unused slots go idle

# 8.4.2 FDMA: frequency division multiple access

- channel spectrum divided into frequency bands
- each station assigned fixed frequency band
- unused transmission time in frequency bands go idle

#### 8.5 Random Access Protocols

- when node has packet to send
  - transmit at full channel data rate R
  - no examination between nodes prior
- two or more transmitting nodes → "collision"

#### • random access MAC protocol specifies:

- how to detect collisions
- how to recover from collisions (e.g. via delayed retransmissions)
- examples of random access MAC protocols:
  - slotted ALOHA
  - ALOHA
  - CSMA, CSMA/CD, CSMA/CA

#### 8.5.1 Slotted ALOHA

#### **Assumptions:**

- all frames same size
- time divided into equal size slots (time to transmit 1 frame)
- · nodes start to transmit only slot beginning
- nodes are synchronized
- if 2 or more nodes transmit in slot, all nodes detect collision

#### Operation:

- when node obtains fresh frame, transmits in next slot
  - if no collision: node can send new frame in next slot
  - if collision: node retransmits frame in each subsequent slot with probability p until success

#### Pros:

- single active node can continuously transmit at full rate of channel
- highly decentralized: only slots in nodes need to be in sync
- simple

#### Cons:

- collisions, wasting slots
- idle slots
- nodes may be able to detect collision in less than time to transmit packet
- clock synchronization

#### 8.5.2 Pure (unslotted) ALOHA

- unslotted Aloha: simpler, no synchronization
- ullet when frame first arrives  $\to$  transmit immediately
- collision probability increases: frame sent at  $t_0$  collides with other frames sent in  $[t_0-1,t_0+1]$

#### 8.5.3 CSMA (carrier sense multiple access)

**CSMA:** listen before transmit

• if channel sensed idle: transmit entire frame

- if channel sensed busy: defer transmission
- collisions can still occur: propagation delay means two nodes may not hear each other's transmission
- collision: entire packet transmission time wasted (distance and propagation delay play role in determining collision probability)

#### 8.5.4 CSMA/CD (collision detection)

CSMA/CD: carrier sensing, deferral as in CSMA

- collisions detected within short time
- colliding transmissions aborted, reducing channel wastage
- collision detection:
  - easy in wired LANs: measure signal strengths, compare transmitted, received signals
  - difficult in wireless LANs: received signal strength overwhelmed by local transmission strength
- 1. NIC receives datagram from network layer, **8.7.1** create frame
- If NIC sense channel idle, starts frame transmission. If NIC sense channel busy, waits until channel idle, then transmits
- 3. If NIC transmits entire frame without detecting another transmission, NIC is done with frame
- 4. If NIC detects another transmission while transmitting, aborts and sends jam signal
- After aborting, NIC enter binary (exponential) backoff:
  - after mth collision, NIC chooses K at random from  $\{0,1,2,\ldots,2^m-1\}$ . NIC waits  $K\cdot 512$  bit times, returns to Step 2
  - longer backoff interval with more collisions

### Better performance than ALOHA

# 8.6 "Taking turns" MAC protocols

## Channel partitioning MAC protocols:

- share channel efficiently and fairly at high load
- inefficient at low load: delay in channel access,  $\frac{1}{N}$  bandwidth allocated even if only 1 active node

#### random access MAC protocols

- efficient at low load: single node can fully utilize channel
- high load: collision overhead

"taking turns" protocols: look for best of both worlds!

#### 8.6.1 Polling

- master node "invites" slave nodes to transmit in turn
- typically used with "dumb" slave devices
- concerns: polling overhead, latency, single point of failure (master)

#### 8.6.2 Token Passing

- control token passed from one node to next sequentially
- token message
- concerns: token overhead, latency, single point of failure (token)

#### 8.7 Cable Access Network

# 8.7.1 DOCSIS: Data Over Cable Service Interface Spec

- FDM over upstream, downstream frequency channels
- TDM upstream: some slots assigned, some have contention
  - downstream MAP frame: assigns upstream slots
  - request for upstream slots (and data) transmitted random access (binary backoff) in selected slots

### 8.8 MAC Addresses and ARP

32-bit IP address:

- network-layer address for interface
- used for layer 3 (network layer) forwarding

MAC (or LAN or physical or Ethernet) address:

- function: used 'locally' to get frame from one interface to another physically-connected interface (same network, in IP-addressing sense)
- 48 bit MAC address (for most LANs) burned in NIC ROM, also sometimes software settable

#### 8.8.1 LAN Address

- MAC address allocation administered by IEEE
- manufacturer buys portion of MAC address space (to assure uniqueness)

- MAC flat address → portability (can move unreliable: receiving NIC doesn't send acks or LAN card from one LAN to another)
- IP hierarchical address not portable (address depends on IP subnet to which node is attached)

#### 8.8.2 ARP: Address Resolution Protocol

ARP table: each IP node (host, router) on LAN has table

- IP/MAC address mappings for some LAN nodes: <IP address; MAC address; TTL>
- TTL (Time To Live): time after which address mapping will be forgotten (typically 20 mins)

#### 8.9 **Ethernet**

## 8.9.1 Physical Topology

bus: popular through mid 90s (all nodes in same collision domain (can collide with each other))

star: prevails today (active switch in center, each "spoke" runs a (separate) Ethernet protocol (nodes do not collide with each other))

#### 8.9.2 Ethernet frame structure

Tabl 7b					
preamble	dest addr	source addr	type	data (pay- load)	CRC

**preamble:** 7 bytes with pattern 10101010 followed by one byte with pattern 10101011. Used to synchronize receiver, sender clock rates

addresses: 6 byte source, destination MAC addresses

- if adapter receives frame with matching destination address, or with broadcast address (e.g. ARP packet), it passes data in frame with network layer protocol
- otherwise, adapter discards frame

type: indicated higher layer protocol (mostly IP but others possible, e.g. Novell IPX, AppleTalk)

CRC: cyclic redundancy check at receiver (error detected: frame is dropped)

#### 8.9.3 Ethernet: unreliable, connectionless

connectionless: no handshaking between send- dynamic membership: ports can be dynamically ing and receiving NICs

nacks to sending NIC (data in dropped frames recovered only if sender uses higher layer rdt (e.g. TCP), otherwise dropped data lost)

Ethernet's MAC protocol: unslotted CSMA/CD with binary backoff

#### 8.9.4 Ethernet switch

link-layer device: takes an active role

- store, forward Ethernet frames
- examine incoming frame's MAC address, selectively forward frame to oneor-more outgoing links when frame is to be forwarded on segment, uses CSMA/CD to access segment

transparent: hosts are unaware of presence of switches

plug-and-play, self-learning: switches do not need to be configured

#### 8.10 Switches vs routers

both are store-and-forward:

routers: network-layer devices (examine networklayer headers)

switches: link-layer devices (examine link-layer headers)

both have forwarding tables:

routers: compute tables using routing algorithms. IP addresses

switches: learn forwarding table using flooding, learning, MAC addresses

#### 8.11 **VLANs**

#### Note 18: Virtual Local Area Network

switch(es) supporting VLAN capabilities can be configured to defined multiple virtual LANs over single physical LAN infrastructure

#### 8.11.1 Port-based VLAN

switch ports grouped (by switch management software) so that single physical switch operates as multiple virtual switches

traffic isolation: frames to/from ports 1-8 can only reach ports 1-8 (can also define VLAN based on MAC addresses of endpoints, rather than switch port)

assigned among VLANs

forwarding between VLANs: done via routing (just as with separate switches). In practice vendors sell combined switches plus routers

#### **VLANs** spanning multiple switches

**trunk port:** carries frames between VLANs defined over multiple physical switches

- frames forwarded within VLAN between switches can't be vanilla 802.1 frames (must carry VLAN ID info)
- 802.1q protocol adds/removed additional header fields for frames forwarded between trunk ports

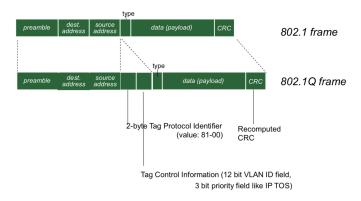


Figure 5: 802.1Q VLAN frame format

# 9 Acronyms

IP: Internet Protocol

TCP: UDP:

**SMTP:** Hypertext Transfer Protocol **SMTP:** Simple Mail Transfer Protocol **RDP:** Remote Desktop Protocol

VOID: Voice ever ID

**VOIP:** Voice over IP

RTT:

POP: Post Office Protocol

IMAP: Internet Mail Access Procotol

**DNS:** Domain Name System

SSN:

**TLD:** Top-level Domain **TTL:** Time To Live **RR:** Resource Records

DDoS:

**CBR:** Constant bit rate **VBR:** Variable bit rate

ABR: UBR:

DASH: Dynamic, Adaptive Streaming over HTTP

**CDN:** Content Distribution Networks

RDT: Reliable Data Transfer

MSS:

**ECN:** Explicit Congestion Notification

ECE:

**SDN:** Software-defined networking

**TCAMs:** Ternary Content Addressable Memories

**HOL:** Head-of-the-Line **FIFO:** First in first out **RR:** Round Robin

WFQ: Weighted Fair Queuing

**CIDR:** Classless InterDomain Routing

**DHCP:** Dynamic Host Configuration Protocol

**ICANN:** Internet Corporation for Assigned Names

and Numbers

**NAT:** Network Address Translation

QoS: OSPF: ODL: ONOS:

ICMP: Internet Control Message Protocol

SNMP:

CA: Control Agent
DV: Distance Vector
B-F: Bellman-Ford
LS: Link State

**AS:** Autonomous Systems **IGP:** Interior Gateway Protocols

**RIP:** Routing Information Protocol **OSPF:** Open Shortest Path First

**IGRP:** Interior Gateway Routing Protocol

ToS:

**MOSPF:** Multicast OSPF

**BGP:** Border Gateway Protocol **SDN:** Software Defined Networking

SNMP:

**ODL:** OpenDaylight

**SAL:** Service Abstraction Layer

**OVSDB:** 

MIB: Management Information Base

MAC:

NIC: Network Interface Card
CRC: Cyclic Redundancy Check
TDMA: Time Division Multiple Access

FDMA: Frequency Division Multiple Access

ALOHA:

**CSMA:** Carrier Sense Multiple Access

**DOCSIS:** Data Over Cable Service Interface Spec

FDM: TDM: ARP:

LAN: Local Area Network
WAN: Wide Area Network

ARP: Address Resolution Protocol VLAN: Virtual Local Area Network